



JOINT LEGISLATIVE COMMITTEE ON THE BUDGET

STATE CAPITOL
P.O. BOX 44294, CAPITOL STATION
BATON ROUGE, LOUISIANA 70804
(225) 342-2062

SENATOR BODI WHITE
CHAIRMAN

REVISED

NOTICE OF MEETING

April 21, 2021
2:00 P.M.
House Committee Room 5
A G E N D A

ADDED Agenda Item #17

I. CALL TO ORDER

II. ROLL CALL

III. BUSINESS

1. Fiscal Status Statement and Five-year Baseline Budget
2. BA-7 Agenda
3. Facility Planning and Control Agenda
4. Review and approval of Deputy Sheriffs' Supplemental Pay as required by R.S. 40:1667.8.
5. Establish the salary of the Legislative Fiscal Officer in accordance with R.S. 24:602.
6. Review and approval of a proposed rule by the Litigation Subcommittee of the Joint Legislative Committee on the Budget.
7. Review and approval of the Fiscal Year 2021-2022 Operating Budget for the Louisiana Lottery Corporation in accordance with R.S. 47:9010(A)(7).
8. Review and approval of amendments to the contract between the Department of State and GCR Inc. for software maintenance and development for the Election and Registration Information Network (ERIN) and the Commercial Online Registration Application (CORA) in accordance with the provisions of R.S. 39:1615(J).
9. Review of and approval of the Tax Increment Financing utilizing 2% of the 4.5% state sales tax within the Harveston Economic Development District (EDD) within the City of Baton Rouge/Parish of East Baton Rouge as required by R.S. 33:9038.34.

10. Review of amendments to the contract between the Division of Administration Office of Technology Services and Technology Engineers, Inc. (TEI) for software development and data management support on behalf of the Louisiana Oil Spill Coordinator's Office (LOSCO) in accordance with the provisions of R.S. 39:1615(J).
11. Review of amendments to the contract between the Department of Health and Maximus Health Services, Inc. for the provision of comprehensive enrollment broker services in accordance with the provisions of R.S. 39:1615(J).
12. Review of amendments to the contract between the Louisiana Military Department and APTIM Environmental and Infrastructure, LLC for maintenance management, preventive maintenance services, and emergency repair services of the utility systems infrastructure at Camp Minden in Minden, Louisiana in accordance with the provisions of R.S. 39:1615(J).
13. Report from the Commissioner of Administration regarding COVID-19 Federal Funding in accordance with Act 1, Section 2(B), of the 2020 First Extraordinary Session of the Legislature.
14. Report from the Commissioner of Administration regarding expenditures by category in accordance with Act 1, Section 20, of the 2020 First Extraordinary Session of the Legislature.
15. Report from the Commissioner of Administration regarding the deficit avoidance plan in accordance with Act 1, Section 21, of the 2020 First Extraordinary Session of the Legislature.
16. Update from the Louisiana Workforce Commission on unemployment and layoff notifications.
17. **In accordance with the provisions of R.S. 24:653(E), interpretation of the legislative intent for certain funding allocations contained in the 2020 appropriation bills.**

IV. CONSIDERATION OF ANY OTHER BUSINESS THAT MAY COME BEFORE THE COMMITTEE

V. ADJOURNMENT

Persons who do not feel comfortable giving testimony in person may submit a prepared statement in accordance with Senate Rule 13.79, in lieu of appearing before the committee. Statements may be emailed to gasconr@legis.la.gov and must be received by the committee secretary at least three hours prior to the meeting to be included in the record for this committee meeting.

Audio/visual presentations, such as PowerPoint, must be received by the committee secretary at gasconr@legis.la.gov at least twenty-four hours PRIOR to the scheduled start of the committee meeting for review and prior approval. Thumb drives will NOT be accepted.

Persons desiring to participate in the meeting should utilize appropriate protective health measures and observe the recommended and appropriate social distancing.

THIS NOTICE CONTAINS A TENTATIVE AGENDA AND MAY BE REVISED PRIOR TO THE MEETING.

BODI WHITE, CHAIRMAN

JOINT LEGISLATIVE COMMITTEE ON THE BUDGET
GENERAL FUND FISCAL STATUS STATEMENT
FISCAL YEAR 2020-2021
(\$ in millions)

April 16, 2021

	<u>MARCH 2021</u>	<u>APRIL 2021</u>	<u>APRIL 2021 Over/(Under) MARCH 2021</u>
<u>GENERAL FUND REVENUE</u>			
Revenue Estimating Conference, January 19, 2021	\$9,452.800	\$9,452.800	\$0.000
Use of the Budget Stabilization Fund	\$90.063	\$90.063	\$0.000
FY 19-20 Revenue Carried Forward into FY 20-21	\$67.251	\$67.251	\$0.000
Total Available General Fund Revenue	<u>\$9,610.114</u>	<u>\$9,610.114</u>	<u>\$0.000</u>
<u>APPROPRIATIONS AND REQUIREMENTS</u>			
Non-Appropriated Constitutional Requirements			
Debt Service	\$429.802	\$429.802	\$0.000
Interim Emergency Board	\$1.323	\$1.323	\$0.000
Revenue Sharing	\$90.000	\$90.000	\$0.000
Total Non-Appropriated Constitutional Requirements	<u>\$521.125</u>	<u>\$521.125</u>	<u>\$0.000</u>
Appropriations			
General (Act 1 of 2020 1ES, Act 45 of 2020 2ES)	\$8,577.119	\$8,577.119	\$0.000
Ancillary (Act 11 of 2020 1ES)	\$0.000	\$0.000	\$0.000
Judicial (Act 7 of 2020 1ES, Act 45 of 2020 2ES)	\$154.508	\$154.508	\$0.000
Legislative (Act 8 of 2020 1ES)	\$61.243	\$61.243	\$0.000
Capital Outlay (Act 2 of 2020 1ES)	\$0.000	\$0.000	\$0.000
Total Appropriations	<u>\$8,792.870</u>	<u>\$8,792.870</u>	<u>\$0.000</u>
Total Appropriations & Non-Appropriated Constitutional Requirements	<u>\$9,313.995</u>	<u>\$9,313.995</u>	<u>\$0.000</u>
Other Requirements			
Funds Transfer Bill (Act 10 of 2020 1ES)	\$3.001	\$3.001	\$0.000
Total Other Requirements	<u>\$3.001</u>	<u>\$3.001</u>	<u>\$0.000</u>
Total Appropriations and Requirements	<u>\$9,316.996</u>	<u>\$9,316.996</u>	<u>\$0.000</u>
General Fund Revenue Less Appropriations and Requirements	<u>\$293.118</u>	<u>\$293.118</u>	<u>\$0.000</u>

II. FY 2019-2020 Fiscal Status Summary:

In accordance with Act 1092 of the 2001 Regular Session and Act 107 of the 2002 First Extraordinary Session (R.S. 39:75), the first budget status report presented after October 15th shall reflect the fund balance for the previous fiscal year. "At the first meeting of the Joint Legislative Committee on the Budget after publication of the Comprehensive Annual Financial Report for the state of Louisiana, the commissioner of administration shall certify to the committee the actual expenditures paid by warrant or transfer and the actual monies received and any monies or balances carried forward for any fund at the close of the previous fiscal year which shall be reflected in the budget status report."

FY20 GENERAL FUND DIRECT SURPLUS/DEFICIT - ESTIMATED (millions)

FY19 Surplus/(Deficit)		534.510
Other Obligations Against Cash Carried Over from FY19 to FY20		
General Fund - Direct Carryforward	87.892	
Unappropriated Use of FY17 & FY18 Surpluses	1.234	
Other Receipts	1.061	
Total Other Obligations Against Cash Carried Over from FY19 to FY20		90.187
FY20 General Fund - Adjusted Direct Revenues:		9,846.211
Total General Funds Available for Expenditure in FY20		10,470.907
FY20 General Fund - Direct Appropriations & Requirements:		
Draws of General Fund - Direct Appropriations	(8,939.381)	
General Obligation Debt Service	(446.004)	
Transfer to the Revenue Sharing Fund (Z06) - Constitution 7:26	(90.000)	
Coastal Protection & Restoration Fund - R.S. 49:214.5.4	(9.815)	
Transfers - Per Statute and Legislative Action	(120.982)	
Other Transfers	(0.344)	
FY19 Surplus - Appropriated or Transferred	(534.510)	
Total FY20 General Fund - Direct Appropriations & Requirements		(10,141.035)
General Fund Direct Cash Balance		329.873
Obligations Against the General Fund Direct Cash Balance		
Unappropriated Use of FY17 & FY 18 Surplus	(1.234)	
Pending FY20 adjustments to be completed in FY21	9.047	
General Fund - Direct Carryforwards from FY20 to FY21	(67.251)	
Total Obligated General Fund Direct		(59.438)
Net General Fund Direct Surplus/(Deficit)		270.434
Certification in accordance with R.S. 39:75A(3)(a)		\$270,434,310

III. Current Year Items Requiring Action

Various agencies state cost share for Public Assistance - storms/winter weather (Supp. Bill)	\$23.02	
GOHSEP - state cost share for Other Needs Assistance, etc. - storms/winter weather (Supp. Bill)	\$18.86	
GOHSEP - 5th & final payment for 2016 August Flood event for Other Needs Assistance, etc. (Supp. Bill)	\$7.50	
Military - Title 32 state share relative to COVID-19 (Supp. Bill)	\$2.07	
Corrections - personal services, supplies, medical expenses (Supp. Bill)	\$38.56	
DOTD - Port of Lake Charles to perform the Calcasieu Dredged Material Management Plan (Supp. Bill)	\$5.00	
TOPS - enrollment adjustments & TOPS Fund forecast (Supp. Bill)	\$2.73	
Local Housing of Adult Offenders (Supp. Bill)	\$27.42	
Deposit into the Conservation Fund for Wildlife & Fisheries (Funds Bill)	\$16.96	
Deposit into the Major Events Incentive Program Sub fund for 2022 NCAA Final Four & future events (Funds Bill)	\$7.69	
Deposit into the State Emergency Response Fund (SERF) for cybersecurity response expenditures (Funds Bill)	\$10.00	
Deposit into the Higher Education Initiatives Fund for accreditation expenditures (Funds Bill)	\$4.10	
Deposit into various Public Service Commission dedicated funds to repay prior year fund sweeps (Funds Bill)	\$6.53	
Deposit into the Motor Fuels Underground Storage Tank Fund to repay prior year fund sweep (Funds Bill)	\$5.50	
Items Requiring Action Total		\$175.94

IV. Horizon Issues Not Contained in 5-Year Plan**Governor's Office of Homeland Security and Emergency Preparedness (GOHSEP)**

State share owed to FEMA upon the final closeout of various disasters, including Hurricane Katrina, for public assistance (state, local and private non-profits) and hazard mitigation projects. Final closeouts of the various disasters are not expected until FY22 at the earliest and Katrina in FY23, but could extend beyond the 5-year baseline projection window.

Hurricane & Storm Damage Risk Reduction System (HSDRRS)

In 2008, the State of Louisiana through the Coastal Protection & Restoration Authority Board entered into a Project Partnership Agreement with the U.S. Army Corps of Engineers to construct a system around the greater New Orleans area. The HSDRRS project is a cost share agreement whereby the State is required to pay a percentage (about 35%) of the total costs of the project. Payback will occur over a 30-year period with the first payment due once the HSDRRS project is completed and accepted. In December 2020, Congress authorized the forgiveness of the construction interest charged on the HSDRRS with the following requirements: (1.) Initial principal payment of \$400M prior to September 30, 2021, (2.) Remaining principal to be paid by September 30, 2023. The administration's recommendation is to replace a 30-year annual federal payment obligation of \$100.9M at 4.375% with a 20-year State GO Debt at rates

**FIVE YEAR BASE LINE PROJECTION
STATE GENERAL FUND SUMMARY
CONTINUATION**

	Official Current Fiscal Year 2020-2021	Ensuing Fiscal Year 2021-2022	Projected Fiscal Year 2022-2023	Projected Fiscal Year 2023-2024	Projected Fiscal Year 2024-2025
REVENUES:					
Taxes, Licenses & Fees	\$11,889,600,000	\$11,985,600,000	\$12,449,000,000	\$12,688,700,000	\$12,899,100,000
Less Dedications	(\$2,436,800,000)	(\$2,418,300,000)	(\$2,462,300,000)	(\$2,490,700,000)	(\$2,610,200,000)
TOTAL REC REVENUES	\$9,452,800,000	\$9,567,300,000	\$9,986,700,000	\$10,198,000,000	\$10,288,900,000
ANNUAL REC GROWTH RATE		1.21%	4.38%	2.12%	0.89%
Other Revenues:					
Carry Forward Balances	\$67,251,068	\$0	\$0	\$0	\$0
Use of Budget Stabilization Fund	\$90,062,911	\$0	\$0	\$0	\$0
Total Other Revenue	\$157,313,979	\$0	\$0	\$0	\$0
TOTAL REVENUES	\$9,610,113,979	\$9,567,300,000	\$9,986,700,000	\$10,198,000,000	\$10,288,900,000
EXPENDITURES:					
General Appropriation Bill (Act 1 of 2020 1ES, Act 45 of 2020 2ES)	\$8,509,867,982	\$9,780,795,491	\$9,960,805,471	\$10,166,472,989	\$10,321,473,997
Ancillary Appropriation Bill (Act 11 of 2020 1ES)	\$0	\$0	\$17,098,864	\$21,681,710	\$26,424,956
Non-Appropriated Requirements	\$521,124,619	\$530,762,529	\$539,555,216	\$550,002,875	\$567,578,758
Judicial Appropriation Bill (Act 7 of 2020 1ES, Act 45 of 2020 2ES)	\$154,508,439	\$156,402,678	\$158,181,722	\$160,171,855	\$160,171,854
Legislative Appropriation Bill (Act 8 of 2020 1ES)	\$61,242,871	\$61,242,871	\$61,255,154	\$61,251,415	\$61,247,902
Special Acts	\$0	\$0	\$10,162,436	\$10,162,436	\$10,162,436
Capital Outlay Bill (Act 2 of 2020 1ES)	\$0	\$0	\$0	\$0	\$0
TOTAL ADJUSTED EXPENDITURES (less carryforwards)	\$9,246,743,911	\$10,529,203,569	\$10,747,058,863	\$10,969,743,280	\$11,147,059,903
ANNUAL ADJUSTED GROWTH RATE		13.87%	2.07%	2.07%	1.62%
Other Expenditures:					
Carryforward BA-7s Expenditures	\$67,251,068	\$0	\$0	\$0	\$0
Supplemental Bill (Act 255 of 20 RS); Funds Bills (Act 362 of 2019 RS and Act 10 of 20 1ES)	\$3,001,000	\$0	\$0	\$0	\$0
27th Pay Period occurring in FY22-23	\$0	\$0	\$62,508,941	\$0	\$0
Total Other Expenditures	\$70,252,068	\$0	\$62,508,941	\$0	\$0
TOTAL EXPENDITURES	\$9,316,995,979	\$10,529,203,569	\$10,809,567,804	\$10,969,743,280	\$11,147,059,903
PROJECTED BALANCE	\$293,118,000	(\$961,903,569)	(\$822,867,804)	(\$771,743,280)	(\$858,159,903)

Oil Prices included in the REC forecast.

\$40.10

\$44.65

\$50.14

\$52.13

\$52.93

JOINT LEGISLATIVE COMMITTEE ON THE BUDGET

BA-7 AGENDA

April 2021 - REVISED

Revised
R5 EXEC Added

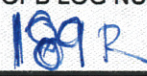

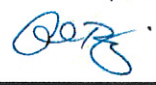
A. Fiscal Status Statement

B. 5-Year Base Line Projection

C. Regular BA-7s

1	EXEC	Executive Department (01-111) Governor's Office of Homeland Security & Emergency Preparedness
2	CRT	Department of Culture, Recreation and Tourism (06-262) Office of State Library of Louisiana
3	LDH	Louisiana Department of Health (09-306) Medical Vendor Payments
4a	LWC	Louisiana Workforce Commission (14-474) Workforce Support and Training
4b	ANCI	Ancillary Appropriations (21-815) Office of Technology Services
5	EXEC	Executive Department (01-111) Governor's Office of Homeland Security & Emergency Preparedness

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Executive Department		FOR OPB USE ONLY			
AGENCY: GOHSEP		OPB LOG NUMBER 		AGENDA NUMBER 	
SCHEDULE NUMBER: 01-111		Approval and Authority:			
SUBMISSION DATE: March 25, 2021					
AGENCY BA-7 NUMBER: 14-111-05					
HEAD OF BUDGET UNIT: James Waskom					
TITLE: Director					
SIGNATURE <i>(Certifies that the information provided is correct and true to the best of your knowledge):</i> 					

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
DIRECT	\$3,585,678		\$3,585,678
INTERAGENCY TRANSFERS	\$2,008,365		\$2,008,365
FEES & SELF-GENERATED	\$250,085		
STATUTORY DEDICATIONS	\$443,852,556		\$443,852,556
Subtotal of Dedications from Page 2	\$443,852,556		\$443,852,556
FEDERAL	\$1,571,550,043	\$74,000,000	\$1,645,550,043
TOTAL	\$2,021,246,727	\$74,000,000	\$2,095,246,727
AUTHORIZED POSITIONS	56		56
AUTHORIZED OTHER CHARGES	232		232
NON-TO FTE POSITIONS			
TOTAL POSITIONS	288		288

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
100 - Administrative	\$2,021,246,727	56	\$74,000,000		\$2,095,246,727	56
Other Charges Positions		232				232
Subtotal of programs from Page 2:						
TOTAL	\$2,021,246,727	288	\$74,000,000		\$2,095,246,727	288

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Executive Department	FOR OPB USE ONLY	
AGENCY: GOHSEP	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 01-111		
SUBMISSION DATE: March 25, 2021	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 14-111-05		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]			
[Select Fund Account]			
SUBTOTAL (to Page 1)			
STATUTORY DEDICATIONS			
State Emergency Response Fund (V29)	\$11,201,246		\$11,201,246
Coronavirus Local Recovery Allocation Fund (V39)	\$432,651,310		\$432,651,310
[Select Statutory Dedication]			
[Select Statutory Dedication]			
[Select Statutory Dedication]			
[Select Statutory Dedication]			
SUBTOTAL (to Page 1)	\$443,852,556		\$443,852,556

Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
SUBTOTAL (to Page 1)						

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?

The source of funding for this request is Federal Funds.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT					
INTERAGENCY TRANSFERS					
FEES & SELF-GENERATED					
STATUTORY DEDICATIONS					
FEDERAL	\$74,000,000				
TOTAL	\$74,000,000				

3. If this action requires additional personnel, provide a detailed explanation below:

Not Applicable

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.

Postponement of this request will cause the non-payment of rent in arrears to landlords for eligible tenants. It will also delay any payments to the 7 LGUs which may cause delay of their parish residents for rent in arrears.

5. Is this an after the fact BA-7, e.g., have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.

This BA-7 is not after the fact.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

GOHSEP requires additional Federal budget authority for one reasons. GOHSEP will be administering the Emergency Rental Assistance Program Grant, requiring payments totaling \$249M. FY21 budget authority required is \$148 million. \$74 million was approved in February JLCB, we require the remaining \$74 million to complete this fiscal year.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. *(Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)*

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. *(For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)*

Not applicable

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

This request is necessary in order for the agency to have necessary budget authority to complete the fiscal year.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

Not applicable

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: ADMINISTRATIVE

MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS			
				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$3,585,678		\$3,585,678				
Interagency Transfers	\$2,008,365		\$2,008,365				
Fees & Self-Generated *	\$250,085		\$250,085				
Statutory Dedications **	\$443,852,556		\$443,852,556				
FEDERAL FUNDS	\$1,571,550,043	\$74,000,000	\$1,645,550,043				
TOTAL MOF	\$2,021,246,727	\$74,000,000	\$2,095,246,727				
EXPENDITURES:							
Salaries	\$4,144,938		\$4,144,938				
Other Compensation							
Related Benefits	\$1,995,394		\$1,995,394				
Travel	\$5,000		\$5,000				
Operating Services							
Supplies	\$199,430		\$199,430				
Professional Services							
Other Charges	\$2,008,925,802	\$74,000,000	\$2,082,925,802				
Debt Services							
Interagency Transfers	\$5,976,163		\$5,976,163				
Acquisitions							
Major Repairs							
UNALLOTTED							
TOTAL EXPENDITURES	\$2,021,246,727	\$74,000,000	\$2,095,246,727				
POSITIONS							
Classified							
Unclassified	56		56				
TOTAL T.O. POSITIONS	56		56				
Other Charges Positions	232		232				
Non-TO FTE Positions							
TOTAL POSITIONS	288		288				
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated							
[Select Fund Account]							
[Select Fund Account]							
**Statutory Dedications:							
State Emergency Response Fund (V29)	\$11,201,246		\$11,201,246				
Coronavirus Local Recovery Allocation Fund (V39)	\$432,651,310		\$432,651,310				
[Select Statutory Dedication]							

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: ADMINISTRATIVE

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT					\$74,000,000	\$74,000,000
EXPENDITURES:						
Salaries						
Other Compensation						
Related Benefits						
Travel						
Operating Services						
Supplies						
Professional Services						
Other Charges					\$74,000,000	\$74,000,000
Debt Services						
Interagency Transfers						
Acquisitions						
Major Repairs						
UNALLOTTED						
TOTAL EXPENDITURES					\$74,000,000	\$74,000,000
OVER / (UNDER)						
POSITIONS						
Classified						
Unclassified						
TOTAL T.O. POSITIONS						
Other Charges Positions						
Non-TO FTE Positions						
TOTAL POSITIONS						

BA-7 QUESTIONNAIRE

GENERAL PURPOSE

1. The general purpose of BA-7 #12-111-04 is to increase Federal budget authority for GOHSEP. GOHSEP will be disbursing the Emergency Rental Assistance Program Grant to the Louisiana Housing Corporation and the DOA for contracts that pay for the program delivery to landlords and tenants. Payments will also be required to the 7 Local Governmental Units for their remaining portion that was sent directly to the state. FY21 budget authority required is \$148 million. \$74 million was approved in February JLCB, we require the remaining \$74 million to complete this fiscal year. Estimated avg awards per application is \$ 6,000 per tenant.

REVENUES

- 5 & 7. The revenues associated with this request are Federal funds. GOHSEP is currently budgeted \$1,571,550,043 in Federal funds. Approval of this BA-7 will increase Federal budget authority to \$1,645,550,043.

EXPENDITURES

9. The Other Charges expenditure category will be adjusted as a result of this BA-7.

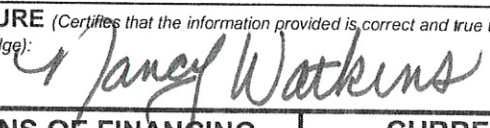
11.

Object/CL	Description	Amount	MOF
3646/5610003	Other Charges - Other Public Assistance & Grants	\$74,000,000	Federal
TOTAL		\$74,000,000	

OTHER

12. Christina Dayries
Assistant Deputy Director, Grants and Administration
225.358.5899
Christina.Dayries@la.gov
- Chad Felterman
Budget Director
225.925.1873
Chad.Felتمان@la.gov

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Culture, Recreation, Tourism		FOR OPB USE ONLY				
AGENCY: Office of the State Library		OPB LOG NUMBER 186		AGENDA NUMBER 2		
SCHEDULE NUMBER: 06-262		Approval and Authority:				
SUBMISSION DATE: March 24, 2021						
AGENCY BA-7 NUMBER: DCRT-OSL-21-03						
HEAD OF BUDGET UNIT: Nancy Watkins						
TITLE: Undersecretary						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021			
GENERAL FUND BY:						
DIRECT	\$3,648,817	\$0	\$3,648,817			
INTERAGENCY TRANSFERS	\$821,436	\$0	\$821,436			
FEES & SELF-GENERATED	\$390,000	\$0	\$390,000			
Regular Fees & Self-generated	\$390,000	\$0	\$390,000			
Subtotal of Fund Accounts from Page 2	\$0	\$0	\$0			
STATUTORY DEDICATIONS	\$0	\$0	\$0			
[Select Statutory Dedication]	\$0	\$0	\$0			
[Select Statutory Dedication]	\$0	\$0	\$0			
Subtotal of Dedications from Page 2	\$0	\$0	\$0			
FEDERAL	\$2,689,040	\$3,012,150	\$5,701,190			
TOTAL	\$7,549,293	\$3,012,150	\$10,561,443			
AUTHORIZED POSITIONS	48	0	48			
AUTHORIZED OTHER CHARGES	0	0	0			
NON-TO FTE POSITIONS	0	0	0			
TOTAL POSITIONS	48	0	48			
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
Library Services	\$7,549,293	48	\$3,012,150	0	\$10,561,443	48
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$7,549,293	48	\$3,012,150	0	\$10,561,443	48

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Culture, Recreation, Tourism	FOR OPB USE ONLY	
AGENCY: Office of the State Library	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 06-262		
SUBMISSION DATE: March 24, 2021	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: DCRT-OSL-21-03		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0

--

Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?
 \$3,012,150 - American Rescue Plan Act through the Institute of Museum and Library Services (IMLS)
 Please see attached for additional information

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$3,012,150	\$0	\$0	\$0	\$0
TOTAL	\$3,012,150	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:
 This action requires no additional personnel.

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.
 We are requesting these funds now in order to get them allocated to parish and local libraries as quickly as possible. The State Library must disperse the funds within 30 days of receipt.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No. 52.
 No, this is not an after the fact BA-7.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

There will be a positive impact to usage of public library resources from the approval of this BA-7. Measurement of the impacts won't be made until the next fiscal year.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. (Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. (For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)

Distribution of the funds to the public libraries will have a positive impact to their local residents, providing better WiFi services and computer access for education and benefits opportunities.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

There will be positive impacts with the additional federal funds. Public Libraries will be able to provide additional wireless hotspots and access to computers. Because it is the end of the current fiscal year, the impacts won't be measured until the next fiscal year.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

Failure to approve the BA-7 would delay the State Library from dispersing the federal recovery funds out to the public libraries, which would assist them in providing improved services to their constituents during the pandemic.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Library Services

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT				
PROGRAM 1 NAME: <u>Library Services</u>				
MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS
				FY 2021-2022 FY 2022-2023 FY 2023-2024 FY 2024-2025
GENERAL FUND BY:				
Direct	\$3,648,817	\$0	\$3,648,817	\$0 \$0 \$0 \$0
Interagency Transfers	\$821,436	\$0	\$821,436	\$0 \$0 \$0 \$0
Fees & Self-Generated *	\$390,000	\$0	\$390,000	\$0 \$0 \$0 \$0
Statutory Dedications **	\$0	\$0	\$0	\$0 \$0 \$0 \$0
FEDERAL FUNDS	\$2,689,040	\$3,012,150	\$5,701,190	\$0 \$0 \$0 \$0
TOTAL MOF	\$7,549,293	\$3,012,150	\$10,561,443	\$0 \$0 \$0 \$0
EXPENDITURES:				
Salaries	\$2,352,762	\$0	\$2,352,762	\$0 \$0 \$0 \$0
Other Compensation	\$51,000	\$0	\$51,000	\$0 \$0 \$0 \$0
Related Benefits	\$1,720,947	\$0	\$1,720,947	\$0 \$0 \$0 \$0
Travel	\$12,926	\$0	\$12,926	\$0 \$0 \$0 \$0
Operating Services	\$311,854	\$0	\$311,854	\$0 \$0 \$0 \$0
Supplies	\$28,117	\$0	\$28,117	\$0 \$0 \$0 \$0
Professional Services	\$6,597	\$0	\$6,597	\$0 \$0 \$0 \$0
Other Charges	\$2,141,421	\$3,012,150	\$5,153,571	\$0 \$0 \$0 \$0
Debt Services	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Interagency Transfers	\$923,669	\$0	\$923,669	\$0 \$0 \$0 \$0
Acquisitions	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Major Repairs	\$0	\$0	\$0	\$0 \$0 \$0 \$0
UNALLOTTED	\$0	\$0	\$0	\$0 \$0 \$0 \$0
TOTAL EXPENDITURES	\$7,549,293	\$3,012,150	\$10,561,443	\$0 \$0 \$0 \$0
POSITIONS				
Classified	47	0	47	0 0 0 0
Unclassified	1	0	1	0 0 0 0
TOTAL T.O. POSITIONS	48	0	48	0 0 0 0
Other Charges Positions	0	0	0	0 0 0 0
Non-TO FTE Positions	0	0	0	0 0 0 0
TOTAL POSITIONS	48	0	48	0 0 0 0
*Dedicated Fund Accounts:				
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Fund Account]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Fund Account]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
**Statutory Dedications:				
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Library Services

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$3,012,150	\$3,012,150

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$3,012,150	\$3,012,150
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$3,012,150	\$3,012,150

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
----------------	-----	-----	-----	-----	-----	-----

POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

QUESTIONNAIRE ANALYSIS

(Please reference question numbers, provide detailed information and use continuation sheets as needed.)

GENERAL PURPOSE

See attached summary for additional information.

REVENUES

Federal funds:

- No match requirement for these funds, and no MOE provision
- See attached allotment table from IMLS

EXPENDITURES

Expenditure is based on the allotment from the IMLS, all funds will be granted out to public/local libraries

AFS:

Program: 100

Org: 6227 (2621)

Object: 3646

Amount: \$3,012,150

Means of Finance: Federal

LaGov:

Fund: G100000000

Cost Center: 2621012700

G/L Account: 5610003

Amount: \$3,012,150

Means of Finance: Federal

OTHER

Billy Nungesser, Lt. Governor

Nancy Watkins, Undersecretary

Rebecca Hamilton, State Librarian

bnungesser@crt.la.gov

nwatkins@crt.la.gov

rahamilton@crt.la.gov

(225) 342-7009

(225) 342-8201

(225) 342-4923

Purpose

To achieve the American Rescue Plan Act's purposes with respect to Grants to States, this funding is to be used by September 30, 2022, in helping communities respond directly and immediately to the pandemic, as well as to related economic and community needs through equitable approaches. Spending priorities are as follows:

- a. First, to enable libraries to reach residents with Internet hotspots, accessible Wi-Fi, and other digital inclusion efforts, particularly in support of education, health, and workforce development needs. The following types of data, among others, can inform efforts to reach underserved populations:
 - Poverty/Supplemental Nutrition Assistance Program (SNAP)
 - Unemployment
 - Broadband availability;
- b. Second, to provide rapid emergency relief to libraries across the country, allowing them to safely respond to the pandemic and implement public health protocols;
- c. Third, to support library services that meet the needs of communities throughout the U.S., including costs such as personnel, technology, training, materials, supplies, equipment, and associated indirect costs; and
- d. With respect to (a), (b), or (c), reach tribal and museum partners best positioned to assist with pandemic response efforts, in addition to traditionally eligible library entities, where appropriate.

Additionally, the administration of the funds will be as follows:

- \$178 million, divided among states and territories based on population, as well as a \$2,000,000 base for states, DC, and PR, and \$200,000 for all others
- No match requirement for these funds, and no MOE provision

ARPA funds will have the same end date as your FY 2021 award (September 30, 2022)



March 26, 2021

Rebecca Hamilton
State Librarian
State Library of Louisiana
P.O. Box 131
Baton Rouge, LA 70821

Dear Ms. Hamilton:

The Institute of Museum and Library Services has been charged through the American Rescue Plan Act (P.L. 117-2) to disseminate funds to State Library Administrative Agencies, including the State Library of Louisiana. We currently await apportionment from our Office of Management and Budget, but we anticipate making awards in April, of which Louisiana's portion will be \$3,012,150, with no state Match requirement. Our publicly available allotment table reflects these numbers as well: <https://imls.gov/sites/default/files/2021-03/arpaallotmenttablefy2021.pdf>.

Sincerely,

Teresa A. DeVoe
Associate Deputy Director, Grants to States Program

INSTITUTE OF MUSEUM AND LIBRARY SERVICES
LIBRARY SERVICES AND TECHNOLOGY ACT
STATE ALLOTMENT TABLE
FY 2021 American Rescue Plan Act IMLS Appropriations (Public Law 117-2)

Total Distributed to States: \$178,000,000

State	Federal Funds from IMLS (1), (2)	State Matching Funds (3)
ALABAMA	\$3,072,333.00	\$0.00
ALASKA	\$2,159,309.00	\$0.00
ARIZONA	\$3,617,020.00	\$0.00
ARKANSAS	\$2,660,308.00	\$0.00
CALIFORNIA	\$10,577,753.00	\$0.00
COLORADO	\$3,265,421.00	\$0.00
CONNECTICUT	\$2,775,022.00	\$0.00
DELAWARE	\$2,215,012.00	\$0.00
FLORIDA	\$6,735,385.00	\$0.00
GEORGIA	\$4,333,563.00	\$0.00
HAWAII	\$2,306,567.00	\$0.00
IDAHO	\$2,398,059.00	\$0.00
ILLINOIS	\$4,742,647.00	\$0.00
INDIANA	\$3,471,810.00	\$0.00
IOWA	\$2,689,296.00	\$0.00
KANSAS	\$2,634,877.00	\$0.00
KENTUCKY	\$2,975,530.00	\$0.00
LOUISIANA	\$3,012,150.00	\$0.00
MAINE	\$2,294,177.00	\$0.00
MARYLAND	\$3,319,475.00	\$0.00
MASSACHUSETTS	\$3,502,013.00	\$0.00
MICHIGAN	\$4,171,573.00	\$0.00
MINNESOTA	\$3,232,656.00	\$0.00
MISSISSIPPI	\$2,646,421.00	\$0.00
MISSOURI	\$3,340,336.00	\$0.00
MONTANA	\$2,235,443.00	\$0.00
NEBRASKA	\$2,422,166.00	\$0.00
NEVADA	\$2,683,783.00	\$0.00
NEW HAMPSHIRE	\$2,297,692.00	\$0.00
NEW JERSEY	\$3,935,345.00	\$0.00
NEW MEXICO	\$2,458,937.00	\$0.00
NEW YORK	\$6,213,213.00	\$0.00
NORTH CAROLINA	\$4,309,771.00	\$0.00
NORTH DAKOTA	\$2,166,750.00	\$0.00
OHIO	\$4,547,789.00	\$0.00
OKLAHOMA	\$2,867,357.00	\$0.00
OREGON	\$2,924,165.00	\$0.00
PENNSYLVANIA	\$4,785,292.00	\$0.00
RHODE ISLAND	\$2,230,333.00	\$0.00
SOUTH CAROLINA	\$3,136,938.00	\$0.00
SOUTH DAKOTA	\$2,194,511.00	\$0.00
TENNESSEE	\$3,500,545.00	\$0.00

TEXAS	\$8,397,299.00	\$0.00
UTAH	\$2,708,103.00	\$0.00
VERMONT	\$2,135,819.00	\$0.00
VIRGINIA	\$3,871,764.00	\$0.00
WASHINGTON	\$3,676,331.00	\$0.00
WEST VIRGINIA	\$2,388,880.00	\$0.00
WISCONSIN	\$3,270,854.00	\$0.00
WYOMING	\$2,126,881.00	\$0.00
DISTRICT OF COLUMBIA	\$2,155,313.00	\$0.00
PUERTO RICO	\$2,684,768.00	\$0.00
AMERICAN SAMOA	\$210,103.00	\$0.00
NORTHERN MARIANAS	\$211,256.00	\$0.00
GUAM	\$236,779.00	\$0.00
VIRGIN ISLANDS	\$223,068.00	\$0.00
MARSHALL ISLANDS	\$217,176.00	\$0.00
MICRONESIA	\$222,154.00	\$0.00
PALAU	\$204,709.00	\$0.00
Total	<hr/> \$178,000,000.00	\$0.00

(1) The IMLS Federal funds (allotments) are calculated using the current base provisions set into law (20 U.S.C. § 9101 et seq., see in particular §§ 9123-9133) and population figures from the Bureau of the Census (BOC) published in December 2020. The base amount for states, DC, and PR was \$2,000,000, and for all others the base was \$200,000.

Population data is pulled from the BOC. Data used in the state allotment table are calculated based on the most recent Census data available at the time of the grant award notification. Therefore, the population data used in the FY2021 table is what was available on the BOC website <https://www2.census.gov/programs-surveys/popest/tables/2010-2020/state/totals/nst-est2020.xlsx> as of December 2020.

Population data for American Samoa, Northern Marianas, Guam, Puerto Rico, Virgin Islands, Marshall Islands, Federated States of Micronesia, and Palau is used from the Census International Data Base. <https://www.census.gov/programs-surveys/international-programs/data/tools/international-data-base.html> This table reflects what was available as of December 2020.

(2) With Match waived in accordance with (3), Maintenance of Effort (MOE) distribution is omitted from this allotment formula.

(3) Waived pursuant to P.L. 117-2.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Louisiana Department of Health			FOR OPB USE ONLY			
AGENCY: 306 - Medical Vendor Payments			OPB LOG NUMBER <div style="font-size: 1.5em; font-family: cursive;">190R</div>		AGENDA NUMBER <div style="font-size: 1.5em; font-family: cursive;">3</div>	
SCHEDULE NUMBER: 09			Approval and Authority:			
SUBMISSION DATE: March 30, 2021						
AGENCY BA-7 NUMBER: #5 Privates to UCC for DSH - Revised						
HEAD OF BUDGET UNIT: Ruth Johnson						
TITLE: Undersecretary						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 						
MEANS OF FINANCING		CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)		REVISED FY 2020-2021	
GENERAL FUND BY:						
DIRECT		\$1,938,154,935	\$0		\$1,938,154,935	
INTERAGENCY TRANSFERS		\$223,203,018	\$0		\$223,203,018	
FEES & SELF-GENERATED		\$514,463,455	\$0		\$514,463,455	
[Select Fund Account]		\$0	\$0		\$0	
Subtotal of Fund Accounts from Page 2		\$0	\$0		\$0	
STATUTORY DEDICATIONS		\$1,198,299,400	\$0		\$1,198,299,400	
LA Medical Assistance Trust Fund (H08)		\$1,012,967,065	\$0		\$1,012,967,065	
Medicaid Trust Fund for the Elderly (H19)		\$24,105,951	\$0		\$24,105,951	
Subtotal of Dedications from Page 2		\$161,226,384	\$0		\$161,226,384	
FEDERAL		\$11,933,037,311	\$0		\$11,933,037,311	
TOTAL		\$15,807,158,119	\$0		\$15,807,158,119	
AUTHORIZED POSITIONS		0	0		0	
AUTHORIZED OTHER CHARGES		0	0		0	
NON-TO FTE POSITIONS		0	0		0	
TOTAL POSITIONS		0	0		0	
PROGRAM EXPENDITURES		DOLLARS	POS	DOLLARS	POS	DOLLARS
PROGRAM NAME:						
PAYMENTS TO PRIVATE PROVIDERS		\$14,232,124,088	0	(\$369,283,036)	0	\$13,862,841,052
PAYMENTS TO PUBLIC PROVIDERS		\$232,505,004	0	\$0	0	\$232,505,004
MEDICARE BUY-INS & SUPPLEMENTS		\$570,267,490	0	\$0	0	\$570,267,490
UNCOMPENSATED CARE COSTS		\$772,261,537	0	\$369,283,036	0	\$1,141,544,573
		\$0	0	\$0	0	\$0
		\$0	0	\$0	0	\$0
		\$0	0	\$0	0	\$0
		\$0	0	\$0	0	\$0
		\$0	0	\$0	0	\$0
		\$0	0	\$0	0	\$0
Subtotal of programs from Page 2:		\$0	0	\$0	0	\$0
TOTAL		\$15,807,158,119	0	\$0	0	\$15,807,158,119

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?

No additional revenue is being requested since this BA-7 is moving budget authority between programs.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	\$0	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:

This action does not require additional personnel.

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.

The purpose of this BA-7 is to transfer the remaining budget authority to the Uncompensated Care Costs (UCC) program to pre-MFP posture which complies with the new UCC limits established by HR1319 of the 117th Congress (American Rescue Plan Act of 2021).

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.

This request is not an after-the-fact BA-7.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: PAYMENTS TO PRIVATE PROVIDERS

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$1,467,879,733	(\$34,628,884)	\$1,433,250,849	\$0	\$0	\$0	\$0
Interagency Transfers	\$200,783,571	\$0	\$200,783,571	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$437,280,006	(\$29,773,163)	\$407,506,843	\$0	\$0	\$0	\$0
Statutory Dedications **	\$1,189,151,534	\$0	\$1,189,151,534	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$10,937,029,244	(\$304,880,989)	\$10,632,148,255	\$0	\$0	\$0	\$0
TOTAL MOF	\$14,232,124,088	(\$369,283,036)	\$13,862,841,052	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$14,232,124,088	(\$369,283,036)	\$13,862,841,052	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$14,232,124,088	(\$369,283,036)	\$13,862,841,052	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0	0
*Dedicated Fund Accounts:							
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
LA Medical Assistance Trust Fund (H08)	\$1,003,819,199	\$0	\$1,003,819,199	\$0	\$0	\$0	\$0
Medicaid Trust Fund for the Elderly (H19)	\$24,105,951	\$0	\$24,105,951	\$0	\$0	\$0	\$0
New Opportunities Waiver (NOW) Fund (H30)	\$17,534,023	\$0	\$17,534,023	\$0	\$0	\$0	\$0
Hospital Stabilization Fund (H37)	\$113,459,367	\$0	\$113,459,367	\$0	\$0	\$0	\$0
Louisiana Fund (Z13)	\$6,256,236	\$0	\$6,256,236	\$0	\$0	\$0	\$0
Health Excellence Fund (Z17)	\$23,976,758	\$0	\$23,976,758	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 2 NAME: PAYMENTS TO PUBLIC PROVIDERS

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT				
PROGRAM 2 NAME: <u>PAYMENTS TO PUBLIC PROVIDERS</u>				
MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS
GENERAL FUND BY:				FY 2021-2022 FY 2022-2023 FY 2023-2024 FY 2024-2025
Direct	\$53,173,757	\$0	\$53,173,757	\$0 \$0 \$0 \$0
Interagency Transfers	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Statutory Dedications **	\$9,147,866	\$0	\$9,147,866	\$0 \$0 \$0 \$0
FEDERAL FUNDS	\$170,183,381	\$0	\$170,183,381	\$0 \$0 \$0 \$0
TOTAL MOF	\$232,505,004	\$0	\$232,505,004	\$0 \$0 \$0 \$0
EXPENDITURES:				
Salaries	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Other Compensation	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Related Benefits	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Travel	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Operating Services	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Supplies	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Professional Services	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Other Charges	\$42,035,500	\$0	\$42,035,500	\$0 \$0 \$0 \$0
Debt Services	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Interagency Transfers	\$190,469,504	\$0	\$190,469,504	\$0 \$0 \$0 \$0
Acquisitions	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Major Repairs	\$0	\$0	\$0	\$0 \$0 \$0 \$0
UNALLOTTED	\$0	\$0	\$0	\$0 \$0 \$0 \$0
TOTAL EXPENDITURES	\$232,505,004	\$0	\$232,505,004	\$0 \$0 \$0 \$0
POSITIONS				
Classified	0	0	0	0 0 0 0
Unclassified	0	0	0	0 0 0 0
TOTAL T.O. POSITIONS	0	0	0	0 0 0 0
Other Charges Positions	0	0	0	0 0 0 0
Non-TO FTE Positions	0	0	0	0 0 0 0
TOTAL POSITIONS	0	0	0	0 0 0 0
*Dedicated Fund Accounts:				
[Select Fund Account]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Fund Account]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Fund Account]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
**Statutory Dedications:				
LA Medical Assistance Trust Fund (H08)	\$9,147,866	\$0	\$9,147,866	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 3 NAME: MEDICARE BUY-INS & SUPPLEMENTS

MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS			
				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$279,966,930	\$0	\$279,966,930	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$0	\$0	\$0	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$290,300,560	\$0	\$290,300,560	\$0	\$0	\$0	\$0
TOTAL MOF	\$570,267,490	\$0	\$570,267,490	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$570,267,490	\$0	\$570,267,490	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$570,267,490	\$0	\$570,267,490	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0	0
*Dedicated Fund Accounts:							
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 4 NAME: UNCOMPENSATED CARE COSTS

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT																																
PROGRAM 4 NAME: <u>UNCOMPENSATED CARE COSTS</u>																																
MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS																												
GENERAL FUND BY:				<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #ffff00;"> <th>FY 2021-2022</th> <th>FY 2022-2023</th> <th>FY 2023-2024</th> <th>FY 2024-2025</th> </tr> </thead> </table>	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025																								
FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025																													
Direct	\$137,134,515	\$34,628,884	\$171,763,399	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Interagency Transfers	\$22,419,447	\$0	\$22,419,447	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Fees & Self-Generated *	\$77,183,449	\$29,773,163	\$106,956,612	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Statutory Dedications **	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
FEDERAL FUNDS	\$535,524,126	\$304,880,989	\$840,405,115	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
TOTAL MOF	\$772,261,537	\$369,283,036	\$1,141,544,573	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
EXPENDITURES:																																
Salaries	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Other Compensation	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Related Benefits	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Travel	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Operating Services	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Supplies	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Professional Services	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Other Charges	\$673,833,446	\$369,283,036	\$1,043,116,482	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Debt Services	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Interagency Transfers	\$98,428,091	\$0	\$98,428,091	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Acquisitions	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
Major Repairs	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
UNALLOTTED	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
TOTAL EXPENDITURES	\$772,261,537	\$369,283,036	\$1,141,544,573	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
POSITIONS																																
Classified	0	0	0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
Unclassified	0	0	0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
TOTAL T.O. POSITIONS	0	0	0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
Other Charges Positions	0	0	0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
Non-TO FTE Positions	0	0	0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
TOTAL POSITIONS	0	0	0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
0	0	0	0																													
*Dedicated Fund Accounts:																																
[Select Fund Account]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
[Select Fund Account]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
[Select Fund Account]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
**Statutory Dedications:																																
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													
\$0	\$0	\$0	\$0																													

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

QUESTIONNAIRE ANALYSIS

(Please reference question numbers, provide detailed information and use continuation sheets as needed).

GENERAL PURPOSE

The purpose of this BA-7 is to transfer the remaining budget authority to the Uncompensated Care Costs (UCC) program to pre-MFP posture which complies with the new UCC limits established by HR1319 of the 117th Congress (American Rescue Plan Act of 2021).

Program Summary of Adjustments	State General Fund	Interagency Transfers	Fees and Self-generated	Statutory Dedications	Federal	Total
Private Providers Program - Total	(34,628,884)		(29,773,163)	0	(304,880,989)	(369,283,036)
UCC Program - Total	34,628,884		29,773,163	0	304,880,989	369,283,036
Total BA-7 #5 Adjustments by Program	0	0	0	0	0	0

REVENUES

State General Fund	\$0
Interagency Transfers	\$0
Fees & Self-Generated	\$0
Statutory Dedications:	\$0
Federal Funds	\$0
TOTAL REVENUES	\$0

EXPENDITURES

Payments to Private Providers	(\$369,283,036)	Other Charges
Payments to Public Providers	\$0	Interagency Transfers
Medicare Buy-Ins & Supplements	\$0	Other Charges
Uncompensated Care Costs	\$369,283,036	Other Charges
TOTAL EXPENDITURES	\$0	

OTHER

Provide names, phone numbers, and e-mail addresses of agency contacts

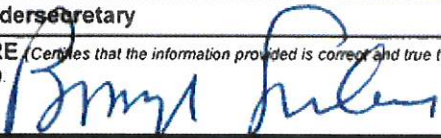
Contact:

Anthony Shamis - (225) 342-9493

Financial Management & Operations

Email Address: Anthony.Shamis3@la.gov

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Louisiana Workforce Commission		FOR OPB USE ONLY				
AGENCY: Workforce Support and Training		OPB LOG NUMBER		AGENDA NUMBER		
SCHEDULE NUMBER: 14-474		192		4a		
SUBMISSION DATE: March 31, 2021		Approval and Authority:				
AGENCY BA-7 NUMBER: 474-3						
HEAD OF BUDGET UNIT: Bennett Soulier						
TITLE: Undersecretary						
SIGNATURE <i>(Certifies that the information provided is correct and true to the best of your knowledge)</i> 						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)		REVISED FY 2020-2021		
GENERAL FUND BY:						
DIRECT	\$10,645,933	\$0		\$10,645,933		
INTERAGENCY TRANSFERS	\$9,421,933	\$0		\$9,421,933		
FEES & SELF-GENERATED	\$72,219	\$0		\$72,219		
Regular Fees & Self-generated	\$72,219	\$0		\$72,219		
Subtotal of Fund Accounts from Page 2	\$0	\$0		\$0		
STATUTORY DEDICATIONS	\$112,523,758	\$0		\$112,523,758		
Workers' Compensation Second Injury Fund (LB1)	\$60,596,751	\$0		\$60,596,751		
Office of Workers' Compensation Administrative Fund (LB4)	\$17,042,169	\$0		\$17,042,169		
Subtotal of Dedications from Page 2	\$34,884,838	\$0		\$34,884,838		
FEDERAL	\$158,678,725	\$44,779,500		\$203,458,225		
TOTAL	\$291,342,568	\$44,779,500		\$336,122,068		
AUTHORIZED POSITIONS	910	0		910		
AUTHORIZED OTHER CHARGES	0	0		0		
NON-TO FTE POSITIONS	141	0		141		
TOTAL POSITIONS	1,051	0		1,051		
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
Office of the Secretary	\$4,497,648	26	\$0	0	\$4,497,648	26
Office of Management and Finance	\$23,317,400	71	\$0	0	\$23,317,400	71
Office of Information Systems	\$14,891,509	26	\$0	0	\$14,891,509	26
Office of Workforce Development	\$147,797,907	408	\$21,366,138	0	\$169,164,045	408
Office of Unemployment Insurance Administration	\$26,683,723	237	\$23,413,362	0	\$50,097,085	237
Office of Workers Compensation Administration	\$14,692,141	130	\$0	0	\$14,692,141	130
Office of the 2nd Injury Board	\$59,462,240	12	\$0	0	\$59,462,240	12
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2	\$0	0	\$0	0	\$0	0
TOTAL	\$291,342,568	910	\$44,779,500	0	\$336,122,068	910

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Louisiana Workforce Commission	FOR OPB USE ONLY	
AGENCY: Workforce Support and Training	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 14-474		
SUBMISSION DATE: March 31, 2021	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 474-3		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
Incumbent Worker Training Account (LB5)	\$25,821,283	\$0	\$25,821,283
Employment Security Administration Account (LB6)	\$4,000,000	\$0	\$4,000,000
Penalty and Interest Account (LB7)	\$4,516,158	\$0	\$4,516,158
Blind Vendors Trust Fund (S05)	\$547,397	\$0	\$547,397
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$34,884,838	\$0	\$34,884,838



Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?

The purpose of this ba-7 is to increase Federal Funds for the Unemployment Insurance Administration Program \$23,413,362 and the Office of Workforce Development Program \$21,366,138. This funding is derived from the Coronavirus Aid, Relief and Economic Security Act (Cares Act) which was signed into law on March 27, 2020, by President Donald Trump. The Louisiana Workforce Commission has received a total of \$104M in UI Cares funding. Of the total received, the Department is requesting to appropriate \$44,779,500 to be expended through the remainder of this fiscal year. This increase in Federal authority will allow the agency to continue to make payments on contracts, operating expenses and ensure funding is made available to the locals through the remainder of this fiscal year.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$44,779,500	\$0	\$0	\$0	\$0
TOTAL	\$44,779,500	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:

N/A

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.

This ba-7 cannot wait until next fiscal year because the Department is in jeopardy of expending all of its Federal authority before the end of the fiscal year. To date, the Department has expended \$136M of its \$158M Federal Funds budget (See attachment 1). Currently, the Department is expending \$14M monthly in Federal Funds.

5. Is this an after the fact BA-7, e.g., have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.

No, this is not an after the fact ba-7. This ba-7 increases Federal Funds in order for the Department to have sufficient budget to pay expenses for the remainder of this fiscal year.

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

Disapproval of this ba-7 will place the Department in jeopardy of not paying local entities their allocated federal amounts for the remainder of this fiscal year. The Department had to prioritize federal expenses as it relates to the Unemployment Insurance Program. The budget authority for the locals was used in order to make payments for contracted outside call centers due to high call volume and detection of fraud. The budget authority for the local entities needs to be replaced in order to make payments for the remainder of this fiscal year. Further, the Department needs to make payments under the Interagency Transfer category as bills have doubled due Covid related expenses.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. (Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. (For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)

Disapproval of this ba-7 will hinder the Department from making continuous payments to the locals for the remainder of this fiscal year. The Department had to prioritize budget authority in order to cover expenses as it related to the Unemployment Insurance Program. This budget authority will replace original funding used for the locals through the remainder of the fiscal year.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

N/A

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

Disapproval of this ba-7 will place the Department in jeopardy of not paying local entities their allocated federal amounts for the remainder of this fiscal year. The Department had to prioritize federal expenses as it related to the Unemployment Insurance Program. The budget authority for the locals was used to pay unemployment insurance contracted outside call center contracts and other expenses due to high call volume. Therefore, the budget authority for the local entities needs to be replaced in order to make payments for the remainder of this fiscal year.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT							
PROGRAM 1 NAME: <u>Louisiana Workforce Commission Total</u>							
MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS			
				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$10,645,933	\$0	\$10,645,933	\$0	\$0	\$0	\$0
Interagency Transfers	\$9,421,933	\$0	\$9,421,933	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$72,219	\$0	\$72,219	\$0	\$0	\$0	\$0
Statutory Dedications **	\$112,523,758	\$0	\$112,523,758	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$158,678,725	\$44,779,500	\$203,458,225	\$0	\$0	\$0	\$0
TOTAL MOF	\$291,342,568	\$44,779,500	\$336,122,068	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$48,242,732	\$0	\$48,242,732	\$0	\$0	\$0	\$0
Other Compensation	\$2,791,339	\$0	\$2,791,339	\$0	\$0	\$0	\$0
Related Benefits	\$34,384,689	\$0	\$34,384,689	\$0	\$0	\$0	\$0
Travel	\$940,165	\$0	\$940,165	\$0	\$0	\$0	\$0
Operating Services	\$14,224,713	\$0	\$14,224,713	\$0	\$0	\$0	\$0
Supplies	\$881,734	\$0	\$881,734	\$0	\$0	\$0	\$0
Professional Services	\$4,265,410	\$0	\$4,265,410	\$0	\$0	\$0	\$0
Other Charges	\$170,226,909	\$31,866,138	\$202,093,047	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$15,384,877	\$12,913,362	\$28,298,239	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$291,342,568	\$44,779,500	\$336,122,068	\$0	\$0	\$0	\$0
POSITIONS							
Classified	898	0	898	0	0	0	0
Unclassified	12	0	12	0	0	0	0
TOTAL T.O. POSITIONS	910	0	910	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	141	0	141	0	0	0	0
TOTAL POSITIONS	1,051	0	1,051	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$72,219	\$0	\$72,219	\$0	\$0	\$0	\$0
(Select Fund Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Fund Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Workers' Compensation Second Injury Fund (LB1)	\$60,596,751	\$0	\$60,596,751	\$0	\$0	\$0	\$0
Office of Workers' Compensation Administrative Fund (LB4)	\$17,042,169	\$0	\$17,042,169	\$0	\$0	\$0	\$0
Incumbent Worker Training Account (LB5)	\$25,821,283	\$0	\$25,821,283	\$0	\$0	\$0	\$0
Employment Security Administration Account (LB6)	\$4,000,000	\$0	\$4,000,000	\$0	\$0	\$0	\$0
Penalty and Interest Account (LB7)	\$4,516,158	\$0	\$4,516,158	\$0	\$0	\$0	\$0
Blind Vendors Trust Fund (S05)	\$547,397	\$0	\$547,397	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Louisiana Workforce Commission Total

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$44,779,500	\$44,779,500
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$31,866,138	\$31,866,138
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$12,913,362	\$12,913,362
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$44,779,500	\$44,779,500
OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of the Secretary

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$2,244,239	\$0	\$2,244,239	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$2,253,409	\$0	\$2,253,409	\$0	\$0	\$0	\$0
TOTAL MOF	\$4,497,648	\$0	\$4,497,648	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$2,100,683	\$0	\$2,100,683	\$0	\$0	\$0	\$0
Other Compensation	\$66,457	\$0	\$66,467	\$0	\$0	\$0	\$0
Related Benefits	\$1,085,100	\$0	\$1,085,100	\$0	\$0	\$0	\$0
Travel	\$74,435	\$0	\$74,435	\$0	\$0	\$0	\$0
Operating Services	\$151,059	\$0	\$151,059	\$0	\$0	\$0	\$0
Supplies	\$24,635	\$0	\$24,635	\$0	\$0	\$0	\$0
Professional Services	\$207,762	\$0	\$207,762	\$0	\$0	\$0	\$0
Other Charges	\$154,015	\$0	\$154,015	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$633,502	\$0	\$633,502	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$4,497,648	\$0	\$4,497,648	\$0	\$0	\$0	\$0
POSITIONS							
Classified	21	0	21	0	0	0	0
Unclassified	5	0	5	0	0	0	0
TOTAL T.O. POSITIONS	26	0	26	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	26	0	26	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Workers' Compensation Second Injury Fund (LB1)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Office of Workers' Compensation Administrative Fund (LB4)	\$523,796	\$0	\$623,796	\$0	\$0	\$0	\$0
Incumbent Worker Training Account (LB5)	\$152,268	\$0	\$162,268	\$0	\$0	\$0	\$0
Employment Security Administration Account (LB6)	\$435,964	\$0	\$435,964	\$0	\$0	\$0	\$0
Penalty and Interest Account (LB7)	\$1,132,211	\$0	\$1,132,211	\$0	\$0	\$0	\$0
Blind Vendors Trust Fund (S05)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of the Secretary

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0
OVER / (UNDER)						
	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of Management and Finance

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$4,122,724	\$0	\$4,122,724	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$2,177,518	\$0	\$2,177,518	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$17,017,158	\$0	\$17,017,168	\$0	\$0	\$0	\$0
TOTAL MOF	\$23,317,400	\$0	\$23,317,400	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$3,709,558	\$0	\$3,709,558	\$0	\$0	\$0	\$0
Other Compensation	\$307,149	\$0	\$307,149	\$0	\$0	\$0	\$0
Related Benefits	\$11,298,663	\$0	\$11,298,663	\$0	\$0	\$0	\$0
Travel	\$33,814	\$0	\$33,814	\$0	\$0	\$0	\$0
Operating Services	\$925,710	\$0	\$925,710	\$0	\$0	\$0	\$0
Supplies	\$130,411	\$0	\$130,411	\$0	\$0	\$0	\$0
Professional Services	\$81,450	\$0	\$81,450	\$0	\$0	\$0	\$0
Other Charges	\$4,402,148	\$0	\$4,402,148	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$2,428,497	\$0	\$2,428,497	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$23,317,400	\$0	\$23,317,400	\$0	\$0	\$0	\$0
POSITIONS							
Classified	70	0	70	0	0	0	0
Unclassified	1	0	1	0	0	0	0
TOTAL T.O. POSITIONS	71	0	71	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	3	0	3	0	0	0	0
TOTAL POSITIONS	74	0	74	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Fund Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Fund Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Workers' Compensation Second Injury Fund (LB1)	\$50,562	\$0	\$50,562	\$0	\$0	\$0	\$0
Office of Workers' Compensation Administrative Fund (LB4)	\$1,786,362	\$0	\$1,786,362	\$0	\$0	\$0	\$0
Incumbent Worker Training Account (LB5)	\$207,370	\$0	\$207,370	\$0	\$0	\$0	\$0
Employment Security Administration Account (LB6)	\$45,349	\$0	\$45,349	\$0	\$0	\$0	\$0
Penalty and Interest Account (LB7)	\$18,193	\$0	\$18,193	\$0	\$0	\$0	\$0
Blind Vendors Trust Fund (S05)	\$69,682	\$0	\$69,682	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of Management and Finance

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0
OVER / (UNDER)						
	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of Information Systems

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2026
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$1,713,985	\$0	\$1,713,985	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$13,177,524	\$0	\$13,177,524	\$0	\$0	\$0	\$0
TOTAL MOF	\$14,891,509	\$0	\$14,891,509	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$1,420,710	\$0	\$1,420,710	\$0	\$0	\$0	\$0
Other Compensation	\$69,531	\$0	\$69,531	\$0	\$0	\$0	\$0
Related Benefits	\$707,521	\$0	\$707,521	\$0	\$0	\$0	\$0
Travel	\$50,000	\$0	\$50,000	\$0	\$0	\$0	\$0
Operating Services	\$5,891,868	\$0	\$5,891,868	\$0	\$0	\$0	\$0
Supplies	\$42,000	\$0	\$42,000	\$0	\$0	\$0	\$0
Professional Services	\$173,528	\$0	\$173,528	\$0	\$0	\$0	\$0
Other Charges	\$206,877	\$0	\$206,877	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$6,329,474	\$0	\$6,329,474	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$14,891,509	\$0	\$14,891,509	\$0	\$0	\$0	\$0
POSITIONS							
Classified	25	0	25	0	0	0	0
Unclassified	1	0	1	0	0	0	0
TOTAL T.O. POSITIONS	26	0	26	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	26	0	26	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Workers' Compensation Second Injury Fund (LB1)	\$508,949	\$0	\$508,949	\$0	\$0	\$0	\$0
Office of Workers' Compensation Administrative Fund (LB4)	\$1,205,036	\$0	\$1,205,036	\$0	\$0	\$0	\$0
Incumbent Worker Training Account (LB5)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Employment Security Administration Account (LB6)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Penalty and Interest Account (LB7)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Blind Vendors Trust Fund (S05)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of Information Systems

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0
OVER / (UNDER)						
	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of Workforce Development

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$10,645,933	\$0	\$10,645,933	\$0	\$0	\$0	\$0
Interagency Transfers	\$5,299,209	\$0	\$5,299,209	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$72,219	\$0	\$72,219	\$0	\$0	\$0	\$0
Statutory Dedications **	\$28,999,927	\$0	\$28,999,927	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$102,780,619	\$21,366,138	\$124,146,757	\$0	\$0	\$0	\$0
TOTAL MOF	\$147,797,907	\$21,366,138	\$169,164,045	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$21,327,582	\$0	\$21,327,582	\$0	\$0	\$0	\$0
Other Compensation	\$1,005,582	\$0	\$1,005,582	\$0	\$0	\$0	\$0
Related Benefits	\$11,349,608	\$0	\$11,349,608	\$0	\$0	\$0	\$0
Travel	\$471,975	\$0	\$471,975	\$0	\$0	\$0	\$0
Operating Services	\$3,422,693	\$0	\$3,422,693	\$0	\$0	\$0	\$0
Supplies	\$351,948	\$0	\$351,948	\$0	\$0	\$0	\$0
Professional Services	\$310,877	\$0	\$310,877	\$0	\$0	\$0	\$0
Other Charges	\$105,565,511	\$21,366,138	\$126,931,649	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$3,992,131	\$0	\$3,992,131	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$147,797,907	\$21,366,138	\$169,164,045	\$0	\$0	\$0	\$0
POSITIONS							
Classified	406	0	406	0	0	0	0
Unclassified	2	0	2	0	0	0	0
TOTAL T.O. POSITIONS	408	0	408	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	63	0	63	0	0	0	0
TOTAL POSITIONS	471	0	471	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$72,219	\$0	\$72,219	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Workers' Compensation Second Injury Fund (LB1)	\$525,000	\$0	\$525,000	\$0	\$0	\$0	\$0
Office of Workers' Compensation Administrative Fund (LB4)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Incumbent Worker Training Account (LB5)	\$25,461,645	\$0	\$25,461,645	\$0	\$0	\$0	\$0
Employment Security Administration Account (LB6)	\$605,125	\$0	\$605,125	\$0	\$0	\$0	\$0
Penalty and Interest Account (LB7)	\$1,930,442	\$0	\$1,930,442	\$0	\$0	\$0	\$0
Blind Vendors Trust Fund (S05)	\$477,715	\$0	\$477,715	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of Workforce Development

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$21,366,138	\$21,366,138
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$21,366,138	\$21,366,138
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$21,366,138	\$21,366,138
OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of Unemployment Insurance Administration

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$4,348,874	\$0	\$4,348,874	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$22,334,849	\$23,413,362	\$45,748,211	\$0	\$0	\$0	\$0
TOTAL MOF	\$26,683,723	\$23,413,362	\$50,097,085	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$12,200,631	\$0	\$12,200,631	\$0	\$0	\$0	\$0
Other Compensation	\$1,111,630	\$0	\$1,111,630	\$0	\$0	\$0	\$0
Related Benefits	\$6,217,650	\$0	\$6,217,650	\$0	\$0	\$0	\$0
Travel	\$120,926	\$0	\$120,926	\$0	\$0	\$0	\$0
Operating Services	\$1,630,369	\$0	\$1,630,369	\$0	\$0	\$0	\$0
Supplies	\$178,317	\$0	\$178,317	\$0	\$0	\$0	\$0
Professional Services	\$2,161,341	\$0	\$2,161,341	\$0	\$0	\$0	\$0
Other Charges	\$1,459,249	\$10,500,000	\$11,959,249	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$1,603,610	\$12,913,362	\$14,516,972	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$26,683,723	\$23,413,362	\$50,097,085	\$0	\$0	\$0	\$0
POSITIONS							
Classified	236	0	236	0	0	0	0
Unclassified	1	0	1	0	0	0	0
TOTAL T.O. POSITIONS	237	0	237	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	70	0	70	0	0	0	0
TOTAL POSITIONS	307	0	307	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Workers' Compensation Second Injury Fund (LB1)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Office of Workers' Compensation Administrative Fund (LB4)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Incumbent Worker Training Account (LB5)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Employment Security Administration Account (LB6)	\$2,913,562	\$0	\$2,913,562	\$0	\$0	\$0	\$0
Penalty and Interest Account (LB7)	\$1,435,312	\$0	\$1,435,312	\$0	\$0	\$0	\$0
Blind Vendors Trust Fund (S05)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of Unemployment Insurance Administration

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$23,413,362	\$23,413,362
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$10,500,000	\$10,500,000
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$12,913,362	\$12,913,362
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$23,413,362	\$23,413,362
OVER / (UNDER)						
	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of Workers Compensation Administration

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$13,576,975	\$0	\$13,576,975	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$1,115,166	\$0	\$1,115,166	\$0	\$0	\$0	\$0
TOTAL MOF	\$14,692,141	\$0	\$14,692,141	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$6,871,780	\$0	\$6,871,780	\$0	\$0	\$0	\$0
Other Compensation	\$223,190	\$0	\$223,190	\$0	\$0	\$0	\$0
Related Benefits	\$3,401,543	\$0	\$3,401,543	\$0	\$0	\$0	\$0
Travel	\$178,387	\$0	\$178,387	\$0	\$0	\$0	\$0
Operating Services	\$2,184,079	\$0	\$2,184,079	\$0	\$0	\$0	\$0
Supplies	\$140,619	\$0	\$140,619	\$0	\$0	\$0	\$0
Professional Services	\$1,315,452	\$0	\$1,315,452	\$0	\$0	\$0	\$0
Other Charges	\$150,000	\$0	\$150,000	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$227,091	\$0	\$227,091	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$14,692,141	\$0	\$14,692,141	\$0	\$0	\$0	\$0
POSITIONS							
Classified	128	0	128	0	0	0	0
Unclassified	2	0	2	0	0	0	0
TOTAL T.O. POSITIONS	130	0	130	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	5	0	5	0	0	0	0
TOTAL POSITIONS	135	0	135	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Workers' Compensation Second Injury Fund (LB1)	\$50,000	\$0	\$50,000	\$0	\$0	\$0	\$0
Office of Workers' Compensation Administrative Fund (LB4)	\$13,526,975	\$0	\$13,526,975	\$0	\$0	\$0	\$0
Incumbent Worker Training Account (LB5)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Employment Security Administration Account (LB6)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Penalty and Interest Account (LB7)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Blind Vendors Trust Fund (S05)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of Workers Compensation Administration

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0
OVER / (UNDER)						
	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of the 2nd Injury Board

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$59,462,240	\$0	\$59,462,240	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL MOF	\$59,462,240	\$0	\$59,462,240	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$611,788	\$0	\$611,788	\$0	\$0	\$0	\$0
Other Compensation	\$7,800	\$0	\$7,800	\$0	\$0	\$0	\$0
Related Benefits	\$324,604	\$0	\$324,604	\$0	\$0	\$0	\$0
Travel	\$10,628	\$0	\$10,628	\$0	\$0	\$0	\$0
Operating Services	\$18,935	\$0	\$18,935	\$0	\$0	\$0	\$0
Supplies	\$13,804	\$0	\$13,804	\$0	\$0	\$0	\$0
Professional Services	\$15,000	\$0	\$15,000	\$0	\$0	\$0	\$0
Other Charges	\$58,289,109	\$0	\$58,289,109	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$170,572	\$0	\$170,572	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$59,462,240	\$0	\$59,462,240	\$0	\$0	\$0	\$0
POSITIONS							
Classified	12	0	12	0	0	0	0
Unclassified	0	0	0	0	0	0	0
TOTAL T.O. POSITIONS	12	0	12	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	12	0	12	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Workers' Compensation Second Injury Fund (LB1)	\$59,462,240	\$0	\$59,462,240	\$0	\$0	\$0	\$0
Office of Workers' Compensation Administrative Fund (LB4)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Incumbent Worker Training Account (LB5)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Employment Security Administration Account (LB6)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Penalty and Interest Account (LB7)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Blind Vendors Trust Fund (S05)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Office of the 2nd Injury Board

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0
OVER / (UNDER)						
	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

BA-7 QUESTIONNAIRE

(Provide answers on the Questionnaire Analysis Form; answer all questions applicable to the requested budget adjustment.)

GENERAL PURPOSE

The purpose of this ba-7 is to increase Federal Funds in the Louisiana Workforce Commission Office of Workforce Development Program (\$21,366,138) and the Office of Unemployment Insurance Program (\$23,413,362). These Federal Funds are derived from the Coronavirus Aid, Relief and Economic Security Act (Cares Act) which was signed into law by President Donald Trump on March 27, 2020. This legislation provided our Department with emergency assistance and health care response for certain individuals, families, and businesses affected by the COVID-19 pandemic. The legislation allocated to the LWC \$104,963,268 in federal authority which has not been appropriated in the agency's current budget. Of the \$104M allocated to the Department, this request will appropriate \$44,779,500 of the Federal Funds to be used to cover Covid-19 expenses above normal operations.

The Department could not anticipate any additional needs to these programs before the beginning of this fiscal year. It was unknown how long the pandemic would last when formulating budget needs for this fiscal year. Therefore, the Department made decisions on a monthly basis to pay unemployment insurance expenses using Federal Funds allocated for local expenses until such time additional budget authority was needed. The Department is paying out \$2.1M monthly on contracted outside call center contracts and \$500K monthly on the phone bill due to the high call volume. These call centers are assisting with the high call volume and adjudicating claims for fraud. Due to the Department shifting its priorities budget authority is needed for other programs in order to make it through the remainder of the fiscal year which are indicated below.

The Department has made every effort to analyze the current and future needs. This ba-7 represents amounts needed by program in order to pay pending and anticipated expenditure request from the locals and continuance of payments on the contracted outside Unemployment Insurance call center contracts. The Department does not anticipate the call volume to decrease before the end of the fiscal year.

REVENUES

Federal Funds \$44,779,500

These Federal Funds are derived from the Coronavirus Aid, Relief and Economic Security Act (Cares Act) which was signed into law by President Donald Trump on March 27, 2020. This legislation provided our Department with emergency assistance and health care response for certain individuals, families, and businesses affected by the COVID-19 pandemic. This funding was provided to the Louisiana Workforce Commission to pay for Unemployment Insurance related expenses due to Covid-19.

EXPENDITURES

Office of Workforce Development

Other Charges: 21,366,138

\$16,889,876 allocated for payments to the locals for the following:

\$3,817,025 – Adults -- The Adult program serves individuals who are not less than age 22 and helps employers meet their workforce needs. It enables workers to obtain good jobs by providing them with job search assistance and training opportunities.

\$1,166,836—Youth --The Workforce Innovation and Opportunity Act (WIOA) of 2014 enacted a comprehensive youth employment program for serving eligible youth, ages 14-24, who face barriers to education, training, and employment. In-School youth are individuals not younger than age 14 or older than age 21 who are attending school. Out-of-school youth are individuals not younger than 16 or older than age 24 who are not attending school.

\$1,198,362 – Dislocated Worker -- The Dislocated Worker program is designed to help workers get back to work as quickly as possible and overcome barriers to employment. When individuals become dislocated workers as a result of job loss, mass layoffs, global trade dynamics, or transitions in economic sectors, the Dislocated Worker program provides services to assist them in re-entering the workforce.

\$4,581,955—Community Services Block Grant (CSBG) --- provide funding for 42 community action agencies in rural and urban communities throughout the state to assist low-income individuals and families combat poverty related conditions.

\$4,101,960 – JAG – provides funding to help high school students of promise who have experienced challenging or traumatic life experiences achieve success through graduation.

\$6,500,000 –LRS payments

This funding will cover for rehab technology (wheelchairs, vehicle mods, prosthetics), college (books and room and board) Supported Employment (job coaching services), job placement services and services for Blind individuals (Orientation and Mobility including room/board, etc.). Pre-Employment Transition Services are also provided to students with disabilities. If no payments are being processed it impacts the LA Dept Health, Traumatic Head and Spinal Cord Injury Trust Fund. This LDH program pays for medical prescriptions, personal care services, Home Modifications, and Medical Services for individuals with significant head or spinal cord injuries.

Office of Unemployment Insurance Program

Other Charges: \$10,500,000

This funding will cover the five outside contracted call centers from February 2021- June 2021 payments. We are currently paying \$2.1M monthly to assist with the call volume and adjudication. These call centers located in the Baton Rouge area help with processing initial claims, checking for fraud and assist with handling the high call volume for the Unemployment Insurance Program.

Interagency Transfer total requested: \$12,913,362

\$3,448,096 -- OTS estimated phone bill from March 2021- June 2021

\$2,517,292 PSS – OTS cost for postage and printing

\$6,947,974-- OTS Proper cost for line of service, direct billed items, ID/Me software (which will assist with identifying fraud applicants) and past due invoices for December, January and February which have not been paid.

For informational purposes the following shows the OTS amounts above the Department's normal operational cost for OTS due to COVID-19. This amount is inclusive of the total \$12,913,362.

\$3,198,096 - OTM Services- phone bills doubled in cost due to COVID-19 for high Unemployment claims

\$2,017,292 - PSS Services - Postage due to COVID-19. Unemployment mail outs required

\$2,659,905 - Increase in IT services and software purchases related to COVID-19

\$7,875,293 - Total

Note: The Louisiana Workforce Commission spent \$2.6M last fiscal year on phone bills and to date the Department has spent \$4.2M in Federal Funds.

OTHER

1. Provide names, phone numbers and e-mail addresses of agency contacts that can provide further information on this item and will attend JLCB to testify.

Benny Soulier - 342-3001

Report Name: STATE OF LOUISIANA
 Report Date & Time: ZF11526 Operating Budget, Expenditure, Commitment, and Revenue Report
 As of Posting Date: 03/31/2021 11:16:45
 User & System ID: P00073141 PRD:300
 Page Number: 1

Bus Area	Appx Proc	Total Budget	Expend Total	Commit Total	Consumed Total	Total Avail	Parke
* 474	100	2,253,409.00	1,467,087.10	37,918.57	1,505,005.67	748,403.33	
* 474	200	1,115,166.00	614,290.95	10,324.54	624,615.49	490,550.51	
* 474	300	22,334,849.00	17,914,544.03	574,424.19	18,488,968.22	3,845,880.78	
* 474	400	102,780,619.00	95,667,578.00	4,938,262.57	100,605,840.57	2,174,778.43	
* 474	500	0.00	1,554.80	0.00	1,554.80	1,554.80	
* 474	700	17,017,158.00	10,368,893.01	316,502.02	10,685,395.03	6,331,762.97	
* 474	800	13,177,524.00	10,121,039.08	1,747,736.10	11,868,775.18	1,308,748.82	
** 474		158,678,725.00	136,154,986.97	7,625,167.99	143,780,154.96	14,898,570.04	
***		158,678,725.00	136,154,986.97	7,625,167.99	143,780,154.96	14,898,570.04	

End of Report - Operating Budget, Expenditure, Commitment, and Revenue Report

→ Total Federal Budget
 → Expanded to date
 → Department Encumbrances
 → Reports Expanded Encumbrances
 → Available Balance

Agency Federal Only

Attachment 1

Report Name: STATE OF LOUISIANA
 2F11526 Operating Budget, Expenditure, Commitment, and Revenue Report
 Report Date & Time: 03/31/2021 10:16:09
 as of Posting Date: 03/31/2021
 User & System ID: P00023495 PRD:300
 Page Number: 1

6WD

Account	2021	FY 2021	Commitment	Expenditure	Balance
* 2021 FEDERAL RECEIPTS	0.00	0.00	0.00	0.00	0.00
* 2021 INT EXPENSE	3,992,131.00	2,737,706.21	0.00	2,737,706.21	1,254,424.79
* 2021 OPERATING SERVICES	3,422,693.00	1,774,838.93	0.00	2,913,884.16	508,808.84
* 2021 OTHER CHARGES	105,565,511.00	86,492,553.06	1,139,045.23	94,595,559.25	10,969,951.75
* 2021 OTHER COMPENSATION	1,005,582.00	397,882.94	0.00	397,882.94	607,699.06
* 2021 PROFESSIONAL SERVICES	310,877.00	136,349.36	58,450.44	194,799.80	116,077.20
* 2021 RELATED BENEFITS	11,349,608.00	7,271,512.62	0.00	7,271,512.62	4,078,095.38
* 2021 SALARIES	21,327,582.00	14,739,280.38	0.00	14,739,280.38	6,588,301.62
* 2021 SUPPLIES	351,948.00	83,562.97	5,515.88	89,078.85	262,869.15
* 2021 TRAVEL	471,975.00	55,208.38	0.00	55,208.38	416,766.62
** 2021	147,797,907.00	113,688,894.87	9,306,017.72	122,994,912.59	24,802,994.41
***	147,797,907.00	113,688,894.87	9,306,017.72	122,994,912.59	24,802,994.41

End of Report - Operating Budget, Expenditure, Commitment, and Revenue Report

⇒ Budget
 ⇒ Expended
 ⇒ Expended + Encumbrances
 ⇒ Encumbrances
 ⇒ Balance

Office of Workforce Development

Report Name: STATE OF LOUISIANA
 2F11526 Operating Budget, Expenditure, Commitment, and Revenue Report
 Report Date & Time: 03/31/2021 10:13:03
 As of Posting Date: 03/31/2021
 User & System ID: P00023495 PRD:300
 Page Number: 1


		Com	Exp	Com	Exp	Com	Exp
* 2021	FEDERAL RECEIPTS	0.00	0.00	0.00	0.00	0.00	0.00
* 2021	INT EXPENSE	1,603,610.00	1,508,787.99	9,750.00	1,518,537.99	85,072.01	85,072.01
* 2021	OPERATING SERVICES	1,630,349.00	662,348.73	99,103.87	761,452.60	868,916.40	868,916.40
* 2021	OTHER CHARGES	1,459,249.00	1,200,230.69	166,346.95	1,366,577.64	92,671.36	92,671.36
* 2021	OTHER COMPENSATION	1,111,630.00	1,275,977.65	0.00	1,275,977.65	164,347.65	164,347.65
* 2021	PROFESSIONAL SERVICES	2,161,341.00	1,805,139.70	305,922.22	2,111,061.92	50,279.08	50,279.08
* 2021	RELATED BENEFITS	6,217,650.00	3,867,392.53	0.00	3,867,392.53	2,350,257.47	2,350,257.47
* 2021	SALARIES	12,200,631.00	7,646,133.86	0.00	7,646,133.86	4,554,497.14	4,554,497.14
* 2021	SUPPLIES	178,317.00	14,191.25	0.00	14,191.25	164,125.75	164,125.75
* 2021	TRAVEL	120,926.00	15,593.22	0.00	15,593.22	105,332.78	105,332.78
** 2021		26,683,723.00	17,995,795.62	581,123.04	18,576,918.66	8,106,804.34	8,106,804.34
***		26,683,723.00	17,995,795.62	581,123.04	18,576,918.66	8,106,804.34	8,106,804.34

End of Report - Operating Budget, Expenditure, Commitment, and Revenue Report

→ Budget
 → Expended
 → Incumbances
 → Expended + Incumbances
 → Balances

Office of Unemployment Insurance

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Ancillary		FOR OPB USE ONLY				
AGENCY: Office of Technology Services		OPB LOG NUMBER 193		AGENDA NUMBER 46		
SCHEDULE NUMBER: 21-815		Approval and Authority:				
SUBMISSION DATE: 3/31/2021						
AGENCY BA-7 NUMBER: 4 - LWC						
HEAD OF BUDGET UNIT: Richard "Dickie" Howze						
TITLE: State Chief Information Officer						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)		REVISED FY 2020-2021		
GENERAL FUND BY:						
DIRECT	\$0	\$0		\$0		
INTERAGENCY TRANSFERS	\$447,055,949	\$7,875,293		\$454,931,242		
FEES & SELF-GENERATED	\$1,518,473	\$0		\$1,518,473		
Regular Fees & Self-generated	\$1,518,473	\$0		\$1,518,473		
Subtotal of Fund Accounts from Page 2	\$0	\$0		\$0		
STATUTORY DEDICATIONS	\$0	\$0		\$0		
[Select Statutory Dedication]	\$0	\$0		\$0		
[Select Statutory Dedication]	\$0	\$0		\$0		
Subtotal of Dedications from Page 2	\$0	\$0		\$0		
FEDERAL	\$0	\$0		\$0		
TOTAL	\$448,574,422	\$7,875,293		\$456,449,715		
AUTHORIZED POSITIONS	828	0		828		
AUTHORIZED OTHER CHARGES	9	0		9		
NON-TO FTE POSITIONS	0	0		0		
TOTAL POSITIONS	837	0		837		
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
Technology Services	\$448,574,422	837	\$7,875,293	0	\$456,449,715	837
Program 2	\$0	0	\$0	0	\$0	0
Program 3	\$0	0	\$0	0	\$0	0
Program 4	\$0	0	\$0	0	\$0	0
Program 5	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$448,574,422	837	\$7,875,293	0	\$456,449,715	837

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Ancillary	FOR OPB USE ONLY	
AGENCY: Office of Technology Services	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 21-815		
SUBMISSION DATE: 3/31/2021	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 4 - LWC		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0



Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?
 This BA-7 increases the appropriation out of Interagency Transfers by \$7,875,293 to be received from Louisiana Workforce Commission (LWC) for information technology support. See BA-7 Questionnaire for further explanation.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$7,875,293	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	\$7,875,293	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:
 Not applicable

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.
 If this BA-7 is not approved, OTS will not have sufficient budget authority to pay for current information technology support to the Louisiana Workforce Commission.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.
 Not applicable

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

OTS will have sufficient budget authority to continue providing information technology services to the Louisiana Workforce Commission with approval of this BA-7.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. *(Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)*

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. *(For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)*

While there are no direct impacts to agency performance indicators, this BA-7 will allow OTS to continue providing information technology services to the Louisiana Workforce Commission.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

Not Applicable

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

Failure to approve this BA-7 will result in the Louisiana Workforce Commission not receiving the information technology services they require.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Technology Services

MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS			
				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$447,055,949	\$7,875,293	\$454,931,242	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$1,518,473	\$0	\$1,518,473	\$0	\$0	\$0	\$0
Statutory Dedications **	\$0	\$0	\$0	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL MOF	\$448,574,422	\$7,875,293	\$456,449,715	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$59,521,802	\$0	\$59,521,802	\$0	\$0	\$0	\$0
Other Compensation	\$1,274,865	\$0	\$1,274,865	\$0	\$0	\$0	\$0
Related Benefits	\$31,858,232	\$0	\$31,858,232	\$0	\$0	\$0	\$0
Travel	\$262,152	\$0	\$262,152	\$0	\$0	\$0	\$0
Operating Services	\$154,064,358	\$2,659,905	\$156,724,263	\$0	\$0	\$0	\$0
Supplies	\$9,794,095	\$2,017,292	\$11,811,387	\$0	\$0	\$0	\$0
Professional Services	\$118,006,485	\$0	\$118,006,485	\$0	\$0	\$0	\$0
Other Charges	\$30,645,409	\$3,198,096	\$33,843,505	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$23,546,485	\$0	\$23,546,485	\$0	\$0	\$0	\$0
Acquisitions	\$19,600,539	\$0	\$19,600,539	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$448,574,422	\$7,875,293	\$456,449,715	\$0	\$0	\$0	\$0
POSITIONS							
Classified	827	0	827	0	0	0	0
Unclassified	1	0	1	0	0	0	0
TOTAL T.O. POSITIONS	828	0	828	0	0	0	0
Other Charges Positions	9	0	9	5	5	5	5
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	837	0	837	5	5	5	5
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$1,518,473	\$0	\$1,518,473	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Technology Services

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$7,875,293	\$0	\$0	\$0	\$7,875,293
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$2,659,905	\$0	\$0	\$0	\$2,659,905
Supplies	\$0	\$2,017,292	\$0	\$0	\$0	\$2,017,292
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$3,198,096	\$0	\$0	\$0	\$3,198,096
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$7,875,293	\$0	\$0	\$0	\$7,875,293
OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

BA-7 QUESTIONNAIRE

(Please reference question numbers, provide detailed information and use continuation sheets as needed.)

GENERAL PURPOSE

This BA-7 increases the appropriation out of Interagency Transfers by \$7,875,293 to be received from Louisiana Workforce Commission (LWC) for information technology support.

REVENUES

\$7,875,293 Interagency Transfer Revenue received from various state agencies for information technology support.
R.S. 39:15.3, R.S. 39:245

EXPENDITURES

\$3,198,096 Other Charges - This authority will provide support for increasing Phone bills. Phone bills doubled in cost throughout the COVID pandemic at agency Unemployment Call Centers.

\$2,017,292 Supplies - This authority will provide support for increasing Postage cost. Postage increased throughout the COVID pandemic due to Unemployment Mail out notices required.

\$2,659,905 Operating Services - This authority will provide support for increase in Information Technology Services and Software purchases related to COVID.

\$7,875,293 Total Expenditures

OTHER

Budget Contact Name: Kerri Traxler


Title: Director of Budget Services, Office of Finance and Support

Email: Kerri.Traxler@la.gov

Phone Number: 225-342-5943

BA-7 SUPPORT INFORMATION

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Executive Department		FOR OPB USE ONLY					
AGENCY: GOHSEP		OPB LOG NUMBER 195			AGENDA NUMBER 5		
SCHEDULE NUMBER: 01-111		Approval and Authority:					
SUBMISSION DATE: April 6, 2021							
AGENCY BA-7 NUMBER: 15-111-06							
HEAD OF BUDGET UNIT: James Waskom							
TITLE: Director							
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 							
MEANS OF FINANCING		CURRENT FY 2020-2021		ADJUSTMENT (+) or (-)		REVISED FY 2020-2021	
GENERAL FUND BY:							
DIRECT	\$3,585,678			\$3,585,678			
INTERAGENCY TRANSFERS	\$2,008,365			\$2,008,365			
FEES & SELF-GENERATED	\$250,085						
STATUTORY DEDICATIONS	\$443,852,556			\$443,852,556			
Subtotal of Dedications from Page 2	\$443,852,556			\$443,852,556			
FEDERAL	\$1,571,550,043	\$222,239,562		\$1,793,789,605			
TOTAL	\$2,021,246,727	\$222,239,562		\$2,243,486,289			
AUTHORIZED POSITIONS	56			56			
AUTHORIZED OTHER CHARGES	232			232			
NON-TO FTE POSITIONS							
TOTAL POSITIONS	288			288			
PROGRAM EXPENDITURES		DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:							
100 - Administrative	\$2,021,246,727	56	\$222,239,562		\$2,243,486,289	56	
Other Charges Positions		232				232	
Subtotal of programs from Page 2:							
TOTAL	\$2,021,246,727	288	\$222,239,562		\$2,243,486,289	288	

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Executive Department	FOR OPB USE ONLY	
AGENCY: GOHSEP	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 01-111		
SUBMISSION DATE: April 6, 2021	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 15-111-06		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]			
[Select Fund Account]			
SUBTOTAL (to Page 1)			
STATUTORY DEDICATIONS			
State Emergency Response Fund (V29)	\$11,201,246		\$11,201,246
Coronavirus Local Recovery Allocation Fund (V39)	\$432,651,310		\$432,651,310
[Select Statutory Dedication]			
[Select Statutory Dedication]			
[Select Statutory Dedication]			
[Select Statutory Dedication]			
SUBTOTAL (to Page 1)	\$443,852,556		\$443,852,556

--

Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
SUBTOTAL (to Page 1)						

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?

The source of funding for this request is Federal Funds.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT					
INTERAGENCY TRANSFERS					
FEES & SELF-GENERATED					
STATUTORY DEDICATIONS					
FEDERAL	\$222,239,562				
TOTAL	\$222,239,562				

3. If this action requires additional personnel, provide a detailed explanation below:

Not Applicable

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.

This request is necessary in order for the agency to have necessary budget authority to complete the fiscal year.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.

This BA-7 is not after the fact.

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

GOHSEP requires additional Federal budget authority due to the increase in cost share from 75% to 100% for COVID-19.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. *(Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)*

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. *(For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)*

Not applicable

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

This request is necessary in order for the agency to have necessary budget authority to complete the fiscal year.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

Not applicable

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: ADMINISTRATIVE

ADJUSTMENT OUTYEAR PROJECTIONS				
	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
MEANS OF FINANCING:				
GENERAL FUND BY:				
Direct	\$3,585,678		\$3,585,678	
Interagency Transfers	\$2,008,365		\$2,008,365	
Fees & Self-Generated *	\$250,085		\$250,085	
Statutory Dedications **	\$443,852,556		\$443,852,556	
FEDERAL FUNDS	\$1,240,550,043	\$222,239,562	\$1,462,789,605	
TOTAL MOF	\$1,690,246,727	\$222,239,562	\$1,912,486,289	
EXPENDITURES:				
Salaries	\$4,144,938		\$4,144,938	
Other Compensation				
Related Benefits	\$1,995,394		\$1,995,394	
Travel	\$5,000		\$5,000	
Operating Services				
Supplies	\$199,430		\$199,430	
Professional Services				
Other Charges	\$1,677,925,802	\$222,239,562	\$1,900,165,364	
Debt Services				
Interagency Transfers	\$5,976,163		\$5,976,163	
Acquisitions				
Major Repairs				
UNALLOTTED				
TOTAL EXPENDITURES	\$1,690,246,727	\$222,239,562	\$1,912,486,289	
POSITIONS				
Classified				
Unclassified	56		56	
TOTAL T.O. POSITIONS	56		56	
Other Charges Positions	232		232	
Non-TO FTE Positions				
TOTAL POSITIONS	288		288	
*Dedicated Fund Accounts:				
Reg. Fees & Self-generated				
[Select Fund Account]				
[Select Fund Account]				
**Statutory Dedications:				
State Emergency Response Fund (V29)	\$11,201,246		\$11,201,246	
Coronavirus Local Recovery Allocation Fund (V39)	\$432,651,310		\$432,651,310	
[Select Statutory Dedication]				

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: ADMINISTRATIVE

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT					\$222,239,562	\$222,239,562

EXPENDITURES:						
Salaries						
Other Compensation						
Related Benefits						
Travel						
Operating Services						
Supplies						
Professional Services						
Other Charges					\$222,239,562	\$222,239,562
Debt Services						
Interagency Transfers						
Acquisitions						
Major Repairs						
UNALLOTTED						
TOTAL EXPENDITURES					\$222,239,562	\$222,239,562

OVER / (UNDER)						
-----------------------	--	--	--	--	--	--

POSITIONS						
Classified						
Unclassified						
TOTAL T.O. POSITIONS						
Other Charges Positions						
Non-TO FTE Positions						
TOTAL POSITIONS						

BA-7 QUESTIONNAIRE

GENERAL PURPOSE

1. The general purpose of BA-7 #15-111-06 is to increase Federal pass through budget authority for GOHSEP. This increase is necessary due to the increase in cost share from 75% to 100% for COVID-19.

REVENUES

- 5 & 7. The revenues associated with this request are Federal funds. GOHSEP is currently budgeted ~~\$1,240,550,043~~ ^{\$1,571,550,043} in Federal funds. Approval of this BA-7 will increase Federal budget authority to \$1,793,789,605.

EXPENDITURES

9. The Other Charges expenditure category will be adjusted as a result of this BA-7.

11.

Object/GL	Description	Amount	MOF
3646/5610003	Other Charges - Other Public Assistance & Grants	\$222,239,562	Federal
TOTAL		\$222,239,562	

OTHER

12. Christina Dayries
Assistant Deputy Director, Grants and Administration
225.358.5899
Christina.Dayries@la.gov

Chad Felterman
Budget Director
225.925.1873
Chad.Felتمان@la.gov



Christopher A. Keaton
Legislative Fiscal Officer

STATE OF LOUISIANA
Legislative Fiscal Office
BATON ROUGE

Post Office Box 44097
Capitol Station
Baton Rouge, Louisiana 70804
Phone: 225.342.7233
Fax: 225.342.7243

To: The Honorable Mack "Bodi" White, Chairman
Joint Legislative Committee on the Budget
The Honorable Members of the Joint Legislative Committee on the Budget

From: Christopher A. Keaton, Legislative Fiscal Officer *CAK*
Alan Boxberger, LFO Staff Director *AMB*

Date: April 16, 2021

Subject: Joint Legislative Committee on the Budget
Meeting April 21, 2021

Attached are the Legislative Fiscal Office BA-7 (Budget Adjustment) write-ups for the April 21st meeting of the Joint Legislative Committee on the Budget.

The LFO recommends approval of all BA-7's.

Please contact me if you have questions or need additional information.

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

DEPARTMENT: Executive

AGENDA NO.: 1

AGENCY: Homeland Security & Emergency Prep

ANALYST: Monique Appeaning

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Administrative	\$74,000,000	0
Interagency Transfers:	\$0			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$0			
Federal Funds:	\$74,000,000			
Total	\$74,000,000	Total	\$74,000,000	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to increase Federal budget authority by \$74 M within the Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP) for the remaining non-appropriated balance associated with the Emergency Rental Assistance Program Grant (ERAPG). The total award is \$148 M, including a BA-7 approved in February by the JLCB.

Background Information Regarding the ERAPG from the U.S. Department of Treasury

The Emergency Rental Assistance program makes available \$25 B to assist households unable to pay rent and utilities due to the COVID-19 pandemic. The funds are provided directly to States, U.S. Territories, local governments, and Indian tribes. Grantees use the funds to assist eligible households through existing or newly created rental assistance programs.

Louisiana has received an ERAPG award of \$248.7 M. This program anticipates expenditures of \$148 M in FY 21 and \$101 M in FY 22. There is no cost-sharing or matching funds required for state or local entities. The total allocation to Louisiana, including eligible local governments, is \$308,042,377. Specific eligible local governments received direct appropriations from the federal government based on the federal guidelines that these eligible units of local governments had a population of more than 200,000 residents in 2019 according to the U.S. Census Bureau.

Approval Background

On February 26, 2021, the Joint Legislative Committee on the Budget (JLCB) approved \$74 M of the original request of \$148 M and applied a cap of 5% for administrative expenses. The Division of Administration (DOA), GOHSEP, and the Louisiana Housing Corporation (LHC) were required to provide a detailed budget including the allocation for the 57 parishes within 30 days and provide a monthly update to the committee on this program's activities. On March 19, 2021, JLCB increased the administrative cap to 7.2%.

Status of the Program

The information on the following page represents the status of 57 Parishes as of April 8, 2021. The Legislative Fiscal Office (LFO) requested additional information regarding the remaining 7 Parishes with population greater than 200,000, but has not received an update since March 26, 2021. The information below represents information provided on that date.

Application Referred to Local Programs (as of March 26, 2021)

<i>Parish</i>	<i>Applications</i>	<i>Program Status</i>
Caddo	1,003	Under development, scheduled to launch April 1
Calcasieu	357	Launched March 15
East Baton Rouge	2,354	Launched March 16
Jefferson	865	Launched March 1
Lafayette	625	Under development
Orleans	1,375	Launched February 15
St. Tammany	<u>400</u>	Under development
Total	6,979	

LHC Program Progress Report as of 4/8/2021

April 21, 2021

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

<i>Parish Name</i>	<i>Population</i>	<i>Rental Assistance Allocation</i>	<i>Applications</i>	<i>Approved for Payment</i>	<i>%</i>	<i>Total Approved Disbursed</i>
Acadia Parish	62,045	\$3,700,151.13	200	\$6,000.00	0.16%	-
Allen Parish	25,627	\$1,528,306.44	45	-	-	-
Ascension Parish	126,604	\$7,550,228.59	463	\$22,690.40	0.30%	\$6,500
Assumption Parish	21,891	\$1,305,504.20	51	\$10,500.00	0.80%	\$10,500
Avoyelles Parish	40,144	\$2,394,050.56	103	\$1,800.00	0.08%	-
Beauregard Parish	37,497	\$2,236,192.55	40	-	-	-
Bienville Parish	13,241	\$789,647.85	25	-	-	-
Bossier Parish	127,039	\$7,576,170.50	442	\$16,680.00	0.22%	\$5,100
Caldwell Parish	9,918	\$591,475.52	19	-	-	-
Cameron Parish	6,973	\$415,845.82	3	-	-	-
Catahoula Parish	9,494	\$566,189.62	7	-	-	-
Claiborne Parish	15,670	\$934,505.09	30	-	-	-
Concordia Parish	19,259	\$1,148,540.75	30	-	-	-
De Soto Parish	27,463	\$1,637,799.18	44	-	-	-
East Carroll Parish	6,861	\$ 409,166.52	6	-	-	-
East Feliciana Parish	19,135	\$1,141,145.81	27	-	-	-
Evangeline Parish	33,395	\$1,991,563.33	124	-	-	-
Franklin Parish	20,015	\$1,193,625.99	72	\$4,500.00	0.38%	-
Grant Parish	22,389	\$1,335,203.22	37	-	-	-
Iberia Parish	69,830	\$4,164,421.84	401	\$13,500.00	0.32%	-
Iberville Parish	32,511	\$1,938,844.60	144	\$7,200.00	0.37%	\$7,200
Jackson Parish	15,744	\$938,918.19	33	-	-	-
Jefferson Davis Parish	31,594	\$1,870,680.00	0	-	-	-
Lafourche Parish	97,614	\$5,821,364.36	270	-	-	-
La Salle Parish	14,892	\$888,107.83	7	-	-	-
Lincoln Parish	46,742	\$2,787,532.66	230	\$2,235.00	0.08%	-
Livingston Parish	140,789	\$8,396,173.37	464	\$4,250.00	0.05%	-
Madison Parish	10,951	\$653,080.10	61	-	-	-
Morehouse Parish	24,874	\$1,483,400.10	100	-	-	-
Natchitoches Parish	38,158	\$2,275,612.32	124	-	-	-
Ouachita Parish	153,279	\$9,141,034.16	1,146	\$43,815.00	0.48%	\$15,050
Plaquemines Parish	23,197	\$1,383,389.57	56	-	-	-
Pointe Coupee Parish	21,730	\$1,295,902.72	95	\$72,095.00	5.56%	\$60,845
Rapides Parish	129,648	\$7,731,762.32	641	-	-	-
Red River Parish	8,442	\$503,451.94	11	-	-	-
Richland Parish	20,122	\$1,200,007.11	53	-	-	-
Sabine Parish	23,884	\$1,424,359.89	31	-	-	-
St. Bernard Parish	47,244	\$2,817,470.22	379	\$26,750.00	0.95%	-
St. Charles Parish	53,100	\$3,166,701.99	151	\$31,300.00	0.99%	-
St. Helena Parish	10,132	\$604,237.75	34	\$20,475.00	3.39%	-
St. James Parish	21,096	\$1,258,093.13	43	\$3,125.00	0.25%	\$3,125
St. John the Baptist Parish	42,837	\$ 2,554,651.85	294	\$16,500.00	0.65%	-
St. Landry Parish	82,124	\$ 4,897,593.86	338	\$10,323.00	0.21%	\$6,763
St. Martin Parish	53,431	\$3,186,441.69	156	-	-	-
St. Mary Parish	49,348	\$2,942,945.57	215	-	-	-
Tangipahoa Parish	134,758	\$8,036,505.20	931	\$33,300.20	0.41%	-
Tensas Parish	4,334	\$258,464.90	4	-	-	-
Terrebonne Parish	110,461	\$6,587,515.41	474	\$33,215.00	0.50%	\$3,750
Union Parish	22,108	\$1,318,445.34	35	-	-	-
Vermilion Parish	59,511	\$3,549,032.05	145	-	-	-
Vernon Parish	47,429	\$2,828,502.99	82	-	-	-
Washington Parish	10,830	\$645,864.08	161	\$5,650.00	0.87%	-
Webster Parish	15,568	\$928,422.16	126	\$4,590.00	0.49%	4,590
West Baton Rouge Parish	46,194	\$2,754,851.82	117	\$27,462.00	1.00%	-
West Carroll Parish	38,340	\$2,286,466.18	26	-	-	-
West Feliciana Parish	26,465	\$1,578,281.88	24	-	-	-
Winn Parish	13,904	\$ 829,186.90	9	-	-	-
Total	2,437,875	\$145,373,030.72	9,379	\$417,955.60		\$123,423

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years. However, this grant extends into FY 22 and to the

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

extent funds are not disbursed in FY 21 that are part of the \$148 M, additional federal budget authority may be needed in FY 22.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

DEPARTMENT: Culture, Recreation & Tourism

AGENDA NO.: 2

AGENCY: State Library

ANALYST: Willie Marie Scott

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Library Services	\$3,012,150	0
Interagency Transfers:	\$0			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$0			
Federal Funds:	\$3,012,150			
Total	\$3,012,150	Total	\$3,012,150	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to provide one-time federal funding via the American Rescue Plan (ARP) Act from the U.S. Institute of Museum & Library Services (IMLS). The grant will enable local libraries to reach residents with internet hotspots, accessible Wi-Fi, and other digital inclusion efforts supporting education, health, and workforce development needs. The ARP funds will end on September 30, 2022; and there are no match requirements or maintenance of effort (MOE) provisions.

The Office of State Library will receive \$3,012,150, which will provide funding ranging from \$2,621 to \$94,130 for 67 local libraries. Each parish will receive a total of \$47,064.84. Listed below are the award amounts and activities to be conducted under the terms of the grant:

<u>Name</u>	<u>Parish</u>	<u>ARP Distribution</u>	<u>Purpose</u>
Acadia Parish Library	Acadia	\$47,064.84	E-resources (e-books, e-magazines, audios, etc.)
Allen Parish Libraries	Allen	\$47,064.84	Laptops
Ascension Parish Library	Ascension	\$47,064.84	Expand network broadband capacity
Assumption Parish Library	Assumption	\$47,064.84	Expand wireless network
Avoyelles Parish Library	Avoyelles	\$47,064.84	Laptops
Beauregard Parish Library	Beauregard	\$47,064.84	Laptops and Wi-Fi hotspots
Bienville Parish Library	Bienville	\$47,064.84	Laptops
Bossier Parish Library	Bossier	\$47,064.84	Copying, printing, faxing, scanning stations
Shreve Memorial Library	Caddo	\$47,064.84	E-resources (e-books, e-magazines, audios, etc.), expand network capacity
Calcasieu Parish Library	Calcasieu	\$47,064.84	Expand wireless network
Caldwell Parish Library	Caldwell	\$47,064.84	Laptops
Cameron Parish Library	Cameron	\$47,064.84	Expand wireless network
Catahoula Parish Library	Catahoula	\$47,064.84	E-resources (e-books, e-magazines, audios, etc.)
Claiborne Parish Library	Claiborne	\$47,064.84	Expand wireless network
Concordia Parish Library	Concordia	\$47,064.84	Wi-Fi hotspots
DeSoto Parish Library	DeSoto	\$47,064.84	Virtual programming equipment and software
East Baton Rouge Parish Library	East Baton Rouge	\$47,064.84	Expand wireless network
East Carroll Parish Library	East Carroll	\$47,064.84	Laptops and Wi-Fi hotspots
Audubon Regional Library	East Feliciana/ St. Helena	\$94,129.69	Expand wireless network/e-resources collection
Evangeline Parish Library	Evangeline	\$47,064.84	Laptops
Franklin Parish Library	Franklin	\$47,064.84	Expand wireless network
Grant Parish Library	Grant	\$47,064.84	Wi-Fi hotspots
Iberia Parish Library	Iberia	\$47,064.84	Expand wireless network
Iberville Parish Library	Iberville	\$47,064.84	Laptops
Jackson Parish Library	Jackson	\$47,064.84	Wi-Fi hotspots
Jefferson Parish Library	Jefferson	\$47,064.84	Laptops and Wi-Fi hotspots
Jefferson Davis Parish Library	Jefferson Davis	\$32,150.77	Laptops
Jennings Carnegie Public Library	Jefferson Davis	\$14,914.07	Laptops
Lafayette Public Library	Lafayette	\$47,064.84	E-resources (e-books, e-magazines, audios, etc.)
Lafourche Parish Library	Lafourche	\$47,064.84	Laptops and Wi-Fi hotspots
LaSalle Parish Library	LaSalle	\$47,064.84	Laptops
Lincoln Parish Library	Lincoln	\$47,064.84	Wi-Fi hotspots

April 21, 2021

LEGISLATIVE FISCAL OFFICE ANALYSIS OF BA-7 REQUEST

Livingston Parish Library	Livingston	\$47,064.84	E-resources (e-books, e-magazines, audios, etc.)
Madison Parish Library	Madison	\$47,064.84	Laptops and Wi-Fi hotspots
Morehouse Parish Library	Morehouse	\$47,064.84	Laptops
Natchitoches Parish Library	Natchitoches	\$47,064.84	Laptops
New Orleans Public Library	Orleans	\$47,064.84	Laptops
Ouachita Parish Library	Ouachita	\$47,064.84	Wi-Fi hotspots
Plaquemines Parish Library	Plaquemines	\$47,064.84	Expand wireless network
Pointe Coupee Parish Library	Pointe Coupee	\$47,064.84	E-resources (e-books, e-magazines, audios, etc.)
Rapides Parish Library	Rapides	\$47,064.84	Wi-Fi hotspots
Red River Parish Library	Red River	\$47,064.84	Expand network broadband capacity
Richland Parish Library	Richland	\$47,064.84	Wi-Fi hotspots
Sabine Parish Library	Sabine	\$47,064.84	Laptops and Wi-Fi hotspots
St. Bernard Parish Library	St. Bernard	\$47,064.84	Virtual programming equipment and software
St. Charles Parish Library	St. Charles	\$47,064.84	Wi-Fi hotspots
St. James Parish Library	St. James	\$47,064.84	Expand wireless network
St. John the Baptist Parish Library	St. John the Baptist	\$47,064.84	Wi-Fi hotspots
Opelousas Public Library	St. Landry	\$35,022.27	Virtual programming equipment and software
South St. Landry Comm. Library	St. Landry	\$9,421.45	Expand wireless network
Krotz Springs Municipal Library	St. Landry	\$2,621.35	Laptops
St. Martin Parish Library	St. Martin	\$47,064.84	Laptops and expand wireless network
Morgan City Public Library	St. Mary	\$10,412.86	E-resources (e-books, e-magazines, audios, etc.)
St. Mary Parish Library	St. Mary	\$36,651.98	Wi-Fi hotspots
St. Tammany Parish Library	St. Tammany	\$47,064.84	Expand wireless network
Tangipahoa Parish Library	Tangipahoa	\$47,064.84	Wi-Fi hotspots
Tensas Parish Library	Tensas	\$47,064.84	Wi-Fi hotspots
Terrebonne Parish Library	Terrebonne	\$47,064.84	Wi-Fi hotspots
Union Parish Library	Union	\$47,064.84	Ipads
Vermilion Parish Library	Vermilion	\$47,064.84	Laptops
Vernon Parish Library	Vernon	\$47,064.84	Laptops and Wi-Fi hotspots
Washington Parish Library	Washington	\$47,064.84	Expand wireless network
Webster Parish Library	Webster	\$47,064.84	Wi-Fi hotspots
West Baton Rouge Parish Library	West Baton Rouge	\$47,064.84	Wi-Fi hotspots
West Carroll Parish Library	West Carroll	\$47,064.84	Laptops
West Feliciana Parish Library	West Feliciana	\$47,064.84	E-resources (e-books, e-magazines, audios, etc.)
Winn Parish Library	Winn	\$47,064.84	E-resources (e-books, e-magazines, audios, etc.), expand network capacity
Total		\$3,012,150	

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years. This is a one-time federal grant award allotment that is anticipated to be expended by the Department of Culture, Recreation & Tourism in FY 21.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

DEPARTMENT: Health

AGENDA NO.: 3

AGENCY: Medical Vendor Payments

ANALYST: Shawn Hotstream

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Private Providers	(\$369,283,036)	0
Interagency Transfers:	\$0	Public Providers	\$0	0
Self-Generated Revenue:	\$0	Medicare Buy-Ins & Supplements	\$0	0
Statutory Dedications:	\$0	Uncompensated Care Costs	\$369,283,036	0
Federal Funds:	\$0			
Total	\$0	Total	\$0	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 is to transfer \$369.3 M in budget authority from the Payments to Private Providers program to the Uncompensated Care Costs program to allow the Louisiana Department of Health to make additional Disproportionate Share Hospital (DSH) payments to providers in FY 21. This budget adjustment is being requested as LDH's plan to make self directed payments through the Money Follows the Patient program is not currently approved by CMS.

The FY 21 Medicaid budget originally included \$2.3 Billion in overall budget authority for a self-directed supplemental payment program (Money Follows the Patient). Approximately \$714 M in budget authority from the Uncompensated Care Costs program was transferred to the Private Providers program for the Money Follows the Patient (MFP) program. The MFP program has yet to receive approval from the Centers for Medicare & Medicaid (CMS). As a result, the department is requesting to re-direct \$369.3 M in budget authority for the MFP program back to the UCC program to use for DSH payments to various hospital providers. The \$369.3 M requested to be transferred in this BA-7, in addition to \$345.3 M transferred in a February BA-7, represents total budget originally transferred to the private providers program. The illustration below reflects initial UCC budget authority reflected in the FY 21 budget, in addition to budget authority requested through BA-7's. If approved, the UCC program is budgeted approximately \$1.14 B for FY 21 DSH payments.

\$427.0 M FY 21 UCC program budget
 \$345.3 M Transferred through February BA-7
\$369.3 M Additional requested DSH budget authority
\$1.142 B Total UCC program budget authority requested

The Legislative Fiscal Office has requested a provider specific allocation of total DSH funding (including the \$369.3 M reflected in this BA-7) Reflected below is a list of estimated DSH payment allocations by provider for FY 21.

<u>Provider</u>	<u>DSH Payments as of 3/30/21</u>	<u>Estimated Remaining Payments</u>	<u>FY 21 Total Post BA-7</u>
Baton Rouge General MC	\$9,094,070	\$25,825,987	\$34,920,057
Central La State Hospital	\$18,976,794	\$6,401,373	\$25,378,167
Christus Health Shreveport/BO	\$3,969,974	\$11,274,215	\$15,244,189
Christus Ochsner Lake Area	\$4,403,094	\$12,504,250	\$16,907,344
Christus St. Francis Cabrini	\$7,910,854	\$22,465,806	\$30,376,660
Community Care Hospital	\$925,168	\$775,336	\$1,700,504
Eastern La Mental Health System	\$44,608,981	\$14,880,966	\$59,489,947
Glenwood Regional Medical Center	\$3,857,302	\$10,954,247	\$14,811,549
Lake Charles Memorial	\$20,485,706	\$17,597,252	\$38,082,958
Lallie Kemp Regional Medical Center	\$7,280,843	\$6,366,214	\$13,647,057
Leonard J Chabert Medical Center	\$18,972,829	\$6,027,171	\$25,000,000
Northlake Behavioral Health	\$7,778,937	\$5,211,390	\$12,990,327
Ochsner LSU Health Shreveport	\$100,552,944	\$33,517,646	\$134,070,590
Ochsner Medical Center	\$34,983,994	\$99,351,166	\$134,335,160

April 21, 2021

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

Ochsner Medical Center Baton Rouge	\$6,569,294	\$18,656,132	\$25,225,426
Ochsner Medical Center Kenner	\$5,044,104	\$14,324,735	\$19,368,839
Opelousas General Health System	\$0	\$51	\$51
Our Lady of Lourdes RMC	\$12,110,817	\$12,110,817	\$24,221,634
Our Lady of the Angels	\$13,479,741	\$4,663,758	\$18,143,499
Rapides General Medical Center	\$6,009,621	\$17,067,221	\$23,076,842
Savoy Medical Center	-	\$2,564,768	\$2,564,768
Shriners Hospitals for Children	-	\$3	\$3
St Francis Medical Center	\$11,321,221	\$11,321,222	\$22,642,443
Tulane Medical Center	\$15,005,789	\$42,614,724	\$57,620,513
University Hospital and Clinic	\$42,002,406	\$14,000,802	\$56,003,208
University Medical Center New Orleans	\$178,663,490	\$62,009,401	\$240,672,891
West Jefferson Medical Center	-	\$24,000,000	\$24,000,000
Willis Knighton MC	\$19,427,090	\$19,427,090	\$38,854,180
Ochsner Medical Center NS	\$1,938,675	\$5,505,588	\$7,444,263
Ochsner St Mary	\$1,875,371	\$5,325,834	\$7,201,205
Christus Ochsner St Patrick	\$3,217,326	\$9,136,793	\$12,354,119
Unallocated	-	\$5,196,180	\$5,196,180
Total	\$600,466,435	\$541,078,138	\$1,141,544,573

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years. Money Follows the Patient self directed payments are not built into the FY 22 Executive Budget.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

LEGISLATIVE FISCAL OFFICE ANALYSIS OF BA-7 REQUEST

DEPARTMENT: Workforce Commission

AGENDA NO.: 4a

AGENCY: Workforce Support & Training

ANALYST: Monique Appeaning

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Executive Director	\$0	0
Interagency Transfers:	\$0	Management & Finance	\$0	0
Self-Generated Revenue:	\$0	Information Systems	\$0	0
Statutory Dedications:	\$0	Workforce Development	\$21,366,138	0
Federal Funds:	\$44,779,500	Unemployment Insurance Administration	\$23,413,362	0
		Workers Compensation Administration	\$0	0
Total	\$44,779,500	Total	\$44,779,500	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to increase the Federal budget authority by \$44,779,500 in the Louisiana Workforce Commission's Offices of Workforce Development (\$21,366,138) and Unemployment Insurance Administration (\$23,413,362). During this fiscal year, the department utilized federal budget authority to primarily provide unemployment payments associated with the Coronavirus Aid, Relief, and Economic Security Act (CARES Act). By taking this approach, the Louisiana Workforce Commission (LWC) used federal budget authority that was originally budgeted for other federally funded programs. This BA-7 request seeks to increase Federal budget authority for existing federal programs to continue operating for the remainder of the fiscal year. The information below explains in greater detail the programs that are impacted by this request.

Office of Workforce Development - \$21,366,138

Community Services Block Grant (CSBG) - \$5,607,444

The CSBG gets its federal funds from the U.S. Department of Health and Human Services/ Administration for Children and Families. It provides training and technical assistance to 42 community action agencies (CAA) in rural and urban communities throughout the state to assist low-income individuals and families in combatting poverty-related conditions (Alexandria, Baton Rouge, Belle Chasse, Bossier City, Chalmette, Convent, Crowley, DeRidder, Farmersville, Franklin, Hammond, Homer, Houma, Jefferson, Jonesboro, Lafayette, Lake Charles (2 locations), Lake Providence, Leesville, Mansfield, Marksville, Mathews, Minden, Monroe, Napoleonville, Natchitoches, New Orleans, New Roads, New Sarpy, Oak Grove, Oberlin, Opelousas, Plaquemine, Port Allen, Reserve, Ruston, Shreveport, Sicily Island, Slidell, Tallulah, and Ville Platte). The funds are apportioned to CAA utilizing the most recent American Community Survey estimate of the percentage of poverty per CAA service area against the total poverty rates for the state, with no agency receiving less than \$50,000. LWC reports that services were provided to people totaling 259,102 in FY 18, 200,591 in FY 19, and 184,248 in FY 20.

In FY 21, as a result of COVID-19, eligibility was expanded to people meeting 200% of the federal poverty level. Accordingly, the individuals and families projected to be impacted by CSBG will exceed the number served last fiscal year. LWC numbers reflect that over 100,000 people received a CSBG service in the first two-quarters of FY 21.

Workforce Innovation and Opportunity Act (WIOA) - \$9,258,694

The WIOA receives federal funds from the U.S. Department of Labor to provide resources for adults, dislocated workers, and youth. A brief description and the amounts needed are below.

•\$4,842,516 – Adults – The Adult program serves individuals who are not less than age 22 and helps employers meet their workforce needs. It enables workers to obtain good jobs by providing them with job search assistance and training opportunities. The number of participants served was 8,970 in FY 17, 5,578 in FY 18, and 5,128 in FY 19.

•\$2,223,852 – Dislocated Worker – The Dislocated Worker program is designed to help workers get back to work as quickly as possible and overcome barriers to employment. When individuals become dislocated workers as a result of job loss, mass layoffs, global trade, dynamics, or transitions in economic sectors, the Dislocated Worker program provides services to assist them in re-entering the workforce. The number of participants served was 2,563 in FY 17, 1,292 in FY 18, and 1,673 in FY 19.

•\$2,192,326 – Youth – WIOA of 2014 enacted a comprehensive youth employment program for serving eligible youth, ages 14-24, who face barriers to education, training, and employment. In-School youth are individuals not younger than age 14 or older than age 21 who are attending school. Out-of-school youth are individuals not younger than 16 or older than age 24 who are not attending school. The number of participants served was 1,331 in FY 17, 1,879 in FY 18, and 1,961 in FY 19.

LEGISLATIVE FISCAL OFFICE

ANALYSIS OF BA-7 REQUEST

Louisiana Rehabilitation Services (RLS) - \$6,500,000

The LRS receives funds from the U.S. Department of Education to provide vocational rehabilitation services to Louisiana residents with disabilities. It also provides resources such as rehab technology (wheelchairs, vehicle mods, prosthetics), college (books and room and board), Supported Employment (job coaching services), job placement services, and services for blind individuals (orientation and mobility including room/board, etc.). Pre-Employment Transition Services are provided to students with disabilities.

Office of Unemployment Insurance - \$23,413,362

•\$10,500,000 provides for five (5) outside contractors for call center support and staff augmentation claims processing and review. The numbers below represent the total contract amount and not the amount needed through the end of the fiscal year. The vendors and the amounts are:

Staff Augmentation and Claims Processing and Review Vendors

Hammerman and Gainer, LLC	Legion Claim Solutions
2400 Veterans Memorial Blvd, Suite 501	P. O. Box 15213
Kenner, LA 70062	Baton Rouge, LA 70895
Contract amount: \$4,134,000	Contract amount: \$6,419,400

Call Center Vendors

Maximus Federal Services	Plexos Group	TMone – MCI
1891 Metro Center Dr.	1800 City Farm Drive, Bldg. 4, Suite B	2937 Sierra Court SW
Reston, VA 20190	Baton Rouge, LA 70806	Iowa City, IA 5224
Contract Amount: \$5,086,889	Contract Amount: \$6,679,720	Contract Amount: \$5,355,000

•\$12,913,362 provides payments to the Office of Technology Services for the following:

- \$3,448,096 for phones services (phone bills doubled in cost due to COVID-19 for unemployment claims).
- \$2,517,292 for postage and printing services (postage increased due to COVID-19 for unemployment mail outs).
- \$6,947,974 for other lines of services, the purchase of software to provide assistance with identifying fraud applicants, and payments for past due invoices. Note: Additional information on the Office of Technology Services expenditures can be reviewed in the companion BA-7 #4b.

II. IMPACT ON FUTURE FISCAL YEARS

To the extent maintenance agreements are needed for software and module purchases, the Legislative Fiscal Office anticipates an increase in expenditures in future fiscal years. If the current level of postage and phone usage continues for unemployment payments, there will be an increased cost for services for LWC.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

DEPARTMENT: Ancillary

AGENDA NO.: 4b

AGENCY: Technology Services

ANALYST: Monique Appeaning

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Technology Services	\$7,875,293	0
Interagency Transfers:	\$7,875,293			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$0			
Federal Funds:	\$0			
Total	\$7,875,293	Total	\$7,875,293	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to increase Interagency Transfers (IAT) budget authority by \$7,875,293 within the Office of Technology Services (OTS) for services provided to the Louisiana Workforce Commission (LWC). The source of the IAT is federal. The budget authority will provide for the following:

Software-related expenditures totaling \$2,659,905 for LWC. The specific amounts, a brief description and the respective vendor for each are delineated below:

- \$1,338,190 for identity verification software to integrate with unemployment insurance (Vendor is GIACT)
- \$972,500 for identity verification software for unemployment insurance (Vendor is ID.me)
- \$757,317 for a career assessment tool for workforce development (Vendor is Kuder)
- \$421,053 for software that captures credentialing for workforce development clients (Vendor is Merit)
- \$103,500 for identity verification software for unemployment insurance to integrate with the current unemployment insurance system (Vendor is ID.me - Integration)
- \$94,500 for fraud detection component (Hire Fraud Module) to assist with unemployment insurance. LWC currently has this software that will provide for adding the hire fraud module. (Vendor is Geographic Solutions)
- \$43,200 for a workforce development tool to hold virtual job fairs (Vendor – Virtual Job Fair)
- \$27,456 for bulk e-mail contact management software (Vendor is Mailigen)

Note: While the contracts above total \$3,757,716, OTS reports that the net budget authority needed is \$2,659,905.

Postage expenditures increase totaling \$2,017,292 for LWC. OTS projects that it will need to increase its budget authority for postage from \$12,000,000 to \$14,017,292 as a result of the increased demand for postage at LWC. For illustrative purposes, the year-to-date expenditures and prior year actual expenditures from FY 18 through FY 21 are listed below:

Postage Budget/Prior Year Expenditures

FY 21	\$12 M (as of 4/2/21, postage expenditures totals \$10 M)
FY 20	\$11 M (Prior Year Actual)
FY 19	\$10.2 M (Prior Year Actual)
FY 18	\$11.3 M (Prior Year Actual)

Phone Service expenditures increase totaling \$3,198,096 for LWC. OTS projects that it will need to increase its budget authority for phone services from \$32,649,813 to \$35,847,909 for the increase in phone usage. For illustrative purposes, the year-to-date expenditures and prior year actual expenditures from FY 18 through FY 21 are listed below:

Phone Budget/Prior Year Expenditures

FY 21	\$32.6 M (as of 4/2/21, phone expenditures totals \$26.5 M)
FY 20	\$30.7 M (Prior Year Actual)
FY 19	\$31.2 M (Prior Year Actual)
FY 18	\$28.9 M (Prior Year Actual)

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

II. IMPACT ON FUTURE FISCAL YEARS

To the extent maintenance agreements are needed for software and module purchases, the Legislative Fiscal Office anticipates an increase in expenditures in future fiscal years. If the current level of postage and phone usage continues for unemployment payments, there will be an increased cost for services to LWC by OTS.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

LEGISLATIVE FISCAL OFFICE ANALYSIS OF BA-7 REQUEST

DEPARTMENT: Executive

AGENDA NO.: 5

AGENCY: Homeland Security & Emergency Prep

ANALYST: Monique Appeaning

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Administrative	\$222,239,562	0
Interagency Transfers:	\$0			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$0			
Federal Funds:	\$222,239,562			
Total	<u>\$222,239,562</u>	Total	<u>\$222,239,562</u>	0

I. SUMMARY/COMMENTS

The purpose of the BA-7 request is to increase the federal budget authority by \$222,239,562 within the Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP) for the FEMA approved federal cost share from 75% to 100% for COVID-19 and selected Project Worksheets for Hurricane Laura on March 31, 2021. GOHSEP reports that this additional cost share will take one week to process through FEMA and GOHSEP approvals and once that is finalized, GOHSEP will need to process the reimbursements to the entities.

The funds will go to the following entities:

- \$145,958,841 GOHSEP (COVID related for specific state agencies)
- \$32,080,541 Ochsner Clinic Foundation (COVID related)
- \$12,919,648 Franciscan Missionaries of Our Lady Health System (COVID related)
- \$9,415,851 Louisiana Housing Finance Agency (COVID related)
- \$4,594,650 City of New Orleans (COVID related) - Mass Feeding Initiative
- \$4,533,664 LA Department of Health (Hurricane Laura related)
- \$4,527,809 LA Department of Health (COVID related)
- \$4,146,485 Ochsner LSU Health Systems of North Louisiana (COVID related)
- \$4,062,073 LCMC Children's Hospital (COVID related)

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

**DIVISION OF ADMINISTRATION
Facility Planning & Control**

**JOINT LEGISLATIVE COMMITTEE
ON THE
BUDGET**

Briefing Book

FOR

April 2021

TABLE OF CONTENTS
JOINT LEGISLATIVE COMMITTEE ON THE BUDGET
April 2021

Supplemental Funds Request	1
 Federal Grant Award Preventive Maintenance / Major Repairs and Improvements Office of State Parks (Statewide) Project No. 06-264-13-01; WBS F.06002247	
 Request for an Act 959 Project	 2
 Replace Uninterrupted Power Supply System Frey Building Louisiana State University Baton Rouge, Louisiana Project No. 01-107-06-17; WBS F.01004189	
 Reporting of Change Orders over \$50,000 and under \$100,000	 3
 1) Replace Emergency Generator Lafayette Field Office Department of Wildlife and Fisheries Lafayette, Louisiana Project No. 01-107-18-02; WBS F.01003924	
 2) LSMS New Dormitory Louisiana School for Math Science and the Arts Natchitoches, Louisiana Project No. 19-657-13-01, Part 01; WBS F.19001123	
 3) Weatherization and Exterior Repairs Incubator/Bottling Line Louisiana State University AgCenter Baton Rouge, Louisiana Project No. 01-107-18-02; WBS F.01003952	
 4) Replace Roof Health and Physical Education Building Louisiana State University Shreveport, Louisiana Project No. 01-107-06B-11 WBS F. 01003758	

Office of the Commissioner
State of Louisiana
Division of Administration

JOHN BEL EDWARDS
GOVERNOR



JAY DARDENNE
COMMISSIONER OF ADMINISTRATION

March 23, 2021

The Honorable Bodi White, Chairman
Joint Legislative Committee on the Budget
P. O. Box 44294 Capitol Station
Baton Rouge, Louisiana 70804

**Re: Supplemental Funds Request
Federal Grant Award
Preventive Maintenance / Major Repairs and Improvements
Office of State Parks (Statewide)
Project No. 06-264-13-01; WBS F.06002247**

Dear Chairman White:

Act 2 of 2020 appropriated \$6,577,278 for preventive maintenance/major repairs and improvements to the Office of State Parks.

The Office of State Parks has received a 2020 Cares Act Grant Award from the United States Department of Commerce Economic Development Administration in the amount of \$2,780,000 for installation of sewer connections at various state parks statewide. The project consists of the installation of permanent sewer connections for approximately 570 RV Campsites at nine Louisiana State Parks. These campsites do not currently have permanent sewer connections.

Facility Planning and Control is requesting authorization to accept these federal funds in the amount of \$2,780,000 from the U.S. Department of Commerce Economic Development Administration.

Please place this item on the agenda for the next meeting of the Committee.

Sincerely,

Mark A. Moses
Director

Jay Dardenne
Commissioner of Administration

CC: Mr. Stephen Losavio, FPC
Ms. Lisa Smeltzer, FPC
Ms. Sue Gerald, FPC
Ms. Ternisa Hutchinson, OPB
Mr. Travis McIlwain, OPB
Mr. Samuel Roubique, OPB
Mr. Paul Fernandez, OPB
Ms. Linda Hopkins, House Fiscal Division
Mr. Mark Mahaffey, House Fiscal Division
Mr. Daniel Waguespack, House Fiscal Division
Ms. Katie Andress, House Fiscal Division
Ms. Martha Hess, Senate Counsel
Ms. Bobbie Hunter, Senate Fiscal Division
Ms. Debra Vivien, Senate Fiscal Division
Ms. Raynel Gascon, Senate Fiscal Division
Mr. Brandon Burris, CRT
Mr. Clifford Melius, CRT
Mr. Perry Sims, FPC

Office of the Commissioner
State of Louisiana
Division of Administration

JOHN BEL EDWARDS
GOVERNOR



JAY DARDENNE
COMMISSIONER OF ADMINISTRATION

March 23, 2021

The Honorable Bodi White, Chairman
Joint Legislative Committee on the Budget
P. O. Box 44294 Capitol Station
Baton Rouge, Louisiana 70804

**Re: Request for an Act 959 Project
Replace Uninterrupted Power Supply System
Frey Building
Louisiana State University
Baton Rouge, Louisiana
Project No.: 01-107-06-17; WBS F.01004189**

Dear Chairman White:

Pursuant to R.S. 39:128 B. (4) LSU has requested approval to undertake the planning, design and replacement of the Uninterrupted Power Supply System at the Frey Building, the primary computing facility for LSU. The original system was installed over 24 years ago and can no longer be sustained under a maintenance contract. This critical system protects a large portion of the Data Center equipment from power loss. The total project cost is \$2,250,000 and will be funded using LSU's auxiliary revenues. This request has been approved by the LSU Board of Supervisors, the Board of Regents for Higher Education, the Division of Administration and Facility Planning and Control (FP&C). With approval by JLCB, FP&C will administer the project.

Please place this item on the agenda for the next meeting of the Committee.

Sincerely,

Mark A. Moses
Director

Jay Dardenne
Commissioner of Administration

CC: Mr. Stephen Losavio, FPC
Ms. Lisa Smeltzer, FPC
Ms. Sue Gerald, FPC
Ms. Ternisa Hutchinson, OPB
Mr. Travis McIlwain, OPB
Mr. Samuel Roubique, OPB
Mr. Paul Fernandez, OPB
Ms. Linda Hopkins, House Fiscal Division
Mr. Mark Mahaffey, House Fiscal Division
Mr. Daniel Waguespack, House Fiscal Division
Ms. Katie Andress, House Fiscal Division
Ms. Martha Hess, Senate Counsel
Ms. Bobbie Hunter, Senate Fiscal Division
Ms. Debra Vivien, Senate Fiscal Division
Ms. Raynel Gascon, Senate Fiscal Division
Mr. Robert Boudreaux, FPC

Office of the Commissioner
State of Louisiana
Division of Administration

JOHN BEL EDWARDS
GOVERNOR



JAY DARDENNE
COMMISSIONER OF ADMINISTRATION

March 23, 2021

The Honorable Bodi White, Chairman
Joint Legislative Committee on the Budget
Post Office Box 44294, Capitol Station
Baton Rouge, Louisiana 70804

**Re: Reporting of Change Orders over \$50,000 and under \$100,000
Facility Planning and Control**

Dear Chairman White:

In accordance with R.S. 39:126 any change order in excess of fifty thousand dollars but less than one hundred thousand dollars shall be submitted to the Joint Legislative Committee on the Budget for review but shall not require committee approval. Pursuant to this authority Facility Planning and Control has issued change orders that are itemized on the attached list.

Please place this item on the agenda of the next meeting of the Committee.

Sincerely,

Mark A. Moses
Director

Jay Dardenne
Commissioner of Administration

CC: Mr. Mark Gates, FPC
Mr. Stephen Losavio, FPC
Ms. Lisa Smeltzer, FPC
Ms. Sue Gerald, FPC
Ms. Ternisa Hutchinson, OPB
Mr. Travis McIlwain, OPB
Mr. Samuel Roubique, OPB
Mr. Paul Fernandez, OPB
Ms. Linda Hopkins, House Fiscal Division
Mr. Mark Mahaffey, House Fiscal Division
Mr. Daniel Waguespack, House Fiscal Division
Ms. Katie Andress, House Fiscal Division
Ms. Martha Hess, Senate Counsel
Ms. Bobbie Hunter, Senate Fiscal Division
Ms. Debra Vivien, Senate Fiscal Division
Ms. Raynel Gascon, Senate Fiscal Division

- 1) **Replace Emergency Generator**
Lafayette Field Office
Department of Wildlife and Fisheries
Lafayette, Louisiana
Project No. 01-107-18-02; WBS F.01003924
State ID No.: S27399
Site Code: 4-28-044
Date of Contract: July 7, 2020
Original Contract Amount: \$383,300.00
Contract amount increased by Change Order 1: \$ 70,920.00
New contract sum: \$454,220.00

Change Order 1 was executed in the amount of \$70,920 to provide new copper grounding conductors from the utilities service transformer to the main electrical service in lieu of re-pulling the existing aluminum conductors and new grounding conductors. The existing installation was missing grounding conductors required by the current electrical code. This change order allows for the reduction in conductors from a total of five to four and the reuse of the existing conduit. This amount was found to be cost-reasonable and is being covered by the project contingency.

- 2) **LSMSA New Dormitory**
Louisiana School for Math Science and the Arts
Natchitoches, Louisiana
Project No. 19-657-13-01, Part 01; WBS F.19001123
State ID: New
Site Code: 7-35-07
Date of Contract: April 19, 2019
Original Contract Amount: \$24,316,000.00
Changes by previous change orders: \$ 570,678.00
Contract amount increased by Change Order 24: \$ 98,307.00
New Contract Sum: \$24,984,985.00

Change Order 24 was executed in the amount of \$98,307 for the installation of a circular drive at the main entrance to the dormitory. This is a user requested item to enhance accessibility to the entrance for students, parents, and visitors. The increased amount was covered by the project contingency.

- 3) **Weatherization and Exterior Repairs**
Incubator/Bottling Line
Louisiana State University Ag Center
Baton Rouge, Louisiana
Project No.: 01-107-18-02; WBS F.01003952
State ID: S11147
Site Code: 2-17-014
Date of Contract: September 23, 2020
- | | |
|---|----------------------|
| Original Contract Amount: | \$ 232,883.00 |
| Contract amount increased by Change Order 1: | \$ 69,902.00 |
| New Contract Sum: | \$ 302,785.00 |

Change Order 1 was executed in the amount of \$69,902 for repairs to the damaged concrete driveway and parking lot areas due to erosion undermining the concrete areas along the drainage canal at the edge of the property. This is a user requested item for safety concerns and increased longevity of the parking/drive area of the project site. The increased amount was covered by the project contingency.

- 4) **Replace Roof**
Health and Physical Education Building
Louisiana State University
Shreveport, Louisiana
Project No.: 01-107-06B-11; WBS F.01003758
State ID: S11458
Site Code: 7-09-036
Date of Contract: August 19, 2019
- | | |
|---|-----------------------|
| Original Contract Amount: | \$ 962,960.00 |
| Contract amount increased by Change Order 1: | \$ 98,800.00 |
| New contract sum: | \$1,061,760.00 |

Change Order 1 was executed in the amount of \$98,800 for the replacement of rooftop exhaust fans. The existing fans were either inoperable or at the end of their useful life. The reroofing work scope did not account for exhaust fan replacements. This change order includes only the purchase of replacement exhaust fans since the reinstallation of existing fans were part of the contracted work. This amount was found to be cost-reasonable and is being covered by the project contingency.



TREASURER OF THE STATE OF LOUISIANA

John M. Schroder
State Treasurer

P. O. Box 44154
Baton Rouge, LA 70804
(225) 342-0010
www.latreasury.com

March 17, 2021

Honorable Bodi White, Chairman
Joint Legislative Committee on the Budget
Post Office Box 44486, Capitol Station
Baton Rouge, LA 70804

Dear Senator White:

Attached is a spreadsheet detailing requests for back pay for Deputy Sheriffs' Supplemental pay which has been approved by the Deputy Sheriff Supplemental Pay Board as per Act 110 of 1982. Please place this item on the agenda for the next meeting.

Total requested prior year funds: \$34,565.45.

If we may be of further assistance in this matter, please call me or Stacey Guilbeau (225) 342-0698.

Sincerely,

A handwritten signature in blue ink that reads "Laura Lapeze".

Laura Lapeze
Chairman,
Deputy Sheriffs Supplemental Pay Board

LL/sg

Enclosures

No.	Parish	Deputy Name	Dates Owed	Amount	Reason	Addl Info
1	Avoyelles	Taylor Thacker	6/11/20-6/30/20	\$328.60	deputy did not turn papers in	August recon recvd 8/13/20
2	Bienville	Edward Whitman	6/20/20-6/30/20	\$180.73	employee hired after June report	July recon recvd 9/28/20
3						
	Bossier	Verdis Walker	5/8/20-6/30/20	\$894.32	employee went on leave 1st day of eligibility on full time national guard duty	December recon recvd 12/11/20
4	Caddo	Tristian Highfield	1/1/20-6/30/20	\$3,000.00	deputy eligible 12/17/19 but not added by sheriff's office	Jan recon recvd 1/6/21
5	Jefferson	Mark Kline	10/1/19-6/30/20	\$4,500.00	supplemental pay stopped by sheriff office in error	Sept recon recvd 9/23/20
6	Jefferson	Kevin Kwon	1/1/20-6/30/20	\$3,000.00	employee rehired 7/30/18 but not added in error by sheriff's office until 1/1/21	Jan recon recvd 1/14/21
7	Jefferson	Todd Usey	5/11/20-6/30/20	\$845.03	waiting for prior service forms	August recon recvd 8/13/20
8	Jefferson Davis	Shasley Charles	6/8/20-6/30/20	\$377.89	employee hired after June, July, Aug reports submitted	Sept recon recvd 9/4/20
9	Lafayette	Taylor Arrington	6/25/20-6/30/20	\$33.00	paperwork not turned in	Sept recon recvd 8/18/20
10	Orleans	Melissa White	6/19/20-6/30/20	\$197.16	prior service form dated 7/23/20	August recon recvd 8/18/20
11	Rapides	Raul Perez	6/16/20-6/30/20	\$246.45	prior service form dated 8/5/20	August recon recvd 8/17/20
12	Sabine	David Remedies	6/5/20-6/30/20	\$427.18	waiting for prior service forms	August recon recvd 8/17/20
13	St John	Stevie Anthony	1/1/20-6/30/20	\$3,000.00	internal audit found	Jan recon recvd 12/29/20
14	St John	Caroline Chavez	1/6/20-6/30/20	\$2,927.18	internal audit found	Jan recon recvd 12/29/20
15	St John	Kenneth Cureau	1/1/20-6/30/20	\$3,000.00	internal audit found	Jan recon recvd 12/29/20
16	St John	Damian Dinet	1/1/20-6/30/20	\$3,000.00	internal audit found	Jan recon recvd 12/29/20
17	St John	Justin Patterson	1/1/20-6/30/20	\$3,000.00	internal audit found	Jan recon recvd 12/29/20
18	St Landry	Brian Rozas	5/11/20-6/30/20	\$845.03	waiting for prior service forms	November recon recvd 11/10/20
19	Tangipahoa	Steven Friedrich	6/15/20-6/30/20	\$262.88	post certificate turned in late	August recon recvd 8/17/20
20	Webster	Angela Evans	10/1/19-6/30/20	\$4,500.00	returned from FMLA and not added back by sheriff's office	October recon recvd 11/10/20
Grand Total				\$34,565.45		

2020 First Extraordinary Session

HOUSE CONCURRENT RESOLUTION NO. 25

BY REPRESENTATIVES SCHEXNAYDER AND ZERINGUE AND SENATORS
CORTEZ AND WHITE

A CONCURRENT RESOLUTION

To elect Christopher A. Keaton as the legislative fiscal officer.

WHEREAS, a vacancy exists in the office of legislative fiscal officer; and

WHEREAS, R.S. 24:602 provides that the legislative fiscal officer shall be elected
by a favorable vote of a majority of the elected members of both houses of the legislature;
and

WHEREAS, after interviewing the applicant for legislative fiscal officer, the House
Committee on Appropriations and the Senate Committee on Finance recommended
Christopher Keaton for the position in accordance with R.S. 24:602.

THEREFORE, BE IT RESOLVED that the Legislature of Louisiana does hereby
elect Christopher A. Keaton as the legislative fiscal officer.

SPEAKER OF THE HOUSE OF REPRESENTATIVES

PRESIDENT OF THE SENATE

RS 24:602

§602. Legislative fiscal officer; office created; compensation

There is hereby created the office of legislative fiscal officer which shall be the chief executive office of the legislative fiscal office. The legislative fiscal officer shall be elected by the favorable vote of a majority of the elected members of both houses of the legislature, after having been interviewed by the House Appropriations Committee and the Senate Finance Committee and recommended for employment by the legislature by a majority vote of each such committee. He shall be a graduate of an accredited college or university with training in fiscal matters or shall have at least ten years experience in fiscal affairs of the state, shall take an oath of office and shall have general administrative control over the operations and functions of the office subject to the policies and directives of the legislature and of the Joint Legislative Committee on the Budget. He shall not be a member of the legislature. He may be removed by a majority vote of the elected members of both houses of the legislature. Any vacancy occasioned by death, resignation, or otherwise, which occurs while the legislature is not in session, shall be filled on a temporary basis by the Joint Legislative Committee on the Budget, until such time as such vacancy shall be filled by the legislature as herein provided. The salary of the legislative fiscal officer shall be established by the Joint Legislative Committee on the Budget, by majority vote of each house as prescribed by the statute creating the Joint Legislative Committee on the Budget.

Added by Acts 1973, No. 169, §1, eff. July 1, 1974. Amended by Acts 1974, No. 427, §1, emerg. eff. July 12, 1974; Acts 1978, No. 357, §3; Acts 1981, No. 834, §1, eff. July 1, 1981.

**LITIGATION SUBCOMMITTEE
JOINT LEGISLATIVE COMMITTEE ON THE BUDGET**

REPRESENTATIVE JEROME "ZEE" ZERINGUE

CHAIRMAN

REPRESENTATIVE TANNER MAGEE

REPRESENTATIVE GARY CARTER

SENATOR BODI WHITE

SENATOR RONNIE JOHNS

SENATOR JIMMY HARRIS

STATE CAPITOL

P.O. BOX 44294, CAPITOL STATION

BATON ROUGE, LOUISIANA 70804

(225) 342-8568

April 16, 2021

Honorable Mack "Bodi" White
Chairman, Joint Legislative Committee on the Budget
P.O. Box 44294, Capitol Station
Baton Rouge, LA 70804

Chairman White:

At its March 19, 2021 meeting, the Litigation Subcommittee of the Joint Legislative Committee on the Budget discussed new procedures with the office of risk management for maintaining the required confidentiality of the sensitive case information that comes before the subcommittee while also allowing the members of the subcommittee to meet their independent professional and ethical obligations to avoid conflicts of interest. The subcommittee and the office of risk management have agreed to the attached procedure. Pursuant to R.S. 24:653(H), the Joint Legislative Committee on the Budget has the power to adopt rules and provide for administrative matters of the Litigation Subcommittee. The subcommittee therefore requests that Joint Legislative Committee on the Budget formally approve the attached proposed procedure as an official procedure for the subcommittee.

Respectfully,



Representative Jerome "Zee" Zeringue, Chairman
Litigation Subcommittee of the Joint Legislative Committee on the Budget

cc: Honorable Clay Schexnayder, Speaker of the House
Honorable Patrick "Page" Cortez, President of the Senate
Melissa Harris, director, office of risk management

PROPOSED PROCEDURE FOR THE LITIGATION SUBCOMMITTEE
OF THE JOINT LEGISLATIVE COMMITTEE ON THE BUDGET

1. The following procedure shall apply for any meeting of the Litigation Subcommittee of the Joint Legislative Committee on the Budget (hereafter "subcommittee") held on or after April 1, 2021:

- a. At least ten calendar days prior to the subcommittee meeting, the office of risk management shall transmit to subcommittee staff a confidential list providing the following information for each case to be discussed in executive session at the next meeting:
 1. The full caption of the case.
 2. The name of each party in the case.
 3. The name of each attorney of record in the case.
 4. The name of the judge assigned to the case.Any such document shall be clearly marked confidential.
- b. The subcommittee staff shall transmit the confidential list to each committee member at their chamber laptop address.
- c. To maintain confidentiality, subcommittee staff is prohibited from transmitting or otherwise communicating the contents of the confidential list to any person who is not a member of the subcommittee.
- d. If a member discovers he has a conflict, he shall immediately notify the chairman and identify the case or cases for which he may not participate in deliberation or authorization of the settlement.



April 5, 2021

Senator Bodi White
Senate Finance Committee
Joint Legislative Committee on the Budget
State Capitol, 15th Floor, Room 1504
Baton Rouge, LA 70804

Dear Senator White:

The Joint Legislative Committee on the Budget (JLCB) met on March 19, 2021 to review the proposed annual budget of the Louisiana Lottery Corporation (Corporation). As a result of the discussions that occurred at that meeting, I am submitting a revised annual budget for the Corporation for the fiscal year ending (FYE) June 30, 2022.

The estimated performance increases that were allotted to the positions listed as open in the original proposed budget for FYE June 30, 2022 have been removed. The resulting reduction in the amount included in the proposed budget for Salaries and Benefits as detailed on page 9 of the attached document totals \$40,000.

There is a corresponding increase in the Payments to State Treasury of \$40,000 as listed on Page 3 of the attached budget bringing the new total of Payments to State Treasury to \$192,977,000.

Changes were made to the appropriate schedules summarizing Salaries and Benefits and Payments to the State Treasury. There are no other modifications to the original budget submitted included in this document.

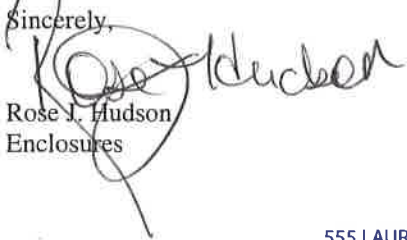
As stated at the JLCB meeting, the Corporation has responded very well to the recent challenges of the pandemic with sales for the FYE ending June 30, 2021 projected to reach \$571.4 million and projected transfers to the state estimated at \$192.2 million. These amounts represent the highest totals for sales and transfers to the state in the history of the Corporation.

With two new games included in the budget for FYE June 30, 2022, sales are projected at an even higher level of \$587.2 million with estimated transfers to the state totaling \$192.98 million.

We are very glad to be able to continue to achieve the mission of the Corporation, generating maximum revenues to the State of Louisiana while maintaining the highest levels of integrity and public trust.

Please review the attached revised budget for FYE June 30, 2022. If you have any questions, do not hesitate to contact me at 225-297-2004.

Sincerely,


Rose J. Hudson
Enclosures



LOUISIANA LOTTERY CORPORATION

FINANCIAL PLAN

FOR THE FISCAL YEAR ENDING JUNE 30, 2022

As Amended After March 19, 2021 Joint Legislative Committee on the Budget Meeting



February 26, 2021

Board of Directors, Louisiana Lottery Corporation
Joint Legislative Committee on the Budget, Louisiana Legislature

Budget Message

The mission of the Louisiana Lottery Corporation as defined by statute is to offer the best possible lottery games in order to maximize revenue to the state while insuring the integrity of the lottery, the dignity of the state, and the general welfare of its citizens. Every year during the budgeting process, the Lottery renews its commitment to this mission. The financial plan for the fiscal year ending (FYE) June 30, 2022 reflects this commitment.

Act No. 318 of the 2020 Regular Session of the Louisiana Legislature reduced the Lottery's required minimum state transfer percentage from 35% to 25% of gross revenues effective August 1, 2020. This reduction in the mandated transfer percentage provides flexibility to offer games with higher prize payouts to Lottery players. Over time, a greater operating budget allocation to prizes should result in increases in sales and funds transferred to the state treasury. These expectations are reflected in our financial plan.

Total revenue and transfers to the state treasury for the fiscal year ended June 30, 2020 were \$511.9 million and \$179.2 million, respectively. Total revenue for the current 2021 fiscal year is projected at \$572.1 million and is budgeted for the 2022 fiscal year at \$587.8 million. These estimates result in projected transfers to the state for FYE June 30, 2021 of \$192.2 million and budgeted transfers of \$193.0 million for FYE June 30, 2022.

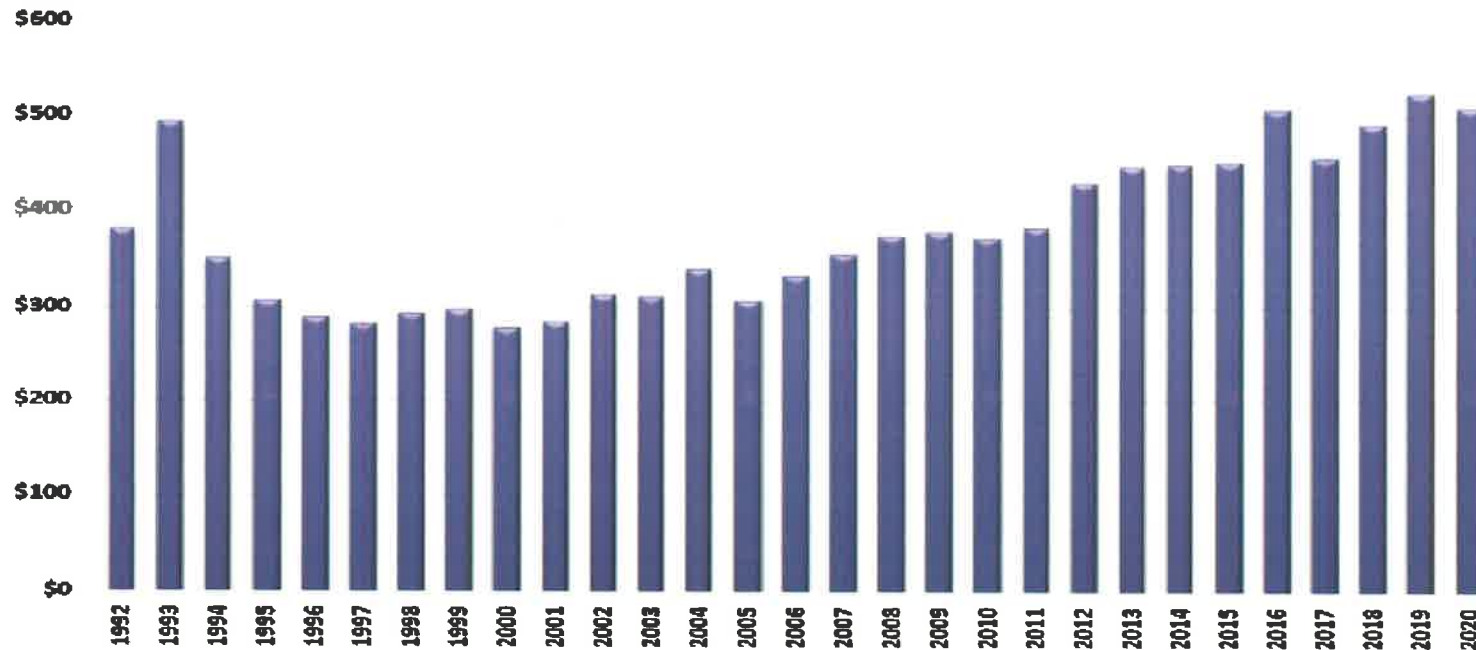
The operating budget is focused on the funding of prize structures for our games, providing steady and adequate compensation to our retailers, supporting our games and our retailers through advertising and marketing programs,

operating efficiently, maintaining competitive salaries and benefits for our employees, and providing training and professional development for our workforce.

The estimated revenue, expenses, and transfer to the state result in a balanced budget for your review and approval.

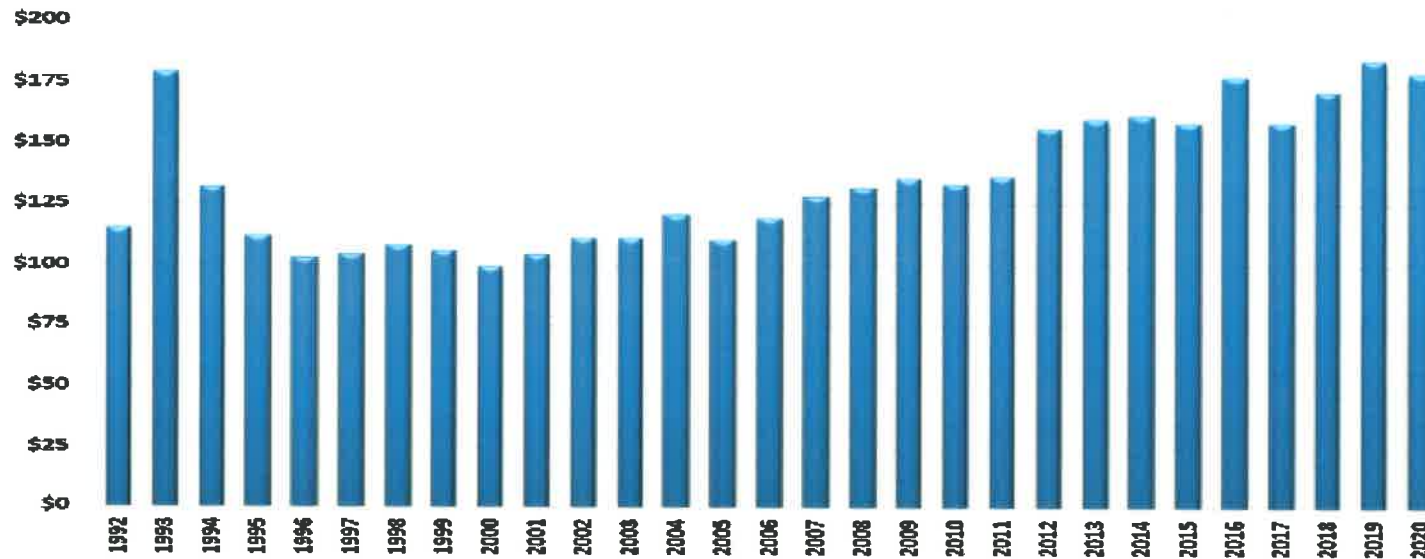
Graphical presentations of historical Lottery sales and transfers to the state are presented below for informational purposes.

History of Total Sales (in \$millions)



History of State Transfers

(in \$millions)



We have prepared a comprehensive package with financial comparisons and narrative explanations. The sections of our plan are listed in the table of contents. We are committed to providing thorough and relevant financial information to be used in the budget decision-making process. Should additional information or explanations become necessary please feel free to contact us.

Respectfully submitted,

LOUISIANA LOTTERY CORPORATION


Rose J. Hudson
President and CEO

**LOUISIANA LOTTERY CORPORATION
FINANCIAL PLAN
FOR THE FISCAL YEAR ENDING JUNE 30, 2022**

TABLE OF CONTENTS

Income Statement	(Revised-\$40,000 reduction in Salaries and Benefits, \$40,000 increase in Net Income, and \$40,000 increase in Payments to State Treasury)	1
Summary of Means of Financing, Expenditures and Fund Balance	(Same revisions as Income Statement)	5
Specified Uses of Retained Earnings (Net Position)		8
Schedule of Salaries and Benefits	(Revised-reductions of \$35,164 in Salaries and \$4,836 in Benefits)	9
Schedule of Operating Expenses		10
Schedule of Other Charges		13
Compensation of Board Members		14
Detail of Professional Service Contracts		15
Schedule of Acquisitions		16
Personnel Table	(Revised-reduction of \$35,164 in salaries from elimination of estimated performance increase for current open positions)	18
Budget Explanations		23
Summary of Capital Expenditure Requests		33

Louisiana Lottery Corporation
Income Statement
For the Fiscal Years Ending as Shown

	<u>6/30/2020</u>	<u>% of Revenue</u>	<u>Projected 6/30/2021</u>	<u>% of Revenue</u>	<u>Budgeted 6/30/2022</u>	<u>% of Revenue</u>	<u>*Variance Increase (Decrease)</u>
Revenue							
Ticket Sales							
Instant							
Instant- Scratch-Off	\$264,626,376	51.70%	\$299,385,000	52.34%	\$311,000,000	52.91%	\$11,615,000
Instant- Fastplay	0	0.00%	0	0.00%	12,633,000	2.15%	12,633,000
Total Instant	<u>264,626,376</u>	<u>51.70%</u>	<u>299,385,000</u>	<u>52.34%</u>	<u>323,633,000</u>	<u>55.06%</u>	<u>24,248,000</u>
Draw-Style							
<i>Jackpot Games</i>							
Powerball	57,184,920	11.17%	61,315,000	10.72%	57,000,000	9.70%	(4,315,000)
Mega Millions	38,088,888	7.44%	42,775,000	7.48%	38,000,000	6.46%	(4,775,000)
Lotto	21,228,876	4.15%	23,000,000	4.02%	23,000,000	3.91%	0
Easy 5	10,436,511	2.04%	11,230,000	1.96%	11,100,000	1.89%	(130,000)
<i>Daily Games</i>							
Pick 3	62,884,994	12.28%	72,125,000	12.61%	67,076,000	11.41%	(5,049,000)
Pick 4	54,659,475	10.68%	61,585,000	10.77%	57,274,000	9.74%	(4,311,000)
Pick 5	0	0.00%	0	0.00%	10,083,000	1.72%	10,083,000
Total Draw-Style	<u>244,483,664</u>	<u>47.76%</u>	<u>272,030,000</u>	<u>47.55%</u>	<u>263,533,000</u>	<u>44.83%</u>	<u>(8,497,000)</u>
Total Ticket Sales	<u>509,110,040</u>	<u>99.46%</u>	<u>571,415,000</u>	<u>99.89%</u>	<u>587,166,000</u>	<u>99.89%</u>	<u>15,751,000</u>
Other Revenue							
Interest Income	1,144,094	0.22%	620,000	0.11%	620,000	0.11%	0
Net (Decr.) Incr. In Fair Value	1,390,040	0.27%	0	0.00%	0	0.00%	0
Retailer License Fees	4,675	0.00%	10,000	0.00%	10,000	0.00%	0
Retailer Security Deposits	1,880	0.00%	0	0.00%	0	0.00%	0
Miscellaneous Revenue	221,297	0.04%	0	0.00%	0	0.00%	0
Gain-Asset Disposals	<u>13,926</u>	<u>0.00%</u>	<u>0</u>	<u>0.00%</u>	<u>0</u>	<u>0.00%</u>	<u>0</u>
Total Other Revenue	<u>2,775,912</u>	<u>0.54%</u>	<u>630,000</u>	<u>0.11%</u>	<u>630,000</u>	<u>0.11%</u>	<u>0</u>
Total Revenue	<u>\$511,885,952</u>	<u>100.00%</u>	<u>\$572,045,000</u>	<u>100.00%</u>	<u>\$587,796,000</u>	<u>100.00%</u>	<u>\$15,751,000</u>

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts

Louisiana Lottery Corporation
Income Statement
For the Fiscal Years Ending as Shown

	<u>6/30/2020</u>	<u>% of Revenue</u>	<u>Projected 6/30/2021</u>	<u>% of Revenue</u>	<u>Budgeted 6/30/2022</u>	<u>% of Revenue</u>	<u>*Variance Increase (Decrease)</u>
Expenses							
Prize Expense							
Instant- Scratch-Off	\$156,008,388	30.48%	\$182,625,000	31.92%	\$191,265,000	32.54%	\$8,640,000
Instant- Fastplay	0	0.00%	0	0.00%	8,338,000	1.42%	8,338,000
Draw-Style	<u>121,906,784</u>	<u>23.82%</u>	<u>135,803,000</u>	<u>23.74%</u>	<u>131,572,000</u>	<u>22.38%</u>	<u>(4,231,000)</u>
Total Prize Expense	<u>277,915,172</u>	<u>54.29%</u>	<u>318,428,000</u>	<u>55.66%</u>	<u>331,175,000</u>	<u>56.34%</u>	<u>12,747,000</u>
Retailer Compensation	<u>28,877,437</u>	<u>5.64%</u>	<u>32,571,000</u>	<u>5.69%</u>	<u>33,469,000</u>	<u>5.69%</u>	<u>898,000</u>
Operating Expenses							
Direct Expenses							
Lottery System Vendor Fees	3,727,894	0.73%	4,300,000	0.75%	4,408,000	0.75%	108,000
Cost of Scratch-Off Tickets	3,168,538	0.62%	3,578,000	0.63%	3,712,000	0.63%	134,000
Courier Service	<u>900,629</u>	<u>0.18%</u>	<u>1,138,000</u>	<u>0.20%</u>	<u>1,182,000</u>	<u>0.20%</u>	<u>44,000</u>
Total Direct Expenses	<u>\$7,797,061</u>	<u>1.52%</u>	<u>\$9,016,000</u>	<u>1.58%</u>	<u>\$9,302,000</u>	<u>1.58%</u>	<u>\$286,000</u>

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts

Louisiana Lottery Corporation
Income Statement
For the Fiscal Years Ending as Shown

	<u>6/30/2020</u>	<u>% of Revenue</u>	<u>Projected 6/30/2021</u>	<u>% of Revenue</u>	<u>Budgeted 6/30/2022</u>	<u>% of Revenue</u>	<u>*Variance Increase (Decrease)</u>
General Operating Expenses							
Advertising	\$6,429,461	1.26%	\$7,250,000	1.27%	\$7,250,000	1.23%	\$0
Contract Labor	246,398	0.05%	280,000	0.05%	280,000	0.05%	0
Depreciation	403,523	0.08%	400,000	0.07%	400,000	0.07%	0
Equipment Lease	44,964	0.01%	45,000	0.01%	45,000	0.01%	0
Insurance	592,270	0.12%	700,000	0.12%	805,000	0.14%	105,000
Postage	36,934	0.01%	40,000	0.01%	40,000	0.01%	0
Professional Fees (page 15)	339,677	0.07%	362,000	0.06%	397,000	0.07%	35,000
Rent	254,239	0.05%	260,000	0.05%	268,000	0.05%	8,000
Repairs & Maintenance	291,773	0.06%	345,000	0.06%	345,000	0.06%	0
Salaries and Benefits (page 9)	8,244,984	1.61%	8,436,000	1.47%	9,270,000	1.58%	834,000
Supplies (page 12)	307,898	0.06%	430,000	0.08%	430,000	0.07%	0
Communications Network	102,043	0.02%	110,000	0.02%	110,000	0.02%	0
Telephone	108,077	0.02%	110,000	0.02%	110,000	0.02%	0
Information Technology	294,777	0.06%	300,000	0.05%	300,000	0.05%	0
Multi-State Lottery	165,477	0.03%	165,000	0.03%	165,000	0.03%	0
Travel (page 10)	79,174	0.02%	5,000	0.00%	50,000	0.01%	45,000
Uncollectible Accounts	(10,028)	0.00%	50,000	0.01%	50,000	0.01%	0
Utilities	137,307	0.03%	150,000	0.03%	150,000	0.03%	0
Other General & Admin. (page 4)	387,926	0.08%	393,000	0.07%	408,000	0.07%	15,000
Total Gen Operating Expenses	<u>18,456,874</u>	<u>3.61%</u>	<u>19,831,000</u>	<u>3.47%</u>	<u>20,873,000</u>	<u>3.55%</u>	<u>1,042,000</u>
Total All Operating Expenses	<u>26,253,935</u>	<u>5.13%</u>	<u>28,847,000</u>	<u>5.04%</u>	<u>30,175,000</u>	<u>5.13%</u>	<u>1,328,000</u>
 Net Income	 178,839,408	 34.94%	 192,199,000	 33.60%	 192,977,000	 32.83%	 778,000
Payments to State Treasury	179,159,426	35.00%	192,199,000	33.60%	192,977,000	32.83%	778,000
 (Decrease) Increase in Retained Earnings	 <u>(\$320,018)</u>	 <u>-0.06%</u>	 <u>\$0</u>	 <u>0.00%</u>	 <u>\$0</u>	 <u>0.00%</u>	 <u>\$0</u>

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts

**Louisiana Lottery Corporation
Income Statement
For the Fiscal Years Ending as Shown**

	<u>6/30/2020</u>	<u>% of Revenue</u>	<u>Projected 6/30/2021</u>	<u>% of Revenue</u>	<u>Budgeted 6/30/2022</u>	<u>% of Revenue</u>	<u>*Variance Increase (Decrease)</u>
Other General & Administrative Supporting Detail							
Bank Charges	\$117,688	0.02%	\$120,000	0.02%	\$120,000	0.02%	\$0
Dues & Subscriptions	49,668	0.01%	45,000	0.01%	45,000	0.01%	0
Freight	1,950	0.00%	2,000	0.00%	2,000	0.00%	0
Recruiting	27	0.00%	1,000	0.00%	1,000	0.00%	0
Employee Training	16,434	0.00%	5,000	0.00%	20,000	0.00%	15,000
Seminars	39,777	0.01%	40,000	0.01%	40,000	0.01%	0
Services Purchased	161,437	0.03%	180,000	0.03%	180,000	0.03%	0
Taxes & Licenses	945	0.00%	0	0.00%	0	0.00%	0
Total Other Gen. & Admin.	\$387,926	0.08%	\$393,000	0.07%	\$408,000	0.07%	\$15,000

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts

**Louisiana Lottery Corporation
Summary of Means of Financing,
Expenditures and Fund Balance
For the Fiscal Years Ending as Shown**

	<u>6/30/2020</u>	<u>Projected 6/30/2021</u>	<u>Budgeted 6/30/2022</u>	<u>*Variance Increase (Decrease)</u>
MEANS OF FINANCING				
Fees & Self-Generated Revenues:				
1) Licenses	\$6,555	\$10,000	\$10,000	\$0
2) Sales of Goods and Services	509,110,039	571,415,000	587,166,000	15,751,000
3) Other (Penalties and Misc. Revenue)	221,297	0	0	0
4) Investment Income (Expense)	2,534,135	620,000	620,000	0
5) Gain on Disposal of Assets	13,926	0	0	0
Total Means of Financing	\$511,885,952	\$572,045,000	\$587,796,000	\$15,751,000

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts

**Louisiana Lottery Corporation
Summary of Means of Financing,
Expenditures and Fund Balance
For the Fiscal Years Ending as Shown**

	<u>6/30/2020</u>	<u>Projected 6/30/2021</u>	<u>Budgeted 6/30/2022</u>	<u>*Variance Increase (Decrease)</u>
EXPENDITURES (ACCRUAL BASIS)				
Salaries and Benefits: (page 9)				
Salaries	\$6,077,855	\$6,418,424	\$6,894,043	\$475,619
Related Benefits	2,167,128	2,017,576	2,375,957	358,381
Total Salaries and Benefits	8,244,983	8,436,000	9,270,000	834,000
Contract Labor-Board Members' Compensation (page 14)	144,577	144,799	145,000	201
Operating Expenses:				
Travel (page 10)	79,174	5,000	50,000	45,000
Operating Services (page 11)	17,137,625	19,469,201	19,883,000	413,799
Supplies (page 12)	307,898	430,000	430,000	0
Total Operating Expenses	17,524,697	19,904,201	20,363,000	458,799
Professional Services: (page 15)				
Accounting	172,107	189,000	189,000	0
Research and Management Consulting	45,443	50,000	85,000	35,000
Legal	110,127	119,000	119,000	0
Other	12,000	4,000	4,000	0
Total Professional Services	339,677	362,000	397,000	35,000
Other Charges: (page 13)				
Prize Expense and Retailer Compensation	306,792,610	350,999,000	364,644,000	13,645,000
TOTAL EXPENDITURES (ACCRUAL BASIS)	\$333,046,544	\$379,846,000	\$394,819,000	\$14,973,000

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts

**Louisiana Lottery Corporation
Summary of Means of Financing,
Expenditures and Fund Balance
For the Fiscal Years Ending as Shown**

	<u>6/30/2020</u>	<u>Projected 6/30/2021</u>	<u>Budgeted 6/30/2022</u>	<u>*Variance Increase (Decrease)</u>
Net Income	\$178,839,408	\$192,199,000	\$192,977,000	\$778,000
Less Payments to State Treasury (required and surplus)	179,159,426	192,199,000	192,977,000	778,000
Fund Balance at Beginning of Year	13,104,987	12,784,969	12,784,969	0
Fund Balance at End of Year	<u>12,784,969</u>	<u>12,784,969</u>	<u>12,784,969</u>	<u>0</u>
Reservations of Fund Balance (page 8)	\$12,784,969	\$12,784,969	\$12,784,969	\$0
Nonaccrual Charges				
Capital Expenditures (page 16)	201,367	532,000	500,000	(32,000)
Total Nonaccrual Charges	<u>\$201,367</u>	<u>\$532,000</u>	<u>\$500,000</u>	<u>(\$32,000)</u>

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts

Louisiana Lottery Corporation
Specified Uses of Retained Earnings (Net Position)
For the Fiscal Years Ending as Shown

	<u>6/30/2020</u>	<u>Projected 6/30/2021</u>
Specified Uses of Retained Earnings:		
Land	\$1,542,415	\$1,542,000
Buildings (Net of Accumulated Depreciation)	2,279,630	2,150,000
Other Capital Assets (Net of Accumulated Depreciation)	423,931	583,969
New Capital Expenditure Purchases	532,000	500,000
Retailer Security Deposits	193,215	195,000
Deposits	13,778	14,000
Litigation and Prize Reserve	7,800,000	7,800,000
Total Retained Earnings	<u>\$12,784,969</u>	<u>\$12,784,969</u>

**Louisiana Lottery Corporation
Schedule of Salaries and Benefits
For the Fiscal Years Ending as Shown**

	<u>6/30/2020</u>	<u>% of Salaries</u>	<u>Projected 6/30/2021</u>	<u>% of Salaries</u>	<u>Budgeted 6/30/2022</u>	<u>% of Salaries</u>	<u>*Variance Increase (Decrease)</u>
Employees-Permanent Positions (page 18)	120		120		120		0
Salaries at Full Employment	\$6,656,795		\$6,942,187		\$7,173,502		\$231,315
Turnover and Open Positions	(641,692)		(588,763)		(344,459)		244,304
Overtime Wages	935		0		0		0
Deputies for Drawings Security	61,817		65,000		65,000		0
	<u> </u>		<u> </u>		<u> </u>		<u> </u>
Total Salaries	6,077,855		6,418,424		6,894,043		475,619
	<u> </u>		<u> </u>		<u> </u>		<u> </u>
State Retirement Contributions	36,979	0.61%	38,500	0.60%	40,040	0.58%	1,540
Supplemental Retirement Plan Expense	488,354	8.03%	279,201	4.35%	299,891	4.35%	20,690
Basic Retirement Plan Expense	286,946	4.72%	308,084	4.80%	330,914	4.80%	22,830
457(b) Retirement Plan Expense	199,593	3.28%	211,808	3.30%	227,503	3.30%	15,695
Vacation Expense Accruals	86,904	1.43%	0	0.00%	0	0.00%	0
Medicare Tax	84,856	1.40%	89,858	1.40%	96,517	1.40%	6,659
State Unemployment Tax	764	0.01%	1,926	0.03%	2,079	0.03%	153
Insurance-Health, Dental, Vision	903,580	14.87%	1,008,199	15.71%	1,295,813	18.80%	287,614
Insurance- Life	39,676	0.65%	40,000	0.62%	41,600	0.60%	1,600
Insurance-Disability	39,477	0.65%	40,000	0.62%	41,600	0.60%	1,600
	<u> </u>		<u> </u>		<u> </u>		<u> </u>
Total Benefits	2,167,129	35.66%	2,017,576	31.43%	2,375,957	34.46%	358,381
	<u> </u>		<u> </u>		<u> </u>		<u> </u>
Total Salaries and Benefits	\$8,244,984		\$8,436,000		\$9,270,000		\$834,000
	<u> </u>		<u> </u>		<u> </u>		<u> </u>
Compensation of Board Members (page 14)	\$144,577		\$144,799		\$145,000		\$201
	<u> </u>		<u> </u>		<u> </u>		<u> </u>

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts.

**Louisiana Lottery Corporation
Schedule of Operating Expenses
For the Fiscal Years Ending as Shown**

	<u>6/30/2020</u>	<u>Projected 6/30/2021</u>	<u>Budgeted 6/30/2022</u>	<u>*Variance Increase (Decrease)</u>
Travel				
In-State:				
Administrative	\$4,945	\$250	\$2,000	\$1,750
Field Travel	19,921	250	12,000	11,750
Board Members	4,181	1,500	3,000	1,500
Total In-State	29,047	2,000	17,000	15,000
Out-of-State:				
Conference and Conventions	44,471	0	28,000	28,000
Total Out-of-State	44,471	0	28,000	28,000
Total Mileage Reimbursement	5,656	3,000	5,000	2,000
Total Travel	<u>\$79,174</u>	<u>\$5,000</u>	<u>\$50,000</u>	<u>\$45,000</u>

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts.

**Louisiana Lottery Corporation
Schedule of Operating Expenses
For the Fiscal Years Ending as Shown**

	<u>6/30/2020</u>	<u>Projected 6/30/2021</u>	<u>Budgeted 6/30/2022</u>	<u>*Variance Increase (Decrease)</u>
Operating Services				
Direct Expenses ***				
Lottery System Vendor Fees	\$3,727,894	\$4,300,000	\$4,408,000	\$108,000
Cost of Scratch-Off Tickets	3,168,538	3,578,000	3,712,000	134,000
Courier Service	900,629	1,138,000	1,182,000	44,000
Total Direct Expenses	<u>7,797,061</u>	<u>9,016,000</u>	<u>9,302,000</u>	<u>286,000</u>
General Operating Expenses				
Advertising	6,429,461	7,250,000	7,250,000	0
Contract Labor	101,821	135,201	135,000	(201)
Depreciation	403,523	400,000	400,000	0
Equipment Lease	44,964	45,000	45,000	0
Insurance	592,270	700,000	805,000	105,000
Postage	36,934	40,000	40,000	0
Rent	254,239	260,000	268,000	8,000
Repairs & Maintenance	291,773	345,000	345,000	0
Communications Network	102,043	110,000	110,000	0
Telephone	108,077	110,000	110,000	0
Information Technology	294,777	300,000	300,000	0
Multi-State Lottery	165,477	165,000	165,000	0
Uncollectible Accounts	(10,028)	50,000	50,000	0
Utilities	137,307	150,000	150,000	0
Bank Charges	117,688	120,000	120,000	0
Dues & Subscriptions	49,668	45,000	45,000	0
Freight	1,950	2,000	2,000	0
Recruiting	27	1,000	1,000	0
Employee Training	16,434	5,000	20,000	15,000
Seminars	39,777	40,000	40,000	0
Services Purchased	161,437	180,000	180,000	0
Taxes & Licenses	945	0	0	0
Total Gen Operating Exp.	<u>9,340,564</u>	<u>10,453,201</u>	<u>10,581,000</u>	<u>127,799</u>
Total All Operating Services	<u>\$17,137,625</u>	<u>\$19,469,201</u>	<u>\$19,883,000</u>	<u>\$413,799</u>

*** These variable expenses fluctuate directly with lottery ticket sales.

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts

**Louisiana Lottery Corporation
Schedule of Operating Expenses
For the Fiscal Years Ending as Shown**

	<u>6/30/2020</u>	<u>Projected 6/30/2021</u>	<u>Budgeted 6/30/2022</u>	<u>*Variance Increase (Decrease)</u>
Supplies				
Printing	\$4,041	\$3,000	\$3,000	\$0
Office Supplies	17,464	30,000	30,000	0
Automotive Supplies	62,936	90,000	90,000	0
Scratch-Off Game Supplies- Dispensers and Delivery Bags	146,411	210,000	210,000	0
Draw-Style Game Supplies- Play Centers	30,570	50,000	50,000	0
Other Supplies	<u>46,475</u>	<u>47,000</u>	<u>47,000</u>	<u>0</u>
Total Supplies	<u>\$307,897</u>	<u>\$430,000</u>	<u>\$430,000</u>	<u>\$0</u>

* The variance column represents the difference between
budgeted 6/30/22 and projected 6/30/21 amounts

**Louisiana Lottery Corporation
Schedule of Other Charges
For the Fiscal Years Ending as Shown**

	<u>6/30/2020</u>	<u>Projected 6/30/2021</u>	<u>Budgeted 6/30/2022</u>	<u>*Variance Increase (Decrease)</u>
Other Charges				
Prize Expense	\$277,915,172	\$318,428,000	\$331,175,000	\$12,747,000
Retailer Compensation	<u>28,877,438</u>	<u>32,571,000</u>	<u>33,469,000</u>	<u>898,000</u>
Total Other Charges ***	<u>\$306,792,610</u>	<u>\$350,999,000</u>	<u>\$364,644,000</u>	<u>\$13,645,000</u>

*** These variable expenses fluctuate directly with lottery ticket sales.

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts.

**Louisiana Lottery Corporation
Compensation of Board Members
For the Fiscal Years Ending as Shown**

	Statutory Authority For Payment	Authorized Per Diem Rate Per Meeting	What Other Expenses Are Paid With The Exception of Travel (Itemize Type and Amount)		06/30/20	Projected 06/30/21	Budgeted 06/30/22	*Variance Increase (Decrease)
<u>Board Members:</u>								
Roman P. Banks	R.S. 47:9004(D)	N/A	Annual Salary 15,000		\$15,000	\$15,000	\$15,000	\$0
William J. Black, Jr.*	R.S. 47:9004(D)	N/A	Annual Salary 15,000			6,452	15,000	8,548
Larry C. Cager**	R.S. 47:9004(D)	N/A	Annual Salary 15,000		15,000	8,347		(8,347)
Dudley A. Gautreaux, Jr.***	R.S. 47:9004(D)	N/A	Annual Salary 15,000		9,698			0
Whalen A. Gibbs	R.S. 47:9004(D)	N/A	Annual Salary 15,000		15,000	15,000	15,000	0
Anna M. Jones****	R.S. 47:9004(D)	N/A	Annual Salary 15,000		4,879	15,000	15,000	0
Carmen T. Jones	R.S. 47:9004(D)	N/A	Annual Salary 15,000		15,000	15,000	15,000	0
Blake A. McCaskill (Chairman effective February 22, 2019)	R.S. 47:9004(D)	N/A	Annual Salary 15,000		25,000	25,000	25,000	0
Cynthia H. Morrell	R.S. 47:9004(D)	N/A	Annual Salary 15,000		15,000	15,000	15,000	
E. Sheridan Shamburg	R.S. 47:9004(D)	N/A	Annual Salary 15,000		15,000	15,000	15,000	0
Sean E. Wells	R.S. 47:9004(D)	N/A	Annual Salary 15,000		15,000	15,000	15,000	0
Total Board Members: 9								
Total Compensation					\$144,577	\$144,799	\$145,000	\$201

* Term began January 27, 2021
** Term Expired January 21, 2021
*** Term Expired February 22, 2020
**** Term began March 4, 2020

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts.

**Louisiana Lottery Corporation
Detail of Professional Service Contracts
For the Fiscal Years Ending as Shown**

<u>Contractor - Purpose of Contract</u>	<u>06/30/20</u>	<u>Projected 06/30/21</u>	<u>Budgeted 06/30/22</u>	<u>*Variance Increase (Decrease)</u>
Attorney General or other agreed upon counsel				
- retainer fee (Attorney General)	\$88,875	\$89,000	\$89,000	\$0
- legal fees (Phelps Dunbar, LLP)	21,252	30,000	30,000	0
Delehanty Consulting				
- instant ticket printing audit services		4,000	4,000	0
Emergent Method	11,750	12,000		(12,000)
- strategic planning consulting				
NASPL Standards Institute- certification of standards	12,000			0
Office of Legislative Auditor - financial and compliance audit/ observations of on-line drawings	172,107	189,000	189,000	0
Trace Security- network security audit	14,883		35,000	35,000
Vitter Tech, LLC- sales analytics project	18,810			0
To be determined - business consulting services		38,000	50,000	12,000
Total Professional Service Contracts	<u>\$339,677</u>	<u>\$362,000</u>	<u>\$397,000</u>	<u>\$35,000</u>

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts.

**Louisiana Lottery Corporation
Schedule of Acquisitions
For the Fiscal Years Ending as Shown**

<u>Description of Capital Expenditures</u>	<u>06/30/20</u>	<u>Projected 06/30/21</u>	<u>Budgeted 06/30/22</u>	<u>*Variance Increase (Decrease)</u>
Land and Buildings	\$0	\$250,000	\$250,000	\$0
Leasehold Improvements	5,660	0	0	0
Automotive	167,600	192,000	150,000	(42,000)
Office (Furniture, Fixtures & Equipment)	1,225	0	0	0
Communications Software & Equipment	10,947	0	0	0
Data Processing Software & Equipment	15,935	40,000	50,000	10,000
Other	0	50,000	50,000	0
Total Acquisitions	\$201,367	\$532,000	\$500,000	(\$32,000)

Detail on page 17

* The variance column represents the difference between budgeted 6/30/22 and projected 6/30/21 amounts.

**Louisiana Lottery Corporation
Schedule of Acquisitions
June 30, 2022 Financial Plan**

Automotive

Vehicle Replacements for Field Staff

6 Vans @ \$25,000

\$150,000

Building and Leasehold Costs

250,000

Data Processing Software and Equipment

Computer, Devices, Equipment, and Software Replacements

50,000

Other

Contingency

50,000

Total Budgeted Capital Acquisitions

\$500,000

Louisiana Lottery Corporation
Financial Plan for the Fiscal Year Ending June 30, 2022
Personnel Table (LA R.S. 47:9010 A.(7))

Position/Title	Status	Current Fiscal Year Ending 6/30/2021	Estimated Performance Increase 4.00%	Budgeted Fiscal Year Ending 6/30/2022
President	Filled	\$280,379		\$280,379
Senior Vice President and Secretary-Treasurer	Filled	177,651	7,106	184,757
Senior Vice President and General Counsel	Filled	152,642	6,106	158,748
Vice President of Sales	Filled	144,752	5,790	150,542
Vice President of Finance and Controller	Filled	141,641	5,666	147,307
Vice President of Information Systems	Filled	140,821	5,633	146,454
Vice President of Marketing	Filled	129,347	5,174	134,521
Vice President of Internal Audit	Filled	127,591	5,104	132,695
Vice President of Security and Compliance	Filled	126,329	5,053	131,382
Vice President of Human Resources	Open	102,463		102,463
Director of Finance	Filled	98,384	3,935	102,319
Director of Systems & Programming	Filled	94,897	3,796	98,693
Director of Products	Filled	94,492	3,780	98,272
Director of Information Technology	Filled	94,479	3,779	98,258
Art Director	Filled	93,364	3,735	97,099
Broadcast Producer	Filled	87,659	3,506	91,165
Communications Manager	Filled	85,166	3,407	88,573
Regional Sales Manager - Baton Rouge	Filled	79,501	3,180	82,681
Network Administrator	Filled	74,167	2,967	77,134
Regional Sales Manager - Monroe	Filled	73,219	2,929	76,148
Regional Sales Manager - Alexandria	Filled	73,042	2,922	75,964
Regional Sales Manager - New Orleans	Filled	73,042	2,922	75,964
Accounts Receivable and Procurement Manager	Filled	73,040	2,922	75,962
Regional Sales Manager - Lafayette	Filled	71,251	2,850	74,101
Corporate Accounts Representative	Filled	69,819	2,793	72,612

Louisiana Lottery Corporation
Financial Plan for the Fiscal Year Ending June 30, 2022
Personnel Table (LA R.S. 47:9010 A.(7))

Position/Title	Status	Current Fiscal Year Ending 6/30/2021	Estimated Performance Increase 4.00%	Budgeted Fiscal Year Ending 6/30/2022
Computer Operations Supervisor	Filled	69,438	2,778	72,216
Senior Accountant	Filled	65,983	2,639	68,622
Distribution Center Manager	Filled	61,868	2,475	64,343
Accounting & Payroll Associate	Filled	60,571	2,423	62,994
Executive Administrative Assistant	Filled	58,700	2,348	61,048
Accounting & Payroll Associate	Filled	58,070	2,323	60,393
Sales Representative - Baton Rouge	Filled	58,063	2,323	60,386
Marketing Coordinator	Filled	56,884	2,275	59,159
Sales Support Manager	Filled	56,471	2,259	58,730
Regional Trainer - Shreveport	Open	59,117		59,117
Systems Administrator	Filled	53,894	2,156	56,050
Sponsorship Coordinator	Filled	53,404	2,136	55,540
Senior Communication Specialist	Filled	53,404	2,136	55,540
Sales Representative - Alexandria	Filled	53,303	2,132	55,435
Sales Representative - Lafayette	Filled	53,281	2,131	55,412
Executive Assistant	Open	51,267		51,267
Prize Payment Manager	Filled	53,099	2,124	55,223
Sales Representative- New Orleans	Filled	52,610	2,104	54,714
Sales Representative - Lafayette	Filled	52,139	2,086	54,225
Sales Representative - Shreveport	Filled	52,139	2,086	54,225
Internal Auditor	Filled	52,120	2,085	54,205
Sales Representative - Baton Rouge	Filled	51,249	2,050	53,299
Sales Representative - Lafayette	Filled	50,527	2,021	52,548
Sales Representative - Lafayette	Filled	50,179	2,007	52,186
Sales Representative - Monroe	Open	51,267		51,267

Louisiana Lottery Corporation
Financial Plan for the Fiscal Year Ending June 30, 2022
Personnel Table (LA R.S. 47:9010 A.(7))

Position/Title	Status	Current Fiscal Year Ending 6/30/2021	Estimated Performance Increase 4.00%	Budgeted Fiscal Year Ending 6/30/2022
Sales Representative - Lafayette	Open	51,267		51,267
Sales Representative - Baton Rouge	Open	51,267		51,267
Sales Representative - Baton Rouge	Open	51,267		51,267
Sales Representative - Alexandria	Open	51,267		51,267
Quality Assurance Manager	Open	51,267		51,267
Graphic Designer	Filled	50,005	2,000	52,005
Sales Representative - Lafayette	Filled	49,998	2,000	51,998
Sales Representative - Monroe	Filled	49,998	2,000	51,998
Sales Representative - Monroe	Filled	49,998	2,000	51,998
Sales Representative- New Orleans	Filled	49,998	2,000	51,998
Sales Representative- New Orleans	Filled	49,998	2,000	51,998
Sales Representative - Shreveport	Filled	49,998	2,000	51,998
Sales Representative - Shreveport	Filled	49,623	1,985	51,608
Sales Representative - Lafayette	Filled	49,408	1,976	51,384
Internal Auditor	Filled	49,324	1,973	51,297
Sales Representative- New Orleans	Filled	48,728	1,949	50,677
Sales Representative- New Orleans	Filled	47,376	1,895	49,271
Graphic Designer	Filled	47,222	1,889	49,111
Sales Representative - Alexandria	Filled	47,012	1,880	48,892
Sales Representative - Baton Rouge	Filled	47,012	1,880	48,892
Sales Representative - Monroe	Filled	47,012	1,880	48,892
Sales Representative- New Orleans	Filled	47,012	1,880	48,892
Software Quality Assurance Analyst	Filled	46,212	1,848	48,060
Sales Representative - Baton Rouge	Filled	45,967	1,839	47,806
Human Resources Representative	Filled	45,620	1,825	47,445

Louisiana Lottery Corporation
Financial Plan for the Fiscal Year Ending June 30, 2022
Personnel Table (LA R.S. 47:9010 A.(7))

Position/Title	Status	Current Fiscal Year Ending 6/30/2021	Estimated Performance Increase 4.00%	Budgeted Fiscal Year Ending 6/30/2022
Sales Representative- New Orleans	Filled	45,486	1,819	47,305
Sales Support Coordinator	Filled	44,752	1,790	46,542
Communication Specialist	Open	45,636		45,636
Senior Licensing Customer Service Representative	Filled	43,215	1,729	44,944
Special Investigator	Filled	42,597	1,704	44,301
Sales Support Coordinator	Filled	42,441	1,698	44,139
Billing & Accounts Receivable Customer Service Representative	Filled	42,338	1,694	44,032
Information Technology Tech Support Analyst	Open	45,636		45,636
Special Investigator	Filled	41,635	1,665	43,300
Drawing Manager	Filled	40,772	1,631	42,403
Administrative Coordinator	Filled	40,494	1,620	42,114
Communications Specialist	Filled	40,010	1,600	41,610
Special Investigator	Open	45,636		45,636
Compliance Coordinator	Filled	40,010	1,600	41,610
Distribution Center Assistant Manager	Filled	38,497	1,540	40,037
Administrative Assistant	Filled	38,486	1,539	40,025
Regional Office Assistant - Alexandria	Filled	35,569	1,423	36,992
Regional Office Assistant - Shreveport	Filled	35,569	1,423	36,992
Sales Support Coordinator	Filled	35,096	1,404	36,500
Retailer Licensing Customer Service Representative	Filled	34,698	1,388	36,086
Sales Support Coordinator	Filled	32,896	1,316	34,212
Sales Support Coordinator	Open	36,446		36,446
Business Analyst Intern	Open	45,636		45,636
Regional Office Asst - Monroe	Filled	32,252	1,290	33,542
Prize Payment Customer Service Representative	Filled	31,953	1,278	33,231

Louisiana Lottery Corporation
Financial Plan for the Fiscal Year Ending June 30, 2022
Personnel Table (LA R.S. 47:9010 A.(7))

Position/Title	Status	Current Fiscal Year Ending 6/30/2021	Estimated Performance Increase 4.00%	Budgeted Fiscal Year Ending 6/30/2022
Prize Payment Customer Service Representative	Filled	31,953	1,278	33,231
Regional Office Assistant - Lafayette	Filled	31,891	1,276	33,167
Regional Office Assistant - New Orleans	Filled	31,891	1,276	33,167
Regional Office Assistant - Alexandria	Filled	31,747	1,270	33,017
Equipment Coordinator	Open	32,758		32,758
Computer Operator	Filled	31,163	1,247	32,410
Regional Office Asst - Shreveport	Filled	31,151	1,246	32,397
Warehouse / Mail Clerk	Open	29,544		29,544
Sales Representative- New Orleans	Filled	31,030	1,241	32,271
Warehouse / Mail Clerk	Filled	29,983	1,199	31,182
Regional Office Assistant - New Orleans	Filled	29,927	1,197	31,124
Regional Office Assistant - Monroe	Filled	28,899	1,156	30,055
Regional Office Assistant - Lafayette	Filled	28,745	1,150	29,895
Regional Office Assistant - New Orleans	Filled	28,719	1,149	29,868
Warehouse / Mail Clerk	Filled	27,040	1,082	28,122
Warehouse / Mail Clerk	Filled	27,040	1,082	28,122
Computer Operator	Filled	11,598	464	12,062
Warehouse / Mail Clerk	Open	29,544		29,544
Warehouse / Mail Clerk	Open	29,544		29,544
Accounting Intern	Open	18,223		18,223
Total Salaries		\$6,942,187	\$231,315	\$7,173,502
Total Positions		120		120

**Louisiana Lottery Corporation
Financial Plan
Budget Explanations
For the Fiscal Year Ending June 30, 2022**

Sales

As discussed in the budget message, the recent legislative change to the Lottery's mandated transfer percentage provides the opportunity for enhanced prize payouts that should result in higher sales and transfers to the state treasury. Current year projected results reflect early success for this strategy. Our plans for more prize payout enhancements and new games in the budget year and beyond should continue to support our mission of maximizing revenue to the state.

Sales are projected to increase by \$62.3 million in the current fiscal year and by another \$15.8 million in the budgeted fiscal year ending (FYE) June 30, 2022.

Instant scratch-off ticket sales have significantly increased during the past ten months and this trend is expected to continue through the end of the current 2021 fiscal year and the budgeted 2022 fiscal year. Strong consumer demand, an effective sales network of licensed lottery retailers, enhanced prize payouts, and effective inventory distribution and management all contribute to the success of this product.

In the budget year, the Lottery will introduce Fastplay, an instant-win game with tickets printed at the terminals at retailer locations. The prize payouts for this new game will be similar to scratch-off ticket prize structures. The addition of Fastplay to our menu of games is expected to generate sales of \$12.6 million in the budget year.

The multi-state jackpot-driven games offered in Louisiana are Powerball and Mega Millions. Both games are very similar in structure and prize offerings. Sales performance is very dependent on large jackpot levels. Sales increased for both games during the current fiscal year because of a \$731 million Powerball jackpot and a \$1 billion Mega Millions jackpot. Similar jackpot levels and the resulting fluctuation in sales cannot be reasonably predicted for a twelve-month fiscal year. Therefore, the 2022 budget for both games is conservative.

Lotto and Easy 5 sales are expected to remain fairly constant.

**Louisiana Lottery Corporation
Financial Plan
Budget Explanations
For the Fiscal Year Ending June 30, 2022**

The Pick 3 and Pick 4 daily numbers games have continued to perform well. Combined sales for these games are projected to exceed prior year amounts by \$16.2 million. A new daily numbers game, Pick 5, will be introduced in the budget year. Combined sales for the three daily games are expected to increase in the budget year and in future years.

Other Revenue

Interest income is estimated based on projected investment balances and rate of return.

Funds are held by the Lottery in a commercial banking account and short-term U.S. government money market fund to finance daily operations. Yields for money market funds dropped to near 0% in the last quarter of FYE June 30, 2020 as the Federal Reserve drastically reduced short-term interest rates in response to the economic turmoil from the COVID-19 pandemic. At this time, we cannot anticipate when rates may increase towards historical market norms.

The Lottery also holds an intermediate-term portfolio of U.S. government and agency securities. This portfolio's rate of return is in the 1.8% range. The estimated interest income generated by this investment strategy is \$620,000 in the current year and the budget year.

The change in the fair value of investments is recorded to comply with governmental accounting standards. Since the Lottery holds most of its investments until maturity, the market fluctuations have minimal impact on revenue received. No attempt is made to predict the market value changes for the budget year.

Instant Prize Expense

The average instant prize structure has increased from 63% in fiscal year 2020, to 65% in the projected 2021 fiscal year, and then to a budget of 66% for FYE June 30, 2022. These prize payout enhancements are possible because of the legislative mandate change discussed in the sales section above.

**Louisiana Lottery Corporation
Financial Plan
Budget Explanations
For the Fiscal Year Ending June 30, 2022**

For scratch-off games, current and long-term projections indicate that the target funding of prizes at this 66% level during the budget year is approximately 61.5% from the operating budget and 4.5% from the unclaimed prizes pool. These prize structure and funding estimates may be adjusted during or at the end of the fiscal year because of actual financial results and updated forecasts. Any adjustments could change the average prize structure percentage and the allocation of the funding of prize expense between the operating budget and the unclaimed prizes pool.

The 66% average prize structure for the new instant Fastplay game will be completely funded from the operating budget.

Draw-style Prize Expense

Draw-style prize expense for the Lotto and Powerball games is structured at 50% of sales. Pick 3, Pick 4, and Pick 5 have fixed prizes for the various levels of winnings. Prize expense for these games is based on historical and expected averages of 49%, 50%, and 50%, respectively. Actual prize expense for the year will vary depending on the number of drawings with high or low payouts. Prize expense may be adjusted to actual at the end of each fiscal year which may result in prize structure percentages higher or lower than the estimates included in the budget. The prize structure for Easy 5 is at 50% and at a 61% rate for the EZMatch instant-win feature included with this game. The Mega Millions game currently has a 50.5% prize structure. This percentage can increase or decrease if the funding requirement for game prize reserves is changed by the participating state lotteries.

Retailer Compensation

Retailer compensation consists of a sales commission for instant and draw-style ticket sales and various sales and prize cashing incentives. The total compensation is expected to remain at about 5.7% of total sales for the budget year.

Lottery System Vendor Fees

The lottery system vendor provides and maintains the retailer terminal network, the gaming computer system, and all related communication networks. The contractual compensation for these services is a commission rate of 2.6% of

**Louisiana Lottery Corporation
Financial Plan
Budget Explanations
For the Fiscal Year Ending June 30, 2022**

terminal-generated sales. In addition, the vendor provides various optional equipment and services. Total costs for these options are expected to be \$150,000 in 2021 and in the 2022 budget year. Vendor fees are reflected net of a weekly communication fee charged to all retailers.

Cost of Scratch-Off Tickets

Under the terms of the scratch-off ticket printing and related services contract, the Lottery's ticket printer is compensated at a rate of 1.155% of instant ticket sales. Licensing fees for specialty games and printing options not included in the base price are provided at additional costs. We have included \$120,000 of these expenses in both the current and budget years.

Courier Service

Courier costs include the fees charged by carriers to deliver instant scratch-off game ticket packs to retailer locations. These expenses increase over the three years presented in this plan because of the increase in scratch-off ticket sales.

Advertising

Advertising expense includes media placement, production, point-of-sale production, publications, promotional merchandise, sports sponsorships, and special events. These costs are estimated at \$7.25 million in the current and budget years.

Contract Labor

Contract labor includes board member salaries and the use of temporary staffing agencies. These costs are expected to remain fairly constant.

**Louisiana Lottery Corporation
Financial Plan
Budget Explanations
For the Fiscal Year Ending June 30, 2022**

Depreciation

Depreciation expense includes the spreading of the costs of capital expenditures over the estimated useful lives of the assets.

Equipment Lease

Copier, mailroom, and security system equipment lease costs are included in this item.

Insurance

This expense category includes premiums for business insurance. The policies purchased by the Lottery include coverage for directors and officer's liability, errors and omissions, crimes against the corporation, retirement plan fiduciary liability, cyber risk, standard automobile liability, general liability, worker's compensation claims, and property. The commercial insurance markets are very unpredictable and increases in premiums are usually driven by claims of the insured, losses incurred by the carriers because of catastrophic events, and the carrier's investment returns. The COVID-19 pandemic and a very active 2020 hurricane season have impacted capacity and pricing for certain lines of insurance. Because we cannot predict the impact of these events or other factors that can potentially affect our insurance costs, the budget for FYE June 30, 2022 includes an estimated 15% increase in premiums for all policy renewals.

Postage

This line item includes all postage meter rates for routine business mailings and bulk mailings to retailers and players. Postage should remain at approximately \$40,000 in the budget year.

**Louisiana Lottery Corporation
Financial Plan
Budget Explanations
For the Fiscal Year Ending June 30, 2022**

Professional Fees

These costs include legal fees, financial and compliance audit fees, and other professional service expenses. Explanations of these contractual costs are as follows:

- Legal fees are expected to remain consistent in the projected and budgeted years.
- Independent audit reviews of the Lottery's instant ticket printer are projected and budgeted at \$4,000.
- A strategic planning project was initiated in 2020 and completed in 2021.
- The Legislative Auditor's fees for conducting financial and compliance audits and drawings observations should remain stable for both years.
- \$35,000 is budgeted for a network security audit.
- A business consulting contingency of \$50,000 is included to cover costs for any unanticipated needs.

Rent

The Lottery leases five regional offices throughout the state to accommodate the customer service needs of retailers and players. A slight increase is included in the budget year because of the expiration of one office lease.

**Louisiana Lottery Corporation
Financial Plan
Budget Explanations
For the Fiscal Year Ending June 30, 2022**

Repairs and Maintenance

Repairs and maintenance include software maintenance and support contracts, drawing machines maintenance and support, general repairs and maintenance on all equipment, automobiles, and facilities maintained by the Lottery, and janitorial services. These costs remain fairly consistent for the projected and budgeted years.

Salaries and Benefits

The variance in salaries and benefits is due to several factors. A 4% performance-based increase is included in the budgeted total for employee salaries. Normal employee turnover, the timing of filling open positions, and pay differences between new employees and incumbents, are reasons for total salary differences between the three years presented in this document. In addition, health, dental, and vision insurance premiums are budgeted to increase by 15%.

Supplies

This category includes expenses for instant ticket dispensers, instant ticket delivery bags, retailer play centers, office supplies, printing supplies, computer supplies, and fuel for the Lottery's fleet of vehicles. Costs are expected to be fairly consistent with the current year.

Communications Network and Telephone

Communications network is the costs associated with the Lottery's computer network between headquarters, regional offices, and the distribution center. Telephone expense includes local and long-distance phone service for the Lottery's offices, 1-800 numbers, cellular phone fees, and company internet access.

**Louisiana Lottery Corporation
Financial Plan
Budget Explanations
For the Fiscal Year Ending June 30, 2022**

Information Technology

The Lottery's gaming system is a closed system with no connection to the internet. The costs for that system are included in lottery system vendor fees discussed above.

Information technology costs are related to the Lottery's administrative system. Software, database management, computer network, and communications system services are included in this category. These services are provided by a third party through a technology infrastructure commonly referred to as the cloud. Over time this model eliminates the need for future major capital purchases of network servers and related equipment and substantial annual maintenance fees. No major changes are expected for this expense category in the budget year.

Multi State Lottery

The Louisiana Lottery's share of the costs associated with the administration of the Powerball and Mega Millions multi-state lottery games is included in this category. These expenses should remain consistent in the projected and budget years.

Travel

This line item includes all in-state mileage reimbursement, hotel costs, meal reimbursements, and other business costs for employee meetings, board meetings, and educational training. In addition, out-of-state flight costs and other travel expenses for educational industry conferences and professional conferences are included in this category.

Travel ceased for the Lottery and most other businesses during the last quarter of the 2020 fiscal year because of the COVID-19 pandemic. This pause continues throughout the current year. The travel environment may return to some form of normalcy in the second half of the 2022 budget year. Therefore, we are budgeting travel at about half of the previous years' average level.

**Louisiana Lottery Corporation
Financial Plan
Budget Explanations
For the Fiscal Year Ending June 30, 2022**

Uncollectible Accounts

This expense includes amounts due from Lottery retailers that are delinquent and not expected to be paid. The amount is reported net of recoveries from collection agencies. Average annual uncollectible accounts are about \$25,000. However, the amount and timing of these expenses are very unpredictable. Therefore, we are allocating \$50,000 for these potential costs in the projected and budget years.

Utilities

Electrical and other utility expenses are estimated at the five-year average of \$150,000 for both the current and budget years.

Bank Charges

These fees include charges for commercial treasury, investment management, and custodial services for the Lottery's bank accounts, electronic transaction processing, purchasing card processing, and investment portfolio holdings. These expenses should remain at approximately the same level for the current and budget years.

Dues and Subscriptions

Dues include annual membership fees to national and international lottery associations, trade organizations, and professional associations. Subscriptions are payments for trade and professional periodicals, draw-style research tools, and other educational and research materials. These costs are expected to remain consistent with the current year.

Recruiting

This expense includes charges for job placement advertisements for open employment positions at the Lottery throughout the fiscal year. The costs should remain at about the same level in the current and budget years.

**Louisiana Lottery Corporation
Financial Plan
Budget Explanations
For the Fiscal Year Ending June 30, 2022**

Employee Training and Seminars

Employee training consists of group meetings conducted by outside instructors for training of sales, administrative, and professional staff. Consistent with travel costs, these types of meetings have not occurred since the beginning of the pandemic. We have budgeted at about half of the historical level for these expenses because we assume that some normalcy will return in the second half of FYE June 30, 2022.

Seminars are fees paid for lottery industry conferences, professional conferences, or other trade or business gatherings for employee educational and training purposes. These programs have shifted to virtual events during the pandemic. We have utilized these events at a higher frequency because travel and in-house employee training have not been available. These costs have remained at approximately the same level throughout the three years presented in this plan.

Services Purchased

Expenses for retirement plan administration, payroll and human resources software services, accounting software support, shredding of unsold instant tickets, GPS fleet monitoring, cable television, email marketing, public relations monitoring services, community events setup, and collection agency fees are included in services purchased. The total budgeted cost of most of these services is expected to remain fairly consistent with the current year.

**Louisiana Lottery Corporation
Financial Plan
Summary of Capital Expenditure Requests
For the Fiscal Year Ending June 30, 2022**

Vehicle Replacements

The Lottery has a fleet of 43 vehicles used mainly by field personnel in the Sales and Security Departments. The fleet is maintained using a preventive maintenance program and monitored on a regular basis. Once the total mileage on a vehicle reaches approximately 100,000 miles the vehicle is targeted for replacement for safety reasons and to reduce the costs of ongoing maintenance. Vehicles are purchased under state purchasing contracts if the prices offered result in a cost savings for the Lottery.

Building and Leasehold Costs

The downtown headquarters in Baton Rouge and our distribution center in south Baton Rouge are both owned locations. We are responsible for all repairs and maintenance for these buildings. In addition, the Lottery is responsible for maintaining the interior walls and related structures for our five leased locations throughout the state. The repairs and maintenance expense line item in our operating budget covers the routine maintenance throughout the year. This capital budget request includes funds for potential major repairs.

Data Processing Software and Equipment

This technology budget request includes replacements for obsolete laptops, devices, workstation computers, communications equipment, and software.

Other

To accommodate unexpected capital needs, the Lottery has budgeted \$50,000.

Amendment to Agreement between State of Louisiana,

Louisiana Department of State

AND

GCR Inc., 3300 West Esplanade Ave., Suite 400, Metairie, Louisiana 70002

Amendment Provisions

2.1 TERM OF CONTRACT

Change Agreement from:

This contract shall begin on May 1, 2018 and shall end on April 30, 2021.

ADD OR CHANGE TO:

This contract shall begin on May 1, 2018 and shall end on April 30, 2023.

Amendment becomes effective: May 1, 2021.

Justification: The original contract provided that the State has the right to extend the contract up to a total of five years with the concurrence of the Contractor. The contract is to be extended pursuant to this provision due to the fact that the work is still in progress and is required for the continued improvement of the ERIN and CORA systems and other functions that support the department in its mission.

This amendment contains or has attached hereto all revised terms and conditions agreed upon by contracting parties. IN WITNESS THEREOF, this amendment is signed and entered into on the date indicated below:

(Contractor's Signature)
Contractor's Name: Mike Wons
Contractor's Title: President, Civix Government Business

(Date)

(Agency Signature)
Agency's Name: Nancy Landry
Agency's Title: First Assistant

(Date)

STATE OF LOUISIANA

CONSULTING SERVICES AGREEMENT BETWEEN STATE OF LOUISIANA AND GCR INC.

On this 15th day of March 2018, the State of Louisiana, Department of State hereinafter sometimes referred to as the "Department" or "State", and GCR Inc., 2021 Lakeshore Drive, Suite 500, New Orleans, LA 70122, hereinafter sometimes referred to as the "Contractor", do hereby enter into a contract under the following terms and conditions.

1.0 SCOPE OF SERVICES

Contractor hereby agrees to furnish services to State as specified in Section 3.0.

1.1 CONCISE DESCRIPTION OF SERVICES

The proposed project will provide planning, design, programming/development, configuration, installation, problem resolution, analytical and other support services at the Department's discretion for the continued improvement of the Election and Registration Information Network (ERIN) and the Commercial Online Registration Application (CORA) and other functions that support the Department in its mission. The Contractor shall provide highly qualified resources to work on tasks identified by the Department. The Contractor is responsible for the identification, assignment, and oversight of all work necessary for the successful completion of the tasks assigned, whether performed by Department or Contractor personnel.

This project primarily focuses on continued development of the ERIN application and to a lesser extent CORA, but may also include other .NET programming, business analysis, and SQL server support required by the department.

1.2 COMPLETE DESCRIPTION OF SERVICES

A full description of the scope of services is contained in the following Attachments which are made a part of this contract:

Attachment I - Scope of Services
Attachment II - Contractor Personnel and Other Resources
Attachment III- Insurance Requirement
Attachment IV - Confidentiality Agreement

2.0 ADMINISTRATIVE REQUIREMENTS

2.1 TERM OF CONTRACT

The term of any contract resulting from this RFP shall begin on May 1, 2018 and end on April 30, 2021. The State shall have the right to contract for up to thirty-six (36) months with the

concurrence of the Contractor and all appropriate approvals. With all proper approvals and concurrence with the successful Contractor, the State may also exercise an option to extend for up to twenty-four (24) additional months at the same rates, terms and conditions of the initial contract term. Prior to the extension of the contract beyond the initial thirty-six (36) month term, prior approval by the Joint Legislative Committee on the Budget (JLCB) or other approval authorized by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the contract amendment, to the Office of State Procurement (OSP) to extend the contract terms beyond the initial 3-year term. The total contract term, with extensions, shall not exceed five (5) years. The continuation of this contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the contract

2.2 WARRANTIES

Contractor shall indemnify State against any loss or expense arising out of any breach of any specified Warranty.

A. *Period of Coverage.* The Warranty period for software and system components covered under this Contract will begin on the date of acceptance or date of first productive use, whichever occurs later, and will terminate three months thereafter.

B. *Free from Defects.* Contractor warrants that the system developed hereunder shall be free from defects in design and implementation and will continue to meet the specifications agreed to during system design and Contractor will, without additional charge to the State, correct any such defect and make such additions, modifications, or adjustments to the system as may be necessary to operate as specified in the Technical Deliverables accepted by the State.

C. *Software Standards Compliance.* Contractor warrants that all software and other products delivered hereunder will comply with State standards and/or guidelines for resource names, programming languages, and documentation as referenced in Attachment I.

D. *Software Performance.* Specific operating performance characteristics of the software developed and/or installed hereunder are warranted by the Contractor as stated in Attachment I.

E. *Original Development.* Contractor warrants that all materials produced hereunder will be of original development by Contractor, and will be specifically developed for the fulfillment of this contract. In the event the Contractor elects to use or incorporate in the materials to be produced any components of a system already existing, Contractor shall first notify the State, which after any investigation the State may elect to make, may direct the Contractor not to use or incorporate any such components. If the State does not object within ten (10) days of the notification in writing, Contractor may use or incorporate such components at Contractor's expense and shall furnish written consent of the party owning the same to the State in all events. Such components shall be warranted as set forth herein (except for originality) by the Contractor and the Contractor will arrange to transfer title or the perpetual license for the use of such components to the State for purposes of the contract.

F. *No Surreptitious Code Warranty.* Contractor warrants that software provided hereunder will be free from any "Self-Help Code". "Self-Help Code" means any back door, time bomb, or drop dead device or other routine designed to disable a computer program with the passage of time or under the positive control of a person or party other than the State. Excluded from this prohibition are identified and State-authorized features designed for purposes of maintenance or technical support. "Unauthorized Code" means any virus, Trojan horse, worm or other software

routine or component designed to permit unauthorized access to disable, erase, or otherwise harm software, equipment, or data, or to perform any other such actions. "Unauthorized Code" does not include "Self-Help Code".

2.3 INDEMNIFICATION AND LIMITATION OF LIABILITY

Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under Contract.

Contractor shall be fully liable for the actions of its agents, employees, partners or subcontractors and shall fully indemnify and hold harmless the State and its Authorized Users from suits, actions, damages and costs of every name and description relating to personal injury and damage to real or personal tangible property caused by Contractor, its agents, employees, partners or subcontractors, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of the State. If applicable, Contractor will indemnify, defend and hold the State and its Authorized Users harmless, without limitation, from and against any and all damages, expenses (including reasonable attorneys' fees), claims, judgments, liabilities and costs which may be finally assessed against the State in any action for infringement of a United States Letter Patent with respect to the Products furnished, or of any copyright, trademark, trade secret or intellectual property right, provided that the State shall give the Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit, (ii) the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense, and (iii) assistance in the defense of any such action at the expense of Contractor. Where a dispute or claim arises relative to a real or anticipated infringement, the State or its Authorized Users may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as the Commissioner of Administration shall require.

The Contractor shall not be obligated to indemnify that portion of a claim or dispute based upon: i) Authorized User's unauthorized modification or alteration of a Product, Material or Service; ii) Authorized User's use of the Product in combination with other products not furnished by Contractor; iii) Authorized User's use in other than the specified operating conditions and environment.

In addition to the foregoing, if the use of any item(s) or part(s) thereof shall be enjoined for any reason or if Contractor believes that it may be enjoined, Contractor shall have the right, at its own expense and sole discretion as the Authorized User's exclusive remedy to take action in the following order of precedence: (i) to procure for the State the right to continue using such item(s) or part(s) thereof, as applicable; (ii) to modify the component so that it becomes non-infringing equipment of at least equal quality and performance; or (iii) to replace said item(s) or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, or (iv) if none of the foregoing is commercially reasonable, then provide monetary compensation to the State up to the dollar amount of the Contract.

For all other claims against the Contractor where liability is not otherwise set forth in the Contract as being "without limitation", and regardless of the basis on which the claim is made, Contractor's liability for direct damages, shall be the greater of \$100,000, the dollar amount of the Contract, or two (2) times the charges rendered by the Contractor under the Contract. Unless otherwise specifically enumerated herein or in the work order mutually agreed between the parties, neither party shall be liable to the other for special, indirect or consequential

damages, including lost data or records (unless the Contractor is required to back-up the data or records as part of the work plan), even if the party has been advised of the possibility of such damages. Neither party shall be liable for lost profits, lost revenue or lost institutional operating savings.

The State and Authorized Users may, in addition to other remedies available to them at law or equity and upon notice to the Contractor, retain such monies from amounts due Contractor, or may proceed against the performance and payment bond, if any, as may be necessary to satisfy any claim for damages, penalties, costs and the like asserted by or against them.

2.4 STAFF INSURANCE

Contractor shall procure and maintain for the duration of the contract insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, his agents, representatives, employees or subcontractors. The cost of such insurance shall be included in the total contract amount included in Section 5.0. For insurance requirements, refer to Attachment III.

2.5 LICENSES AND PERMITS

Contractor shall secure and maintain all licenses and permits, and pay inspection fees required to do the work required to complete this contract.

2.6 SECURITY

Contractor's personnel will always comply with all security regulations in effect at the State's premises, and externally for materials belonging to the State or to the project. Contractor is responsible for reporting any breach of security to the State in writing within 24 hours of discovery of the breach.

2.7 TAXES

Contractor is responsible for payment of all applicable taxes from the funds to be received under this contract. Contractor's federal tax identification number is 72-0852541. Contractor's seven-digit LDR account number is 2804649001.

In accordance with R.S. 39:1624(A)(10), the Louisiana Department of Revenue must determine that the prospective contractor is current in the filing of all applicable tax returns and reports and in payment of all taxes, interest, penalties, and fees owed to the state and collected by the Department of Revenue prior to the approval of this contract by the Office of State Procurement. The prospective contractor shall attest to its current and/or prospective compliance by signing the Certification Statement, Attachment I, submitted with its proposal, and also agrees to provide its seven-digit LDR Account Number to the contracting agency so that the prospective contractor's tax payment compliance status may be verified. The prospective contractor further acknowledges understanding that issuance of a tax clearance certificate by the Louisiana Department of Revenue is a necessary precondition to the approval and effectiveness of this contract by the Office of State Procurement. The Department reserves the right to withdraw its consent to this contract without penalty and proceed with alternate arrangements should the vendor fail to resolve any identified apparent outstanding tax compliance discrepancies with the Louisiana Department of Revenue within seven (7) days of such notification.

2.8 CONFIDENTIALITY

All financial, statistical, personal, technical and other data and information relating to the State's operations which are designated confidential by the State and made available to the Contractor in order to carry out this Contract, or which becomes available to the Contractor in carrying out this contract, shall be protected by the Contractor from unauthorized use and disclosure through the observance of the same or more effective procedural requirements as are applicable to the State. Contractor shall not be required to keep confidential any data or information which is or becomes publicly available, is already rightfully in the Contractor's possession, is independently developed by the Contractor outside the scope of this Contract, or is rightfully obtained from third parties.

3.0 TECHNICAL REQUIREMENTS

3.1 STATEMENT OF WORK

Contractor will perform services according to the terms of this Contract and according to the Scope of Services in Attachment I.

3.2 CONFIGURATION REQUIREMENTS

The software system being installed shall be designed and configured by the Contractor to operate within the State's hardware, software, and networking environments as specified in Attachment I.

3.3 PROJECT MANAGEMENT

Contractor shall provide, at a minimum, the following project management functions:

A. Provide Project Management - Contractor will provide day-to-day project management using best management practices for all tasks and activities necessary to complete the Statement of Work.

B. Provide Project Work Plan - Contractor shall develop and maintain a Project Work Plan which breaks down the work to be performed into manageable phases, activities and tasks as appropriate. The work plan will identify: activities/tasks to be performed, project personnel requirements (both State and Contractor), estimated workdays/personnel hours to complete, and expected start and completion dates. Scheduled completion dates for each deliverable shall specifically be included. Written concurrence of both parties will be required to amend the Work Plan. The Project Work Plan shall be approved by the State before project payments are made.

C. Provide Project Progress Reports - Contractor shall submit monthly progress reports signed by the Contractor's Project Manager to the State, no later than 10 days after the close of each calendar month. Each progress report shall describe the status of the Contractor's performance since the preceding report, including the products delivered, descriptions of problems encountered with a plan for resolving them, the work to be accomplished in the next reporting period, and identifying issues requiring management attention, particularly those which may affect the scope of services, the project budget, or the deliverables to be provided by the Contractor. Each report shall identify activities by reference to the Project Work plan.

D. *Provide Time Sheets* - Accompanying each Progress Report, the Contractor shall submit time sheets to the State Project Director indicating effort expended and work performed by each member of its or its subcontractors' staff, participating in this contract. Time sheets shall, at a minimum, identify the name of the individual performing the work and the number of hours worked during the period by Work Plan task.

E. *Provide Issue Control*. Contractor will develop and implement, with State approval, procedures and forms to monitor the identification and resolution of key project issues and problems.

3.4 QUALITY ASSURANCE REVIEWS

State reserves the right to conduct Quality Assurance Reviews at appropriate checkpoints throughout the project. Contractor will facilitate the review process by making staff and information available as requested by the reviewers at no additional cost to the State.

3.5 CONTRACTOR RESOURCES

Contractor agrees to provide the following Contract related resources:

A. *Project Manager*. Contractor shall provide a project manager to provide day-to-day management of project tasks and activities, coordination of Contractor support and administrative activities, and for supervision of Contractor employees. The project manager shall possess the technical and functional skills and knowledge to direct all aspects of the project.

B. *Key Personnel*. Contractor shall assign staff who possess the knowledge, skills, and abilities to successfully perform assigned tasks. Individuals to be assigned by the Contractor are listed in Attachment II.

C. *Personnel Changes*. Contractor's Project Manager and other key personnel assigned to this Contract shall not be replaced without the written consent of the State. Such consent shall not be unreasonably withheld or delayed provided an equally qualified replacement is offered. In the event that any State or Contractor personnel become unavailable due to resignation, illness or other factors, excluding assignment to a project outside this contract, outside of the State's or Contractor's reasonable control, as the case may be, the State or the Contractor, as the case may be, shall be responsible for providing an equally qualified replacement in time to avoid delays to the work plan.

D. *Other Resources*. Contractor will provide other resources as specified in Attachment II.

3.6 STATE PROJECT DIRECTOR

State shall appoint the Department's IT Director or designee for this Contract who will provide oversight of the activities conducted hereunder. Notwithstanding the Contractor's responsibility for total management during the performance of this Contract, the assigned State Project Director shall be the principal point of contact on behalf of the State and will be the principal point of contact for Contractor concerning Contractor's performance under this Contract.

3.7 STATE FURNISHED RESOURCES

State will make available to the Contractor for use in fulfillment of this contract those resources described in Attachment I.

3.8 STATE STANDARDS AND GUIDELINES

Contractor shall comply with State standards and guidelines related to systems development, installation, software distribution, security, networking, and usage of State resources described in Attachment I.

3.9 ELECTRONICALLY FORMATTED INFORMATION

Where applicable, State shall be provided all documents in electronic format, as well as hard-copy. Electronic media prepared by the Contractor for use by the State will be compatible with the State's comparable desktop application (e.g., spreadsheets, word processing documents). Conversion of files, if necessary, will be Contractor's responsibility. Conversely, as required, Contractor shall accept and be able to process electronic documents and files created by the State's current desktop applications as described in Attachment I.

4.0 ACCEPTANCE OF DELIVERABLES

Contract deliverables will be submitted, reviewed, and accepted according to the following procedure:

A. *General.* Except where this Contract provides different criteria, work will be accepted if it has been performed in accordance with the applicable specifications for Contractor's work in the Statement of Work, the Request for Proposals, the Contractor's Proposal, and/or as subsequently modified in State-approved design documents developed within this Project, and in the accepted final documentation.

B. *Submittal and Initial Review.* Upon written notification by Contractor that a Deliverable is completed and available for review and acceptance, the State Project Director will use best efforts to review the Deliverable within five (5) business days after the Deliverable is presented to the State Project Director, but in no event later than ten (10) business days after the Deliverable is presented to the State Project Director. Within the applicable period, the State Project Director will direct the appropriate review process, coordinate any review outside the Project team, and present results to any user committees and/or Steering Committee for approval, as needed. The initial review process will be comprehensive with a view toward identifying all items which must be modified or added to enable a Deliverable to be approved. A failure to deliver all or any essential part of a Deliverable shall be cause for non-acceptance.

C. *Notification of Acceptance or Rejection.* If no notification is delivered to Contractor within the applicable period, the Deliverable will be considered approved. If State disapproves a Deliverable, State will notify Contractor in writing of such disapproval, and will specify those items which, if modified or added, will cause the Deliverable to be approved.

D. *Resubmitting Corrected Deliverables.* With respect to such Deliverables, Contractor will resubmit the Deliverable with requested modifications and the State Project Director will review such modifications within five (5) business days. If no notification is delivered to Contractor within those five (5) business days, the Deliverable is considered approved. If the State

disapproves that Deliverable, the State will notify Contractor in writing of any additional deficiencies which result from such modifications and Contractor will resubmit the Deliverable with the requested modifications. The parties agree to repeat this process as required until all such identified deficiencies are corrected or a determination of breach or default is made. The payment by the State for each activity is contingent upon correction of all such deficiencies and acceptance by the State.

5.0 COMPENSATION AND MAXIMUM AMOUNT OF CONTRACT

In consideration of the services required by this contract, State hereby agrees to pay to Contractor a maximum fee of Two Million Five Hundred Thousand Dollars (\$2,500,000) for the first year, with annual amendments anticipated. Payments are predicated upon successful completion and written approval by the State of the described tasks and deliverables as provided in Section 1.0.

State will issue Task Orders based on Work Plan, including all project tasks, activities and resources including estimated start and completion dates, actual start and completion dates, estimated task hours, and completion percentage for all in-process tasks.

Payments will be made to the Contractor after written acceptance by the State of the progress report, an updated work plan, both described in Section 3.3, and an invoice. State will make every reasonable effort to make payments within 30 days of the approval of invoice and under a valid contract. Payment will be made only on approval of the IT Director for the Department of State or his designee.

During the execution of tasks contained in the Statement of Work, the Contractor may submit invoices, not more frequently than monthly. Such payment amounts for work performed must be based on at least equivalent services rendered, and to the extent practical, will be keyed to clearly identifiable stages of progress as reflected in status reports submitted with the invoices. Contractor shall not be paid more than the maximum amount of the contract.

6.0 TERMINATION

6.1 TERMINATION FOR CAUSE

State may terminate this Contract for cause based upon the failure of Contractor to comply with the terms and/or conditions of the Contract; provided that the State shall give the Contractor written notice specifying the Contractor's failure. If within thirty (30) days after receipt of such notice, the Contractor shall not have either corrected such failure or, in the case of failure which cannot be corrected in thirty (30) days, begun in good faith to correct said failure and thereafter proceeded diligently to complete such correction, then the State may, at its option, place the Contractor in default and the Contract shall terminate on the date specified in such notice. Contractor may exercise any rights available to it under Louisiana law to terminate for cause upon the failure of the State to comply with the terms and conditions of this contract; provided that the Contractor shall give the State thirty (30) days written notice specifying the State's failure and a reasonable opportunity for the state to cure the defect.

6.2 TERMINATION FOR CONVENIENCE

State may terminate the Contract at any time without penalty by giving thirty (30) days written notice to the Contractor of such termination or negotiating with the Contractor an effective date

thereof. Contractor shall be entitled to payment for deliverables in progress, to the extent work has been performed satisfactorily.

7.0 REMEDIES FOR DEFAULT

Any claim or controversy arising out of the contract shall be resolved by the provisions of LSA - R.S. 39:1672.2-1672.4.

8.0 AVAILABILITY OF FUNDS

The continuation of this contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the contract. If the legislature fails to appropriate sufficient monies to provide for the continuation of the contract, or if such appropriation is reduced by the veto of the Governor or by any means provided in the appropriations act to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the contract, the contract shall terminate on the date of the beginning of the first fiscal year for which funds have not been appropriated. Such termination shall be without penalty or expense to the State except for payments which have been earned prior to the termination.

9.0 OWNERSHIP OF PRODUCT

Upon completion of this contract, or if terminated earlier, all software, data files, documentation, records, worksheets, or any other materials related to this contract shall become the property of State. All such software, records, worksheets, or materials shall be delivered to the State within thirty days of the completion or termination of this contract.

10.0 NONASSIGNABILITY

No contractor shall assign any interest in this contract by assignment, transfer, or novation, without prior written consent of the State. This provision shall not be construed to prohibit the contractor from assigning his bank, trust company, or other financial institution any money due or to become due from approved contracts without such prior written consent. Notice of any such assignment or transfer shall be furnished promptly to the State.

11.0 RIGHT TO AUDIT

Contractor grants to the Office of the Legislative Auditor, Inspector General's Office, the Federal Government, and any other duly authorized agencies of the State where appropriate the right to inspect and review all books and records pertaining to services rendered under this contract. Contractor shall comply with federal and/or state laws authorizing an audit of Contractor's operation as a whole, or of specific program activities.

12.0 RECORD RETENTION

Contractor agrees to retain all books, records, and other documents relevant to this contract and the funds expended hereunder for at least five years after final payment, or as required by applicable Federal law, if Federal funds are used to fund this contract.

13.0 AMENDMENTS IN WRITING

Any amendment, alteration, variation, modification, or waiver of provisions of this contract shall be valid only when they have been reduced to writing, duly signed. No amendment shall be valid until it has been executed by all parties and approved by the Director of the Office of State Procurement, Division of Administration.

14.0 FUND USE

Contractor agrees not to use funds received for services rendered under this Contract to urge any elector to vote for or against any candidate or proposition on an election ballot nor shall such funds be used to lobby for or against any proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority. This provision shall not prevent the normal dissemination of factual information relative to a proposition on any election ballot or a proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority.

15.0 NON-DISCRIMINATION

Contractor agrees to abide by the requirements of the following as applicable: Title VI of the Civil Rights Act of 1964 and Title VII of the Civil Rights Act of 1964, as amended by the Equal Employment Opportunity Act of 1972, Federal Executive Order 11246 as amended, the Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Discrimination Act of 1975, the Fair Housing Act of 1968 as amended, and Contractor agrees to abide by the requirements of the Americans with Disabilities Act of 1990.

Contractor agrees not to discriminate in its employment practices, and will render services under this contract without regard to race, color, religion, sex, sexual orientation, national origin, veteran status, political affiliation, disability, or age in any matter relating to employment. Any act of discrimination committed by Contractor, or failure to comply with these obligations when applicable shall be grounds for termination of this contract.

16.0 HEADINGS

Descriptive headings in this contract are for convenience only and shall not affect the construction or meaning of contractual language.

17.0 ENTIRE AGREEMENT AND ORDER OF PRECEDENCE

This contract, (together with the Request for Proposals and addenda issued thereto by the State, the proposal submitted by the Contractor in response to the State's Request for Proposals, and any exhibits specifically incorporated herein by reference) constitutes the entire agreement between the parties with respect to the subject matter.

This contract shall, to the extent possible, be construed to give effect to all provisions contained therein: however, where provisions are in conflict, first priority shall be given to the provisions of the contract, excluding the Request for Proposals and the Proposal; second priority shall be given to the provisions of the Request for Proposals and amendments thereto; and third priority shall be given to the provisions of the Proposal.

THUS DONE AND SIGNED on the date(s) noted below:

Angele C Romig
CONTRACTOR'S SIGNATURE

Angele C. Romig
CONTRACTOR'S PRINTED NAME

4-6-18
DATE

R. Kyle Ardoin
STATE'S SIGNATURE

R. Kyle Ardoin
STATE'S PRINTED NAME

4/18/18
DATE

ATTACHMENT I: SCOPE OF SERVICES

Overview

This project will provide planning, design, programming/development, configuration, installation, problem resolution, analytical and other support services at the Department's discretion for the continued improvement of the ERIN and CORA systems and other functions that support the department in its mission. The Contractor will provide highly qualified resources to work on tasks identified by the Department. The Contractor shall be responsible for the identification, assignment, and oversight of all work necessary for the successful completion of the tasks assigned

This project primarily focuses on continued development of the ERIN application and to a lesser extent CORA but may also include other .NET programming, project management, business analysis, and SQL server support required by the department.

Tasks and Services

The Contractor shall provide the tasks and services including, but not limited to the following:

- Develop a pre-election planning and management system that would provide the ability to complete the full cycle of activities required for Election Day and early voting commissioners. This would include the ability to track training received by commissioners, randomly select commissioners according to state law, notify those selected to serve, and following service inform them of check issuance.
- Institute direct deposit/ EFT for the 20,000 commissioners and hundreds of vendors used in an election. This will require knowledge of Microsoft Great Plains and its integration product, eConnect. Build supporting web applications and electronic notifications so that payees can check the status of payments.
- Provide functionality to centrally manage poll watchers, alternate watchers and super watchers requested by candidates and other third parties and to provide reports to commissioners of those authorized to monitor precinct activity.
- Collect and manage additional information on polling locations in an effort to develop a better system for drayage Contractors necessary for the delivery and setup of machines to polling locations as well as election day emergencies. Data needs to be centrally collected on building superintendents, key custodians, school/church officials, precinct rental owners and managers that may need to be contacted for the opening of buildings or to report problems experienced in the delivery of machines or on election day.
- Implement an election day management system that would allow for mobile applications and/or 2 way communication by text messaging with commissioners in charge at the state's 4,267 precincts. This election day management system would incorporate GIS mapping so that the state can visually determine what precincts/parishes have not opened timely and what parts of the state are experiencing problems. This reporting system would enable the state to dispatch resources and resolve problems in a more expeditious manner.
- Expand the dashboard which allows the state to monitor all systems used for early voting and election night results reporting (e.g. ERIN application, web applications, WAN, Cloud, etc.). Add the capability to monitor whether the polling locations are open and the wait time for voters.
- Conduct research to ascertain available best practices currently in use in other states and jurisdictions, to understand methods used to successfully audit election results. Based upon the research, develop a more sophisticated sampling and post-election audit process to be used to verify the integrity of the election results. Among other criteria, the sampling would take into consideration the margin of victory in races to determine the number of precincts requiring more detailed auditing. Following a pilot program, provide appropriate tools and documentation for deployment statewide.
- In order to perform post-election audits, the ERIN system will need to develop an interface with the voting machine vendor's system. The voting machine system cannot be connected to any network for security reasons and a manual download/upload at specified intervals will be required to maintain a list of the voting machines used in the state and their assignment to precincts.

- Convert the inventory of early, Election Day and paper ballot voting systems along with associated laptops, computers, printers and parts in multiple locations in all 64 parishes (warehouse, clerk's office, ROV office) to the ERIN system. This inventory is currently maintained in a third party software solution, which tracks the movement of thousands of pieces of equipment within and between parishes throughout the state. Concurrently, the Department will evaluate the need for barcoding of the equipment to more efficiently manage its movement.
- Provide public assistance/disability agencies subject to the NVRA the ability to request additional voter registration forms online.
- Continue the development of the geographic information system to include mapping of polling places (as indicated previously), registrar of voter offices, satellite locations used for early voting and voting machine warehouses. This information is critical in planning preventive measures and responding to disasters.
- Expand the existing ERIN Mobile app to allow ROVs to geocode mismatched voter addresses and synchronize that data.
- Enhance the existing commercial requests (voter lists) online and internal applications to allow customers to order GIS maps and staff to fulfill those orders.
- Provide public assistance agencies with technology which can be used to complete the registration process online. This technology is currently being used for the Office of Motor Vehicles and our goal would be to deploy statewide to NVRA agencies.
- Implement SQL Server AlwaysOn for ERIN databases for high availability and disaster recover.
- Continue migrating functionality from the windows form based ERIN application to the new web application. The web application must be able to handling long running process, communication with document scanners, smart card activators, magnetic card readers and pen scanners, allow drag and drop of ballot blocks and export to Great Plains through the eConnect API.
- Integrate ERIN into new voting systems for early and Election Day voting. Current integrations (via tab delimited and CSV files) include export of referendum text and ballot layouts and import of button text, lockout notes and election results. Add the ability to import information from an electronic poll book and mark voters as voted.
- Implement online registration with staff approval for those wishing to conduct voter registration drives. Allow approved registrants to submit bulk electronic voter registrations via an API.
- Add to the ERIN system the ability to create the Louisiana Roster of Officials publication and publish it online.
- Within the CORA system, expand filings offered in UCC online and bulk filing API. UCC provides lenders with statewide information regarding liens on movable, personal property.
- Build a web application that would allow users to buy plain or certified copies of UCC documents filed online or in bulk only.
- Build a UCC web application that would allow users to request and receive informational or certified search results.
- Integrate the UCC Direct Access application into the Department's single sign-on (SSO) system. Allow customers to sign up and renew their subscriptions online.
- Create a UCC Central Registry application using the Department's SSO system to allow customers to retrieve current reports, sign up and renew their subscription.
- Move registration, payment and renewal of bulk data customers, both Corporation and UCC into the CORA SmartClient.
- Build a web application using the using the Department's single sign-on (SSO) system to allow bulk data customers to retrieve their data, sign up and renew their subscription.
- Integrate the notary online filing application into the Department's single sign-on (SSO) system.

- Add to the notary online filing application the ability for notaries to subscribe and manage electronic notifications (SMS and email). Create a screen in the CORA SmartClient to allow staff to send notifications to the subscribers.
- Support the geauxBIZ system by creating web service methods to allow new filing types to be initiated, validated and submitted to CORA.
- Support the geauxBIZ system by creating and processing new RabbitMQ message types and messages to initiate filings or notify customers when events occur in either CORA or geauxBIZ.
- Enhance CORA to allow color images to be uploaded for online filings (PDF) or scanned for trade name, trademark and service mark logos during registration or renewal. Images are currently stored as TIFF (CCITT Group 4 compression) files. geauxBIZ and CORA customers currently have the ability to order and retrieve copies of these images as PDFs. Paying subscribers also have the ability to get copies in bulk monthly. Images are microfilmed for archiving.
- Create for the CORA system a dashboard to allow system health and performance to be monitored.
- Create database tables and application screens to allow staff to maintain a list of anyone, except elected officials, who file an oath of office with the Department. The system should allow imaging of the oaths and fulfillment of orders for identification cards.
- Complete hardware or software upgrades for which the Department needs assistance which may include but is not limited to hardware/software components, database, operating system, .NET framework, developer tools.
- Make changes to ERIN, CORA and other systems required to meet state and federal changes in the law in a timely manner.
- This project may also include project management, business analysis, and custom development to further automate functions or improve performance and scalability of existing applications within the Elections, Corporations, Museums, Archives or other SOS divisions.

Deliverables

Deliverable	Description
Project Standards Document	<p>A project standards document will be delivered within 90 calendar days of the contract begin date that addresses the following key issues:</p> <p>Communication Plan</p> <p>Documentation Requirements</p> <p>Issue Management Plan</p> <p>Scope Management Plan</p> <p>Risk Management Plan</p> <p>Planning and Monitoring Standards</p> <p>Quality Assurance Checkpoints</p>
Project Plan	For each major project, initiative or task assigned, the Contractor will provide an implementation plan that includes all required sub tasks, deadlines, responsible parties and milestones.

Functional Systems Requirements	For each major project, initiative or task assigned, provide Functional System Requirements. Contractor will perform necessary information gathering and analysis tasks and develop a Functional Systems Requirements document that incorporates the business requirements of the State. The narrative should communicate the context of the work to be performed and the deliverable to be provided.
Technical Design Documents	For tasks assigned that require a modification to the existing technical infrastructure, provide a Technical Design/Technical Architecture Assessment Report. Contractor will perform necessary technical design tasks including the development of hardware/software specifications and any specific configuration requirements. For any new hardware/software components, a network and application security model, backup and disaster recovery plans must be included that provides high system availability for all components with 99.0% uptime between 6:00 AM and 8:00 PM.
Version Control	Contractor will develop and implement with State approval, procedures that supports the provision and migration of efficient code. Version control processes and procedures will be documented and implemented.
Software Installation	Perform software installation and/or upgrades as assigned by the State. Contractor will perform software installation and upgrade tasks such as: database setup, file sizing, application table setup, installation of software releases, operation setup, file migrations, installation test, and system integration test. Identify and resolve any performance bottlenecks.
Application Development	Provide programming, configuration, customizing, and modification of data/information structures necessary to meet the State's identified functionality. Perform database administration and other software, operating system or network configuration as required to meet performance metrics.
Systems Test and Acceptance Test Support	The Contractor will provide a process and plan to ensure that the work product delivered to the State meets its functional and technical requirements and programming is efficient in both technique and performance. This includes tasks such as functional support on system functionality, script development and data setup, technical support on executing special jobs or cycles to facilitate testing, assisting in the actual execution of test scripts and review of results, and development of an acceptance test. Provide unit, integration and system test results for business processes and volume/stress test results. Provide system, application and website security test results as well as backup and disaster recovery test results. Note: final user acceptance testing to be completed by the State.
Implementation/Deployment Plan	Provide Implementation/Deployment Planning. Contractor will perform necessary information gathering and analysis tasks to

	develop an Implementation Plan describing the strategy for implementing any major new applications or components of the system; including: resources, time frames, responsibilities, release steps and contingencies.
Training	Contractor will provide on the job training/mentoring and knowledge transfer to ensure that Department staff is prepared to support and enhance the functions added to the application following implementation. Contractor will supply information required for the department to update the user manuals.
Documentation	Provide system and program/application documentation along with any procedures required for the successful operation of new hardware/software components added to the system including backup and disaster recovery procedures where applicable.
Post Implementation Support	Provide post implementation support to the State for three months and successfully resolve problems identified following any modification to the system.

Technical Requirements

Technical requirements are not included in this public document. Contractor must execute the attached Confidentiality agreement (Attachment IV) as a condition of this contract.

Project Requirements

Contractor shall provide work and deliverables, which conform to high professional standards. All deliverables will be evaluated prior to acceptance to confirm that they meet requirements.

The State and the Contractor will identify, establish, and document the basic standards and procedures for the project utilizing guidelines provided by the State. The standards document will form part of the project plan and should be made available to all project team members. Some of the key issues that need to be included:

- Communication Plan
- Documentation Requirements
- Issue Management Plan
- Scope Management Plan
- Risk Management Plan
- Planning and Monitoring Standards
- Quality Assurance Checkpoints

Implementation Approach

Due to the scope and complexity of the development effort, the State has elected to use a multi-phase approach for this project. The State has specified the proposed number, make-up and sequence of the implementation phases to be as follows:

Year 1

ERIN team: Pre-election planning, poll watchers, SQL Server AlwaysOn, new voting technology and accounting screens to web

CORA team: UCC and geauxBIZ support

Year 2

ERIN team: Election management, direct deposit, new voting technology and clerk & elections screens to web

CORA team: Remaining UCC, bulk data and geauxBIZ support

Year 3

ERIN team: GIS improvements, election auditing, new voting technology and remaining elections screens to web

CORA team: Notary and geauxBIZ support

Year 4 (with prior approval by the Joint Legislative Committee on the Budget)

ERIN team: Roster of Officials, inventory management, and boards/commissions & registrar to web

CORA team: Dashboard, color images and geauxBIZ support

Year 5 (with prior approval by the Joint Legislative Committee on the Budget)

ERIN team: NVRA agencies, voter registration drives, and remaining registrar to web

CORA team: Oath of office and geauxBIZ support

Some of the tasks assigned to different phases listed above may overlap.

Team Organization

To ensure the success of this project, the State desires a fully integrated project team at all levels. Contractor resources shall provide experience and expertise in implementing state of the art solutions. State resources will bring functional knowledge of current business processes and technical knowledge of the existing systems and application environment.

Contractor, at its expense, shall conduct security validation of each of its employees before being granted access to the SOS network. Security validation shall include criminal background checks. When deemed necessary by the Department, updated security validation or personal credit validation or both may be requested. Contractor resources will also be required to sign a non-disclosure agreements before network access is granted.

Project roles

- **Project Manager**

Plan, initiate, and manage information technology projects. Lead and guide the work of technical staff. Serve as liaison between business and technical aspects of projects. Plan project stages and assess business implications for each stage. Monitor progress to assure deadlines, standards, and cost targets are met.

- **Senior Database Administrator**

Administer, test, improve efficiency, and implement computer databases, applying knowledge of database management systems. Coordinate changes to computer databases. May plan, coordinate, and implement security measures to safeguard computer databases.

- **Senior Developer**

Design, create, and modify general computer applications software or specialized utility programs. Analyze user needs and system architecture to develop software solutions. Design software or customize software for client use with the aim of optimizing operational efficiency and security. May analyze and design databases within an application area, working individually or coordinating database development as part of a team. May supervise staff developers.

- Staff Developer

Create, modify, and test the code, forms, and script that allow computer applications to run. Work from specifications written by senior developers or other individuals. May assist senior developers by analyzing user needs and designing software solutions.

- Business Analyst

Analyze business and other data processing problems to implement and improve computer systems. Analyze user requirements, procedures, and problems to automate or improve existing systems and review computer system capabilities, workflow, and scheduling limitations.

- Quality Assurance Analyst

Develop and execute software test plans in order to identify software problems and their causes. Design performance and automation test scripts and deploy these scripts using automated testing software.

- Systems Administrator

Install, support, and maintain servers or other computer systems, and plan for and respond to service outages and other problems. Install and configure new hardware and software. May configure server roles and features such as Active Directory Domain Services, Group Policy Management, and Web, File, Print and Terminal services.

- GIS Developer

Research and develop geospatial technologies. Produce databases and perform applications programming.

- Security Engineer

Plan, implement, upgrade, or monitor security measures for the protection of computer networks and information. Perform on-going security testing and code review to improve software security. May ensure appropriate security controls are in place that will safeguard digital files and vital electronic infrastructure. May respond to computer security breaches and viruses.

The State will be making a major commitment of time, personnel, and funds to the success of this project. The various roles that state personnel will play in this project have been identified with two key goals in mind:

- To ensure the continued success and development of department applications.
- To develop the personnel resources necessary to continue the success of the system once the Contractor's involvement has ended.

The State's staffing commitments for the project should not be considered indicative of either the level of effort required for a project or the complexity of that effort. One of the key roles the state will play will be to monitor progress of the project. The establishment of standards, the acceptance testing process, and a quality assurance program will be utilized to ensure that upon departure of

the Contractor, the state is left with a system that meets its functional and technical requirements. This does not in any way diminish the Contractor's responsibility for task management.

The State also expects to actively participate in the effort to complete the deliverables and project. State personnel will provide knowledge of business requirements, operational application data, and technical knowledge of the application development environment.

State personnel are prepared to perform tasks assigned for system design, programming, database administration, procedure development, software and hardware configuration, and other customary work of a systems development project. State personnel are also available to act as facilitators in resolving any problems the Contractor encounters that may impede the progress of the project.

Once the project is complete, there may no longer be on-site Contractor personnel to maintain and support the system. At that point, the State must have established a broad base of expertise in the tools and the application. The State support staff must have the knowledge necessary to perform continued system development and provide on-going user support and training. The State's technical staff will need the expertise to maintain the related processes, administer system databases, and maintain application software. With this in mind, it will be necessary for state personnel to have meaningful roles in every aspect of the project lifecycle.

State Resources

The Department will make the resources described below available to the Contractor for the Contractor's use in fulfillment of this contract:

Project Director

The Department will appoint a Project Director for this Contract who will provide oversight of the contract and activities conducted.

Project Manager

The Department will appoint project managers with experience in the successful implementation of complex projects. The project managers will also possess knowledge of the operational environment.

Functional and Technical Staff

The State will provide:

- timely access to State Agency staff (including scheduling of meetings) and available documentation required to complete each of the assigned tasks.
- personnel with knowledge of the business process and associated legal requirements as required.
- a minimum of 5 full time programming resources (with .NET experience) to work during the life of the project. Technical support personnel will be available as required. A total of 8 functional and technical employees may work on the application(s); however, other Department priorities will impede their ability to commit to this task for 100% of their time.

These employees shall generally possess a broad base of knowledge across all areas of Department systems, and varying degrees of systems administration and programming experience. Reasonable access to other personnel on a limited basis shall be coordinated through the Project Manager.

Office Facilities

The State shall provide reasonable and normal office space, basic office furniture, supplies, local telephone service, LAN connection, and limited usage of copiers and fax machines. The terms and conditions for remote access to the Department's network are established and thus, advance approval from the State is required.

Computer Facilities

The State shall make use of computer systems available at reasonable times and in reasonable time increments to support system development, test, and installation activities.

Special facility requirements, such as stress testing shall be addressed in the appropriate planning documents or documented by the Contractor in a memorandum.

Any State resources furnished to the Contractor shall be used only for the performance of this contract.

Project Work Schedules

The Contractor shall ensure the schedules of the proposed project consulting staff have been designed to guarantee timely completion of deliverables and adequate coverage that is acceptable to the State. The Contractor shall be expected to maintain an up to date work plan of all project tasks, activities and resources including estimated start and completion dates, actual start and completion dates, estimated task hours, and completion percentage for all in-process tasks. It is envisioned that the project work plan will be revised from time to time as provided in this contract and that it will incorporate all tasks, activities and resources, other than work performed by State personnel as part of their on-going, non-project responsibilities needed to complete the project.

ATTACHMENT II: CONTRACTOR PERSONNEL AND OTHER RESOURCES

1.0 CONTRACTOR PERSONNEL

The following individuals are assigned to the project, on a full time basis (unless otherwise indicated), and in the capacities set forth below:

Name	Classification	Rate	Availability	Duration
Angele Romig	Project Manager	\$110.00	As Required	Term of engagement
Wanda Lamarche	Project Manager	\$110.00	As Required	Term of engagement
John Koehl	Project Manager	\$110.00	As Required	Term of engagement
Kristin Arabally	Project Manager	\$110.00	30 hours per week	Term of engagement
Susan Wattigney	Project Manager	\$110.00	Full Time	Term of engagement
Thomas Shaw	Senior Database Administrator	\$105.00	Full Time	Term of engagement
Jim Rogers	Senior Developer/ Security Engineer	\$115.00	Full Time	Term of engagement
John D'Antonio	Senior Developer/ Security Engineer	\$115.00	Full Time	Term of engagement
Kevin Morales	Senior Developer	\$115.00	Full Time	Term of engagement
Laura Steiner	Senior Developer	\$115.00	Full Time	Term of engagement
Eric Piazza	Senior Developer	\$115.00	32 hours per week	Term of engagement
Lance Boudreaux	Senior Developer	\$115.00	Full Time	Term of engagement
Boyd Davezac	Staff Developer	\$100.00	Full Time	Term of engagement
Corwin Bagley	Staff Developer	\$100.00	Full Time	Term of engagement
Tanner Rhodus	Staff Developer	\$100.00	Full Time	Term of engagement
Thelma Van	Business Analyst	\$95.00	As Required	Term of engagement
Benjamin Ickes	Quality Assurance Analyst	\$100.00	Full Time	Term of engagement
Randy Brown	GIS Developer	\$110.00	As Required	Term of engagement

2.0 PC WORKSTATIONS

Contractor shall provide their own workstations, any workstation resident software and maintenance thereof.

3.0 NETWORK CONNECTIVITY

Any Contractor-provided workstations or devices to be connected to the State's network, shall comply with State network and security standards. Contractor shall provide the hardware components, operating system, and software licenses necessary to function as part of the State network. All hardware and software shall be reviewed before it is used on the Local Area Network, and may be made operable on the Local Area Network with written approval of the State.

ATTACHMENT III: INSURANCE REQUIREMENTS FOR CONTRACTORS

1.0 MINIMUM SCOPE OF INSURANCE

Coverage shall be at least as broad as:

1. Insurance Services Office form number GL 0002 (Ed. 1/73) covering Comprehensive General Liability and Insurance Services Office form number GL 0404 covering Broad Form Comprehensive General Liability; or Insurance Services Office Commercial General Liability coverage ("occurrence" form CG 001). "Claims Made" form is unacceptable. The "occurrence form" shall not have a "sunset clause".
2. Insurance Services Office form number CA 0001 (Ed 1/78) covering Automobile Liability and endorsement CA 0025 or CA 0001 12 90. The policy shall provide coverage for owned, hired, and non-owned coverage. If an automobile is to be utilized in the execution of this contract, and the contractor does not own a vehicle, then proof of hired and non-owned coverage is sufficient.
3. Workers' Compensation insurance as required by the Labor Code of the State of Louisiana, including Employers Liability insurance.

2.0 MINIMUM LIMITS OF INSURANCE

Contractor shall maintain limits no less than:

1. Commercial General Liability: \$1,000,000 combined single limit per occurrence for bodily injury, personal injury and property damage.
2. Automobile Liability: \$1,000,000 combined single limit per accident, for bodily injury and property damage.
3. Workers Compensation and Employers Liability: Workers' Compensation limits as required by the Labor Code of the State of Louisiana and Employers Liability coverage. Exception: Employers liability limit is to be \$1,000,000 when work is to be over water and involves maritime exposure.

3.0 DEDUCTIBLES AND SELF-INSURED RETENTIONS

Any deductibles or self-insured retentions must be declared to and approved by the Agency. At the option of the Agency, either: the insurer shall reduce or eliminate such deductibles or self-insured retentions as respects the Agency, its officers, officials, employees and volunteers; or the Contractor shall procure a bond guaranteeing payment of losses and related investigations, claim administration and defense expenses.

4.0 OTHER INSURANCE PROVISIONS

The policies shall contain, or be endorsed to contain, the following provisions:

1. General Liability and Automobile Liability Coverages
 - a. The Agency, its officials, employees, Boards and Commissions and volunteers are to be added as "additional insureds" as respects liability arising out of activities performed by or on

behalf of the Contractor; products and completed operations of the Contractor, premises owned, occupied or used by the Contractor. The coverage shall contain no special limitations on the scope of protection afforded to the Agency, its officers, officials, employees or volunteers. It is understood that the business auto policy under "Who is an Insured" automatically provides liability coverage in favor of the State of Louisiana.

b. Any failure to comply with reporting provision of the policy shall not affect coverage provided to the Agency, its officers, officials, employees Boards and Commissions or volunteers.

c. The Contractor's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.

2. Workers' Compensation and Employers Liability Coverage

The insurer shall agree to waive all rights of subrogation against the Agency, its officers, officials, employees and volunteers for losses arising from work performed by the Contractor for the Agency.

3. All Coverages

Each insurance policy required by this clause shall be endorsed to state that coverage shall not be suspended, voided, canceled by either party, or reduced in coverage or in limits except after thirty (30) days' prior written notice by certified mail, return receipt requested, has been given to the Agency.

5.0 ACCEPTABILITY OF INSURERS

Insurance is to be placed with insurers with a Best's rating of A-:VI or higher. This rating requirement may be waived for workers' compensation coverage only.

6.0 VERIFICATION OF COVERAGE

Contractor shall furnish the Agency with certificates of insurance affecting coverage required by this clause. The certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The certificates are to be received and approved by the Agency before work commences. The Agency reserves the right to require complete, certified copies of all required insurance policies, at any time.

7.0 SUBCONTRACTORS

Contractor shall include all subcontractors as insureds under its policies or shall furnish separate certificates for each subcontractor. All coverages for subcontractors shall be subject to all of the requirements state herein.

ATTACHMENT IV: CONFIDENTIALITY AGREEMENT

THIS AGREEMENT, effective _____ (mm/dd/yr),
between the Louisiana Department of State, ("DOS"), herein represented by its Deputy
Director for Information Technology, Brad Harris, and
_____(Co.) and name of individual representing the Co.
_____, ("Contractor"), collectively
hereinafter
known as the "Parties".

I.

WHEREAS, the DOS is entering into a Professional Services Contract for Elections, Corporations & .Net Programming; and

WHEREAS, there is certain proprietary information that will be disseminated to the Contractor during the contract term that the DOS wishes to keep confidential and protected from unauthorized use.

NOW THEREFORE, in consideration of the foregoing and the mutual promises contained herein, the Parties agree as follows:

II.

DOS requires the Contractor to protect and keep confidential the document entitled "Technical Requirements for Elections, Corporations & .Net Programming" as provided, ("Confidential Information") a copy of which is attached hereto and made a part of this Agreement.

III.

Contractor shall limit disclosure of Confidential Information within its own organization to its directors, officers, partners, members and/or employees having a need to know and shall not disclose Confidential Information to any third party (whether an individual, corporation, or other entity) without the prior written consent of DOS. Contractor shall have satisfied its obligations under this paragraph if it takes affirmative measures to ensure compliance with these confidentiality obligations by its employees, agents, consultants and others who are permitted access to or use of the Confidential Information.

IV.

Contractor agrees to hold in confidence any and all Confidential Information disclosed, and further agrees not to disclose Confidential Information to any other person or third party or use Confidential Information, except for internal discussion and evaluation purposes permitted pursuant to this Agreement or with written permission from the DOS. However, Contractor may disclose Confidential Information to any of its own employees assisting Contractor in providing the requested services which are the object of the Contract.

V.

All Confidential Information shall be kept secure and no reproduction will be made of the Confidential Information or the notes taken from the Confidential Information that implicate the confidential nature of the information by the Contractor. All Confidential Information shall be returned within thirty (30) days after the DOS makes written request for its return or at the conclusion of evaluation or other purpose for which the information has been requested by Contractor and provided by the DOS pursuant to the terms of this Agreement; and provided, further, that all copies or notes that are not destroyed or returned to DOS remain confidential and subject to the terms of this Agreement.

VI.

Contractor agrees only to use Confidential Information identified in this Agreement for the purpose of providing the services requested in the Elections, Corporations & .Net Programming Contract. Contractor shall not use any information identified in this agreement for financial gain or for any use other than providing requested services for the aforementioned Contract. Furthermore and specifically, no license or conveyance of any intellectual property rights is granted or implied by this Agreement.

VII.

Contractor agrees and acknowledges that the Confidential Information is of a proprietary and confidential nature and that any failure to maintain the confidentiality of the Confidential Information in breach of this Agreement cannot be reasonably or adequately compensated for in money damages and would cause irreparable injury to DOS. Accordingly, the Contractor agrees that DOS is entitled to, in addition to all other rights and remedies available to DOS at law or equity, an injunction restraining the Contractor and any agents of the Contractor, from directly or indirectly committing or engaging in any act restricted by this Agreement in relation to the Confidential Information.

VIII.

The Parties to this Agreement understand and agree that the provisions herein shall, between them, have the effect of law, but in reference to matters not provided herein, this Agreement shall be governed by the laws of the State of Louisiana.

DEPARTMENT OF STATE

8585 Archives Ave, Baton Rouge, LA 70809

225-362-5212

WITNESS:

By: _____

Print: _____

By: _____

Print: _____

Title: _____

Date: _____

_____ (Co.)

Address: _____

WITNESS:

By: _____

Print: _____

Telephone: _____

By: _____

Print: _____

Title: _____

Date: _____

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION
BA-22 (REV. 09/2005)

Date: 12/14/2017 Dept/Budget Unit: 04-139
Agency Name: Secretary of State OCR/CFMS Contract #: _____
Agency BA-22 #: 3 Agency Contract #: _____

Fiscal Year for this BA-22: 2017-2018 BA-22 Start/End Dates: 05/01/18 04/30/19
(yyyy-yy) (Start Date) (End Date)

Multi-year Contract (Yes/No): Yes If "Yes", provide contract dates:
05/01/18 04/30/23
(Start Date) (End Date)

GCR, Inc. _____
(Contractor/Vendor Name) (Contractor/Vendor No.)

Contract entered to provide continued development and maintenance of the Elections Registration and Information Network (ERIN),
(Provide a statement of "Services Provided")
Commercial Online Registration Application (CORA) and other assigned .NET or SharePoint development projects.

Contract Amendment (Yes/No): No Amendment Start/End Dates: _____
(Start Date) (End Date)

Contract Cancellation (Yes/No): _____ Date of Cancellation: _____

(Provide rationale for amendment or cancellation)

This information is to be provided at the Agency Level				
MEANS OF FINANCING	AMOUNT			
	Current Year	%	Total Contract	%
State General Fund	\$1,600,000	64.0%	\$1,600,000	64.0%
Interagency Transfers	\$0	0.0%	\$0	0.0%
Fees and Self Gen.	\$900,000	36.0%	\$900,000	36.0%
Statutory Dedication	\$0	0.0%	\$0	0.0%
Federal	\$0	0.0%	\$0	0.0%
TOTALS	\$2,500,000	####	\$2,500,000	100.0%

*Specify Source (i.e., grant name, fund name, IAT sending agency and revenue source, fee type and source, etc.)
Are revenue collections for funds utilized above in line with budgeted amounts? (Yes/No) Yes
If not, explain. _____

This information is to be provided at the Agency Level	
Name of Object Code/Category:	OC/Professional Services
Object Code/Category Number:	3740
Amount Budgeted:	\$2,500,000
Amount Previously Obligated:	\$0
Amount this BA-22:	\$2,500,000
Balance:	\$0

The approval of the aforementioned contract will not cause this agency to be placed in an Object Category deficit.

Agency Contact: Merietta L. Norton Reviewed/Approved By: Shanda Jones
Name: Merietta Norton Name: Shanda Jones
Title: General Counsel Title: Accountant Administrator
Phone: 225/922-0900 Phone: 225/922-1229

FOR AGENCY USE ONLY						
AGENCY	PROGRAM	ACTIVITY	ORGANIZ.	OBJECT/SUB-OBJECT	REPT CAT	AMOUNT
139	200		2009	3740		\$1,600,000
139	500		5003	3740		\$900,000

SECRETARY OF STATE
STATE OF LOUISIANA

TOM SCHEDLER
SECRETARY OF STATE

P.O. Box 94125
BATON ROUGE, LA 70804-9125
225.922.2880
WWW.SOS.LA.GOV



December 14, 2017

Ms. Paula Tregre, MBA
Director
Office of State Procurement
Post Office Box 94095
Baton Rouge, LA 70804-9095

Dear Ms. Tregre:

In compliance with La. R.S. 39: 1497, I forward for your approval and hereby certify the following for the above referenced project:

- 1) No employee of the Department of State is both competent and available to perform the services called for by the proposed contract;
- 2) The services called for in the proposed contract are not available as a product of prior or existing professional, personal, or consulting service contracts of the Department of State;
- 3) The Secretary of the Department of State has developed and fully intends to implement written plans providing for:
 - a) The assignment of specific departmental personnel to a monitoring and liaison function;
 - b) The periodic review of interim reports or other indications of performance to date;
 - c) The ultimate use of the final product of the services.
- 4) The Department of State certifies that the enclosed contract contains the required clauses to which deviations are noted.
- 5) A cost-benefit analysis has been conducted which indicates that obtaining such services from the private sector is more cost-effective than providing such services by the agency itself or by an agreement with another state agency and includes both a short-term and long-term analysis and is available for review.
- 6) The cost basis for the proposed contract is justified and reasonable.
- 7) A description of the specific goals and objectives, deliverables, performance measures and a plan for monitoring the services to be provided are contained in the proposed contract.
- 8) Also enclosed are a completed BA-22, a Board Resolution, approval from the Department of Civil Service, contract justification, and a disclosure of ownership.
- 9) An inquiry has been conducted to determine if the contract outsources a key internal control of the agency. The results have been documented in the agency's files and are available for review, upon request. If warranted, the RFP and contract have included provisions which address the need for assurances and/or monitoring of the key internal control.
- 10) In keeping with the requirements of La. R. S. 39:1503, the following events have taken place with regard to the selection of the Contracting Party for the implementation of the above referenced project.

Description of Procedures

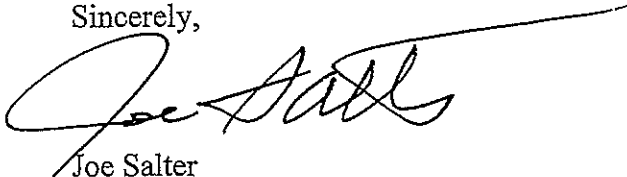
- 1) The RFP was reviewed by the Procurement Support Team on September 6, 2017.
- 2) A notice of Request for Proposals was published in the following major daily newspapers on the dates indicated below and advertised on LAPAC; (Copy of advertisements attached.)
 - (a) Baton Rouge Advocate – October 26, 2017
- 3) There were no prospective proposers who requested the RFP from the department directly as a result of newspaper and LAPAC notification.
- 4) The RFP enclosed for your inspection contains provisions in compliance with La. R.S. 39:1503 as follows:
 - a) The importance of price and evaluation factors is explained beginning on page 29 of the RFP.
 - b) The RFP defines project tasks in Attachment I - Scope of Services.
 - c) As in (a) above, evaluation factors are defined on page 29 of the RFP.
 - d) The period for the project is explained on page 7 of the RFP.
 - e) The RFP notifies potential proposers that the award of the contract may be made on the basis of initial offers on page 22 of the RFP.
 - f) On page 18 of the RFP, potential proposers are notified that written questions must be submitted to Brad Harris.
 - g) State agency liaison personnel are described in the RFP and resources available to the Contracting Party are described in the RFP on page 40.
 - h) Procedures concerning payment are discussed on page 51.
 - i) The RFP requires all necessary information concerning qualification, methodology, costs and financial capability.
- 5) During the 30-day proposal preparation period, there were questions submitted concerning the project. Copies of these questions and responses are attached.
- 6) As of November 29, 2017, one proposal was submitted.
- 7) No proposals were disqualified.
- 8) A technical evaluation scoring sheet was developed utilizing the criteria specified in the RFP.
 - i) The technical evaluation scoring sheets were filled out by the Proposal Evaluation Team, composed of:
 - Carla Bonaventure, Commercial Administrator
 - Amanda Baker, Commercial Manager
 - Derick Bond, Purchasing Director
 - Shanda Jones, Accounting Administrator
 - Angie Rogers, Commissioner of Elections
 - Lani Durio, Deputy Commissioner of Elections
 - Steve Hawkland, Deputy General Counsel
 - Scott Mayers, Information Technology Director
 - Elizabeth Nguyen, Information Technology Application Manager
 - ii) All proposals were examined for proper form and cost elements by the RFP Coordinator.
 - iii) The evaluations and recommendations of the Proposal Evaluation Team were presented in written form to the Secretary. The recommendation, based on the rankings of the written proposals, was to award the contract to the highest scored proposer.
- 9) The selection of the Contractor was announced as provided in the RFP and all other proposers were officially notified by letter. (copies enclosed)

- 10) Attached is a summary sheet of all proposers, costs from all proposers (even disqualified and losing proposals) and their scores resulting from the evaluation.

Justification for Selection

The extensive review process described above resulted in the selection of GCR Inc. to provide the required services. This decision was based on the fact that the contractor received the highest number of points by the Proposal Evaluation Team.

Sincerely,



Joe Salter

Undersecretary of Management and Finance
Secretary of State Tom Schedler

SECRETARY OF STATE
STATE OF LOUISIANA

TOM SCHEDLER
SECRETARY OF STATE

P.O. Box 94125
BATON ROUGE, LA 70804-9125
225.922.2880
WWW.SOS.LA.GOV



April 12, 2018

Ms. Pamela Bartfay Rice, Esq
Interim Director
Office of Contractual Review
Division of Administration
P.O. Box 94095
Baton Rouge, Louisiana 70804-9095

RE: Justification for Multi-Year Contract

Dear Ms. Rice:

Please consider this justification for the Department of State to enter into a multi-year contract with GCR Inc. Funds for the first fiscal year of the contract are available and payment and performance for subsequent fiscal years shall be subject to the availability of funds.

The contract provides extensive new functionality for the elections, voter registration, corporations and other departmental systems. Both the scope and complexity of the tasks outlined in the Statement of Work require greater than twelve months.

The estimated requirements covering the period of the contract are reasonably firm and continuing and such a contract will serve the best interests of the State by encouraging effective competition or otherwise promoting economies in state procurement.

If further information is needed, please call Scott Mayers at 225-922-0626.

Sincerely,

A handwritten signature in black ink, appearing to read "Scott Mayers", with a stylized flourish at the end.

Scott Mayers
IT Director



UNANIMOUS WRITTEN CONSENT
OF THE
BOARD OF DIRECTORS
OF
GCR INC.

The undersigned, being each of the members of the Board of Directors (the "Board") of GCR Inc., a Louisiana corporation (the "Company"), do hereby consent to the adoption of the following resolutions by written consent and direct that this consent be placed in the minutes of the Company:

RESOLVED, that individuals listed here in have the authority to execute for and on behalf of the Company all contracts or contract proposals, except for those contracts or contract proposals with a value exceeding Five Hundred Thousand (\$500,000) dollars in revenue:


Name and Title

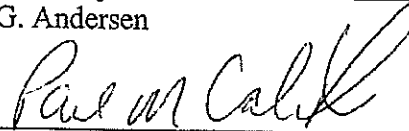
Dan Cox, Chief Executive Officer
Nicolas Alberga, Chief Financial Officer
Angele Romig, Chief Administrative Officer
;and be it further

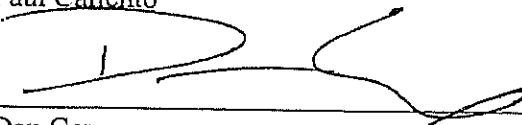
RESOLVED, that this consent may be executed in one or more counterpart copies which, when signed by all of the members of the Board, shall be effective and taken together shall be one and the same instrument.


[Signature Page to Follow]

IN WITNESS WHEREOF, the undersigned, being each of the members of the Board of Directors of the Company, have hereunto set their hand as of the 18 day of February, 2016.

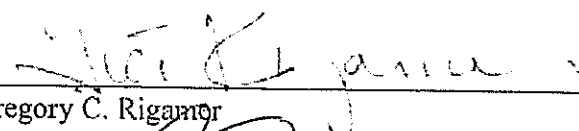

James G. Andersen

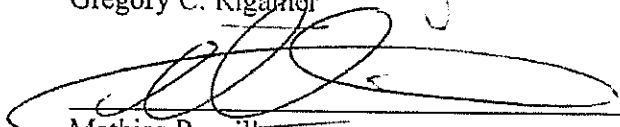

Paul Caliento


Dan Cox


Michael W. Flores


Joseph Posewick


Gregory C. Rigamonti


Mathias Rumilly

ATTACHMENT II: CERTIFICATION STATEMENT

The undersigned hereby acknowledges she/he has read and understands all requirements and specifications of the Request for Proposals (RFP), including attachments.

OFFICIAL CONTACT. The State requests that the Proposer designate one person to receive all documents and the method in which the documents are best delivered. The Proposer should identify the Contact name and fill in the information below: (Print Clearly)

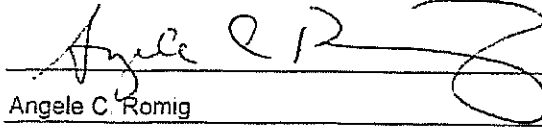
A. Official Contact Name: Angele C. Romig
B. E-mail Address: aromig@gcrincorporated.com
C. Facsimile Number with area code: (504) 304-2525
D. US Mail Address: 2021 Lakeshore Drive, Suite 500, New Orleans, LA 70122

Proposer shall certify that the above information is true and shall grant permission to the State or Agencies to contact the above named person or otherwise verify the information provided.

By its submission of this proposal and authorized signature below, Proposer shall certify that:

1. The information contained in its response to this RFP is accurate;
2. Proposer shall comply with each of the mandatory requirements listed in the RFP and will meet or exceed the functional and technical requirements specified therein;
3. Proposer shall accept the procedures, evaluation criteria, mandatory contract terms and conditions, and all other administrative requirements set forth in this RFP.
4. Proposer's quote shall be valid for at least 90 calendar days from the date of proposal's signature below;
5. Proposer understands that if selected as the successful Proposer, he/she will have 5 business days from the date of delivery of final contract in which to complete contract negotiations, if any, and execute the final contract document.
6. Proposer shall certify, by signing and submitting a proposal for \$25,000 or more, that their company, any subcontractors, or principals are not suspended or debarred by the General Services Administration (GSA) in accordance with the requirements in OMB Circular A-133. (A list of parties who have been suspended or debarred can be viewed via the internet at <https://www.sam.gov>.)
7. Proposer understands that, if selected as a contractor, the Louisiana Department of Revenue must determine that it is current in the filing of all applicable tax returns and reports and in payment of all taxes, interest, penalties, and fees owed to the state and collected by the LDR. Proposer shall comply with R.S. 39:1624(A)(10) by providing its seven-digit LDR account number in order for tax payment compliance status to be verified.
8. Proposer further acknowledges its understanding that issuance of a tax clearance certificate by LDR is a necessary precondition to the approval of any contract by the Office of State Procurement. The contracting agency reserves the right to withdraw its consent to any contract without penalty and proceed with alternate arrangements, should a prospective contractor fail to resolve any identified outstanding tax compliance discrepancies with the LDR within seven (7) days of such notification.

Signature of Proposer or
Authorized Representative



Typed or Printed Name:

Angela C. Romig

Date:

November 27, 2017

Title:

Chief Administrative Officer/Sr. Vice President

Company Name:

GCR Inc.

Address:

2021 Lakeshore Drive, Suite 500

City:

New Orleans

State:

LA

Zip:

70122

UNANIMOUS WRITTEN CONSENT

OF THE

BOARD OF DIRECTORS

OF

GCR INC.

The undersigned, being each of the members of the Board of Directors (the "Board") of GCR Inc., a Louisiana corporation (the "Company"), do hereby consent to the adoption of the following resolutions by written consent and direct that this consent be placed in the minutes of the Company:

RESOLVED, that individuals listed here in have the authority to execute for and on behalf of the Company all contracts or contract proposals, except for those contracts or contract proposals with a value exceeding Five Hundred Thousand (\$500,000) dollars in revenue:

Name and Title

Dan Cox, Chief Executive Officer

Nicolas Alberga, Chief Financial Officer


Angele Romig, Chief Administrative Officer


;and be it further

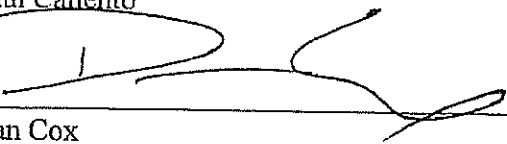
RESOLVED, that this consent may be executed in one or more counterpart copies which, when signed by all of the members of the Board, shall be effective and taken together shall be one and the same instrument.

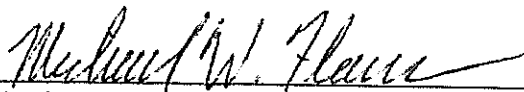
[Signature Page to Follow]


IN WITNESS WHEREOF, the undersigned, being each of the members of the Board of Directors of the Company, have hereunto set their hand as of the 18 day of February, 2016.

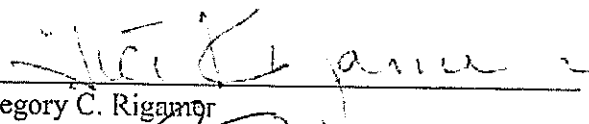

James G. Andersen

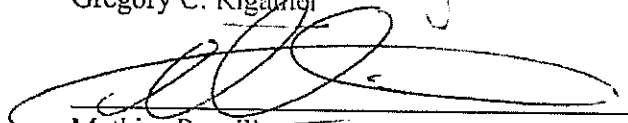

Paul Caliento


Dan Cox


Michael W. Flores


Joseph Posewick


Gregory C. Rigamonti


Mathias Rumlly

ATTACHMENT II: CERTIFICATION STATEMENT

The undersigned hereby acknowledges she/he has read and understands all requirements and specifications of the Request for Proposals (RFP), including attachments.

OFFICIAL CONTACT. The State requests that the Proposer designate one person to receive all documents and the method in which the documents are best delivered. The Proposer should identify the Contact name and fill in the information below: (Print Clearly)

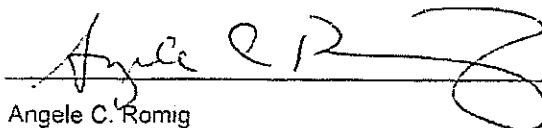
A. Official Contact Name:	<u>Angele C. Romig</u>
B. E-mail Address:	<u>aromig@gcrincorporated.com</u>
C. Facsimile Number with area code:	<u>(504) 304-2525</u>
D. US Mail Address:	<u>2021 Lakeshore Drive, Suite 500, New Orleans, LA 70122</u>

Proposer shall certify that the above information is true and shall grant permission to the State or Agencies to contact the above named person or otherwise verify the information provided.

By its submission of this proposal and authorized signature below, Proposer shall certify that:

1. The information contained in its response to this RFP is accurate;
2. Proposer shall comply with each of the mandatory requirements listed in the RFP and will meet or exceed the functional and technical requirements specified therein;
3. Proposer shall accept the procedures, evaluation criteria, mandatory contract terms and conditions, and all other administrative requirements set forth in this RFP.
4. Proposer's quote shall be valid for at least 90 calendar days from the date of proposal's signature below;
5. Proposer understands that if selected as the successful Proposer, he/she will have 5 business days from the date of delivery of final contract in which to complete contract negotiations, if any, and execute the final contract document.
6. Proposer shall certify, by signing and submitting a proposal for \$25,000 or more, that their company, any subcontractors, or principals are not suspended or debarred by the General Services Administration (GSA) in accordance with the requirements in OMB Circular A-133. (A list of parties who have been suspended or debarred can be viewed via the internet at <https://www.sam.gov> .)
7. Proposer understands that, if selected as a contractor, the Louisiana Department of Revenue must determine that it is current in the filing of all applicable tax returns and reports and in payment of all taxes, interest, penalties, and fees owed to the state and collected by the LDR. Proposer shall comply with R.S. 39:1624(A)(10) by providing its seven-digit LDR account number in order for tax payment compliance status to be verified.
8. Proposer further acknowledges its understanding that issuance of a tax clearance certificate by LDR is a necessary precondition to the approval of any contract by the Office of State Procurement. The contracting agency reserves the right to withdraw its consent to any contract without penalty and proceed with alternate arrangements, should a prospective contractor fail to resolve any identified outstanding tax compliance discrepancies with the LDR within seven (7) days of such notification.

Signature of Proposer or
Authorized Representative



Typed or Printed Name:

Angele C. Romig

Date:

November 27, 2017

Title:

Chief Administrative Officer/Sr. Vice President

Company Name:

GCR Inc.

Address:

2021 Lakeshore Drive, Suite 500

City:

New Orleans

State: LA

Zip: 70122

**STATE OF LOUISIANA
DISCLOSURE OF OWNERSHIP AMENDMENT
(R.S. 12:25 E, 12:205 E, & 12:304 A (II))**

GCR INC. is contracting with the state and listed below are the names and addresses of all persons or corporate entities who hold ownership interest of five percent or more in the corporation or who hold by proxy the voting power of five percent or more in the corporation and, if anyone holding stock in his own name that actually belongs to another, the name of the person for whom held, including stock held pursuant to the counter letter.

Persons or corporate entities owning 5% or more:

GCR ACQUISITION COMPANY LLC
2021 LAKESHORE DRIVE, SUITE 500
NEW ORLEANS, LA 70122

Persons or corporate entities who hold by proxy the voting powers of 5% more:

Stock held for others and for whom held:

The filing of a false public record, with the knowledge of its falsity, is a crime, subjecting the filer to fine or imprisonment or both under R.S. 14:133.

By typing my name below, I hereby certify that the information provided is a true and complete statement.

Electronic Signature: LYNETTE LAPEYROLERIE(6/10/2016)

Title: BUSINESS DEVELOPMENT COORDINATOR

**FOR CIVIL SERVICE USE ONLY**

Effective Date of Contract

Approval Date

SCS Commission Approval (if required)

SCS Approval (Initial and Date)

Comments

COMPLETE THE FOLLOWING INFORMATION FOR REQUESTS DEALING WITH THE CONTRACTING OF STATE SERVICES AND/OR STATE PERSONNEL

Agency Name

Personnel Area Number

Agency Number

Secretary of State

0139

4600

CONTRACT INFORMATION

Contract #

Name of Contractor

GCR Inc.

Is this an amendment to an existing contract?

If yes, OCR # (if applicable)

Yes ☐No ☒

Start Date of Contract

End Date of Contract/Amendment

Dollar Amount of Contract (Including Amendment)

5/1/2018

4/30/2019

\$2,500,000

CONTRACT DETAILS PROVIDED BY AGENCY TO SCS*Provide a brief overview of services to be performed to include the following:*

Services to be replaced/provided by a contractor:

Information technology consulting services for the continued development and maintenance of elections, voter registration, corporations, and other departmental systems.

Advantages of contracting out services:

Department resources will work within integrated teams alongside the contractor to gain expertise in the tools and applications necessary to maintain the systems and provide user support and training once contractor involvement has ended.

Justification for contracting out services:

The Department does not have sufficient resources to provide the extensive new functionality needed for these complex applications.

POTENTIAL IMPACTS ON CLASSIFIED STATE EMPLOYEES

Will this contract result in the removal of responsibilities from one or more classified state employees?

Yes ☐No ☒*Will this contract establish a relationship wherein an employee or official of the state takes the following actions:**Determines the work hours of the person performing the contractual services*Yes ☐No ☒*Determines the day to day duties of that person*Yes ☐No ☒*Approves the absences from the work place of that person*Yes ☐No ☒

If the answer to all of the previous four questions is "NO," please email this completed form to DSCScontractreview@la.gov or send it in PROACT for SCS approval. If the answer to any of the questions is "YES," please complete the "Notification of SCS Commission's Authority on Contracts" portion of the form and then submit two copies of the proposed contract with this form to the Department of State Civil Service, Employee Relations Division, P.O. Box 94111, Baton Rouge, LA 70804-9111.

NOTIFICATION OF SCS COMMISSION'S AUTHORITY ON CONTRACTS

An agency requesting approval of an outsourcing contract which will result in the involuntary displacement of a classified employee must have the State Civil Service Commission's approval as provided in Civil Service Rule 2.9(h). The Commission will review all request for contract approval under the following guidelines:

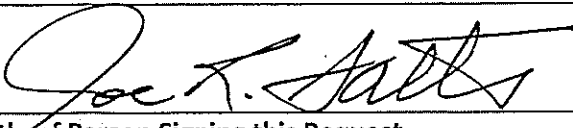
1. The Commission will review all contracts that directly affect civil service employees within in a reasonable period of time to the contract's implementation.
2. The Commission will ensure that classified employees are competitively selected on the basis of merit, free from political influence, and will protect classified employees from dismissal or disciplinary actions for religious or politically-motivated reasons.
3. The Commission will approve contracts that are entered into for reasons of efficiency and economy, provided that the decision to privatize is made without political motivation as to the civil servants.
4. The Commission will request all documents from the agency which are necessary to determine if any classified employee will be involuntarily displaced from civil service and if so, whether the contract was entered into for reasons of efficiency and economy and not for politically-motivated reasons.
5. The Commission will not determine whether a service should or could be provided within the classified system, whether the contract is in the best interest of the State, or whether the fiscal restraints presented by the state justify privatization.
6. The Commission will challenge in the court system of Louisiana any contract that it has good cause to believe was entered into as a pretext for the discriminatory dismissal or treatment of civil servants for religious or political reasons.

APPOINTING AUTHORITY ACKNOWLEDGEMENT FOR CONTRACTS REQUIRING SCS COMMISSION APPROVAL

I hereby acknowledge that I have reviewed the information listed above pertaining to the authority of the Civil Service Commission in relation to contracts and further verify, to the best of my knowledge, that the proposed contract has been entered into for reasons of efficiency and economy and not for politically motivated reasons.

Name of Appointing Authority	Date
Joe Salter	12/14/2017
Title of Appointing Authority	
Undersecretary of Management and Finance	

AGENCY INFORMATION

Signature of Appointing Authority or Designee		Date
		12/14/17
Title of Person Signing this Request		
UNDERSECRETARY MANAGEMENT AND FINANCE		
Contact Information (Human Resources Contact)		
Name	Ashley Gautreaux	
Email	ashley.gautreaux@sos.la.gov	Phone Number (225) 362-5186

**JLCB AGENDA ITEM REQUEST: Harveston Economic Development District TIF
03/15/21**

The attached packet of information is being submitted by LED on behalf of the Harveston Economic Development District (EDD) to request approval for Tax Increment Financing utilizing 2 pennies (or 45%) of future increments of the 4.45 pennies of state sales tax collections within the EDD. Specifically, the request is for JLCB to approve the State to enter into a CEA with the Harveston EDD for said purpose.



March 15, 2021

Senator Mack "Bodi" White
Chairman, Joint Legislative Committee on the Budget
900 North Third Street
Baton Rouge, Louisiana 70802

Re: Tax Increment Financing request for the Harveston Economic
Development District within the City of Baton Rouge/Parish of East
Baton Rouge

Dear Senator White,

LED received from the Harveston Economic Development District (EDD) a request that the State dedicate to the district the incremental increases of two percent (2.0%) of the four and forty-five hundredths of one percent (4.45%) of State sales tax collected within the boundaries of the district. SLP Development LLC is planning a \$525 million mixed-use, traditional neighborhood development within the Harveston EDD to include public infrastructure, schools, recreational areas, commercial developments, and housing using a combination of private investment and the proceeds from the incremental increase of a portion of local and State sales taxes collected within the district.

Dr. James Richardson has conducted an economic impact analysis which indicates that the proposed development within the Harveston Economic Development District would result in an increase in collections of State sales taxes above the amount currently being collected. LED concurs with Dr. Richardson's determination that the activity that will result from the private and public investments made combined with the additional commitments of public benefits within the district will benefit the State economically. Furthermore, under the tax increment financing proposal as recommended by LED - which entails a term of no more than 25 years and a lifetime aggregate cap of \$25 million - the State would receive more funds from the increased State sales tax revenues than the district would receive from the proceeds of the dedicated State sales tax increment.

Please accept this letter as the written evaluation and determination of the Louisiana Department of Economic Development as required under La. R.S. 33:9038.34(A)(6).

Thank you for your assistance and cooperation with this matter. Should you have any further questions, please do not hesitate to contact me or LED Assistant Secretary, Mandi Mitchell.

Sincerely,

A handwritten signature in black ink, appearing to read "Don Pierson".

Don Pierson, Secretary

State of Louisiana
Department of Revenue

JOHN BEL EDWARDS
Governor



KIMBERLY LEWIS ROBINSON
Secretary

March 18, 2021

Secretary Don Pierson
Louisiana Economic Development
617 North Third Street
Baton Rouge, Louisiana 70802

Re: Harveston Economic Development District of the City of Baton Rouge/
Parish of East Baton Rouge

Dear Secretary Pierson:

I have reviewed your Department's letter regarding the proposed dedication of the incremental increases in two percent (2.0%) of the four and forty-five hundredths of one percent (4.45%) of State sales tax collected within the boundaries of the Harveston Economic Development District in the City of Baton Rouge/Parish of East Baton Rouge (the "District").

I concur with your evaluation of the economic benefit to the State as a result of any economic activity within the District. Please accept this letter as certification as to the correctness of your Department's evaluation of the anticipated increase in State sales tax revenues to be collected, as required by La. Rev. Stat. 33:9038(A)(6). The Louisiana Department of Revenue's sales tax analysis of the Harveston Economic Development District is enclosed for your reference.

Sincerely,

A handwritten signature in dark ink, appearing to read "K. Robinson", is written over a light blue horizontal line.

Kimberly L. Robinson
Secretary

Enclosure

Contributing to a better quality of life.

617 North Third Street, Post Office Box 66258, Baton Rouge, Louisiana 70896

Telephone (225) 219-4059 • Fax (225) 219-2114

www.revenue.louisiana.gov

Harveston Economic Development District TIF Cost Estimate

FYE June 30, 2020

State Annual Base (Gross Tax Reported less V.C.)	\$0.00
Monthly State Base (Annual State Base divided by 12)	\$0.00
Taxable Sales	\$0.00
TIF State Estimated Available Increment (4.45%)	\$0.00
TIF State Estimated Pledged Increment (45% of 4.45%)	\$0.00

Analysis of Economic Development District for Harveston in East Baton Rouge Parish

Prepared by Dr. James A. Richardson, Alumni Professor of Economics and Public Administration at Louisiana State University¹

Request for a State TIF for the Harveston Economic Development District in East Baton Rouge Parish: Harveston is an Economic Development District in East Baton Rouge Parish with a planned investment of approximately \$525 million which includes infrastructure, schools, recreational areas, commercial developments, and housing. The private investment will create its own economic impact during the construction of Harveston. This construction will create jobs, income, and state and local tax receipts as it is undertaken. Once the construction is completed, the ongoing activities of the Harveston community will sustain continuing economic activity and will also supplement the image of the state's Capital City in terms of the "quality of life" which is a significant component of the attraction of new industries that are focused on the overall attraction of a region as opposed to just the location of natural resources.

The Baton Rouge/East Baton Rouge Metro-Council approved in December 2019 a TIF for net new sales associated with the new retail stores to be constructed in the Harveston EDD with the TIF being in force the shorter of 12 months after the date all expenses and debt of the EDD have been paid in full or 40 years from the date on which EBR City/Parish sales taxes have been levied and collected.

Harveston EDD is asking the State to approve a TIF for 2% of the current 4.45% state sales tax or 2% of whatever the sales tax rate may be. This TIF will be associated with all economic activities within the economic development district for sales of the new retail stores to be constructed in the Harveston EDD. The TIF will be in force the shorter of 12 months after the date all expenses and debt of the EDD have been paid in full or 40 years from the date the state's sales taxes have been levied and collected.

Tax Increment Financing: Tax Increment Financing (TIF) is a mechanism by which public decisions regarding the use of public resources can be expressed via use of the State's tax system. The TIF provides public support to an investment that generates economic and social gains to the state.

The City/Parish declared that the development of Harveston is an important commitment for the City/Parish to make for the long-term development of the City/Parish and for the net new infrastructure that would be constructed without direct public resources. This development is also a crucial investment with regards to the diversification of the state's economy.

¹ The analysis represents solely the findings and opinion of Dr. James A. Richardson and none of the other organizations with which he is affiliated.

The State of Louisiana must be focused on the diversification of its economy which includes recruiting industries, not just because of the state's natural resources or transportation facilities, but because of the state's labor force, educational opportunities, and overall quality of life. The exact definition of quality of life is not as easy to quantify as, say, the amount of natural resources we may have or the number of ports, waterways, railways, and highways the state has to facilitate the movement of commerce. However, every definition of quality of life includes housing, culture and leisure, security, and infrastructure and services.² Forbes Magazine noted the difficulty of putting a precise definition of "quality of life" but included in its multidimensional factors the following: housing, environment, education, level of independence, local services and transport, and employment.³

The need for economic diversification is an absolute necessity in Louisiana. Five of the fastest growing industries in the United States⁴ are in Healthcare and Social Assistance and other fast-growing industries are in information technology, cybersecurity, and other technology-related fields. The "quality of life" becomes a critical factor in describing the area in which the state will be seeking industries to invest in Louisiana. A significant economic development such as the Harveston Economic Development District will not, by itself, define the quality of life in Louisiana; however, it provides a visible example of the housing, social, recreational, educational, and commercial neighborhoods that can be developed in Louisiana and can encourage and support new industry developments.

Private dollars of approximately \$525 million will finance the development.

Proposed Activity at Harveston EDD:

- (1) Estimated \$347.744 million of commercial, special use, hotel, senior living, and office construction over a 10-year period;
- (2) estimated \$65.269 million for the dedication of open land for roads, a school, and open areas;
- (3) estimated \$176.975 million for infrastructure investment of roads, bridges, roundabouts, utilities, and offsite connections; the development of Town Square, streetscapes, walking and bike paths, and parking structure with all these investments; and engineering/legal/land planning costs with these investments being made over a three-year period;
- (4) total construction activity being an estimated \$524.720 million;
- (5) estimated retail sales at the Harveston EDD of \$137.630 million with overall state sales tax collections being an estimated \$6,124,535 per year given that the state sales

² OECD (2014), *How's Life in Your Region?: Measuring Regional and Local Well-Being for Policy Making*.

³ "Quality of Life: Everyone Wants It, But What Is It?" *Forbes*, September 4, 2013.

⁴ TED: The Economic Daily, September 4, 2020, bls.gov. Some of this growth is related to the aging of the population and some is contributed to the significance of healthcare research and technology enhancements.

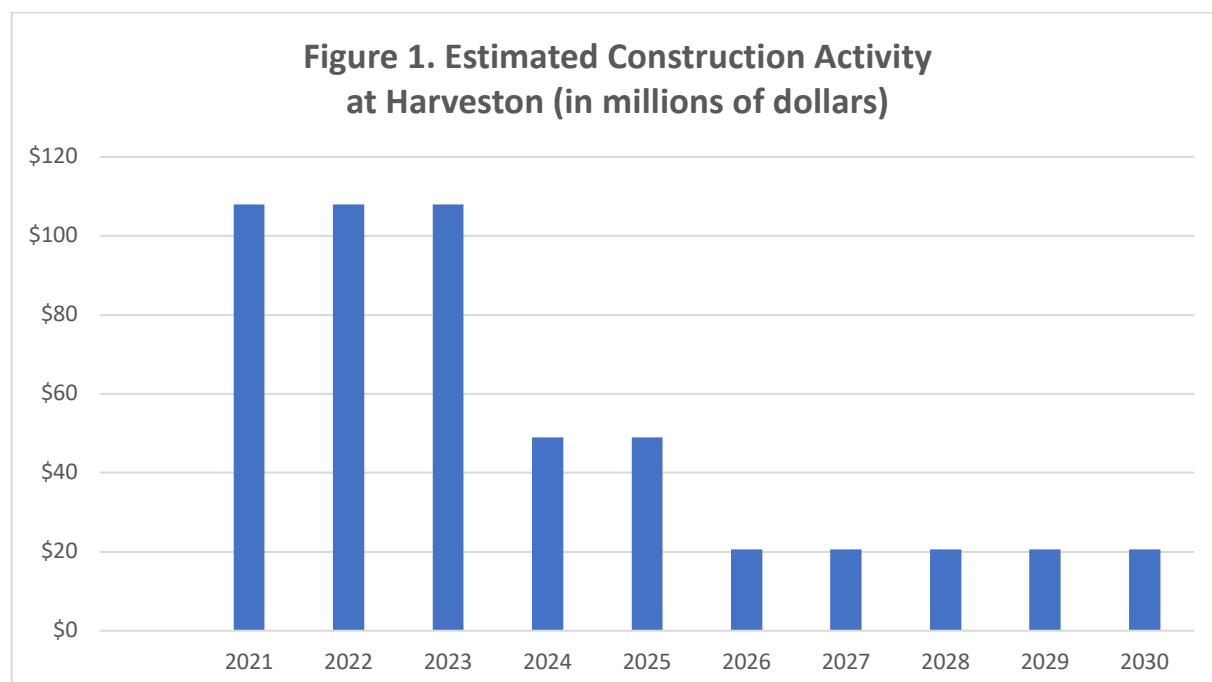
tax rate remains at 4.45% as it is currently enacted from state fiscal 2019 through state fiscal 2025.

(6) retail sales are expected to reach 33% of estimated total retail sales by 2024; 50% by 2026; 67% by 2028; 88% by 2030; and 100% by 2031 with all sales estimates being in 2020 dollars.

(7) The construction activity will be net new investment in the state and the Baton Rouge area.

(8) We cannot estimate precisely the amount of retail sales that will be net new activity in the state as opposed to possibly pulling some retail activity from other parts of the parish or nearby parishes. The Harveston development will be an asset to the state in terms of making a case for encouraging other industries to view Louisiana as an appropriate and competitive place to expand or start up a business. This development is in line with the state's efforts to diversify its industrial base with emphasis on information industries, technology focus, and healthcare research centers.

Economic Impact of Construction Activity: Estimated Construction Activity of \$524.72 million over a ten-year period as shown in Figure 1 leads to additional employment in the Baton Rouge area of 1,000 jobs with personal earnings of around \$54.5 million per year the first three years; about 475 jobs with earnings of \$25 million the next two years; and about 200 jobs with earnings of just over \$10 million per year the last five years of the construction work. This generates about \$12 million of state taxes over the three-year period of the construction project and an estimated \$19.33 million over the ten-year construction project. The construction and the economic impacts will be net new activities within the state.



Cost of TIF to the State if the State Extends TIF for 40 years: The State is being asked to assign 2% of the state's current 4.45% sales tax rate (or 45% of the sales tax collections in the District) on retail sales to the Harveston Economic Development District to support payment for the development of the infrastructure. The present value with a 1.5% discount rate over a forty-year time period that the state will dedicate for the developmental activity at Harveston is an estimated \$68.0 million.

Benefits of the investment activity at Harveston: The investment in the infrastructure is estimated to be \$176.975 million (including interest expense over a ten-year period). Because of the infrastructure investment of \$176.975 million and the Harveston commercial development project of \$347.744 million, the State will gain the economic activity from the construction activity over the ten years as indicated above in the Economic Impact of the Construction Activity and the additional net new sales. The 2020 value of the earnings associated with the construction projects is estimated to be \$251 million and the 2020 value of state and local taxes during the construction phase is estimated to be around \$35 million with state tax collections being about \$20 million.

Benefits of the Ongoing Retail Activity at Harveston: The ongoing commercial activities generate permanent employment approaching 1,350 jobs with earnings of close to \$70 million annually without accounting for any inflation or productivity gains. The present value of the state tax collections related to the economic activities associated with the operations of the retail activities amounts to just over \$128 million. The present value of state sales taxes related to the sales at the retail stores amounts to \$151 million over 40 years. The 2% TIF for the Harveston Economic Development District will amount to a present value of about \$68 million over this same 40-year period. The State's sales tax collections over this 40-years will amount to a present value of just over \$83 million if the TIF is granted. This \$83 million is in addition to the revenues related to the construction activities and the related economic impact as well as the economic impact of the economic activity of the retail operations over the 40 years with its employment, purchases from various businesses, and other such activities.

What the State is Giving up and what the State is Gaining: The state is providing the present value of about \$68 million over 40 years in the form of a TIF to support the development of Harveston. The state will gain the present value of almost \$235 million in tax collections (sales tax and other taxes paid by individuals and businesses) over these 40 years from the economic impact of the construction projects associated with almost \$525 million in construction spending, the ongoing operation of the retail activities of just over \$137 million annually, and the state's share of the state sales tax collections with this being 2.45% over these 40 years. The state will gain a present value of almost \$235 million in state tax collections over these 40

years and the state will provide the economic development district about \$68 million through the TIF. This amounts to a 3.46 ratio of revenues to be gained by the state for every dollar provided to the economic development district.

Finally, it is necessary and understandable for the State to ask if this economic development project would occur without the TIF. We would anticipate that the Harveston development would occur but with a scaled-down approach. This becomes a financial decision that the developer has to address very carefully. The TIF provides the ability to develop the public amenities such as a school, an early learning center, health and entertainment venues, a sheriff's substation, and the open space. These amenities will have to be adjusted if the TIF is not available. These public amenities add to the "quality of life" environment that can be used as an attraction for new business enterprises.

The Harveston development is being constructed near the Gardere Lane area, a designated low-income area and an area that has been designated by the state and accepted by the U.S. Department of Treasury as an Opportunity Zone. We can expect spillovers in the Gardere Lane area including healthcare opportunities, shopping options, and increased security and fire protection.

The TIF permits the additional amenities, many of which are public activities, that will make the Harveston development an example of the quality of life available in Baton Rouge.

Costs and Benefits to State if State Provides 2% TIF with maximum amount of \$25 million and/or no longer than 25 years: The state has proposed a 2% TIF but with the limits of \$25 million over no more than 25 years. In this case, the state is providing the present value of about \$19.67 million over a period of time not to exceed 25 years in the form of a TIF to support the development of Harveston. The state will gain the present value of almost \$283 million in tax collections (sales tax and other taxes paid by individuals and businesses) over these 40 years from the economic impact of the construction projects associated with almost \$525 million in construction spending, the ongoing operation of the retail activities of just over \$137 million annually, and the state's share of the state sales tax collections with this being 2.45% with the TIF to be completed either when \$25 million has been reached or 25 years have been reached. The state will gain a present value of almost \$283 million in state tax collections over these 40 years and the state will provide the economic development district about \$25 million in nominal dollars through the TIF over a limited number of years. This amounts to a 14.15 ratio of revenues to be gained by the state for every dollar provided to the economic development district.

COOPERATIVE ENDEAVOR AGREEMENT

by and among

HARVESTON ECONOMIC DEVELOPMENT DISTRICT
(the “District”)

and

SLP DEVELOPMENT LLC
(the “Developer”)

and

THE STATE OF LOUISIANA,
through the Louisiana Department of Revenue

Dated as of _____, 2021

TABLE OF CONTENTS

ARTICLE 1 DEFINITIONS.....	2
Section 1.1 <u>Definitions</u>	2
Section 1.2 <u>Use of Defined Terms</u>	4
Section 1.3 <u>Rules of Interpretation</u>	4
ARTICLE 2 STATE’S REPRESENTATIONS AND OBLIGATIONS	4
Section 2.1 <u>Authority of State</u>	4
Section 2.2 <u>Scope of Authorized Agreement</u>	5
Section 2.3 <u>Collections</u>	5
Section 2.4 <u>Ownership of Monthly Pledged State Increment; Rebate to State</u>	5
Section 2.5 <u>Public Purpose</u>	5
Section 2.6 <u>No Litigation</u>	5
ARTICLE 3 DISTRICT’S AND DEVELOPER’S REPRESENTATIONS AND OBLIGATIONS	5
Section 3.1 <u>Scope of Project</u>	5
Section 3.2 <u>Public Hearing</u>	5
Section 3.3 <u>District and Developer Authority</u>	5
Section 3.4 <u>Public Purpose</u>	6
Section 3.5 <u>Validity of District and Developer Obligation</u>	6
Section 3.6 <u>No Litigation</u>	6
Section 3.7 <u>Use of Local Resources</u>	6
ARTICLE 4 COLLECTION AND TRANSFER	6
Section 4.1 <u>Department</u>	6
Section 4.2 <u>Transfer of Funds</u>	6
Section 4.3 <u>Calculations</u>	7
Section 4.4 <u>Effective Date of Monthly Pledged State Increment</u>	7
Section 4.5 <u>Collection Process</u>	7
Section 4.6 <u>LDR Collection Fees</u>	7
Section 4.7 <u>Rescission or Amendment</u>	7
Section 4.8 <u>Accounting</u>	8
Section 4.9 <u>Indemnification</u>	8
ARTICLE 5 TERM	8
Section 5.1 <u>Term of this Agreement</u>	8
ARTICLE 6 DEFAULT	8
Section 6.1 <u>Events of Default</u>	8
Section 6.2 <u>Remedies</u>	8
ARTICLE 7 MISCELLANEOUS	9
Section 7.1 <u>Accuracy of Base Collections</u>	9
Section 7.2 <u>Audit</u>	9
Section 7.3 <u>Reporting</u>	9
Section 7.4 <u>Entire Agreement</u>	9
Section 7.5 <u>Notices</u>	9
Section 7.6 <u>Further Assurances</u>	10
Section 7.7 <u>Amendments, Supplements, and Modifications</u>	10
Section 7.8 <u>Venue</u>	10
Section 7.9 <u>Severance</u>	10
Section 7.10 <u>No Personal Liability</u>	10
Section 7.11 <u>Rights and Remedies</u>	10
Section 7.12 <u>Captions</u>	10
Section 7.13 <u>Counterparts</u>	10
Section 7.14 <u>Governing Law</u>	10

EXHIBIT A – BOUNDARY DESCRIPTION OF THE DISTRICT

EXHIBIT B – DISTRICT BASE YEAR TAX COLLECTIONS

EXHIBIT C – CITY/PARISH ORDINANCE CREATING THE DISTRICT

COOPERATIVE ENDEAVOR AGREEMENT

This COOPERATIVE ENDEAVOR AGREEMENT (the “*Agreement*”), dated as of _____, 2021 but effective upon execution by all parties hereto, is made by and among the HARVESTON ECONOMIC DEVELOPMENT DISTRICT (The “*District*”), SLP DEVELOPMENT LLC (the “*Developer*”), and the STATE OF LOUISIANA, acting by and through the Louisiana Department of Revenue (“*LDR*”).

WITNESSETH:

WHEREAS, the District is an economic development district (EDD) duly created under and pursuant to the provisions of Part II of Chapter 27 of Title 33 of the Louisiana Revised Statutes of 1950, as amended (La. R.S. 33:9038.31 to 9038.42, inclusive) (the “*Economic Development Act*”) and Ordinance No. 17212 adopted on December 11, 2019, by the Metro Council of the City/Parish of East Baton Rouge, as the same may be amended from time to time, and other constitutional and statutory authority;

WHEREAS, Article VII, Section 14(C) of the Louisiana Constitution of 1974, as amended (the “*Constitution*”), provides that for a public purpose, the State may engage in cooperative endeavors with political subdivisions, private associations, corporations, or individuals;

WHEREAS, pursuant to the Economic Development Act, a sales tax increment consists of that portion of sales tax revenues for any or all tax recipient entities collected each year on the sale at retail, the use, the lease or rental, the consumption and storage for use or consumption of tangible personal property and on sales of services, all as defined in La. R.S. 47:301 *et seq.*, or any other appropriate provision of law, as amended, from taxpayers located within an economic development district, which exceeds the sales tax revenues that were collected for such taxing authority in the year immediately prior to the year in which such area was designated as an economic development district;

WHEREAS, the District encompasses the land located in the Parish within the boundaries set forth in Exhibit A hereto;

WHEREAS, pursuant to the Act, the District and the Developer have requested that LDR enter into this Agreement on behalf of the State to authorize the use by the District of forty-five percent (45.0%) of the sales tax increment collected by LDR for the State within the boundaries of the District to finance the cost of additions, acquisitions, construction, repairs and/or expansions needed to maintain works of public improvement within the boundaries of the District (the “*Project*”);

WHEREAS, the construction of the Project is expected to result in significant economic benefits to the City/Parish, the District, and the State, including the improvement of certain public works and other infrastructure improvements, the creation of construction jobs and other new non-construction jobs, increases to the tax base for *ad valorem* taxation, significant increases in sales and use tax collections, mitigation of the condition of unemployment or underemployment in the surrounding geographical area, and the attraction of additional businesses, as well as other ancillary financial and economic development benefits, as well as further intangible benefits to the City/Parish, the District, the surrounding areas, and the State (collectively, the “*Economic Benefits*”);

WHEREAS, pursuant to this Agreement the State has pledged and dedicated and will irrevocably pledge and dedicate an amount of Annual Pledged State Increment (as hereinafter defined) to pay the costs of the Project, including the debt service on Bonds (as hereinafter defined) and other debt obligations issued or incurred to finance the Project; and

WHEREAS, the District, the Developer, and the City/Parish have entered into a separate cooperative endeavor agreement (the “*Local Cooperative Endeavor Agreement*”) pursuant to which the

City/Parish has agreed to use incremental increases in its undedicated taxes collected within the boundaries of the District (the “*City/Parish Tax Revenues*”) to support economic development within the District.

NOW, THEREFORE, in consideration of the mutual covenants herein contained, the parties hereto hereby covenant and agree as follows:

ARTICLE 1 DEFINITIONS

Section 1.1 Definitions. The following terms shall, for purposes of this Agreement, have the following meanings:

“*Act*” shall mean, collectively, Section 14(C) of Article VII of the Louisiana Constitution of 1974, as amended and Part II of Chapter 27 of Title 33 of the Louisiana Revised Statutes of 1950, as amended, and other constitutional and statutory authority.

“*Agreement*” shall mean this Cooperative Endeavor Agreement, dated as of _____, 2021 by and among the State through LDR, the District, and the Developer, and any amendments or modifications hereto.

“*Annual Pledged State Increment*” shall mean a sum equal to forty-five percent (45.0%) of the Annual State Increment collected from taxpayers within the geographic area comprising the District attributable to any Year during the Term, provided that such amount shall not exceed twenty-five million dollars (\$25,000,000.00) in the aggregate.

“*Annual State Base*” shall mean Zero Dollars (\$0).

“*Annual State Increment*” shall mean the amount by which the Sales and Use Tax levied by the State and collected from taxpayers within the geographic area comprising the District attributable to any Year during the Term exceeds the Annual State Base.

“*Base Year*” shall mean the Fiscal Year for the State ending June 30, 2020.

“*Bonds*” shall mean the revenue bonds, if any, issued by the City/Parish or the District to finance all or a portion of the Project.

“*Business Day*” shall mean a day which is not (a) a Saturday or Sunday, (b) a legal holiday, or (c) a day on which banking institutions are authorized by law to close in the State of Louisiana.

“*City/Parish*” shall mean the City of Baton Rouge and Parish of East Baton Rouge, State of Louisiana.

“*City/Parish Tax Revenues*” means the incremental increases in the undedicated taxes of the City/Parish collected within the boundaries of the District and dedicated to support economic development within the District.

“*Commencement Date*” shall mean **the first day of the first Month in which the Monthly State Collection is greater than Zero Dollars (\$0).**

“*Constitution*” means Article VII, Section 14(C) of the Louisiana Constitution of 1974, as amended.

“*Depository Bank*” shall mean the bank into which LDR deposits Sales and Use Tax receipts of the State.

“*District*” shall mean that certain economic development district created by the City/Parish pursuant to Ordinance No. 17212 on December 11, 2019, as the same may be amended from time to time, within the boundaries of the area set forth in Exhibit A hereto, known as the Harveston Economic Development District.

“*Economic Benefits*” shall mean the impact on the economy of the City/Parish, the District, and the State as a result of the Project proposed pursuant to this Agreement and resulting from the ongoing fulfillment of the contract obligations hereunder, including the improvement of certain public works and other infrastructure improvements, the creation of construction jobs and other new non-construction jobs, increases to the tax base for *ad valorem* taxation, significant increases in sales and use tax collections, mitigation of the condition of unemployment or underemployment in the surrounding geographical area, and the attraction of additional businesses, as well as other ancillary financial and economic development benefits.

“*Economic Development Act*” shall mean Part II of Chapter 27 of Title 33 of the Louisiana Revised Statutes of 1950, as amended.

“*Fiscal Year*” shall mean the twelve-month period beginning on July 1 and ending June 30 of each year.

“*LDR*” shall mean the Louisiana Department of Revenue, the State Sales and Use Tax collection agent of the State.

“*Local Cooperative Endeavor Agreement*” shall mean that certain cooperative endeavor agreement by and among the City/Parish, the District, and the Developer by which the City/Parish and the District have pledged the City/Parish Tax Revenues to contribute to the funding of the Project to induce economic development within the boundaries of the District.

“*Month*” shall mean a calendar month.

“*Monthly Pledged State Increment*” shall mean a sum equal to forty-five percent (45.0%) of the Monthly State Increment collected from taxpayers within the geographic area comprising the District attributable to any Month during the Term.

“*Monthly State Base*” shall mean one-twelfth of the Annual State Base.

“*Monthly State Collection*” shall mean the total Sales and Use Tax collected by the State within the geographic area comprising the District attributable to any Month during the Term.

“*Monthly State Increment*” shall mean the amount by which the Sales and Use Tax levied by the State and collected from taxpayers within the geographic area comprising the District attributable to any Month during the Term exceeds the Monthly State Base.

“*Parish*” shall mean the Parish of East Baton Rouge Parish, State of Louisiana.

“*Project*” shall mean the construction of a pedestrian-friendly, mixed-use development and related infrastructure in one or more phases. It is anticipated that the Project will be constructed in multiple phases, including but not limited to drainage, sewer, streets, utilities, buildings and other costs, from time to time.

“*State*” shall mean, for the purposes of this Agreement, the State of Louisiana, acting through LDR.

“*Sales and Use Tax*” shall mean collectively, the net sales and use tax collected by the State as a result of the sales and use tax levied under R.S. 47:302 at a rate of 2%, R.S. 47:321 at a rate of 1%, and R.S. 47:331 at a rate of .97%, or net sales and use taxes levied and collected by the City/Parish on the sale at retail, the use, the lease or rental, the consumption and storage for use and consumption of tangible personal property and on sales and services, all defined in R.S. 301, et seq., as amended, along with any other appropriate provision of law. Sales and use tax shall not mean or include the .03% sales tax levied under R.S. 51:1286 by the Louisiana Tourism Promotion District, any dedicated hotel motel sales tax, the 1% tax levy imposed by the Louisiana Recovery District, rebates issued under contract through the Enterprise Zone Program administered by the Louisiana Department of Economic Development (LED), or any additional sales and use tax collected as discussed in R.S. 47:302(Z); 321(N); 331(U) and 321.1(H).

“*Tax Collector*” shall mean LDR.

“*Term*” shall mean the term of this Agreement as set forth in Article 5 hereto.

“*Treasurer’s Office*” shall mean the Louisiana Department of the Treasury.

“*Trust Fund*” shall mean that certain fund which shall be established by the District, as the same may be amended from time to time, for the purposes of receipt of the Monthly Pledged State Increment and paying costs in connection with economic development projects as defined in La. R.S. 33:9038.34(M) and La. R.S. 33:9038.36.

“*Year*” shall mean any consecutive twelve (12) month period.

Section 1.2 Use of Defined Terms. Terms defined in this Agreement shall have their defined meanings when used herein and in any document, certificate, report or agreement furnished from time to time in connection with this Agreement unless the context otherwise requires.

Section 1.3 Rules of Interpretation. Unless the context clearly indicates to the contrary, the following rules shall apply to the interpretation and construction of this Agreement:

(a) Words importing the singular number shall include the plural number and vice versa.

(b) All references herein to particular articles or sections are references to articles or sections of this Agreement.

(c) The captions and headings herein are solely for convenience of references and shall not constitute part of this Agreement, nor shall they affect its meaning, construction or effect.

(d) The terms “hereby,” “hereof,” “hereto,” “herein,” “hereinbelow,” “hereunder,” or any similar terms as used in this Agreement refer to the Agreement in its entirety and not the particular article or section of this Agreement in which they appear, and the term “hereafter” means after and the term “heretofore” means before the date of execution of this Agreement

ARTICLE 2 STATE’S REPRESENTATIONS AND OBLIGATIONS

Section 2.1 Authority of State. The State, through LDR, is granted the authority, pursuant to the Act, the approval of the Joint Legislative Committee on the Budget and approval of the Louisiana State Bond Commission, and other constitutional and statutory authority, to enter into this Agreement and makes the following representations and undertakes the following obligations.

Section 2.2 Scope of Authorized Agreement. As provided by the Act, the State may enter into cooperative endeavor agreements with local government subdivisions that may provide for the use of Sales and Use Tax receipts of the State for economic development projects, such as the Project, upon approval of the Joint Legislative Committee on the Budget, which approval was granted on _____, 2021, and upon approval of the Louisiana State Bond Commission, which approval was granted on _____, 2021, and to dedicate the Annual Pledged State Increment to the payment of debt service on the Bonds.

Section 2.3 Collections. The State hereby represents that current law and the current internal collection processes and systems of LDR are adequate for the purpose of collecting, classifying, reconciling, calculating and remitting the Annual Pledged State Increment on a quarterly basis, provided that such systems may be changed by LDR as they pertain to their respective collection processes relative to this Agreement, after providing notice to the District of such change. Not later than the twentieth (20th) day of the second Month of each calendar quarter, LDR shall direct the State Treasurer's Office to transfer to the Trust Fund, using wiring instructions provided by the District, the aggregate Monthly Pledged State Increment for the prior quarter.

Section 2.4 Ownership of Monthly Pledged State Increment; Rebate to State. The State hereby represents and agrees that: (i) the Monthly Pledged State Increment, by virtue of the Act and the approval of the Joint Legislative Committee on the Budget, does not constitute State funds and requires no appropriation by the Louisiana Legislature for the Depository Bank to forward such funds to the Trust Fund on behalf of the District; and (ii) pending classification as Monthly Pledged State Increment, such funds, although collected by the State, are not part of the State treasury but are held in trust pending classification as Monthly Pledged State Increment and disbursement to the District.

Section 2.5 Public Purpose. The State hereby represents that there is a reasonable expectation that the Project will serve a public purpose and result in economic development within the State that will exceed the value of the obligations of the State, as described herein.

Section 2.6 No Litigation. Except as may be otherwise disclosed in writing to the parties to this Agreement, there is no action, suit, investigation or proceeding pending, or to its best knowledge, threatened, against the State, before any Louisiana court, arbitrator, or administrative or governmental body, or insurance underwriting agency that might result in a material adverse change in the financial condition or operations of the State or that might adversely affect the ability of the State to comply with its obligations hereunder or in connection with the transactions contemplated hereby, relative to this Agreement.

ARTICLE 3

DISTRICT'S AND DEVELOPER'S REPRESENTATIONS AND OBLIGATIONS

Section 3.1 Scope of Project. The Project is within the scope of the Act and the District and the Developer will use the Annual Pledged State Increment solely to pay for the costs of the Project, including the debt service on the Bonds and other debt obligations, in order to induce economic development within the boundaries of the District.

Section 3.2 Public Hearing. The formation of the District is valid and the approval and execution of this Agreement have been the subject of public meetings and hearings held in accordance with the Act.

Section 3.3 District and Developer Authority. The District and the Developer have all requisite power pursuant to the Act to enter into this Agreement and the authorization, execution and delivery hereof and compliance with the provisions hereof do not conflict with or constitute on the part of the District or the Developer a violation of, breach of, or default under: (i) any provision of any indenture, mortgage, deed of trust, loan agreement or other contract or instrument to which the District or the Developer are a party

or by which it is bound; (ii) any order, injunction or decree of any court or governmental authority; or (iii) the provisions of its charter, as amended, or by-laws, as amended.

Section 3.4 Public Purpose. The District and the Developer anticipate that the Project will result in the creation of jobs, stimulate economic development, and increase the tax base for sales and use tax receipts and *ad valorem* taxation within the geographic area comprising the District, serving an integral public purpose. Furthermore, the District and the Developer commit to using commercially reasonable efforts to provide for or cause the provision of the following public benefit components:

- (a) No less than 15% of the seats in any planned early childhood learning center and elementary and secondary schools within the District will be reserved for low- and moderate-income (LMI) children.
- (b) An affordable housing component to be located within the District.
- (c) Roads, recreational areas, and other open spaces within the District paid for with the Annual Pledged State Increment will be open and accessible to the general public.

Section 3.5 Validity of District and Developer Obligation. The District and the Developer have taken or caused to be taken all necessary and proper action to authorize the execution, issuance, and delivery of and the performance of their respective obligations under this Agreement and any and all instruments and documents required to be executed or delivered pursuant hereto or in connection herewith. This Agreement constitutes a valid and legally binding obligation of the District and the Developer.

Section 3.6 No Litigation. Except as may be otherwise disclosed in writing to the parties to this Agreement, there is no action, suit, investigation or proceeding pending, or to its best knowledge, threatened, against the District or the Developer, before any court, arbitrator, or administrative or governmental body, or insurance underwriting agency that might result in a material adverse change in the financial condition or operations of the District and the Developer or that might adversely affect the ability of the District and the Developer to comply with their obligations hereunder or in connection with the transactions contemplated hereby, relative to this Agreement.

Section 3.7 Use of Local Resources. In connection with the Project, the District and the Developer hereby agree to use their commercially reasonable efforts to purchase materials and equipment from businesses and individuals located in the Parish, and to employ residents of the Parish, for construction jobs and permanent jobs.

ARTICLE 4 COLLECTION AND TRANSFER

Section 4.1 Department. The State hereby agrees to act as agent of the District commencing the Commencement Date, for the sole purpose of collecting the Monthly Pledged State Increment. The District shall provide the State with the name, address and transfer information relative to the Trust Fund. Such agency shall continue from the Commencement Date until the last day of the Term of this Agreement (or until the State's contribution has reached twenty five million dollars (\$25,000,000.00) in the aggregate), or as otherwise provided by amendment or addendum to this Agreement. The State hereby further agrees that it shall additionally take all reasonable and customary enforcement procedures necessary in connection with the collection of the Monthly Pledged State Increment.

Section 4.2 Transfer of Funds. It is understood that the Monthly Pledged State Increment collected by LDR is the property of the District and thus the payment thereof to the District does not require legislative appropriation by the State Legislature. However, as a means of facilitating the collection of the Monthly Pledged State Increment, it shall be the continuing duty of LDR during the Term to send data to

the Treasurer's Office and request deposit by the Treasurer's Office of the Monthly Pledged State Increment on a quarterly basis with the Depository Bank. LDR shall classify and reconcile Sales and Use Tax receipts from within the geographic area comprising the District as promptly as practicable, and shall inform the Treasurer's Office and the District, as soon as practicable, as to the amount of receipts on deposit with the Depository Bank that constitute the Monthly Pledged State Increment. LDR shall work with the Treasurer's Office regarding which receipts must be transferred by the Depository Bank to the District for deposit to the Trust Fund, as defined herein, and the date for such transfer, which shall be no later than the twentieth (20th) day of the second Month of each calendar quarter for transfer of the prior quarter's aggregate Monthly Pledged State Increment. Each quarter LDR will inform the Treasurer's Office as to the requirement for the Depository Bank to transfer the prior quarter's aggregate Monthly Pledged State Increment to the District.

Section 4.3 Calculations. (a) LDR, the District and the Developer hereby agree that the Monthly Pledged State Increment shall be calculated at least quarterly for each Month during the term of this Agreement. Such calculations shall be made by LDR and the calculation shall be provided to the District and the Developer. A re-calculation of the Monthly Pledged State Increment for any Month or Months shall be made at any time upon reasonable notice upon the request of the District or the Developer. Collections of Sales and Use Tax by LDR from within the geographic area comprising the District shall be attributed to the Month for which such collections are actually made and included in the corresponding Monthly Pledged State Increment, regardless of which such Sales and Use Taxes are deemed due and owing; provided that collections of Sales and Use Taxes paid under protest shall be set aside in accordance with LDR's normal procedures and shall not be included in the Monthly Pledged State Increment unless and until a final judicial determination is made by a court of competent jurisdiction that such State Sales and Use Taxes have been legally collected.

(b) If it is determined that for any period of time fewer monies have been transferred to the District than were due, or more funds have been transferred than were due, for whatever reason, LDR shall direct an adjustment in the Monthly Pledged State Increment paid to the District in order that the shortfall or overcollection for any prior period is eliminated as soon as practicable and in any event no more than ninety (90) days subsequent to the recalculation giving rise to the need for the adjustment provided that LDR shall not be obligated to use any funds for adjustments other than from Sales and Use Tax collected from within the Districts.

Section 4.4 Effective Date of Monthly Pledged State Increment. The Monthly Pledged State Increment shall be pledged effective the Commencement Date. LDR shall direct the Treasurer's Office and the Depository Bank to pay all Monthly Pledged State Increment collected on and after the Commencement Date to the District for deposit to the Trust Fund as provided herein.

Section 4.5 Collection Process. To the extent it is not in conflict with the provisions of this Agreement, LDR is hereby authorized and directed and agrees to continue the collection processes currently utilized and is directed and agrees to audit, assess or take other action necessary to assure the enforcement and collection of Sales and Use Tax in the geographic area comprising the District in the same manner as Sales and Use Taxes are currently being collected or authorized to be collected as of the Commencement Date.

Section 4.6 LDR Collection Fees. LDR is hereby authorized and directed to withhold from the Sales and Use Tax collected by LDR each Month within the geographical boundaries of the District, as compensation for the performance of LDR's obligations hereunder, an amount equal to one percent (1%) of such Sales and Use Tax collected, not to exceed in the aggregate of ten thousand dollars (\$10,000) within any Fiscal Year (the "*LDR Collection Commission*"). At such point in the Fiscal Year that the LDR Collection Commission reaches an aggregate total of ten thousand dollars collected for previous Months, LDR shall not withhold any further LDR Collection Commission for that Fiscal Year.

Section 4.7 Rescission or Amendment. In no event shall any rescission or amendment to this

Agreement be effective without the prior execution and delivery thereof by all parties hereto.

Section 4.8 Accounting. Not later than March 1 of each Year LDR shall provide a written accounting to the District of all Annual Pledged State Increment collected on behalf of the State and the District in the previous Year. In addition, LDR shall provide current collection information to the District upon request thereby. It is not the intention of this Agreement to violate La. R.S. 47:1508 or R.S. 47:1508.1, and the parties hereto shall comply with such provisions.

Section 4.9 Indemnification. The District and the Developer agree to indemnify and hold LDR and its members, officers, employees and agents harmless against any claim, loss, liability, damage or expense (including reasonable attorneys' fees) whatsoever incurred by the LDR arising from or in connection with any claim relating to the performance of its obligations hereunder except to the extent such claim, loss, liability or expense is finally determined by a court of competent jurisdiction to have resulted from the gross negligence or willful misconduct of LDR. LDR shall be entitled to appear in any action or proceeding to defend itself against such claims, and all costs reasonably incurred by LDR in connection with such defense, including reasonable attorneys' fees, shall be paid by the District to LDR except to the extent such claim, loss, liability, or expense is finally determined by a court of competent jurisdiction to have resulted from the gross negligence or willful misconduct of LDR.

ARTICLE 5 TERM

Section 5.1 Term of this Agreement. This Agreement shall be effective upon execution by all parties hereto and shall terminate no later than twenty-five (25) years following the Commencement Date.

ARTICLE 6 DEFAULT

Section 6.1 Events of Default. The following occurrences or acts shall constitute "Events of Default" under this Agreement if not cured within the applicable cure period:

(a) Any party hereunder shall fail to make payment when due of any sum payable by it hereunder; or

(b) Any party hereunder shall fail to observe or perform any other obligation required hereunder; and

if such event shall continue for ninety (90) days after the non-defaulting party shall have given the defaulting party notice specifying such failure and demanding that the same be cured. If, by reason of the nature thereof, such failure cannot with due diligence be wholly cured within such ninety (90) day period, such cure period may be extended for such period as may be necessary to complete the curing of the same with the agreement of the other party.

Section 6.2 Remedies. Upon a default under Section 6.1 above, each party may proceed to protect and enforce its rights by suits in equity or at law, whether for the specific performance of any obligation, covenant or agreement contained in this Agreement or in aid of the execution of any power herein granted, or for the enforcement of any other appropriate legal or equitable remedy, as it shall deem most effectual to protect and enforce the obligations of the other hereunder, except for consequential damages, including, but not limited to, loss of sales, income or profit, which shall not be recoverable by a party from the others.

ARTICLE 7
MISCELLANEOUS

Section 7.1 Accuracy of Base Collections. LDR hereby covenants and represents that State Sales and Use Taxes in the amount of Zero Dollars (\$0) were collected in the geographic area comprising the District in the Base Year.

Section 7.2 Audit. The Legislative Auditor of the State may audit any and all books and records of the District related to this Agreement and the District shall make such books and records available for such purpose upon reasonable notice during reasonable business hours.

Section 7.3 Reporting. The District shall submit annual progress reports on the Project and its public benefit commitments contained in Section 3.4 herein to the Department, Louisiana Department of Economic Development, Joint Legislative Committee on the Budget, and State Bond Commission, not later than September 30th of each year during the term of the agreement and until all TIF proceeds have been expended.

Section 7.4 Entire Agreement. This Agreement and the appendices hereto shall constitute the entire understanding between the parties with respect to the subject matter hereof, superseding all negotiations, prior discussions, and preliminary agreements. There is no representation or warranty of any kind made in connection with the transactions contemplated hereby that is not expressly contained in this Agreement.

Section 7.5 Notices. All reports, statements or notices required or advisable to be given hereunder shall be deemed to be given if sent to the following parties at the following addresses:

TO HARVESTON ECONOMIC DEVELOPMENT DISTRICT:

Harveston Economic Development District
222 St. Louis Street, 3rd Floor
Baton Rouge, Louisiana 70802
Attention: Chairman

TO SLP DEVELOPMENT LLC:

SLP Development LLC
c/o Harveston Economic Development District
4171 Essen Lane, Suite 450
Baton Rouge, Louisiana 70809
Attention: Milford Wampold III, Manager

TO LDR:

Department of Revenue
P.O. Box 44098
Baton Rouge, Louisiana 70804
Attention: Director, Policy Services Division

Any notice required or permitted to be given under or in connection with this Agreement shall be in writing and shall be either hand-delivered or mailed, postage prepaid by first-class mail, registered or certified, return receipt requested, or by private, commercial carrier, express mail, such as Federal Express, or sent by telex, telegram, telecopy or other similar form of rapid transmission confirmed by written confirmation mailed (postage prepaid by first-class mail, registered or certified, return receipt requested or private, commercial carrier, express mail, such as Federal Express) at substantially the same time as such rapid

transmission, or personally delivered to an officer of the receiving party. All such communications shall be mailed, sent or delivered to the address or numbers set forth above, or as to each party at such other address or numbers as shall be designated by such party in a written notice to the other party.

Section 7.6 Further Assurances. From time to time hereafter, the parties hereto shall execute and deliver such additional instruments, certificates or documents, and take all such actions as each party hereto may reasonably request for the purpose of fulfilling its obligations hereunder.

Section 7.7 Amendments, Supplements, and Modifications. This Agreement may not be amended, supplemented, or modified, except in writing and executed by the parties hereto.

Section 7.8 Venue. Any suit brought by any party hereto arising out of or by reason of this Agreement shall be brought in the Nineteenth Judicial District Court, East Baton Rouge Parish, State of Louisiana.

Section 7.9 Severance. To the fullest extent possible, each provision of this Agreement shall be interpreted in such manner as to be effective and valid under applicable law, but if any provision or a portion of any provision of this Agreement shall be prohibited or invalid under such law, such provision shall be ineffective to the extent of such prohibition or invalidity without invalidating the remainder of such provision or the remaining provisions of this Agreement.

Section 7.10 No Personal Liability. No covenant or agreement contained in this Agreement shall be deemed to be the covenant or agreement of any member, official, trustee, officer, agent or employee of the District or the State in his individual capacity, and neither the officers thereof nor any official executing this Agreement shall be liable personally with respect hereto or be subject to any personal liability or accountability by reason of the execution and delivery of this Agreement.

Section 7.11 Rights and Remedies. All rights and remedies of the parties under this Agreement shall be exclusive and limited to those remedies set forth in Article 4 hereof. In the event of a dispute hereunder, the party hereto who shall prevail in such dispute resolution shall be entitled to restitution for all reasonable fees and expenses, including legal fees, incurred in such dispute resolution from the other adversarial party or parties.

Section 7.12 Captions. The captions or headings in this Agreement are for convenience only and in no way define, limit or describe the scope or extent of any of the provisions of this Agreement.

Section 7.13 Counterparts. This Agreement may be executed in several counterparts, each which shall be an original and all of which when taken together shall be deemed one and the same Agreement.

Section 7.14 Governing Law. This Agreement shall be construed in accordance with and governed by the laws of the State of Louisiana.

[REMAINDER OF THIS PAGE INTENTIONALLY LEFT BLANK

Thus done and signed this ____ day of _____, 2021, at _____, Louisiana.

HARVESTON ECONOMIC DEVELOPMENT DISTRICT

By: _____
CHAIRMAN

SLP DEVELOPMENT LLC

By: _____
Milford Wampold, III
Manager

LOUISIANA DEPARTMENT OF REVENUE

By: _____
Kimberly Lewis Robinson
Secretary, Louisiana Department of Revenue
State of Louisiana

EXHIBIT A

BOUNDARY DESCRIPTION OF THE DISTRICT

The District consists of:

(A) A certain tract or parcel of land, being designated as Tract A – East of Selene Parkway and formerly a portion of the Burtville Plantation located in Section 52, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of Section 50, 51 and 52, being the northeastern corner of Section 52, and being the Point of Beginning; From the POINT OF BEGINNING; Thence, along the eastern line of section 52 South 05 degrees 05 minutes 05 seconds West a distance of 1000.97 feet to a point and corner; thence, South 85 degrees 04 minutes 03 seconds West for a distance of 4782.99 feet to the eastern right of way line of Selene Parkway; thence, along a curve to the left on said right of way line, said curve having a radius of 2068.00 feet, an arc length of 627.89 feet, and whose long chord bears North 72 degrees 39 minutes 29 seconds West for a distance of 625.48 feet; thence, along the said right of way line North 81 degrees 21 minutes 24 seconds West for a distance of 717.78 feet to the beginning of a curve; said curve turning to the right on the said right of way line, having a radius of 707.00 feet, an arc length of 737.57 feet, and whose long chord bears North 51 degrees 28 minutes 12 seconds West for a distance of 704.58 feet; thence, along the said right of way line North 21 degrees 35 minutes 00 seconds West for a distance of 100.23 feet to the northern line of section 52; thence, along the said section line North 85 degrees 04 minutes 20 seconds East for a distance of 6773.75 feet to the Point of Beginning; (B) A certain tract or parcel of land, being designated as Tract A – West of Selene Parkway and formerly a portion of the Burtville Plantation located in Section 45 & 52, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of Section 44, 45, 51 and 52, being the northeastern corner of Section 45, and being the northwestern corner of Section 52, and being the Point of Beginning; From the POINT OF BEGINNING; Thence, along the northern line of section 52 North 85 degrees 04 minutes 20 seconds East for a distance of 731.75 feet to the western right of way line of Selene Parkway; thence, along the said right of way line South 21 degrees 35 minutes 00 seconds East for a distance of 140.92 feet; thence, along a curve to the left on said right of way line, said curve having a radius of 843.00 feet, an arc length of 879.45 feet, and whose long chord bears South 51 degrees 28 minutes 12 seconds East for a distance of 840.11 feet; thence, along the said right of way line South 81 degrees 21 minutes 24 seconds East for a distance of 717.77 feet to the beginning of a curve; Said curve turning to the right on the said right of way line, having a radius of 1932.00 feet, an arc length of 331.17 feet, and whose long chord bears South 76 degrees 26 minutes 44 seconds East for a distance of 330.76 feet to a point and corner; thence, departing said right of way line South 85 degrees 04 minutes 03 seconds West for a distance of 333.09 feet to a point on a line; thence, South 84 degrees 48 minutes 09 seconds West for a distance of 2599.53 feet to a point on a line; thence, South 84 degrees 51 minutes 06 seconds West for a distance of 3709.09 feet to a point and corner along a 4' chain link fence; thence, along the said fence North 21 degrees 38 minutes 52 seconds West for a distance of 675.40 feet to a point and corner; thence, South 83 degrees 28 minutes 54 seconds West for a distance of 497.31 feet to a point on a line; thence, South 67 degrees 57 minutes 54 seconds West for a distance of 489.01 feet to the eastern right of way line of Louisiana Highway 30 (Nicholson Drive); thence, along the said right of way line North 22 degrees 24 minutes 10 seconds West for a distance of 202.19 feet to a point and corner of fence;

thence, North 68 degrees 04 minutes 54 seconds East for a distance of 489.33 feet; thence, North 05 degrees 20 minutes 06 seconds West for a distance of 135.25 feet to the northern line of section 52; thence, along the said section line North 84 degrees 28 minutes 19 seconds East for a distance of 5001.03 feet to the Point of Beginning; (C) A certain tract or parcel of land, being designated as Tract B on a portion of the Burtville Plantation located in Section 45, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly line of Section 45, being the northwesterly corner of an existing tract for Gladys Gianelloni, thence, along the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) South 22 degrees 14 minutes 25 seconds East for a distance of 487.71 feet to the Point of Beginning; Thence, North 68 degrees 07 minutes 35 seconds East for a distance of 489.26 feet to a point; Thence, North 83 degrees 38 minutes 35 seconds East for a distance of 497.31 feet to a point; Thence, South 21 degrees 29 minutes 11 seconds East for a distance of 671.90 feet to a point; Thence, South 85 degrees 04 minutes 08 seconds West for a distance of 1004.21 feet to a point; thence North 22 degrees 14 minutes 25 seconds West for a distance of 512.30 feet to the Point of Beginning; (D) A certain tract or parcel of land, being designated as an existing tract on a portion of the Burtville Plantation located in Section 45, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly line of Section 45, being the northwesterly corner of an existing tract for Gladys Gianelloni, being the Point of Beginning; Thence, North 84 degrees 38 minutes 00 seconds East for a distance of 552.81 feet to a point; Thence, South 5 degrees 10 minutes 25 seconds East for a distance of 135.25 feet to a point; Thence, South 68 degrees 14 minutes 35 seconds West for a distance of 489.33 feet to a point; Thence, North 22 degrees 14 minutes 25 seconds West for a distance of 285.62 feet to the Point of Beginning; (E) A certain tract or parcel of land, being designated as Tract V, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly right of way line of Louisiana Highway 1248 (Bluebonnet Boulevard) being the Point of Beginning; From the POINT OF BEGINNING; thence continue North 22°25'02" West a distance of 1644.70 feet to a Point; thence continue North 68°27'46" East a distance of 1975.68 feet to a Point; thence continue South 21°21'26" East a distance of 2201.98 feet to a Point; thence continue South 84°28'02" West a distance of 2021.86 feet to the Point of Beginning; (F) A certain tract or parcel of land, being designated as Tract W, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly right of way line of Louisiana Highway 1248 (Bluebonnet Boulevard), thence South 84°28'02" East a distance of 2021.86 feet to a Point, being the Point of Beginning; From the POINT OF BEGINNING; thence continue South 84°28'02" East a distance of 3391.97 feet to a Point; thence continue North 21°21'26" West a distance of 3137.20 feet to a Point; thence continue South 68°27'46" West a distance of 3263.44 feet to a Point; thence continue South 21°21'26" East a distance of 2201.98 feet to the Point of Beginning; (G) A certain tract or parcel of land, being designated as Tract X, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the

easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly right of way line of Louisiana Highway 1248 (Bluebonnet Boulevard), thence South $84^{\circ}28'02''$ East a distance of 5413.83 feet to a Point, being the Point of Beginning; From the POINT OF BEGINNING; thence continue North $84^{\circ}28'02''$ East a distance of 609.05 feet to a Point; thence continue 1343.08 feet along a curve to the left through a central angle of $42^{\circ}31'55''$, said curve having a radius of 1809.29 feet a chord direction of North $63^{\circ}12'05''$ East and a chord length of 1312.45 feet; thence continue South $85^{\circ}03'19''$ West a distance of 830.77 feet to a Point; thence continue North $22^{\circ}02'46''$ West a distance of 737.83 feet to a Point; thence continue North $21^{\circ}05'55''$ West a distance of 725.10 feet to a Point; thence continue North $21^{\circ}22'07''$ West a distance of 459.06 feet to a Point; thence continue South $68^{\circ}26'04''$ West a distance of 12.79 feet to a Point; thence continue North $20^{\circ}47'04''$ West a distance of 972.92 feet to a Point; thence continue South $68^{\circ}27'33''$ West a distance of 20.33 feet to a Point; thence continue North $21^{\circ}21'26''$ West a distance of 52.76 feet to a Point; thence continue South $68^{\circ}27'46''$ West a distance of 1066.51 feet to a Point; thence continue South $21^{\circ}21'26''$ East a distance of 3137.20 feet to the Point of Beginning; and (H) A certain tract or parcel of land, being designated as Tract Y-1, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44 & 51, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of Section 44, 45, 51 and 52, being the northeastern corner of Section 45, being the Point of Beginning; From the POINT OF BEGINNING; thence continue South $84^{\circ}28'02''$ West a distance of 5554.33 feet to a Point; thence continue North $22^{\circ}25'02''$ West a distance of 311.95 feet to a Point; thence continue North $32^{\circ}31'16''$ East a distance of 68.79 feet to a Point; thence continue North $84^{\circ}28'02''$ East a distance of 5926.11 feet to a Point; thence continue 259.46 feet along a curve to the left through a central angle of $7^{\circ}41'08''$ said curve having a radius of 1934.29 feet a chord direction of North $80^{\circ}37'28''$ East and a chord length of 259.27 feet; thence continue South $66^{\circ}53'53''$ East a distance of 45.20 feet to a Point; thence continue South $21^{\circ}28'56''$ East a distance of 370.29 feet to a Point; thence continue South $85^{\circ}04'22''$ West a distance of 723.72 feet to the Point of Beginning.

EXHIBIT B

DISTRICT BASE YEAR TAX COLLECTIONS

EDD/TIF DISTRICT	HARVESTON ECONOMIC DEVELOPMENT DISTRICT
State Annual Base (Gross Tax Reported less V.C.)	\$0
State Monthly Base (Annual State Base divided by 12)	\$0

EXHIBIT C

CITY/PARISH ORDINANCE CREATING THE DISTRICT

ATTACHED ON NEXT PAGE

ADOPTED
METROPOLITAN COUNCIL

DEC 11 2019



COUNCIL ADMINISTRATOR TREASURER

19-01286

The following Ordinance was previously introduced in written form at a regular meeting of the Metropolitan Council on November 26, 2019, a Notice Describing the Boundaries and Baseline Incremental Sales Tax Revenues of the Proposed Harveston Economic Development District was published twice in the Parish's official journal, and the Ordinance was offered by Councilman Chandler Loupe and seconded by Councilman LaMont Cole.

The Ordinance was thereupon signed by the President Pro Tempore, attested by the Council Administrator and declared to be adopted. The Ordinance provides as follows:

ORDINANCE 17212

AN ORDINANCE CREATING THE HARVESTON ECONOMIC DEVELOPMENT DISTRICT AND DEFINING THE BOUNDARIES THEREOF FROM WHICH AREA PARISH SALES TAX INCREMENTS WILL BE DETERMINED AND USED TO FUND A PORTION OF THE COSTS OF AN ECONOMIC DEVELOPMENT PROJECT AS DESCRIBED HEREIN, ALL IN ACCORDANCE WITH AND AUTHORIZED BY CHAPTER 27 OF TITLE 33 OF THE LOUISIANA REVISED STATUTES OF 1950, AS AMENDED; DESIGNATING THE GOVERNING AUTHORITY OF THE DISTRICT; PLEDGING AND DEDICATING TWO PERCENT OF INCREMENTAL SALES TAX COLLECTED BY THE PARISH WITHIN THE BOUNDARIES OF THE HARVESTON ECONOMIC DEVELOPMENT DISTRICT TO BE USED TO FINANCE SAID ECONOMIC DEVELOPMENT PROJECT COSTS; REQUIRING THE INITIAL ANNUAL BASELINE SALES TAX AND MONTHLY COLLECTION RATES IN THE HARVESTON ECONOMIC DEVELOPMENT DISTRICT TO BE CERTIFIED BY THE PARISH'S FINANCE DIRECTOR; AND OTHERWISE PROVIDING WITH RESPECT THERETO.

WHEREAS, the governing authority of any parish in the State of Louisiana may create an economic development district composed of territory wholly within a parish pursuant to the provisions of Part II of Chapter 27 of Title 33 of the Louisiana Revised Statutes of 1950, as amended, inclusive (the "**EDD Act**"); and

WHEREAS, the Metropolitan Council of the Parish of East Baton Rouge and City of Baton Rouge (the "**Metropolitan Council**"), acting as governing authority of the Parish of East Baton Rouge, State of Louisiana (the "**Parish**") has been requested to create an economic development district whose boundaries begin at the intersection of Section 50, 51 and 52, being the northeastern corner of Section

52; and

WHEREAS, the Metropolitan Council introduced this Ordinance in written form on November 26, 2019 and a Notice Describing the Boundaries and Baseline Incremental Sales Tax Revenues of the Proposed Harveston Economic Development District was published twice in *The Advocate*, a newspaper of general circulation within the Parish, and is the official journal of the Parish; and

WHEREAS, it is the desire of the Metropolitan Council to create the Harveston Economic Development District (the "**District**"), all in accordance with and pursuant to the provisions of the EDD Act; and

WHEREAS, the Metropolitan Council shall serve as the governing authority of the District pursuant to Section 33:9038.32 of the EDD Act; and

WHEREAS, it is the desire of the Metropolitan Council to pledge and dedicate the incremental revenues generated by the Parish's two percent (2%) sales and use tax now being levied and collected by the Parish pursuant to La. R.S. 47:337.5.1 (the "**Parish Tax**") within the boundaries of the Harveston Economic Development District to finance said economic development project costs; and

WHEREAS, it is the desire of the Metropolitan Council to require the baseline sales tax collection rate in the Harveston Economic Development District to be certified by the Parish's Finance Director as required under Section 33:9038.34 of the EDD Act;

NOW, THEREFORE, BE IT ORDAINED by the Metropolitan Council of the Parish of East Baton Rouge and the City of Baton Rouge that:

Section 1. The Metropolitan Council introduced this

Ordinance in written form on November 26, 2019 and a Notice Describing the Boundaries and Baseline Incremental Sales Tax Revenues of the Proposed Harveston Economic Development District a was published twice in *The Advocate*, a newspaper of general circulation within the Parish, and is the official journal of the Parish, whereas such notice was substantially in the form of the Notice Describing the Boundaries and Baseline Incremental Sales Tax Revenues of the Proposed Harveston Economic Development District annexed hereto as EXHIBIT A and incorporated herein by reference, to the same extent as if it were set forth in full.

Section 2. Pursuant to the provisions of the EDD Act, the Metropolitan Council hereby creates the "**Harveston Economic Development District**" comprised of the following territory located wholly within the Parish:

A certain tract or parcel of land, being designated as Tract A - East of Selene Parkway and formerly a portion of the Burtville Plantation located in Section 52, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of Section 50, 51 and 52, being the northeastern corner of Section 52, and being the Point of Beginning; From the POINT OF BEGINNING; Thence, along the eastern line of section 52 South 05 degrees 05 minutes 05 seconds West a distance of 1000.97 feet to a point and corner; thence, South 85 degrees 04 minutes 03 seconds West for a distance of 4782.99 feet to the eastern right of way line of Selene Parkway; thence, along a curve to the left on said right of way line, said curve having a radius of 2068.00 feet, an arc length of 627.89 feet, and whose long chord bears North 72 degrees 39 minutes 29 seconds West for a distance of 625.48 feet; thence, along the said right of way line North 81 degrees 21 minutes 24 seconds West for a distance of 717.78 feet to the beginning of a curve; said curve turning to the right on the said right of way line, having a radius of 707.00 feet, an arc length of 737.57 feet, and whose long chord bears North 51 degrees 28 minutes 12 seconds West for a distance of 704.58 feet; thence, along the said right of way line North 21 degrees 35 minutes 00 seconds West for a distance of 100.23 feet to the northern line of section 52; thence, along the said section line North 85 degrees 04 minutes 20 seconds East for a distance of 6773.75 feet to the Point of Beginning; (B) A certain tract or parcel of land, being designated as Tract A - West of Selene Parkway and formerly a portion of the Burtville Plantation located in Section 45 & 52, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described

as follows: Commencing at the intersection of Section 44, 45, 51 and 52, being the northeastern corner of Section 45, and being the northwestern corner of Section 52, and being the Point of Beginning; From the POINT OF BEGINNING; Thence, along the northern line of section 52 North 85 degrees 04 minutes 20 seconds East for a distance of 731.75 feet to the western right of way line of Selene Parkway; thence, along the said right of way line South 21 degrees 35 minutes 00 seconds East for a distance of 140.92 feet; thence, along a curve to the left on said right of way line, said curve having a radius of 843.00 feet, an arc length of 879.45 feet, and whose long chord bears South 51 degrees 28 minutes 12 seconds East for a distance of 840.11 feet; thence, along the said right of way line South 81 degrees 21 minutes 24 seconds East for a distance of 717.77 feet to the beginning of a curve; Said curve turning to the right on the said right of way line, having a radius of 1932.00 feet, an arc length of 331.17 feet, and whose long chord bears South 76 degrees 26 minutes 44 seconds East for a distance of 330.76 feet to a point and corner; thence, departing said right of way line South 85 degrees 04 minutes 03 seconds West for a distance of 333.09 feet to a point on a line; thence, South 84 degrees 48 minutes 09 seconds West for a distance of 2599.53 feet to a point on a line; thence, South 84 degrees 51 minutes 06 seconds West for a distance of 3709.09 feet to a point and corner along a 4' chain link fence; thence, along the said fence North 21 degrees 38 minutes 52 seconds West for a distance of 675.40 feet to a point and corner; thence, South 83 degrees 28 minutes 54 seconds West for a distance of 497.31 feet to a point on a line; thence, South 67 degrees 57 minutes 54 seconds West for a distance of 489.01 feet to the eastern right of way line of Louisiana Highway 30 (Nicholson Drive); thence, along the said right of way line North 22 degrees 24 minutes 10 seconds West for a distance of 202.19 feet to a point and corner of fence; thence, North 68 degrees 04 minutes 54 seconds East for a distance of 489.33 feet; thence, North 05 degrees 20 minutes 06 seconds West for a distance of 135.25 feet to the northern line of section 52; thence, along the said section line North 84 degrees 28 minutes 19 seconds East for a distance of 5001.03 feet to the Point of Beginning; (C) A certain tract or parcel of land, being designated as Tract B on a portion of the Burtville Plantation located in Section 45, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly line of Section 45, being the northwesterly corner of an existing tract for Gladys Gianelloni, thence, along the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) South 22 degrees 14 minutes 25 seconds East for a distance of 487.71 feet to the Point of Beginning; Thence, North 68 degrees 07 minutes 35 seconds East for a distance of 489.26 feet to a point; Thence, North 83 degrees 38 minutes 35 seconds East for a distance of 497.31 feet to a point; Thence, South 21 degrees 29 minutes 11 seconds East for a distance of 671.90 feet to a point; Thence, South 85 degrees 04 minutes 08 seconds West for a distance of 1004.21 feet to a point; thence North 22 degrees 14 minutes 25 seconds West for a distance of 512.30 feet to the Point of Beginning; (D) A certain tract or parcel of land, being designated as Tract B on a portion of the Burtville Plantation located in Section 45, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish,

Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly line of Section 45, being the northwesterly corner of an existing tract for Gladys Gianelloni, being the Point of Beginning; Thence, North 84 degrees 38 minutes 00 seconds East for a distance of 552.81 feet to a point; Thence, South 5 degrees 10 minutes 25 seconds East for a distance of 135.25 feet to a point; Thence, South 68 degrees 14 minutes 35 seconds West for a distance of 489.33 feet to a point; Thence, North 22 degrees 14 minutes 25 seconds West for a distance of 285.62 feet to the Point of Beginning; (E) A certain tract or parcel of land, being designated as Tract W, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly right of way line of Louisiana Highway 1248 (Bluebonnet Boulevard) being the Point of Beginning; From the POINT OF BEGINNING; thence continue North 22°25'02" West a distance of 1644.70 feet to a Point; thence continue North 68°27'46" East a distance of 1975.68 feet to a Point; thence continue South 21°21'26" East a distance of 2201.98 feet to a Point; thence continue South 84°28'02" West a distance of 2021.86 feet to the Point of Beginning; (F) A certain tract or parcel of land, being designated as Tract W, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly right of way line of Louisiana Highway 1248 (Bluebonnet Boulevard), thence South 84°28'02" East a distance of 2021.86 feet to a Point, being the Point of Beginning; From the POINT OF BEGINNING; thence continue South 84°28'02" East a distance of 3391.97 feet to a Point; thence continue North 21°21'26" West a distance of 3137.20 feet to a Point; thence continue South 68°27'46" West a distance of 3263.44 feet to a Point; thence continue South 21°21'26" East a distance of 2201.98 feet to the Point of Beginning; (G) A certain tract or parcel of land, being designated as Tract W, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly right of way line of Louisiana Highway 1248 (Bluebonnet Boulevard), thence South 84°28'02" East a distance of 5413.83 feet to a Point, being the Point of Beginning; From the POINT OF BEGINNING; thence continue North 84°28'02" East a distance of 609.05 feet to a Point; thence continue 1343.08 feet along a curve to the left through a central angle of 42°31'55", said curve having a radius of 1809.29 feet a chord direction of North 63°12'05" East and a chord length of 1312.45 feet; thence continue South 85°03'19" West a distance of 830.77 feet to a Point; thence continue North 22°02'46" West a distance of 737.83 feet to a Point; thence continue North 21°05'55" West a distance of 725.10 feet to a Point; thence continue North

21°22'07" West a distance of 459.06 feet to a Point; thence continue South 68°26'04" West a distance of 12.79 feet to a Point; thence continue North 20°47'04" West a distance of 972.92 feet to a Point; thence continue South 68°27'33" West a distance of 20.33 feet to a Point; thence continue North 21°21'26" West a distance of 52.76 feet to a Point; thence continue South 68°27'46" West a distance of 1066.51 feet to a Point; thence continue South 21°21'26" East a distance of 3137.20 feet to the Point of Beginning; and (H) A certain tract or parcel of land, being designated as Tract Y-1, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44 & 51, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of Section 44, 45, 51 and 52, being the northeastern corner of Section 45, being the Point of Beginning; From the POINT OF BEGINNING; thence continue South 84°28'02" West a distance of 5554.33 feet to a Point; thence continue North 22°25'02" West a distance of 311.95 feet to a Point; thence continue North 32°31'16" East a distance of 68.79 feet to a Point; thence continue North 84°28'02" East a distance of 5926.11 feet to a Point; thence continue 259.46 feet along a curve to the left through a central angle of 7°41'08" said curve having a radius of 1934.29 feet a chord direction of North 80°37'28" East and a chord length of 259.27 feet; thence continue South 66°53'53" East a distance of 45.20 feet to a Point; thence continue South 21°28'56" East a distance of 370.29 feet to a Point; thence continue South 85°04'22" West a distance of 723.72 feet to the Point of Beginning.

Section 3. The District shall be known as the "*Harveston Economic Development District*," and, as such, shall enjoy all powers and privileges granted to economic development districts under the Louisiana Constitution of 1974, as amended (the "*Louisiana Constitution*"), particularly Article VI, Section 30 of the Louisiana Constitution, and the laws of the State of Louisiana, particularly the provisions of the EDD Act, and shall be a political and legal subdivision of the State of Louisiana within the meaning of the Louisiana Constitution.

Section 4. Pursuant to the provisions of the EDD Act, particularly Section 33:9038.32 thereof, the Metropolitan Council shall be the governing authority of the District and the Treasurer of the Parish shall be the Treasurer of the District.

Section 5. The Metropolitan Council hereby pledges and dedicates the incremental revenues generated by the Parish Tax

collected within the boundaries of the District to finance economic development project costs in the District, as such term is defined in Section 33:9038.36 of the EDD Act. Such dedication and pledge also constitutes a designation of the local sales taxes which are to be used in determining the sales tax increments and the initial annual baseline collection rate for the sales tax area, which shall be the amount of such designated sales taxes collected in the sales tax area in the fiscal year of the local governmental subdivision most recently completed prior to the establishment of the sales tax area, all as defined in Section 33:9038.34(C) of the EDD Act.

Section 6. The Metropolitan Council hereby directs the Parish's Finance Director to determine the initial baseline sales tax collection rate and the monthly baseline sales tax collection rate in the District as described by Section 33:9038.34 of the EDD Act. The Metropolitan Council further directs the Finance Director to cause such certification to be included EXHIBIT A annexed hereto. As provided by Section 33:9038.34 of the EDD Act, if the amounts of the initial annual baseline collection rate and the monthly baseline collection rate are not contested within thirty (30) days after the said publication, then such amounts shall be conclusively presumed to be valid, and no court shall have any jurisdiction to alter or invalidate the designation of the amount of either the initial annual baseline collection rate or the monthly baseline collection rate.

Section 7. If any one or more of the provisions of this Ordinance shall for any reason be held to be illegal or invalid, such illegality or invalidity shall not affect any other provision of this Ordinance, but this Ordinance shall be construed and enforced as if such illegal or invalid provisions had not

been contained herein. Any constitutional or statutory provision enacted after the date of this Ordinance which validates or makes legal any provision of this Ordinance which would not otherwise be valid or legal, shall be deemed to apply to this Ordinance.

Section 8. Upon adoption, this Ordinance shall be published in full in one (1) issue of *The Advocate* and shall be recorded in the mortgage records of the East Baton Rouge Parish Clerk of Court.

Section 9. That the Mayor-President and Council Administrator/Treasurer are hereby further authorized and directed, for and on behalf of the Parish and the District, empowered and directed to take any and all such action as may be necessary to carry into effect the provisions of this Ordinance.

Section 10. This Ordinance shall immediately take effect upon adoption.

[Remainder of this page intentionally left blank]

This Ordinance having been submitted to a vote, the vote thereon was as follows:

YEAS: Councilwoman Denise Amoroso, Councilwoman Chauna Banks, Councilman LaMont Cole, Councilwoman Donna Collins-Lewis, Councilwoman Barbara Freiberg, Councilwoman Erika Green, Councilman Dwight Hudson, Councilman Chandler Loupe, Councilwoman Matt Watson, Councilwoman Tara Wicker

NAYS:

ABSTAIN:

ABSENT: Councilman Trae Welch, Councilman Scott Wilson

Done, Approved and adopted on this, the 11th day of December, 2019.

/s/ Ashley Beck
Council Administrator

/s/ Scott Wilson
President Pro Tempore

In accordance with Section 2.15 of Chapter 2 of the Plan Of Government, I certify this to be the original ordinance adopted by the Metropolitan Council at a Regular meeting on December 11, 2019
Council Administrator.

APPROVED:

DISAPPROVED:

[Signature]
Mayor - President

Mayor - President

Received from the Mayor - President on the 17th day of December, 2019

[Signature]
Council Administrator.

EXHIBIT A

REQUISITION 11297106

OFFICIAL PUBLIC NOTICE
OF THE
METROPOLITAN COUNCIL
OF THE
PARISH OF EAST BATON ROUGE
AND THE
CITY OF BATON ROUGE

PUBLIC NOTICE

* * *

NOTICE OF BOUNDARIES OF PROPOSED ECONOMIC DEVELOPMENT DISTRICT

NOTICE IS HEREBY GIVEN of the boundaries of a proposed economic development district which has been proposed to be created by an ordinance of the Metropolitan Council of the City of Baton Rouge, Parish of East Baton Rouge (the "Council") on December 11, 2019 at 4:00 pm in the Metropolitan council Chambers, located on the third floor of City Hall, 222 St. Louis Street, Baton Rouge, LA. At a regular meeting of the Council held on November 26, 2019, an ordinance proposing to create the Harveston Economic Development District (the "District"), defining the boundaries thereof and providing for other related matters was introduced for public hearing. The boundaries of the proposed District are comprised of the following described tracts of land: (A) A certain tract or parcel of land, being designated as Tract A – East of Selene Parkway and formerly a portion of the Burtville Plantation located in Section 52, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of Section 50, 51 and 52, being the northeastern corner of Section 52, and being the Point of Beginning; From the POINT OF BEGINNING; Thence, along the eastern line of section 52 South 05 degrees 05 minutes 05 seconds West a distance of 1000.97 feet to a point and corner; thence, South 85 degrees 04 minutes 03 seconds West for a distance of 4782.99 feet to the eastern right of way line of Selene Parkway; thence, along a curve to the left on said right of way line, said curve having a radius of 2068.00 feet, an arc length of 627.89 feet, and whose long chord bears North 72 degrees 39 minutes 29 seconds West for a distance of 625.48 feet; thence, along the said right of way line North 81 degrees 21 minutes 24 seconds West for a distance of 717.78 feet to the beginning of a curve; said curve turning to the right on the said right of way line, having a radius of 707.00 feet, an arc length of 737.57 feet, and whose long chord bears North 51 degrees 28 minutes 12 seconds West for a distance of 704.58 feet; thence, along the said right of way line North 21 degrees 35 minutes 00 seconds West for a distance of 100.23 feet to the northern line of section 52; thence, along the said section line North 85 degrees 04 minutes 20 seconds East for a distance of 6773.75 feet to the Point of Beginning; (B) A certain tract or parcel of land, being designated as Tract A – West of Selene Parkway and formerly a portion of the Burtville Plantation located in Section 45 & 52, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of Section 44, 45, 51 and 52, being the northeastern corner of Section 45, and being the northwestern corner of Section 52, and being the Point of Beginning; From the POINT OF BEGINNING; Thence, along the northern line of section 52 North 85 degrees 04 minutes 20 seconds East for a distance of 731.75 feet to the western right of way line of Selene Parkway; thence, along the said right of way line South 21 degrees 35 minutes 00 seconds East for a distance of 140.92 feet; thence, along a curve to the left on said right of way line, said curve having a radius of 843.00 feet, an arc length of 879.45 feet, and whose long chord bears South 51 degrees 28 minutes 12 seconds East for a distance of 840.11 feet; thence, along the said right of way line South 81 degrees 21 minutes 24 seconds East for a distance of 717.77 feet to the beginning of a curve; Said curve turning to the right on the said right of way line, having a radius of 1932.00 feet, an arc length of 331.17 feet, and whose long chord bears South 76 degrees 26 minutes 44 seconds East for a distance of 330.76 feet to a point and corner; thence, departing said right of way line South 85 degrees 04 minutes 03 seconds West for a distance of 333.09 feet to a point on a line; thence, South 84 degrees 48 minutes 09 seconds West for a distance of 2599.53 feet to a point on a line; thence, South 84 degrees 51 minutes 06 seconds West for a distance of 3709.09 feet to a point and corner along a 4' chain link fence; thence, along the

said fence North 21 degrees 38 minutes 52 seconds West for a distance of 675.40 feet to a point and corner; thence, South 83 degrees 28 minutes 54 seconds West for a distance of 497.31 feet to a point on a line; thence, South 67 degrees 57 minutes 54 seconds West for a distance of 489.01 feet to the eastern right of way line of Louisiana Highway 30 (Nicholson Drive); thence, along the said right of way line North 22 degrees 24 minutes 10 seconds West for a distance of 202.19 feet to a point and corner of fence; thence, North 68 degrees 04 minutes 54 seconds East for a distance of 489.33 feet; thence, North 05 degrees 20 minutes 06 seconds West for a distance of 135.25 feet to the northern line of section 52; thence, along the said section line North 84 degrees 28 minutes 19 seconds East for a distance of 5001.03 feet to the Point of Beginning; (C) A certain tract or parcel of land, being designated as Tract B on a portion of the Burtville Plantation located in Section 45, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly line of Section 45, being the northwesterly corner of an existing tract for Gladys Gianelloni, thence, along the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) South 22 degrees 14 minutes 25 seconds East for a distance of 487.71 feet to the Point of Beginning; Thence, North 68 degrees 07 minutes 35 seconds East for a distance of 489.26 feet to a point; Thence, North 83 degrees 38 minutes 35 seconds East for a distance of 497.31 feet to a point; Thence, South 21 degrees 29 minutes 11 seconds East for a distance of 671.90 feet to a point; Thence, South 85 degrees 04 minutes 08 seconds West for a distance of 1004.21 feet to a point; thence North 22 degrees 14 minutes 25 seconds West for a distance of 512.30 feet to the Point of Beginning; (D) A certain tract or parcel of land, being designated as Tract B on a portion of the Burtville Plantation located in Section 45, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly line of Section 45, being the northwesterly corner of an existing tract for Gladys Gianelloni, being the Point of Beginning; Thence, North 84 degrees 38 minutes 00 seconds East for a distance of 552.81 feet to a point; Thence, South 5 degrees 10 minutes 25 seconds East for a distance of 135.25 feet to a point; Thence, South 68 degrees 14 minutes 35 seconds West for a distance of 489.33 feet to a point; Thence, North 22 degrees 14 minutes 25 seconds West for a distance of 285.62 feet to the Point of Beginning; (E) A certain tract or parcel of land, being designated as Tract W, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly right of way line of Louisiana Highway 1248 (Bluebonnet Boulevard) being the Point of Beginning; From the POINT OF BEGINNING; thence continue North 22°25'02" West a distance of 1644.70 feet to a Point; thence continue North 68°27'46" East a distance of 1975.68 feet to a Point; thence continue South 21°21'26" East a distance of 2201.98 feet to a Point; thence continue South 84°28'02" West a distance of 2021.86 feet to the Point of Beginning; (F) A certain tract or parcel of land, being designated as Tract W, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly right of way line of Louisiana Highway 1248 (Bluebonnet Boulevard), thence South 84°28'02" East a distance of 2021.86 feet to a Point, being the Point of Beginning; From the POINT OF BEGINNING; thence continue South 84°28'02" East a distance of 3391.97 feet to a Point; thence continue North 21°21'26" West a distance of 3137.20 feet to a Point; thence continue South 68°27'46" West a distance of 3263.44 feet to a Point; thence continue South 21°21'26" East a distance of 2201.98 feet to the Point of Beginning; (G) A certain tract or parcel of land, being designated as Tract W, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of the easterly right of way line of Louisiana Highway 30 (Nicholson Drive) and the northerly right of way line of Louisiana Highway 1248 (Bluebonnet Boulevard), thence South 84°28'02" East a distance of 5413.83 feet to a Point, being the Point of Beginning; From the POINT OF BEGINNING; thence continue North 84°28'02" East a distance of 609.05 feet to a Point; thence continue 1343.08 feet along a curve to the left through a central angle of 42°31'55", said curve having a radius of 1809.29 feet a chord direction of North 63°12'05" East and a chord length of 1312.45 feet; thence continue South 85°03'19" West a distance of 830.77 feet to a Point; thence continue North 22°02'46" West a distance of 737.83 feet to a Point; thence continue North 21°05'55" West a distance of 725.10 feet to a Point; thence continue North

21°22'07" West a distance of 459.06 feet to a Point; thence continue South 68°26'04" West a distance of 12.79 feet to a Point; thence continue North 20°47'04" West a distance of 972.92 feet to a Point; thence continue South 68°27'33" West a distance of 20.33 feet to a Point; thence continue North 21°21'26" West a distance of 52.76 feet to a Point; thence continue South 68°27'46" West a distance of 1066.51 feet to a Point; thence continue South 21°21'26" East a distance of 3137.20 feet to the Point of Beginning; and (H) A certain tract or parcel of land, being designated as Tract Y-1, being portion of the Longwood Plantation and Burtville Plantation Property located in Section 44 & 51, Township 8 South, Range 1 East, Greensburg Land District, East Baton Rouge Parish, Louisiana, being more particularly described as follows: Commencing at the intersection of Section 44, 45, 51 and 52, being the northeastern corner of Section 45, being the Point of Beginning; From the POINT OF BEGINNING; thence continue South 84°28'02" West a distance of 5554.33 feet to a Point; thence continue North 22°25'02" West a distance of 311.95 feet to a Point; thence continue North 32°31'16" East a distance of 68.79 feet to a Point; thence continue North 84°28'02" East a distance of 5926.11 feet to a Point; thence continue 259.46 feet along a curve to the left through a central angle of 7°41'08" said curve having a radius of 1934.29 feet a chord direction of North 80°37'28" East and a chord length of 259.27 feet; thence continue South 66°53'53" East a distance of 45.20 feet to a Point; thence continue South 21°28'56" East a distance of 370.29 feet to a Point; thence continue South 85°04'22" West a distance of 723.72 feet to the Point of Beginning.



Office of Technology Services
State of Louisiana
Division of Administration

JOHN BEL EDWARDS
Governor



JAY DARDENNE
Commissioner of Administration

MEMORANDUM

DATE: March 2, 2021

TO: Sherry Phillips-Hymel, Senate Fiscal Services Director

FROM: Richard Howze, Chief Information Officer

RE: Request for JLCB Consideration of Technology Engineers, Inc. Contract Amendment

The Division of Administration Office of Technology Services (OTS) is requesting Joint Legislative Committee on the Budget (JLCB) approval of an Amendment to extend the duration of its Contract with Technology Engineers, Inc. (TEI) for software development and data management support for past, present and future oil spills, on behalf of the Louisiana Oil Spill Coordinator's Office (LOSCO). The duration of this Contract is July 1, 2018 through June 30, 2021 and has a maximum value of \$3,750,000.

Section 2.1 of the Contract provides an option to extend for up to an additional 24 months at the same rates, terms and conditions of the initial contract, with prior approval of the JLCB. TEI has proven to be an effective partner to LOSCO during the term of this Contract and LOSCO has requested that OTS seeks the extension of this Contract on their behalf. Included in the Contract Amendment is an increase in the maximum value of the Contract from \$3,750,000 to \$4,500,000. It should be noted that original Contract had an average value of \$1,250,000 per year across the 3-year term and, through efficiencies gained over the Contract term, we are now only increasing the maximum value of the Contract by \$750,000 for the additional 2 years.

Your consideration of this matter is greatly appreciated.

STATE OF LOUISIANA

P.O. 2000353399

CONTRACT

AMENDMENT 1

1.0 PURPOSE OF AMENDMENT

The purpose of this contract amendment is to extend the duration of the contract between the State of Louisiana, Division of Administration, Office of Technology Services, hereinafter, sometimes referred to as the "State" or "OTS", and Technology Engineers, Inc., 11805 Sun Belt Court, Baton Rouge, LA 70809, hereinafter sometimes referred to as the "Contractor" or "TEI".

2.0 MODIFICATIONS OF LANGUAGE

2.1 Section 2.1 TERM OF CONTRACT

A. Original Language

This contract shall begin on **July 01, 2018** and shall end on **June 30, 2021**. State has the right to extend this contract up to a total of three (3) years with the concurrence of the Contractor and all appropriate approvals. With all proper approvals and concurrence with the Contractor, agency may also exercise an option to extend for up to twenty-four (24) additional months at the same rates, terms and conditions of the initial contract term. Prior to the extension of the contract beyond the initial thirty-six (36) month term, prior approval by the Joint Legislative Committee on the Budget (JLCB) or other approvals authorized by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the contract amendment to the Office of State Procurement (OSP) to extend contract terms beyond the initial 3-year term. The total contract term, with extensions, shall not exceed five (5) years. The continuation of this contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the contract.

B. Amended Language

This contract shall begin on **July 01, 2018** and shall end on **June 30, 2023**.

2.2 Section 5.0 COMPENSATION AND MAXIMUM AMOUNT OF CONTRACT

A. Original Language

The total value of this Contract shall not exceed a total sum of Three Million Seven Hundred Fifty Thousand, and 00/100 Dollars (\$3,750,000.00).

B. Amended Language

The total value of this Contract shall not exceed a total sum of Four Million Five Hundred, and 00/100 Dollars (\$4,500,000.00).

THUS DONE AND SIGNED on the date(s) noted below:

(CONTRACTOR SIGNATURE)

Name: _____

Title: _____

Date: _____

(STATE SIGNATURE)

Name: _____

Title: _____

Date: _____

STATE OF LOUISIANA

On this 1st day of July, 2018, the Office of Technology Services, Division of Administration, State of Louisiana (OTS) on behalf of the Department of Public Safety, Louisiana Oil Spill Coordinator's Office (LOSCO), hereinafter sometimes referred to as "State" and (Technology Engineers (TEI), Inc. 11805 Sun Belt Court, Baton Rouge, LA 70809), hereinafter sometimes referred to as the "Contractor", do hereby enter into a contract under the following terms and conditions (the "Contract").

1.0 SCOPE OF SERVICES

Contractor hereby agrees to furnish the services to State as specified in Section 3.0.

1.1 CONCISE DESCRIPTION OF SERVICES

Contractor hereby agrees to competently and diligently provide services necessary to enhance, develop and/or implement all reasonable and necessary software development and data management support to the Louisiana Oil Spill Coordinator's Office ("LOSCO") for websites and databases associated with past, present and future oil spills, as more specifically described in Attachment I Statement of Work (the "Services"). This agreement provides the terms and conditions upon which the Contractor shall provide the Services in accordance with separate task orders negotiated by the parties. A task order template is included as Attachment VI. Contractor shall use its best efforts to complete performance of the Services in accordance with schedules included in the respective task orders.

LOSCO's primary function is to ensure effective coordination and representation of the State of Louisiana's interests in all matters related to oil spill prevention and response, which includes being the lead administrative trustee agency for the State of Louisiana's natural resource trustees responsible for assessing the nature and extent of natural resource damages to the State of Louisiana arising from unauthorized discharges of oil within state boundaries ("Oil Spills").

This scope of work will contribute to the efforts of all Louisiana State Trustees on National Resources Damage Assessments, as well as any subsequent litigation relating to oil spills.

The current software system to be supported and enhanced is called Louisiana Oil Spill Management System (LOSMS). This system operationalizes portions of the Louisiana State Trustees' activities associated with Spill Response, Natural Resource Damage Assessment, and Restoration for past, present, and future oil spills. The LOSMS management portal allows Louisiana State Trustees the ability to enter information, activities, milestones, documents, injury, and negotiations associated with Spill Response, Natural Resource Damage Assessment, and Restoration. The LOSMS management portal also provides the ability to build administrative record indexes and publish various data elements to the public portal. The LOSMS public portal (<https://data.losco.org/>) facilitates the ability to search and view publicly available Spill Response, Natural Resource Damage Assessment, and Restoration data.

OTS and LOSCO will define and provide oversight on the desired outcomes for the System not only as it relates to future prioritizations of the tasks identified, but also in expanding the System to meet the needs, and Trustee prioritizations, associated with oil spills. The Contractor will be responsible for enhancing, implementing, and maintaining the System.

1.2 COMPLETE DESCRIPTION OF SERVICES

A full description of the scope of services is contained in the following Attachment's which are made a part of this Contract:

Attachment I - Statement of Work

Attachment II - Hardware/Software Environment

Attachment III - Contractor Personnel and Other Resources

Attachment IV - State Furnished Resources

Attachment V - Insurance Requirements for Contractors

Attachment VI - Task Order Template

Attachment VII - Service Level Agreement

Attachment VIII - Non-exhaustive list of adverse or potentially adverse parties

2.0 ADMINISTRATIVE REQUIREMENTS

2.1 TERM OF CONTRACT

This Contract shall begin on July 01, 2018 and shall end on June 30, 2021. State has the right to extend this contract up to a total of three years with the concurrence of the Contractor and all appropriate approvals. With all proper approvals and concurrence with the Contractor, agency may also exercise an option to extend for up to twenty-four (24) additional months at the same rates, terms and conditions of the initial contract term. Prior to the extension of the contract beyond the initial thirty-six (36) month term, prior approval by the Joint Legislative Committee on the Budget (JLCB) or other approval authorized by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the contract amendment to the Office of State Procurement (OSP) to extend contract terms beyond the initial 3-year term. The total contract term, with extensions, shall not exceed five (5) years. The continuation of this contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the contract.

2.2 WARRANTIES

Contractor shall indemnify the State against any loss or expense arising out of any breach of any specified Warranty.

- A. Period of Coverage.** The Warranty period for software and system components covered under this Contract will begin on the date of acceptance or date of first productive use, whichever occurs later, and will terminate 180 days after the Contract terminates.
- B. Free from Defects.** Contractor warrants that the system developed hereunder shall be free from defect in design and implementation and will continue to meet the specifications agreed to during system design and Contractor will, without additional charge to the State, correct any such defect and make such additions, modifications, or adjustments to the system as may be necessary to operate as specified in the Technical Deliverables accepted by the State.

- C. Software Standards Compliance.** Contractor warrants that all software and other products delivered hereunder will comply with the State of Louisiana's standards and/or guidelines for resource names, programming languages, and documentation as referenced in Attachment II.
- D. Software Performance.** Specific operating performance characteristics of the software developed and/or installed hereunder are warranted by the Contractor as stated in Attachment I.
- E. Original Development.** Contractor warrants that all materials produced hereunder will be of original development by Contractor, and will be specifically developed for the fulfillment of this Contract. In the event the Contractor elects to use or incorporate in the materials to be produced any components of a system already existing, Contractor shall first notify the State, which after whatever investigation the State may elect to make, may direct the Contractor not to use or incorporate any such components. If the State does not object, Contractor may use or incorporate such components at Contractor's expense and shall furnish written consent of the party owning the same to the State in all events. Such components shall be warranted as set forth herein (except for originality) by the Contractor and the Contractor will arrange to transfer title or the perpetual license for the use of such components to the State for purposes of the Contract.
- F. No Surreptitious Code Warranty.** Contractor warrants that software provided hereunder will be free from any "Self-Help Code" and "Unauthorized Code". "Self-Help Code" means any back door, time bomb, or drop dead device or other routine designed to disable a computer program with the passage of time or under the positive control of a person or party other than the State. Excluded from this prohibition are identified and State-authorized features designed for purposes of maintenance or technical support. "Unauthorized Code" means any virus, Trojan horse, worm or other software routine or component designed to permit unauthorized access to disable, erase, or otherwise harm software, equipment, or data, or to perform any other such actions. "Unauthorized Code" does not include "Self-Help Code".

G. Ownership documents / Intellectual Property / Source Code

The Source Code, databases and all associated system components shall become the exclusive property of the State. The State shall own all of the rights, including copyright, copyright renewals, and copyright extensions, title and interest in and to all data, and other information developed for this project and versions thereof.

Source Code includes individual modules, class layers, images, and pieces of computer programming that are compiled together to make up the software program. The State will also own all Intellectual Property rights covering any databases, software, training manuals, system design or other proprietary information in any form of medium.

The State will treat the Source Code as a strategic and confidential asset and will provide for its security. The Code will not be shared with other states or with private enterprises except with the explicit and written consent of the Office of Technology Services Chief Information Officer Richard "Dickie" Howze or his designee.

Any / All interfaces developed for this Contract shall not be proprietary and shall become property of the State.

Contractor's source code and all technical and design documentation as related to this Contract shall be made available to the State in the event the Contractor abandons the project with or without formal notice, declares bankruptcy, is no longer capable of meeting its obligations under the Contract, or ceases operations, no matter what the cause. The Contractor shall agree to escrow the source code and all technical and design documentation as related to this Contract.

2.3 INDEMNIFICATION AND LIMITATION OF LIABILITY

Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under Contract.

Contractor shall be fully liable for the actions of its agents, employees, partners or subcontractors and shall fully indemnify and hold harmless the State and its Authorized Users from suits, actions, damages and costs of every name and description relating to personal injury and damage to real or personal tangible property caused by Contractor, its agents, employees, partners or subcontractors, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of the State. If applicable, Contractor will indemnify, defend and hold the State and its Authorized Users harmless, without limitation, from and against any and all damages, expenses (including reasonable attorneys' fees), claims, judgments, liabilities and costs which may be finally assessed against the State in any action for infringement of a United States Letter Patent with respect to the Products furnished, or of any copyright, trademark, trade secret or intellectual property right, provided that the State shall give the Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit, (ii) the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense, and (iii) assistance in the defense of any such action at the expense of Contractor. Where a dispute or claim arises relative to a real or anticipated infringement, the State or its Authorized Users may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as the Commissioner of Administration shall require.

The Contractor shall not be obligated to indemnify that portion of a claim or dispute based upon: i) Authorized User's unauthorized modification or alteration of a Product, Material or Service; ii) Authorized User's use of the Product in combination with other products not furnished by Contractor; iii) Authorized User's use in other than the specified operating conditions and environment.

In addition to the foregoing, if the use of any item(s) or part(s) thereof shall be enjoined for any reason or if Contractor believes that it may be enjoined, Contractor shall have the right, at its own expense and sole discretion as the Authorized User's exclusive remedy to take action in the following order of precedence: (i) to procure for the State the right to continue using such item(s) or part (s) thereof, as applicable; (ii) to modify the component so that it becomes non-infringing equipment of at least equal quality and performance; or (iii) to replace said item(s) or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, or (iv) if none of the foregoing is commercially reasonable, then provide monetary compensation to the State up to the dollar amount of the Contract.

For all other claims against the Contractor where liability is not otherwise set forth in the Contract as being "without limitation", and regardless of the basis on which the claim is made, Contractor's liability for direct damages, shall be the greater of \$100,000, the dollar amount of the Contract, or two (2) times the charges

rendered by the Contractor under the Contract. Unless otherwise specifically enumerated herein or in the work order mutually agreed between the parties, neither party shall be liable to the other for special, indirect or consequential damages, including lost data or records (unless the Contractor is required to back-up the data or records as part of the work plan), even if the party has been advised of the possibility of such damages. Neither party shall be liable for lost profits, lost revenue or lost institutional operating savings.

The State and Authorized User may, in addition to other remedies available to them at law or equity and upon notice to the Contractor, retain such monies from amounts due Contractor, or may proceed against the performance and payment bond, if any, as may be necessary to satisfy any claim for damages, penalties, costs and the like asserted by or against them.

2.4 STAFF INSURANCE

Contractor shall procure and maintain for the duration of the Contract insurance against claims for injuries to persons or damages to property, which may arise from or in connection with the performance of the work hereunder by the Contractor, his agents, representatives, employees or subcontractors. The cost of such insurance shall be included in the hourly rates as part of the total contract amount included in Section 5.0. For insurance requirements, refer to Attachment V.

2.5 LICENSES AND PERMITS

Contractor shall secure and maintain all licenses and permits, and pay inspection fees required to do the work required to complete this Contract.

2.6 SECURITY

Contractor's personnel will always comply with all security regulations in effect at the State's premises, and externally for materials belonging to the State or to the project. Contractor is responsible for reporting any breach of security to the State promptly.

2.7 TAXES

Contractor is responsible for payment of all applicable taxes from the funds to be received under this Contract. Contractor's federal tax identification number is **(72-1494606)**. Contractor's seven-digit LDR account number is **(3428075)**.

In accordance with R.S. 39:1624(A) (10), the Louisiana Department of Revenue must determine that the prospective contractor is current in the filing of all applicable tax returns and reports and in payment of all taxes, interest, penalties, and fees owed to the state and collected by the Department of Revenue prior to the approval of this contract by the Office of State Procurement. The prospective contractor hereby attests to its current and/or prospective compliance, and agrees to provide its seven-digit LDR Account Number to the contracting agency so that the prospective contractor's tax payment compliance status may be verified. The prospective contractor further acknowledges understanding that issuance of a tax clearance certificate by the Louisiana Department of Revenue is a necessary precondition to the approval and effectiveness of this contract by the Office of State Procurement. The contracting agency reserves the right to withdraw its consent to this contract without penalty and proceed with alternate arrangements should the vendor fail to resolve any

identified apparent outstanding tax compliance discrepancies with the Louisiana Department of Revenue within seven (7) days of such notification.

2.8 CONFIDENTIALITY

All efforts relating to the contract matter and the results of Contractor's activities may be used by the State in litigation stemming from oil spills and must be held in strict confidence. Information in any form (oral, electronic, written, or otherwise) whether provided to Contractor, its agents or employees or generated or obtained by Contractor, its agents or employees in connection with the contract is "Confidential Information." All information generated by Contractor, its agents or employees pursuant to the contract will be confidential work product prepared for pending or anticipated litigation. Confidential Information, including work product, will be held in strict confidence. Contractor shall not disclose Confidential Information to any person or entity not covered by an obligation of confidentiality to LOSCO, or without the prior written approval of LOSCO.

All financial, statistical, personal, technical and other data and information relating to the State's operations which are designated confidential by the State and made available to the Contractor in order to carry out this Contract, or which becomes available to the Contractor in carrying out this Contract, shall be protected by the Contractor from unauthorized use and disclosure through the observance of the same or more effective procedural requirements as are applicable to the State. Contractor shall not be required to keep confidential any data or information, which is or becomes publicly available, is already rightfully in the Contractor's possession, is independently developed by the Contractor outside the scope of this Contract, or is rightfully obtained from third parties.

The above provisions regarding confidentiality apply equally to any subcontractor working under this Contract.

The obligations of confidentiality survive the termination of the Contract for whatever reason.

2.9 COMMUNICATIONS PROTOCOL

To protect the confidentiality of all communications and assure the control of documents covered by the scope of this engagement, Contractor shall undertake the following steps in connection with documents created in furtherance of the work.

- a. Contractor shall generate written reports, written conclusions, and other written work product only as specifically required by a Task Order and/or at the written direction of the Contract Administrator and LOSCO.
- b. Contractor shall conspicuously mark each page of any written documentation and work product (whether in preliminary, draft, or final form) with the legend "**Confidential Work Product**".
- c. If Contractor is asked to generate reports, written conclusions and other work product, such work product, whether draft, interim or final, including spreadsheets, calculations, and model parameters for all significant iterations of the work, shall be retained.

- d. Contractor shall treat all communications, including but not limited to, electronic drafts of documents and all electronically stored information, as Confidential Information and mark accordingly (to the extent practical) as: **“Confidential Work Product”**.
- e. With the exception of the points of contact identified below, Contractor shall refrain from engaging in communications regarding the Contract and the Services provided hereunder with other persons or consultants outside of Contractor’s own organization without the prior written approval of the Contract Administrator and LOSCO.

2.10 PUBLIC STATEMENTS

Unless prior written approval of the Contract Administrator and LOSCO are obtained, Contractor shall not engage in publication or public discussion of Confidential Information, documents, materials, or work product in any forum, whether scientific, technical, media, or otherwise.

2.11 NO ADVERSE REPRESENTATION

During the term of this Contract, Contractor shall not enter into any agreement with any other person or entity (or its agent, employee, contractor, or consultant) who is adverse or potentially adverse to the State to provide services without the prior written approval of the Contract Administrator and LOSCO. A non-exhaustive list of adverse or potentially adverse parties is attached as Attachment VIII. Any question as to whether a person or entity is adverse or potentially adverse to the State should be disclosed and discussed with Contract Administrator and LOSCO.

Contractor must also make the Contract Administrator and LOSCO aware of, and obtain the Contract Administrator’s and LOSCO’s prior written approval, before accepting any funds from adverse or potentially adverse parties and/or before providing any services i.) That are in any way oil spill-related, ii.) That in any way involve environmental assessments or proceedings, whether along the gulf coast or otherwise, or iii.) That involve any other work, research and/or projects that could potentially affect the State and its oil spill claims process or potential litigation for the State.

The above provisions regarding adverse representation shall apply equally to any subcontractor working under this Contract.

2.12 INDEPENDENT CONTRACTOR

In all matters relating to the Contract, neither the Contractor nor employees of the Contractor, shall be considered employees of the State under the meaning or application of any Federal or State Unemployment, Insurance or Worker’s Compensation Law.

2.13 CONTRACT ADMINISTRATOR/PRINCIPAL POINT OF CONTACT

Amit Chabukswar, or his/her designee, will be tasking Contractor and providing oversight of the Services and expenditure of funds under the Contract. For purposes of this Contract, the term “Contract Administrator” shall refer to Amit Chabukswar or his/her designee. Services shall only be provided by Contractor at the direction of Contractor Administrator. Such direction shall be provided in writing and

shall be contained within specific Task Orders mutually agreed-upon by Contract Administrator and Contractor.

Notwithstanding Contractor's responsibility for management during the performance of the Contract, the Contract Administrator shall be Contractor's principal point of contact on behalf of the State. Contract Administrator may designate other points of contact on behalf of the State, including but not limited to, representatives from other State Natural Resource Trustees. Contractor will include the Contract Administrator and any other designated points of contact on any and all communications regarding the task to be performed pursuant to the Contract.

3.0 TECHNICAL REQUIREMENTS

3.1 STATEMENT OF WORK

Contractor will perform services according to the terms of this Contract and according to the Statement of Work (SOW) in Attachment I.

3.2 CONFIGURATION REQUIREMENTS

The software system being installed shall be designed and configured by the Contractor to operate within the State's hardware, software, and networking environments as specified in Attachment II.

3.3 PROJECT MANAGEMENT

Contractor shall provide, at a minimum, the following project management functions:

- A. *Provide Project Manager*** - Contractor will provide day-to-day project management using best management practices for all tasks and activities necessary to complete the Statement of Work.
- B. *Project Work Plan*** - Contractor shall develop and maintain a Project Work Plan which breaks down the work to be performed into manageable phases, activities and tasks as appropriate. The work plan will identify: activities/tasks to be performed, project personnel requirements (both State and Contractor), estimated workdays/personnel hours to complete, expected start and completion dates. Scheduled completion dates for each deliverable shall specifically be included. Written concurrence of both parties will be required to amend the Work Plan. The Project Work Plan shall be approved by the State before project payments are made.

The Contractor and any support staff will work on a task order "as needed" basis, which will allow for the individual definition of projects, estimation by the Contractor of what will be required, and an approval by the State Project Director and LOSCO to initiate the work (via a task order). The Contractor will provide the State with an estimated time schedule for each assigned task order for review, coordination and approval by the State Project Director and LOSCO. Once a task order is approved, the Contractor will provide appropriate personnel, having the requisite expertise and detailed knowledge, based on the Services requested. No work shall be done prior to an approved task order.

- C. *Provide Project Progress Reports*** - Contractor shall submit monthly project reports signed by the Contractor's Project Manager to the State, no later than 10 days after the close of each calendar month. Each progress report shall describe the status of the Contractor's performance since the preceding report, including the products delivered, descriptions of problems encountered with a plan for resolving them, the work to be accomplished in the coming reporting period, and identifying issues requiring management attention, particularly those which may affect the scope of services, the project budget, or the deliverables to be provided by the Contractor. Each report shall identify activities by reference to the Project Work plan.
- D. *Provide Time Sheets*** - Accompanying each Progress Report, the Contractor shall submit time sheets to the State Project Director indicating effort expended and work performed by each member of its, or its subcontractors' staff, participating in this contract. Time sheets shall, at a minimum, identify the name of the individual performing the work and the number of hours worked during the period by Work Plan task.
- E. *Provide Issue Control*** - Contractor will develop and implement with the State approval, procedures and forms to monitor the identification and resolution of key project issues and problems.

3.4 QUALITY ASSURANCE REVIEWS

State reserves the right to conduct Quality Assurance Reviews at appropriate checkpoints throughout the project. Contractor will facilitate the review process by making staff and information available as requested by the reviewers at no additional cost to the State.

3.5 CONTRACTOR RESOURCES

Contractor agrees to provide the following Contract related resources:

- A. *Project Manager.*** Contractor shall provide a Project Manager to provide day-to-day management of project tasks and activities, coordination of Contractor support and administrative activities, and for supervision of Contractor employees. The Project Manager shall possess the technical and functional skills and knowledge to direct all aspects of the project.
- B. *Key Personnel.*** Contractor shall assign staff who possess the knowledge, skills, and abilities to successfully perform assigned tasks. Individuals to be assigned by the Contractor are listed in Attachment III.
- C. *Personnel Changes.*** Contractor's Project Manager and other key personnel assigned to this Contract may not be replaced without the written consent of the State. Such consent shall not be unreasonably withheld or delayed provided an equally qualified replacement is offered. In the event that any State or Contractor personnel become unavailable due to resignation, illness or other factors, excluding assignment to project outside this Contract, outside of the State's or Contractor's reasonable control, as the case may be, the State or the Contractor, as the case may be, shall be responsible for providing an equally qualified replacement in time to avoid delays to the work plan.

D. Other Resources. Contractor will provide other resources as specified in Attachment III.

3.6 STATE PROJECT DIRECTOR/LOSCO POINT OF CONTACT

DOA shall appoint a State Project Director for this Contract who, in conjunction with LOSCO, will provide oversight of the activities conducted hereunder. The State Project Director is identified in Attachment IV. LOSCO's point of contact is also identified in Attachment IV. Notwithstanding the Contractor's responsibility for total management during the performance of this Contract, the assigned State Project Director and LOSCO point of contact shall be the principal points of contact on behalf of the State and will be the principal points of contact for Contractor concerning Contractor's performance under this Contract.

3.7 STATE FURNISHED RESOURCES

State will make available to the Contractor for use in fulfillment of this Contract those resources described in Attachment IV.

3.8 STATE STANDARDS AND GUIDELINES

Contractor shall comply with State standards and guidelines related to systems development, installation, software distribution, security, networking, and usage of State resources described in Attachment II.

3.9 ELECTRONICALLY FORMATTED INFORMATION

Where applicable, the State shall be provided all documents in electronic format, as well as hard-copy. Electronic media prepared by the Contractor for use by the State will be compatible with the State's comparable desktop application (e.g., spreadsheets, word processing documents). Conversion of files, if necessary, will be Contractor's responsibility. Conversely, as required, Contractor must accept and be able to process electronic documents and files created by the State's current desktop applications as described in Attachment II.

4.0 ACCEPTANCE OF DELIVERABLES

Contract task orders will be submitted, reviewed, and accepted according to the following procedure:

- A. General.** Except where this Contract provides different criteria, work will be accepted if it has been performed in accordance with the applicable specifications for Contractor's work in the applicable signed task order, and is in accordance with the Statement of Work, the Request for Proposals, the Contractor's Proposal, and/or as subsequently modified in State-approved design documents developed within this Project, and in the accepted final documentation.
- B. Submittal and Initial Review.** Upon written notification by Contractor that a Deliverable is completed and available for review and acceptance, the State Project Director and LOSCO will use best efforts to review the deliverable within ten (10) business days after the Deliverable is presented to the State Project Director and LOSCO, but in no event later than fifteen (15) business days after the Deliverable is presented to the State Project Director and LOSCO. Within the applicable period, the State Project Director and LOSCO will direct the appropriate review process; coordinate any review outside the Project team, and present results to any user committees and/or Steering

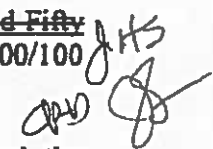
Committee for approval, as needed. The initial review process will be comprehensive with a view toward identifying all items which must be modified or added to enable a Deliverable to be approved. A failure to deliver all or any essential part of a Deliverable shall be cause for non-acceptance.

C. Notification of Acceptance or Rejection. If no notification is delivered to Contractor within the applicable period, the Deliverable will be considered approved. If State disapproves a Deliverable, State will notify Contractor in writing of such disapproval, and will specify those items which, if modified or added, will cause the Deliverable to be approved.

D. Resubmitting Corrected Deliverables. With respect to such Deliverables, Contractor will resubmit the Deliverable with requested modifications and the State Project Director and LOSCO will review such modifications within fifteen (15) business days. If no notification is delivered to Contractor within those fifteen (15) business days, the Deliverable is considered approved. If the State disapproves that Deliverable, the State will notify Contractor in writing of any additional deficiencies which result from such modifications and Contractor will resubmit the Deliverable with the requested modifications. The parties agree to repeat this process as required until all such identified deficiencies are corrected or a determination of breach or default is made. The payment by the State for each activity is contingent upon correction of all such deficiencies and acceptance by the State.

E. Payment of Retainage Based on Acceptance. Final payment of any retainage will be contingent on completion and acceptance of all Contract Deliverables.

5.0 COMPENSATION AND MAXIMUM AMOUNT OF CONTRACT

The total value of this Contract shall not exceed a total sum of ~~Six Million Two Hundred and Fifty Thousand, and 00/100 Dollars (\$6,250,000.00)~~ **Three Million Seven Hundred Fifty Thousand, and 00/100 Dollars (\$3,750,000.00).** 

In consideration for satisfactory Services performed pursuant to this Contract, and all rights conveyed, the State shall pay Contractor for its Services according to the Contractor's hourly rate schedule listed below. The State shall be liable only for work and expenses that have been authorized in mutually agreed upon task orders. The cost and rates for services to be provided by approved subcontractors or consultants retained by the Contractor will be negotiated as part of individual task orders (see "Subcontractors"). Subcontracting rates shall not be any higher than the rates identified in this Contract. Payments will be made only on approval of the Office of Technology Services Chief Information Officer Richard "Dickie" Howze or his designee and will be made by the Agency within approximately thirty (30) calendar days after receipt of properly executed invoice, and approval by the Office of Technology Services Chief Information Officer Richard "Dickie" Howze or his designee. Invoices submitted without the referenced documentation will not be approved for payment until the required information is provided.

Staff Resource	Hourly Rate
Database Administrator	\$97.50
Senior .Net Developer	\$105.00
Intermediate .Net Developer	\$95.00

Web Content Administrator	\$70.00
IT Project Manager	\$120.00
Data Control Specialist	\$85.00
Data Entry Specialist	\$40.00
Requirements Analyst	\$92.00
Software Architect	\$122.50

Contractor shall submit an original invoice monthly for Services tasked under this Contract. Each invoice will provide the following information: a) invoice number, date, and applicable Task Order; b) Contractor's address; c) the timeframe covered by the invoice; d) total labor and non-labor charges, if applicable; e) general description of work performed. Contractor shall keep sufficient records to substantiate all costs and expenses claimed; f) Purchase Order number.

No authority exists for payments which exceed the approved maximum contract amount except through written amendment prior to the expiration date of the Contract.

Prohibition against Advance Payments. No compensation or payment of any nature will be made in advance of services actually performed and/or supplies furnished.

6.0 TERMINATION

6.1 TERMINATION FOR CAUSE

State may terminate this Contract for cause based upon the failure of Contractor to comply with the terms and/or conditions of the Contract; provided that the State shall give the Contractor written notice specifying the Contractor's failure. If within thirty (30) days after receipt of such notice, the Contractor shall not have either corrected such failure or, in the case of failure which cannot be corrected in thirty (30) days, begun in good faith to correct said failure and thereafter proceeded diligently to complete such correction, then the State may, at its option, place the Contractor in default and the Contract shall terminate on the date specified in such notice. Contractor may exercise any rights available to it under Louisiana law to terminate for cause upon the failure of the State to comply with the terms and conditions of this contract; provided that the Contractor shall give the State written notice specifying the State's failure and a reasonable opportunity for the state to cure the defect.

6.2 TERMINATION FOR CONVENIENCE

State may terminate the Contract at any time without penalty by giving thirty (30) days written notice to the Contractor of such termination or negotiating with the Contractor an effective date thereof. Contractor shall be entitled to payment for deliverables in progress, to the extent work has been performed satisfactorily.

7.0 REMEDIES FOR DEFAULT

Any claim or controversy arising out of the Contract shall be resolved by the provisions of LSA - R.S. 39:1672.2 – 1672.4.

8.0 AVAILABILITY OF FUNDS

The continuation of this Contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the Contract. If the legislature fails to appropriate sufficient monies to provide for the continuation of the Contract, or if such appropriation is reduced by the veto of the Governor or by any means provided in the appropriations act to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the Contract, the Contract shall terminate on the date of the beginning of the first fiscal year for which funds have not been appropriated. Such termination shall be without penalty or expense to the State except for payments which have been earned prior to the termination.

9.0 OWNERSHIP OF PRODUCT

Upon completion of this Contract, or if terminated earlier, all software, data files, documentation, records, worksheets, or any other materials related to this Contract shall become the property of the State. All such software, records, worksheets, or materials shall be delivered to the State within thirty days of the completion or termination of this Contract. In addition, at any time during the contract period, the State shall have the right to require the Contractor to furnish copies of any or all data and all documents, notes, files and other material collected or prepared by the Contractor in connection with the Contract within ten (10) days of receipt of written notice issued by the State. Nothing in this provision shall prevent Contractor from retaining a copy of any such material.

10.0 NONASSIGNABILITY

No Contractor shall assign any interest in this Contract by assignment, transfer, or novation, without prior written consent of the State. This provision shall not be construed to prohibit the Contractor from assigning his bank, trust company, or other financial institution any money due or to become due from approved contracts without such prior written consent. Notice of any such assignment or transfer shall be furnished promptly to the State.

11.0 RIGHT TO AUDIT

Contractor grants to the Office of the Legislative Auditor, Inspector General's Office, the Federal Government, and any other duly authorized agencies of the State where appropriate the right to inspect and review all books and records pertaining to services rendered under this Contract. Contractor shall comply with federal and/or state laws authorizing an audit of Contractor's operation as a whole, or of specific program activities.

12.0 RECORD RETENTION

Contractor agrees to retain all books, records, and other documents relevant to this contract and the funds expended hereunder for at least three years after final payment, or as required by applicable Federal law, if Federal funds are used to fund this contract.

Contractor recognizes that all software, books, records, worksheets, and other documents under this Contract can become subject to a Litigation Hold during the term of this Contract. Contractor agrees that if any software, books, records, worksheets, or other documents hereunder do become subject to a Litigation Hold,

Contractor agrees to follow the procedures set forth in said Litigation Hold, and agrees to retain all software, books, records, worksheets, or other documents relevant to this Contract and the funds expended hereunder until otherwise instructed by the State Project Director and LOSCO.

13.0 AMENDMENTS IN WRITING

Any alteration, variation, modification, or waiver of provisions of this Contract shall be valid only when they have been reduced to writing, duly signed. No amendment shall be valid until it has been executed by all parties and approved by the Director of the Office of State Procurement, Division of Administration.

14.0 FUND USE

Contractor agrees not to use funds received for services rendered under this Contract to urge any elector to vote for or against any candidate or proposition on an election ballot nor shall such funds be used to lobby for or against any proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority. This provision shall not prevent the normal dissemination of factual information relative to a proposition on any election ballot or a proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority.

15.0 NON-DISCRIMINATION

Contractor agrees to abide by the requirements of the following as applicable: Title VI of the Civil Rights Act of 1964 and Title VII of the Civil Rights Act of 1964, as amended by the Equal Employment Opportunity Act of 1972, Federal Executive Order 11246 as amended, the Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Discrimination Act of 1975, the Fair Housing Act of 1968 as amended, and Contractor agrees to abide by the requirements of the Americans with Disabilities Act of 1990.

Contractor agrees not to discriminate in its employment practices, and will render services under this contract without regard to race, color, religion, sex, sexual orientation, national origin, veteran status, political affiliation, disability, or age in any matter relating to employment. Any act of discrimination committed by Contractor, or failure to comply with these statutory obligations when applicable shall be grounds for termination of this Contract.

16.0 HEADINGS

Descriptive headings in this Contract are for convenience only and shall not affect the construction or meaning of contractual language.

17.0 ENTIRE AGREEMENT AND ORDER OF PRECEDENCE

This Contract, (together with the Request for Proposals and addenda issued thereto by the State, the proposal submitted by the Contractor in response to the State's Request for Proposals, and any exhibits specifically incorporated herein by reference) and any Task Order executed pursuant to this agreement constitutes the entire agreement between the parties with respect to the subject matter.

This Contract shall, to the extent possible, be construed to give effect to all provisions contained therein: however, where provisions are in conflict, first priority shall be given to the provisions of the Contract, excluding the Request for Proposals and the Proposal; second priority shall be given to the provisions of the Request for Proposals and amendments thereto; and third priority shall be given to the provisions of the Proposal.

18.0 GOVERNING LAW

The laws of the State of Louisiana shall govern the terms of the Contract and disputes arising therefore shall be resolved in accordance with the laws of the State of Louisiana. Venue of any action brought with regard to this Contract shall be in the Nineteenth Judicial District Court, parish of East Baton Rouge, State of Louisiana.

19.0 Prohibition of Discriminatory Boycotts of Israel

In accordance with Executive Order Number JBE 2018-15, effective May 22, 2018, for any contract for \$100,000 or more and for any contractor with five or more employees, Contractor, or any Subcontractor, shall certify it is not engaging in a boycott of Israel, and shall, for the duration of this contract, refrain from a boycott of Israel.


The State reserves the right to terminate this contract if the Contractor, or any Subcontractor, engages in a boycott of Israel during the term of the contract.

THUS DONE AND SIGNED on the date(s) noted below:

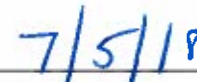

CONTRACTOR'S SIGNATURE


DATE


DEPARTMENT OF PUBLIC SAFETY'S SIGNATURE


DATE


DIVISION OF ADMINISTRATION'S SIGNATURE


DATE

OPTIONAL FEDERAL REQUIREMENTS

ANTI-KICKBACK CLAUSE

Contractor agrees to adhere to the mandate dictated by the Copeland "Anti-Kickback" Act which provides that each Contractor or subgrantee shall be prohibited from inducing, by any means, any person employed in the completion of work, to give up any part of the compensation to which he is otherwise entitled.

CLEAN AIR ACT

Contractor agrees to adhere to the provisions which require compliance with all applicable standards, orders or requirements issued under Section 306 of the Clean Air Act which prohibits the use under nonexempt Federal contracts, grants or loans of facilities included on the EPA list of Violating Facilities.

ENERGY POLICY AND CONSERVATION ACT

Contractor recognizes the mandatory standards and policies relating to energy efficiency which are contained in the State energy conservation plan issued in compliance with the Energy Policy and Conservation Act (P.L. 94-163).

CLEAN WATER ACT

Contractor agrees to adhere to all applicable standards, orders, or requirements issued under Section 508 of the Clean Water Act which prohibits the use under nonexempt Federal contracts, grants, or loans of facilities included on the EPA List of Violating Facilities.

ATTACHMENT I

STATEMENT OF WORK

1.0 INTRODUCTION

Contractor shall work with the State to enhance, develop and/or implement all reasonable and necessary data management support to the Office of Technology Services (OTS) and the Louisiana Oil Spill Coordinator's Office (LOSCO) for the websites and databases associated with past, present and future oil spills, that will work together as an integrated system to allow LOSCO to manage data generated during an oil spill's lifecycle.

The goals of this Contract shall be as follows:

- Maintain and support the existing Louisiana Oil Spill Management System.
- Develop new system components or features that further operationalize or streamline collection of data related to oil spills and integrate into the Louisiana Oil Spill Management System. New system components developed shall adhere to the following:
 - Common collection and display functions for all oil spills, thereby reducing the cost in duplicating the functions across multiple agencies.
 - Standardize tools and technologies
 - Best practices as used in government data collection activities
 - Configurability
 - Dashboard with visual analytic capabilities to provide information for LOSCO managers to control oil spill coordination.

2.0 DESCRIPTION OF SERVICES/TASKS

The Contractor shall provide a broad range of projects of varying size and complexity in two general areas related to the continued and efficient use of Information Technology. These two general areas are Application Development, and Infrastructure Support. Application development shall add capacity and capabilities in application development where the Information Technology Section does not have sufficient knowledge or resources. Infrastructure support shall focus on the ongoing database and application storage, maintenance and problem resolution of backend resources.

This Contract shall be on a task order basis (See Attachment VI for Sample Task Order) as agreed upon by the Contractor, the State Project Director and LOSCO prior to the work being done. The services shall include, but shall not be limited to the following:

- Analysis
- Design
- Architecture
- Development
- Project Management
- Systems Administration
- Infrastructure Support
- Data Warehousing
- ETL Development
- Database Administration

- GIS (Geographic Information Systems) development - ESRI and Google Earth.
- eBusiness, web design, and development of web portals
- Designing business intelligence systems, executive dashboards and workflows
- Cloud database and application storage for the entire Oil Spill application.
- Data and User level security
- Database and application migration.

The Contractor shall provide the following Louisiana software development and data management support services related to past, present and future oil spills:

- Project manager to oversee the Louisiana data management and development effort.
- Necessary project resources for the project.
- 24-hour application support for Louisiana Oil Spill Management System, seven days a week, during the contract period. This support may require collaboration with state's production hosting and infrastructure.
- Collect and document requirements for new system components or features. Requirements should include impact analysis for existing Louisiana Oil Spill Management System. Finalized requirements should include estimates for cost and estimated development time.
- Design, code, test and deploy agreed upon functionality using Microsoft .NET architecture and Microsoft SQL-Server Database. Contractor will be provided access to the existing production and development hosting environments.
- Consulting, analysis, and programming services for any changes to Louisiana Oil Spill Management System mandated by legislation and/or administrative regulation.
- If external data interfaces are requested, the Contractor shall negotiate Louisiana data exchange agreements with external data sources with the assistance of State legal counsel. The State shall review and approve these Louisiana data exchange agreements. The Contractor will ensure the data exchanged with all external systems according to the agreed upon procedure.
- Development and testing software for LOSMS.
- Estimated time schedules for each assigned task order for review, coordination, and approval.
- Provide measures to prevent security threats that may result in data loss or service degradation, including:
 - Built in Role Based Access Control for various objects and actions throughout the application.
 - A secure process for creating users, which details who controls user creation and their permissions.
 - Built in measures that prevent modification or destruction of data by unauthorized users.
 - Built in measures for managing typical input validation errors that may compromise security, such as format strings, SQL injection, cross-site scripting, HTTP header injection and the like, to be approved by OTS and LOSCO, prior to building in the measures.

- Secure password management policies that include, at a minimum: a secure encryption algorithm, a process for password recovery, a process for changing the password, and a policy on password strength.
 - Reasonable protection against intruders.
- Perform data analysis, data Quality Assurance/Quality Control, and data entry.
- Perform database backups.
- Ensure that data is exchanged with all external systems according to an agreed upon procedure.
- Provide maintenance, enhancement, integration, interoperability, and support for the existing LOSMS System.
- Taking measures to prevent security threats that may result in data loss or service degradation, including:
 - Firewall protection.
 - Built in Role Based Access Control for various objects and actions throughout the application.
 - A secure process for creating users, which details who controls user creation and their permissions.
 - Built in measures that prevent modification or destruction of data by unauthorized users.
 - Built in measures for managing typical input validation errors that may compromise security, such as format strings, SQL injection, cross-site scripting, HTTP header injection and the like, to be approved by OTS and LOSCO, prior to building in the measures.
 - Secure password management policies that include, at a minimum: a secure encryption algorithm, a process for password recovery, a process for changing the password, and a policy on password strength.
 - Reasonable protection against intruders.
- The Contractor shall comply with the Service Level Agreement in Attachment VII.
- The Contractor shall provide PRODUCT LICENSES: All product licenses that are purchased for use under this Contract must be purchased in the State's name or must be able to be transferred to State without cost at the end of the Contract.
- **TURNOVER:** In the event that the Contract expires or is terminated. the following requirements must be met:
 - All source code shall be transferred to LOSCO with complete documentation.
 - All data, metadata and database schema and all other electronic and paper files shall be transferred to LOSCO with complete documentation. The Contractor shall NOT withhold any information proprietary or otherwise.
 - In the event of early termination of the Contract, the Contractor will be asked to remain on the Contract for up to 3 months of time for transfer of knowledge. Contractor shall not withhold any information.
 - The Contractor shall provide necessary support to LOSCO and its new Contractor to transfer all programs, data and other information that is required for LOSCO to be completely operational.

- All licenses or any other hardware/software that were purchased for this Contract must be transferred to the State/LOSCO at NO charge to the State/LOSCO.
- **TECHNICAL ENVIRONMENT:**
 - The State of Louisiana owns all (existing and newly developed) source code base for LOSMS.
 - The current technical environment can be found in Attachment II of this Contract.

The Contractor shall comply with state standards and guidelines related to system development, installation, software distribution, security, networking and usage of State resources. Furthermore, Contractor shall design and configure the Oil spill coordination management areas to operate within the State's hardware, software, and networking environments if necessary.

3.0 SCHEDULE REQUIREMENTS

This Contract is a task order based contract, so the Contractor will be responsible for creating each task order based on the priorities identified by the State Project Director and LOSCO and include, at a minimum, the following information in a proposed task order that the State Project Director and LOSCO can approve prior to any work commencing:

- Estimated Project Start Date
- Work Plan for Requested Task
- Project Phases (if needed for task order)
- Estimated Personnel and cost
- Completion Target Date

4.0 PERFORMANCE MEASURES AND MONITORING PLAN

Performance Measures

The performance of the Contract shall be measured by the State Project Director and LOSCO who shall use the following criteria to measure the performance of the Contractor:

- Availability of the Contractor's project and technical staff to perform the requested tasks.
- Maintaining task order timelines.

Monitoring Plan

The State Project Director and LOSCO shall monitor the Services provided by the Contractor and the expenditure of funds under this Contract. The State Project Director and LOSCO shall be primarily responsible for the day-to-day contact with the Contractor and day-to-day monitoring of the Contractor's performance. The performance of the Contractor shall be monitored by:

- Reviewing all work completed in accordance with all of the requirements of the Contract and task orders.

- Reviewing completed design components to ensure that all requirements in reference to the overall system design of the State are met.
- Verifying all Contractor Status reports, task order proposals, invoices for services, rendered time sheets and task breakdowns to determine if billing for work completed is accurate.

The State Project Director and LOSCO shall review all work produced and oversee and approve all hours worked.

A monthly status report shall be submitted to the State Project Director and LOSCO by the Contractor, which shall outline all tasks that are opened, completed, and hours worked within that given month.

5.0 DELIVERABLES

The State shall issue specific task orders for activities or assignments during the Contracted period, which will include specific deliverables per task order.

The Contractor shall provide specific deliverables per agreed upon task orders for activities or assignments. The task order will identify: activities/tasks to be performed, project personnel requirements, estimated workdays/personnel hours to complete, estimated dollars associated, and expected start and completion dates. The Contractor shall provide technical staff on site, as agreed upon and appropriate, for application development and functional enhancements as needed by the State.

As prioritized and agreed upon with OTS/LOSCO and included within specific task orders, the Contractor shall provide the following deliverables:

- Project Management / Initiation.
 - Identify and establish a Facilitator/Project Manager to work with the project team.
 - Clarify roles and responsibilities, which include diagramming how tasks, comments, and outstanding issues will flow between the different project team members and their counterparts within the state agencies, along with the format for comments (i.e. blank comment sheet that clearly defines the section being commented on).
 - Establish an electronic mechanism to track all project communications and tasks and allow the project members to access the information.
 - Host conference calls throughout the project duration; facilitate discussion and issue conference call agendas and meeting minutes.
 - Submit monthly project reports / status.
 - Hold one (1) project kickoff meeting to introduce team members and their roles / responsibilities in the project.
 - The Contractor shall prepare and deliver an introductory briefing for the State's management and selected staff (approximately 25 people) that introduces key Contractor staff, provides a high level overview of the proposed solution and how it will be implemented through a task order based system.
 - The Contractor may include other topics that are relevant to promote a better understanding of the project.
 - The Contractor shall submit the agenda and presentation materials for this briefing to the State's Project Manager and LOSCO for review and approval prior to presentation.

- The Contractor shall provide the presentation materials and handouts used during this briefing in both a paper and electronic format.
 - The electronic format shall be provided by the Contractor in Microsoft PowerPoint, Visio, and/or Microsoft Word format.
- Planning and Design shall include leading blueprint sessions as necessary. The Contractor shall:
 - Determine task and system requirements.
 - Document the system data flow requirements, including all data elements and business processes for all participating entities/partners.
 - Define project standards and controls required by the State.
- Technical Assessment Report.
 - Contractor will review those areas of the State's Information Technology environment; including: standards, guidelines, development tools, technical resources, hardware capacities, software supported, networks, facilities, specified arrangements, etc. related to the Statement of Work to be provided; and, if warranted, prepare a memorandum identifying areas where, in Contractor's opinion, the State's technical environment may materially impair Contractor from successfully completing the task orders.
- Functional System Requirements Report.
 - Contractor will develop a Functional Systems Requirements report that incorporates the functional and technical requirements of the State according to the Statement of Work and requirements gathered in the Planning and Design phases.
- Implementation Planning Report.
 - Contractor will develop an Implementation Planning Report describing the strategy for implementing the system tasks, including: system testing, acceptance testing, integration, software installation, interfaces, conversion, software distribution, training, "going live", and support. The plan shall address resources, time frames, responsibilities, and contingencies.
- Programming/Custom Modifications.
 - Contractor will perform programming/coding tasks as necessary to produce the software specified in the functional report.
- Software Installation.
 - Contractor will perform software installation tasks as applicable; including: database setup, file sizing, software retrofitting, and installation of software releases, application table setup, operation setup, file migrations, installation test, system integration, integration test, and performance tuning.
- Systems Test and Acceptance Test Support.
 - Contract will provide functional support on system functionality, production planning meetings, script development and data setup, technical support on executing special jobs or cycles to facilitate testing, assisting in the actual execution of test scripts, full system testing

and review of results, and development of an acceptance test calendar with all of the appropriate cycles.

- Interface Testing.
 - Contract will provide development and testing of extract programs, input interfaces, output interfaces, and front-end program.
- Training.
 - Contractor will provide a complete training plan and instructor training to State personnel on the new system. This includes both training materials and application student guides if needed.
- Documentation.
 - Contractor will provide all documentation as specified in the Implementation Plan including but not limited to:
 - Overall technical System architecture documents including hardware, environments, security, backup / disaster recovery (DR) strategy.
 - Network diagram of production/test system with interfaces.
 - System startup document.
 - Data element dictionary of all databases in the project.
 - Data crosswalk documentation
 - End user training and help documentation.
 - System Administration training and help documentation.
- Reporting.
 - The Contractor will provide the ability to allow authorized users to generate real time reports as specified by the State on data and statistics. A sample report may be the total number of oil spills occurring during a specified period.
 - The Contractor will provide the ability to allow authorized users to selectively generate/print reports based on the following criterion:
 - A single record.
 - A group/all records.
 - A specified listing of records.
 - All except specific record/records.
 - Print a specific page requested
 - Print selected text.
 - Monthly status reports

At a minimum, monthly status reports will be provided that reflect the major activities for the reporting period. The State will expect monthly participation in status meetings with the State project team. Project management shall use the status report to monitor project activity and to detect potential problems or delays. The monthly status report will serve as the agenda for the status meetings. Topics to be covered shall include:

- A listing of open tasks;
- A listing of significant departures from project planning and objectives with explanations of causes and strategies to achieve realignment;

- A listing of tasks completed since the last report;
 - Tasks that were delayed and reasons for delay;
 - Planned activities for the next scheduled period;
 - Summary of major concerns or issues encountered, proposed recommendations; and
 - Any other topics that require attention from the State's project manager.
- Knowledge Transfer, Documentation.
 - The Contractor shall transfer all application knowledge to the State to position them to be self-sufficient after contract completion.
 - The Contractor shall provide side by side on the job training to facilitate knowledge transfer
 - The Contractor shall provide documentation (to include all procedures required for ongoing maintenance) in addition to documentation requirements identified throughout the RFP and this Contract.
- Ongoing Support.
 - The Contractor shall provide a comprehensive maintenance and operations support program that shall include:
 - Preventive maintenance
 - Remedial maintenance
 - Help desk support
 - Rapid response times for unscheduled services.
 - The Contractor shall provide the maintenance and support program for the life of this Contract.
- Help Desk/Issue Resolution.
 - The Contractor shall provide Help Desk and Issue resolution for the life of this project.
 - The Contractor shall provide 24-hours, seven days a week support for the State websites and databases throughout the contract period. Once the oil spill data management system components go into production, State users will report any problems or issues through our online help desk system. This help desk is implemented using ASANA software. Trustees may also continue to report problems to members of our data management team, who will enter the problem on behalf of the Trustee into the online help desk. If it is determined by the Contractor that a system component change is needed to address a submitted problem, that change is entered into the change management system and brought to the attention of the State Project Director and LOSCO. That requested change is prioritized through the normal prioritization process. If it is determined by the Contractor that remedial maintenance is needed to address a reported problem, that maintenance is scheduled based on the urgency of the problem, as determined by the State Project Director and LOSCO. If a problem has a very high urgency as determined by the State Project Director and LOSCO, an emergency fix can be scheduled.
 - The Contractor shall continually review the system configuration and identify and schedule preventive maintenance to be performed with approval of the State Project Director and LOSCO.
 - For unscheduled services of an urgent nature for the State, the Contractor shall respond back to the State Project Director and LOSCO within one hour during normal business hours, or within 24 hours outside of normal business hours, including weekends and holidays. The

Contractor will work with the State to arrange a mutually agreeable solution and timeframe to resolve the problem, based on the nature of the request. The Contractor will keep the State Project Director and LOSCO informed of any emergency situations.

- **Change Management**
 - The Contractor shall develop and implement, with the State's approval, procedures and forms to provide a method for defining, reviewing, prioritizing, scheduling, and approving changes to specifications, designs, programs, procedures, and documentation that may be required within the scope of this project.
- **Final Project Report.**
 - The Contractor shall provide, at the conclusion of the project, a final report that includes the extent and manner to which the project objectives have been met, as well as follow-on recommendations.
 - The Contractor shall develop a lessons learned report to communicate the lessons learned, including guidance to serve as a "How to Manual" for the project.
- **Staffing requirements**

The Contractor shall provide individuals who meet or exceed the following qualifications in the staff classifications specified:

 - **IT Project Manager.** Experience level: 5+ years' experience in project management. This individual shall possess an ability to apply strong project management skills and methodologies with proven experience in large-scale IT initiatives. This individual shall have experience in and strong knowledge of information technology applications, design, processes, software and equipment. This person shall also have experience in leading IT related projects and managing delivery teams.
 - **Database Administrator.** Experience level: 4+ years of SQL Server Administration 2008-2016 with a strong knowledge of all Microsoft Windows Operation Systems. This individual must possess experience in troubleshooting SQL coding and DB fragmentation, troubleshooting hardware, including controller limitations, disk space, SAN storage and Fiber connections.
 - **SQL Reporting and Integration Services Developer.** Experience level: 4+ years' experience with SQL Server/ Business Intelligence (BI) Reporting and integration Services.
 - **Senior .Net Developer.** Experience Level: 5+ years' .NET and SQL Server programming. Shall possess a background in business application development with strong communication and leadership skills. Experience in MS Windows and Web development platforms, specifically .NET (ASP.NET & VB.NET), MVC Framework, C#, jquery, and SQL Server platforms shall be required.
 - **Intermediate .Net Developer.** Experience Level: 4+ years' .NET and SQL Server programming. Must have a background in business application development with good communication skills and an understanding of MS Windows and Web development platforms,

specifically .NET (ASP.NET & VB.NET), MVC Framework, C#, jquery, and SQL Server platforms shall be required.

- **Web Content Administrator**. Experience level: 2+ years' experience in web page design and design of web-based applications with specific knowledge of Visual Basic, .NET, and development.
 - **Data Control Specialist**-Experience level: 3+ years' experience in data quality assurance and data quality control. It is highly desirable that this person has experience with NRDA data quality assurance and control.
 - **Data Entry Specialist**- Experience level: 1+ years' experience in transcribing data. It is highly desirable that this person has experience in transcribing oil spill data.
 - **Requirements Analyst**- Experience level: 4+ years' experience in analyzing requirements for information processes and systems. This person shall have a strong background in defining procedures and protocols for oil spill related processes.
 - **Software Architect**- Experience level: 5+ years' experience in architecting large-scale .net solutions and managing software development.
- Location:

The primary location the work/delivery will be performed, completed and managed is at:
Louisiana Department of Public Safety
Louisiana Oil Spill Coordinator's Office
7979 Independence Blvd
Baton Rouge, LA 70806

6.0 STANDARD OF PERFORMANCE

As stipulated in the warranty provisions of this Contract, the following standards of performance for the products delivered hereunder will be warranted as described below:

Satisfactory completion of duties, as detailed in agreed upon task orders, will determine contract performance.

ATTACHMENT II

HARDWARE/SOFTWARE ENVIRONMENT

The system to be installed must be able to operate on the State's data processing facilities and configuration standards as follows:

1.0 HARDWARE/SOFTWARE AND OPERATING SYSTEM SOFTWARE

Existing System Configurations:

- The Current System is using ASP.NET MVC Technology with C#.net as the coding language. JQuery and vanilla JavaScript used in client side.
- Relational Database : SQL Server 2008R2 Standard
- Server Operating System : Windows Server 2008R2
- Preferred Development Tool : Visual Studio 2013/2017
- Web Browser : Internet Explorer 7,8,9,10,11; Firefox 4 to 56; Chrome 61
- Office and Email Applications : Microsoft Office 2007,2010,2013,2016
- GIS : ArcGIS Javascript API and ArcMap 10.2 (Software)
- Authentication and Encryption : ASP.NET MVC Forms Authentication, SSL
- Internet Bandwidth Size : 64 GB
- Current Database Size : 50 MB*
- Current File Attachments Size: 100 MB*
- Currently 2 dual processor multi core servers
- Application Server : Intel(R) Xenon(R) CPU E5-2680 v3 @ 2.50Ghz 12GB DDR3
- Database Server : Intel(R) Xenon(R) CPU E5-2680 v3 @ 2.50Ghz 12GB DDR3
- Test Server : Intel(R) Xenon(R) CPU E5-2680 v3 @ 2.50Ghz 12GB DDR3

*This size is expected to grow as LOSMS users input historical data into the system.

2.0 SPECIAL REQUIREMENTS

Contractor will provide its own workstations, any workstation resident software and maintenance thereof. Any Contractor-provided workstations or devices to be connected to the State of Louisiana's network must comply with State network and security standards. Contractor must provide the hardware components, operating system, and software licenses necessary to function as part of the State network. All hardware and software must be reviewed by the State before it is used on the Local Area Network, and may be made operable on the Local Area Network with written approval of the State.

3.0 STANDARDS AND GUIDELINES

- Contractor shall be responsible for:
 - Clarifying the assignments as needed with appropriate State personnel.
 - Obtaining verification from the appropriate State personnel that the developed System is functioning correctly.
 - Preparing necessary documentation to properly reflect the usage of the System.

- Training State personnel in the use of the System.
- Implementing task orders that are fully operationally compliant with the latest National and State standards and policies listed below:
 - Louisiana Office of Technology Services Standards and Security Policies.
 - OTS Information Technology Policies and Procedures
 - LOSCO Policies and Procedures

ATTACHMENT III

CONTRACTOR PERSONNEL AND OTHER RESOURCES

1.0 CONTRACTOR PERSONNEL

The Contractor will use qualified individuals for assignment to the project, on an as needed basis mutually agreed upon by both parties (unless otherwise indicated), and in the capacities needed.

The following individuals are assigned to the project and in the capacities set forth below:

- Kate Tomeny Richardson – IT Project Manager
- Ivan Filpo - Senior Database Administrator, Software Architect
- James D'silva – Senior .Net Developer, SQL Reporting and Integration Services Developer
- Chinh Phan – Senior .Net Developer, SQL Reporting and Integration Services Developer
- Raga Sudha Boda - Senior .Net Developer, SQL Reporting and Integration Services Developer
- Siavash Nezhad - Intermediate .Net Developer, SQL Reporting and Integration Services Developer
- Baaji Shayeed Shaik - Intermediate .Net Developer
- Brian Truman – Database Administrator
- Cedeste Lewis - Web Content Administrator
- Bethany Madson Stinson - Data Control Specialist, Data Entry Specialist, Requirements Analyst
- Diane Leightman - Data Entry Specialist, Requirements Analyst

SUBSTITUTION AND ADDITIONAL PERSONNEL

The Contractor's personnel assigned to these task orders shall not be replaced without the prior written consent of the State. Such consent shall not be unreasonably withheld or delayed provided an equally qualified replacement is offered. In the event that any State or Contractor Personnel become unavailable due to resignation, illness, or other factors, excluding assignment to projects outside this Contract, outside of the State's or Contractor's reasonable control, as the case may be, the State or the Contractor, as the case may be, shall be responsible for providing an equally qualified replacement in time to avoid delays in completing tasks.

Due to the size and scope of requested task orders, the Contractor may need to provide additional individuals with comparable or improved skill sets when needed, for the State's pre-approval prior to adding to the project.

2.0 PC WORKSTATIONS

Contractor will provide its own workstations, any workstation resident software and maintenance thereof. The State will provide normal office space, internet access and access to the State's workstations, any workstation resident software and maintenance thereof.

3.0 NETWORK CONNECTIVITY

Any Contractor-provided workstations or devices to be connected to the State's network, must comply with State network and security standards. Contractor must provide the hardware components, operating system, and software licenses necessary to function as part of the State network. All hardware and software must be reviewed before it is used on the Local Area Network, and may be made operable on the Local Area Network with written approval of the State.

ATTACHMENT IV

STATE FURNISHED RESOURCES

Any resources of the State furnished to the Contractor shall be used only for the performance of this Contract. State will make available to the Contractor, for Contractor's use in fulfillment of this Contract, resources as described below:

1.0 STATE PROJECT DIRECTOR/LOSCO POINT OF CONTACT

The State Project Director appointed by DOA as described in Section 3.6 is Amit Chabukswar or his designee. Karolien Debusschere, or her designee, is the point of contact for this Contract on behalf of LOSCO. The assigned State Project Director and LOSCO point of contact shall be the principal points of contact on behalf of the State and will be the principal points of contact for Contractor concerning Contractor's performance under this Contract.

2.0 TECHNICAL STAFF

Reasonable access to other technical specialists on a limited basis will be coordinated through the State Project Director and LOSCO.

3.0 FUNCTIONAL STAFF

Reasonable access to other functional personnel on a limited basis will be coordinated through the State Project Director and LOSCO.

4.0 OFFICE FACILITIES

State will provide reasonable and normal office space, clerical support, local telephone service, and limited usage of copiers.

5.0 COMPUTER FACILITIES

State will make available use of computer facilities at reasonable times and in reasonable time increments to support system development, test, and installation activities. Special facility requirements, such as stress testing or conversion, shall be addressed in the appropriate planning documents or documented by the Contractor in a memorandum.

6.0 EXISTING SOURCE CODE AND DATA SOURCES

All available documentation and source related to the existing LOSMS and data sources.

ATTACHMENT V

INSURANCE REQUIREMENTS FOR CONTRACTORS

The Contractor shall purchase and maintain for the duration of the contract insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, employees or subcontractors.

Contractor's Insurance: The Contractor shall not commence work under this contract until he has obtained all insurance required herein. Certificates of Insurance, shall be filed with the State of Louisiana for approval prior to commencement of work. The Contractor shall not allow any sub-contractor to commence work on his subcontract until all similar insurance required for the subcontractor has been obtained and approved. In the event of a claim or dispute of a claim, the State reserves the right to request copies of insurance policies. Said policies shall not hereafter be canceled, permitted to expire, or be changed without thirty (30) calendar days' notice in advance to the State of Louisiana and consented to by the State of Louisiana in writing and the policies shall so provide.

A. MINIMUM SCOPE AND LIMITS OF INSURANCE

1. Workers Compensation

Workers Compensation insurance shall be in compliance with the Workers Compensation law of the State of the Contractor's headquarters. Employers Liability is included with a minimum limit of \$500,000 per accident/per disease/per employee. If work is to be performed over water and involves maritime exposure, applicable LHWCA, Jones Act, or other maritime law coverage shall be included and the Employers Liability limit increased to a minimum of \$1,000,000. A.M. Best's insurance company rating requirement may be waived for workers compensation coverage only.

2. Commercial General Liability

Commercial General Liability insurance, including Personal and Advertising Injury Liability, shall have a minimum limit per occurrence of \$1,000,000 and a minimum general aggregate of \$2,000,000. The Insurance Services Office (ISO) Commercial General Liability occurrence coverage form CG 00 01 (current form approved for use in Louisiana), or equivalent, is to be used in the policy. Claims-made form is unacceptable.

3. Automobile Liability

Automobile Liability Insurance shall have a minimum combined single limit per occurrence of \$1,000,000. ISO form number CA 00 01 (current form approved for use in Louisiana), or equivalent, is to be used in the policy. This insurance shall include third-party bodily injury and property damage liability for owned, hired and non-owned automobiles.

4. Professional Liability (Errors and Omissions)

Professional Liability (Error & Omissions) insurance, which covers the professional errors, acts, or omissions of the Contractor, shall have a minimum limit of \$1,000,000. Claims-made coverage is acceptable. The date of the inception of the policy must be no later than the first date of the anticipated

work under this contract. It shall provide coverage for the duration of this contract and shall have an expiration date no later than 30 days after the anticipated completion of this contract. The policy shall provide an extended reporting period of not less than 24 months, with full reinstatement of limits, from the expiration date of the policy.

5. Cyber and Data Breach Liability

Cyber liability insurance, including first-party costs, due to an electronic breach that compromises the State's confidential data shall have a minimum limit per occurrence of \$1,000,000. Claims-made coverage is acceptable. The date of the inception of the policy must be no later than the first date of the anticipated work under this contract. It shall provide coverage for the duration of this contract and shall have an expiration date no earlier than 30 days after the anticipated completion of the contract. The policy shall provide an extended reporting period of not less than 24 months from the expiration date of the policy, if the policy is not renewed. The policy shall not be cancelled for any reason, except non-payment of premium.

B. DEDUCTIBLES AND SELF -INSURED RETENTIONS

Any deductibles or self-insured retentions must be declared to and accepted by the Agency. Contractor shall be responsible for all deductibles and self-insured retentions.

C. OTHER INSURANCE PROVISIONS

The policies are to contain, or be endorsed to contain, the following provisions:

1. General Liability and Automobile Liability Coverage

a. The Agency, its officers, agents, employees and volunteers shall be named as an additional insured as regards negligence by the Contractor. ISO Form CG 20 10 (current form approved for use in Louisiana), or equivalent, is to be used when applicable. The coverage shall contain no special limitations on the scope of protection afforded to the Agency.

b. The Contractor's insurance shall be primary as respects the Agency, its officers, agents, employees and volunteers. Any insurance or self-insurance maintained by the Agency shall be excess and non-contributory of the Contractor's insurance.

c. The Contractor's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the policy limits.

2. Workers Compensation and Employers Liability Coverage

The insurer shall agree to waive all rights of subrogation against the Agency, its officers, agents, employees and volunteers for losses arising from work performed by the Contractor for the Agency.

3. All Coverages

- a. Coverage shall not be canceled, suspended, or voided by either party (the Contractor or the insurer) or reduced in coverage or in limits except after 30 days written notice has been given to the Agency. Ten-day written notice of cancellation is acceptable for non-payment of premium. Notifications shall comply with the standard cancellation provisions in the Contractor's policy.
- b. Neither the acceptance of the completed work nor the payment thereof shall release the Contractor from the obligations of the insurance requirements or indemnification agreement.
- c. The insurance companies issuing the policies shall have no recourse against the Agency for payment of premiums or for assessments under any form of the policies.
- d. Any failure of the Contractor to comply with reporting provisions of the policy shall not affect coverage provided to the Agency, its officers, agents, employees and volunteers.

D. ACCEPTABILITY OF INSURERS

All required insurance shall be provided by a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located. Insurance shall be placed with insurers with a A.M. Best's rating of **A-:VI or higher**. This rating requirement may be waived for workers compensation coverage only.

If at any time an insurer issuing any such policy does not meet the minimum A.M. Best rating, the Contractor shall obtain a policy with an insurer that meets the A.M. Best rating and shall submit another Certificate of Insurance as required in this contract.

E. VERIFICATION OF COVERAGE

Contractor shall furnish the Agency with Certificates of insurance reflecting proof of required coverage. The Certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The Certificates are to be received and approved by the Agency before work commences and upon any contract renewal thereafter.

In addition to the Certificates, Contractor shall submit the declarations page and the cancellation provision endorsement for each insurance policy. The Agency reserves the right to request complete certified copies of all required insurance policies at any time.

Upon failure of the Contractor to furnish, deliver and maintain such insurance as above provided, this contract, at the election of the Agency, may be suspended, discontinued or terminated. Failure of the Contractor to purchase and/or maintain any required insurance shall not relieve the Contractor from any liability or indemnification under this contract.

F. SUBCONTRACTORS

Contractor shall include all subcontractors as insureds under its policies OR shall be responsible for verifying and maintaining the Certificates provided by each subcontractor. Subcontractors shall be subject

to all of the requirements stated herein. The Agency reserves the right to request copies of subcontractor's Certificates at any time.

G. WORKERS COMPENSATION INDEMNITY

In the event Contractor is not required to provide or elects not to provide workers compensation coverage, the parties hereby agree that Contractor, its owners, agents and employees will have no cause of action against, and will not assert a claim against, the State of Louisiana, its departments, agencies, agents and employees as an employer, whether pursuant to the Louisiana Workers Compensation Act or otherwise, under any circumstance. The parties also hereby agree that the State of Louisiana, its departments, agencies, agents and employees shall in no circumstance be, or considered as, the employer or statutory employer of Contractor, its owners, agents and employees. The parties further agree that Contractor is a wholly independent contractor and is exclusively responsible for its employees, owners, and agents. Contractor hereby agrees to protect, defend, indemnify and hold the State of Louisiana, its departments, agencies, agents and employees harmless from any such assertion or claim that may arise from the performance of this contract.

H. INDEMNIFICATION/HOLD HARMLESS AGREEMENT

Contractor agrees to protect, defend, indemnify, save, and hold harmless, the State of Louisiana, all State Departments, Agencies, Boards and Commissions, its officers, agents, servants, employees, and volunteers, from and against any and all claims, damages, expenses, and liability arising out of injury or death to any person or the damage, loss or destruction of any property which may occur, or in any way grow out of, any act or omission of Contractor, its agents, servants, and employees, or any and all costs, expenses and/or attorney fees incurred by Contractor as a result of any claims, demands, suits or causes of action, except those claims, demands, suits, or causes of action arising out of the negligence of the State of Louisiana, all State Departments, Agencies, Boards, Commissions, its officers, agents, servants, employees and volunteers.

Contractor agrees to investigate, handle, respond to, provide defense for and defend any such claims, demands, suits, or causes of action at its sole expense and agrees to bear all other costs and expenses related thereto, even if the claims, demands, suits, or causes of action are groundless, false or fraudulent.

ATTACHMENT VI
TASK ORDER TEMPLATE

TASK ORDER

Description of Agreement: This Task Order #_____ is issued under the terms and conditions of the Contract dated _____ by and between TEI (Technology Engineers, Inc.) and DOA. Except as provided herein, all terms and conditions of the original Contract shall remain unchanged. The State may terminate this Task Order at any time without penalty by giving thirty (30) days written notice to TEI of such termination.

Statement of Work

•

Period of Performance

•

Deliverables and Dates for Completion of Deliverables

•

Payment Terms

- In consideration for satisfactory services performed pursuant to the Contract, and all rights conveyed, the State shall pay Contractor the following hourly rates:

[Insert Task Rates]

- Other direct costs
- The total cost for services shall not exceed \$____, 000.00, inclusive of expenses.

The effort and amounts presented herein are mutually exclusive and the funding is not to be commingled. This is a separate and individually funded Task Order and is to be worked, reported and tracked independently of all other Task Orders.

CONTRACTOR

LOSCO

By: _____
Name: _____
Date: _____

By: _____
Name: _____
Date: _____

STATE PROJECT DIRECTOR

By: _____
Name: _____
Date: _____

ATTACHMENT VII

SERVICE LEVEL AGREEMENT

Contractor shall comply with the following provisions, unless otherwise directed by the State Project Director and LOSCO:

- a. Ramp up and ramp down of personnel within a one-week period.
- b. Response to requests for technical support based on the level of urgency, at a maximum within 24 hours. A resolution goal timeline for handling support requests.
- c. Service Availability:
 - a. LOSMS must allow all full operational functions to be executed via a standard web browser. When new enhancements to LOSMS have been accepted by the Contract Administrator as fully operational, the following service availability requirements shall apply. At a minimum, the application service must be:
 - i. Ninety Nine (99) % available during working hours: between 6 AM and 6 PM CST, Monday through Friday.
 - ii. Ninety Five (95) % all other times.
 - b. Other exclusions that may apply to the service availability (ex: lost passwords, deliberate or accidental misuse by registered users, reasonable delays due to computer intensive activities such as data loading or performing intensive calculations, etc.) will be provided with the selected Contractor.
- d. Performance Levels:
 - a. Secure environments must be provided for two kinds of users:
 - i. A multi-user, collaborative, read/write environment for State Trustee users of LOSMS.
 - ii. A read-only reporting environment for non-authenticated (public) users of the website.
- e. Performance Requirements:
 - a. When the contract requirements are not met, the Contractor shall be given sixty (60) calendar days after written notification to correct the problem. If the problem is corrected within the 60-day correction action period there will be no penalty.
 - b. The Contractor shall report monthly and advise the State via electronic system notifications if issues occur. If the requirements are not met and the problem is not corrected within the 60 calendar day corrective action period, the Contractor shall receive a reduction of five percent (5%) of each month's invoice beginning with the first month of the correction action period. For example: If Contractor is notified of the problem in June and fails to correct the problem within the 60 day period, the Contractor would be penalized for the months of June and every month thereafter until the problem is resolved. The penalty is removed when the Contractor is in compliance.
 - c. If the Contractor fails to comply again for the same contract requirement, the five percent (5%) penalty shall be imposed again without the benefit of the sixty (60) calendar day corrective action period. The penalty is removed when the Contractor is in compliance.

ATTACHMENT VIII
NON-EXHAUSTIVE LIST OF ADVERSE OR POTENTIALLY ADVERSE PARTIES

Any State (other than Louisiana) governmental entities
Any local governmental entities (including those located in Louisiana)
Any Federal governmental entities
Any Designated Responsible Party or potential Responsible Party
Alma Energy Corporation
Amerada Hess Corporation
American Commercial Lines, LLC
Anadarko Petroleum Corporation
Anadarko E&P Company LP
Apache Corporation
BP America, Inc.
BP Corporation North America, Inc.
BP Exploration & Production, Inc.
BP P.L.C.
Baby Oil
Cameron International Corporation F/K/A Cooper-Cameron Corporation
Cedyco
Chevron Pipeline Company
Citgo Petroleum Corporation Transocean Holdings LLC
CLEPCO, LLC
Clovelly Oil Co. LLC
D.R.D. Towing Company, LLC Triton Asset Leasing GmbH
Dune Operating
El Paso E&P Company, L.P.
El Paso Production Company
Equinox Oil Company, Inc.
Exxon Mobile Pipeline
Forest Oil
Gulf Production Company
Habors Oil & Gas
Halliburton Energy Services, Inc.
Helis Oil & Gas
Hess Corporation
Hilcorp Energy Company
Justiss Oil Company, Inc.
M-I, LLC
Mariner Energy, Inc.
McGowan Working Partners
Moex Offshore 2007 LLC
ORB Exploration
Ocean Energy, Inc.
Palmetto Oil
S2 Energy

Shell Pipeline Company, LP
TPIC
Texas Petroleum Investments
Transocean Deepwater, Inc.
Transocean Offshore Deepwater Drilling, Inc.
Weatherford U.S. L.P.
Whitney Oil & Gas, LLC
Williams Field Services
XTO Energy (subsidiary of EMPCo)



State of Louisiana

Louisiana Department of Health
Office of Management and Finance

April 9, 2021

The Honorable Mack "Bodi" White
Chairman, Joint Legislative Committee on the Budget
P.O. Box 44294
Baton Rouge, LA 70804

Re: LDH Request for April JLCB Agenda Item Pursuant to La. R.S. 39:1615(J)

Dear Senator White:

The Louisiana Department of Health (LDH) requests that the following contract be placed on the agenda for the Joint Legislative Committee on the Budget (JLCB) April hearing for consideration by your committee pursuant to La. R.S. 39:1615(J). LDH has a current contract with this entity and wishes to extend pursuant to the extension options in the contract. Per La. R.S. 39:1615(J), this option requires the approval of your committee before proceeding. The contract is as follows:

Maximus Health Services, Inc. – Enrollment Broker Services

This is a three year, statewide contract between Medicaid and Maximus Health Services, Inc. (MHS) for the provision of comprehensive enrollment broker services, including, but not limited to, processing and distribution of enrollments, choice counseling, and beneficiary support services. MHS has provided such services for Medicaid enrollees since August 2011. Considering this longstanding professional relationship, LDH is requesting approval from JLCB to extend the current MHS contract through July 31, 2023, to ensure continuity of enrollment and beneficiary support services as LDH prepares for the upcoming managed care procurement. Extending the current contract with MHS ensures the State has an experience broker, long familiar with Louisiana health plans and linkage requirements for the transition to possible new managed care entities.

Thank you for considering our request to have this contract extension included on the April JLCB agenda. I am enclosing a copy of the contract and the Scope of Work for your convenience. If you have any questions or need any additional information, please feel free to contact Mitzi Hochheiser in my office at 225-342-8935 or Mitzi.Hochheiser@la.gov.

Sincerely,

A handwritten signature in cursive script, appearing to read "Ruth Johnson".

Ruth Johnson
Undersecretary

Enclosures

**AMENDMENT TO
AGREEMENT BETWEEN STATE OF LOUISIANA
LOUISIANA DEPARTMENT OF HEALTH**

Amendment #: 3LAGOV#: 2000353911LDH #: 061914

(Regional/ Program/ Facility)	<u>Medical Vendor Administration</u> <u>Bureau of Health Services Financing</u> AND <u>Maximus Health Services, Inc</u> Contractor Name	Original Contract Amount <u>\$23,000,608.00</u> Original Contract Begin Date <u>08-01-2018</u> Original Contract End Date <u>07-31-2021</u> RFP Number: <u>300009473</u>
----------------------------------	--	---

AMENDMENT PROVISIONS

Change Contract From: From Maximum Amount: \$23,000,698.00 Current Contract Term: 08/1/2018 - 7/31/2021

CF-1:
 11) Termination Date: 07/31/2021
 12) Maximum Contract Amount: \$23,000,698.00 (as approved)
 13) Amounts by Fiscal Year: FY 19-22: \$5,368,078; \$5,911,309; \$10,931,130, \$790,181 (as approved)
 15) Attachment B: Statement of Work (as approved)

Change Contract To: To Maximum Amount: \$45,049,806.00 Changed Contract Term: 08/1/2018 - 7/31/2023

CF-1:
 11) Termination Date: 07/31/2023
 12) Maximum Contract Amount: \$45,049,806 (as revised)
 13) Amounts by Fiscal Year: FY 19-24: \$5,368,078; \$5,911,309; \$10,931,130, \$11,007,606 (as revised), \$10,912,971 (as revised); \$918,712 (as revised)
 15) Attachment B: Statement of Work (as revised)

Justifications for amendment:

The Enrollment Broker contract with Maximus Health Services contains an option to extend for up to twenty-four (24) additional months, with JLCB approval up to 12 months, at the same rates, terms and conditions of the initial contract term. The total contract term (8/01/2018 through 07/31/2021) including any extensions cannot exceed 5 years. LDH seeks to extend the current contract for a period of twenty-four (24) months, through 07/31/2023, by utilizing normal Contract Amendment processes.

This Amendment Becomes Effective: 08-01-2021

This amendment contains or has attached hereto all revised terms and conditions agreed upon by contracting parties.

IN WITNESS THEREOF, this amendment is signed and entered into on the date indicated below.

CONTRACTOR

Maximus Health Services, Inc

**STATE OF LOUISIANA
LOUISIANA DEPARTMENT OF HEALTH**

Secretary, Louisiana Department of Health or Designee

CONTRACTOR SIGNATURE _____ DATE _____
 PRINT
 NAME _____
 CONTRACTOR
 TITLE _____

SIGNATURE _____ DATE _____
 NAME _____
 TITLE _____
 OFFICE _____

PROGRAM SIGNATURE _____ DATE _____
 NAME _____



Bureau of Health Services Financing

Attachment B
Statement of Work
For
Enrollment Broker Services

Table of Contents

1	GENERAL INFORMATION	3
1.1.	Background	3
1.2	Overview of Medicaid Managed Care System	3
1.3	Purpose.....	4
2	SCOPE OF WORK	5
2.1	Project Overview	5
2.2	Deliverables	6
2.3	Performance Standards	36
2.4	Administrative Actions and Liquidated Damages.....	37
2.5	Program Integrity	43
2.6	Technical Requirements	43
2.7	Contingency Plan	47
2.8	Staffing Requirements	48
2.9	Subcontracting.....	51
2.10	Transition Plan.....	52
2.11	Compliance With Applicable Laws.....	53
2.12	Insurance Requirements.....	53
2.13	Resources Available to Contractor	56
2.14	Contract Monitor	56
2.16	Payment Terms.....	57
2.17	Code of Ethics	58
3	CONTRACTOR REQUIREMENTS.....	59
3.1	Confidentiality of Data	59
3.2	Taxes.....	59
3.3	Fund Use	59
4	CONTRACTUAL INFORMATION	60
4.1	Contract	60
4.2	Mutual Obligations and Responsibilities	62
4.3	Performance Bond.....	62
4.4	Indemnification and Limitation of Liability.....	63
4.5	Termination	64
4.6	Independent Assurances	65
5	GLOSSARY	66
6	ACRONYMS	70

1 GENERAL INFORMATION

1.1. Background

- 1.1.1 The mission of the Louisiana Department of Health (LDH) is to protect and promote health and to ensure access to medical, preventive, and rehabilitative services for all citizens of the State of Louisiana. LDH is dedicated to fulfilling its mission through direct provision of quality services, the development and stimulation of services of others, and the utilization of available resources in the most effective manner.
- 1.1.2 LDH is comprised of the Bureau of Health Services Financing (BHSF), the Office for Citizens with Developmental Disabilities (OCDD), the Office of Behavioral Health (OBH), the Office of Aging and Adult Services (OAAS), and the Office of Public Health (OPH). Under the general supervision of the Secretary, these principal offices perform the primary functions and duties assigned to LDH.
- 1.1.3 LDH, in addition to encompassing the program offices, has an administrative office known as the Office of the Secretary, a financial office known as the Office of Management and Finance, and various bureaus and boards. The Office of the Secretary is responsible for establishing policy and administering operations, programs and affairs.
- 1.1.4 In Louisiana, Medicaid is administered by BHSF. Medicaid provides medical benefits to low-income individuals and families. Although the federal government establishes the general rules for Medicaid, specific requirements are established by each state. In Louisiana, over 1.5 million residents receive healthcare coverage through Medicaid. Medicaid is funded by both the Federal and State Government and covers a wide range of services, including physicians, hospitals, nursing homes, and Home and Community-Based Services (HCBS). BHSF retains administrative authority for all Medicaid programs, services and waivers.
- 1.1.5 The Health Plan Management section within BHSF has responsibility for providing information, assistance, and operational support to beneficiaries and providers. Additionally, the section provides administrative oversight of the enrollment broker contract, and compiles and analyzes enrollment data for demographic trends and other indicators of vital interest to LDH's management of this program. The section also has primary responsibility for implementation, ongoing operations and oversight of Medicaid managed care delivery systems, including the delivery system for acute and behavioral health care hereafter referred to as the Louisiana Medicaid managed care program.

1.2 Overview of Medicaid Managed Care System

- 1.2.1 In 2012, Louisiana implemented a managed care delivery model designed to improve health outcomes and contain costs through coordination of acute care, specialized behavioral health and medical transportation services for Medicaid beneficiaries. The Louisiana Medicaid managed care program also provides specialized behavioral health and medical transportation for an additional 103,000 Medicaid beneficiaries. In 2016, Louisiana implemented the expansion of Medicaid eligibility under the Patient Protection and Affordable Care Act. As of November 2017, there were 1,466,343 Louisiana Medicaid managed care enrollees. The Louisiana Medicaid managed care program is a full risk-bearing, Managed Care Organization (MCO) health care delivery system currently comprised of five MCOs. In the Louisiana Medicaid managed care program, enrollees are able to choose a health plan that best suits the needs of the enrollee and their family.

- 1.2.2 Enrollment services for Medicaid managed care systems are provided by an enrollment broker. The enrollment broker is the primary contact for Medicaid enrollees and potential enrollees and provides unbiased enrollment services to assist beneficiaries in their selection of a suitable health plan. The enrollment broker is responsible for the enrollment and disenrollment process and serves as an impartial conduit managing the linkage between enrollee and MCO.
- 1.2.3 Currently, LDH staff establishes and determines Medicaid financial eligibility for individuals and provides that information to the enrollment broker via LDH's Fiscal Intermediary. The enrollment broker has no role in establishing or determining Medicaid eligibility. Eligibility questions from consumers are referred to LDH. Medicaid eligibility requirements and included populations are available at www.ldh.louisiana.gov.

1.3 Purpose

- 1.3.1 The purpose of this Contract is to provide comprehensive enrollment services. LDH seeks the services of an enrollment broker for the operation and maintenance of a statewide enrollment system with full functionality to comply with the specifications detailed in this Contract.
 - 1.3.1.1 Implement and perform enrollment services such as developing, distributing, collecting, and processing enrollment materials and taking enrollments by phone, in person, or through electronic methods of communication.
 - 1.3.1.2 Implement an efficient and cost-effective automated enrollment and disenrollment process.
 - 1.3.1.3 Implement and maintain automated systems to collect and report data, and communicate and transfer data among MCOs, LDH, LDH's Fiscal Intermediary, and/or LDH's Medicaid eligibility systems.
 - 1.3.1.4 Develop, implement, and maintain a beneficiary support system that provides support to beneficiaries both prior to, and after, enrollment in a MCO. Provide a customer service unit that utilizes telephony infrastructure and qualified staff to respond to inquiries regarding all aspects of managed care programs under the purview of LDH.
 - 1.3.1.5 Implement and perform choice counseling by providing information designed to assist beneficiaries in making enrollment decisions. Choice counseling includes answering questions and identifying factors to consider when choosing among managed care plans.

2 SCOPE OF WORK

2.1 Project Overview

2.1.1 Enrollment Broker Services

2.1.1.1 The Contractor shall provide LDH with comprehensive enrollment broker and beneficiary support services in accordance with the specifications set forth herein.

2.1.1.2 For purposes of this Contract, enrollment broker services include “enrollment services” as defined in 42 CFR §438.810(a), as well as related services described in this Contract, whether or not such services are within the scope of enrollment services as defined in federal regulations. As used in this Contract, “enrollment broker” means an individual or entity that performs enrollment services, which includes but is not limited to distributing, collecting, and processing enrollments by phone, in person, or through electronic methods of communication; choice counseling; and beneficiary support services.

2.1.1.3 In accordance with 42 CFR §438.810(b), the enrollment broker and its subcontractors shall be independent of any MCO or other health care provider in the State, and free from conflict of interest.

2.1.1.3.1 The Contractor or subcontractor is not considered independent if it:

2.1.1.3.1.1 Is a MCO entity or other health care provider in the State;

2.1.1.3.1.2 is owned or controlled by a MCO or other health care provider in the State; or

2.1.1.3.1.3 owns or controls a MCO or other healthcare provider in the State.

2.1.1.3.2 The Contractor or subcontractor is not considered free from conflict of interest if any person who is the owner, employee, or consultant of the Contractor or subcontractor or has any contract with them:

2.1.1.3.2.1 Has any direct or indirect financial interest in any entity or healthcare provider that furnishes services in the State;

2.1.1.3.2.2 Has been excluded from participation under Title XVIII or XIX of the Social Security Act;

2.1.1.3.2.3 Has been debarred by any Federal agency; or

2.1.1.3.2.4 Has been, or is now, subject to civil monetary penalties under the Social Security Act.

2.1.1.4 At a minimum, the Contractor will:

2.1.1.4.1 perform all functions directly related to the enrollment and disenrollment of beneficiaries within the managed care system, including physical and behavioral health and dental services;

- 2.1.1.4.2 ensure that enrollees and potential enrollees receive timely and adequate information and education;
 - 2.1.1.4.3 ensure enrollees and/or authorized representatives receive choice counseling that is accessible in multiple ways, including phone, internet, in-person, and via auxiliary aids and services when requested related to managed care delivery systems, including physical and behavioral health and dental services; and
 - 2.1.1.4.4 create and provide enrollee education and enrollment information.
- 2.1.1.5 Additional Medicaid eligibility groups may be added upon approval from the Centers for Medicare and Medicaid Services (CMS) and/or LDH. Regardless of the number of enrollees, the per member per month (PMPM) payment to the enrollment broker shall be the same.

2.2 Deliverables

2.2.1 The Contractor will complete deliverables in accordance with the requirements in this section.

2.2.1.1 Reporting

The Contractor shall comply with the required timelines for delivery of all reporting requirements. Although LDH has indicated the initial reports that are required in Performance Standards, the Contractor may suggest additional reports. LDH also reserves the right to require additional reports beyond what is included in this document. All report formats must be approved by LDH. Reports require State approval before being considered final.

2.2.1.2 Auditing

The Contractor shall perform SSAE 18 SOC 2 Type II audits relevant to the Security and Processing Integrity trust principles throughout the course of the contract, but no more frequent than once annually LDH will approve audit schedules and the mechanisms by which these will be completed. Contractors shall not perform SSAE 18 SOC 2 Type II audits relevant to the Security and Processing Integrity trust principles of DBPM until 2021 calendar year.

The State, CMS, the Office of the Inspector General, and their designees may, at any time, inspect and audit any records or documents of the contractor, or its subcontractors, and may, at any time, inspect the premises, physical facilities and equipment where Medicaid-related activities or work is conducted. The right to audit under 42 CFR §483.3 exists for ten years from the final date of the contract period or from the date of completion of any audit, whichever is later.

2.2.1.3 Monitoring

The Contractor shall monitor and evaluate the deliverables and shall identify and report any deficiencies or issues needing to be resolved along with the recommended solutions in the form of status reporting or other formats deemed necessary by LDH. The Contractor shall meet with LDH on a regular basis, as determined by the Contract Monitor. Meetings may be in person, webinar, or teleconference, as determined by the Contract Monitor.

2.2.2 General Requirements

2.2.2.1 This section identifies tasks the Contractor will perform, at a minimum, during the contract period. These tasks and associated deliverables will be the basis by which the Contractor's performance will be measured.

2.2.2.2 The Contractor shall:

- 2.2.2.2.1 Assist all beneficiaries throughout the State of Louisiana with enrollment into MCOs, Dental Benefit Program Managers (DBPMs), or alternative Medicaid managed care programs.
- 2.2.2.2.2 Assist and educate all managed care enrollees and potential enrollees with their selection of the most appropriate managed care organization based on their eligibility and category of assistance, taking into account such factors as: individual's healthcare needs, previous provider-beneficiary relationship, the needs of the individual's family members, and MCO enrollment capacity.
- 2.2.2.2.3 Assist and educate all dental benefit plan enrollees and potential enrollees with their selection of the most appropriate DBPM based on their eligibility and category of assistance, taking into account such factors as: individual's dental needs, previous provider-beneficiary relationship, the needs of the individual's family members, and DBPM enrollment capacity.
- 2.2.2.2.4 Maintain the secure automated systems necessary for all of the functional areas while supporting managed care enrollees and potential enrollees, including resources such as provider listings for the MCOs, DBPMs, and for any alternative managed care system.
- 2.2.2.2.5 Electronically process enrollments and disenrollments both retroactively and prospectively from an MCO and/or DBPM as necessary, based on eligibility or at the request of LDH.
- 2.2.2.2.6 Serve as a centralized repository for managed care and DBPM enrollment data with the ability to electronically:
 - 2.2.2.2.6.1 Receive and accurately process eligibility files received from LDH or LDH designees.
 - 2.2.2.2.6.2 Determine the MCO or DBPM for which the beneficiary is eligible and process accordingly.
 - 2.2.2.2.6.3 Accept and process updates/changes to eligibility files and apply changes appropriately.
 - 2.2.2.2.6.4 Identify and transition enrollees from one managed care system to another as eligibility dictates.
- 2.2.2.2.7 Ensure multiple access channels for a beneficiary to enroll and sufficient administrative support to process beneficiary inquiries in a timely manner. The enrollment process must allow beneficiaries the opportunity for self-service by maximizing access through a web-based approach that is supplemented by additional

electronic means, including, but not limited to, e-mail, facsimile and Interactive Voice Response (IVR).

- 2.2.2.2.8 Provide timely and accurate management reporting that supports decision making for all programs as specified by LDH.
- 2.2.2.2.9 Maximize the number of opportunities for potential enrollees and enrollees to obtain objective, unbiased information.
- 2.2.2.2.10 Provide guidance and information to potential enrollees on the requirements of participation in a DBPM, including, but not limited to, the use of network providers; maintenance of existing relationships with network providers; and the importance of preventive dental care.
- 2.2.2.2.11 Educate potential enrollees on the DBPM's delivery system, including, but not limited to, the provider network, ability to accommodate non-English-speaking enrollees, referral system, use of network providers, maintenance of existing relationships with network providers, and the importance of primary dental care.
- 2.2.2.2.12 Educate potential enrollees on orientation and other enrollee services made available by the DBPM.
- 2.2.2.2.13 Develop and provide accurate enrollment materials to potential enrollees and enrollees that contain information to assist in the selection of a managed care organization or DBPM, as specified and approved by LDH.
- 2.2.2.2.14 Ensure that approved enrollment materials are available and accessible to all populations, including individuals who have limited reading comprehension and/or who are non-English speaking, as specified by LDH.
- 2.2.2.2.15 Establish a customer service unit within the continental United States to support enrollment broker and support services inquiries. Customer Service Representatives must be capable of handling and responding to inquiries regarding all aspects of managed care and dental benefit programs and related services provided by LDH.
- 2.2.2.2.16 Coordinate and collaborate with LDH to ensure beneficiaries are informed, educated and provided the assistance required to select an appropriate MCO and DBPM in the manner of their choosing (choice counseling).
- 2.2.2.2.17 Have an effective, LDH-approved plan in the event of a MCO or DBPM contract termination and explain the plan to enrollees and potential enrollees in materials in accordance with 42 CFR § 438.10.
- 2.2.2.2.18 Comply with the informational requirements of 42 CFR §438.10 to ensure that before enrolling, the potential enrollee receives from the enrollment broker, the accurate oral and written information he or she needs to make an informed decision. This information shall be provided in accordance with Social Security Act §1932 and 42 CFR §438.104, in an objective, non-biased fashion that neither favors nor

discriminates against any managed care organization or DBPM. LDH reserves the right to delegate the performance of these informational functions to the MCOs or PAHPs pursuant to 42 CFR §438.3, 42 CFR §438.10, and 42 CFR §438.100.

- 2.2.2.2.19 Comply with all rules and regulations concerning enrollment and disenrollment procedures, including but not limited to: notification requirements concerning disenrollment rights, enrollment rights, and right to request and obtain information concerning enrollment/disenrollment and provide such information within the timeframe specified by LDH.
- 2.2.2.2.20 Adhere to all Medicaid State and Federal rules, regulations, policies and procedures regarding enrollment and disenrollment. The aforementioned may be found at <http://ldh.la.gov/index.cfm/page/2967>
- 2.2.2.2.21 Provide to LDH, the Fiscal Intermediary, MCOs, and DBPMs a comprehensive on-call operation with availability twenty-four (24) hours a day, seven (7) days a week for file exchange and/or transfer matters.
- 2.2.2.2.22 Provide to LDH and its designees a direct comprehensive help desk operation with availability from 7:00 a.m. to 5:00 p.m. Central Time, excluding Louisiana state holidays.

2.2.3 Programmatic Requirements for Enrollment Broker Services

The enrollment process shall include, at a minimum, policies, procedures, and electronic processes that address providing, collecting, and processing enrollments and disenrollments both retroactively and prospectively, and ancillary materials. (Visit www.ldh.louisiana.gov for MCO eligibility, enrollment and disenrollment requirements).

2.2.3.1 Enrollment System

- 2.2.3.1.1 The Contractor will provide an enrollment system for Louisiana Medicaid managed care and dental benefit program beneficiaries, voluntary and mandatory, as appropriate.

2.2.3.1.2 Enrollment Discrimination Prohibited

- 2.2.3.1.2.1 The Contractor shall accept individuals eligible for enrollment in the order in which they apply without restriction, unless authorized by CMS.
- 2.2.3.1.2.2 Enrollment is voluntary, except in the case of mandatory enrollment programs that meet the conditions set forth in 42 CFR § 438.50(a).
- 2.2.3.1.2.3 The Contractor shall not, on the basis of health status or need for healthcare services, discriminate against individuals eligible to enroll.
- 2.2.3.1.2.4 The Contractor shall not discriminate against individuals eligible to enroll on the basis of race, color, national origin, sex, sexual orientation, gender identity, or disability and shall not use any policy or practice that has the effect of

discriminating on the basis of race, color, national origin, sex, sexual orientation, gender identity, or disability.

2.2.3.1.3 Enrollee Rights and Protections

2.2.3.1.3.1 The Contractor shall comply with all applicable Federal and State laws that pertain to enrollee rights, and ensure that its employees and subcontractors observe and protect those rights.

2.2.3.1.3.2 The Contractor must ensure that each enrollee is guaranteed the following rights:

2.2.3.1.3.2.1 Receive information in accordance with 42 CFR § 438.10;

2.2.3.1.3.2.2 Be treated with respect and with due consideration for his or her dignity and privacy; and

2.2.3.1.3.2.3 Receive information presented in a manner appropriate to the enrollee's condition and ability to understand.

2.2.3.1.3.3 The Contractor must ensure that each enrollee is free to exercise his or her rights, and that the exercise of those rights does not affect adversely the way the Contractor treats the enrollee.

2.2.3.1.4 Access and Cultural Considerations

2.2.3.1.4.1 The Contractor shall promote access and deliver services in a culturally competent manner to all enrollees and potential enrollees, including those with limited English proficiency and diverse cultural and ethnic backgrounds, disabilities, and regardless of gender, sexual orientation or gender identity.

2.2.3.1.5 Confidentiality

2.2.3.1.5.1 The Contractor shall, for any health and enrollment information that identifies a particular enrollee, use and disclose such individually identifiable health information in accordance with the privacy requirements in 45 CFR parts 160 and 164, subparts A and E, to the extent applicable.

2.2.3.1.6 Limitations on Enrollment

2.2.3.1.6.1 The Contractor shall not require the following groups specified in 42 CFR §438.50(d) to enroll in a managed care program:

2.2.3.1.6.1.1 Beneficiaries who are also eligible for Medicare;

2.2.3.1.6.1.2 Indians as defined in 42 CFR §438.14(a), except as permitted under 42 CFR §438.14(d); and

2.2.3.1.6.1.3 Children under 19 years of age who are:

2.2.3.1.6.1.3.1 Eligible for SSI under Title XVI;

2.2.3.1.6.1.3.2 Eligible under section 1902 (e)(3) of the Social Security Act;

2.2.3.1.6.1.3.3 In foster care or other out-of-home placement;

2.2.3.1.6.1.3.4 Receiving foster care or adoption assistance; or

2.2.3.1.6.1.3.5 Receiving services through a family-centered, community-based coordinated care system and is defined by the State.

2.2.3.2 MCO Enrollment Process

2.2.3.2.1 Voluntary Managed Care Enrollment Process

2.2.3.2.1.1 Voluntary managed care populations are those where one or more groups of beneficiaries have the option to enroll in a managed care plan or remain enrolled in fee-for-service (FFS) to receive Medicaid covered benefits.

2.2.3.2.1.2 Potential enrollees are provided an enrollment choice option at the time of Medicaid eligibility application wherein they may make an active choice of an MCO before enrollment is effectuated.

2.2.3.2.1.3 Contractor must implement an automated enrollment system that includes the following features:

2.2.3.2.1.3.1 Upon eligibility determination, accepts a potential enrollee's active choice of the managed care delivery system and MCO;

2.2.3.2.1.3.2 Gives potential enrollees the opportunity to elect to receive covered services through the managed care or fee-for-service (FFS) delivery system. If the potential enrollee elects to receive covered services through the managed care delivery system, the potential enrollee may then also select a MCO;

2.2.3.2.1.3.3 If the potential enrollee does not make an active choice of delivery system during the eligibility application, then the potential enrollee will continue to receive covered services through the FFS delivery system.

2.2.3.2.1.3.4 Employs an auto-assignment enrollment process, developed and approved by LDH, in which a beneficiary who does not make an active MCO choice, if selecting the managed care delivery system, is enrolled into a MCO and simultaneously provided a 90-day period of time for the enrollee to accept the MCO selected for them, select a different MCO, or elect to receive covered services through the FFS delivery system, as eligibility dictates.

2.2.3.2.1.3.5 If the potential enrollee does not make an active choice of an MCO after selecting the managed care delivery system during the 90-day period, the potential enrollee will remain enrolled with the MCO selected by the auto-assignment enrollment process.

2.2.3.2.1.4 The Contractor must develop and provide informational notices to each potential enrollee at the time the potential enrollee first becomes eligible to enroll in a managed care program and within a timeframe that enables the potential enrollee to use the information in choosing among available delivery system and/or managed care organization options. The notices must be approved by LDH and:

- 2.2.3.2.1.4.1 Clearly explain the implications to the potential enrollee of: not making an active choice between managed care and FFS; selecting a different MCO; and accepting the MCO selected by auto-assignment enrollment;
- 2.2.3.2.1.4.2 Identify the MCOs available to the potential enrollee should they elect the managed care delivery system;
- 2.2.3.2.1.4.3 Provide a comparison of the MCOs available;
- 2.2.3.2.1.4.4 Provide clear instructions for how to make known the enrollee's selection of the FFS delivery system or a MCO;
- 2.2.3.2.1.4.5 Provide a comprehensive explanation of the 90 day without cause disenrollment period, and all other disenrollment options as specified in 42 CFR §438.56;
- 2.2.3.2.1.4.6 Include a business reply by mail envelope, if applicable;
- 2.2.3.2.1.4.7 Include the contact information for the beneficiary support system; and
- 2.2.3.2.1.4.8 Comply with information requirements.

2.2.3.2.1.5 The Contractor must provide informational notices in hard copy form to Medicaid Eligibility offices so that potential enrollees who visit any Medicaid Eligibility office can take the informational kit home to review or discuss their options with other family members.

2.2.3.2.1.6 The enrollment system must provide that beneficiaries already enrolled in a MCO are given priority to continue that enrollment if the MCO does not have the capacity to accept all those seeking enrollment under the program.

2.2.3.2.2 Mandatory Managed Care Enrollment Process

2.2.3.2.2.1 Mandatory managed care populations are those where one or more groups of beneficiaries must enroll in a MCO to receive covered Medicaid benefits.

2.2.3.2.2.2 Potential enrollees are provided an enrollment choice option at the time of Medicaid eligibility application, wherein they may make an active choice of an MCO before enrollment is effectuated.

2.2.3.2.2.3 Contractor must implement an automated enrollment system that includes the following features:

- 2.2.3.2.2.3.1 Upon eligibility determination, accepts a potential enrollee's active choice of an MCO.
 - 2.2.3.2.2.3.2 If the potential enrollee does not make an active choice of MCO during the eligibility application process, the potential enrollee will be enrolled into a MCO selected by the State's auto-assignment enrollment process.
 - 2.2.3.2.2.3.3 Employs an auto-assignment enrollment process, approved by LDH, in which a beneficiary who does not make an active choice is enrolled into a MCO and simultaneously provided a 90-day period of time for the enrollee to accept the MCO selected for them or select a different MCO.
 - 2.2.3.2.2.3.4 If the potential enrollee does not make an active choice during the 90 calendar day period, the potential enrollee will remain enrolled with the MCO selected by the auto-assignment enrollment process.
- 2.2.3.2.2.4 The Contractor must develop and provide informational notices to each potential enrollee at the time the potential enrollee first becomes eligible to enroll in a managed care program and within a timeframe that enables the potential enrollee to use the information in choosing among available delivery system and/or managed care plan options. The notices must be approved by LDH and:
- 2.2.3.2.2.4.1 Identify the MCOs available to the potential enrollee;
 - 2.2.3.2.2.4.2 Provide a comparison of the MCOs available;
 - 2.2.3.2.2.4.3 Provide clear instructions for how to make known the enrollee's selection of a MCO;
 - 2.2.3.2.2.4.4 Clearly explain the implications to the potential enrollee of: not making an active choice of a MCO, as well as the implications of making an active choice of an MCO;
 - 2.2.3.2.2.4.5 Provide a comprehensive explanation of the enrollment period, the 90-day without cause disenrollment period, and all other disenrollment options as specified in 42 CFR §438.56;
 - 2.2.3.2.2.4.6 Include the contact information for the beneficiary support system;
 - 2.2.3.2.2.4.7 Include a business reply mail envelope, if applicable; and
 - 2.2.3.2.2.4.8 Comply with information requirements.
- 2.2.3.2.2.5 The Contractor must provide informational notices in hard copy form to Medicaid Eligibility offices so that potential enrollees who visit any Medicaid Eligibility office can take the informational kit home to review or discuss their options with other family members.

- 2.2.3.2.2.6 The enrollment system must provide that beneficiaries already enrolled in a MCO are given priority to continue that enrollment if the MCO does not have the capacity to accept all those seeking enrollment under the program.

2.2.3.2.3 Auto-assignment Enrollment Process

- 2.2.3.2.3.1 Contractor shall employ an auto-assignment enrollment process, developed and approved by LDH, which may differ across systems of care and may include but not be limited to:

2.2.3.2.3.1.1 Existing family member enrollment;

2.2.3.2.3.1.2 Beneficiary's provider history and/or prior claims history; and

2.2.3.2.3.1.3 The MCO's quality measure scores.

- 2.2.3.2.3.2 The Contractor must assign potential enrollees to qualified MCOs. To be a qualified MCO, an entity must not be subject to the intermediate sanction described in 42 CFR § 438.702(a)(4) and have a capacity to enroll beneficiaries.

- 2.2.3.2.3.3 The Contractor must limit unqualified MCOs from the auto-assignment enrollment process within a five (5) calendar day notice by LDH. The Contractor must reinstate MCOs to the auto-assignment enrollment process within five (5) calendar day notice by LDH.

- 2.2.3.2.3.4 The auto-assignment enrollment process will seek to preserve existing provider-beneficiary relationships and relationships with providers that have traditionally served Medicaid beneficiaries.

2.2.3.2.3.4.1 An existing provider-beneficiary relationship is one in which the provider was a main source of services for the beneficiary during the previous year.

2.2.3.2.3.4.2 A provider is considered to have "traditionally served" Medicaid beneficiaries if it has experience in serving the Medicaid population.

- 2.2.3.2.3.5 The Contractor shall not arbitrarily exclude any MCO from being considered.

- 2.2.3.2.3.6 LDH may approve additional criteria to include in the auto-assignment enrollment process algorithm and any such criteria must be employed by the Contractor within five (5) calendar day notice by LDH.

2.2.3.3 Dental Benefit Program Enrollment Process

2.2.3.3.1 Mandatory Populations for DBPM Services

- 2.2.3.3.1.1 The DBPMs will serve eligible Louisiana Medicaid beneficiaries in the following categories:

2.2.3.3.1.1.1 Group A- as specified in LAC 50:XV.6901, Medicaid beneficiaries who are under twenty-one (21) years of age; and

- 2.2.3.3.1.1.2 Group B-as specified in LAC 50:XXV.303, Medicaid beneficiaries who are twenty-one (21) years of age and older and whose Medicaid coverage includes the full range of Medicaid services.

2.2.3.3.2 Excluded Population for DBPM services

- 2.2.3.3.2.1.1 Individuals who are twenty-one (21) years of age and older that are certified in the following Medicaid programs are not eligible for the Dental Benefit Program:

- 2.2.3.3.2.1.1.1 Qualified Medicare Beneficiary (QMB) only;
- 2.2.3.3.2.1.1.2 Specified Low-Income Medicare Beneficiary (SLMB);
- 2.2.3.3.2.1.1.3 Qualified Individual (QI 1);
- 2.2.3.3.2.1.1.4 Long Term Care (LTC) Co-Insurance;
- 2.2.3.3.2.1.1.5 PACE;
- 2.2.3.3.2.1.1.6 Take Charge Plus; and
- 2.2.3.3.2.1.1.7 Family Planning.

2.2.3.3.3 Enrollment Verification

- 2.2.3.3.3.1 The Contractor shall verify and inform the DBPM of each enrollee's eligibility and enrollment status in the DBPM through the ANSI ASC X12N 834 Outbound Enrollment file.

2.2.3.3.3.2 Enrollment

- 2.2.3.3.3.2.1 The Contractor shall maintain responsibility for the enrollment of Medicaid beneficiaries into a DBPM, as described in this section. The Contractor shall present all options available to enrollees and potential enrollees under Louisiana Medicaid in an unbiased manner and shall inform each enrollee at the time of enrollment of their right to terminate enrollment at anytime;
- 2.2.3.3.3.2.2 LDH shall make available to the Contractor each business day, via the ANSI ASC X12N 834 Outbound Daily Enrollment file, information pertaining to all enrollments, including the Effective Date of Enrollment, which shall be updated each business day; and
- 2.2.3.3.3.2.3 The Contractor shall auto-assign potential enrollees to a DBPM based on a methodology defined by LDH. No auto-assignments shall occur once the DBPM's enrollment capacity reaches sixty percent (60%) or more of total statewide membership.

2.2.3.3.4 **Automatic Assignment into a DBPM**

- 2.2.3.3.4.1 The Contractor shall auto-assign potential enrollees who do not request enrollment in a specified DBPM, or who cannot be enrolled into the requested DBPM for reasons including, but not limited to, the DBPM having reached its enrollment capacity limit or as a result of LDH-initiated sanctions.
- 2.2.3.3.4.2 In accordance with 42 CFR §438.54 the automatic assignment methodology shall seek to preserve existing provider-beneficiary relationships during the previous year and relationships with providers that have traditionally served Medicaid beneficiaries. After consideration of provider-beneficiary relationships, the methodology shall assign beneficiaries equitably among DBPMs, excluding those subject to the intermediate sanction described in 42 CFR §438.702(a)(4).
- 2.2.3.3.4.3 At the written direction of LDH, the Contractor shall exclude a DBPM from the automatic assignment process if LDH determines that the DBPM is non-compliant. The Contractor shall not reinstate automatic assignments to that DBPM until directed in writing to do so by LDH, subject to a mutually agreed upon timeframe.
- 2.2.3.3.4.4 The automatic assignment methodology for all populations shall be based on the following hierarchy:
 - 2.2.3.3.4.4.1 If the potential enrollee has household enrollees enrolled in a DBPM, the potential enrollee shall be enrolled in that DBPM. If multiple DBPM linkages exist within the household, the potential enrollee shall be enrolled to the DBPM of the youngest household enrollee.
 - 2.2.3.3.4.4.2 If DBPM assignment cannot be made based on the potential enrollee's household enrollment, the Contractor shall seek to preserve existing provider beneficiary relationships. If the potential enrollee had a Medicaid PDP visit within the past twelve (12) months, the potential enrollee will be assigned to a DBPM in which the PDP participates, using a round robin method.
 - 2.2.3.3.4.4.3 If the potential enrollee has neither a household enrollee relationship nor recent PDP visit, the potential enrollee will be assigned to a DBPM using a round robin method.
 - 2.2.3.3.4.4.4 Auto-assignments on any basis other than household enrollment in DBPM will not be made to a DBPM whose enrollee share is at or above sixty percent (60%) of the total statewide membership.
 - 2.2.3.3.4.4.5 LDH reserves the right to adjust the automatic assignment algorithm to assign sufficient enrollees to ensure viability of a new DBPM.

2.2.3.3.5 Voluntary Selection of DBPM for New Enrollees

- 2.2.3.3.5.1 Potential enrollees shall be given an opportunity to choose a DBPM at the time of application. Once the potential enrollee is determined eligible, their choice of DBPM shall be transmitted to the Contractor by LDH or its designee.
- 2.2.3.3.5.2 Beginning in 2021, during the ninety (90) days following the date of the enrollee's initial enrollment into a DBPM, the enrollee shall be allowed to request disenrollment without cause by submitting an oral or written request to the Contractor. In 2020, during the one hundred twenty (120) days following the date of the enrollee's initial enrollment into a DBPM, the enrollee shall be allowed to request disenrollment without cause by submitting an oral or written request to the Contractor.
- 2.2.3.3.5.3 The Contractor shall provide all eligible DBPM enrollees an annual open enrollment period at least once every twelve (12) months thereafter.
- 2.2.3.3.5.4 All enrollees shall be given an opportunity to choose a DBPM at the start of a new DBPM Contract either through the regularly scheduled open enrollment period or special enrollment period. LDH shall consult with Contractor regarding the timing for any special enrollment or disenrollment period, prior to the beginning of the special enrollment or disenrollment period.

2.2.3.4 Open Enrollment

- 2.2.3.4.1.1 The Contractor shall provide an opportunity for all enrollees to retain their current MCO or DBPM or select a new MCO or DBPM during a single, combined statewide annual open enrollment period. Prior to the annual open enrollment period, the Contractor shall mail a re-enrollment offer to all enrollees.
- 2.2.3.4.1.2 The Contractor shall provide enrollees with information on the MCOs and DBPMs from which they may choose and the availability of choice counseling.
- 2.2.3.4.1.3 Each enrollee shall be offered assistance with making informed choices about the participating MCOs and DBPMs.

2.2.3.5 Automatic Reenrollment

- 2.2.3.5.1 The Contractor shall develop and implement a process to provide for automatic reenrollment of a beneficiary who is disenrolled solely because he or she loses Medicaid eligibility for a period of two months or less.
 - 2.2.3.5.1.1 The beneficiary shall automatically reenroll with the last MCO and DBPM of record.

2.2.3.6 Disenrollment Process

- 2.2.3.6.1 Disenrollment is any action taken by LDH or its designee to terminate or change a beneficiary's participation in a MCO or DBPM. Disenrollment may occur voluntarily,

per the enrollee's request, or involuntarily as a result of a determination made by LDH or its designee. Disenrollment requirements and limitations apply to all managed care programs equally, regardless of whether enrollment is mandatory or voluntary.

- 2.2.3.6.2 The Contractor shall develop and implement an electronic and automated, user-friendly disenrollment process. This system should include a web-based application adaptable for use in a mobile environment. At a minimum, the request shall include the enrollee's name, Medicaid ID number, and detailed reason for requesting the disenrollment.
- 2.2.3.6.3 The Contractor shall create an automated workflow inclusive of tasks, decision points, and documents which is made available to LDH staff and the agency's designees for purposes of processing disenrollment requests.
- 2.2.3.6.4 Regardless of the procedures followed, the effective date of an approved disenrollment must be no later than the first day of the second month following the month in which the disenrollment is requested.
- 2.2.3.6.5 If LDH fails to make the determination by the first day of the second month following the month in which the disenrollment is requested, the disenrollment is considered approved for the effective date that would have been established.
- 2.2.3.6.6 If the disenrollment requested by the enrollee is approved, the Contractor shall provide the enrollee notice of determination and give the enrollee the opportunity to select another MCO or DBPM with which they are eligible to participate.
- 2.2.3.6.7 If the disenrollment requested by the enrollee is denied, the Contractor shall provide the enrollee notice of the determination and ensure timely access to a State Fair Hearing.
- 2.2.3.6.8 The Contractor shall develop and implement an electronic process and associated reports for LDH, the Contractor, MCOs, and DBPM to reconcile and analyze disenrollment requests and determinations at the end of each month. These reports shall include, at minimum, trend analysis of disenrollment reasons and request outcomes as requested by LDH.
- 2.2.3.6.9 **Voluntary disenrollment requested by the enrollee**
 - 2.2.3.6.9.1 A beneficiary or their authorized representative may request disenrollment by submitting an oral or written request to the Contractor as follows:
 - 2.2.3.6.9.1.1 For cause, at any time. The following are cause for disenrollment:
 - 2.2.3.6.9.1.1.1 The enrollee moves out of the MCO or DBPM service area;
 - 2.2.3.6.9.1.1.2 The plan does not, because of moral or religious objections, cover the service the enrollee seeks;
 - 2.2.3.6.9.1.1.3 The enrollee needs related services to be performed at the same time; not all related services are available within the provider

network; and the enrollee's primary care provider or another provider determines that receiving the services separately would subject the enrollee to unnecessary risk;

2.2.3.6.9.1.1.4 Other reasons, including poor quality of care, lack of access to services covered under the contract, or lack of access to providers experienced in dealing with the enrollee's care needs; or

2.2.3.6.9.1.1.5 The enrollee requests to be assigned to the same MCO as family members.

2.2.3.6.9.1.2 The Contractor shall develop, implement, and maintain an electronic and automated process for disenrollment requests for cause.

2.2.3.6.9.1.3 Without cause, at the following times:

2.2.3.6.9.1.3.1 During the 90 days following the date of the beneficiary's initial enrollment into the MCO or DBPM, or during the 90 days following the date the Contractor sends the beneficiary notice of that enrollment, whichever is later;

2.2.3.6.9.1.3.2 At least once every 12 months thereafter during open enrollment;

2.2.3.6.9.1.3.3 Upon automatic reenrollment, if the temporary loss of Medicaid eligibility has caused the beneficiary to miss the annual disenrollment opportunity;

2.2.3.6.9.1.3.4 When LDH imposes the intermediate sanctions specified in 42 CFR § 438.702(a)(4); or

2.2.3.6.9.1.3.5 After LDH notifies a MCO or DBPM that it intends to terminate the contract as provided by 42 CFR § 438.722.

2.2.3.6.9.1.4 LDH reserves the right to add, amend, and/or remove disenrollment reasons. Edits shall be incorporated into the disenrollment process within sixty (60) days notification by LDH.

2.2.3.6.10 Involuntary disenrollment requested by an MCO, DBPM, or LDH

2.2.3.6.10.1 LDH, an MCO, or a DBPM may provide a disenrollment request to the Contractor as follows:

2.2.3.6.10.1.1 An MCO or DBPM may request disenrollment for the following reason:

2.2.3.6.10.1.1.1 Enrollee's utilization of services constitutes fraud, waste, and/or abuse.

2.2.3.6.10.1.2 An MCO or DBPM may not request disenrollment because of an enrollee's:

2.2.3.6.10.1.2.1 Change in physical or mental health status;

2.2.3.6.10.1.2.2 Utilization of medical services; or

2.2.3.6.10.1.2.3 Diminished mental capacity, or uncooperative or disruptive behavior resulting from his or her special needs (except when his or her continued enrollment seriously impairs the MCOs or DBPMs ability to furnish services to either the enrollee or other enrollees).

2.2.3.6.10.1.3 If the disenrollment is approved, the Contractor shall provide the enrollee notice of determination and give the enrollee the opportunity to select another MCO or DBPM with which they are eligible to participate. If no selection is made, the Contractor shall auto-assign the enrollee to another MCO or DBPM with which they are eligible. The Contractor shall ensure timely access to a State Fair Hearing.

2.2.3.6.10.1.4 If the disenrollment is denied, the Contractor shall provide the MCO or DBPM notice of the determination.

2.2.3.6.10.1.5 LDH or its designee may request disenrollment for the following non-inclusive reasons:

2.2.3.6.10.1.5.1 Termination of the contract between an MCO or DBPM and LDH;

2.2.3.6.10.1.5.2 The enrollee's eligibility changes;

2.2.3.6.10.1.5.3 The enrollee's intentional submission of fraudulent information;

2.2.3.6.10.1.5.4 Implementation of a decision by a hearing officer in an appeal proceeding by the enrollee against the MCO or DBPM or as ordered by a court of law; or

2.2.3.6.10.1.5.5 Other reasons as specified by LDH.

2.2.3.7 Enrollment File

2.2.3.7.1 Contractor shall initiate and utilize the standardized Benefit Enrollment & Maintenance electronic transaction file ANSI ASC X12 834 file to process enrollment and disenrollment transactions.

2.2.3.7.2 Contractor must prepare and disseminate daily ANSI ASC X12 834 files for new enrollees and updates.

2.2.3.7.3 Contractor must prepare and disseminate a monthly reconciliation ANSI ASC X12 834 file of all members enrolled in the previous month.

2.2.3.7.4 Contractor must prepare and disseminate ad hoc processing files as requested by LDH.

2.2.3.7.5 The Contractor will electronically accept eligibility files identifying managed care and dental program beneficiaries from LDH or its designee from which they will identify the appropriate managed care system for which the beneficiary is eligible.

- 2.2.3.7.6 If the enrollment file has an MCO choice indicator, the Contractor must automatically assign the beneficiary to the MCO selected by the beneficiary, if appropriate, unless the MCO is no longer qualified as determined by LDH.
- 2.2.3.7.7 If the enrollment file has a DBPM choice indicator, the Contractor shall automatically assign the beneficiary to the DBPM selected by the beneficiary, if appropriate, unless the DBPM is no longer qualified as determined by LDH.
- 2.2.3.7.8 If the beneficiary is unable to be assigned to the MCO of their choice, the Contractor shall utilize the MCO auto-assignment process to assign the beneficiary to an MCO.
- 2.2.3.7.9 If the beneficiary is unable to be assigned to the DBPM of their choice, the Contractor shall utilize the DBPM auto-assignment process to assign the beneficiary to a DBPM.
- 2.2.3.7.10 Within two (2) business days of receipt of eligibility files, the Contractor must: (1) evaluate and identify beneficiaries eligible to participate in a managed care system and/or dental benefit plan; and (2) link the individual to an MCO and DBPM within the appropriate system of care.
- 2.2.3.7.11 The Contractor shall review, identify and request corrective action on any incomplete data fields received from LDH or its designee within two (2) calendar days upon receipt of daily electronic eligibility files.
- 2.2.3.7.12 An enrollee's effective date of enrollment in a MCO and DBPM shall be the date provided on the outbound ANSI ASC X12 834 initiated by the Contractor.
- 2.2.3.7.13 The effective date of enrollment may occur prior to the MCO or DBPM being notified of the person's enrollment, since beneficiaries can be retroactively eligible for Medicaid and/or have changes made to their eligibility retroactively. Therefore, enrollment of beneficiaries into the MCO or DBPM may occur without prior notice to the MCO, DBPM, or enrollee.
- 2.2.3.7.14 The Contractor must develop and implement an electronic and automated system to retroactively link the enrollee to a MCO within the Medicaid managed care system for which the enrollee is eligible, when applicable.
- 2.2.3.7.15 The Contractor shall develop and implement an electronic and automated system to retroactively link the enrollee to a DBPM for which the enrollee is eligible, when applicable.
- 2.2.3.7.16 The Contractor shall develop and implement an electronic and automated system to accommodate retrospective changes to active and closed eligibility files including, but not limited to, insertions of closed segments.
- 2.2.3.7.17 The Contractor shall establish and implement a process that automatically enrolls a newborn into the same MCO as the mother, when applicable.

- 2.2.3.7.18 The Contractor must identify changes in a beneficiary's eligibility and transfer enrollees from one MCO to another MCO within the same system of care and across different systems of care, both retroactively and prospectively.
 - 2.2.3.7.19 The Contractor shall identify changes in a beneficiary's eligibility and transfer enrollees from one DBPM to another DBPM within the same system of care and across different systems of care, both retroactively and prospectively.
 - 2.2.3.7.20 The Contractor must securely transfer enrollee eligibility information to all appropriate MCOs and DBPMs.
 - 2.2.3.7.21 The Contractor must develop and implement a process that allows transmittal of enrollee demographic updates to both the current and historical MCO and DBPMs.
 - 2.2.3.7.22 The Contractor must ensure the ongoing enrollment process for enrollees is consistent, effective, service-oriented and continually pursuing opportunities for improvement and advancement.
 - 2.2.3.7.23 The Contractor must work collaboratively with LDH to analyze and monitor enrollment.
 - 2.2.3.7.24 The Contractor must develop and implement changes to the ANSI ASC X12 834 file layout within sixty (60) calendar days of request by LDH, or within a timeframe as approved by LDH, and at no additional cost to LDH.
 - 2.2.3.7.25 The Contractor must develop and implement an ANSI ASC X12 834 companion guide within thirty (30) calendar days prior to contract start date. The companion guide must be maintained to include changes to the file layout, and updated annually.
 - 2.2.3.7.26 The Contractor must electronically complete corrections to the ANSI ASC X12 834 file within thirty (30) calendar days of request by LDH, or within a timeframe as approved by LDH, and at no additional cost to LDH.
 - 2.2.3.7.27 Within thirty (30) calendar days prior to contract start date, the Contractor must demonstrate successful receipt, processing, and transmittal of ANSI ASC X12 834 file.
- 2.2.3.8 Additional Enrollments**
- 2.2.3.8.1 The Contractor shall process enrollments for any alternative managed care programs developed by LDH, and at no additional cost to LDH.
 - 2.2.3.8.2 LDH reserves the right to require the Contractor to develop and provide other managed care informational notices as necessary for any additional or alternative managed care system that may be developed by LDH, and at no additional cost to LDH.
- 2.2.3.9 Beneficiary Support System**

- 2.2.3.9.1 The Contractor must develop and implement a beneficiary support system that provides support to beneficiaries both prior to and after enrollment in a MCO and/or DBPM.
- 2.2.3.9.2 The system must include at a minimum:
 - 2.2.3.9.2.1 Choice counseling for all beneficiaries. Choice counseling, as defined in 42 CFR § 438.2, means the provision of information and services designed to assist beneficiaries in making enrollment decisions; it includes answering questions and identifying factors to consider when choosing among managed care plans and primary care providers. Choice counseling does not include making recommendations for or against enrollment into a specific MCO or DBPM.
 - 2.2.3.9.2.1.1 Choice counseling must be provided to all potential enrollees and enrollees who disenroll from an MCO or DBPM entity for reasons specified in § 438.56 (b) and (c).
 - 2.2.3.9.2.1.2 Choice counseling must be accessible in multiple ways, including telephone, internet, in-person, and via auxiliary aids and services when requested. LDH reserves the right to include additional in-person, face-to-face interactions with enrollees, including but not limited to choice counseling through outreach events. Choice counseling shall be provided during business hours, excluding weekends and designated state holidays.
 - 2.2.3.9.2.1.3 Choice counseling must be provided to all potential enrollees and enrollees to help with their understanding of managed care and the dental benefit program.
 - 2.2.3.9.3 The Contractor must notify LDH's Customer Service Unit of any changes in contact information or living arrangements for families or individual enrollees within five (5) business days of identification, including changes in mailing address, residential address if outside Louisiana, e-mail address and telephone number, deaths, and/or incarceration. The manner and format of notification will be determined and approved by LDH.
 - 2.2.3.9.4 The Contractor shall inform the enrollee that each member of a family unit will be given the opportunity to select the same MCO and DBPM if eligible to participate in that system of care.
 - 2.2.3.9.5 The Contractor shall be responsible for identifying any barriers, including language, which hinder the enrollee, and where special assistance is needed for individuals who are visually or hearing impaired or have physical or mental disabilities.
 - 2.2.3.9.6 The beneficiary support system must include a customer service unit to be developed, implemented and maintained by the Contractor.
 - 2.2.3.9.6.1 The monthly call activity is expected to average a minimum of 25,000 incoming and outgoing calls.

- 2.2.3.9.6.2 It will be incumbent upon the Contractor to adjust staffing levels during the contract period based upon the anticipated volume of calls on a monthly basis, without additional negotiations or payment from LDH.
- 2.2.3.9.6.3 The Contractor shall establish a “user friendly” toll-free telephone line for all Medicaid managed care systems, potential enrollees, enrollees and their authorized representatives.
- 2.2.3.9.6.4 The customer service unit must be physically located in the United States, and all services to be provided under this Contract must be done so entirely within the United States. In addition, the Contractor will not hire any individual to perform any services under this Contract if that individual is required to have a work visa approved by the U.S. Department of Homeland Security and such individual has not met this requirement.
- 2.2.3.9.6.5 The toll-free line shall have an automated system, available twenty-four (24) hours a day, and seven (7) days a week. Calls received after hours must have the option to leave a request for a call back. If a request for a call back is made, the return phone call must be made the following business day.
- 2.2.3.9.6.6 The Contractor must have sufficient telephone lines to answer incoming calls.
- 2.2.3.9.6.7 The toll-free telephone number shall be staffed 7:00 a.m. to 5:00 p.m. Central Time, Monday through Friday, excluding designated state holidays, at levels sufficient to ensure that ninety-five percent (95%) of calls do not exceed the following wait times, to be computed on a monthly basis and reported monthly:
 - 2.2.3.9.6.7.1 Five (5) minutes for the first three (3) months of operation; and
 - 2.2.3.9.6.7.2 two (2) minutes after the first three (3) months of operation.
- 2.2.3.9.6.8 LDH shall retain the right to make changes to the operating hours.
- 2.2.3.9.6.9 After the allotted wait time, calls must be rolled over to an automatic attendant for messaging.
- 2.2.3.9.6.10 The toll-free number shall be staffed at levels sufficient to ensure that abandonment rates do not exceed five (5%) percent, to be computed on a monthly basis and reported monthly.
- 2.2.3.9.6.11 The toll-free number shall be staffed at levels sufficient to ensure that incoming calls that are blocked do not exceed one (1.00%) percent, to be computed on a daily basis and reported monthly. Blocked calls occur when a customer cannot get through to interact with a CSR for reasons including:
 - 2.2.3.9.6.11.1 Not enough agents to handle inbound calls;
 - 2.2.3.9.6.11.2 Technology is not equipped to handle incoming volume of calls; and
 - 2.2.3.9.6.11.3 Full queue.

- 2.2.3.9.6.12 Customer service representatives will provide assistance to callers with questions related to enrollment procedures, managed care programs, and dental benefit plans.
- 2.2.3.9.6.13 The Contractor must develop and implement a plan to sustain customer service performance levels during times of high call volume or low staff availability. Such situations may include, but are not limited to, open enrollment, implementation of new or expanded managed care populations, emergency situations (including natural disasters such as hurricanes), staff training, staff illnesses, and staff vacations.
- 2.2.3.9.6.14 The Contractor shall alert LDH within thirty (30) minutes of awareness, in writing via email or fax, when there is difficulty with the phone line.
- 2.2.3.9.6.15 The Contractor shall have the capability to monitor the telephone lines online for quality control. The Contractor shall provide LDH the capability to monitor the telephone lines online for quality control.
- 2.2.3.9.6.16 The Contractor shall provide LDH the capability to monitor statistics online.
- 2.2.3.9.6.17 The Contractor must retain and/or upload recordings to LDH systems, as required by LDH.
- 2.2.3.9.6.18 Within thirty (30) days prior to contract implementation, the Contractor shall submit a training and evaluation module for customer service staff to ensure adequate knowledge of Louisiana Medicaid programs, including the various Medicaid managed care systems and any other covered program. The training module must be approved by LDH before contract implementation.
- 2.2.3.9.6.19 Within thirty (30) days prior to contract implementation, the Contractor shall submit customer service quality criteria and protocols to measure and monitor the accuracy of responses and phone etiquette as it relates to the toll-free telephone line.

2.2.3.9.6.20 Automated Call Distribution (ACD) System

- 2.2.3.9.6.20.1 The Contractor shall install, operate, and monitor an automated call distribution (ACD) system for the customer service unit. Important features of the ACD system will include, but not be limited to:
- 2.2.3.9.6.20.1.1 Effective management of all calls received and assignment of incoming calls to available staff in an efficient manner;
- 2.2.3.9.6.20.1.2 Monitoring capabilities that allow supervisors to audit the manner in which a call is processed, as well as the efficiency of the operator;
- 2.2.3.9.6.20.1.3 A TTY toll-free number for the hearing impaired, as well as language interpretation services;

- 2.2.3.9.6.20.1.4 Notification when a caller has been on hold for thirty (30) seconds to ensure wait time does not exceed two (2) minutes. During the hold period, the Contractor shall have health informational messages and current hold time on the line;
- 2.2.3.9.6.20.1.5 Interactive voice response (IVR) options that are user-friendly to enrollees and include a decision tree illustrating IVR system;
- 2.2.3.9.6.20.1.6 Ability to transfer calls to other telephone lines;
- 2.2.3.9.6.20.1.7 Capability of routing calls from specific sources (e.g., enrollees, MCOs) to a designated group of operators;
- 2.2.3.9.6.20.1.8 Monitoring capability that allows instant determination of an operator's availability (i.e., available, on a call, completing after-work, etc.).
- 2.2.3.9.6.20.1.9 Providing a message that notifies callers that the call may be monitored for quality control purposes;
- 2.2.3.9.6.20.1.10 Automatic routing of call to the next available operator;
- 2.2.3.9.6.20.1.11 The capability for all calls to be answered promptly (within three (3) rings coming out of hold message) during normal business hours. The toll-free number shall be staffed by trained personnel who have a working knowledge of Louisiana Medicaid, managed care services available, and available dental benefit services; and
- 2.2.3.9.6.20.1.12 Reporting capabilities that provide information such as:
 - 2.2.3.9.6.20.1.12.1 Length of time per call;
 - 2.2.3.9.6.20.1.12.2 Number of calls waiting (or in queue);
 - 2.2.3.9.6.20.1.12.3 Abandonment rate;
 - 2.2.3.9.6.20.1.12.4 Number of calls per hour;
 - 2.2.3.9.6.20.1.12.5 Number of calls waiting more than two (2) minutes;
 - 2.2.3.9.6.20.1.12.6 Individual operator workload;
 - 2.2.3.9.6.20.1.12.7 Reason for the call;
 - 2.2.3.9.6.20.1.12.8 Number of calls received after hours; and
 - 2.2.3.9.6.20.1.12.9 Amount of customer service unit downtime.
- 2.2.3.9.6.20.1.13 The toll-free line shall, at a minimum, allow enrollees to:

- 2.2.3.9.6.20.1.13.1 Select a MCO and specify their choice of provider (if available);
- 2.2.3.9.6.20.1.13.2 Request to change their MCO;
- 2.2.3.9.6.20.1.13.3 Check the status of Medicaid enrollment or Medicaid renewal status;
- 2.2.3.9.6.20.1.13.4 Select a DBPM and specify their choice of provider (if available);
- 2.2.3.9.6.20.1.13.5 Request a change to their DBPM;
- 2.2.3.9.6.20.1.13.6 Request information about accessing services;
- 2.2.3.9.6.20.1.13.7 Discuss problems with the program;
- 2.2.3.9.6.20.1.13.8 Register complaints;
- 2.2.3.9.6.20.1.13.9 Request other assistance in accessing services;
- 2.2.3.9.6.20.1.13.10 Notify the Contractor of demographic changes (i.e. new address, phone number, etc.);
- 2.2.3.9.6.20.1.13.11 Request MCO and/or DBPM Provider Directories. Contractor shall have in place a mechanism to electronically forward the requests to the MCO and/or DBPM within twenty-four (24) hours; and
- 2.2.3.9.6.20.1.13.12 Other call types as mutually agreed upon by LDH and the Contractor.

2.2.3.9.7 Complaints

- 2.2.3.9.7.1 With regard to complaints, the Contractor must, at a minimum, perform the following tasks:
 - 2.2.3.9.7.1.1 Provide a mechanism for an enrollee to make a complaint;
 - 2.2.3.9.7.1.2 Record and track enrollee complaints; and
 - 2.2.3.9.7.1.3 Generate complaint and complaint resolution results.
- 2.2.3.9.7.2 Complaints received by the Contractor regarding any conflict of interest or inappropriate conduct by the Contractor's staff must be followed by a written report of the incident to LDH within forty-eight (48) hours of the reported complaint.

2.2.3.9.8 Quality Assurance and Reporting

- 2.2.3.9.8.1 To ensure excellent customer service, accuracy, consistency and timeliness of enrollment, the Contractor must provide a quality assurance process.
- 2.2.3.9.8.2 The process must include the approach, measurement objectives, monitoring frequency, sample size, result reporting, quality goals and planned courses of action to be taken if the quality goal is not met.
- 2.2.3.9.8.3 The process must include at least the following metrics:
 - 2.2.3.9.8.3.1 Service level
 - 2.2.3.9.8.3.2 Call abandonment rate
 - 2.2.3.9.8.3.3 Average time on hold
 - 2.2.3.9.8.3.4 Percentage of calls blocked
 - 2.2.3.9.8.3.5 Call scoring
 - 2.2.3.9.8.3.6 CSR turnover rate
 - 2.2.3.9.8.3.7 Accuracy of call forecasting
 - 2.2.3.9.8.3.7.1 The metric shall be 5% variance, to be reported monthly.
 - 2.2.3.9.8.3.8 First contact resolution rate
 - 2.2.3.9.8.3.8.1 The metric shall be 73%, to be reported monthly.
 - 2.2.3.9.8.3.9 Customer satisfaction
 - 2.2.3.9.8.3.9.1 The metric shall be 90%, to be reported monthly.
- 2.2.3.9.8.4 The process must also include the ability to record, view and store the entire contact event, including call, call transfers, web session, screen shots, agent notations, etc. for both local and remote customer service positions. Recordings must be retained for a period of six months and be provided to LDH upon request within twenty-four (24) hours.
- 2.2.3.9.8.5 The Contractor shall design and implement a comprehensive quality assurance process within thirty (30) days prior to contract implementation to ensure staff follows proper protocol, policies and procedures in the handling of inbound and outbound data and interactions with the client.
- 2.2.3.9.8.6 The comprehensive quality assurance process shall be submitted to LDH for approval prior to contract implementation.
- 2.2.3.9.8.7 The quality assurance process will include a voluntary, anonymous after-call member satisfaction survey. The survey will include the same questions for all callers, and may be updated semi-annually upon request of LDH to ensure that data focuses on current Medicaid initiatives.

2.2.3.10 Information Requirements

2.2.3.10.1 Basic Rules

2.2.3.10.1.1 Contractor is responsible for producing and disseminating all required information to enrollees and potential enrollees in a manner and format, approved by LDH, which is easily understood and readily accessible by such enrollees and potential enrollees.

2.2.3.10.1.2 Contractor must develop and operate a web site that appears in English and Spanish and that provides information directly and links to the LDH, individual MCO, and DBPM websites.

2.2.3.10.1.2.1 Contractor must develop and implement an online provider directory, to be approved by LDH. The directory shall be interactive and user friendly.

2.2.3.10.1.2.1.1 The Contractor shall receive provider data from LDH or its designee and the directory shall be updated weekly.

2.2.3.10.1.2.1.2 The Contractor shall reconcile provider data with the MCOs, DBPMs and LDH monthly.

2.2.3.10.1.2.1.3 LDH reserves the right to request changes to the layout of the directory.

2.2.3.10.1.2.1.4 The Contractor must develop and implement changes to the provider directory and/or layout within sixty (60) calendar days of request by LDH, or within a timeframe as approved by LDH, and at no additional cost to LDH. This may include integration with or replacement by other components of the State's Provider Management System.

2.2.3.10.1.3 For consistency in the information provided to enrollees, the Contractor must utilize LDH approved definitions for managed care terminology, and develop LDH approved enrollee notices.

2.2.3.10.1.4 Information is considered to be provided if the Contractor:

2.2.3.10.1.4.1 Mails a printed copy to the enrollee's mailing address;

2.2.3.10.1.4.2 Provides the information by email only after obtaining and documenting the enrollee's agreement to receive information by email;

2.2.3.10.1.4.3 Posts the information on the Contractor website and advises the enrollee in paper or electronic format that the information is available on the Internet and includes the applicable Internet address, provided that enrollees with disabilities who cannot access this information online are provided auxiliary aids and services upon request at no cost. Enrollee information may be provided electronically only when all of the following are met:

2.2.3.10.1.4.3.1 The format is readily accessible;

2.2.3.10.1.4.3.2 The information is placed in a location on the Contractor's web site that is prominent and readily accessible;

2.2.3.10.1.4.3.3 The information is provided in an electronic form which can be electronically retained and printed;

2.2.3.10.1.4.3.4 The information is consistent with the language and content requirements of LDH; and

2.2.3.10.1.4.3.5 The enrollee is informed that the information is available in paper format without charge upon request, and the Contractor must provide it upon request within five (5) business days.

2.2.3.10.1.4.4 Provides the information orally via the customer service unit.

2.2.3.10.1.5 The Contractor must have in place mechanisms to help enrollees and potential enrollees understand the requirements and benefits of each health plan.

2.2.3.10.2 Language and Format

2.2.3.10.2.1 LDH has identified Spanish as the prevalent non-English language spoken by enrollees and potential enrollees throughout the State.

2.2.3.10.2.1.1 Within ninety (90) calendar days of notice from LDH, materials must be translated and made available.

2.2.3.10.2.2 All written materials for enrollees and potential enrollees must be consistent with the following:

2.2.3.10.2.2.1 Use easily understood language and format;

2.2.3.10.2.2.2 Be at or below a 6.9 grade level, as determined by any one of the indices below, taking into consideration the need to incorporate and explain certain technical or unfamiliar terms to assure accuracy:

2.2.3.10.2.2.2.1 Flesch – Kincaid;

2.2.3.10.2.2.2.2 Fry Readability Index;

2.2.3.10.2.2.2.3 PROSE The Readability Analyst (software developed by Educational Activities, Inc.);

2.2.3.10.2.2.2.4 Gunning FOG Index;

2.2.3.10.2.2.2.5 McLaughlin SMOG Index; or

2.2.3.10.2.2.2.6 Other computer generated readability indices accepted by LDH.

2.2.3.10.2.2.3 Use a font size no smaller than 12 point;

2.2.3.10.2.2.4 Written material must also be made available in alternative formats upon request of the potential enrollee or enrollee at no cost. Auxiliary aids such as TTY/TTD and American Sign Language and services must also be made available upon request of the potential enrollee or enrollee at no cost. Written materials must include taglines in the prevalent non-English languages, as well as large print, explaining the availability of written translation or oral interpretation to understand the information. Large print means printed in a font size no smaller than 18 point.

2.2.3.10.2.2.5 The Contractor must provide bilingual staff, including Spanish and Vietnamese speakers to support the services of the contract. Any languages that fall outside of the requirements must be handled through a language line service at no cost to LDH. If the Contractor requires bilingual coverage beyond the levels specified above, the Contractor shall adjust bilingual staff as required. The Contractor shall make oral interpretation services available free of charge to enrollees and potential enrollees, and inform the enrollees:

2.2.3.10.2.2.5.1 Oral interpretations are available in all languages;

2.2.3.10.2.2.5.2 Written translation is available in each prevalent non-English language; and

2.2.3.10.2.2.5.3 How to access the interpretation services and written information.

2.2.3.10.3 Information for potential enrollees

2.2.3.10.3.1 Contractor must provide information to each potential enrollee, either in paper or electronic format as follows:

2.2.3.10.3.1.1 At the time the potential enrollee first becomes eligible to enroll in a managed care program voluntarily, or is first required to enroll in a managed care program or dental benefit plan; and

2.2.3.10.3.1.2 Within a timeframe approved by LDH that enables the potential enrollee to use the information in choosing among available MCOs and DBPMs.

2.2.3.10.3.1.3 The information for potential enrollees must include, at a minimum, all of the following:

2.2.3.10.3.1.3.1 Information about the potential enrollee's right to disenroll consistent with the requirements of 42 CFR § 438.56 and which explains clearly the process for exercising this disenrollment right, as well as the alternatives available to the potential enrollee based on their specific circumstance;

2.2.3.10.3.1.3.2 The basic features of managed care and dental benefit plans;

2.2.3.10.3.1.3.3 Which populations are excluded from enrollment, subject to mandatory enrollment, or free to enroll voluntarily in the program.

For mandatory and voluntary populations, the length of the enrollment period and all disenrollment opportunities available to the enrollee must also be specified;

2.2.3.10.3.1.3.4 Covered benefits;

2.2.3.10.3.1.3.5 Any cost-sharing that will be imposed by the MCO consistent with those set forth in the Medicaid State Plan;

2.2.3.10.3.1.3.6 The requirements for each MCO and DBPM to provide adequate access to covered services, including network adequacy standards;

2.2.3.10.3.1.3.7 The MCO and DBPM responsibilities for coordination of enrollee care; and

2.2.3.10.3.1.3.8 To the extent available, quality and performance indicators for each MCO and DBPM including enrollee satisfaction.

2.2.3.10.4 Information for all enrollees

2.2.3.10.4.1 Contractor must notify all enrollees of their right to disenroll at least annually. Such notification must clearly explain the process for exercising this disenrollment right, as well as the alternatives available to the enrollee based on their specific circumstance.

2.2.3.10.4.2 LDH will approve all standard communications to enrollees and potential enrollees, including, but not limited to, forms, letter templates, and general notices or bulletins.

2.2.3.10.4.3 Contractor designed material shall incorporate the LDH brand. This shall be achieved by using templates, logos, and designs provided by LDH.

2.2.3.10.4.4 The Contractor will utilize materials approved by LDH for outreach efforts, mailing, or distribution to enrollees in conjunction with materials produced by the Contractor.

2.2.3.11 Digital Innovations

2.2.3.11.1 Within a timeframe as agreed to by the parties, Contractor will develop and maintain a secure mobile application with the following features:

2.2.3.11.1.1 Real-time data.

2.2.3.11.1.2 Plan comparison.

2.2.3.11.1.3 Profile access.

2.2.3.11.1.4 Provider search, including mapping and geo-location.

2.2.3.11.1.5 Multi-lingual in English and Spanish.

- 2.2.3.11.2 Within a timeframe as agreed to by the parties, Contractor will increase web-based self service capabilities with the following features:
 - 2.2.3.11.2.1 Secure web enrollment.
 - 2.2.3.11.2.2 Ability to submit demographic updates online.
 - 2.2.3.11.2.3 Secure online repository to view case documents.
- 2.2.3.11.3 Contractor will design, develop, and implement a social media support program with the following features:
 - 2.2.3.11.3.1 Social media content for LDH Facebook and Twitter, and other channels as approved.
 - 2.2.3.11.3.2 Actively monitor social media conversations and use feedback to optimize content.
 - 2.2.3.11.3.3 Provide monthly social media performance report detailing the success of the social media program.
- 2.2.3.11.4 Within a timeframe as agreed to by the parties, Contractor will design, develop, and implement an outbound messaging strategy with the following features:
 - 2.2.3.11.4.1 Ability for enrollees to opt-in to receive emails and text messages with a link to digitally access enrollment materials.
 - 2.2.3.11.4.2 Reminders of upcoming open enrollment.
 - 2.2.3.11.4.3 Notifications to log into the secure self-service application to view posted documents.
 - 2.2.3.11.4.4 Customized notifications and advocacy messages for specific groups.
 - 2.2.3.11.4.5 Real-time outreach during disasters.
- 2.2.4 Operational Requirements
 - 2.2.4.1 The Contractor shall procure, equip, furnish, operate and maintain facilities appropriate to support the requirements of this Contract.
 - 2.2.4.2 The Contractor shall include key staff, who must be present for onsite meetings at LDH in Baton Rouge within twenty-four (24) hours' notice.
 - 2.2.4.3 The Contractor shall perform the services to be provided under this Contract entirely within the United States. The term "United States" includes the 50 states, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, and American Samoa. In addition, the Contractor will not hire any individual to perform any services under this Contract if that individual is required to have a work visa approved by the U.S. Department of Homeland Security and such individual has not met this requirement.

2.2.5 Record Keeping Requirements

2.2.5.1 The Contractor shall retain all books, recordings, records and other documents relevant to the contract and funds expended thereunder for at least five (5) years after final payment, as prescribed in 45 CFR §75.361, or as prescribed in 42 CFR §438.3(h), whichever is longer. The Contractor shall make available to LDH such records within thirty (30) calendar days of LDH's written request and shall deliver such records to LDH's central office in Baton Rouge, Louisiana, at no cost to LDH. The Contractor shall allow LDH to inspect, audit or copy records at the Contractor's site, at no cost to LDH.

2.2.6 Reporting Requirements

2.2.6.1 The Contractor shall maintain flexible reporting capabilities and must be able to respond to the reporting requests of LDH and LDH designees.

2.2.6.2 The Contractor shall comply with all reporting requirements established by this Contract.

2.2.6.3 Reports to be generated by the Contractor shall meet all State and Federal reporting requirements. The needs of LDH and LDH designees shall be taken into account in compiling data and developing report formats.

2.2.6.4 The Contractor shall create reports and/or files using electronic formats, instructions and timeframes as specified by LDH prior to contract implementation. LDH reserves the right to modify reports and criteria at no additional cost to LDH.

2.2.6.5 Any changes to reports or files must be approved by LDH.

2.2.6.6 The Contractor shall prepare and submit any other standing report as required and/or requested by LDH, any designee of LDH, and/or CMS that is related to the Contractor's duties and obligations under the Contract with LDH, and at no additional cost to LDH. LDH will make every effort to provide a thirty (30) calendar day notice of the need to give the Contractor adequate time to prepare the reports.

2.2.6.7 Information considered to be of a proprietary nature shall be clearly identified as such by the Contractor at the time of submission.

2.2.6.8 The Contractor shall develop business requirements documents, reports, forms, letters, policies, procedures, templates, scripts, and other materials within thirty (30) calendar days request from LDH.

2.2.6.8.1 Contractor shall maintain an inventory of all such materials, including a revision log and obsolete versions. Contractor shall review and update materials as requested by LDH.

2.2.6.8.2 Annually, the Contractor shall review and update all such materials, and provide LDH with a cohesive hard copy and electronic copy of all such materials including a table of contents and revision log.

2.2.6.9 The Contractor shall have the ability to conduct ad hoc comparative and research analysis based on data contained within their enrollment system, eligibility data from LDH, and other

data sources as directed by LDH. The Contractor shall develop a reporting of said analysis as directed by LDH, and within timeframes established by LDH.

2.2.7 Errors

2.2.7.1 The Contractor shall prepare complete and accurate reports for submission to LDH. If after preparation and submission, a Contractor error is discovered either by the Contractor or LDH, the Contractor shall correct the error(s) and submit accurate reports within ten (10) calendar days from the date of discovery by the Contractor or date of written notification by LDH (whichever is earlier). LDH may, at its discretion, extend the due date if an acceptable corrective action plan has been submitted and the Contractor can demonstrate to LDH's satisfaction that the problem cannot be corrected within ten (10) calendar days.

2.2.7.2 Failure of the Contractor to respond within the above specified timeframes may result in a loss of any money due to the Contractor and the assessment of liquidated damages as provided in the Liquidated Damages section.

2.2.8 Report Submission Timeframes

2.2.8.1 The Contractor shall ensure that all required reports or files, as specified by LDH, are submitted in a timely manner for review and approval by LDH. The Contractor's failure to submit the reports or files as specified may result in the assessment of liquidated damages as provided in the Liquidated Damages section.

2.2.8.2 Unless otherwise specified, deadlines for submitting files and reports are as follows:

2.2.8.2.1 Daily reports and files shall be submitted within one (1) business day of the reporting date;

2.2.8.2.2 Weekly reports and files shall be submitted on the Wednesday following the reporting week;

2.2.8.2.3 Monthly reports and files shall be submitted within fifteen (15) calendar days of the end of the reporting month;

2.2.8.2.4 Quarterly reports and files shall be based on a calendar quarter and shall be submitted within thirty (30) calendar days of the end of the reporting quarter;

2.2.8.2.5 Annual reports and files shall be based on a calendar year and shall be submitted within thirty (30) calendar days of the end of the reporting year; and

2.2.8.2.6 Ad Hoc reports shall be submitted within five (5) business days from the date of request.

2.2.8.3 If the due date occurs on a weekend or Louisiana designated holiday, the report shall be due the following business day.

2.2.8.4 Regardless of due date, all reports shall be submitted by close of business. For purposes of this section, close of business is defined as 4:30pm Central Time.

2.3 Performance Standards

2.3.1 The Contractor shall provide to LDH or maintain, at a minimum, the following to document deliverables:

2.3.1.1 Enrollment of Medicaid beneficiaries into MCO

2.3.1.1.1 Submit draft program materials (letters, notices, MCO comparison charts, etc.) and inventory log at least annually

2.3.1.1.2 Submit monthly Enrollment Reports

2.3.1.1.3 Maintain electronic copies of all enrollment files exchanged (ANSI ASC X12 834) with Fiscal Intermediary and all contract Managed Care Organizations

2.3.1.1.4 Submit summary of monthly reconciliation ANSI ASC X12 834 file

2.3.1.2 Processing disenrollment requests from MCOs and enrollees

2.3.1.2.1 Submit report with the number of enrollees who are automatically disenrolled from the MCO because a decision was not rendered timely on the request for disenrollment

2.3.1.2.2 Submit monthly Disenrollment Report

2.3.1.2.3 Maintain Disenrollment Request Forms

2.3.1.2.4 Maintain documentation of reason for decision of Disenrollment Requests

2.3.1.3 Annual open enrollment

2.3.1.3.1 Submit written recommendation for Open Enrollment that complies with federal Medicaid requirements and allows for an annual open enrollment period

2.3.1.3.2 Submit draft materials to be used in open enrollment packets

2.3.1.3.3 Submit open enrollment statistical reports

2.3.1.4 Systems

2.3.1.4.1 Maintain evidence of successful exchange of files as verified by MCO entities and Fiscal Intermediary

2.3.1.5 Beneficiary Support System

2.3.1.5.1 Submit draft training materials for customer service agents

2.3.1.5.2 Submit IVR and CSR scripts for approval

2.3.1.5.3 Submit monthly reports

2.3.1.6 Build and maintain enrollment website

- 2.3.1.6.1 Submit website access and site map to LDH for approval
- 2.3.1.6.2 Maintain documentation that reading level software was utilized, including indicator use and reading level of the item

2.3.1.7 Enrollee materials

- 2.3.1.7.1 Submit to LDH for approval all enrollee materials, including an inventory log
- 2.3.1.7.2 Maintain copies of all enrollee materials including obsolete versions
- 2.3.1.7.3 Maintain documentation that reading level software was utilized, including indicator use and reading level of the item

2.3.1.8 MCO complaint tracking and reporting

- 2.3.1.8.1 Submit to LDH for approval the template for complaint tracking
- 2.3.1.8.2 Submit monthly Complaint Tracking Reports, containing all required information
- 2.3.1.8.3 Maintain electronic record of all complaints, investigations, and resolutions

2.3.1.9 Required reporting to LDH

- 2.3.1.9.1 Take, distribute, and maintain minutes from meetings with LDH
- 2.3.1.9.2 Submit draft technical reports for LDH review and approval
- 2.3.1.9.3 Submit completed table of required reports
- 2.3.1.9.4 Submit and maintain submission logs of all contractually required reports

2.3.2 LDH reserves the right to add additional performance standards to document deliverables.

2.4 Administrative Actions and Liquidated Damages

2.4.1 LDH Administrative Actions

2.4.1.1 LDH shall notify the Contractor through a written Notice of Action when it is determined the Contractor is deficient or non-compliant with requirements of the contract. Administrative actions exclude liquidated damages and termination and include, but are not limited to:

- 2.4.1.1.1 A warning through written notice or consultation;
- 2.4.1.1.2 Education requirement regarding program policies and procedures;
- 2.4.1.1.3 Referral to the appropriate authority for fraud investigation; and/or
- 2.4.1.1.4 Submission of a corrective action plan.

2.4.1.2 Penalties for Failure to Comply with Enrollment Requirements

2.4.1.2.1 Whenever LDH determines the Contractor, its agents, subcontractors, volunteers or providers have engaged in any unfair, deceptive, or prohibited enrollment practices in connection with enrolling beneficiaries in an MCO, one or more of the remedial actions listed below shall apply:

2.4.1.2.1.1 LDH shall notify the Contractor in writing of the determination of the noncompliance, of the remedial action(s) that will be taken, and of any other related conditions such as the length of time the remedial actions shall continue and the corrective actions that the Contractor must perform;

2.4.1.2.1.2 LDH may require the Contractor to recall the previously authorized enrollee education material(s);

2.4.1.2.1.3 LDH may deduct the PMPM amount for beneficiaries enrolled as a result of non-compliant practices from the next monthly payment made to the Contractor and shall continue to deduct such payment until correction of the failure; and/or

2.4.1.2.1.4 LDH may require the Contractor to contact each beneficiary who enrolled during the period while the Contractor was out of compliance to explain the nature of the non-compliance and inform the enrollee of his or her right to transfer to another MCO.

2.4.2 Liquidated Damages

2.4.2.1 In the event the Contractor fails to achieve the performance standards and/or other deliverables specified in the terms and conditions of the contract, the liquidated damages defined below may be assessed. LDH will issue a Notice of Action to the Contractor, along with a cure period of not less than ten (10) business days as an opportunity to cure without the assessment of liquidated damages for the first instance of a deficiency. For all following deficiencies of the same type, LDH will assess liquidated damages immediately. If assessed, the liquidated damages will be used to reduce LDH's payments to the Contractor. If the liquidated damages exceed amounts due from LDH, the Contractor will be required to make cash payments for the amount in excess. LDH may also delay the assessment of liquidated damages if it is in the best interest of LDH to do so. LDH may give notice to the Contractor of a failure to meet performance standards, but delay the assessment of liquidated damages in order to give the Contractor the opportunity to remedy the deficiency; if the Contractor subsequently fails to remedy the deficiency to the satisfaction of LDH, LDH may reassert the assessment of liquidated damages, even following contract termination.

2.4.2.2 The decision to impose liquidated damages may include consideration of some or all of the following factors:

2.4.2.2.1 The duration of the violation;

2.4.2.2.2 Whether the violation (or one that is substantially similar) has previously occurred;

2.4.2.2.3 The Contractor's history of compliance;

2.4.2.2.4 The severity of the violation and/or whether it imposes an immediate threat to the health or safety of the Medicaid beneficiary; and

2.4.2.2.5 The “good faith” exercised by the Contractor in attempting to stay in compliance.

2.4.2.3 In the event the Contractor fails to perform as required, the Contractor shall pay LDH the specified amounts listed below as agreed upon liquidated damages.

Requirement	Liquidated Damages
Contractor shall submit all standing and ad hoc reports in accordance with stated requirements of this Contract, the contract, or upon direction of LDH.	A one thousand dollar (\$1,000) per business day charge to the Contractor per report for each day after the report due date until the report is received.
Contractor shall request approval from LDH to make changes in key staff and must fill vacant contractually required positions within 30 calendar days.	A one thousand dollar (\$1,000) per business day charge to the Contractor for each day that a change in key staff is made but not approved by LDH, or failure to fill key staff positions.
Contractor shall maintain all files and perform all file updates according to the requirements in this contract.	A one thousand five hundred dollar (\$1,500) per business day charge to the Contractor for each day after the due date until the files are maintained and/or updated.
The Contractor must develop and implement changes to the ANSI ASC X12 834 file layout within sixty (60) calendar days of request by LDH, or within a timeframe as approved by LDH.	A one thousand five hundred dollar (\$1,500) per business day charge to the Contractor for each day after the due date until the file change is implemented.
The Contractor must electronically complete corrections to the ANSI ASC X12 834 file within thirty (30) calendar days of request by LDH, or within a timeframe as approved by LDH.	A one thousand dollar (\$1,000) per business day charge to the Contractor for each day after the due date until the corrections are completed.
Contractor shall ensure that ninety-five (95%) percent of calls do not exceed the allotted wait time, to be computed on a monthly basis and reported monthly.	A five thousand dollar (\$5,000) charge to the Contractor per month of noncompliance.
Contractor shall ensure that abandonment rates do not exceed five (5%) percent, to be computed on a monthly basis and reported monthly.	A five thousand dollar (\$5,000) charge to the Contractor per month of noncompliance.
Contractor shall ensure that incoming calls that are blocked do not exceed one (1.00%) percent, to be computed on a daily basis and reported monthly.	A charge to the Contractor per day of noncompliance according to the following: <ul style="list-style-type: none"> - Daily amount per day 1-5: one thousand dollars (\$1,000) per day. - Daily amount per day 6-10: one thousand two hundred fifty dollars (\$1,250) per day. - Daily amount per day 11 and beyond: one thousand five hundred dollars (\$1,500) per day.

In the event of an emergency or disaster, the Contractor shall resume operations within 72 hours post event.	A one hundred dollar (\$100) charge to the Contractor per hour for every whole or partial hour beyond 72 hours post event that the contractor fails to resume operations.
Contractor shall submit a transition plan within ninety (90) calendar days of contract start date and annually thereafter, with a final transition plan due six (6) months prior to the end of the contract term.	A one thousand dollar (\$1,000) charge to the Contractor per calendar day after the plan due date until the plan is received.
Contractor shall submit a documentation inventory and assessment within ninety (90) calendar days of contract start date and semi-annually thereafter, with a final inventory and assessment due six (6) months prior to the end of the contract term.	A one thousand dollar (\$1,000) charge to the Contractor per calendar day after the plan due date until the plan is received.
Contractor shall implement and maintain a process, to be approved by LDH, for notification to LDH within five (5) business days when it receives information about changes in an enrollee's circumstances that may affect the enrollee's eligibility including but not limited to changes in the enrollee's residence and the death of an enrollee.	A one thousand dollar (\$1,000) per business day charge to the Contractor per enrollee for each day after the reporting due date until the reporting is received.
Contractor shall perform regular and ad hoc queries using all available eligibility and enrollment data to identify inappropriate MCO enrollments such as, but not limited to, overlapping MCO enrollment, overlapping incarceration segments, deceased members, and duplicated members. The Contractor shall notify LDH of any findings in writing within three (3) business days or through standing reports.	A one thousand dollar (\$1,000) per business day charge to the Contractor per day for each day after the report due date until the report is received.
Subsequent to program integrity activities, Contractor shall correct enrollments within thirty (30) calendar days and system logics and/or processes within sixty (60) calendar days of identification.	A one thousand five hundred dollar (\$1,500) per calendar day charge to the Contractor for each day after the due date until the corrections and/or edits are implemented.
Contractor shall ensure that critical enrollee internet and/or telephone-based functions are available to users twenty-four (24) hours a day, seven (7) days a week, except during periods of scheduled system maintenance agreed upon by LDH and the Contractor.	A one hundred dollar (\$100) charge to the Contractor per hour for every whole or partial hour that internet and/or telephone-based functions are not available.
Contractor shall secure an LDH approved Contingency Plan no later than thirty (30) days from the date the Contract is signed.	A one thousand dollar (\$1,000) charge to the Contractor per business day after the plan due date until the plan is received.

The Contractor shall annually test its plan through simulated disasters and lower level failures to demonstrate to LDH that it can restore system functions.	A one thousand dollar (\$1,000) charge to the Contractor per business day after the test due date until the test is conducted.
In the event the Contractor fails to demonstrate through simulated disasters that it can restore system functions, the Contractor shall be required to submit a corrective action plan to LDH describing how the failure shall be resolved within ten (10) business days of the conclusion of the test.	A one thousand dollar (\$1,000) charge to the Contractor per business day after the plan due date until the plan is received.
Within thirty (30) days of implementation and annually thereafter, the Contractor shall submit an organizational chart.	A one thousand dollar (\$1,000) charge to the Contractor per business day after the chart due date until the chart is received.
Contractor shall inform LDH in writing within seven (7) calendar days of the resignation or termination of any of the key staff positions.	A one thousand dollar (\$1,000) per calendar day charge to the Contractor for each day after resignation/termination notice is due until the resignation/termination is received.
If the Contractor has actual notice that any temporary, permanent, subcontract, part-time or full-time Contractor staff has become an "ineligible individual" or is proposed to become ineligible based on pending charges, the Contractor shall remove said personnel immediately from any work related to this contract and notify LDH within five (5) business days.	A one thousand dollar (\$1,000) per calendar day charge to the Contractor per employee for each day after the termination/notification date until the termination is made and/or notification is made.
Insurance Coverage shall not be canceled, suspended, or voided by the Contractor or reduced in coverage or in limits except after 30 calendar days written notice has been given to LDH. Ten-day written notice of cancellation is acceptable for non-payment of premium. Notifications shall comply with the standard cancellation provisions in the Contractor's policy.	A one thousand dollar (\$1,000) per business day charge to the Contractor for each day that insurance coverage is not in compliance.
Within thirty (30) days prior to contract implementation, the Contractor shall submit a training and evaluation module for customer service staff to ensure adequate knowledge of Louisiana Medicaid programs, including the various Medicaid managed care systems and any other covered program. The training module must be approved by LDH before contract implementation.	A one thousand dollar (\$1,000) per business day charge to the Contractor for each day after the modules due date until the modules are received.

Within thirty (30) days prior to contract implementation, the Contractor shall submit customer service quality criteria and protocols to measure and monitor the accuracy of responses and phone etiquette as it relates to the toll-free telephone line.	A one thousand dollar (\$1,000) per business day charge to the Contractor for each day after the criteria and protocols due date until the criteria and protocols are received.
Contractor shall design and implement a comprehensive quality assurance process within thirty (30) days prior to contract implementation to ensure staff follows proper protocol, policies and procedures in the handling of inbound and outbound data and interactions with the client.	A one thousand dollar (\$1,000) per business day charge to the Contractor for each day after the process due date until the process is received.
Contractor shall ensure that the first contact resolution rate shall not drop below seventy-three (73.00%) percent and shall be reported monthly.	A two thousand five hundred dollar (\$2,500) per month charge to the Contractor for not meeting 73.00% first contact resolution rate.
Contractor shall ensure that the customer satisfaction rate shall not drop below ninety (90.00%) percent and shall be reported monthly.	A two thousand five hundred dollar (\$2,500) per month charge to the Contractor for not meeting 90.00% customer satisfaction rate.
Contractor shall complete ad hoc comparative and research analysis projects as directed by LDH and deliver a final report within timelines established by LDH.	A one thousand dollar (\$1,000) per business day charge to the Contractor for each day after the analysis is not complete and the report due date until the report is received.

2.4.3 LDH Liquidated Damages for Noncompliance with Other Deliverables or Requirements

2.4.3.1 For any violation of deliverables and requirements not explicitly described in the above Table, LDH may impose liquidated damages in an amount up to \$2,500 per deliverable per calendar month. LDH will issue a Notice of Action to the Contractor, along with a cure period of not less than thirty (30) calendar days as an opportunity to cure without the assessment of liquidated damages for the first instance of a deficiency. For all following deficiencies of the same type, LDH will assess liquidated damages immediately.

2.4.4 Payment of Liquidated Damages

2.4.4.1 Any liquidated damages assessed by LDH that cannot be collected through withholding from future enrollment broker payments shall be due and payable to LDH within thirty (30) calendar days after the Contractor's receipt of the notice of liquidated damages. However, in the event an appeal by the Contractor results in a decision in favor of the Contractor, any such funds withheld by LDH will be returned to the Contractor.

2.4.4.2 If liquidated damages are insufficient, LDH has the right to pursue actual damages. If the Contractor's failure to perform satisfactorily exposes LDH to the likelihood of contracting with another person or entity to perform services required of the Contractor under this contract, upon notice setting forth the services, LDH may withhold from the Contractor payments in an amount commensurate with the costs anticipated to be incurred. LDH shall account to the Contractor and return any excess to the Contractor.

2.4.4.3 LDH has the right to recovery of any amounts overpaid as the result of deceptive practices by the Contractor and/or its subcontractors, and may consider trebled damages, civil penalties, and/or other remedial measures.

2.4.4.4 A monetary sanction may be applied to all known affiliates, subsidiaries and parents of the Contractor, provided that each decision to include an affiliate is made on a case-by-case basis after giving due regard to all relevant facts and circumstances. The violation, failure, or inadequacy of performance may be imputed to a person with whom the Contractor is affiliated where such conduct was accomplished within the course of his official duty or was effectuated by him with the knowledge or approval of such person.

2.5 Program Integrity

2.5.1 The Contractor shall implement and maintain internal controls, policies, and procedures that are designed to detect and prevent fraud, waste, and abuse.

2.5.2 The Contractor shall implement and maintain written policies, procedures, and standards of conduct that articulate the Contractor's commitment to comply with all applicable requirements and standards under this contract, and all applicable Federal and State requirements. The Contractor shall establish and implement procedures and a system with dedicated staff for routine internal monitoring and auditing of compliance risks, prompt response to compliance issues as they are raised, and investigation and correction of potential compliance issues.

2.5.3 The Contractor shall implement and maintain a process, to be approved by LDH, for notification to LDH within five (5) business days when it receives information about changes in an enrollee's circumstances that may affect the enrollee's eligibility including but not limited to changes in the enrollee's residence and the death of an enrollee.

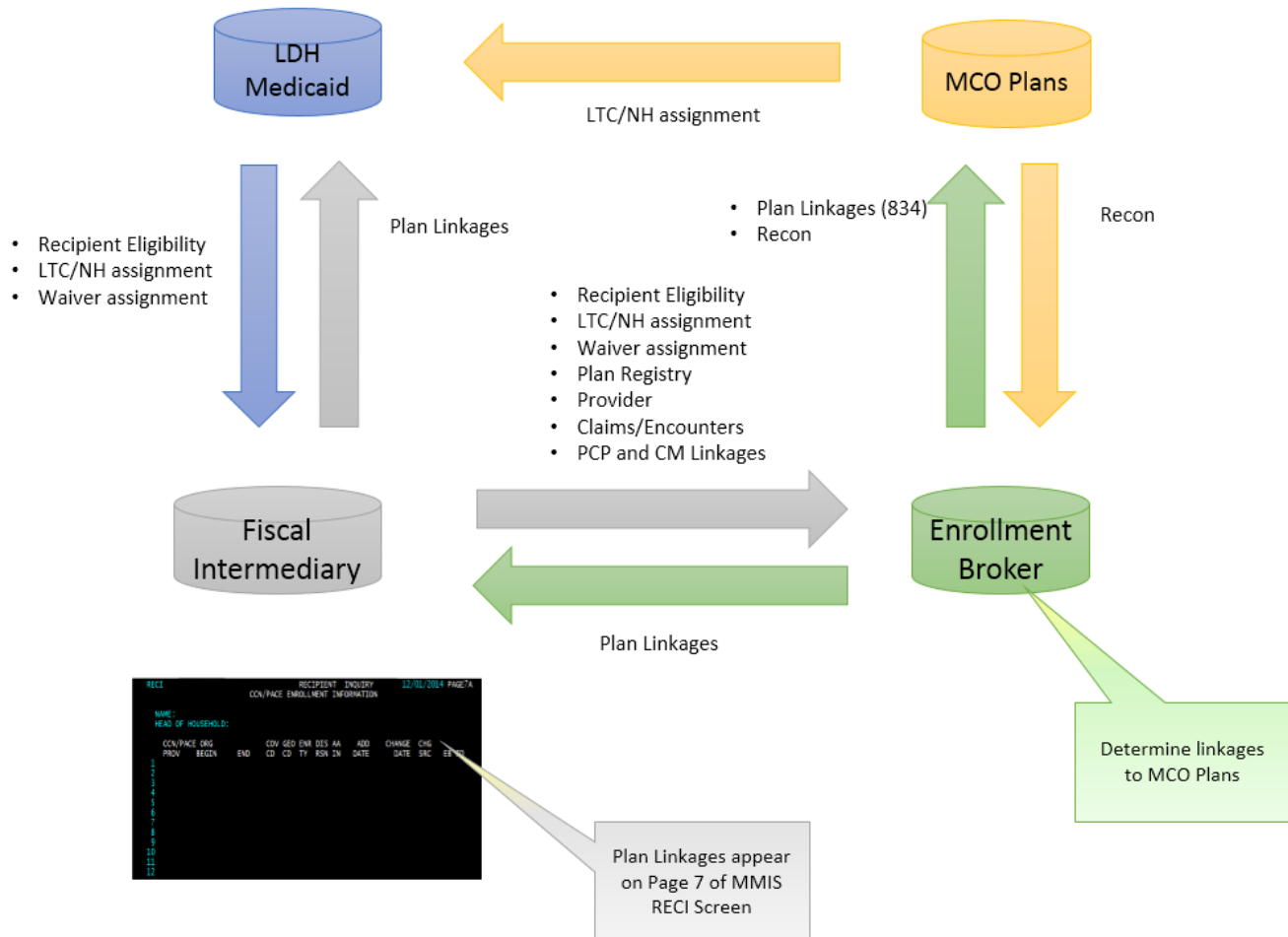
2.5.4 The Contractor shall implement and maintain a process to validate that enrollments are appropriate. The Contractor shall perform regular and ad hoc queries using all available eligibility and enrollment data to identify inappropriate MCO and DBPM enrollments such as, but not limited to, overlapping MCO enrollment, overlapping incarceration segments, deceased members, and duplicated members. The Contractor shall notify LDH of any findings in writing within three (3) business days or through standing reports. The Contractor shall correct enrollment within thirty (30) calendar days and system logics and/or processes within sixty (60) calendar days of identification. LDH reserves the right to request regular and/or ad hoc queries.

2.6 Technical Requirements

2.6.1 The State requires that the Contractor integrate with components of the State's Enterprise Architecture (EA). All solutions must integrate into the EA components using standard APIs a complete listing of which can be found in Appendix F, *Enterprise Architecture Integration Requirements*, of the RFP. The Contractor must integrate to the functional component(s) through the EA's Enterprise Service Bus and Identity Access Management components. The Contractor will be responsible for performing all work necessary to integrate its solution into the EA. Contractor must work directly with the State's EA Governance Team and the State's EA Contractor as necessary throughout the project to validate its integration methodology.

- 2.6.2 Contractor will have one hundred twenty (120) calendar days following an approved Business Requirements Document (BRD) to complete integration activities, including testing. Integration activities may be directed in whole or in part.
- 2.6.3 Below is the current view of the enrollment broker's role with other Medicaid partners which is subject to change:

LDH Medicaid to Fiscal Intermediary to Enrollment Broker



- 2.6.4 The Contractor must implement and maintain the secure systems necessary to carry out the enrollment and support services detailed in this Contract.
- 2.6.5 When fully implemented, the Contractor's system must provide, at a minimum, the following functionalities:
- 2.6.5.1 Interface and communicate with LDH and LDH designee systems via a secure protocol. Encryption will be governed in adherence with the security policies referenced in section 3.6.7;

- 2.6.5.2 Process enrollments, disenrollments, and change requests of LDH, enrollees, MCO entities, and DBPMs according to department-defined business rules, including retroactive changes and insertion of closed segments;
- 2.6.5.3 Perform auto assignment of enrollees, when applicable, using approved LDH algorithm(s);
- 2.6.5.4 Successfully and securely interface, integrate and exchange files with LDH and all LDH designees with no more than a 0.01 % file or transmission failure rate;
- 2.6.5.5 Securely collect and maintain demographic data related to enrollees and providers;
- 2.6.5.6 Maintain privacy of all enrollees and potential enrollees in a secure technical environment;
- 2.6.5.7 Conform and adhere to all applicable HIPAA requirements regarding participant privacy and data security;
- 2.6.5.8 Establish and maintain telecommunications with an uptime to meet or exceed 99.99%, exclusive of planned maintenance downtimes;
- 2.6.5.9 Maintain high quality data for reporting processes, perform data cleansing and validation such that the data error rate will not exceed 5.00% on random sampling;
- 2.6.5.10 Serve as a centralized repository for notes specifically relating to services received through programs;
- 2.6.5.11 Generate and track all appropriate physical and electronic communications with LDH, MCOs, DBPMs, stakeholders, enrollees, and potential enrollees;
- 2.6.5.12 Ensure that critical enrollee internet and/or telephone-based functions are available to users twenty-four (24) hours a day, seven (7) days a week, except during periods of scheduled system maintenance agreed upon by LDH and the Contractor;
- 2.6.5.13 Ensure that written process and procedure manuals document and describe all manual and automated system procedures for its information processes and information systems;
- 2.6.5.14 Batch transaction types include, but are not limited to, the following:
 - 2.6.5.14.1 ANSI ASC X12N 834 Benefit Enrollment and Maintenance;
 - 2.6.5.14.2 ANSI ASC X12N 835 Claims Payment Remittance Advice Transaction;
 - 2.6.5.14.3 ANSI ASC X12N 837I Institutional Claim/Encounter Transaction;
 - 2.6.5.14.4 ANSI ASC X12N 837P Professional Claim/Encounter Transaction;
 - 2.6.5.14.5 ANSI ASC X12N 270/271 Eligibility/Benefit Inquiry/Response;
 - 2.6.5.14.6 ANSI ASC X12N 276 Claims Status Inquiry;
 - 2.6.5.14.7 ANSI ASC X12N 277 Claims Status Response;

- 2.6.5.14.8 ANSI ASC X12N 278/279 Utilization Review Inquiry/Response; and
- 2.6.5.14.9 ANSI ASC X12N 820 Payroll Deducted and Other Group Premium Payment for Insurance Products.
- 2.6.6 Transaction types are subject to change and the Contractor shall comply with applicable HIPAA and other federal standards and regulations for information exchange as they occur.
- 2.6.7 Contractor will perform at least monthly system releases, as applicable, to maintain and update systems. During EA integration, system releases will occur on a quarterly basis unless otherwise directed by LDH.
- 2.6.8 Off Site Storage and Remote Back-up
 - 2.6.8.1 The Contractor shall provide for off-site storage and a remote back-up of operating instructions, procedures, reference files, system documentation, and operational files.
 - 2.6.8.2 The data back-up policy and procedures shall include, but not be limited to:
 - 2.6.8.2.1 Descriptions of the controls for back-up processing, including how frequently back-ups occur;
 - 2.6.8.2.2 Documented back-up procedures;
 - 2.6.8.2.3 The location of data that has been backed up (off-site and on-site, as applicable);
 - 2.6.8.2.4 Identification and description of what is being backed up as part of the back-up plan;
 - 2.6.8.2.5 Any change in back-up procedures in relation to the Contractor's technology changes; and
 - 2.6.8.2.6 A list of all back-up files to be stored at remote locations and the frequency with which these files are updated.
- 2.6.9 The Contractor shall adhere to all applicable published state security policies, which may be located at <http://www.doa.la.gov/pages/ots/informationsecurity.aspx>
- 2.6.10 The Contractor will be required to transmit all non-proprietary data which is relevant for analytical purposes to LDH on a regular schedule in XML format. Final determination of relevant data will be made by LDH based on collaboration between both parties. The schedule for transmission of the data will be established by LDH and dependent on the needs of LDH related to the data being transmitted. XML files for this purpose will be transmitted via SFTP to LDH. Any other data or method of transmission used for this purpose must be approved via written agreement by both parties.
- 2.6.11 The Contractor shall be responsible for procuring and maintaining hardware and software resources that are sufficient to perform the services detailed in this Contract at the service level specified.

- 2.6.12 The Contractor shall adhere to applicable state and federal regulations and guidelines as well as industry standards and best practices for systems or functions required to support the requirements of this Contract.
- 2.6.13 Unless explicitly stated to the contrary, the Contractor is responsible for all expenses required to obtain access to LDH systems or resources that are relevant to successful completion of the requirements of this Contract. The Contractor is also responsible for expenses required for LDH to obtain access to the Contractor's systems or resources that are relevant to the successful completion of the requirements of this Contract. Such expenses are inclusive of hardware, software, network infrastructure, and any licensing costs.
- 2.6.14 Any confidential information must be encrypted to FIPS 140-2 standards when at rest or in transit.
- 2.6.15 Contractor owned resources must be compliant with industry standard physical and procedural safeguards (NIST SP 800-114, NIST SP 800-66, NIST 800-53A, ISO 17788, etc.) for confidential information (HITECH, HIPAA part 164).
- 2.6.16 Any Contractor use of flash drives or external hard drives for storage of LDH data must first receive written approval from LDH and upon such approval shall adhere to FIPS 140-2 hardware-level encryption standards.
- 2.6.17 All Contractor utilized computers and devices must:
 - 2.6.17.1 Be protected by industry standard virus protection software that is automatically updated on a regular schedule;
 - 2.6.17.2 Have installed all security patches that are relevant to the applicable operating system and any other system software; and
 - 2.6.17.3 Have encryption protection enabled at the operating system level.

2.7 Contingency Plan

- 2.7.1 The Contractor, regardless of the architecture of its systems, shall develop and be continually ready to invoke a contingency plan to protect the availability, integrity, and security of data during unexpected failures or disasters (either natural or man-made) to continue essential application or system functions during or immediately following failures or disasters. The Contractor must have the flexibility and capability to maintain a level of service for the beneficiary support system, as approved by LDH.
- 2.7.2 The contingency plan shall include a disaster recovery plan (DRP) and a business continuity plan (BCP). A DRP is designed to recover systems, networks, workstations, applications, etc. in the event of a disaster. A BCP shall focus on maintaining and restoring the operational function of the organization in the event of a disaster and includes items related to IT, as well as operational items such as employee notification processes, staffing, and the procurement of office supplies needed to do business in the emergency mode operation environment. The practice of including both the DRP and the BCP in the contingency planning process is a best practice.
- 2.7.3 The Contractor shall secure an LDH approved Contingency Plan no later than thirty (30) days from the date the Contract is signed.

2.7.4 At a minimum, the Contingency Plan shall address the following scenarios:

2.7.4.1 The central computer installation and resident software are destroyed or damaged;

2.7.4.2 The system interruption or failure resulting from network, operating hardware, software, or operations errors that compromise the integrity of transactions that are active in a live system at the time of the outage;

2.7.4.3 System interruption or failure resulting from network, operating hardware, software or operations errors that compromise the integrity of data maintained in a live or archival system; and

2.7.4.4 System interruption or failure resulting from network, operating hardware, software or operational errors that does not compromise the integrity of transactions or data maintained in a live or archival system, but does prevent access to the System, such as it causes unscheduled System unavailability.

2.7.5 The Contingency Plan shall specify projected recovery times and data loss for mission-critical systems in the event of a declared disaster.

2.7.6 The Contingency Plan shall specify how operations will be maintained during events that may occur in Louisiana or in the location of the Contractor.

2.7.7 The Contractor shall annually test its plan through simulated disasters and lower level failures to demonstrate to LDH that it can restore system functions.

2.7.8 In the event the Contractor fails to demonstrate through these tests that it can restore system functions, the Contractor shall be required to submit a corrective action plan to LDH describing how the failure shall be resolved within ten (10) business days of the conclusion of the test.

2.8 Staffing Requirements

2.8.1 The Contractor shall have in place the organizational, operational, managerial and administrative capacity to fulfill all contract requirements outlined in this Contract. Within thirty (30) days of implementation and annually thereafter, the Contractor shall submit an organizational chart.

2.8.2 For the purposes of this contract, the Contractor shall not employ or contract with any individual who has been debarred, suspended or otherwise lawfully prohibited from participating in any public procurement activity or from participating in non-procurement activities under regulations issued under Executive Order 12549 or under guidelines implementing Executive Order 12549 [42 CFR §438.610(a) and (b), and 42 CFR §1001.1901(b)]. The Contractor must screen all employees and subcontractors to determine whether any of them have been excluded from participation in federal health care programs. The HHS-OIG website, which can be searched by the names of any individual, can be accessed at the following URL: <https://exclusions.oig.hhs.gov/>.

2.8.3 The Contractor must employ sufficient staffing and utilize appropriate resources to achieve contractual compliance. The Contractor's resource allocation must be adequate to achieve outcomes in all functional areas within the organization. Adequacy will be evaluated based on outcomes and compliance with contractual and LDH policy requirements, including the requirement for providing culturally competent services to all enrollees and potential enrollees,

- including those with limited English proficiency, diverse cultural and ethnic backgrounds, disabilities, and regardless of gender, sexual orientation or gender identity. If the Contractor does not achieve the desired outcomes or maintain compliance with contractual obligations, additional monitoring and regulatory action may be employed by LDH, including but not limited to requiring the Contractor to hire additional staff and application of liquidated damages as provided in section 3.4.
- 2.8.4 For the duration of the contract, the Contractor shall include at a minimum the following qualified key staff, who must be present for onsite meetings at LDH in Baton Rouge within twenty-four (24) hours' notice:
- 2.8.4.1 Enrollment Broker Director who must have at least six (6) years of experience in managing a similar project of equal or greater scope;
 - 2.8.4.2 Enrollment Services Deputy Director who must have at least five (5) years of experience in managing a technical benefit enrollment and maintenance information project of equal or greater scope;
 - 2.8.4.3 Information Technology Deputy Director who must have at least five (5) years of experience in managing an information technology project of equal or greater scope; and
 - 2.8.4.4 Beneficiary Support Services Deputy Director who must have at least five (5) years of experience in managing an enrollee relations project of equal or greater scope.
- 2.8.5 The Contractor shall remove or reassign, upon written request from LDH, any employee or subcontractor employee that LDH deems to be unacceptable.
- 2.8.6 LDH shall approve the hiring of all key staff.
- 2.8.7 Key staff shall not be removed or reassigned without approval of LDH, which approval will not be unreasonably withheld if a suitable candidate is proposed.
- 2.8.8 An individual may not occupy more than one (1) key staff position, unless prior approval is obtained by LDH.
- 2.8.9 The Contractor shall inform LDH in writing within seven (7) calendar days of the resignation or termination of any of the key staff positions. Staff assignments shall be fully covered at all times, and the name of the interim contact person must be included in the notification. The vacancy shall be filled within thirty (30) calendar days. The name and resume of the replacement key staff must be submitted to LDH for approval. Upon approval, Contractor shall submit to LDH a revised organization chart complete with key staff time allocation.
- 2.8.10 The Contractor shall replace resigned or terminated key staff with a person of equivalent experience, knowledge and talent, to be approved by LDH.
- 2.8.11 Annually, the Contractor must provide the name, Social Security number and date of birth of the key staff to the Contract Monitor or designee. LDH will compare this information against federal databases to confirm that those individuals have not been banned or debarred from participating in federal programs per 42 CFR §455.104.

2.8.12 All key staff must have a working knowledge of Medicaid and managed care programs. The Contractor shall develop and submit to LDH for approval, a detailed outline of the training plan and orientation package designed to equip Contractor staff with a working knowledge of LDH, Louisiana Medicaid, Louisiana managed care programs, and LaCHIP.

2.8.13 Ineligible Individuals for Employment

2.8.13.1 The Contractor must ensure that all entities or individuals, whether defined as “key staff” or not, performing services under a contract with Louisiana Medicaid are not “ineligible individuals” to participate in the federal health care programs, in Federal procurement or non-procurement programs or have been convicted of a criminal offense that falls within the ambit of 42 U.S.C. §1320a-7(a), but has not yet been excluded, debarred, suspended, or otherwise declared ineligible. Exclusion lists include the Department of Health and Human Services/Office of Inspector General List of Excluded Individuals/Entities (available via the internet at <https://exclusions.oig.hhs.gov/>) and the General Services Administration’s List of Parties Excluded from Federal Programs (available via the internet at <https://www.sam.gov>).

2.8.13.2 All temporary, permanent, subcontract, part-time and full-time Contractor staff working on Louisiana Medicaid contracts must complete an annual statement, to be developed by the Contractor, that includes an acknowledgement of confidentiality requirements and a declaration as to whether the individual has been convicted of a felony crime or has been determined an “ineligible individual” to participate in federal health care programs or in Federal procurement or non-procurement programs. If the individual has been convicted of a felony crime or identified as an “ineligible individual”, the Contractor must notify LDH in writing on the same date the notice of a conviction or ineligibility is received.

2.8.13.3 The Contractor shall keep the individual statements on file and submit a comprehensive list of all current staff in an annual statement to LDH, indicating if the staff stated they were free of convictions or ineligibility referenced above.

2.8.14 If the Contractor has actual notice that any temporary, permanent, subcontract, part-time or full-time Contractor staff has become an “ineligible individual” or is proposed to become ineligible based on pending charges, the Contractor shall remove said personnel immediately from any work related to this contract and notify LDH within five (5) business days. For felony convictions, LDH will determine if the individual must be removed from the contract project.

2.8.15 If any of the organizational or key staff information changes between the response to the RFP and contract award, the Contractor must update and provide this information to LDH no later than the contract execution date. The same is applicable to any subcontractor information.

2.8.16 The Contractor shall provide the appropriate staff representation for attendance and participation in meetings and/or events scheduled by LDH. All meetings shall be considered mandatory unless otherwise indicated.

2.8.17 The Contractor will implement tools to continuously improve staff selection, training and retention including the following:

2.8.17.1 Real Job Preview for recruiting efforts.

2.8.17.2 Hickory Learning for training.

2.8.17.3 AnswerOn for retention and attrition management.

2.9 Subcontracting

- 2.9.1 LDH shall have a single prime Contractor as the result of any contract negotiation, and that Contractor shall be responsible for all deliverables specified in the RFP and proposal. This general requirement notwithstanding, Contractor may enter into subcontractor arrangements; however, Contractor acknowledges their total responsibility for the entire contract.
- 2.9.2 If the Contractor intends to subcontract for portions of the work, the Contractor should identify any subcontractor relationships and include specific designations of the tasks to be performed by the subcontractor. Information required of the Contractor under the terms of the RFP and contract shall also be required for each subcontractor. The prime Contractor shall be the single point of contact for all subcontract work.
- 2.9.3 Unless provided for in the contract with LDH, the prime Contractor shall not contract with any other party for any of the services herein contracted without the express prior written approval of LDH.
- 2.9.4 For subcontractor(s), before commencing work, the Contractor will provide letters of agreement, contracts or other forms of commitment which demonstrate that all requirements pertaining to the Contractor will be satisfied by all subcontractors through the following:
 - 2.9.4.1 The subcontractor(s) will provide a written commitment to accept all contract provisions; and
 - 2.9.4.2 The subcontractor(s) will provide a written commitment to adhere to an established system of accounting and financial controls adequate to permit the effective administration of the contract.
- 2.9.5 The Contractor shall not contract with any other vendor under a business agreement for the furnishing of any good, product, or merchandise, or the supplying of any good or services required by the contract without the express written approval of LDH. The Contractor shall not substitute any vendor under a business agreement without the prior written approval of LDH. For vendor(s), before commencing work, the Contractor will provide letters of agreement, contracts or other forms of commitment which demonstrate that all requirements pertaining to the Contractor will be satisfied by all vendors through the following:
 - 2.9.5.1 The vendor(s) will provide a written commitment to accept all contract provisions; and
 - 2.9.5.2 The vendor(s) will provide a written commitment to adhere to an established system of accounting and financial controls adequate to permit the effective administration of the contract.
- 2.9.6 Any work or service by a subcontractor, or the selling, offering to sell, or the furnishing of a specific good, product or merchandise of a part of the principal contract by a vendor, must be performed within the geographical confines of the continental United States, Alaska, Hawaii, or its territories, including Puerto Rico, Guam, U.S. Virgin Islands, the Northern Mariana Islands, and American Samoa.

- 2.9.7 The Contractor shall affirm that all subcontracting requirements are met on a form prescribed by LDH. The Contractor shall submit all subcontracts for the provision of any services under this Contract to LDH for prior review and approval. LDH shall have the right to review and approve or disapprove any and all subcontracts entered into for the provision of any services under this contract.

2.10 Transition Plan

- 2.10.1 This section outlines the requirements of the Contractor during the hand-off to a successor Contractor at the end of the contract term or in the event of contract termination. The Contractor shall provide full support and assistance in the transition of operations to LDH or to a successor Contractor in order to minimize any disruption of services covered under the resulting contract of an RFP. The Contractor must:

2.10.1.1 Within ninety (90) calendar days of the contract start date and annually thereafter, with a final transition plan completed no later than six (6) months prior to the end of the contract term, deliver a transition plan to LDH which outlines the procedures and timelines to ensure continuity of services. This plan must include a detailed breakdown of processing steps performed, staffing, equipment, facilities, supply consumption, workloads, standard policy and procedures, companion guides, file layouts, phone numbers, website domains, forms and templates, and any additional information that LDH, at its sole discretion, feels is necessary to effect a smooth transition to the successor Contractor.

2.10.1.2 Provide training to successor Contractor's management in the use, operation and maintenance of computer programs, systems, file exchanges, data transfers, policies and procedures. All training and materials will be based upon current and complete policies, processes, and procedures. Training will be provided to additional successor Contractor staff as deemed necessary by LDH.

2.10.1.3 Perform a comprehensive inventory and assessment of all documentation including but not limited to policies, procedures, companion guides, and training materials. This documentation inventory and assessment will be completed and delivered to LDH within ninety (90) days of the contract start date and annually thereafter, with a final inventory and assessment completed and delivered no later than six (6) months prior to the end of the contract term. The purpose of the inventory and assessment is to evaluate whether the documentation accurately and completely reflects existing LDH procedures and meets all requirements. Any proprietary or confidential information must be claimed and disclosed to LDH on a privilege log to be included in the assessment.

2.10.1.4 Transfer the Contractor's records to LDH or the successor Contractor, as directed by LDH. This transfer will be conducted in order to prevent any interruption in the records retention services, including custodianship, preparation of copies, access, retrieval and certification while the transfer is executed. The Contractor must complete the transfer within ten (10) business days after request from LDH.

2.10.1.5 Transfer all non-proprietary and non-confidential software, files, programs, source code and documentation in an electronic format to the successor Contractor or to LDH within ten (10) business days after request from LDH.

- 2.10.1.6 In the event of contract termination, the transition plan must be adhered to within thirty (30) days of written notification unless other appropriate time frames have been mutually agreed upon by both the Contractor and LDH.

2.11 Compliance With Applicable Laws

- 2.11.1 The Contractor agrees to abide by the requirements of the following as applicable: Title VI of the Civil Rights Act of 1964 and Title VII of the Civil Rights Act of 1964, as amended by the Equal Opportunity Act of 1972, Federal Executive Order 11246 as amended, the Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990 as amended, and section 1557 of the Patient Protection and Affordable Care Act.
- 2.11.2 The Contractor agrees not to discriminate in its employment practices, and will render services under this contract without regard to race, color, religion, sex, sexual orientation, national origin, veteran status, political affiliation, or disabilities. Any act of discrimination committed by the Contractor, or failure to comply with these statutory obligations when applicable shall be grounds for termination of this contract.

2.12 Insurance Requirements

- 2.12.1 Insurance shall be placed with insurers with an A.M. Best's rating of no less than A-: VI. This rating requirement shall be waived for Workers' Compensation coverage only. The Contractor shall purchase and maintain for the duration of the contract insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, employees or subcontractors.
- 2.12.2 The Contractor shall not commence work under this contract until it has obtained all insurance required herein, including but not limited to Automobile Liability Insurance, Workers' Compensation Insurance and General Liability Insurance. Certificates of Insurance, fully executed by officers of the Insurance Company shall be filed with LDH for approval. The Contractor shall be named as the insured on the policy. The Contractor shall not allow any Subcontractor to commence work on subcontract until all similar insurance required for the Subcontractor has been obtained and approved. If so requested, the Contractor shall also submit copies of insurance policies for inspection and approval of LDH before work is commenced. Said policies shall not be canceled, permitted to expire, or be changed without thirty (30) calendar days' written notice in advance to LDH and consented to by LDH in writing and the policies shall so provide.
- 2.12.3 Minimum Scope and Limits of Insurance
- 2.12.3.1 Workers' Compensation Insurance
- 2.12.3.1.1 The Contractor shall obtain and maintain during the life of the contract, Workers' Compensation Insurance in compliance with the Workers' Compensation laws of Louisiana and of the State of the Contractor's headquarters. Employers' Liability is included with a minimum limit of \$500,000 per accident/per disease/per employee.
- 2.12.3.2 Commercial General Liability Insurance

- 2.12.3.2.1 The Contractor shall obtain and maintain during the life of the contract such Commercial General Liability Insurance, including Personal and Advertising Injury Liability, which shall have a minimum limit per occurrence of \$1,000,000.00 and a minimum general aggregate of \$2,000,000.00. The Insurance Services Office (ISO) Commercial General Liability occurrence coverage form CG 00 01 (current form approved for use in Louisiana), or equivalent, is to be used in the policy. Claims-made form is unacceptable.
- 2.12.3.3 Automobile Liability
- 2.12.3.3.1 The Contractor shall obtain and maintain during the life of the contract, Automobile Liability Insurance in an amount not less than combined single limits of \$1,000,000 per occurrence. ISO form number CA 00 01 (current form approved for use in Louisiana), or equivalent, is to be used in the policy. This insurance shall include third-party bodily injury and property damage liability for owned, hired, and non-owned automobiles.
- 2.12.3.4 Professional Liability (Errors and Omissions)
- 2.12.3.4.1 The Contractor shall obtain and maintain during the life of the contract such Professional Liability (Error & Omissions) insurance which covers the professional errors, acts, or omissions of the Contractor, and shall have a minimum limit of \$1,000,000.00. Claims-made coverage is acceptable. The date of the inception of the policy must be no later than the first date of the anticipated work under this contract. It shall provide coverage for the duration of this contract and shall have an expiration date no later than 30 calendar days after the anticipated completion of the contract. The policy shall provide for an extended reporting period of not less than 24 months, with full reinstatement of limits, from the expiration date of the policy.
- 2.12.3.5 Subcontractor's Insurance
- 2.12.3.5.1 The Contractor shall require that any and all Subcontractors, which are not protected under the Contractor's own insurance policies, take and maintain insurance of the same nature and in the same amounts as required of the Contractor.
- 2.12.4 Deductibles and Self-Insured Retentions
- 2.12.4.1 Any deductibles or self-insured retentions must be declared to and accepted by LDH. The Contractor shall be responsible for all deductibles and self-insured retentions.
- 2.12.5 Other Insurance Provisions
- 2.12.5.1 The policies are to contain, or be endorsed to contain, the following provisions:
- 2.12.5.1.1 General Liability and Automobile Liability Coverage
- 2.12.5.1.1.1 LDH, its officers, agents, employees and volunteers shall be named as an additional insured as regards negligence by the Contractor. ISO Form CG 20 10 (current form approved for use in Louisiana), or equivalent, is to be used when

applicable. The coverage shall contain no special limitations on the scope of protection afforded to LDH.

2.12.5.1.1.2 The Contractor's insurance shall be primary as respects to LDH, its officers, agents, employees and volunteers. Any insurance or self-insurance maintained by LDH shall be excess and non-contributory of the Contractor's insurance.

2.12.5.1.1.3 The Contractor's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the policy limits.

2.12.5.1.2 Workers' Compensation and Employers' Liability Coverage

2.12.5.1.2.1 The insurer shall agree to waive all rights of subrogation against LDH, its officers, agents, employees and volunteers for losses arising from work performed by the Contractor for LDH.

2.12.5.1.3 All Coverage

2.12.5.1.3.1 Coverage shall not be canceled, suspended, or voided by either party (the Contractor or the insurer) or reduced in coverage or in limits except after 30 calendar days written notice has been given to LDH. Ten-day written notice of cancellation is acceptable for non-payment of premium. Notifications shall comply with the standard cancellation provisions in the Contractor's policy.

2.12.5.1.3.2 Neither the acceptance of the completed work nor the payment thereof shall release the Contractor from the obligations of the insurance requirements or indemnification agreement.

2.12.5.1.3.3 The insurance companies issuing the policies shall have no recourse against LDH for payment of premiums or for assessments under any form of the policies.

2.12.5.1.3.4 Any failure of the Contractor to comply with reporting provisions of the policy shall not affect coverage provided to LDH, its officers, agents, employees and volunteers.

2.12.6 Acceptability of Insurers

2.12.6.1 All required insurance shall be provided by a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located. Insurance shall be placed with insurers with an A.M. Best's rating of A-: VI or higher. This rating requirement may be waived for workers' compensation coverage only.

2.12.6.2 If at any time an insurer issuing any such policy does not meet the minimum A.M. Best rating, the Contractor shall obtain a policy with an insurer that meets the A.M. Best rating and shall submit another Certificate of Insurance as required in the contract.

2.12.7 Verification of Coverage

2.12.7.1 Contractor shall furnish the Department with Certificates of insurance reflecting proof of required coverage. The Certificates for each insurance policy are to be signed by a person

authorized by that insurer to bind coverage on its behalf and shall have the policy's cancellation provision endorsement attached. The Certificates are to be received and approved by LDH before work commences and upon any contract renewal thereafter.

- 2.12.7.2 Upon failure of the Contractor to furnish, deliver and maintain such insurance as above provided, this contract, at the election of LDH, may be suspended, discontinued or terminated. Failure of the Contractor to purchase and/or maintain any required insurance shall not relieve the Contractor from any liability or indemnification under the contract.

2.12.8 Subcontractors

- 2.12.8.1 Contractor shall include all subcontractors as insured's under its policies or shall be responsible for verifying and maintaining the Certificates provided by each subcontractor. Subcontractors shall be subject to all of the requirements stated herein. LDH reserves the right to request copies of subcontractor's Certificates at any time.

2.12.9 Workers' Compensation Indemnity

- 2.12.9.1 In the event Contractor is not required to provide or elects not to provide workers' compensation coverage, the parties hereby agree that Contractor, its owners, agents and employees will have no cause of action against, and will not assert a claim against, the State of Louisiana, its departments, agencies, agents and employees as an employer, whether pursuant to the Louisiana Workers' Compensation Act or otherwise, under any circumstance. The parties also hereby agree that the State of Louisiana, its departments, agencies, agents and employees shall in no circumstance be, or considered as, the employer or statutory employer of the Contractor, its owners, agents and employees. The parties further agree that Contractor is a wholly independent Contractor and is exclusively responsible for its employees, owners, and agents. Contractor hereby agrees to protect, defend, indemnify and hold the State of Louisiana, its departments, agencies, agents and employees harmless from any such assertion or claim that may arise from the performance of this contract.

2.13 **Resources Available to Contractor**

- 2.13.1 The LDH Health Plan Management Section will have an assigned staff member who will be responsible for primary oversight of the contract. This individual will schedule meetings to discuss progress of activities and problems identified.

2.14 **Contract Monitor**

All work performed by the Contractor will be monitored by the contract monitor or designee:

Mitzi Hochheiser
Louisiana Department of Health
Bureau of Health Services Financing
Systems Modernization & Operations 628 North 4th Street, 7th floor
Baton Rouge, LA 70802

2.15 **Term of Contract**

- 2.15.1 The initial term of this contract shall be three (3) years, commencing on August 1, 2018. With all proper approvals and concurrence with the successful Contractor, LDH may also exercise an option to extend for up to twelve (12) additional months at the same rates, terms and conditions of the initial contract term. Prior to the extension of the contract beyond the initial thirty-six (36) month term, approval by the Joint Legislative Committee on the Budget (JLCB) or other approval required by law shall be obtained. Such written evidence of JLCB approval shall be submitted along with the contract amendment to the Office of State Procurement (OSP) to extend contract terms beyond the initial 3 year term. The total contract term including any extensions shall not exceed five (5) years. The continuation of this contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the contract.
- 2.15.2 No contract or amendment shall be valid, nor shall the state be bound by any contract or amendment, until it has first been executed by LDH and the Contractor, and has been approved in writing by the director of the Office of State Procurement.

2.16 Payment Terms

- 2.16.1 The Contractor shall complete deliverables in accordance with established timelines and shall submit itemized invoices to LDH as defined in the contract terms. Invoices shall be submitted monthly, no later than fifteen (15) calendar days following the month services were rendered, or as defined in the contract terms. Contractor shall submit a final invoice within fifteen (15) calendar days after termination of the contract.
- 2.16.2 Payment of invoices is subject to written approval by LDH. Invoice amounts for work performed must be based on clearly identifiable deliverables as reflected in written reports submitted with the invoice.
- 2.16.3 LDH will make every reasonable effort to make payments within forty-five (45) calendar days of the approval of invoices.
- 2.16.4 The Contractor will not be paid more than the maximum amount of the contract. Continuation of payment is dependent upon available funding.
- 2.16.5 The Contractor will be paid a per member per month (PMPM) rate for the total number of members included on a monthly reconciliation file for the previous month, as specified in the contract with LDH. The PMPM rate shall be inclusive of all costs associated with the performance of deliverables outlined in the contract and shall remain the same regardless of the number of members.

- 2.16.5.1 The PMPM rate shall be as follows:

TERM	PMPM RATE
8/1/18 – 6/30/19	\$ 0.272
7/1/19 – 6/30/20	\$ 0.253
7/1/20 – 9/30/20	\$ 0.248
10/1/20-6/30/21	\$ 0.485
7/1/21 – 7/31/23	\$ 0.485

- 2.16.6 The Contractor shall be paid a fixed rate per comparative and research analysis project as approved by task order. This rate shall be based on the level of effort as agreed upon by the parties and defined in the task order. See Attachment C, Task Order Form. No more than one

million five hundred thousand dollars (\$1,500,000.00) shall be approved for this limited scope of work.

- 2.16.7 The Contractor shall be paid a fixed rate of \$ 2,430,000 for implementation costs related to the addition of Enrollment Broker services required to support changes to the Louisiana Medicaid Dental Benefit Program. This rate shall be based on the cost incurred by the Contractor for system development, testing, and staffing increases required to support increased enrollment, reporting, and beneficiary support services required to meet increased program needs. Contractor shall be entitled to invoice for the full fixed rate for implementation costs set forth in this Section no later than fifteen (15) calendar days after October 1, 2020.
- 2.16.8 All amounts owed by the Contractor to LDH as identified through routine or investigative reviews of records or audits conducted by LDH or other state or federal agency, are due no later than thirty (30) calendar days following notification to the Contractor by LDH unless otherwise authorized in writing by LDH. LDH, at its discretion, reserves the right to collect amounts due by withholding and applying all balances due to LDH to future payments. LDH reserves the right to collect interest on unpaid balances beginning thirty (30) calendar days from the date of initial notification. Any unpaid balances after the refund is due shall be subject to interest at the current Federal Reserve Board lending rate or ten percent (10%) annually, whichever is higher.

2.17 Code of Ethics

- 2.17.1 The Contractor acknowledges that Chapter 15 of Title 42 of the Louisiana Revised Statutes (R.S. 42:1101 et seq., Code of Governmental Ethics) applies to the Contractor in the performance of services called for in this contract. The Contractor agrees to immediately notify LDH if potential violations of the Code of Governmental Ethics arise at any time during the term of this contract.
- 2.17.2 The Louisiana Board of Ethics is the only entity which can officially rule on ethics issues. Notwithstanding, any potential conflict of interest that is known or should reasonably be known by a Contractor as it relates to the contract must be immediately reported to the Department by Contractor.

3 CONTRACTOR REQUIREMENTS

3.1 Confidentiality of Data

- 3.1.1 All financial, statistical, personal, technical and other data and information relating to the State's operation which are designated confidential by LDH and made available to the Contractor in order to carry out this contract, or which become available to the Contractor in carrying out this contract, shall be protected by the Contractor from unauthorized use and disclosure through the observance of the same or more effective procedural requirements as are applicable to LDH. The identification of all such confidential data and information as well as LDH's procedural requirements for protection of such data and information from unauthorized use and disclosure shall be provided by LDH in writing to the Contractor. If the methods and procedures employed by the Contractor for the protection of the Contractor's data and information are deemed by LDH to be adequate for the protection of LDH's confidential information, such methods and procedures may be used, with the written consent of LDH, to carry out the intent of this paragraph. The Contractor shall not be required under the provisions of this paragraph to keep confidential any data or information which is or becomes publicly available, is already rightfully in the Contractor's possession, is independently developed by the Contractor outside the scope of the contract, or is rightfully obtained from third parties.
- 3.1.2 Under no circumstance shall the Contractor discuss and/or release information to the media concerning this project without prior express written approval of the Louisiana Department of Health.

3.2 Taxes

- 3.2.1 The Contractor is responsible for payment of all applicable taxes from the funds to be received under this contract.

3.3 Fund Use

- 3.3.1 The Contractor agrees not to use contract proceeds to urge any elector to vote for or against any candidate or proposition on an election ballot, nor shall such funds be used to lobby for or against any proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority. This provision shall not prevent the normal dissemination of factual information relative to a proposition on any election ballot or a proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority.

4 CONTRACTUAL INFORMATION

4.1 Contract

4.1.1 The contract between LDH and the Contractor shall include the standard LDH contract form CF-1 (Appendix C to the RFP) including its attachments and exhibits, the RFP (including its attachments, amendments, and addenda), and the Contractor's proposal. The LDH contract form contains basic information and general terms and conditions of the contract to be awarded. In addition to the terms of the contract and supplements, the following are incorporated into the contract awarded through RFP:

4.1.2 Personnel Assignments

4.1.2.1 The Contractor's key staff assigned to this contract may not be replaced without the written consent of LDH. Such consent shall not be unreasonably withheld or delayed provided an equally qualified replacement is offered. Key staff for these purposes will be determined during contract negotiation.

4.1.3 Force Majeure

4.1.3.1 The Contractor and LDH are excused from performance under contract for any period they may be prevented from performance by an Act of God, strike, war, civil disturbance, epidemic, pandemic, or court order.

4.1.4 Order of Precedence

4.1.4.1 The contract shall, to the extent possible, be construed to give effect to all provisions contained therein; however, where provisions conflict, the intent of the parties shall be determined by giving first priority to the provisions of the LDH Standard Contract Form and its attachments and exhibits (excluding the RFP, its attachments, amendments, and addenda, and the Contractor's proposal); second priority to the provisions of the RFP (including its attachments, amendments, and addenda); and third priority to the provisions of the Contractor's proposal.

4.1.5 Entire Agreement

4.1.5.1 The LDH Standard Contract Form (including its attachments and exhibits), the RFP (including its attachments, amendments, and addenda), and the Contractor's proposal constitute the entire agreement between the parties with respect to the subject matter.

4.1.6 Board Resolution/Signature Authority

4.1.6.1 The Contractor, if a corporation, shall secure and attach to the contract a formal Board Resolution indicating the signatory to the contract is a corporate representative and authorized to sign said contract.

4.1.7 Warranty to Comply with State and Federal Regulations

4.1.7.1 The Contractor shall warrant that it shall comply with all state and federal regulations as they exist at the time of the contract or as subsequently amended.

4.1.8 Warranty of Removal of Conflict of Interest

4.1.8.1 The Contractor shall warrant that it, its officers, and employees have no interest and shall not acquire any interest, direct or indirect, which conflicts in any manner or degree with the performance of services hereunder. The Contractor shall periodically inquire of its officers and employees concerning such conflicts, and shall inform LDH promptly of any potential conflict. The Contractor shall warrant that it shall remove any conflict of interest prior to signing the contract.

4.1.8.2 Pursuant to 42 CFR §438.810, the enrollment broker and its subcontractor(s) shall be free from conflict of interest. The enrollment broker or its subcontractor(s) is not considered free from conflict of interest if any person who is the owner, employee, or consultant of the enrollment broker or subcontractor or has any contract with them has any direct or indirect financial interest in any entity or healthcare provider that furnishes services in the State in which the broker or subcontractor provides enrollment services; has been excluded from participation under Title XVIII or XIX of the Social Security Act; has been debarred by any federal agency; or has been, or is now, subject to civil money penalties under the Act.

4.1.9 Corporation Requirements

4.1.9.1 If the Contractor is a corporation, the following requirements must be met prior to execution of the contract:

4.1.9.1.1 If the Contractor is a for-profit corporation whose stock is not publicly traded, the Contractor shall ensure that a disclosure of ownership form has been properly filed with the Secretary of State of Louisiana.

4.1.9.1.2 If the Contractor is a corporation not incorporated under the laws of the State of Louisiana, it must obtain a Certificate of Authority pursuant to R.S. 12:301-302 from the Louisiana Secretary of State.

4.1.9.1.3 The Contractor must provide written assurance to LDH from Contractor's legal counsel that the Contractor is not prohibited by its articles of incorporation, bylaws or the laws under which it is incorporated from performing the services required under the contract.

4.1.10 Contract Controversies

4.1.10.1 Any claim or controversy arising out of the contract shall be resolved by the provisions of Louisiana Revised Statutes 39:1672.2-1672.4.

4.1.11 Right To Audit

4.1.11.1 The State Legislative Auditor, agency, and/or federal auditors and internal auditors of the Division of Administration shall have the option to audit all accounts directly pertaining to the contract for a period of five (5) years from the date of the last payment made under this contract. Records shall be made available during normal business hours for this purpose.

4.1.12 Contract Modification

4.1.12.1 No amendment or variation of the terms of this contract shall be valid unless made in writing, signed by the parties and approved as required by law. No oral understanding or agreement not incorporated in the contract is binding on any of the parties.

4.1.13 Severability

4.1.13.1 If any term or condition of this Contract or the application thereof is held invalid, such invalidity shall not affect other terms, conditions, or applications which can be given effect without the invalid term, condition, or application; to this end the terms and conditions of this Contract are declared severable.

4.1.14 Applicable Law

4.1.14.1 This contract shall be governed by and interpreted in accordance with the laws of the State of Louisiana. Venue of any action brought with regard to this contract shall be in the Nineteenth Judicial District Court, Parish of East Baton Rouge, State of Louisiana.

4.1.15 Intellectual Property

4.1.15.1 Any and all Contractor or 3rd party intellectual property (including but not limited to data, records, materials, documents, software, processes, and techniques) not first developed for the State in the performance of the contract remain the sole and exclusive property of Contractor or such 3rd party.

4.1.16 Equitable Adjustments

4.1.16.1 Should there be any change in the scope of work which results in new deliverables or requirements (including statutory, regulatory, or policy requirements), the parties shall (1) identify the change and impact on scope, deliverables, or contract compliance, (2) document and substantiate level of effort and cost (3) negotiate an adjustment to price and/or performance standards, as applicable, and (4) arrive at an agreement within thirty (30) calendar days after LDH requests the change. All changes and/or contract amendments will be in adherence with State procurement laws and rules.

4.2 Mutual Obligations and Responsibilities

4.2.1 The State requires that the mutual obligations and responsibilities of LDH and the Contractor be recorded in a written contract. While final wording will be resolved at contract time, the intent of the provisions will not be altered and will include all provisions as specified in the sample state contract.

4.3 Performance Bond

4.3.1 The Contractor shall be required to establish and maintain a performance bond equal to one and one half (1.5) million dollars (\$1,500,000.00) for as long as the contractor has contract-related liabilities, or ninety (90) calendar days following the termination date of this contract, whichever is later, to guarantee: (1) payment of the Contractor's obligations to LDH and (2) performance by the Contractor of its obligations under this contract.

- 4.3.2 Annually, LDH and the Contractor will meet to review (1) payment of the Contractor's obligations to LDH, (2) performance by the Contractor of its obligations, and (3) outstanding balance and term of the contract to determine if a reduction in the amount of the performance bond is earned by merit and equitable to the parties. Under no circumstance shall the value of the performance bond be less than ten (10) percent of the balance of the contract.
- 4.3.3 The bond must be made payable to the State of Louisiana. The contract and dates of performance must be specified in the bond.
- 4.3.4 The original performance bond must be submitted to LDH within 30 days of contract approval by the Office of State Procurement. The original performance bond will have the raised engraved seal on the bond and on the Power of Attorney page. The Contractor must retain a photocopy of the performance bond.
- 4.3.5 Any performance bond furnished shall be written by a surety or insurance company currently on the U.S. Department of the Treasury Financial Management Service list of approved bonding companies which is published annually in the Federal Register, or by a Louisiana domiciled insurance company with at least an A-rating in the latest printing of the A.M. Best's Key Rating Guide to write individual bonds up to ten (10) percent of policyholders' surplus as shown in the A.M. Best's Key Rating Guide or by an insurance company that is either domiciled in Louisiana or owned by Louisiana residents and is licensed to write surety bonds. No surety or insurance company shall write a performance bond which is in excess of the amount indicated as approved by the U.S. Department of the Treasury Financial Management Service list or by a Louisiana domiciled insurance company with an A-rating by A.M. Best up to a limit of 10 percent of policyholders' surplus as shown by A.M. Best; companies authorized by this Paragraph who are not on the treasury list shall not write a performance bond when the penalty exceeds fifteen (15) percent of its capital and surplus, such capital and surplus being the amount by which the company's assets exceed its liabilities as reflected by the most recent financial statements filed by the company with the Department of Insurance. In addition, any performance bond furnished shall be written by a surety or insurance company that is currently licensed to do business in the State of Louisiana.

4.4 Indemnification and Limitation of Liability

- 4.4.1 Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under Contract.
- 4.4.2 The Contractor shall be fully liable for the actions of its agents, employees, partners or subcontractors and shall fully indemnify and hold harmless LDH and its Authorized Users from suits, actions, damages and costs of every name and description relating to personal injury and damage to real or personal tangible property caused by Contractor, its agents, employees, partners or Subcontractors, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of LDH. If applicable, Contractor will indemnify, defend and hold LDH and its Authorized Users harmless, without limitation, from and against any and all damages, expenses (including reasonable attorneys' fees), claims, judgments, liabilities and costs which may be finally assessed against LDH in any action for infringement of a United States Letter Patent with respect to the Products furnished, or of any copyright, trademark, trade secret or intellectual property

- right, provided that LDH shall give the Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit, (ii) the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense, and (iii) assistance in the defense of any such action at the expense of Contractor. Where a dispute or claim arises relative to a real or anticipated infringement, LDH or its Authorized Users may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as the Commissioner of Administration shall require.
- 4.4.3 The Contractor shall not be obligated to indemnify that portion of a claim or dispute based upon: i) Authorized User's unauthorized modification or alteration of a Product, Material or Service; ii) Authorized User's use of the Product in combination with other products not furnished by Contractor; iii) Authorized User's use in other than the specified operating conditions and environment.
- 4.4.4 In addition to the foregoing, if the use of any item(s) or part(s) thereof shall be enjoined for any reason or if Contractor believes that it may be enjoined, Contractor shall have the right, at its own expense and sole discretion as the Authorized User's exclusive remedy to take action in the following order of precedence: (i) to procure for LDH the right to continue using such item(s) or part(s) thereof, as applicable; (ii) to modify the component so that it becomes non-infringing equipment of at least equal quality and performance; or (iii) to replace said item(s) or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, or (iv) if none of the foregoing is commercially reasonable, then provide monetary compensation to LDH up to the dollar amount of the Contract.
- 4.4.5 For all other claims against the Contractor where liability is not otherwise set forth in the Contract as being "without limitation", and regardless of the basis on which the claim is made, Contractor's liability for direct damages, shall be the greater of \$100,000, the dollar amount of the Contract, or two (2) times the charges rendered by the Contractor under the Contract. Unless otherwise specifically enumerated herein or in the work order mutually agreed between the parties, neither party shall be liable to the other for special, indirect or consequential damages, including lost data or records (unless the Contractor is required to back-up the data or records as part of the work plan), even if the party has been advised of the possibility of such damages. Neither party shall be liable for lost profits, lost revenue or lost institutional operating savings.
- 4.4.6 LDH and Authorized User may, in addition to other remedies available to them at law or equity and upon notice to the Contractor, retain such monies from amounts due Contractor, or may proceed against the performance and payment bond, if any, as may be necessary to satisfy any claim for damages, penalties, costs and the like asserted by or against them.

4.5 Termination

4.5.1 Termination For Cause

- 4.5.1.1 LDH may terminate this Contract for cause based upon the failure of the Contractor to comply with the terms and/or conditions of the Contract, provided that LDH shall give the Contractor written notice specifying the Contractor's failure. If within thirty (30) calendar days after receipt of such notice, the Contractor shall not have either corrected such failure or, in the case of failure which cannot be corrected in thirty (30) calendar days, begun in good faith to correct said failure and thereafter proceeded diligently to complete such correction, then LDH may, at its option, place the Contractor in default and the Contract shall terminate on the

date specified in such notice. Failure to perform within the time agreed upon in the contract may constitute default and may cause cancellation of the contract.

- 4.5.1.2 Contractor may exercise any rights available to it under Louisiana law to terminate for cause upon the failure of LDH to comply with the terms and conditions of this contract provided that the Contractor shall give LDH written notice specifying LDH's failure and a reasonable opportunity for LDH to cure the defect.

4.5.2 Termination For Convenience

- 4.5.2.1 LDH may terminate the Contract at any time without penalty by giving thirty (30) calendar days written notice to the Contractor of such termination or negotiating with the Contractor an effective date. Contractor shall be entitled to payment for deliverables in progress, to the extent work has been performed satisfactorily.

4.5.3 Termination For Non-Appropriation Of Funds

- 4.5.3.1 The continuation of this contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the contract by the legislature. If the legislature fails to appropriate sufficient monies to provide for the continuation of the contract, or if such appropriation is reduced by the veto of the Governor or by any means provided in the appropriations act of Title 39 of the Louisiana Revised Statutes of 1950 to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the contract, the contract shall terminate on the date of the beginning of the first fiscal year for which funds have not been appropriated.

4.6 Independent Assurances

- 4.6.1 The Contractor and/or subcontractors, as performing a key internal control, shall submit an independent SOC 2 Type II audit, in accordance with SSAE-18 standards, to ensure appropriate design and operating effectiveness of controls implemented to process enrollments and to submit enrollment data in accordance with the policies and procedures for the Louisiana Medicaid line of business. When required by LDH, the contractor shall provide a quality control plan, such as third party Quality Assurance (QA), Independent Verification and Validation (IV&V), and other internal project/program reviews and audits.
- 4.6.2 The audit firm will submit to LDH and/or the Contractor a final report on controls placed in operations for the project and include a detailed description of the audit firm's tests of the operating effectiveness of controls.

Such audits shall be performed annually during the term of the contract, and according to Section 2.2.8.2. The Contractor shall agree to implement recommendations as suggested by the audits within three months of report issuance at no cost to LDH. The cost of the audit is to be borne by the Contractor.

5 GLOSSARY

The following terms, as used in this Contract, shall be construed and interpreted as follows unless the context clearly indicates otherwise.

Term	Definition
Abandonment rate	The percentage of inbound phone calls made to the customer service unit that are abandoned by the customer before speaking to an agent. It is calculated as abandoned calls divided by total inbound calls (in percent).
Agency	Any department, commission, council, board, office, bureau, committee, institution, agency, government, corporation, or other establishment of the executive branch of this state authorized to participate in any contract resulting from this solicitation.
ANSI ASC X12 834	The standard adopted for electronic exchange of individual, subscriber, and dependent enrollment and maintenance information with health plans, either directly or through a vendor, such as a health care clearinghouse. In some instances, this transaction may be used also to exchange enrollment and maintenance information with healthcare providers or between health plans and healthcare providers.
Automatic Assignment	The process utilized to enroll a Medicaid beneficiary into a Managed Care Organization (MCO) using a predetermined algorithm who (1) is not excluded from MCO participation and (2) does not proactively select an MCO at the time of application.
Beneficiary	An individual who is eligible for Louisiana Medicaid. A beneficiary may receive Medicaid via fee-for-service and/or managed care.
Beneficiary Support System	System that provides support to beneficiaries both prior to and after enrollment in an MCO.
Bureau of Health Services Financing (BHSF)	The agency within the Louisiana Department of Health, Office of Management & Finance that is designated as Louisiana's single state Medicaid agency to administer the Medicaid program.
Business Day	Monday, Tuesday, Wednesday, Thursday and Friday, excluding Louisiana State designated holidays.
Calendar Day	All seven (7) days of the week. Unless otherwise specified, the term "days" in this Contract refers to calendar days.
Can/Should/May	Denotes an allowable activity, but not a mandatory requirement.
Cause	Specified reasons that allow mandatorily enrolled MCO beneficiaries to change their MCO choice. Term may also be referred to as "good cause."
Centers for Medicare and Medicaid Services (CMS)	The agency within the United States Department of Health & Human Services that provides administration and funding for Medicare under Title XVIII, Medicaid under Title XIX, and the Children's Health Insurance Program under Title XXI of the Social Security Act. Formerly known as Health Care Financing Administration (HCFA).
CHIP	Children's Health Insurance Program created in 1997 by Title XXI of the Social Security Act. Known in Louisiana as LaCHIP.
Choice Counseling	The provision of information and services designed to assist beneficiaries in making enrollment decisions; it includes answering questions and identifying factors to consider when choosing among

	managed care plans and primary care providers. Choice counseling does not include making recommendations for or against enrollment into a specific managed care plan.
Complaint	Any communication an enrollee has in which displeasure is expressed.
Contractor	Any person having a contract with a governmental body.
Corrective Action Plan (CAP)	A plan developed by the enrollment broker that is designed to ameliorate an identified deficiency and prevent reoccurrence of that deficiency.
Customer Service Unit	A component of the beneficiary support system physically located within the United States which is sufficiently staffed with customer service representatives who have adequate knowledge of Louisiana Medicaid programs, including the various Medicaid managed care systems and any other covered programs, whose function is to provide enrollment services via telephone, computer, or other electronic means.
Dental Benefit Program Manager (DBPM)	Pre-Paid Ambulatory Health Plans contracted by the State of Louisiana to provide qualified members with Medicaid dental benefits.
Department	The Louisiana Department of Health.
Disenrollment	Action taken by LDH or its designee to remove a Medicaid MCO enrollee from the MCO following the receipt and approval of a request for disenrollment, or a determination made by LDH or its designee that the enrollee is no longer eligible for Medicaid or enrollment in the MCO Program.
Enrollee	A Medicaid beneficiary who is currently enrolled in a managed care plan in the Louisiana Medicaid program. For marketing and education materials, or other informational materials provided to the enrollee, the term “member” may be used.
Enrollment	The process conducted by the enrollment broker by which a Medicaid or CHIP beneficiary becomes an enrollee of a MCO.
Enrollment Activities	Activities such as but not limited to distributing, collecting, and processing enrollment materials and taking enrollments by phone, in person, or through electronic methods of communication.
Enrollment Broker	The State’s contracted agent that performs enrollment services.
Enrollment Services	Activities such as distributing, collecting, and processing enrollment materials and taking enrollments by phone, in person, or through electronic methods of communication, and choice counseling.
Grievance	An expression of dissatisfaction about any matter other than an adverse benefit determination. Examples of grievances include dissatisfaction with quality of care, quality of service, rudeness of a provider or a network employee, and network administration practices. Administrative grievances are generally those relating to dissatisfaction with the delivery of administrative services, coverage, and access to care.
Key Staff	Those persons having authority and/or responsibility for planning, directing and/or controlling the activities of the contractor’s entity either directly or indirectly.
Limited English proficient	Potential enrollees and enrollees who do not speak English as their primary language and who have a limited ability to read, write, speak,

	or understand English and may be eligible to receive language assistance.
Liquidated Damages	Monetary penalties that may be assessed whenever the Contractor and/or its subcontractors fails to achieve certain performance standards and other requirements defined in the terms and conditions of the Contract.
Louisiana Department of Health (LDH)	The state department responsible for promoting and protecting health and ensuring access to medical, preventive and rehabilitative services for all citizens in the state of Louisiana. Also referred to as the Department.
Louisiana Medicaid State Plan	The binding written agreement between LDH and CMS which describes how the Medicaid program is administered and determines the services for which LDH will receive federal financial participation.
Louisiana Medicaid Managed Care Program	Louisiana Medicaid managed care program is the way most of Louisiana's Medicaid and LaCHIP beneficiaries receive all health care services (acute, primary, behavioral health) except dental. The overriding goal is to encourage enrollees to own their own health and the health of their families. In Louisiana, Medicaid beneficiaries enroll in a health plan. These plans differ from one another in several ways, including their provider networks, referral policies, health management programs and extra services and incentives offered. Each of these plans is accountable to the Department of Health (LDH) and the State of Louisiana.
Managed Care Organization (MCO)	A private entity that contracts with LDH to provide core benefits and services to Louisiana Medicaid MCO program enrollees in exchange for a monthly prepaid capitated amount per member. The entity is regulated by the Louisiana Department of Insurance with respect to licensure and financial solvency, pursuant to La.R.S. 22:1016, but shall, solely with respect to its products and services offered pursuant to the Louisiana Medicaid program, be regulated by the Louisiana Department of Health.
Medicaid Eligibility Data System (MEDS)	The ADABAS Data System responsible for capturing, maintaining, and transmitting Medicaid eligibility. The MEDS system is vital to LDH to ensure established Medicaid eligibility is available for enrollees to receive services in a timely manner. The MEDS system is responsible for transmitting the Medicaid eligibility data to LDH's Fiscal Intermediary on a daily basis. This ensures that providers of Medicaid services can bill and receive payment for services performed.
Member	As it relates to this Contract, refers to a Medicaid enrollee. For marketing and education materials, or other informational materials provided to the enrollee, the term "member" may be used
Member Month	A calendar month of coverage for a Medicaid beneficiary who is enrolled in an MCO.
Must/Shall/Will	Denotes a mandatory requirement.
Occurrence	Each instance of a late, incorrect or deficient deliverable.
Open Enrollment	The period of time when an enrollee may change MCOs without cause (once every twelve months after initial enrollment).
Original	Denotes must be signed in ink.

Per Member Per Month (PMPM)	The per-member, per-month rate of payment paid to the enrollment broker by LDH for the provision of enrollment broker services. The PMPM shall be based on the total number of members included on a monthly reconciliation file.
Potential Enrollee	A Medicaid beneficiary who is subject to mandatory enrollment or who may voluntarily elect to enroll in a MCO, but is not yet an enrollee of a specific MCO.
Prepaid Ambulatory Health Plan (PAHP)	Provides services to enrollees under contract with the state, and on the basis of capitation payments, or other payment arrangements that do not use State Plan payment rates; does not provide or arrange for, and is not otherwise responsible for the provision of any inpatient hospital or institutional services for its enrollees; and does not have a comprehensive risk contract.
Prevalent	A non-English language determined to be spoken by a significant number or percentage of potential enrollees and enrollees that are limited English proficient.
Provider-beneficiary Relationship	An existing provider-beneficiary relationship is one in which the provider was a main source of Medicaid services for the beneficiary during the previous year. This may be established through State records of previous managed care enrollment or FFS experience, encounter data, or through contact with the beneficiary.
Secure File Transfer Protocol (SFTP)	Software protocol for transferring data files from one computer to another with added encryption.
Readily accessible	Electronic information and services which comply with modern accessibility standards such as Section 508 guidelines, Section 504 of the Rehabilitation Act, and W3C's Web Content Accessibility Guidelines (WCAG) 2.0 AA and successor versions.
State	State of Louisiana
Subcontractor	Any entity that contracts directly with the Contractor for the performance of any of the work or services of a part of the principal contract, or with another contractor for the performance of a part of the principal contract for any professional, personal, consulting, or social services, or combination of such services. Services of a subcontractor are the rendering of time and effort to furnish any of the work or service, rather than the selling, offering to sell, or the furnishing of a specific good, product, or merchandise, or the supplying of a good or service to the public at large by a vendor.
TTY/TTD	Telephone Typewriter and Telecommunication Device for the Deaf, which allows for interpreter capability for deaf callers.
Validation	The review of information, data, and procedures to determine the extent to which data is accurate, reliable, free from bias and in accord with standards for data collection and analysis.
Vendor	Any entity that contracts with the primary contractor or another contractor to sell or furnish a specific good, product or merchandise, or supply a good or service to the public at large, for any of the work or service of a part of the principal contract, or with another contractor for the performance of a part of the principal contract.

6 ACRONYMS

BHSF	Bureau of Health Services Financing
CAP	Corrective Action Plan
CHIP	Children's Health Insurance Program
CMS	Centers for Medicare and Medicaid Services
CSR	Customer Service Representative
DBPM	Dental Benefit Program Manager
EA	Enterprise Architecture
EB	Enrollment Broker
FI	Fiscal Intermediary
FY	Fiscal Year
HIPAA	Health Insurance Portability and Accountability Act
LaCHIP	Louisiana Children's Health Insurance Program
LDH	Louisiana Department of Health
MCO	Managed Care Organization
OAAS	Office of Aging and Adult Services
OBH	Office of Behavioral Health
OCDD	Office for Citizens with Developmental Disabilities
OPH	Office of Public Health
OSP	Office of State Procurement
PAHP	Pre-Paid Ambulatory Health Plan
PMPM	Per Member Per Month
RFP	Request For Proposals
SFTP	Secure File Transfer Protocol
TTY/TTD	Telephone Typewriter and Telecommunication Device for the Deaf



State of Louisiana

JOHN BEL EDWARDS
GOVERNOR

LOUISIANA NATIONAL GUARD
OFFICE OF THE ADJUTANT GENERAL
6400 St. Claude Avenue
Jackson Barracks
New Orleans, LA 70117

D. KEITH WADDELL
BRIGADIER GENERAL
THE ADJUTANT GENERAL

March 17, 2021

SUBJECT: Maintenance Management, Preventative Maintenance Services, and Emergency Repair Services of the Utility Systems Infrastructure at Camp Minden, Minden, Louisiana, RFP #: 112PSCLA19-A-016, PO#2000403942

Ms. Sherry Phillips-Hymel
Director, Senate Fiscal Services
Joint Legislative Committee on the Budget
P.O. Box 44294
Baton Rouge, Louisiana 70804

Dear Ms. Phillips-Hymel:

Please consider this justification to exercise existing language to extend the current contract an additional two (2) years under the same rates, terms and conditions. The Louisiana Military (LMD) is requesting to amend the RFP# 112PSCLA19-A-016 Maintenance Management, Preventative Maintenance Services, and Emergency Repair Services of the Utility Systems Infrastructure at Camp Minden in Minden, Louisiana from the current three (3) year consulting services contract with APTIM Environmental and Infrastructure, L.L.C. ending in March 30, 2022, by extending an additional two (2) years making the new end date March 30, 2024.

The original contract was approved by the Office of State Procurement on April 5, 2019. The contract provides necessary support, maintenance, testing and compliance services with regulations associated with Camp Minden utility systems infrastructure (both waste water and water treatment & distribution systems).

This extension is in the best interest of LMD because it retains the current rates, terms and conditions, and continues necessary services from the same vendor.

If you have any questions, please call the undersigned at (318) 641-5396.

Sincerely,

AS Ret Owen Monconduit

Enclosure

Owen W. Monconduit
Brigadier General (Ret)
Louisiana Military Department
Deputy Director, Contracting and Purchasing

Cf:
LTC Harry Wilson, CMC
CPT Marquita Cash, CMD
SGM (Ret) James Philyaw, LMD

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION
BA-22 (Revised 7/2020)**

Date: 3/19/2021 Dept/Budget Unit/Program #: 1121
 Dept/Agency/Program Name: Louisiana Military Department Purchase Order/Contract #: 2000403942
 Agency/Program BA-22 #: 22-001 Agency/Program Contract #: LA19-A-016

Fiscal Year for this BA-22: 2021-22 BA-22 Start/End Dates: 04/01/2022 06/30/2022
 (yyy-yy) (Start Date) (End Date)

Multi-year Contract (Yes/No): Yes If "Yes", provide contract dates:
04/01/2019 03/31/2024
 (Start Date) (End Date)

APTIM Environmental and Infrastructure, L.L.C. 310049578
 (Contractor/Vendor Name) (Contractor/Vendor No.)

Maintenance Management, Preventative Maintenance Service and Emergency Repair Service to the Utility System Infrastructure
 (Provide a statement of "Services Provided")

Contract Amendment (Yes/No): Yes Amendment Start/End Dates: 04/01/2022 03/31/2024
 (Start Date) (End Date)

Contract Cancellation (Yes/No): No Date of Cancellation: _____

Exercising RFP 2 year extension option at current pricing levels.
 (Provide rationale for amendment or cancellation)

This information is to be provided at the Agency/Program Level				
MEANS OF FINANCING		AMOUNT		
	Current Year	%	Total Contract	%
State General Fund	\$46,555.41	%	\$372,443.28	50%
Interagency Transfers	\$0.00	%	\$0.00	0%
Fees and Self Gen.	\$0.00	%	\$0.00	0%
Statutory Dedication	\$0.00	%	\$0.00	0%
Federal	\$93,110.82	%	\$372,443.28	50%
TOTALS	\$139,666.23	%	\$744,886.56	100%

CDFA 12.401 National Guard Military Operations & Maintenance (O&M) Project

*Specify Source (i.e., grant name, fund name, IAT sending agency and revenue source, fee type and source, etc.)

Are revenue collections for funds utilized above in line with budgeted amounts? (Yes/No) Yes

If not, explain. _____

This information is to be provided at the Agency/Program Level				
Name of GL /Category:	Maint-Buildings / Services			
GL/Category Number:	5330001 / 530			
Amount Budgeted:	\$16,096,320.00			
Amount Previously Obligated:	\$0.00			
Amount this BA-22:	\$139,666.23			
Balance:	\$15,956,653.77			

Contingent upon approval of FY22 Budget.

The approval of the aforementioned contract will not cause this agency/program to be placed in an Object Category deficit.

Agy/Prg Contact: BG Ret Owen Monconduit
 Name: BG (Ret) Owen W. Monconduit
 Title: Deputy Director, Contracting & Purchasing
 Phone: 318-641-5359

Reviewed/Approved By: James R. Philp
 Name: James R. Philp
 Title: Budget Officer
 Phone: 225-319-4711

FOR AGENCY USE ONLY

COST CENTER	FUND	GL	ORDER #	GRANT #	WBS ELEMENT	AMOUNT
1121024300	1120000000	5330001	DMALC1000061	N/A	N/A	\$46,555.41
1121025500	1120000600	5330001	DMALC1000061	U1121001.2022	U112100102.112	\$93,110.82

Contract Change Order Request

Change Order #	5	Current Scheduled Completion Date:	3/31/2022	New Scheduled Completion Date:	3/31/2024	Number of Additional Days	730	DATE PREPARED:	
LaGov PO #	2000403942		Contract Type:		REQUEST FOR PROPOSAL				
LMD Contract ID #	LA19-A-016		Project Title:		RFP-Maintenance, Management, Preventative Maintenance Service and Emergency Repair Service				
Contractor LaGov #:	310049578		Project Location:		Camp Minden, Minden, Louisiana				
			Project Manager:		CPT Marquita Cash				
Contractor:	APTIM Environmental and Infrastructure, L.L.C.		Project Manager Phone #:		318-382-4204				
			Project Manager Email:		marquita.a.cash.mil@mail.mil				
Associated A & E or Public Works Contract(s) Info:	Associated LMD Contract ID#		Associated LaGov PO #		LaGov Short ID#Project #		<i>n/a OSPA JLCB approval is required for this action.</i>		
		n/a		n/a					

Change Order Detail		Amount
LMD Text Box:		Original Contract Amount:
AMENDMENT # 1		\$ 1,117,329.84
		Previous Change Order Amounts:
		Subtotal Amount before C.O.:
		\$ 1,117,329.84
		Current Change Order Amount
		\$ 744,886.56
		Total Contract Amount with C.O.:
		\$ 1,862,216.40

Items Covered by This Change Order	
1	YR#4 - State, 1 April 2022 through 30 June 2022, \$46,555.41
2	YR#4 - Federal, 1 April 2022 through 30 September 2022, \$93,110.82
3	
4	
5	

New Funding Breakout								
Federal AMSCO	AMSCO Amount	GRANT	WBS	COST/FUND CENTER (ORG)	GENERAL LEDGER (OBJ)	INTERNAL ORDER (SUB OBJ)	FUND (REPT CAT)	AMOUNT
Federal	\$ 93,110.82	U1121001.2222	U112100102.112	1121025500	5330001	DMALC1000081 V	G100000000	\$ 93,110.82
State				1121024300	5330001	DMALC1000081	1120000000	\$ 46,555.41
Grant Ref # 1			Grant Ref # 2			Total Funding:	\$ 139,666.23	

Explanation/Justification for Change Order:			
Additional twenty-four (24) months to extend the existing RFP contract. Funding Breakout: State 50% & Federal 50%.			
Contract YR#4 - 1 April 2022 through 31 March 2023			
Contract YR#5 - 1 April 2023 through 31 March 2024			
State YR#4 is 1APR2022 through 30JUN2022 (FY22, 3 months) & 1JUL2022 through 31MAR2023 (FY23, 9months)			
State YR#5 is 1APR2023 through 30JUN2023 (FY23, 3 months) & 1JUL2023 through 31MAR2024 (FY24, 9months)			
Federal YR#4 is 1APR2022 through 30SEP2022 (FY22, 6 months) & 1OCT2022 through 31MAR2023 (FY23, 6 months)			
Federal YR#5 is 1APR2023 through 30SEP2023 (FY23, 6 months) & 1OCT2023 through 31MAR2024 (FY24, 6 months)			

Project Manager, Signature <small>WILSON.HARRY.WILMER.1116559842 Digitally signed by WILSON.HARRY.WILMER.1116559842 Date: 2021.03.17 09:45:53 -0500</small>	Date 3/17/2021	State Program Manager, Signature	Date
CFMO/Federal Program Manager, Signature <small>BELFORD.STEVEN.SCOTT.1240095897 Digitally signed by BELFORD.STEVEN.SCOTT.1240095897 Date: 2021.03.17 09:48:29 -0500</small>	Date 3/17/2021	USPFO Approval, Signature	Date
Contracting Officer, P & C, Signature	Date	Deputy Director, Military Department Approval, Signature	Date 17 Mar 21
Deputy Director, P & C, Signature <i>Ret Queen Moncondut</i>	Date 17 March 2021	Director, Military Department Approval, Signature	Date 17 Mar 2021



**STATE OF LOUISIANA
MILITARY DEPARTMENT
Camp Minden
100 Louisiana Boulevard
Minden, Louisiana 71055-7908**

NGLA-CPM

09 March 2021

MEMORANDUM FOR Director, Louisiana Military Department, Gillis W. Long Center, Carville, Louisiana

SUBJECT: Justification for Extension of APTIM Environmental and Infrastructure, L.L.C. – Maintenance Management, Prevention Maintenance Services, and Emergency Repairs Services of the Utility Service Infrastructure, RFP#: 112PSCLA19-A-016

1. Historically, cost for this contract increases 3-5% each time the contract bids. Previous contract amount was \$1,062,035.28 (in 2018) and current contract amount is \$1,117,329.84, an increase of \$55,294.56 or 4.9%. By using an extension, which is an option within LA-19-A-016, this would also lock in current price and would save LMD/Camp Minden time/expense of re-bidding Request for Proposal (RFP).
2. Camp Minden is in the middle of a major renovation of the sewer system. Having personnel familiar with project benefits LMD/Camp Minden with knowledge of sewer improvements with current contractor. Additionally, APTIM employees have institutional knowledge with water/sewer system gained over the past 10+ years.
3. Response time to both daily operations/emergency situations have never been an issue with current contractor. Lead Manager is normally immediately on Camp Minden, Monday-Friday, with two (2) employees living with ten (10) mile radius.
4. Point of Contact in this matter is LTC Harry W. Wilson JR. at 318-299-4183 (office), 318-623-3519 (cell) or harry.w.wilson2.mil@mail.mil

WILSON.HARRY.WILME

R.1116559842

HARRY W. WILSON JR.

LTC, AR

Installation Commander

Digitally signed by
WILSON.HARRY.WILMER.1116559842
Date: 2021.03.09 13:21:06 -06'00'

Contract # LA19-A-016

Amendment # 1

**AMENDMENT # 1 TO LA19-A-016 BETWEEN
LOUISIANA MILITARY DEPARTMENT**

AND

**APTIM ENVIRONMENTAL AND INFRASTRUCTURE, LLC
4171 ESSEN LANE
BATON ROUGE, LOUISIANA 70809**

Amendment Provisions: This Amendment is made and entered into between the Louisiana Military Department, represented by Brigadier General (Ret) Owen W. Monconduit, hereafter referred to as **LMD**, and **APTIM Environmental and Infrastructure, LLC**, represented by Mr. Tyson Hackenberg, herein referred to as **Contractor**.

In consideration of the mutual promises contained herein, the parties hereby agree to the following Amendment and, intended to be mutually bound, the parties hereby agree as indicated below:

INCREASE the total amount of the Contract to read **\$1,862,216.40** due to the following: (1) Additional twenty-four (24) months to extend the contract to **March 31, 2024**. (2) Additional funding in the amount of **\$744,886.56**.

Contract completion date is **March 31, 2024**.

Total Cost of this Amendment is **\$744,886.56**

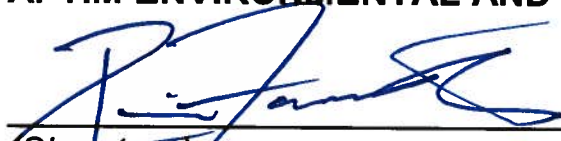
Amendment becomes effective upon approval by the Joint Legislative Committee on the Budget followed by written approval from the Office of State Procurement.

Justification for Amendment: 1) LMD is exercising the contract provision to extend the contract an additional twenty-four (24) months. 2) The twenty-four (24) month extension is at the same rate, terms and conditions of the original contract.

This Amendment contains or has attached hereto all revised terms and conditions agreed upon by contracting parties. All other terms and conditions contained in the basic Agreement remain the same and remain unchanged.

IN WITNESS THEREOF, this Amendment is signed and entered into on the date indicated below:

APTIM ENVIRONMENTAL AND INFRASTRUCTURE, LLC


(Signature)

3/12/2021
(Date)

By: _____
Name: Rick Faircloth
Title: Vice President

LOUISIANA MILITARY DEPARTMENT

BX Ret Owen Monconduit
(Signature)

March 17, 2021
(Date)

Agency's Name Owen W. Monconduit
Brigadier General (Ret), Louisiana Military Department
Agency's Title: Deputy Director, Contracting & Purchasing



Office of State Procurement Contract Certification of Approval

This certificate serves as a confirmation that the Office of State Procurement has reviewed and approved the contract referenced below.

Reference Number: 2000403942

Vendor: APTIM ENVIRONMENTAL & INFRASTRUCTURE INC

Description: LMA and APTIM

Approved By: TONI GORDON

Approval Date: 04/05/2019 15:50:44

The above referenced number has been assigned by this office and will be used as identification for the approved contract. Please use this number when referring to the contract in any future correspondence or amendment(s).

The Internal Revenue Service (IRS) may find that this contract creates an employment relationship between your agency and the contractor. You should be advised that your agency is responsible for all taxes and penalties if such a finding is forthcoming. It is incumbent upon your agency to determine if an employee/employer relationship exists. Your agency must make the appropriate withholdings in accordance with law and IRS regulations, if applicable.



State of Louisiana

JOHN BEL EDWARDS
GOVERNOR

LOUISIANA NATIONAL GUARD
OFFICE OF THE ADJUTANT GENERAL
6400 St. Claude Avenue
Jackson Barracks
New Orleans, LA 70117

GLENN H. CURTIS
MAJOR GENERAL
THE ADJUTANT GENERAL

April 1, 2019

SUBJECT: Notice to Proceed, to Contract, **Maintenance Management & Preventive Maintenance Services, and Emergency Repair Services of the Utility Systems Infrastructure at Camp Minden, Minden, LA (LA19-A-016), PO# 2000403942**

APTIM Environmental and Infrastructure, L.L.C.
Mr. Tyson Hackenberg, Vice President
4171 Essen Lane
Baton Rouge, Louisiana 70809


Dear Mr. Hackenberg,

You are hereby notified to commence work on the above subject contract.

Work shall be in accordance with the terms of Contract # (LA19-A-016) enclosed.

Enclosed are the contract documents for the subject project for your information. Two (2) originals are for your use and the third (3rd) original is to be recorded in the Office of the Recorder of Mortgages in Webster Parish. The information from the Recorder of Mortgages will be inserted below, Recorder document, and recorded contract is to be returned to this office within **10 days** from the date of this letter. The mailing address is **Louisiana Military Department, ATTN: COL (Ret) Michael P. Deville, 718 E Street, Camp Beauregard, Pineville, LA 71360 - 0613**. This will also serve as the invoice mailing address unless provided in person to the project manager.

Sincerely,


Michael P. Deville
Colonel (Ret)
Louisiana Military Department
Contracting Officer

Enclosures

CF:
Mr. David Tolbert
LTC (Ret) J. Brad Kendall

RECEIVED: 4/12/19
DATE: 4/12/19

RECORDED (Date): 4/12/19
Fate
BOOK NO. & PAGE NO 577269
Marlo Jackson

Webster Parish Recording Page

Holli Vining
Clerk of Court
410 Main Street
P. O. Box 370
Minden, LA 71058
(318) 371-0366

Received From :
WADE FRANCIS

First MORTGAGOR

APTIM ENVIRONMENTAL AND INFRASTRUCTURE LLC

First MORTGAGEE

LOUISIANA STATE OF

Index Type : MORTGAGES

File Number : 577269

Type of Document : CONTRACT

Book : Page :

Recording Pages : 34

Recorded Information

I hereby certify that the attached document was filed for registry and recorded in the Clerk of Court's office for Webster Parish, Louisiana.


Clerk of Court



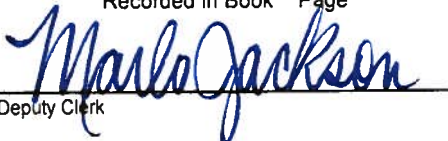
On (Recorded Date) : 04/12/2019

At (Recorded Time) : 11:11:48AM



Doc ID - 005808690034

CLERK OF COURT
HOLLI VINING
Parish of Webster
I certify that this is a true copy of the attached
document that was filed for registry and
Recorded 04/12/2019 at 11:11:48
File Number 577269
Recorded in Book Page


Deputy Clerk

CONTRACT BETWEEN STATE OF LOUISIANA

NAME OF DEPARTMENT/AGENCY

Louisiana Military Department

AND

CONTRACTOR NAME

APTIM Environmental and Infrastructure, L.L.C.

CONTRACT NUMBER (ISIS/LAGOV)

2000403942

TYPE OF SERVICES TO BE PROVIDED

PROFESSIONAL SERVICES ☐ CONSULTING SERVICES ☒ SOCIAL SERVICES ☐
PERSONAL SERVICES ☐

CONTRACTOR (Legal Name if Corporation)

APTIM Environmental and Infrastructure, L.L.C..

FEDERAL EMPLOYER TAX ID NUMBER

82-0889816

STATE LDR ACCOUNT

75-3044680-00

6287494

STREET ADDRESS

4171 Essen Lane

CITY Baton Rouge

ZIP CODE 70809

TELEPHONE NUMBER

(225) 932-2500

STATE Louisiana

TERM OF CONTRACT

This Contract shall begin on **April 1, 2019** and shall end on **March 31, 2022**. This contract term is a total of three (3) years with the concurrence of the Contractor and all appropriate approvals. With all proper approvals and concurrence of the Contractor, the State may also exercise an option to extend for up to twenty-four (24) additional months at the same rates, terms and conditions of the initial Contract term. Prior to the extension of the Contract beyond the initial thirty-six (36) month term, prior approval by the Joint Legislative Committee on the Budget (JLCB) or other approval authorized by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the Contract amendment to the Office of State Procurement (OSP) to extend contract terms beyond the initial 3-year term. The total contract term, with extensions, shall not exceed five (5) years. The continuation of this Contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the Contract.

COMPENSATION AND MAXIMUM AMOUNT OF CONTRACT

In consideration of the services required by this Contract, the State hereby agrees to pay to Contractor a maximum fee of \$ **1,117,329.84**, based on an average monthly cost as specified in the Cost Proposal of **\$31,036.94**. Payments are predicated upon successful completion of the services described in *Description of Services* and acceptance of deliverables described in *Acceptance of Deliverables*; receipt of an invoice; and written approval of Facilities Manager, Camp Minden.

The State shall make every reasonable effort to make payments within 30 calendar days of receiving an invoice. Contractor shall comply with the Division of Administration State General Travel Regulations, as set forth in the Division of Administration Policy and Procedure Memorandum No. 49.

PROHIBITION AGAINST ADVANCE PAYMENTS

No compensation or payment of any nature shall be made in advance of services actually performed, unless allowed by law.

GOALS AND OBJECTIVES

Goal: The Contractor will provide management services to the State and state personnel and other administrative staff to provide support, maintenance, testing, and compliance with all regulations associated with all utility systems associated with this RFP.

Objectives: The intent and purpose of this contract is to receive qualified, reliable, systematic, and efficient services for Maintenance Management, Preventative Maintenance Services, and Emergency Repairs of the Utility Systems Infrastructure at Camp Minden.

DESCRIPTION OF SERVICES

The Contractor will provide from the described Scope of Work, tasks and services, functional requirements, and other project requirements composed from the RFP and Contractors Proposal.

A full description of the scope of services is contained in the following documents, which are made a part of this Contract:

- Statement of Work
- Contractor Personnel and Other Resources
- State Furnished Resources

DELIVERABLES

The Contract will be considered complete when Contractor has delivered and State has accepted all deliverables specified in the Statement of Work. Deliverables are identified in Part II, paragraph 2.3 of the RFP.

ACCEPTANCE OF DELIVERABLES

Deliverables shall be submitted, reviewed, and accepted according to the following procedure:

- A. *General.* The State shall accept work performed in accordance with the Statement of Work and/or as subsequently modified in State-approved documents.
- B. *Submittal and Review.* Contractor shall provide written notification to the Facilities Manager, Camp Minden that a Deliverable is completed, and available for review and acceptance.

Upon Contractor's written notification, the Facilities Manager, Camp Minden shall review the Deliverable within ten (10) business days. Within this period, the Facilities Manager, Camp Minden shall direct the appropriate review process; coordinate any review outside the Project team; and present results to any appropriate committee(s) for acceptance. The review process shall be comprehensive—identifying all items that must be modified or added.

- C. *Acceptance or Rejection.* A Deliverable shall be considered accepted unless, within the ten (10) business days, the Facilities Manager, Camp Minden notifies the Contractor in writing that the Deliverable is rejected and specifies the items that, if modified or added, will cause the Deliverable to be accepted. A failure to submit all or any essential part of a Deliverable shall be cause for rejection of the Deliverable.
- D. *Resubmitting Deliverables.* Contractor shall provide written notification to the Facilities Manager, Camp Minden when the Contractor resubmits a Deliverable for acceptance. The Facilities Manager, Camp Minden shall review the resubmitted Deliverable within five (5) business days. A resubmitted Deliverable shall be considered accepted unless, within this period, the Facilities Manager, Camp Minden notifies the Contractor in writing that the resubmitted Deliverable is rejected and specifies the items that, if modified or added, will cause the resubmitted Deliverable to be accepted. The parties shall repeat this process until the resubmitted Deliverable is accepted, or the State determines that the Contractor has breached the Contract and places the Contractor in default.

TERMS OF PAYMENT

The Contractor may submit invoices, not more frequently than monthly. If progress and/or completion of services are provided to the satisfaction of the initiating Office/Facility, payments are to be made as follows:

Payments are predicated upon successful completion and written approval by the State of the described tasks and deliverables as provided in Sections 2.3 Deliverable and 2.4 Technical requirements (as applicable). Payments will be made to the Contractor after the State approves in writing the work performed and the submitted invoice. Payment will be made only after Facilities Manager, Camp Minden and State Contracting authorities approves the invoice for payment.

Payments to the Contractor for services rendered for this Project shall be based on a certified and itemized invoice showing line item costs incurred. Any labor charges for approved services shall include the names of the employees, their classification, and the time worked. These shall be reimbursed at the approved billable rate for that classification established from the Contractor's Proposal. These rates shall be used for the duration of the Contract. Travel shall be reimbursed according with the State Travel Regulations. State will allow adjustments for travel and other detailed costs between Tasks, up to the maximum established from the Contractor's proposed costs.

Such payment amounts for work performed must be based on at least equivalent services rendered, and to the extent practical, will be keyed to clearly identifiable stages of progress as reflected in written reports submitted with the invoices. Contractor will not be paid more than the maximum amount of the contract.

PAYMENT WILL BE MADE ONLY UPON APPROVAL OF

Facilities Manager, Camp Minden and State Contracting Authorities.

VETERAN/HUDSON SMALL ENTREPRENEURSHIP PROGRAM PARTICIPATION

During the term of the Contract and at expiration, the Contractor will be required to report Veteran-Owned and Service-Connected Disabled Veteran-Owned and Hudson Initiative small entrepreneurship subcontractor participation and the dollar amount of each.

SUBSTITUTION OF KEY PERSONNEL

The Contractor's personnel assigned to this Contract shall not be replaced without the written consent of the State. Such consent shall not be unreasonably withheld or delayed provided an equally qualified replacement is offered. In the event that any State or Contractor personnel become unavailable due to resignation, illness, or other factors, excluding assignment to project outside this contract, outside of the State's or Contractor's reasonable control, as the case may be, the State or the Contractor, shall be responsible for providing an equally qualified replacement in time to avoid delays in completing tasks. The Contractor will make every reasonable attempt to assign the personnel listed in his proposal.

STATE FURNISHED RESOURCES

State shall appoint a Project Manager for this Contract who will provide oversight of the activities conducted hereunder. Notwithstanding the Contractor's responsibility for management during the performance of this Contract, the assigned Project Manager shall be the principal point of contact on behalf of the State and will be the principal point of contact for Contractor concerning Contractor's performance under this Contract.

- A. LMD shall provide unfurnished lighted, room temperature controlled office space to include internet and telephone access, access to restrooms, breakroom, and vehicle(s) parking area adequate for employee(s) to work from during the term of this contract.
- B. LMD shall provide two (2) state vehicles for Contractor's use on Camp Minden. Operators must have a valid State driver's license, be approved by Camp Minden Safety to operate the vehicle. Contractor is required to provide insurance for employees operating state vehicles. LMD shall provide fuel for the vehicles. The vehicles will be dedicated for Contractor's use, and the vehicles should remain on Camp Minden unless approved by the Installation Commander for use off of Camp Minden proper. Proposer shall ensure compliance with insurance requirements.
- C. LMD shall provide all hand tools, equipment, and supplies (military property) as reasonable for minor repair work for Contractor's use in performing the contract.
- D. For LMD provided items (office space, vehicle(s), and military property), the Contractor will be required to sign a military hand receipt form to establish accountability, responsibility, for official use purposes, and tracking of items. At termination of service, the items will be inventoried for accountability taking into consideration normal wear and tear and service life.
- E. Attachment V: Drawing: Natural Gas Distribution System of the RFP.
- F. Attachment VI: Drawing: Waste Water Distribution System of the RFP.
- G. Attachment VII: Drawing: Water Distribution System of the RFP.

TAXES

Before the Contract may be approved, La. R.S. 39:1624(A)(10) requires the Office of State Procurement to determine that the Contractor is current in the filing of all applicable tax returns and reports and in the payment of all taxes, interest, penalties, and fees owed to the State and collected by the Department of Revenue. The Contractor shall provide its seven-digit LDR Account Number to the State for this determination. The State's obligations are conditioned on the Contractor resolving any identified outstanding tax compliance discrepancies with the Louisiana Department of Revenue within seven (7) days of such notification. If the Contractor fails to resolve the identified outstanding tax compliance discrepancies within seven days of notification, then the using agency may proceed with alternate arrangements without notice to the Contractor and without penalty.

TERMINATION FOR CAUSE

Should the State determine that the Contractor has failed to comply with the Contract's terms, the State may terminate the Contract for cause by giving the Contractor written notice specifying the Contractor's failure. If the State determines that the failure is not correctable, then the Contract shall terminate on the date specified in such notice. If the State determines that the failure may be corrected, the State shall give a deadline for the Contractor to make the correction. If the State determines that the failure is not corrected by the deadline, then the State may give additional time for the Contractor to make the corrections or the State may notify the Contractor of the Contract termination date.

If the Contractor seeks to terminate the Contract, the Contractor shall file a complaint with the Chief Procurement Officer under La. R.S. 39:1672.2-1672.4.

TERMINATION FOR CONVENIENCE

State may terminate the Contract at any time without penalty by giving thirty (30) days written notice to the Contractor of such termination or negotiating with the Contractor a termination date. Contractor shall be entitled to payment for deliverables in progress, to the extent the State determines that the work is acceptable.

REMEDIES FOR DEFAULT

Any claim or controversy arising out of this Contract shall be resolved by the provisions of LSA - R.S. 39:1672.2 - 1672.4.

GOVERNING LAW

This Contract shall be governed by and interpreted in accordance with the laws of the State of Louisiana, including but not limited to La. R.S. 39:1551-1736; rules and regulations; executive orders; standard terms and conditions, special terms and conditions, and specifications listed in the RFP (if applicable); and this Contract. Venue of any action brought, after exhaustion of administrative remedies, with regard to this Contract shall be in the Nineteenth Judicial District Court, Parish of East Baton Rouge, State of Louisiana.

E-VERIFY

Contractor acknowledges and agrees to comply with the provisions of La. R.S. 38:2212.10 and federal law pertaining to E-Verify in the performance of services under this Contract.

OWNERSHIP OF WORK PRODUCT

All software, data files, documentation, records, worksheets, or any other related materials developed under this Contract shall become the property of the State upon creation. All material related to the Contract and/or obtained or prepared by Contractor in connection with the performance of the services contracted for herein shall become the property of State, and shall be returned by Contractor to State, at Contractor's expense, at termination or expiration of the Contract.

DATA/RECORD RETENTION

Contractor shall retain all its books, records, and other documents relevant to this Contract and the funds expended hereunder for at least five (5) years after final payment, or as required by applicable Federal law, if Federal funds are used to fund this Contract. Contractor shall comply with all applicable State and Federal laws regarding data retention and provide for a transition period that accommodates all data retention requirements of the State, including data retained and length of retention, following Contract termination, regardless of the reason for Contract termination. Additionally, all State data must be sanitized in compliance with the most currently approved revision of NIST SP 800-66.

RECORD OWNERSHIP

All records, reports, documents and other material delivered or transmitted to Contractor by State shall remain the property of State, and shall be returned by Contractor to State, at Contractor's expense, at termination or expiration of the Contract. All material related to the Contract and/or obtained or prepared by Contractor in connection with the performance of the services contracted for herein shall become the property of State, and shall be returned by Contractor to State, at Contractor's expense, at termination or expiration of the Contract.

CONTRACTOR'S COOPERATION

The Contractor has the duty to fully cooperate with the State and provide any and all requested information, documentation, etc. to the State when requested. This applies even if this Contract is terminated and/or a lawsuit is filed. Specifically, the Contractor shall not limit or impede the State's right to audit or shall not withhold State owned documents.

ASSIGNABILITY

Contractor may assign its interest in the proceeds of this Contract to a bank, trust company, or other financial institution. Within ten (10) calendar days of the assignment, the Contractor shall provide notice of the assignment to the State and the Office of State Procurement. The State will continue to pay the Contractor and will not be obligated to direct payments to the assignee until the State has processed the assignment.

Except as stated in the preceding paragraph, Contractor shall only transfer an interest in the Contract by assignment, novation, or otherwise, with prior written consent of the State. The State's written consent of the transfer shall not diminish the State's rights or the Contractor's responsibilities and obligations.

RIGHT TO AUDIT

Any authorized agency of the State (e.g. Office of the Legislative Auditor, Inspector General's Office, etc.) and of the Federal Government has the right to inspect and review all books and records pertaining to services rendered under this contract for a period of five years from the date of final payment under the prime contract and any subcontract. The Contractor and subcontractor shall maintain such books and records for this five-year period and cooperate fully with the authorized auditing agency. Contractor and subcontractor shall comply with federal and state laws authorizing an audit of their operations as a whole, or of specific program activities.

FISCAL FUNDING

The continuation of this Contract is contingent upon the appropriation of funds to fulfill the requirements of the Contract by the legislature. If the legislature fails to appropriate sufficient monies to provide for the continuation of the Contract, or if such appropriation is reduced by the veto of the Governor or by

any means provided in the appropriations act to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the Contract, the Contract shall terminate on the date of the beginning of the first fiscal year for which funds are not appropriated.

NON-DISCRIMINATION

Contractor agrees to abide by the requirements of the following as applicable and amended: Title VI of the Civil Rights Act of 1964 and Title VII of the Civil Rights Act of 1964; Equal Employment Opportunity Act of 1972; Federal Executive Order 11246; the Rehabilitation Act of 1973; the Vietnam Era Veteran's Readjustment Assistance Act of 1974; Title IX of the Education Amendments of 1972; Age Discrimination Act of 1975; Fair Housing Act of 1968; and, Americans with Disabilities Act of 1990.

Contractor agrees not to discriminate in its employment practices, and shall render services under this Contract without regard to race, color, religion, sex, sexual orientation, national origin, veteran status, political affiliation, disability, or age in any matter relating to employment. Any act of discrimination committed by Contractor, or failure to comply with these statutory obligations when applicable shall be grounds for termination of this Contract.

PROHIBITION OF DISCRIMINATORY BOYCOTTS OF ISRAEL

In accordance with Executive Order Number JBE 2018-15, effective May 22, 2018, for any contract for \$100,000 or more and for any Contractor with five or more employees, Contractor, or any Subcontractor, shall certify it is not engaging in a boycott of Israel, and shall, for the duration of this Contract, refrain from a boycott of Israel.

The State reserves the right to terminate this Contract if the Contractor, or any Subcontractor, engages in a boycott of Israel during the term of the Contract.

CONTINUING OBLIGATION

Contractor has a continuing obligation to disclose any suspensions or debarment by any government entity, including but not limited to General Services Administration (GSA). Failure to disclose may constitute grounds for suspension and/or termination of the Contract and debarment from future Contracts.

ELIGIBILITY STATUS

Contractor, and each tier of Subcontractors, shall certify that it is not on the List of Parties Excluded from Federal Procurement or Non-procurement Programs promulgated in accordance with E.O.s 12549 and 12689, "Debarment and Suspension," as set forth at 24 CFR part 24.

CONFIDENTIALITY

Contractor shall protect from unauthorized use and disclosure all information relating to the State's operations and data (e.g. financial, statistical, personal, technical, etc.) that becomes available to the Contractor in carrying out this Contract. Contractor shall use protecting measures that are the same or more effective than those used by the State. Contractor is not required to protect information or data that is publicly available outside the scope of this Contract; already rightfully in the Contractor's possession; independently developed by the Contractor outside the scope of this Contract; or rightfully obtained from third parties.

AMENDMENTS

Any modification to the provisions of this Contract shall be in writing, signed by all parties, and approved by the required authorities.

PROHIBITED USE OF FUNDS

Contractor shall not use funds received for services rendered under this Contract to urge an elector to vote for or against any candidate or proposition on an election ballot, or to lobby for or against any matter the Louisiana Legislature or a local governing authority is considering to become law. This provision shall not prevent the normal dissemination of factual information relative to any proposition on an election ballot or any matter being considered by the Louisiana Legislature or a local governing authority.

SUBCONTRACTORS

The Contractor may, with prior written permission from the State, enter into subcontracts with third parties for the performance of any part of the Contractor's duties and obligations. In no event shall the existence of a subcontract operate to release or reduce the liability of the Contractor to the State and/or State Agency for any breach in the performance of the Contractor's duties. The Contractor will be the single point of contact for all subcontractor work.

INDEMNIFICATION AND LIMITATION OF LIABILITY

Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under Contract.

Contractor shall be fully liable for the actions of its agents, employees, partners or subcontractors and shall fully indemnify and hold harmless the State and its Authorized Users from suits, actions, damages and costs of every name and description relating to personal injury and damage to real or personal tangible property caused by Contractor, its agents, employees, partners or subcontractors, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of the State. If applicable,

Contractor shall indemnify, defend and hold the State and its Authorized Users harmless, without limitation, from and against any and all damages, expenses (including reasonable attorneys' fees), claims, judgments, liabilities and costs which may be finally assessed against the State in any action for infringement of a United States Letter Patent with respect to the Products furnished, or of any copyright, trademark, trade secret or intellectual property right, provided that the State shall give the Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit, (ii) the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense, and (iii) assistance in the defense of any such action at the expense of Contractor. Where a dispute or claim arises relative to a real or anticipated infringement, the State or its Authorized Users may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as the Commissioner of Administration shall require.

The Contractor shall not be obligated to indemnify that portion of a claim or dispute based upon: i) Authorized User's unauthorized modification or alteration of a Product, Material or Service; ii) Authorized User's use of the Product in combination with other products not furnished by Contractor; iii) Authorized User's use in other than the specified operating conditions and environment.

In addition to the foregoing, if the use of any item(s) or part(s) thereof shall be enjoined for any reason or if Contractor believes that it may be enjoined, Contractor shall have the right, at its own expense and sole discretion as the Authorized User's exclusive remedy to take action in the following order of precedence: (i) to procure for the State the right to continue using such item(s) or part (s) thereof, as applicable; (ii) to modify the component so that it becomes non-infringing equipment of at least equal quality and performance; or (iii) to replace said item(s) or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, or (iv) if none of the foregoing is commercially reasonable, then provide monetary compensation to the State up to the dollar amount of the Contract.

For all other claims against the Contractor where liability is not otherwise set forth in the Contract as being "without limitation", and regardless of the basis on which the claim is made, Contractor's liability for direct damages, shall be the greater of \$100,000, the dollar amount of the Contract, or two (2) times the charges rendered by the Contractor under the Contract. Unless otherwise specifically enumerated herein or in the work order mutually agreed between the parties, neither party shall be liable to the other for special, indirect or consequential damages, including lost data or records (unless the Contractor is required to back-up the data or records as part of the work plan), even if the party has been advised of the possibility of such damages. Neither party shall be liable for lost profits, lost revenue or lost institutional operating savings.

The State and Authorized User may, in addition to other remedies available to them at law or equity and upon notice to the Contractor, retain such monies from amounts due Contractor, or may proceed against the performance and payment bond, if any, as may be necessary to satisfy any claim for damages, penalties, costs and the like asserted by or against them.

STAFF INSURANCE

Contractor shall procure and maintain for the duration of the Contract insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, his agents, representatives, employees or subcontractors.

The cost of such insurance shall be included in the total Contract amount. For insurance requirements, refer to Exhibit A.

LICENSES AND PERMITS

Contractor shall secure and maintain all licenses and permits, and pay inspection fees required to do the work required to complete this Contract.

SECURITY

Contractor's personnel shall always comply with all security regulations in effect at the State's premises, and externally for materials belonging to the State or to the project. Contractor is responsible for reporting any breach of security to the State promptly.

CODE OF ETHICS

The Contractor acknowledges that Chapter 15 of Title 42 of the Louisiana Revised Statutes (R.S. 42:1101 et. seq., Code of Governmental Ethics) applies to the Contracting Party in the performance of services called for in this Contract. The Contractor agrees to immediately notify the state if potential violations of the Code of Governmental Ethics arise at any time during the term of this Contract.

SEVERABILITY

If any term or condition of this Contract or the application thereof is held invalid, such invalidity shall not affect other terms, conditions, or applications which can be given effect without the invalid term, condition, or application; to this end the terms and conditions of this Contract are declared severable.

HEADINGS

Descriptive headings in this Contract are for convenience only and shall not affect the construction or meaning of contractual language.

ENTIRE AGREEMENT AND ORDER OF PRECEDENCE

This Contract, (together with the Request for Proposals and addenda issued thereto by the State, the proposal submitted by the Contractor in response to the State's Request for Proposals, and any exhibits specifically incorporated herein by reference) constitutes the entire agreement between the parties with respect to the subject matter.

This Contract shall, to the extent possible, be construed to give effect to all provisions contained therein: however, where provisions are in conflict, first priority shall be given to the provisions of the Contract, excluding the Request for Proposals and the Proposal; second priority shall be given to the

provisions of the Request for Proposals and amendments thereto; and third priority shall be given to the provisions of the Proposal.

CONTRACT APPROVAL

This Contract is not effective until executed by all parties and approved in writing by the Office of State Procurement, in accordance with LSA-R.S.39:1595.1.

INSURANCE REQUIREMENTS FOR CONTRACTORS

See Exhibit A.

The cost of such insurance shall be included in the total Contract amount.

THUS DONE AND SIGNED AT Baton Rouge, Louisiana on the day, month and year first written above.

IN WITNESS WHEREOF, the parties have executed this Agreement.

WITNESSES SIGNATURES:

LOUISIANA MILITARY DEPARTMENT

SIGNATURE:

By:

Title:

**APTIM ENVIRONMENTAL AND
INFRASTRUCTURE, INC.**

CONTRACTOR SIGNATURE:

By:

Title:

STATEMENT OF WORK

SCOPE OF SERVICES

Contractor shall perform services according to the terms of this Contract and according to the Statement of Work (SOW) as follows at a minimum:

A. Provide Maintenance Management, Preventative Maintenance Services and Emergency Repairs for Camp Minden military and commercial and industrial tenants relative to utility systems infrastructure which may include but are not limited to:

- 1) Water treatment (including water wells) and water distribution system.
- 2) Sanitary sewerage and industrial wastewater treatment systems and wastewater collection system.
- 3) Telephone system, a traditional landline telephone system to include: landline, distribution, switching etc. for reliable communications. Be able to trouble shoot the external telephone lines that are utilized by the State and the Tenants that reside on Camp Minden. Camp Minden is planning to transfer the telephone system to a local utility company. The timeline to transfer the telephone system is contingent upon funding. The Contractor is only responsible for the portions of the telephone lines/service, which is not yet turned over to the local utility company.
- 4) Camp Minden has transferred the natural gas system to the local utility company. The Contractor is only responsible for the portions of the natural gas lines/service which have not been decommissioned. The Contractor will be required to decommission any remaining gas lines. The present Contractor is decommissioning the gas line when time allows. There is no timeline for this to be completed.
- 5) It shall be the consultants responsibility to provide services with respect to compliance with Camp Minden's parish ordinances, federal, state and local environmental laws, regulations, compliances including, but not limited to: EPA (Environmental Protection Agency), LDEQ (Louisiana Department of Environmental Quality), LDH (Louisiana Department of Health and Hospitals), Safe Drinking Water ACT, United States Clean Air Act, United States Clean Water Act, and OSHA (Occupational Safety and Health Agency).
- 6) The Contractor shall provide personnel who are qualified and capable of operating, managing and conducting utility maintenance management, preventative maintenance services and emergency repairs to the water treatment and water distribution system, sewerage treatment plant and wastewater collection system, installation's back-up generators, and telephone system and its distribution infrastructure for the Louisiana Military Department at Camp Minden, supporting both military training, State employees and residents, and current commercial/ industrial tenant operations.

B. Provide properly qualified employee(s) or professionals to assist the Installation Commander by providing (at a minimum at least annually) written recommendations for capital improvement projects for utility systems infrastructure upgrades, modernization and code compliance. The Contractor shall be responsible for ensuring that all employees have the proper licenses or certifications to perform work on the utility systems as outlined in Section 1.8.

C. Scope of Work Elements

A description of the utilities at Camp Minden are as follows:

1. Six (6) elevated water towers (4 active and 2 abandoned).
2. Three (3) active water wells with approximately 63 miles of underground water lines.
3. One (1) Sequence Batch Reactor (SBR) wastewater treatment plant constructed in 2015 with the present capacity of 250,000 gal/day serviced by 21 miles of underground sewerage lines. Future plans include expanding the SBR to treat 500,000 gal/day.
4. The Contractor is only responsible for the portions of the natural gas lines/service, which have not been decommissioned.
5. The external telephone system has approximately 60 miles of telephone lines. The contractor shall troubleshoot as often as necessary or as required and maintain the State owned telephone system and distribution lines to keep it in reasonable operating condition. Camp Minden is planning to transfer the telephone system to the local utility company. The Contractor is only responsible for the portions of the telephone lines/service, which is not yet turned over to the local utility company. As Camp Minden transfers ownership of the telephone system, then all responsibilities for the telephone system will be removed from the scope. The timeline to transfer the telephone system is contingent upon funding.
6. Sixteen (16) generators that require monthly inspections and testing.
7. Services include all of the above utilities in their entirety, to include any additional utilities resulting through construction/installation. Also, the monthly tests submitted to LDH and LDEQ of the outfall and the drinking water will determine if the procedures and guidelines are being followed by the Contractor.
8. It shall be the contractor's responsibility to provide services with respect to compliance with Minden's parish ordinances, federal, state and local environmental laws, regulations including EPA (Environmental Protection Agency), LDEQ (Louisiana Department of Environmental Quality), LDH (Louisiana Department of Health and Hospitals), Safe Drinking Water ACT, United States Clean Air Act, United States Clean Water Act, and OSHA (Occupational Safety and Health Agency).

D. Functional Requirements

Contractor shall identify the on-site employee who will function as the lead representative of the Contractor.

INTRODUCTION

Provide Maintenance Management, Preventative Maintenance Services, and Emergency Repairs of the Utility Systems Infrastructure, Louisiana Military Department, Camp Minden Installation, Minden, Louisiana.

DESCRIPTION OF SERVICES/TASKS

The purpose of this Contract is for the Contractor to provide:

Maintenance Management, Preventative Maintenance Services, and Emergency Repairs for Camp Minden military and commercial/ industrial tenants relative to utility systems infrastructure, which may include but are not limited to:

- a. Water treatment (including water wells) and water distribution system.
- b. Sanitary sewerage/ industrial wastewater treatment systems and wastewater collection system.
- c. Telephone system, a traditional landline telephone system to include: landline, distribution, switching etc. for reliable communications. Be able to trouble shoot the external telephone lines that are utilized by the State and the Tenants that reside on Camp Minden.
- d. Camp Minden has transferred the natural gas system to the local utility company. The Contractor is only responsible for the portions of the natural gas lines/service, which have not been decommissioned. The Contractor will be required to decommission any remaining gas lines.
- e. The Contractor shall maintain and repair the installations 16 back-up generators to keep it in good operating condition. This shall include testing, oil sampling, and any actions necessary to sustain the power required to operate the supported facility
- f. It shall be the consultants responsibility to provide services with respect to compliance with Camp Minden's parish ordinances, federal, state and local environmental laws, regulations, compliances including, but not limited to: EPA (Environmental Protection Agency), LDEQ (Louisiana Department of Environmental Quality), LDH (Louisiana Department of Health and Hospitals), Safe Drinking Water ACT, United States Clean Air Act, United States Clean Water Act, and OSHA (Occupational Safety and Health Agency), PHMSA (Pipeline and Hazardous Materials Safety Administration).

SCHEDULE REQUIREMENTS

Contractor shall schedule Maintenance Management, Preventive Maintenance Services, and Emergency Repair Services as applicable to successfully perform this contract.

PERFORMANCE MEASURES AND MONITORING PLAN

Performance Measures

The primary performance measure will be in:

- A. Qualified personnel available to manage the infrastructure.
- B. Hours of operation of utilities (i.e. minimum downtime or non-operating times).
- C. Ability to respond to emergency repairs.
- D. Document any demonstrated cost savings / efficiencies.

The performance of the contract will be measured by the Facilities Manager, Camp Minden, authorized on behalf of the State, to evaluate the Contractor's performance against the criteria in the Statement of Work.

The Statement of Work includes the Contractor providing, as a minimum, the Overview, Tasks and Services, Scope of Work Elements, Functional Requirements and Performance Requirements.

MONITORING PLAN

Facilities Manager, Camp Minden will monitor the services provided by the Contractor and the expenditure of funds under this Contract. Facilities Manager, Camp Minden will be primarily responsible for the day-to-day contact with the Contractor and day-to-day monitoring of the Contractor's performance.

The monitoring plan is the following:

The Monitor as a minimum will receive monthly reports and meet quarterly with the contractor to discuss the contractor's performance of this contract. The contractor shall provide a written report documenting any concerns or issues for each quarterly meeting. The written report, at a minimum, shall specifically address and track the Performance Standards. The original report will be submitted to the Monitor for approval and then filed for record keeping.

The Project Coordinator is LTC (Ret.) J. Brad Kendall, Installation Commander.

DELIVERABLES

The Contract will be considered complete when Contractor has delivered and State has accepted all deliverables specified in the Statement of Work. Deliverables are identified in Part II, paragraph 2.3 of the RFP.

CONTRACTOR PERSONNEL AND OTHER RESOURCES

CONTRACTOR RESOURCES

Contractor agrees to provide the following Contract related resources:

- A. *Project Manager.* Contractor shall provide a project manager to provide day-to-day management of project tasks and activities, coordination of Contractor support and administrative activities, and for supervision of Contractor employees. The project manager shall possess the technical and functional skills and knowledge to direct all aspects of the project.
- B. *Key Personnel.* Contractor shall assign staff who possess the knowledge, skills, and abilities to successfully perform assigned tasks. Individuals to be assigned by the Contractor are listed in Attachment III.
- C. *Personnel Changes.* Contractor's Project Manager and other key personnel assigned to this Contract shall not be replaced without the written consent of the State. Such consent shall not be unreasonably withheld or delayed provided an equally qualified replacement is offered. In the event that any State or Contractor personnel become unavailable due to resignation, illness or other factors, excluding assignment to project outside this contract, outside of the State's or Contractor's reasonable control, as the case may be, the State or the Contractor, as the case may be, shall be responsible for providing an equally qualified replacement in time to avoid delays to the work plan.

D. *Other Resources*. Contractor shall provide other resources as specified in Attachment Click here to enter the Attachment identification letter or number.

CONTRACTOR PERSONNEL

The following individuals are assigned to the project, on a full time basis (unless otherwise indicated), and in the capacities set forth below:

Name	Company Responsibilities	Classification Rate	Expected Duration
Wess Robert Machen	Maintenance Mechanic / Lead Water Operator	\$62.10	155.00 Hours
Christopher LaBrie	Maintenance Mechanic	\$53.89	161.60 Hours
Wayne Gerald Francis	Project Manager	\$80.23	158.33 Hours

STATE FURNISHED RESOURCES

The State shall make available to the Contractor for use in fulfillment of this contract those resources described:

The State shall appoint a Project Coordinator for this Contract who will provide oversight of the activities conducted hereunder. Notwithstanding the Contractor's responsibility for management during the performance of this Contract, the assigned Project Coordinator shall be the principal point of contact on behalf of the State and will be the principal point of contact for Contractor concerning Contractor's performance under this Contract.

- A. LMD shall provide unfurnished lighted, room temperature controlled office space (to include internet and telephone access, access to restrooms, breakroom, and vehicle/s parking area) adequate for employee/s to work from during the term of this contract.
- B. LMD shall provide two state vehicles for Contractor's use on Camp Minden. Operators must have a valid State driver's license, be approved by Camp Minden Safety to operate the vehicles. Contractor is required to provide insurance for employees operating state vehicles. The State shall provide fuel for the vehicles. The vehicles will be dedicated for Contractor's use, and the vehicle should remain on Camp Minden unless approved by the Installation Commander for use off of Camp Minden proper.
- C. LMD shall provide all hand tools, equipment, and supplies (military property) as reasonable for minor repair work for Contractor's use in performing the contract.
- D. LMD provided items (office space, vehicle(s), and military property); the Contractor will be required to sign a military hand receipt form to establish accountability, responsibility, for official use purposes, and tracking of items. At termination of service, the items will be inventoried for

accountability taking into consideration normal wear and tear and service life. Contractor shall ensure compliance with insurance requirements.

E. Attachment V: Drawing: Natural Gas Distribution System of the RFP.

F. Attachment VI: Drawing: Waste Water Distribution System of the RFP.

G. Attachment VII: Drawing: Water Distribution System of the RFP.

EXHIBIT A – INSURANCE

Insurance shall be placed with insurers with an A.M. Best's rating of no less than A-: VI. This rating requirement shall be waived for Worker's Compensation coverage only.

Contractor's Insurance: The Contractor shall not commence work under this contract until he has obtained all insurance required herein. Certificates of Insurance, shall be filed with the State of Louisiana for approval prior to commencement of work. The Contractor shall not allow any sub-contractor to commence work on his subcontract until all similar insurance required for the subcontractor has been obtained and approved. In the event of a claim or dispute of a claim, the State reserves the right to request copies of insurance policies. Said policies shall not hereafter be canceled, permitted to expire, or be changed without thirty (30) calendar days' notice in advance to the State of Louisiana and consented to by the State of Louisiana in writing and the policies shall so provide.

Compensation Insurance: Before any work is commenced, the Contractor shall maintain during the life of the contract, Workers' Compensation Insurance for all of the Contractor's employees employed at the site of the project. In case any work is sublet, the Contractor shall require the subcontractor similarly to provide Workers' Compensation Insurance for all the latter's employees, unless such employees are covered by the protection afforded by the Contractor. In case any class of employees engaged in work under the contract at the site of the project is not protected under the Workers' Compensation Statute, the Contractor shall provide for any such employees, and shall further provide or cause any and all subcontractors to provide Employer's Liability Insurance for the protection of such employees not protected by the Workers' Compensation Statute.

Commercial General Liability Insurance: The Contractor shall maintain during the life of the contract such Commercial General Liability Insurance which shall protect him, the State, and any subcontractor during the performance of work covered by the contract from claims or damages for personal injury, including accidental death, as well as for claims for property damages, which may arise from operations under the contract, whether such operations be by himself or by a subcontractor, or by anyone directly or indirectly employed by either of them, or in such a manner as to impose liability to the State. Such insurance shall name the State as additional insured for claims arising from or as the result of the operations of the Contractor or the State is subcontractors. In the absence of specific regulations, the amount of coverage shall be as follows: Commercial General Liability Insurance, including bodily injury, property damage and contractual liability, with combined single limits of \$1,000,000.

Licensed and Non-Licensed Motor Vehicles: The Contractor shall maintain during the life of the contract, Automobile Liability Insurance in an amount not less than combined single limits of \$1,000,000 per occurrence for bodily injury/property damage. Such insurance shall cover the use of any non-licensed motor vehicles engaged in operations within the terms of the contract on the site of the work to be performed there under, unless such coverage is included in insurance elsewhere specified.

Subcontractor's Insurance: The Contractor shall require that any and all subcontractors, which are not protected under the Contractor's own insurance policies, take and maintain insurance of the same nature and in the same amounts as required of the Contractor.

APTIM ENVIRONMENTAL & INFRASTRUCTURE, LLC

**CERTIFICATE OF THE CORPORATE ASSISTANT SECRETARY
OF APTIM ENVIRONMENTAL & INFRASTRUCTURE, LLC**

CORPORATE RESOLUTION

I, **Todd Kindler**, do hereby declare and certify that I am duly elected, qualified and acting Assistant Secretary of Aptim Environmental & Infrastructure, LLC, (the “Company”), a corporation duly organized and validly existing under the laws of the State of Louisiana, and that in such capacity, I do hereby declare and certify the following:

In accordance with the authority granted by the Company’s Managing Member and its governing documents (and associated approved delegations thereof), **RICK FAIRCLOTH, Vice President**, has the authority to and is empowered to act for and on behalf of the Company in executing in the name of the Company, any and all types of proposals, bids, contracts, agreements, documents and instruments of whatever nature or kind necessary relating to the **State of Louisiana, Louisiana Military Department, Contract # LA 19-A-016**.

IN WITNESS WHEREOF, I have herewith signed my name and affixed the seal of Aptim Environmental & Infrastructure, LLC on this 22nd day of March, 2021.

APTIM ENVIRONMENTAL & INFRASTRUCTURE, LLC

By: _____

Todd Kindler
Assistant Secretary

Corporate Seal:



ACTION BY WRITTEN CONSENT
OF
THE MANAGING MEMBER
OF
APTIM ENVIRONMENTAL & INFRASTRUCTURE, LLC

Pursuant to the Louisiana Limited Liability Company Act, the undersigned, being the managing member (the “*Managing Member*”) of Aptim Environmental & Infrastructure, LLC, a Louisiana limited liability company (the “*Company*”), does hereby authorize, consent to, and approve the adoption of the following resolutions, as of the 14th day of January, 2020, and does adopt such resolutions with the same force and effect as if approved and adopted at a duly convened meeting of the Managing Member.

Removal of Officers of the Company

WHEREAS, Tyson Hackenberg serves as Vice President and Eric Barrilleaux serves as Assistant Secretary of the Company (collectively, the “*Outgoing Officers*”); and

WHEREAS, the Managing Member deems it advisable and in the best interests of the Company to remove the Outgoing Officers from the offices they currently hold and to which they were previously appointed and elected to hold.

NOW, THEREFORE, BE IT:

RESOLVED, that the Outgoing Officers be, and are hereby removed from the offices of the Company they currently hold and to which he was previously appointed and elected to hold.

Appointment of Officers of the Company

WHEREAS, the Managing Member deems it advisable and in the best interests of the Company to fill the vacant offices of the Company.

RESOLVED, that Rick Faircloth be, and hereby is, appointed and elected as Vice President of the Company, to serve in accordance with the Operating Agreement of the Company, if any, and at the discretion of the Managing Member.

General

RESOLVED, that, without in any way limiting the authority hereby granted, that any officer of the Company (an “**Authorized Person**”) be, and hereby is, authorized, empowered, and directed to take any and all actions and steps, to pay all such costs, expenses and charges, and to prepare, execute, deliver and file all such notices, reports, schedules, statements, consents, receipts, officers’ certificates and such other documents, instruments and agreements as may be necessary, advisable, convenient or proper in the name of the Company and on behalf of the Company as such Authorized Person, in his or her reasonable discretion, shall determine to be necessary, appropriate or advisable to carry out the foregoing resolutions and the intent thereof and the transactions contemplated therein, such determination to be conclusively evidenced by the taking of such actions and steps or the execution and delivery of such officers’ certificates, documents, and instruments;

FURTHER RESOLVED, that any person dealing with any Authorized Person of the Company in connection with any of the foregoing matters shall be conclusively entitled to rely upon the authority of such Authorized Person and by his or her execution of any document, agreement or instrument, the same shall be a valid and binding obligation of the Company enforceable in accordance with its terms;

FURTHER RESOLVED, that any and all actions heretofore or hereafter taken in the name and on behalf of the Company by any Authorized Person, agent or counsel of the Company in connection with or related to the matters set forth in or contemplated by the foregoing resolutions, be and they hereby are, adopted, approved and ratified in all respects as the act and deed of the Company; and

FURTHER RESOLVED, that this Action by Written Consent may be executed in any number of counterparts, and in separate counterparts, and by facsimile, .pdf or other similar electronic transmission, each of which shall be an original document and all of which together shall constitute a single consent.

IN WITNESS WHEREOF, the undersigned, being the managing member of Aptim Environmental & Infrastructure, LLC has executed this Action by Written Consent as of the date first written above.

MANAGING MEMBER:

APTIM GOVERNMENT SOLUTIONS, LLC

By: _____

Name: Wade Bass

Title: Secretary

ACTION BY WRITTEN CONSENT
OF
THE MANAGING MEMBER
OF
APTIM ENVIRONMENTAL & INFRASTRUCTURE, LLC

Pursuant to the Louisiana Limited Liability Company Act, the undersigned, being the managing member (the “**Managing Member**”) of Aptim Environmental & Infrastructure, LLC, a Louisiana limited liability company (the “**Company**”), does hereby authorize, consent to, and approve the adoption of the following resolutions, as of the 29th day of November, 2019, and does adopt such resolutions with the same force and effect as if approved and adopted at a duly convened meeting of the Managing Member.

Removal of Officers of the Company

WHEREAS, Joshua DeCuir serves as Assistant Secretary, Stephen Martin serves as Vice President, and Jeffrey Pena serves as Vice President limited to licensure purposes as resident engineer in the State of Florida, of the Company (collectively, the “**Outgoing Officers**”); and

WHEREAS, the Managing Member deems it advisable and in the best interests of the Company to remove the Outgoing Officers from the offices they currently hold and to which they were previously appointed and elected to hold.

NOW, THEREFORE, BE IT:

RESOLVED, that the Outgoing Officers be, and are hereby removed from the offices of the Company they currently hold and to which he was previously appointed and elected to hold.

Appointment of Officers of the Company

WHEREAS, the Managing Member deems it advisable and in the best interests of the Company to fill the vacant offices of the Company.

RESOLVED, that Benjamin Butterfield, Eric Barrilleaux and Todd Kindler be, and hereby are, each appointed and elected as Assistant Secretary, of the Company, to serve in accordance with the Operating Agreement of the Company, if any, and at the discretion of the Managing Member.

General

RESOLVED, that, without in any way limiting the authority hereby granted, that any officer of the Company (an “**Authorized Person**”) be, and hereby is, authorized, empowered, and directed to take any and all actions and steps, to pay all such costs, expenses and charges, and to prepare, execute, deliver and file all such notices, reports, schedules, statements, consents, receipts, officers’ certificates and such other documents, instruments and agreements as may be necessary, advisable, convenient or proper in the name of the Company and on behalf of the Company as such Authorized Person, in his or her reasonable discretion, shall determine to be necessary, appropriate or advisable to carry out the foregoing resolutions and the intent thereof and the transactions contemplated therein, such determination to be conclusively evidenced by the taking of such actions and steps or the execution and delivery of such officers’ certificates, documents, and instruments;

FURTHER RESOLVED, that any person dealing with any Authorized Person of the Company in connection with any of the foregoing matters shall be conclusively entitled to rely upon the authority of such Authorized Person and by his or her execution of any document, agreement or instrument, the same shall be a valid and binding obligation of the Company enforceable in accordance with its terms;

FURTHER RESOLVED, that any and all actions heretofore or hereafter taken in the name and on behalf of the Company by any Authorized Person, agent or counsel of the Company in connection with or related to the matters set forth in or contemplated by the foregoing resolutions, be and they hereby are, adopted, approved and ratified in all respects as the act and deed of the Company; and

FURTHER RESOLVED, that this Action by Written Consent may be executed in any number of counterparts, and in separate counterparts, and by facsimile, .pdf or other similar electronic transmission, each of which shall be an original document and all of which together shall constitute a single consent.

IN WITNESS WHEREOF, the undersigned, being the managing member of Aptim Environmental & Infrastructure, LLC has executed this Action by Written Consent as of the date first written above.

MANAGING MEMBER:

APTIM GOVERNMENT SOLUTIONS, LLC

By: 
Name: Benjamin Butterfield
Title: Assistant Secretary

COVID 19 FEDERAL FUNDING - Preamble Section 2B

NOTE: The items reported are only those federally funded that currently flow through the State Budget.

DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
01A_EXEC	01_107	DOA	Increases budget authority associated with the Governor's Emergency Education Relief Fund in response to the COVID-19 pandemic.	\$0	\$0	\$47,564,076	\$47,564,076
01A_EXEC	01_111	Homeland Security	Federal Funds budget authority in the Administrative Program from the coronavirus relief fund in the CARES Act and other federal funds related to the pandemic.	\$0	\$0	\$544,013,187	\$544,013,187
01A_EXEC	01_111	Homeland Security	Statutory Dedications in the Coronavirus Local Recovery Allocation Fund for payments to local governments for eligible expenses related to COVID-19 response efforts.	\$0	\$432,651,310	\$0	\$432,651,310
01A_EXEC	01_111	Homeland Security	Federal Funds budget authority in the Administrative Program to administer the Federal Emergency Rental Assistance Program (ERAP) grant funding from the U.S. Department of Treasury.	\$0	\$0	\$74,000,000	\$74,000,000
01A_EXEC	01_116	LA Defender Board	Provide funding from the Coronavirus Emergency Supplemental Funds (CESF) from the Louisiana Commission on Law Enforcement to purchase PPE supplies for the public defender district offices.	\$491,862	\$0	\$0	\$491,862
01A_EXEC	01_129	LCLE	Provides Federal Funds budget authority due to the CARES Act allocation to the U.S. Department of Justice, Bureau of Justice Assistance, to support criminal justice needs related to coronavirus.	\$0	\$0	\$5,000,000	\$5,000,000
01A_EXEC	01_133	Elderly Affairs	Increases federal authority associated with the congregate meals and delivered meals to the elderly population due to resources awarded within the CARES Act.	\$0	\$0	\$11,375,000	\$11,375,000
01A_EXEC			Department Total:	\$491,862	\$432,651,310	\$681,952,263	\$1,115,095,435

04A_SOS	04_139	Secretary of State	Increases Statutory Dedications in the Help Louisiana Vote Fund for Covid-19 related expenditures for the 2020 federal election cycle. The original source of funding is federal through the Coronavirus Aid, Relief, and Economic Security (CARES) Act. The funding is distributed by the U.S. Election Assistance Commission (EAC) to provide states with additional resources to protect the 2020 elections from the effects of Covid-19.	\$0	\$4,937,116	\$0	\$4,937,116
04A_SOS			Department Total:	\$0	\$4,937,116	\$0	\$4,937,116

04D_TREA	04_147	State Treasurer	Increase from the Louisiana Main Street Recovery Fund to the Administrative Program to carry out the provisions of Act 311 of the 2020 Regular Session of the Legislature. According to Act 311 the Treasurer will receive up to 5% from the fund to administer the Louisiana Main Street Recovery Program.	\$0	\$12,673,422	\$0	\$12,673,422
04D_TREA			Department Total:	\$0	\$12,673,422	\$0	\$12,673,422

06_CRT	06_267	Office of Tourism	Increase in Federal Funds made available through the CARES Act. The Office of Tourism applied for and received a \$360,000 grant from the U.S. Department of Commerce - Economic Development Administration to conduct a two-year strategic rebranding project. These funds will provide an opportunity to update and rebrand existing Louisiana Birding Trails. The Office of Tourism plans to spend \$50,000 of the grant in FY 21.	\$0	\$0	\$50,000	\$50,000
				\$0	\$0	\$50,000	\$50,000

07A_DOTD	07-276	Engineering and Operations	Increase in Federal Funds from the U.S. Department of Transportation's- Federal Transit Administration (FTA). DOTD was awarded CARES Act funding under the FTA Non-urbanized grant program for non-urbanized transit providers. The funding will be used to assist rural public transit providers and intercity bus providers with operating expenses to prevent, prepare, and respond to the COVID-19 pandemic.	\$0	\$0	\$14,979,370	\$14,979,370
07A_DOTD			Department Total:	\$0	\$0	\$14,979,370	\$14,979,370

COVID 19 FEDERAL FUNDING - Preamble Section 2B

NOTE: The items reported are only those federally funded that currently flow through the State Budget.

DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
08A_CORR	08_400	Corrections-Admin	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$14,217,473	\$0	\$0	\$14,217,473
08A_CORR	08_402	La State Pen	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$60,569,432	\$0	\$0	\$60,569,432
08A_CORR	08_405	Avoyelles Corr Ctr	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$13,448,178	\$0	\$0	\$13,448,178
08A_CORR	08_406	La Corr Inst Women	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$9,757,397	\$0	\$0	\$9,757,397
08A_CORR	08_408	Allen Corr Ctr	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$5,625,054	\$0	\$0	\$5,625,054
08A_CORR	08_409	Dixon Corr Inst	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$18,149,103	\$0	\$0	\$18,149,103
08A_CORR	08_413	Hunt Corr Ctr	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$23,295,937	\$0	\$0	\$23,295,937
08A_CORR	08_414	Wade Corr Ctr	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$11,556,216	\$0	\$0	\$11,556,216
08A_CORR	08_415	Adult Prob/Parole	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$32,031,959	\$0	\$0	\$32,031,959
08A_CORR	08_416	B.B. "Sixty" Corr	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$11,460,140	\$0	\$0	\$11,460,140
08A_CORR			Department Total:	\$200,110,889	\$0	\$0	\$200,110,889
08C_YSER	08_403	Juvenile Justice	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal services expenditures related to COVID-19.	\$35,923,198	\$0	\$0	\$35,923,198
08C_YSER			Department Total:	\$35,923,198	\$0	\$0	\$35,923,198

COVID 19 FEDERAL FUNDING - Preamble Section 2B

NOTE: The items reported are only those federally funded that currently flow through the State Budget.

DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
09A_LDH	09_300	Jeff Par Hum Serv	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$3,702,872	\$0	\$0	\$3,702,872
09A_LDH	09_301	Flor Par Hum Serv	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$4,006,408	\$0	\$0	\$4,006,408
09A_LDH	09_302	Cap Area Hum Serv	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$5,333,461	\$0	\$0	\$5,333,461
09A_LDH	09_304	Metro Hum Serv	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$2,989,844	\$0	\$0	\$2,989,844
09A_LDH	09_306	Med Vendor Pymnts	Enhanced FMAP rate due to the COVID-19 pandemic (through September 30, 2020). The corresponding state match was reduced in Act 1 of the 1st Extraordinary Session.	\$0	\$0	\$112,015,442	\$112,015,442
09A_LDH	09_306	Med Vendor Pymnts	Enhanced FMAP rate due to the COVID-19 pandemic (through December 31, 2020) (The corresponding state match was reduced in Act 45 - HB39).	\$0	\$0	\$77,278,698	\$77,278,698
09A_LDH	09_306	Med Vendor Pymnts	Enhanced FMAP rate due to the COVID-19 pandemic (through December 31, 2020) (The corresponding state match was reduced in Act 45 - HB39).	\$0	\$0	\$50,000,000	\$50,000,000
09A_LDH	09_307	Office of Sec	Hospital Preparedness Grant for Emergency Support Function 8 (ESF8) portal enhancements due to COVID 19.	\$0	\$0	\$573,918	\$573,918
09A_LDH	09_307	Office of Sec	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$5,647,690	\$0	\$0	\$5,647,690
09A_LDH	09_309	SCLHSA	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$4,121,456	\$0	\$0	\$4,121,456
09A_LDH	09_310	NEDHSA	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$2,483,302	\$0	\$0	\$2,483,302
09A_LDH	09_320	AgingandAdultServ.	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$2,512,949	\$0	\$0	\$2,512,949
09A_LDH	09_324	LA Emrg Rsp Ntwk	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$376,480	\$0	\$0	\$376,480
09A_LDH	09_325	Acadiana Area HSD	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$3,227,127	\$0	\$0	\$3,227,127

COVID 19 FEDERAL FUNDING - Preamble Section 2B

NOTE: The items reported are only those federally funded that currently flow through the State Budget.

DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
09A_LDH	09_326	Public Health	Increases Federal Funding to respond to Covid-19 using funds received from U.S. Centers for Disease Control and Prevention (Epidemiology and Laboratory Capacity Grant and Public Health Crisis Response Grant) and U.S. Department of Housing and Urban Development (Housing Opportunities for Persons with Aids Grant) through the CARES Act. Funds will address early crises response and surge management, financial assistance to rural hospitals to respond to Covid-19, prevention and control of Covid-19 in Healthcare settings and the protection of high risk individuals, and to support HIV infected individuals who may have been affected by Covid-19. CARES Act funding will be used to increase the development, procurement, administration, and analyzation of Covid-19 tests. Funds will be also used to support the public health workforce, epidemiological efforts, and scale up testing for entities already engaged in Covid-19 testing. Funds will be utilized for Covid-19 surveillance and contact tracing that increased testing will require.	\$0	\$0	\$173,692,119	\$173,692,119
09A_LDH	09_326	Public Health	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$18,602,754	\$0	\$0	\$18,602,754
09A_LDH	09_330	Office of Behavioral Health	Increase in Federal funds from U.S. Department of Health and Human Services - Substance Abuse and Mental Health Services Administration (SAMHSA) grant for activities directly related to Louisiana's response to the Coronavirus (COVID-19) pandemic.	\$0	\$0	\$9,356,522	\$9,356,522
09A_LDH	09_330	Office of Behavioral Health	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to the Crisis Counseling Program (COVID-19 related).	\$1,188,132	\$0	\$0	\$1,188,132
09A_LDH	09_330	Office of Behavioral Health	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$60,252,863	\$0	\$0	\$60,252,863
09A_LDH	09_340	Citizens w/Dev Dis	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$2,594,635	\$0	\$0	\$2,594,635
09A_LDH	09_375	ICHSA	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$1,675,376	\$0	\$0	\$1,675,376
09A_LDH	09_376	CLHSD	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$1,975,593	\$0	\$0	\$1,975,593
09A_LDH	09_377	NWLHSD	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$1,390,358	\$0	\$0	\$1,390,358
09A_LDH	09-306	Med Vendor Pymnts	NOTE: There is increased Medicaid Managed Care activity due to increased enrollment resulting from the COVID-19 pandemic and due to restrictions related to enrollment qualifications and dis-enrollment criteria. There is also a projected increase in COVID-19 related expenditures in the Fee for Service activity from July 20, 2020 - June 30, 2021. The sum of these two items is resulting in a projected increase of approximately \$1.04 billion in federal funding. Of this amount, approximately \$693 million is already built into Act 1 of 1ES of 2020 for FY 21. The public health emergency was recently extended to June 30, 2021.				
09A_LDH			Department Total:	\$122,081,300	\$0	\$422,916,699	\$544,997,999

COVID 19 FEDERAL FUNDING - Preamble Section 2B

NOTE: The items reported are only those federally funded that currently flow through the State Budget.

DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
14A_LWC	14_474	Workforce Support and Training	Payments for eligible reimbursements from the Coronavirus Aid, Relief, and Economic Security (CARES) Act to provide unemployment benefits payment processing, employment and training services, and dislocated worker services for adults, youth, and businesses due to increased demand caused by the COVID-19 pandemic.	\$0	\$0	\$43,528,536	\$43,528,536
14A_LWC			Department Total:	\$0	\$0	\$43,528,536	\$43,528,536

19A_HIED	19A_671	Board of Regents	Funding from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) to be used for the Louisiana Library Network (LOUIS) in the procurement of library technology and resources providing additional on-line teaching tools/resources for instructors/professors, and support of nurse capitation programs in response to the public health crisis.	\$3,250,000	\$0	\$0	\$3,250,000
19A_HIED	19A_671	Board of Regents	NOTE: State public institutions of Higher Education received approximately \$147.1M from the CARES Act, of which \$73.6M is allocated to students. Also, various state public institutions collected a total of \$39.5M as part of the Minority Serving Institutions Portion of CARES Act. These amounts were obtained directly, and are not on budget nor part of this report.				
19A_HIED			Department Total:	\$3,250,000	\$0	\$0	\$3,250,000

19B_OTED	19B_653	Deaf and Visually	Increases Interagency Transfers budget authority for eligible reimbursements to the Louisiana School for the Deaf and Visually Impaired due to funding awards from the Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020. These funds are provided through the U. S. Department of Education, Elementary and Secondary School Emergency Relief Fund (ESSER) via the Louisiana Department of Education Subgrantee Assistance Program.	\$66,146	\$0	\$0	\$66,146
19B_OTED			Department Total:	\$66,146	\$0	\$0	\$66,146

19D_LDOE	19D_678	State Activities	Purchase of computer devices to be distributed to local school districts for distance learning. Funded from the state's allocation of the Coronavirus Relief Fund. Reallocation of CRF resources by the JLCB at the December 2020 Meeting.	\$0	\$7,999,866	\$0	\$7,999,866
19D_LDOE	19D_681	Federal Support	Increases federal budget authority for eligible reimbursements to the Local Education Agencies due to funding awards from the Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020. These funds are provided through the U.S. Department of Education, Elementary and Secondary School Emergency Relief Fund (ESSER).	\$0	\$0	\$241,667,516	\$241,667,516
19D_LDOE	19D_681	Federal Support	Increases federal budget authority for funding awards for child nutrition from the Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020. These funds are provided through the U.S. Department of Agriculture, Food and Nutrition Service (FNS) agency.	\$0	\$0	\$32,930,539	\$32,930,539
19D_LDOE	19D_681	Federal Support	Increases federal budget authority for funding awards to early childhood centers from the Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020. These funds are provided through the U.S. Department of Health and Human Services, Child Care and Development Fund (CCDF).	\$0	\$0	\$27,632,350	\$27,632,350

COVID 19 FEDERAL FUNDING - Preamble Section 2B

NOTE: The items reported are only those federally funded that currently flow through the State Budget.

DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
19D_LDOE	19D_681	Non-Federal Support	Increases Interagency Transfers (IAT) budget authority to allow the agency to receive funds from the Governor's Emergency Education Relief Fund (GEER) from the Division of Administration for the purpose of providing local educational agencies (LEAs) with emergency assistance as a result of COVID-19.	\$32,328,003	\$0	\$0	\$32,328,003
19D_LDOE			Department Total:	\$32,328,003	\$7,999,866	\$302,230,405	\$342,558,274

20A_OREQ	20_451	Housing StOffender	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for expenses related to COVID-19.	\$65,817,885	\$0	\$0	\$65,817,885
20A_OREQ	20_941	Agri & Forest Pass	Additional funding for The Emergency Food Assistance Program (TEFAP) provided through the USDA in accordance with the CARES Act. Agriculture will pass this money through to 5 regional food banks in the state in order to provide USDA food commodities to people throughout the state in need of assistance.	\$0	\$0	\$14,000,000	\$14,000,000
20A_OREQ	20_945	State Aid to Local	Increase in Statutory Dedications out of the Critical Infrastructure Workers Hazard Pay Rebate Fund totaling \$50M used for one-time hazard pay rebates for essential critical infrastructure workers as part of Act 12 of the 2020 First Extraordinary Session. Pursuant to action by the JLCB at the December 2020 meeting, \$11.1M was reallocated to other eligible CRF expenditures via the State Coronavirus Relief Fund.	\$0	\$38,900,000	\$0	\$38,900,000
20A_OREQ	20_945	State Aid to Local	Increase in Statutory Dedications out of the Louisiana Main Street Recovery Fund for grants to provide economic support to eligible Louisiana businesses for costs incurred in connection with COVID-19.	\$0	\$262,326,578	\$0	\$262,326,578
20A_OREQ	20_XXX	Funds	Federal Coronavirus Relief Funds to the Clearing Account of the Unemployment Compensation Fund (Act 45 - HB 39)	\$0	\$0	\$85,000,000	\$85,000,000
20A_OREQ	20_XXX	Funds	\$5M of Federal Coronavirus Relief Funds allocated to the Coronavirus Local Recovery Allocation Fund (Act 45 - HB39). At the December 2020 JLCB meeting, these resources were reallocated by the committee to the State Coronavirus Relief Fund.	\$0	\$0	\$0	\$0
20A_OREQ	20_XXX	Funds	Per action of the JLCB at the December Meeting, remaining resources from the Federal Coronavirus Relief Fund to transferred to the Clearing Account of the Unemployment Compensation Fund.	\$0	\$0	\$8,646,183	\$8,646,183
20A_OREQ			Department Total:	\$65,817,885	\$301,226,578	\$107,646,183	\$474,690,646

21A_ANCIL	21_815	OTS	Purchase of computer devices to be distributed to local school districts for distance learning.	\$7,999,866	\$0	\$0	\$7,999,866
21_ANCIL				\$7,999,866	\$0	\$0	\$7,999,866

23A_JUDI	23_949	La Judiciary	Increase in Interagency Transfers from GOHSEP for COVID-19 related expenditures.	\$1,659,515	\$0	\$0	\$1,659,515
23A_JUDI			Department Total:	\$1,659,515	\$0	\$0	\$1,659,515

24A_LEGI	24_954	Legislative Auditor	Increase in Interagency Transfers from the Department of Treasury for work related to the Louisiana Main Street Recovery Program. Increase in the Coronavirus Local Recovery Allocation Fund for work related to the Coronavirus Local Recovery Allocation Program. These programs and the allocations to the Legislative Auditor are established by Act 311 of the 2020 Regular Session.	\$2,500,000	\$271,501	\$0	\$2,771,501
24A_LEGI	24_960	Legis Budget Control	Increase in Interagency Transfers from GOHSEP for COVID-19 related expenditures.	\$6,223,984	\$0	\$0	\$6,223,984
24A_LEGI			Department Total:	\$8,723,984	\$271,501	\$0	\$8,995,485

			Total (Inclusive of Double Counts)	\$478,452,648	\$759,759,793	\$1,573,303,456	\$2,811,515,897
--	--	--	--	----------------------	----------------------	------------------------	------------------------

COVID 19 FEDERAL FUNDING - Preamble Section 2B							
<i>NOTE: The items reported are only those federally funded that currently flow through the State Budget.</i>							
DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
			Total (Exclusive of Double Counts)	\$0	\$754,759,793	\$1,573,303,456	\$2,328,063,249

Agy	Agency Name	Expenditure Category	09 Accounting Period March	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
100	EXECUTIVE OFFICE	SALARIES	408,634	Regular	4,131,980	5,311,871	1,179,891	77.79%
		RELATED BENEFITS	225,192	Regular	2,155,738	2,878,183	722,445	74.90%
		OTHER COMPENSATION	12,387	Regular	120,611	170,100	49,489	70.91%
		TRAVEL & TRAINING	233	Regular	1,007	65,239	64,232	1.54%
		OPERATING SERVICES	19,156	Regular	138,205	225,984	87,779	61.16%
		SUPPLIES	26,796	Regular	187,101	374,800	187,699	49.92%
		PROFESSIONAL SERVICES	23,994	Regular	214,355	530,008	315,653	40.44%
		OTHER CHARGES	208,709	Regular	1,781,328	3,521,842	1,740,514	50.58%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	10,625	Regular	541,352	591,868	50,516	91.47%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		5,294	4,761	(533)	111.20%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
100		Sum:	\$935,725		9,276,970	\$13,674,656	4,397,686	67.84%
101	OFFICE OF INDIAN AFFAIRS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	2,500	Regular	46,474	134,804	88,330	34.48%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	(1,000)		5,500	12,158	6,658	45.24%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
101		Sum:	\$1,500		51,974	\$146,962	94,988	35.37%
102	OFFICE OF INSPECTOR GENERAL	SALARIES	74,663	Regular	822,417	1,214,673	392,256	67.71%
		RELATED BENEFITS	42,815	Regular	436,338	692,214	255,876	63.04%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		225	5,264	5,039	4.27%
		OPERATING SERVICES	809	Regular	11,736	25,112	13,376	46.73%
		SUPPLIES	(3,941)		8,456	14,984	6,528	56.44%
		PROFESSIONAL SERVICES	0		0	2,500	2,500	0.00%
		OTHER CHARGES	0		0	3,866	3,866	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	5,182	Regular	275,853	323,822	47,969	85.19%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	5,433	Regular	5,433	6,000	567	90.54%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
102		Sum:	\$124,960		1,560,458	\$2,288,435	727,977	68.19%
103	MENTAL HEALTH ADVOCACY	SALARIES	187,035	Regular	1,870,263	2,587,612	717,349	72.28%
		RELATED BENEFITS	103,941	Regular	996,180	1,457,456	461,276	68.35%
		OTHER COMPENSATION	5,839	Regular	64,353	146,045	81,692	44.06%
		TRAVEL & TRAINING	911	Regular	9,053	101,919	92,866	8.88%
		OPERATING SERVICES	6,734	Regular	68,964	110,009	41,045	62.69%
		SUPPLIES	888	Regular	4,628	19,662	15,034	23.54%
		PROFESSIONAL SERVICES	170	Regular	355	29,506	29,151	1.20%
		OTHER CHARGES	0		154,461	970,000	815,539	15.92%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	6,280	Regular	420,989	501,010	80,021	84.03%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		999	3,000	2,001	33.29%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
103		Sum:	\$311,799		3,590,245	\$5,926,219	2,335,974	60.58%
106	LOUISIANA TAX COMMISSION	SALARIES	156,489	Regular	1,692,257	2,294,833	602,576	73.74%
		RELATED BENEFITS	103,551	Regular	1,010,275	1,515,905	505,630	66.65%
		OTHER COMPENSATION	0		(2,027)	0	2,027	0.00%
		TRAVEL & TRAINING	4,898	Regular	49,054	139,500	90,446	35.16%

		OPERATING SERVICES	1,208	Regular	32,357	137,430	105,073	23.54%
		SUPPLIES	625	Regular	6,641	20,000	13,359	33.20%
		PROFESSIONAL SERVICES	38,804	Regular	203,904	345,000	141,096	59.10%
		OTHER CHARGES	0		0	50,000	50,000	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,825	Regular	196,560	313,240	116,680	62.75%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
106		Sum:	\$307,398		3,189,022	\$4,815,908	1,626,886	66.22%
107	DIVISION OF ADMINISTRATIO	SALARIES	2,383,485	Regular	24,021,194	32,621,407	8,600,213	73.64%
		RELATED BENEFITS	1,548,783	Regular	14,390,595	20,169,594	5,778,999	71.35%
		OTHER COMPENSATION	50,183	Regular	618,717	870,879	252,162	71.05%
		TRAVEL & TRAINING	2,671	Regular	21,094	164,635	143,541	12.81%
		OPERATING SERVICES	1,301,239	Regular	10,179,137	15,877,182	5,698,045	64.11%
		SUPPLIES	45,417	Regular	505,845	1,130,441	624,596	44.75%
		PROFESSIONAL SERVICES	80,802	Regular	158,798	824,157	665,359	19.27%
		OTHER CHARGES	21,432,690	Regular	160,076,389	656,527,343	496,450,954	24.38%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	2,708,597	Regular	25,906,302	40,741,617	14,835,315	63.59%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	66,077	Regular	106,030	328,526	222,496	32.27%
		AUXILIARY PROGRAM	145,090	Regular	1,750,580	27,168,887	25,418,307	6.44%
		UNALLOTTED	0		0	0	0	0.00%
107		Sum:	\$29,765,035		237,734,682	\$796,424,668	558,689,986	29.85%
109	COASTAL PROCT AND RESTOR	SALARIES	1,043,188	Regular	10,433,571	14,933,506	4,499,935	69.87%
		RELATED BENEFITS	590,691	Regular	5,415,359	7,765,539	2,350,180	69.74%
		OTHER COMPENSATION	34,061	Regular	206,219	303,307	97,088	67.99%
		TRAVEL & TRAINING	3,057	Regular	8,670	122,520	113,850	7.08%
		OPERATING SERVICES	158,189	Regular	1,507,212	1,868,012	360,800	80.69%
		SUPPLIES	3,615	Regular	62,887	210,185	147,298	29.92%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,818,906	Regular	16,863,080	95,381,734	78,518,654	17.68%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,479,578	Regular	8,773,967	27,536,609	18,762,642	31.86%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		117,687	438,687	321,000	26.83%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
109		Sum:	\$5,131,285		43,388,653	\$148,560,099	105,171,446	29.21%
111	HOMELAND SECURITY & EME	SALARIES	276,979	Regular	3,195,951	4,144,938	948,987	77.10%
		RELATED BENEFITS	147,848	Regular	1,408,217	1,995,394	587,177	70.57%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	166	Regular	2,600	5,000	2,400	52.01%
		OPERATING SERVICES	(875)		0	0	0	0.00%
		SUPPLIES	375	Regular	13,316	199,430	186,114	6.68%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	79,050,241	Regular	1,574,523,207	2,008,925,802	434,402,595	78.38%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	11,695	Regular	5,866,725	5,976,163	109,438	98.17%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
111		Sum:	\$79,486,431		1,585,010,017	\$2,021,246,727	436,236,710	78.42%
112	DEPT OF MILITARY AFFAIRS	SALARIES	2,603,705	Regular	27,051,694	39,154,066	12,102,372	69.09%
		RELATED BENEFITS	1,238,685	Regular	12,380,234	18,137,183	5,756,949	68.26%
		OTHER COMPENSATION	126,360	Regular	1,192,357	1,871,419	679,062	63.71%
		TRAVEL & TRAINING	35,664	Regular	295,443	1,410,447	1,115,004	20.95%
		OPERATING SERVICES	2,041,362	Regular	20,683,281	32,555,191	11,871,910	63.53%
		SUPPLIES	874,504	Regular	4,783,623	9,898,845	5,115,222	48.33%
		PROFESSIONAL SERVICES	243,904	Regular	1,949,659	7,280,484	5,330,825	26.78%
		OTHER CHARGES	1,994,902	Regular	23,503,893	30,024,494	6,520,601	78.28%
		DEBT SERVICES	0		1,189,040	2,378,080	1,189,040	50.00%
		IAT	962,432	Regular	4,125,726	5,435,379	1,309,653	75.91%
		MAJOR REPAIRS	142,390	Regular	1,962,666	6,164,073	4,201,407	31.84%
		CAPITAL OUTLAY	256,806	Regular	2,693,410	5,056,268	2,362,858	53.27%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
112		Sum:	\$10,520,714		101,811,028	\$159,365,929	57,554,901	63.89%
116	LOUISIANA PUBLIC DEFENDER	SALARIES	103,906	Regular	937,250	1,433,853	496,603	65.37%
		RELATED BENEFITS	55,435	Regular	475,389	787,634	312,245	60.36%
		OTHER COMPENSATION	2,773	Regular	27,639	151,779	124,140	18.21%
		TRAVEL & TRAINING	0		585	43,000	42,415	1.36%
		OPERATING SERVICES	2,111	Regular	116,544	193,003	76,459	60.38%
		SUPPLIES	365	Regular	115,664	187,267	71,603	61.76%
		PROFESSIONAL SERVICES	17,861	Regular	173,828	400,334	226,506	43.42%
		OTHER CHARGES	917,038	Regular	37,756,743	48,199,095	10,442,352	78.33%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	66	Regular	178,888	220,086	41,198	81.28%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	165	Regular	356,930	357,737	807	99.77%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
116		Sum:	\$1,099,720		40,139,460	\$51,973,788	11,834,328	77.23%
124	LA STADIUM & EXPOSITION D	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	25,946,390	25,946,390	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	41,426,816	41,426,816	0.00%
		DEBT SERVICES	0		0	23,441,118	23,441,118	0.00%
		IAT	0		0	4,717,217	4,717,217	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
124		Sum:	\$0		0	\$95,531,541	95,531,541	0.00%
129	LA COMM LAW ENFORCE ADM	SALARIES	199,496	Regular	1,941,081	2,845,179	904,098	68.22%
		RELATED BENEFITS	145,054	Regular	1,209,139	1,763,545	554,406	68.56%
		OTHER COMPENSATION	10,995	Regular	174,727	162,423	(12,304)	107.58%
		TRAVEL & TRAINING	1,128	Regular	9,068	182,700	173,632	4.96%
		OPERATING SERVICES	6,640	Regular	250,370	454,919	204,549	55.04%
		SUPPLIES	4,588	Regular	26,291	105,163	78,872	25.00%
		PROFESSIONAL SERVICES	78,473	Regular	598,747	2,415,698	1,816,951	24.79%
		OTHER CHARGES	3,506,752	Regular	31,148,802	59,360,563	28,211,761	52.47%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	195,987	Regular	1,829,407	1,963,994	134,587	93.15%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		46,947	200,885	153,938	23.37%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
129		Sum:	\$4,149,113		37,234,580	\$69,455,069	32,220,489	53.61%
130	DEPT OF VETERANS AFFAIRS	SALARIES	408,418	Regular	4,122,162	5,548,658	1,426,496	74.29%
		RELATED BENEFITS	181,258	Regular	1,984,255	2,888,939	904,684	68.68%
		OTHER COMPENSATION	7,076	Regular	61,229	39,380	(21,849)	155.48%
		TRAVEL & TRAINING	3,531	Regular	43,474	155,311	111,837	27.99%
		OPERATING SERVICES	33,030	Regular	356,673	494,422	137,749	72.14%
		SUPPLIES	13,140	Regular	147,138	274,334	127,196	53.63%
		PROFESSIONAL SERVICES	0		54,815	98,350	43,535	55.73%
		OTHER CHARGES	36,060	Regular	1,698,546	4,373,568	2,675,022	38.84%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	26,209	Regular	861,396	1,153,819	292,423	74.66%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	36,000	36,000	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
130		Sum:	\$708,722		9,329,688	\$15,062,781	5,733,093	61.94%
131	LOUISIANA VETERANS HOME	SALARIES	379,642	Regular	3,665,405	4,930,890	1,265,485	74.34%
		RELATED BENEFITS	171,554	Regular	1,860,635	2,709,993	849,358	68.66%
		OTHER COMPENSATION	15,644	Regular	170,632	270,000	99,368	63.20%
		TRAVEL & TRAINING	118	Regular	534	10,000	9,466	5.34%

		OPERATING SERVICES	38,128	Regular	242,618	505,572	262,954	47.99%
		SUPPLIES	(56,246)		437,598	636,992	199,394	68.70%
		PROFESSIONAL SERVICES	55,130	Regular	390,894	700,000	309,106	55.84%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	97,438	Regular	598,252	1,247,808	649,556	47.94%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
131		Sum:	\$701,408		7,366,567	\$11,011,255	3,644,688	66.90%
132	NORTHEAST LOUISIANA VETS	SALARIES	387,150	Regular	4,177,730	5,769,357	1,591,627	72.41%
		RELATED BENEFITS	200,645	Regular	1,864,982	2,901,880	1,036,898	64.27%
		OTHER COMPENSATION	5,246	Regular	242,000	426,860	184,860	56.69%
		TRAVEL & TRAINING	100	Regular	1,483	27,957	26,474	5.31%
		OPERATING SERVICES	79,681	Regular	502,247	967,755	465,508	51.90%
		SUPPLIES	104,958	Regular	808,943	1,314,194	505,251	61.55%
		PROFESSIONAL SERVICES	54,581	Regular	277,085	497,528	220,443	55.69%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	66,696	Regular	673,175	898,702	225,527	74.91%
		MAJOR REPAIRS	2,500	Regular	17,752	20,000	2,248	88.76%
		CAPITAL OUTLAY	344	Regular	21,225	66,200	44,975	32.06%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
132		Sum:	\$901,901		8,586,622	\$12,890,433	4,303,811	66.61%
133	OFFICE OF ELDERLY AFFAIRS	SALARIES	288,488	Regular	2,974,031	4,086,561	1,112,530	72.78%
		RELATED BENEFITS	163,597	Regular	1,598,125	2,376,894	778,769	67.24%
		OTHER COMPENSATION	0		0	17,655	17,655	0.00%
		TRAVEL & TRAINING	3,268	Regular	21,592	137,850	116,258	15.66%
		OPERATING SERVICES	19,700	Regular	170,171	214,276	44,105	79.42%
		SUPPLIES	778	Regular	2,794	31,745	28,951	8.80%
		PROFESSIONAL SERVICES	450	Regular	450	17,097	16,647	2.63%
		OTHER CHARGES	4,087,493	Regular	41,378,597	57,200,392	15,821,795	72.34%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	2,392	Regular	351,209	936,269	585,060	37.51%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
133		Sum:	\$4,566,166		46,496,968	\$65,018,739	18,521,771	71.51%
134	SOUTHWEST LOUISIANA VETS	SALARIES	476,119	Regular	5,312,010	6,896,916	1,584,906	77.02%
		RELATED BENEFITS	239,428	Regular	2,214,451	2,865,109	650,658	77.29%
		OTHER COMPENSATION	7,692	Regular	98,341	133,036	34,695	73.92%
		TRAVEL & TRAINING	481	Regular	1,661	4,972	3,311	33.42%
		OPERATING SERVICES	172,162	Regular	539,859	904,525	364,666	59.68%
		SUPPLIES	100,941	Regular	808,613	1,346,825	538,212	60.04%
		PROFESSIONAL SERVICES	83,627	Regular	348,590	623,102	274,512	55.94%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,085	Regular	943,982	1,065,618	121,636	88.59%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	7,121	Regular	13,506	82,036	68,530	16.46%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
134		Sum:	\$1,088,656		10,281,012	\$13,922,139	3,641,127	73.85%
135	NORTHWEST LOUISIANA VETS	SALARIES	469,019	Regular	4,679,422	6,243,021	1,563,599	74.95%
		RELATED BENEFITS	197,113	Regular	1,889,964	3,331,628	1,441,664	56.73%
		OTHER COMPENSATION	10,261	Regular	106,642	154,077	47,435	69.21%
		TRAVEL & TRAINING	0		659	1,763	1,104	37.38%
		OPERATING SERVICES	86,207	Regular	487,799	857,394	369,595	56.89%
		SUPPLIES	107,752	Regular	733,131	1,175,189	442,058	62.38%
		PROFESSIONAL SERVICES	13,852	Regular	424,150	865,949	441,799	48.98%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	20,339	Regular	684,163	833,729	149,566	82.06%
		MAJOR REPAIRS	0		8,162	95,000	86,838	8.59%
		CAPITAL OUTLAY	0		3,231	180,811	177,581	1.79%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
135		Sum:	\$904,543		9,017,322	\$13,738,561	4,721,239	65.64%
136	SOUTHEAST LOUISIANA VETS	SALARIES	461,162	Regular	4,898,869	6,605,702	1,706,833	74.16%
		RELATED BENEFITS	211,625	Regular	1,997,562	3,304,827	1,307,265	60.44%
		OTHER COMPENSATION	9,196	Regular	101,811	179,907	78,096	56.59%
		TRAVEL & TRAINING	562	Regular	2,312	4,500	2,188	51.38%
		OPERATING SERVICES	33,665	Regular	250,458	578,353	327,895	43.31%
		SUPPLIES	99,547	Regular	850,610	1,481,231	630,621	57.43%
		PROFESSIONAL SERVICES	84,167	Regular	403,153	673,827	270,674	59.83%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	29,572	Regular	822,907	851,012	28,105	96.70%
		MAJOR REPAIRS	0		7,400	120,000	112,600	6.17%
		CAPITAL OUTLAY	0		0	125,900	125,900	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
136		Sum:	\$929,495		9,335,082	\$13,925,259	4,590,177	67.04%
139	SECRETARY OF STATE	SALARIES	1,364,880	Regular	14,194,244	18,360,193	4,165,949	77.31%
		RELATED BENEFITS	802,588	Regular	8,006,717	10,811,618	2,804,901	74.06%
		OTHER COMPENSATION	38,816	Regular	316,752	455,694	138,942	69.51%
		TRAVEL & TRAINING	(4,604)		90,464	155,845	65,381	58.05%
		OPERATING SERVICES	679,119	Regular	8,996,510	11,721,191	2,724,681	76.75%
		SUPPLIES	26,213	Regular	452,254	821,298	369,044	55.07%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	5,361,668	Regular	45,932,786	57,404,297	11,471,511	80.02%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	85,567	Regular	2,503,450	3,060,420	556,970	81.80%
		MAJOR REPAIRS	0		55,002	55,002	0	100.00%
		CAPITAL OUTLAY	118,759	Regular	147,700	12,663,459	12,515,759	1.17%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
139		Sum:	\$8,473,006		80,695,880	\$115,509,017	34,813,137	69.86%
141	OFFICE OF THE ATTORNEY GE	SALARIES	2,538,241	Regular	24,750,037	32,855,356	8,105,319	75.33%
		RELATED BENEFITS	1,466,355	Regular	13,775,952	18,814,862	5,038,910	73.22%
		OTHER COMPENSATION	191,234	Regular	1,753,288	2,956,689	1,203,401	59.30%
		TRAVEL & TRAINING	24,165	Regular	217,410	879,534	662,124	24.72%
		OPERATING SERVICES	293,844	Regular	2,690,515	4,129,759	1,439,244	65.15%
		SUPPLIES	33,639	Regular	194,267	793,465	599,198	24.48%
		PROFESSIONAL SERVICES	384,433	Regular	5,728,912	11,130,395	5,401,483	51.47%
		OTHER CHARGES	18,849	Regular	248,303	5,202,675	4,954,372	4.77%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	798,781	Regular	3,895,684	5,512,753	1,617,069	70.67%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	45,722	Regular	402,146	1,469,423	1,067,277	27.37%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
141		Sum:	\$5,795,261		53,656,515	\$83,744,911	30,088,396	64.07%
146	LIEUTENANT GOVERNOR	SALARIES	50,555	Regular	495,388	630,996	135,608	78.51%
		RELATED BENEFITS	44,847	Regular	427,520	568,546	141,026	75.20%
		OTHER COMPENSATION	24,318	Regular	235,628	338,501	102,873	69.61%
		TRAVEL & TRAINING	168	Regular	125	20,793	20,668	0.60%
		OPERATING SERVICES	4,621	Regular	22,155	24,580	2,425	90.14%
		SUPPLIES	3,712	Regular	23,724	21,698	(2,026)	109.34%
		PROFESSIONAL SERVICES	0		0	7,404	7,404	0.00%
		OTHER CHARGES	732,587	Regular	3,019,147	6,365,218	3,346,071	47.43%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,530	Regular	107,602	143,222	35,620	75.13%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
146		Sum:	\$862,338		4,331,289	\$8,120,958	3,789,669	53.33%
147	ST TREASURER OPERATING	SALARIES	304,272	Regular	3,012,538	4,436,517	1,423,979	67.90%
		RELATED BENEFITS	180,939	Regular	1,712,287	2,476,236	763,949	69.15%
		OTHER COMPENSATION	31,789	Regular	353,886	562,917	209,031	62.87%
		TRAVEL & TRAINING	0		2,927	103,389	100,462	2.83%

		OPERATING SERVICES	113,320	Regular	720,939	1,679,759	958,820	42.92%
		SUPPLIES	3,005	Regular	17,057	57,372	40,315	29.73%
		PROFESSIONAL SERVICES	12,500	Regular	131,280	243,147	111,867	53.99%
		OTHER CHARGES	72,562	Regular	9,937,752	12,358,295	2,420,543	80.41%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	17,092	Regular	1,881,037	3,182,914	1,301,877	59.10%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	4,560	Regular	18,541	92,815	74,274	19.98%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
147		Sum:	\$740,039		17,788,243	\$25,193,361	7,405,118	70.61%
158	PUBLIC SERVICE COMMISSION	SALARIES	349,148	Regular	3,491,760	5,222,373	1,730,613	66.86%
		RELATED BENEFITS	230,677	Regular	2,028,771	3,169,615	1,140,844	64.01%
		OTHER COMPENSATION	818	Regular	7,215	38,000	30,785	18.99%
		TRAVEL & TRAINING	6,200	Regular	8,326	90,868	82,542	9.16%
		OPERATING SERVICES	28,977	Regular	288,200	375,351	87,151	76.78%
		SUPPLIES	323	Regular	6,844	28,539	21,695	23.98%
		PROFESSIONAL SERVICES	0		0	5,000	5,000	0.00%
		OTHER CHARGES	3,395	Regular	22,566	121,350	98,784	18.60%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	80,796	Regular	843,685	1,119,887	276,202	75.34%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	3,554	Regular	20,586	71,860	51,274	28.65%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
158		Sum:	\$703,887		6,717,953	\$10,242,843	3,524,890	65.59%
160	AGRICULTURE AND FORESTRY	SALARIES	2,393,217	Regular	24,911,645	32,731,107	7,819,462	76.11%
		RELATED BENEFITS	1,688,202	Regular	16,075,905	22,573,006	6,497,101	71.22%
		OTHER COMPENSATION	93,423	Regular	1,117,326	1,532,995	415,669	72.89%
		TRAVEL & TRAINING	15,469	Regular	39,582	330,851	291,269	11.96%
		OPERATING SERVICES	418,916	Regular	18,655,509	25,021,592	6,366,083	74.56%
		SUPPLIES	222	Regular	3,792,667	5,752,395	1,959,728	65.93%
		PROFESSIONAL SERVICES	6,304	Regular	49,126	463,942	414,816	10.59%
		OTHER CHARGES	156,028	Regular	1,717,393	3,113,723	1,396,330	55.16%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	19,261	Regular	2,215,356	2,482,555	267,199	89.24%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	(1,344)		88,166	1,264,528	1,176,362	6.97%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
160		Sum:	\$4,789,698		68,662,673	\$95,266,694	26,604,021	72.07%
165	COMMISSIONER OF INSURANCE	SALARIES	1,153,839	Regular	11,497,052	15,031,125	3,534,073	76.49%
		RELATED BENEFITS	667,411	Regular	6,371,927	8,861,270	2,489,343	71.91%
		OTHER COMPENSATION	10,598	Regular	88,396	214,942	126,546	41.13%
		TRAVEL & TRAINING	1,129	Regular	13,467	242,313	228,846	5.56%
		OPERATING SERVICES	157,990	Regular	1,627,296	2,597,395	970,099	62.65%
		SUPPLIES	13,819	Regular	63,199	143,424	80,225	44.06%
		PROFESSIONAL SERVICES	271,548	Regular	1,293,972	3,831,387	2,537,415	33.77%
		OTHER CHARGES	26,000	Regular	89,710	227,000	137,290	39.52%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	63,614	Regular	1,363,429	1,722,336	358,907	79.16%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	14,606	Regular	350,729	626,650	275,921	55.97%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
165		Sum:	\$2,380,554		22,759,178	\$33,497,842	10,738,664	67.94%
251	ECON DEV - OFF OF SECRETARY	SALARIES	227,335	Regular	2,331,128	3,159,682	828,554	73.78%
		RELATED BENEFITS	136,306	Regular	1,310,961	1,750,031	439,070	74.91%
		OTHER COMPENSATION	2,235	Regular	27,887	111,014	83,127	25.12%
		TRAVEL & TRAINING	1,372	Regular	7,496	190,810	183,314	3.93%
		OPERATING SERVICES	35,600	Regular	282,439	764,163	481,724	36.96%
		SUPPLIES	(253)		34,411	150,748	116,337	22.83%
		PROFESSIONAL SERVICES	112,277	Regular	330,647	688,510	357,863	48.02%
		OTHER CHARGES	226,117	Regular	5,843,280	11,059,470	5,216,190	52.84%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	45,792	Regular	1,840,381	2,136,373	295,992	86.15%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
251		Sum:	\$786,782		12,008,630	\$20,010,801	8,002,171	60.01%
252	OFFICE OF BUSINESS DEVELOPMENT	SALARIES	438,805	Regular	4,325,475	5,994,426	1,668,951	72.16%
		RELATED BENEFITS	222,082	Regular	2,145,057	2,756,630	611,573	77.81%
		OTHER COMPENSATION	250	Regular	250	15,000	14,750	1.66%
		TRAVEL & TRAINING	4,275	Regular	21,671	463,793	442,122	4.67%
		OPERATING SERVICES	8,337	Regular	199,931	321,269	121,338	62.23%
		SUPPLIES	739	Regular	4,478	31,508	27,030	14.21%
		PROFESSIONAL SERVICES	449,888	Regular	1,669,716	7,863,934	6,194,218	21.23%
		OTHER CHARGES	251,769	Regular	3,063,077	10,568,703	7,505,626	28.98%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	4,604	Regular	24,517	87,093	62,576	28.15%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
252		Sum:	\$1,380,749		11,454,172	\$28,102,356	16,648,184	40.76%
254	LA STATE RACING COMMISSION	SALARIES	237,171	Regular	2,159,012	3,265,973	1,106,961	66.11%
		RELATED BENEFITS	104,159	Regular	925,880	1,454,627	528,747	63.65%
		OTHER COMPENSATION	5,056	Regular	50,538	77,592	27,054	65.13%
		TRAVEL & TRAINING	2,245	Regular	30,704	136,589	105,885	22.48%
		OPERATING SERVICES	18,747	Regular	179,344	424,912	245,568	42.21%
		SUPPLIES	4,337	Regular	27,672	82,750	55,078	33.44%
		PROFESSIONAL SERVICES	0		4,142	44,964	40,822	9.21%
		OTHER CHARGES	706,177	Regular	3,934,559	6,077,736	2,143,177	64.74%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	56,713	Regular	736,755	1,695,722	958,967	43.45%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		1,666	20,000	18,334	8.33%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
254		Sum:	\$1,134,606		8,050,272	\$13,280,865	5,230,593	60.62%
255	OFFICE OF FINANCIAL INSTITUTIONS	SALARIES	454,552	Regular	4,535,899	7,551,352	3,015,453	60.07%
		RELATED BENEFITS	211,276	Regular	2,517,840	4,679,428	2,161,588	53.81%
		OTHER COMPENSATION	3,890	Regular	48,743	57,328	8,585	85.03%
		TRAVEL & TRAINING	(107)		2,755	361,424	358,669	0.76%
		OPERATING SERVICES	50,436	Regular	569,145	777,475	208,330	73.20%
		SUPPLIES	4,404	Regular	18,520	111,560	93,040	16.60%
		PROFESSIONAL SERVICES	0		0	55,000	55,000	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	11,474	Regular	322,819	1,327,256	1,004,438	24.32%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	131,468	131,468	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
255		Sum:	\$735,924		8,015,721	\$15,052,291	7,036,570	53.25%
261	CUL REC TOURISM - OFF OF STATE	SALARIES	236,302	Regular	2,204,291	3,123,462	919,172	70.57%
		RELATED BENEFITS	135,015	Regular	1,255,377	1,876,223	620,846	66.91%
		OTHER COMPENSATION	9,877	Regular	91,743	51,340	(40,403)	178.70%
		TRAVEL & TRAINING	95	Regular	246	58,602	58,356	0.42%
		OPERATING SERVICES	9,118	Regular	70,272	155,501	85,229	45.19%
		SUPPLIES	2,165	Regular	19,890	72,359	52,469	27.49%
		PROFESSIONAL SERVICES	3,850	Regular	7,439	92,363	84,924	8.05%
		OTHER CHARGES	10,288	Regular	93,749	1,793,355	1,699,606	5.23%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	29,776	Regular	417,868	522,867	104,999	79.92%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
261		Sum:	\$436,486		4,160,873	\$7,746,072	3,585,199	53.72%
262	OFF OF THE STATE LIBRARY C	SALARIES	168,850	Regular	1,709,557	2,352,762	643,205	72.66%
		RELATED BENEFITS	125,734	Regular	1,209,419	1,720,947	511,528	70.28%
		OTHER COMPENSATION	1,430	Regular	14,034	51,000	36,966	27.52%
		TRAVEL & TRAINING	250	Regular	727	12,926	12,199	5.63%

		OPERATING SERVICES	14,364	Regular	131,957	311,854	179,897	42.31%
		SUPPLIES	2,107	Regular	19,656	28,117	8,461	69.91%
		PROFESSIONAL SERVICES	473	Regular	1,663	6,597	4,934	25.21%
		OTHER CHARGES	19,916	Regular	1,774,577	2,141,421	366,844	82.87%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	137,147	Regular	905,960	923,669	17,709	98.08%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
262		Sum:	\$470,270		5,767,551	\$7,549,293	1,781,742	76.40%
263	OFFICE OF STATE MUSEUM	SALARIES	133,933	Regular	2,294,384	3,312,130	1,017,746	69.27%
		RELATED BENEFITS	74,106	Regular	1,336,843	1,937,192	600,349	69.01%
		OTHER COMPENSATION	(157,495)		11,106	4,066	(7,040)	273.14%
		TRAVEL & TRAINING	48	Regular	221	1,000	780	22.05%
		OPERATING SERVICES	130,379	Regular	722,118	1,229,871	507,753	58.71%
		SUPPLIES	450	Regular	51,622	52,823	1,201	97.73%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	94	Regular	5,567	509,322	503,755	1.09%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	77,108	Regular	574,256	600,007	25,751	95.71%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
263		Sum:	\$258,622		4,996,116	\$7,646,411	2,650,295	65.34%
264	OFFICE OF STATE PARKS	SALARIES	829,569	Regular	8,492,831	11,618,108	3,125,277	73.10%
		RELATED BENEFITS	563,284	Regular	5,370,471	7,624,579	2,254,108	70.44%
		OTHER COMPENSATION	66,034	Regular	669,240	454,070	(215,170)	147.39%
		TRAVEL & TRAINING	1,003	Regular	10,591	21,000	10,409	50.44%
		OPERATING SERVICES	534,850	Regular	3,047,185	4,034,464	987,279	75.53%
		SUPPLIES	258,074	Regular	1,770,245	2,198,025	427,780	80.54%
		PROFESSIONAL SERVICES	12,112	Regular	48,141	75,047	26,906	64.15%
		OTHER CHARGES	511,627	Regular	1,745,215	3,156,214	1,410,999	55.29%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	25,611	Regular	2,285,413	2,495,962	210,549	91.56%
		MAJOR REPAIRS	123,724	Regular	1,272,574	5,616,099	4,343,525	22.66%
		CAPITAL OUTLAY	9,802	Regular	969,161	1,931,180	962,019	50.18%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
264		Sum:	\$2,935,691		25,681,067	\$39,224,748	13,543,681	65.47%
265	OFFICE OF CULTURAL DEVEL	SALARIES	147,330	Regular	1,475,769	2,136,991	661,222	69.06%
		RELATED BENEFITS	85,415	Regular	826,501	1,242,259	415,758	66.53%
		OTHER COMPENSATION	5,038	Regular	37,918	15,493	(22,425)	244.74%
		TRAVEL & TRAINING	2,542	Regular	10,528	43,178	32,650	24.38%
		OPERATING SERVICES	3,505	Regular	83,489	162,523	79,034	51.37%
		SUPPLIES	635	Regular	7,828	26,837	19,009	29.17%
		PROFESSIONAL SERVICES	0		1,700	5,178	3,478	32.83%
		OTHER CHARGES	287,280	Regular	1,961,585	4,203,547	2,241,962	46.66%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	8,163	Regular	176,844	274,022	97,178	64.54%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
265		Sum:	\$539,908		4,582,163	\$8,110,028	3,527,865	56.50%
267	OFFICE OF TOURISM	SALARIES	227,978	Regular	2,262,953	2,835,104	572,151	79.82%
		RELATED BENEFITS	138,492	Regular	1,316,383	1,769,140	452,757	74.41%
		OTHER COMPENSATION	14,250	Regular	125,598	305,505	179,907	41.11%
		TRAVEL & TRAINING	1,057	Regular	30,449	215,500	185,051	14.13%
		OPERATING SERVICES	264,062	Regular	1,277,992	4,897,244	3,619,252	26.10%
		SUPPLIES	6,745	Regular	67,399	111,195	43,796	60.61%
		PROFESSIONAL SERVICES	2,314,672	Regular	5,756,692	14,304,654	8,547,962	40.24%
		OTHER CHARGES	36,823	Regular	370,332	1,748,299	1,377,967	21.18%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	2,677	Regular	5,798,439	6,000,027	201,588	96.64%
		MAJOR REPAIRS	1,000	Regular	29,180	100,000	70,820	29.18%
		CAPITAL OUTLAY	3,611	Regular	67,943	74,194	6,251	91.57%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
267		Sum:	\$3,011,368		17,103,359	\$32,360,862	15,257,503	52.85%
273	DOTD ADMINISTRATION	SALARIES	1,052,204	Regular	10,558,698	13,350,170	2,791,472	79.09%
		RELATED BENEFITS	827,179	Regular	6,443,872	8,368,725	1,924,853	77.00%
		OTHER COMPENSATION	13,969	Regular	93,404	210,877	117,473	44.29%
		TRAVEL & TRAINING	2,685	Regular	15,069	184,495	169,426	8.17%
		OPERATING SERVICES	76,154	Regular	622,491	1,023,860	401,369	60.80%
		SUPPLIES	17,489	Regular	127,914	444,821	316,907	28.76%
		PROFESSIONAL SERVICES	284,039	Regular	1,489,200	4,390,903	2,901,703	33.92%
		OTHER CHARGES	9,265	Regular	100,783	183,751	82,968	54.85%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	2,633,907	Regular	19,332,245	24,828,519	5,496,274	77.86%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
273		Sum:	\$4,916,891		38,783,675	\$52,986,121	14,202,446	73.20%
276	ENGINEERING AND OPERATIO	SALARIES	16,502,095	Regular	174,555,651	220,675,434	46,119,783	79.10%
		RELATED BENEFITS	13,631,045	Regular	104,653,477	141,276,785	36,623,308	74.08%
		OTHER COMPENSATION	24,842	Regular	328,996	746,607	417,611	44.07%
		TRAVEL & TRAINING	226,898	Regular	1,815,727	3,864,422	2,048,695	46.99%
		OPERATING SERVICES	1,839,211	Regular	11,320,357	18,256,059	6,935,702	62.01%
		SUPPLIES	3,153,248	Regular	21,319,122	35,846,720	14,527,598	59.47%
		PROFESSIONAL SERVICES	2,562,517	Regular	13,466,124	33,060,963	19,594,839	40.73%
		OTHER CHARGES	7,820,657	Regular	42,081,015	80,840,386	38,759,371	52.05%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,934,913	Regular	27,092,751	43,750,429	16,657,678	61.93%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	4,332,233	Regular	18,087,013	36,776,886	18,689,873	49.18%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
276		Sum:	\$52,027,659		414,720,231	\$615,094,691	200,374,460	67.42%
300	JEFFERSON PARISH HUMAN S	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	(0)	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,597,453	Regular	12,214,257	19,627,477	7,413,220	62.23%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	185,364	185,364	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
300		Sum:	\$1,597,453		12,214,257	\$19,812,841	7,598,584	61.65%
301	FLA PAR HUMAN SERVCS AUT	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	413	Regular	7,149	38,015	30,866	18.81%
		OPERATING SERVICES	83,704	Regular	560,300	802,150	241,850	69.85%
		SUPPLIES	11,215	Regular	77,038	110,455	33,417	69.75%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,605,115	Regular	14,841,822	21,767,013	6,925,191	68.18%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	9,720	Regular	523,246	544,403	21,157	96.11%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	33,387	33,387	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
301		Sum:	\$1,710,167		16,009,556	\$23,295,423	7,285,867	68.72%
302	CAPITAL AREA HUMAN SRV DS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%

		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		323	0	(323)	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	2,566,066	Regular	22,096,268	28,766,698	6,670,430	76.81%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	116,582	Regular	861,126	887,471	26,345	97.03%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
302		Sum:	\$2,682,648		22,957,716	\$29,654,169	6,696,453	77.42%
303	DEVELOPM'L DISABILITIES CN	SALARIES	27,736	Regular	299,059	536,749	237,690	55.72%
		RELATED BENEFITS	14,509	Regular	155,008	298,697	143,689	51.89%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	25,500	25,500	0.00%
		OPERATING SERVICES	7,366	Regular	67,177	97,985	30,808	68.56%
		SUPPLIES	0		5,130	29,500	24,370	17.39%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	80,438	Regular	567,827	1,157,000	589,173	49.08%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	2,295	Regular	20,087	35,911	15,824	55.94%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		953	3,000	2,047	31.76%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
303		Sum:	\$132,345		1,115,241	\$2,184,342	1,069,101	51.06%
304	METRO HUMAN SVCS DISTRICT	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		9,433,339	25,521,741	16,088,402	36.96%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	61,407	61,407	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
304		Sum:	\$0		9,433,339	\$25,583,148	16,149,809	36.87%
305	MEDICAL VENDOR ADMINISTRATION	SALARIES	3,620,242	Regular	36,352,672	52,468,209	16,115,537	69.29%
		RELATED BENEFITS	3,033,926	Regular	24,061,109	33,403,861	9,342,752	72.03%
		OTHER COMPENSATION	176,144	Regular	1,766,403	2,637,870	871,467	66.96%
		TRAVEL & TRAINING	0		232	17,521	17,289	1.32%
		OPERATING SERVICES	171,015	Regular	2,244,456	4,301,289	2,056,833	52.18%
		SUPPLIES	22,900	Regular	53,263	263,125	209,862	20.24%
		PROFESSIONAL SERVICES	11,075,110	Regular	63,225,000	164,657,096	101,432,096	38.40%
		OTHER CHARGES	1,571,732	Regular	16,984,739	74,966,010	57,981,271	22.66%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	15,518,041	Regular	81,559,147	176,312,573	94,753,426	46.26%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
305		Sum:	\$35,189,110		226,247,021	\$509,027,554	282,780,533	44.45%
306	DHH MEDICAL VENDOR PAYMENT	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,262,531,278	Regular	9,828,760,964	15,518,260,524	5,689,499,560	63.34%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	12,188,481	Regular	189,855,894	288,897,595	99,041,701	65.72%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
306		Sum:	\$1,274,719,759		10,018,616,858	\$15,807,158,119	5,788,541,261	63.38%
307	HEALTH & HOSP OFF OF SECR	SALARIES	2,287,876	Regular	23,669,300	30,516,988	6,847,688	77.56%
		RELATED BENEFITS	1,632,529	Regular	13,053,510	17,297,307	4,243,797	75.47%
		OTHER COMPENSATION	136,222	Regular	1,095,884	1,042,348	(53,536)	105.14%
		TRAVEL & TRAINING	(144)		3,037	83,300	80,263	3.65%
		OPERATING SERVICES	72,554	Regular	545,983	972,753	426,770	56.13%
		SUPPLIES	66,707	Regular	121,370	170,800	49,430	71.06%
		PROFESSIONAL SERVICES	58,419	Regular	262,715	2,288,231	2,025,516	11.48%
		OTHER CHARGES	4,106,590	Regular	21,860,294	28,817,397	6,957,103	75.86%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,707,044	Regular	16,771,550	21,452,356	4,680,806	78.18%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
307		Sum:	\$10,067,797		77,383,642	\$102,641,480	25,257,838	75.39%
309	S CNTL LA HUMAN SVCS AUTH	SALARIES	(350)		(350)	0	350	0.00%
		RELATED BENEFITS	0		0	0	(0)	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	2,621	Regular	24,598	62,793	38,195	39.17%
		OPERATING SERVICES	84,576	Regular	675,681	1,212,368	536,687	55.73%
		SUPPLIES	23,912	Regular	185,074	567,904	382,830	32.59%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,682,218	Regular	14,000,083	20,548,428	6,548,345	68.13%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	11,439	Regular	517,688	608,284	90,596	85.11%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
309		Sum:	\$1,804,415		15,402,774	\$22,999,777	7,597,003	66.97%
310	NE DELTA HUMAN SVCS AUTH	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,155,491	Regular	9,310,072	14,757,752	5,447,680	63.09%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	12,349	Regular	361,409	411,872	50,463	87.75%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
310		Sum:	\$1,167,840		9,671,481	\$15,169,624	5,498,143	63.76%
320	OFFICE OF AGING & ADULT SR	SALARIES	1,702,124	Regular	17,075,176	22,702,302	5,627,126	75.21%
		RELATED BENEFITS	1,047,539	Regular	9,956,941	14,731,103	4,774,162	67.59%
		OTHER COMPENSATION	88,263	Regular	880,787	1,220,279	339,492	72.18%
		TRAVEL & TRAINING	3,911	Regular	34,525	200,533	166,008	17.22%
		OPERATING SERVICES	115,964	Regular	907,269	2,865,364	1,958,095	31.66%
		SUPPLIES	139,398	Regular	1,223,456	2,343,177	1,119,721	52.21%
		PROFESSIONAL SERVICES	29,455	Regular	385,011	861,966	476,955	44.67%
		OTHER CHARGES	675,497	Regular	4,779,130	10,772,321	5,993,191	44.36%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	249,249	Regular	2,471,632	3,216,411	744,779	76.84%
		MAJOR REPAIRS	0		0	221,000	221,000	0.00%
		CAPITAL OUTLAY	111,710	Regular	243,850	443,577	199,727	54.97%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
320		Sum:	\$4,163,109		37,957,776	\$59,578,033	21,620,257	63.71%
324	LA EMERGENCY RESPONSE NE	SALARIES	55,388	Regular	542,746	714,645	171,899	75.95%
		RELATED BENEFITS	28,677	Regular	276,980	354,196	77,216	78.20%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	504	Regular	9,233	33,000	23,767	27.98%

		OPERATING SERVICES	87,811	Regular	159,927	193,909	33,982	82.48%
		SUPPLIES	3,571	Regular	17,981	27,946	9,965	64.34%
		PROFESSIONAL SERVICES	2,498	Regular	144,027	337,847	193,820	42.63%
		OTHER CHARGES	2,505	Regular	1,147,843	1,166,338	18,495	98.41%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	3,441	Regular	123,072	146,638	23,566	83.93%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		1,147	1,148	1	99.95%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
324		Sum:	\$184,394		2,422,957	\$2,975,667	552,710	81.43%
325	ACADIANA AREA HUMAN SRV	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	7,302	Regular	52,417	176,100	123,683	29.77%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,312,261	Regular	11,340,872	17,669,921	6,329,049	64.18%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	7,227	Regular	472,051	552,217	80,166	85.48%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
325		Sum:	\$1,326,790		11,865,340	\$18,398,238	6,532,898	64.49%
326	OFFICE OF PUBLIC HEALTH	SALARIES	5,645,747	Regular	58,245,776	77,353,153	19,107,377	75.30%
		RELATED BENEFITS	3,750,686	Regular	35,100,865	49,237,043	14,136,178	71.29%
		OTHER COMPENSATION	423,223	Regular	3,837,961	7,792,731	3,954,770	49.25%
		TRAVEL & TRAINING	86,204	Regular	771,319	2,758,228	1,986,909	27.96%
		OPERATING SERVICES	1,035,520	Regular	9,140,191	13,852,790	4,712,599	65.98%
		SUPPLIES	1,127,026	Regular	7,352,808	15,025,827	7,673,019	48.93%
		PROFESSIONAL SERVICES	5,526,825	Regular	24,493,479	52,871,551	28,378,072	46.33%
		OTHER CHARGES	36,733,271	Regular	214,664,655	361,723,798	147,059,143	59.34%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	2,915,870	Regular	17,138,783	26,542,801	9,404,018	64.57%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	41,914	Regular	370,860	1,286,300	915,440	28.83%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
326		Sum:	\$57,286,285		371,116,697	\$608,444,222	237,327,525	60.99%
330	OFFICE OF BEHAVIORAL HEAL	SALARIES	6,976,580	Regular	69,640,574	90,610,738	20,970,164	76.86%
		RELATED BENEFITS	5,053,820	Regular	36,821,978	55,179,815	18,357,837	66.73%
		OTHER COMPENSATION	460,979	Regular	4,268,941	3,645,862	(623,079)	117.09%
		TRAVEL & TRAINING	6,032	Regular	58,382	205,420	147,038	28.42%
		OPERATING SERVICES	1,944,527	Regular	14,249,579	21,678,138	7,428,559	65.73%
		SUPPLIES	1,104,525	Regular	7,543,814	9,041,367	1,497,554	83.44%
		PROFESSIONAL SERVICES	1,223,491	Regular	4,882,318	8,563,479	3,681,161	57.01%
		OTHER CHARGES	2,118,077	Regular	13,965,473	38,453,248	24,487,775	36.32%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	7,067,588	Regular	37,069,441	64,733,672	27,664,231	57.26%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	23,359,408	23,359,408	0.00%
330		Sum:	\$25,955,619		188,500,500	\$315,471,147	126,970,647	59.75%
340	OFF FOR CITIZENS DEV DISAB	SALARIES	5,977,819	Regular	59,884,152	78,193,415	18,309,263	76.58%
		RELATED BENEFITS	3,761,172	Regular	32,625,210	48,360,860	15,735,650	67.46%
		OTHER COMPENSATION	147,353	Regular	1,181,881	1,496,633	314,752	78.97%
		TRAVEL & TRAINING	913	Regular	15,043	194,916	179,873	7.72%
		OPERATING SERVICES	750,324	Regular	3,855,660	6,878,677	3,023,017	56.05%
		SUPPLIES	897,919	Regular	5,119,604	7,434,152	2,314,548	68.87%
		PROFESSIONAL SERVICES	54,482	Regular	2,695,561	6,717,037	4,021,476	40.13%
		OTHER CHARGES	2,934,163	Regular	11,270,986	23,716,227	12,445,241	47.52%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	177,798	Regular	12,331,422	15,527,920	3,196,498	79.41%
		MAJOR REPAIRS	0		46,500	46,500	0	100.00%
		CAPITAL OUTLAY	49,380	Regular	114,408	754,176	639,768	15.17%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
340		Sum:	\$14,751,323		129,140,428	\$189,320,513	60,180,085	68.21%
360	OFFICE FOR CHILDREN/FAMILI	SALARIES	12,467,985	Regular	130,621,864	166,148,495	35,526,631	78.62%
		RELATED BENEFITS	11,036,977	Regular	85,627,782	114,814,433	29,186,651	74.58%
		OTHER COMPENSATION	911,876	Regular	9,922,127	9,737,481	(184,646)	101.90%
		TRAVEL & TRAINING	31,088	Regular	299,424	2,152,735	1,853,311	13.91%
		OPERATING SERVICES	1,471,499	Regular	13,593,765	22,879,354	9,285,589	59.41%
		SUPPLIES	34,274	Regular	719,952	2,175,197	1,455,245	33.10%
		PROFESSIONAL SERVICES	1,681,245	Regular	5,533,977	11,978,300	6,444,323	46.20%
		OTHER CHARGES	15,317,060	Regular	118,410,653	239,017,731	120,607,078	49.54%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	17,793,746	Regular	104,149,516	196,458,990	92,309,474	53.01%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	211,402	211,402	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
360		Sum:	\$60,745,751		468,879,059	\$765,574,118	296,695,059	61.25%
375	IMPERIAL CALCASIEU HUM SV	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		43	0	(43)	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,010,328	Regular	7,209,531	11,862,649	4,653,118	60.78%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	10,977	Regular	270,502	303,437	32,935	89.15%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
375		Sum:	\$1,021,306		7,480,076	\$12,166,086	4,686,010	61.48%
376	CENTRAL LA HUMAN SERVICE	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,058,525	Regular	8,716,665	15,240,537	6,523,872	57.19%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	691	Regular	217,066	217,927	861	99.61%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
376		Sum:	\$1,059,216		8,933,732	\$15,458,464	6,524,732	57.79%
377	NORTHWEST LA HUMAN SVCS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,348,811	Regular	9,877,497	14,976,625	5,099,128	65.95%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	10,283	Regular	290,085	339,956	49,871	85.33%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
377		Sum:	\$1,359,094		10,167,581	\$15,316,581	5,149,000	66.38%
400	CORRECTIONS-ADMINISTRAT	SALARIES	1,113,362	Regular	10,915,895	14,247,022	3,331,127	76.62%
		RELATED BENEFITS	2,698,116	Regular	23,785,781	30,612,106	6,826,325	77.70%
		OTHER COMPENSATION	202,449	Regular	1,855,112	1,197,920	(657,192)	154.86%
		TRAVEL & TRAINING	4,907	Regular	11,910	226,937	215,027	5.25%

		OPERATING SERVICES	47,998	Regular	509,885	1,657,686	1,147,801	30.76%
		SUPPLIES	1,772	Regular	227,971	784,695	556,724	29.05%
		PROFESSIONAL SERVICES	86,610	Regular	680,585	1,518,434	837,849	44.82%
		OTHER CHARGES	47,947	Regular	20,934,711	33,273,281	12,338,570	62.92%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	942,402	Regular	8,003,932	10,788,319	2,784,387	74.19%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	8,072,973	8,072,973	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
400		Sum:	\$5,145,563		66,925,781	\$102,379,373	35,453,592	65.37%
402	LA STATE PENITENTIARY	SALARIES	5,270,364	Regular	52,364,449	67,921,949	15,557,500	77.10%
		RELATED BENEFITS	2,472,358	Regular	24,409,750	32,907,077	8,497,327	74.18%
		OTHER COMPENSATION	22,814	Regular	1,083,428	200,695	(882,733)	539.84%
		TRAVEL & TRAINING	0		11,292	12,657	1,365	89.22%
		OPERATING SERVICES	531,908	Regular	4,131,947	5,681,343	1,549,396	72.73%
		SUPPLIES	1,897,164	Regular	14,066,691	21,080,599	7,013,908	66.73%
		PROFESSIONAL SERVICES	239,227	Regular	1,549,358	2,747,199	1,197,841	56.40%
		OTHER CHARGES	(1,422)		(21,418)	0	21,418	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	93,429	Regular	11,310,200	11,697,286	387,086	96.69%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		76,146	170,100	93,955	44.77%
		AUXILIARY PROGRAM	517,982	Regular	3,564,054	10,140,871	6,576,817	35.15%
		UNALLOTTED	0		0	0	0	0.00%
402		Sum:	\$11,043,824		112,545,897	\$152,559,776	40,013,879	73.77%
403	OFFICE OF JUVENILE JUSTICE	SALARIES	2,883,746	Regular	30,437,731	44,674,508	14,236,777	68.13%
		RELATED BENEFITS	1,971,886	Regular	17,609,790	27,954,636	10,344,846	62.99%
		OTHER COMPENSATION	58,735	Regular	489,901	1,067,518	577,617	45.89%
		TRAVEL & TRAINING	(43,152)		9,213	154,823	145,610	5.95%
		OPERATING SERVICES	251,128	Regular	2,164,572	3,358,378	1,193,806	64.45%
		SUPPLIES	172,976	Regular	1,310,397	2,707,739	1,397,342	48.39%
		PROFESSIONAL SERVICES	26,514	Regular	129,801	384,262	254,461	33.78%
		OTHER CHARGES	693,895	Regular	26,854,382	49,001,632	22,147,250	54.80%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	393,349	Regular	15,425,905	17,889,152	2,463,247	86.23%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	(1,000)		684,999	703,213	18,214	97.41%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
403		Sum:	\$6,408,077		95,116,692	\$147,895,861	52,779,169	64.31%
405	RAYMOND LABORDE CORREC	SALARIES	1,289,243	Regular	13,212,805	16,528,004	3,315,199	79.94%
		RELATED BENEFITS	683,131	Regular	6,712,809	8,813,604	2,100,795	76.16%
		OTHER COMPENSATION	13,632	Regular	122,020	38,391	(83,629)	317.84%
		TRAVEL & TRAINING	219	Regular	1,008	14,004	12,996	7.20%
		OPERATING SERVICES	119,678	Regular	885,081	1,551,773	666,692	57.04%
		SUPPLIES	201,227	Regular	2,025,669	2,895,505	869,836	69.96%
		PROFESSIONAL SERVICES	22,602	Regular	235,017	435,565	200,548	53.96%
		OTHER CHARGES	(963)		(3,784)	0	3,784	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	17,032	Regular	1,064,187	1,542,405	478,218	69.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	213,720	Regular	1,147,639	1,646,725	499,086	69.69%
		UNALLOTTED	0		0	0	0	0.00%
405		Sum:	\$2,559,521		25,402,451	\$33,465,976	8,063,525	75.91%
406	LA CORRECTIONAL INST WOM	SALARIES	1,169,354	Regular	11,393,109	13,832,830	2,439,721	82.36%
		RELATED BENEFITS	540,107	Regular	5,301,969	7,056,594	1,754,625	75.13%
		OTHER COMPENSATION	50,967	Regular	422,502	144,445	(278,057)	292.50%
		TRAVEL & TRAINING	199	Regular	1,566	6,128	4,562	25.55%
		OPERATING SERVICES	52,312	Regular	545,328	553,120	7,792	98.59%
		SUPPLIES	90,761	Regular	790,668	1,442,086	651,418	54.83%
		PROFESSIONAL SERVICES	(6,591)		73,667	131,579	57,912	55.99%
		OTHER CHARGES	(34)		(997)	0	997	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	4,343	Regular	1,488,739	1,826,890	338,151	81.49%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%

		AUXILIARY PROGRAM	106,021	Regular	205,137	1,228,887	1,023,750	16.69%
		UNALLOTTED	0		0	0	0	0.00%
406		Sum:	\$2,007,439		20,221,687	\$26,222,559	6,000,872	77.12%
407	WINN CORRECTIONAL CENTE	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	31,143	Regular	144,389	288,970	144,581	49.97%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	(159,884)		0	295,451	295,451	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
407		Sum:	(\$128,741)		144,389	\$584,421	440,032	24.71%
408	ALLEN CORRECTIONAL CENT	SALARIES	565,312	Regular	5,899,376	6,787,961	888,585	86.91%
		RELATED BENEFITS	294,380	Regular	2,724,349	3,423,822	699,473	79.57%
		OTHER COMPENSATION	17,225	Regular	150,974	70,000	(80,974)	215.68%
		TRAVEL & TRAINING	818	Regular	6,212	18,854	12,642	32.95%
		OPERATING SERVICES	96,487	Regular	712,713	1,110,486	397,773	64.18%
		SUPPLIES	132,503	Regular	1,412,952	1,864,225	451,273	75.79%
		PROFESSIONAL SERVICES	17,539	Regular	119,577	304,000	184,423	39.33%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	13,895	Regular	603,636	935,098	331,462	64.55%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	97,246	Regular	533,409	819,024	285,615	65.13%
		UNALLOTTED	0		0	0	0	0.00%
408		Sum:	\$1,235,406		12,163,198	\$15,333,470	3,170,272	79.32%
409	DIXON CORRECTIONAL INSTI	SALARIES	1,846,304	Regular	18,960,786	22,868,907	3,908,121	82.91%
		RELATED BENEFITS	938,931	Regular	9,237,605	12,430,271	3,192,666	74.32%
		OTHER COMPENSATION	52,372	Regular	630,731	115,225	(515,506)	547.39%
		TRAVEL & TRAINING	86	Regular	1,777	1,777	1,691	4.84%
		OPERATING SERVICES	102,682	Regular	1,019,274	1,416,735	397,461	71.95%
		SUPPLIES	327,806	Regular	2,682,011	3,808,007	1,125,996	70.43%
		PROFESSIONAL SERVICES	180,710	Regular	1,784,577	3,032,000	1,247,423	58.86%
		OTHER CHARGES	(145)		(4,077)	0	4,077	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	67,901	Regular	2,040,660	2,591,160	550,500	78.75%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	136,474	136,474	0.00%
		AUXILIARY PROGRAM	172,269	Regular	956,521	1,587,191	630,670	60.26%
		UNALLOTTED	0		0	0	0	0.00%
409		Sum:	\$3,688,916		37,308,175	\$47,987,747	10,679,572	77.75%
413	ELAYN HUNT CORRECTIONAL	SALARIES	2,360,185	Regular	23,813,243	32,520,314	8,707,071	73.23%
		RELATED BENEFITS	1,015,529	Regular	10,118,444	15,172,128	5,053,684	66.69%
		OTHER COMPENSATION	31,325	Regular	248,499	411,834	163,335	60.34%
		TRAVEL & TRAINING	1,166	Regular	765	3,772	3,007	20.27%
		OPERATING SERVICES	366,064	Regular	1,772,063	2,464,566	692,503	71.90%
		SUPPLIES	1,086,056	Regular	7,032,469	8,916,153	1,883,684	78.87%
		PROFESSIONAL SERVICES	19,620	Regular	101,545	190,761	89,216	53.23%
		OTHER CHARGES	(302)		(4,200)	0	4,200	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	33,379	Regular	4,279,755	4,800,759	521,004	89.15%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	128,595	Regular	1,078,686	1,612,484	533,798	66.90%
		UNALLOTTED	0		0	0	0	0.00%
413		Sum:	\$5,041,618		48,441,269	\$66,092,771	17,651,502	73.29%
414	DAVID WADE CORRECTIONAL	SALARIES	1,368,587	Regular	13,554,131	15,528,217	1,974,086	87.29%
		RELATED BENEFITS	582,907	Regular	5,924,910	7,913,931	1,989,021	74.87%
		OTHER COMPENSATION	36,993	Regular	295,321	69,719	(225,602)	423.59%
		TRAVEL & TRAINING	0		2,527	4,018	1,491	62.89%

		OPERATING SERVICES	200,382	Regular	719,198	990,292	271,094	72.62%
		SUPPLIES	285,357	Regular	1,941,610	2,369,247	427,637	81.95%
		PROFESSIONAL SERVICES	13,925	Regular	178,381	243,238	64,857	73.34%
		OTHER CHARGES	0		(5,480)	0	5,480	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	11,453	Regular	1,518,979	1,611,477	92,498	94.26%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	231,164	Regular	655,123	1,357,852	702,729	48.25%
		UNALLOTTED	0		0	0	0	0.00%
414		Sum:	\$2,730,768		24,784,699	\$30,087,991	5,303,292	82.37%
415	ADULT PROBATION AND PAROLE	SALARIES	3,331,221	Regular	36,306,984	42,801,496	6,494,512	84.83%
		RELATED BENEFITS	1,817,752	Regular	17,878,812	23,405,179	5,526,367	76.39%
		OTHER COMPENSATION	85,238	Regular	788,846	85,918	(702,928)	918.14%
		TRAVEL & TRAINING	(42,183)		100,480	197,110	96,630	50.98%
		OPERATING SERVICES	341,932	Regular	2,719,918	4,053,436	1,333,518	67.10%
		SUPPLIES	250,742	Regular	2,180,853	2,515,113	334,260	86.71%
		PROFESSIONAL SERVICES	110,305	Regular	750,703	1,292,526	541,823	58.08%
		OTHER CHARGES	3,151	Regular	45,260	300,000	254,740	15.09%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	42,608	Regular	3,247,152	3,703,477	456,325	87.68%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		2,580	0	(2,580)	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
415		Sum:	\$5,940,765		64,021,588	\$78,354,255	14,332,667	81.71%
416	RAYBURN CORRECTIONAL CENTER	SALARIES	1,135,442	Regular	11,936,079	14,460,619	2,524,540	82.54%
		RELATED BENEFITS	576,087	Regular	5,706,988	7,677,780	1,970,792	74.33%
		OTHER COMPENSATION	27,916	Regular	140,895	32,297	(108,598)	436.25%
		TRAVEL & TRAINING	0		981	13,124	12,143	7.48%
		OPERATING SERVICES	93,668	Regular	699,176	1,110,249	411,073	62.97%
		SUPPLIES	256,183	Regular	1,814,058	2,373,058	559,000	76.44%
		PROFESSIONAL SERVICES	12,064	Regular	52,528	101,970	49,442	51.51%
		OTHER CHARGES	(951)		(1,875)	0	1,875	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	20,848	Regular	1,399,813	1,553,408	153,595	90.11%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		60,930	60,930	0	100.00%
		AUXILIARY PROGRAM	80,611	Regular	636,032	1,293,981	657,949	49.15%
		UNALLOTTED	0		0	0	0	0.00%
416		Sum:	\$2,201,868		22,445,605	\$28,677,416	6,231,811	78.27%
418	PUB SAFETY OFF OF MGMT & COMM	SALARIES	493,945	Regular	4,942,174	7,477,260	2,535,086	66.10%
		RELATED BENEFITS	441,688	Regular	3,362,731	5,050,280	1,687,549	66.59%
		OTHER COMPENSATION	35,572	Regular	358,338	730,074	371,736	49.08%
		TRAVEL & TRAINING	181	Regular	5,879	74,534	68,655	7.89%
		OPERATING SERVICES	536,942	Regular	1,311,869	2,790,270	1,478,401	47.02%
		SUPPLIES	13,866	Regular	280,667	473,958	193,291	59.22%
		PROFESSIONAL SERVICES	23,990	Regular	131,591	172,100	40,510	76.46%
		OTHER CHARGES	511,728	Regular	1,778,129	3,176,413	1,398,284	55.98%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	753,213	Regular	6,769,031	11,433,473	4,664,442	59.20%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
418		Sum:	\$2,811,125		18,940,408	\$31,378,362	12,437,954	60.36%
419	OFFICE OF STATE POLICE	SALARIES	10,432,143	Regular	115,291,861	165,034,603	49,742,742	69.86%
		RELATED BENEFITS	8,992,006	Regular	66,464,597	75,261,655	8,797,058	88.31%
		OTHER COMPENSATION	583,805	Regular	5,376,803	5,813,520	436,717	92.49%
		TRAVEL & TRAINING	18,044	Regular	217,509	1,254,536	1,037,027	17.34%
		OPERATING SERVICES	476,681	Regular	5,610,087	8,315,092	2,705,005	67.47%
		SUPPLIES	842,761	Regular	6,700,741	11,910,916	5,210,175	56.26%
		PROFESSIONAL SERVICES	6,933	Regular	244,478	629,758	385,280	38.82%
		OTHER CHARGES	2,158,970	Regular	16,480,190	42,313,302	25,833,112	38.95%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	503,229	Regular	22,023,203	39,756,582	17,733,379	55.40%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
419		Sum:	\$24,014,574		238,409,470	\$350,289,964	111,880,494	68.06%
420	OFFICE OF MOTOR VEHICLES	SALARIES	1,740,637	Regular	17,018,777	28,264,047	11,245,270	60.21%
		RELATED BENEFITS	1,468,135	Regular	11,317,511	19,078,064	7,760,553	59.32%
		OTHER COMPENSATION	33,567	Regular	331,863	851,030	519,167	39.00%
		TRAVEL & TRAINING	1,530	Regular	8,463	82,136	73,673	10.30%
		OPERATING SERVICES	178,287	Regular	2,802,361	5,033,716	2,231,355	55.67%
		SUPPLIES	148,497	Regular	1,225,131	2,853,143	1,628,012	42.94%
		PROFESSIONAL SERVICES	356	Regular	1,711	142,286	140,575	1.20%
		OTHER CHARGES	11,660	Regular	494,917	5,884,601	5,389,684	8.41%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,384,305	Regular	10,206,698	14,872,874	4,666,176	68.63%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
420		Sum:	\$4,966,974		43,407,433	\$77,061,897	33,654,464	56.33%
422	OFFICE OF STATE FIRE MARSHAL	SALARIES	858,369	Regular	9,302,500	11,937,591	2,635,091	77.93%
		RELATED BENEFITS	523,676	Regular	4,710,248	6,558,405	1,848,157	71.82%
		OTHER COMPENSATION	46,642	Regular	447,487	312,576	(134,911)	143.16%
		TRAVEL & TRAINING	6,909	Regular	50,653	197,000	146,347	25.71%
		OPERATING SERVICES	138,996	Regular	695,369	1,151,202	455,833	60.40%
		SUPPLIES	29,010	Regular	229,920	432,417	202,497	53.17%
		PROFESSIONAL SERVICES	(3,343)		5,361	7,219	1,858	74.26%
		OTHER CHARGES	254,798	Regular	2,372,236	5,519,836	3,147,600	42.98%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	13,008	Regular	3,378,292	3,410,186	31,894	99.06%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
422		Sum:	\$1,868,064		21,192,067	\$29,526,432	8,334,365	71.77%
423	LOUISIANA GAMING CONTROL BOARD	SALARIES	12,721	Regular	123,816	224,203	100,387	55.22%
		RELATED BENEFITS	11,164	Regular	86,383	146,765	60,382	58.86%
		OTHER COMPENSATION	24,207	Regular	233,755	281,484	47,729	83.04%
		TRAVEL & TRAINING	933	Regular	8,534	29,389	20,855	29.04%
		OPERATING SERVICES	4,984	Regular	30,993	44,692	13,699	69.35%
		SUPPLIES	294	Regular	3,722	31,389	27,667	11.86%
		PROFESSIONAL SERVICES	1,600	Regular	24,223	66,717	42,495	36.31%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	945	Regular	62,777	103,990	41,213	60.37%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
423		Sum:	\$56,847		574,202	\$928,629	354,427	61.83%
424	LIQUEFIED PETROLEUM GAS COMPANY	SALARIES	53,222	Regular	530,958	717,008	186,050	74.05%
		RELATED BENEFITS	32,067	Regular	294,781	373,726	78,945	78.88%
		OTHER COMPENSATION	0		1,000	81,339	80,339	1.23%
		TRAVEL & TRAINING	0		541	35,000	34,459	1.55%
		OPERATING SERVICES	1,457	Regular	11,887	24,556	12,669	48.41%
		SUPPLIES	0		4,458	6,300	1,842	70.77%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		4,657	73,412	68,755	6.34%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	22,014	Regular	211,430	230,838	19,408	91.59%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
424		Sum:	\$108,759		1,059,712	\$1,542,179	482,467	68.72%
425	LA. HIGHWAY SAFETY COMMISSION	SALARIES	72,462	Regular	722,897	1,008,063	285,166	71.71%
		RELATED BENEFITS	52,990	Regular	446,195	603,445	157,250	73.94%
		OTHER COMPENSATION	1,743	Regular	19,760	40,000	20,240	49.40%
		TRAVEL & TRAINING	0		435	104,361	103,926	0.42%

		OPERATING SERVICES	691	Regular	16,372	49,359	32,987	33.17%
		SUPPLIES	621	Regular	1,957	69,468	67,511	2.82%
		PROFESSIONAL SERVICES	131,426	Regular	1,097,586	4,177,050	3,079,464	26.28%
		OTHER CHARGES	486,265	Regular	4,029,716	15,901,974	11,872,258	25.34%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	6,538	Regular	68,012	1,707,213	1,639,201	3.98%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
425		Sum:	\$752,737		6,402,931	\$23,660,933	17,258,002	27.06%
431	NATURAL RESRCS - OFF OF SE	SALARIES	187,900	Regular	1,907,485	2,813,807	906,322	67.79%
		RELATED BENEFITS	151,140	Regular	1,465,829	2,155,910	690,081	67.99%
		OTHER COMPENSATION	1,397	Regular	20,447	29,640	9,193	68.98%
		TRAVEL & TRAINING	(45)		1,753	50,882	49,129	3.45%
		OPERATING SERVICES	601,921	Regular	7,436,622	10,962,349	3,525,727	67.84%
		SUPPLIES	2,352	Regular	8,446	84,509	76,063	9.99%
		PROFESSIONAL SERVICES	825	Regular	36,070	106,977	70,907	33.72%
		OTHER CHARGES	34,023	Regular	462,027	1,503,363	1,041,336	30.73%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	67,155	Regular	1,229,925	3,231,291	2,001,366	38.06%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	50,000	50,000	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
431		Sum:	\$1,046,669		12,568,604	\$20,988,728	8,420,124	59.88%
432	OFFICE OF CONSERVATION	SALARIES	801,139	Regular	7,990,187	10,787,228	2,797,041	74.07%
		RELATED BENEFITS	475,556	Regular	4,580,385	6,657,598	2,077,213	68.80%
		OTHER COMPENSATION	7,468	Regular	69,332	150,751	81,419	45.99%
		TRAVEL & TRAINING	1,029	Regular	10,774	184,535	173,761	5.84%
		OPERATING SERVICES	15,777	Regular	174,909	539,140	364,231	32.44%
		SUPPLIES	8,506	Regular	83,639	347,819	264,180	24.05%
		PROFESSIONAL SERVICES	11,650	Regular	40,287	152,243	111,956	26.46%
		OTHER CHARGES	0		0	249,035	249,035	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	718,433	Regular	2,935,648	4,707,566	1,771,918	62.36%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		25,689	65,128	39,439	39.44%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
432		Sum:	\$2,039,557		15,910,849	\$23,841,043	7,930,194	66.74%
434	OFFICE OF MINERAL RESOUR	SALARIES	243,108	Regular	2,531,978	3,871,480	1,339,502	65.40%
		RELATED BENEFITS	176,241	Regular	1,695,766	2,669,050	973,284	63.53%
		OTHER COMPENSATION	1,477	Regular	12,974	51,939	38,965	24.98%
		TRAVEL & TRAINING	905	Regular	2,898	100,193	97,295	2.89%
		OPERATING SERVICES	10,517	Regular	129,857	227,095	97,238	57.18%
		SUPPLIES	503	Regular	5,944	17,119	11,175	34.72%
		PROFESSIONAL SERVICES	7,848	Regular	75,363	191,559	116,196	39.34%
		OTHER CHARGES	0		0	23,000	23,000	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	105,642	Regular	1,861,061	2,044,534	183,473	91.03%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	17,050	17,050	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
434		Sum:	\$546,241		6,315,841	\$9,213,019	2,897,178	68.55%
435	OFFICE OF COASTAL MANAGE	SALARIES	227,503	Regular	2,338,949	3,228,451	889,502	72.45%
		RELATED BENEFITS	130,731	Regular	1,276,548	1,834,822	558,274	69.57%
		OTHER COMPENSATION	1,154	Regular	9,138	32,614	23,476	28.02%
		TRAVEL & TRAINING	0		78	40,000	39,922	0.19%
		OPERATING SERVICES	3,971	Regular	28,423	86,399	57,976	32.90%
		SUPPLIES	2,379	Regular	10,430	74,291	63,861	14.04%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	8,055	Regular	151,914	502,165	350,251	30.25%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	70,044	Regular	410,308	5,817,625	5,407,317	7.05%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
435		Sum:	\$443,838		4,225,788	\$11,616,367	7,390,579	36.38%
440	OFFICE OF REVENUE	SALARIES	2,846,083	Regular	28,928,026	39,889,978	10,961,952	72.52%
		RELATED BENEFITS	1,564,739	Regular	17,997,800	26,874,750	8,876,950	66.97%
		OTHER COMPENSATION	118,627	Regular	1,089,358	1,718,388	629,030	63.39%
		TRAVEL & TRAINING	13,247	Regular	55,889	1,007,068	951,179	5.55%
		OPERATING SERVICES	156,325	Regular	1,889,032	6,091,415	4,202,383	31.01%
		SUPPLIES	12,839	Regular	129,756	387,211	257,455	33.51%
		PROFESSIONAL SERVICES	51,052	Regular	489,357	1,745,949	1,256,592	28.03%
		OTHER CHARGES	54,462	Regular	470,752	992,843	522,091	47.41%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	4,197,777	Regular	22,349,314	36,311,871	13,962,557	61.55%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	1,053	Regular	302,720	729,113	426,393	41.52%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
440		Sum:	\$9,016,203		73,702,004	\$115,748,586	42,046,582	63.67%
451	LOCAL HOUSING ST ADULT OR	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	11,466,388	Regular	104,586,899	134,341,351	29,754,452	77.85%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	12,486	12,486	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
451		Sum:	\$11,466,388		104,586,899	\$134,353,837	29,766,938	77.84%
452	LOCAL HOUSING ST JUVENILE	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	105,136	Regular	993,854	1,515,114	521,260	65.60%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	1,646	1,646	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
452		Sum:	\$105,136		993,854	\$1,516,760	522,906	65.52%
474	WORKFORCE SUPPORT & TRA	SALARIES	3,194,912	Regular	32,016,668	48,242,732	16,226,064	66.37%
		RELATED BENEFITS	3,134,434	Regular	22,449,332	34,384,689	11,935,357	65.29%
		OTHER COMPENSATION	235,044	Regular	1,925,923	2,791,339	865,416	69.00%
		TRAVEL & TRAINING	10,162	Regular	89,213	940,165	850,952	9.49%
		OPERATING SERVICES	1,353,267	Regular	8,341,867	14,224,713	5,882,846	58.64%
		SUPPLIES	18,978	Regular	251,361	881,734	630,373	28.51%
		PROFESSIONAL SERVICES	121,592	Regular	2,583,558	4,265,410	1,681,852	60.57%
		OTHER CHARGES	15,835,627	Regular	137,297,562	170,226,909	32,929,347	80.66%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,306,480	Regular	13,037,059	15,384,877	2,347,818	84.74%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		423	0	(423)	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
474		Sum:	\$25,210,495		217,992,966	\$291,342,568	73,349,602	74.82%
511	WILDLIFE & FISHERIES MGMT	SALARIES	192,981	Regular	1,922,883	2,636,454	713,571	72.93%
		RELATED BENEFITS	147,928	Regular	1,341,463	2,084,185	742,722	64.36%
		OTHER COMPENSATION	3,930	Regular	46,542	38,376	(8,166)	121.28%
		TRAVEL & TRAINING	0		0	6,655	6,655	0.00%

		OPERATING SERVICES	122,337	Regular	1,053,479	1,487,426	433,947	70.83%
		SUPPLIES	1,878	Regular	25,301	96,147	70,846	26.31%
		PROFESSIONAL SERVICES	78	Regular	431	68,767	68,336	0.63%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	694,463	Regular	3,412,536	4,815,602	1,403,066	70.86%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		800	5,400	4,600	14.81%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
511		Sum:	\$1,163,596		7,803,435	\$11,239,012	3,435,577	69.43%
512	WILDLIFE& FISHERIES OFF OF	SALARIES	1,390,233	Regular	15,188,709	19,417,270	4,228,561	78.22%
		RELATED BENEFITS	1,013,095	Regular	9,606,316	14,109,229	4,502,913	68.09%
		OTHER COMPENSATION	11,869	Regular	103,065	171,418	68,353	60.12%
		TRAVEL & TRAINING	1,441	Regular	16,557	183,003	166,446	9.05%
		OPERATING SERVICES	90,143	Regular	763,132	1,100,779	337,647	69.33%
		SUPPLIES	97,279	Regular	773,948	1,441,931	667,983	53.67%
		PROFESSIONAL SERVICES	72	Regular	414	68,328	67,914	0.61%
		OTHER CHARGES	0		0	226,465	226,465	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	28,188	Regular	1,467,393	2,293,586	826,193	63.98%
		MAJOR REPAIRS	7,501	Regular	28,076	133,812	105,736	20.98%
		CAPITAL OUTLAY	0		331,855	1,397,003	1,065,148	23.75%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
512		Sum:	\$2,639,822		28,279,465	\$40,542,824	12,263,359	69.75%
513	OFFICE OF WILDLIFE	SALARIES	896,213	Regular	9,337,179	13,117,765	3,780,586	71.18%
		RELATED BENEFITS	646,400	Regular	6,163,681	9,486,315	3,322,634	64.97%
		OTHER COMPENSATION	57,566	Regular	688,086	1,190,249	502,163	57.81%
		TRAVEL & TRAINING	5,047	Regular	27,160	178,804	151,644	15.19%
		OPERATING SERVICES	101,134	Regular	1,150,306	2,416,763	1,266,457	47.60%
		SUPPLIES	117,119	Regular	953,662	3,095,807	2,142,145	30.80%
		PROFESSIONAL SERVICES	69,266	Regular	547,949	2,073,959	1,526,010	26.42%
		OTHER CHARGES	964,820	Regular	3,547,368	10,007,024	6,459,656	35.45%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	439,548	Regular	2,540,806	3,001,310	460,504	84.66%
		MAJOR REPAIRS	(21,514)		1,756,674	8,287,423	6,530,749	21.20%
		CAPITAL OUTLAY	25,914	Regular	509,278	5,072,100	4,562,822	10.04%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
513		Sum:	\$3,301,513		27,222,149	\$57,927,519	30,705,370	46.99%
514	OFFICE OF FISHERIES	SALARIES	950,536	Regular	9,650,363	13,687,953	4,037,590	70.50%
		RELATED BENEFITS	661,182	Regular	6,191,592	10,009,223	3,817,631	61.86%
		OTHER COMPENSATION	25,347	Regular	224,314	1,093,958	869,644	20.50%
		TRAVEL & TRAINING	559	Regular	3,484	134,912	131,428	2.58%
		OPERATING SERVICES	123,409	Regular	1,967,939	6,160,735	4,192,796	31.94%
		SUPPLIES	78,399	Regular	2,070,814	4,631,361	2,560,547	44.71%
		PROFESSIONAL SERVICES	73,732	Regular	1,479,590	2,417,943	938,353	61.19%
		OTHER CHARGES	162,152	Regular	1,265,207	10,313,229	9,048,022	12.27%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	115,025	Regular	946,357	1,369,853	423,496	69.08%
		MAJOR REPAIRS	235,232	Regular	433,258	1,063,475	630,217	40.74%
		CAPITAL OUTLAY	114,657	Regular	378,809	1,919,451	1,540,642	19.74%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
514		Sum:	\$2,540,230		24,611,726	\$52,802,093	28,190,367	46.61%
560	STATE CIVIL SERVICE	SALARIES	550,948	Regular	5,420,952	7,359,503	1,938,551	73.66%
		RELATED BENEFITS	280,686	Regular	3,026,225	4,388,714	1,362,489	68.95%
		OTHER COMPENSATION	3,385	Regular	27,515	93,509	65,994	29.43%
		TRAVEL & TRAINING	1,898	Regular	11,851	40,737	28,887	29.09%
		OPERATING SERVICES	19,141	Regular	314,594	469,458	154,864	67.01%
		SUPPLIES	546	Regular	7,209	18,990	11,781	37.96%
		PROFESSIONAL SERVICES	0		5,975	30,000	24,025	19.92%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	69,346	Regular	614,386	919,552	305,166	66.81%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		20,054	27,274	7,220	73.53%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
560		Sum:	\$925,950		9,448,760	\$13,347,737	3,898,977	70.79%
561	MUNIC FIRE POLICE CIVIL SE	SALARIES	101,503	Regular	989,103	1,354,204	365,101	73.04%
		RELATED BENEFITS	55,156	Regular	560,214	802,599	242,385	69.80%
		OTHER COMPENSATION	0		597	0	(597)	0.00%
		TRAVEL & TRAINING	1,198	Regular	4,267	20,183	15,916	21.14%
		OPERATING SERVICES	14,466	Regular	110,850	203,299	92,449	54.53%
		SUPPLIES	1,013	Regular	6,777	22,534	15,757	30.07%
		PROFESSIONAL SERVICES	0		0	15,000	15,000	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	4,985	Regular	45,728	110,985	65,257	41.20%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	681	Regular	1,314	2,325	1,011	56.53%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
561		Sum:	\$179,003		1,718,850	\$2,531,129	812,279	67.91%
562	ETHICS ADMINISTRATION	SALARIES	182,335	Regular	1,802,415	2,313,745	511,330	77.90%
		RELATED BENEFITS	96,689	Regular	982,912	1,327,627	344,715	74.04%
		OTHER COMPENSATION	3,200	Regular	25,634	52,278	26,644	49.03%
		TRAVEL & TRAINING	1,456	Regular	15,901	34,778	18,877	45.72%
		OPERATING SERVICES	5,962	Regular	96,615	229,851	133,236	42.03%
		SUPPLIES	1,988	Regular	7,587	19,286	11,699	39.34%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	199	Regular	1,593	21,000	19,407	7.58%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	17,822	Regular	231,587	700,911	469,324	33.04%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
562		Sum:	\$309,652		3,164,244	\$4,699,476	1,535,232	67.33%
563	STATE POLICE COMMISSION	SALARIES	18,120	Regular	180,775	235,562	54,787	76.74%
		RELATED BENEFITS	7,561	Regular	69,570	101,902	32,332	68.27%
		OTHER COMPENSATION	0		2,325	6,300	3,975	36.90%
		TRAVEL & TRAINING	428	Regular	1,873	9,000	7,127	20.81%
		OPERATING SERVICES	312	Regular	8,709	12,900	4,191	67.51%
		SUPPLIES	1,859	Regular	3,734	7,000	3,266	53.34%
		PROFESSIONAL SERVICES	0		82,810	149,075	66,265	55.55%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	3,623	Regular	86,549	156,809	70,260	55.19%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
563		Sum:	\$31,903		436,345	\$678,548	242,203	64.31%
565	BOARD OF TAX APPEALS	SALARIES	50,434	Regular	491,311	623,257	131,946	78.83%
		RELATED BENEFITS	25,932	Regular	265,365	396,910	131,545	66.86%
		OTHER COMPENSATION	13,134	Regular	113,270	174,514	61,244	64.91%
		TRAVEL & TRAINING	3,067	Regular	15,690	41,864	26,174	37.48%
		OPERATING SERVICES	1,227	Regular	12,156	50,971	38,815	23.85%
		SUPPLIES	380	Regular	3,114	16,877	13,763	18.45%
		PROFESSIONAL SERVICES	0		32,141	75,000	42,859	42.85%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	15,160	Regular	141,961	193,811	51,850	73.25%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
565		Sum:	\$109,334		1,075,008	\$1,573,204	498,196	68.33%
600	LSU BOARD OF SUPERVISORS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%

		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	1,003,213,816	1,003,213,816	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
600		Sum:	\$0		0	\$1,003,213,816	1,003,213,816	0.00%
610	LA HEALTH CARE SERVICES D	SALARIES	0		0	15,190,122	15,190,122	0.00%
		RELATED BENEFITS	0		0	24,893,663	24,893,663	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	12,291	12,291	0.00%
		OPERATING SERVICES	0		0	4,481,685	4,481,685	0.00%
		SUPPLIES	0		0	4,457,651	4,457,651	0.00%
		PROFESSIONAL SERVICES	0		0	1,833,086	1,833,086	0.00%
		OTHER CHARGES	0		0	11,439,740	11,439,740	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	791,087	791,087	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	380,459	380,459	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
610		Sum:	\$0		0	\$63,479,784	63,479,784	0.00%
615	SOUTHERN UNIV-BD OF SUPER	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	155,459,017	155,459,017	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
615		Sum:	\$0		0	\$155,459,017	155,459,017	0.00%
620	BD OF SUPRS-UNIV OF LA SYS	SALARIES	180,372	Regular	1,730,186	2,011,000	280,814	86.04%
		RELATED BENEFITS	76,626	Regular	626,497	785,100	158,603	79.80%
		OTHER COMPENSATION	504	Regular	2,100	500	(1,600)	420.00%
		TRAVEL & TRAINING	339	Regular	8,676	55,000	46,324	15.77%
		OPERATING SERVICES	1,104	Regular	111,965	228,000	116,035	49.11%
		SUPPLIES	232	Regular	3,395	15,500	12,105	21.90%
		PROFESSIONAL SERVICES	3,551	Regular	26,367	315,867	289,500	8.35%
		OTHER CHARGES	0		0	854,655,069	854,655,069	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	12,733	Regular	338,412	380,000	41,588	89.06%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	251	Regular	10,783	25,000	14,217	43.13%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
620		Sum:	\$275,713		2,858,381	\$858,471,036	855,612,655	0.33%
649	BD OF SUPRS-COMM & TECH C	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	292,564,677	292,564,677	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
649		Sum:	\$0		0	\$292,564,677	292,564,677	0.00%
653	LA SCH FOR DEAF & VISUALLY	SALARIES	945,255	Regular	8,623,539	13,174,207	4,550,668	65.46%
		RELATED BENEFITS	674,160	Regular	4,649,079	7,543,601	2,894,522	61.63%
		OTHER COMPENSATION	41,881	Regular	335,364	462,631	127,267	72.49%
		TRAVEL & TRAINING	4,874	Regular	37,151	165,592	128,441	22.44%
		OPERATING SERVICES	70,172	Regular	1,167,023	1,756,619	589,596	66.44%
		SUPPLIES	71,323	Regular	664,113	945,113	281,000	70.27%
		PROFESSIONAL SERVICES	9,720	Regular	46,221	366,371	320,150	12.62%
		OTHER CHARGES	46,459	Regular	760,250	1,347,369	587,119	56.42%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,382	Regular	784,629	939,111	154,482	83.55%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	2,500	2,500	0.00%
		UNALLOTTED	0		0	0	0	0.00%
653		Sum:	\$1,865,225		17,067,369	\$26,703,114	9,635,745	63.92%
657	JDL LA SCH MATH SCIENCE &	SALARIES	365,026	Regular	3,326,637	4,970,694	1,644,057	66.93%
		RELATED BENEFITS	196,973	Regular	1,545,988	2,235,610	689,622	69.15%
		OTHER COMPENSATION	9,826	Regular	34,804	89,000	54,196	39.11%
		TRAVEL & TRAINING	949	Regular	1,518	7,600	6,082	19.98%
		OPERATING SERVICES	46,709	Regular	288,691	380,956	92,265	75.78%
		SUPPLIES	55,463	Regular	163,112	446,800	283,688	36.51%
		PROFESSIONAL SERVICES	140	Regular	38,616	39,090	474	98.79%
		OTHER CHARGES	13,675	Regular	136,150	787,505	651,355	17.29%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		352,477	441,873	89,396	79.77%
		MAJOR REPAIRS	0		0	125,000	125,000	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
657		Sum:	\$688,761		5,887,994	\$9,524,128	3,636,134	61.82%
658	THRIVE ACADEMY	SALARIES	179,511	Regular	1,768,550	2,337,716	569,166	75.65%
		RELATED BENEFITS	83,559	Regular	721,440	957,657	236,217	75.33%
		OTHER COMPENSATION	109,067	Regular	595,272	982,676	387,404	60.58%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	174,536	Regular	1,779,262	2,139,815	360,553	83.15%
		SUPPLIES	807	Regular	204,190	371,297	167,107	54.99%
		PROFESSIONAL SERVICES	12,905	Regular	47,952	130,555	82,603	36.73%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	31,006	Regular	136,861	136,861	0	100.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
658		Sum:	\$591,391		5,253,528	\$7,056,577	1,803,049	74.45%
662	LA EDUCATIONAL TV AUTHOR	SALARIES	238,785	Regular	2,808,920	4,191,849	1,382,929	67.01%
		RELATED BENEFITS	210,673	Regular	1,693,250	2,504,412	811,162	67.61%
		OTHER COMPENSATION	7,558	Regular	20,108	8,888	(11,220)	226.24%
		TRAVEL & TRAINING	1,804	Regular	2,650	3,907	1,257	67.82%
		OPERATING SERVICES	165,046	Regular	1,276,233	1,660,202	383,969	76.87%
		SUPPLIES	2,197	Regular	37,359	62,817	25,458	59.47%
		PROFESSIONAL SERVICES	0		20,380	43,375	22,995	46.99%
		OTHER CHARGES	31,649	Regular	536,644	616,703	80,059	87.02%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	968	Regular	260,282	342,464	82,182	76.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
662		Sum:	\$658,681		6,655,825	\$9,434,617	2,778,792	70.55%
666	BR ELEMENTARY & SECONDA	SALARIES	61,637	Regular	608,886	840,782	231,896	72.42%
		RELATED BENEFITS	22,019	Regular	256,415	414,784	158,369	61.82%
		OTHER COMPENSATION	3,841	Regular	27,095	71,310	44,215	38.00%
		TRAVEL & TRAINING	1,959	Regular	15,289	56,307	41,018	27.15%

		OPERATING SERVICES	1,055	Regular	31,131	48,140	17,009	64.67%
		SUPPLIES	1,335	Regular	7,330	10,000	2,670	73.30%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	584,020	Regular	3,973,857	11,563,802	7,589,945	34.36%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,455,003	Regular	4,610,384	11,809,101	7,198,717	39.04%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	3,100	3,100	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
666		Sum:	\$2,130,869		9,530,388	\$24,817,326	15,286,938	38.40%
671	BOARD OF REGENTS	SALARIES	1,184,534	Regular	11,343,343	16,959,697	5,616,354	66.88%
		RELATED BENEFITS	535,146	Regular	5,099,553	7,909,026	2,809,473	64.48%
		OTHER COMPENSATION	25,279	Regular	234,764	282,017	47,253	83.24%
		TRAVEL & TRAINING	2,339	Regular	26,654	394,009	367,355	6.76%
		OPERATING SERVICES	702,005	Regular	6,550,646	9,023,114	2,472,468	72.60%
		SUPPLIES	7,029	Regular	66,859	276,387	209,528	24.19%
		PROFESSIONAL SERVICES	446,724	Regular	2,216,439	5,143,570	2,927,131	43.09%
		OTHER CHARGES	62,088,714	Regular	376,655,000	441,739,348	65,084,348	85.27%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	329,318	Regular	2,567,513	3,445,296	877,783	74.52%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	3,191	Regular	76,403	139,774	63,371	54.66%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
671		Sum:	\$65,324,278		404,837,175	\$485,312,238	80,475,063	83.42%
673	N.O. CENTER FOR CREATIVE A	SALARIES	346,213	Regular	3,437,966	4,543,496	1,105,530	75.67%
		RELATED BENEFITS	153,633	Regular	1,446,846	1,879,784	432,938	76.97%
		OTHER COMPENSATION	7,903	Regular	53,030	38,000	(15,030)	139.55%
		TRAVEL & TRAINING	0		5,174	8,547	3,373	60.54%
		OPERATING SERVICES	88,553	Regular	716,153	1,068,991	352,838	66.99%
		SUPPLIES	8,561	Regular	86,465	139,127	52,662	62.15%
		PROFESSIONAL SERVICES	2,698	Regular	66,043	108,965	42,922	60.61%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	202	Regular	411,159	642,770	231,611	63.97%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	726	Regular	3,630	66,069	62,439	5.49%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
673		Sum:	\$608,490		6,226,466	\$8,495,749	2,269,283	73.29%
678	DOE STATE ACTIVITIES	SALARIES	2,435,282	Regular	22,939,317	30,965,110	8,025,793	74.08%
		RELATED BENEFITS	1,399,942	Regular	13,672,615	18,173,660	4,501,045	75.23%
		OTHER COMPENSATION	249,324	Regular	2,826,672	5,248,133	2,421,461	53.86%
		TRAVEL & TRAINING	65,402	Regular	273,639	3,077,624	2,803,985	8.89%
		OPERATING SERVICES	948,390	Regular	2,801,166	7,269,663	4,468,497	38.53%
		SUPPLIES	57,557	Regular	276,218	1,442,719	1,166,501	19.15%
		PROFESSIONAL SERVICES	4,516,383	Regular	21,085,172	49,476,473	28,391,301	42.62%
		OTHER CHARGES	575,205	Regular	3,118,094	14,501,544	11,383,450	21.50%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	447,111	Regular	29,707,647	48,983,324	19,275,677	60.65%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
678		Sum:	\$10,694,595		96,700,539	\$179,138,250	82,437,711	53.98%
681	SUBGRANTEE ASSISTANCE	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	138,275,208	Regular	984,878,477	1,701,221,113	716,342,636	57.89%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	346,711	Regular	4,897,971	12,225,688	7,327,717	40.06%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
681		Sum:	\$138,621,920		989,776,448	\$1,713,446,801	723,670,353	57.77%
682	RECOVERY SCHOOL DISTRICT	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	44,450	Regular	443,518	456,475	12,957	97.16%
		OTHER COMPENSATION	83,457	Regular	733,796	977,140	243,344	75.10%
		TRAVEL & TRAINING	0		169	55,534	55,365	0.30%
		OPERATING SERVICES	2,661	Regular	11,030	784,794	773,764	1.41%
		SUPPLIES	1,353	Regular	2,819	7,200	4,381	39.15%
		PROFESSIONAL SERVICES	567,613	Regular	5,513,856	34,711,532	29,197,676	15.88%
		OTHER CHARGES	1,134,831	Regular	10,425,255	15,591,599	5,166,344	66.86%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	691	Regular	523,074	647,917	124,843	80.73%
		MAJOR REPAIRS	1,463,553	Regular	19,449,642	100,976,327	81,526,685	19.26%
		CAPITAL OUTLAY	0		0	5,400,000	5,400,000	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
682		Sum:	\$3,298,610		37,103,159	\$159,608,518	122,505,359	23.25%
695	MINIMUM FOUNDATION PROG	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	316,403,802	Regular	2,881,433,166	3,895,695,015	1,014,261,849	73.96%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
695		Sum:	\$316,403,802		2,881,433,166	\$3,895,695,015	1,014,261,849	73.96%
697	NON-PUBLIC EDUCATIONAL A	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	9,030,706	Regular	18,382,854	20,694,779	2,311,925	88.83%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
697		Sum:	\$9,030,706		18,382,854	\$20,694,779	2,311,925	88.83%
699	SPECIAL SCHOOL DISTRICTS	SALARIES	282,559	Regular	2,959,533	4,921,682	1,962,149	60.13%
		RELATED BENEFITS	252,747	Regular	2,377,359	3,696,699	1,319,340	64.31%
		OTHER COMPENSATION	21,912	Regular	193,289	45,158	(148,131)	428.03%
		TRAVEL & TRAINING	4,562	Regular	19,418	68,019	48,601	28.55%
		OPERATING SERVICES	22,258	Regular	29,798	64,352	34,554	46.31%
		SUPPLIES	0		15,179	169,637	154,458	8.95%
		PROFESSIONAL SERVICES	3,206	Regular	12,394	208,430	196,036	5.95%
		OTHER CHARGES	0		0	2,743	2,743	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,912	Regular	172,256	581,560	409,304	29.62%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
699		Sum:	\$589,155		5,779,225	\$9,758,280	3,979,055	59.22%
856	OFF OF ENVIRONMENTAL QU	SALARIES	3,357,189	Regular	34,531,907	45,845,167	11,313,260	75.32%
		RELATED BENEFITS	1,979,092	Regular	18,951,451	26,204,370	7,252,919	72.32%
		OTHER COMPENSATION	27,312	Regular	293,854	211,043	(82,811)	139.24%
		TRAVEL & TRAINING	7,454	Regular	43,415	376,443	333,028	11.53%

		OPERATING SERVICES	228,304	Regular	1,405,519	2,628,472	1,222,953	53.47%
		SUPPLIES	43,903	Regular	415,538	927,354	511,816	44.81%
		PROFESSIONAL SERVICES	318,441	Regular	2,665,039	5,984,254	3,319,215	44.53%
		OTHER CHARGES	3,758,675	Regular	24,406,640	44,110,662	19,704,022	55.33%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	739,412	Regular	10,106,163	18,127,301	8,021,138	55.75%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		172,756	1,307,351	1,134,595	13.21%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
856		Sum:	\$10,459,783		92,992,283	\$145,722,417	52,730,134	63.81%
901	SALES TAX DEDICATIONS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,664,897	Regular	25,772,891	53,824,235	28,051,344	47.88%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
901		Sum:	\$1,664,897		25,772,891	\$53,824,235	28,051,344	47.88%
903	PARISH TRANSPORTATION	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	3,455,419	Regular	26,183,573	46,400,000	20,216,427	56.43%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
903		Sum:	\$3,455,419		26,183,573	\$46,400,000	20,216,427	56.43%
905	INTERIM EMERGENCY BOARD	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	1,500	1,500	0.00%
		OTHER COMPENSATION	0		0	2,000	2,000	0.00%
		TRAVEL & TRAINING	0		0	1,000	1,000	0.00%
		OPERATING SERVICES	0		0	1,600	1,600	0.00%
		SUPPLIES	0		0	400	400	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	29,211	29,211	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	1,097	1,097	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
905		Sum:	\$0		0	\$36,808	36,808	0.00%
906	DISTRICT ATTORNEYS & ASSIS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	2,524,428	Regular	24,729,174	33,336,421	8,607,247	74.18%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		661,915	747,360	85,445	88.57%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%

		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
906		Sum:	\$2,524,428		25,391,089	\$34,083,781	8,692,692	74.50%
923	CORRECTIONS DEBT SERVICE	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	30,681	Regular	1,978,843	5,114,767	3,135,924	38.69%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
923		Sum:	\$30,681		1,978,843	\$5,114,767	3,135,924	38.69%
924	VIDEO DRAW POKER-LOC GOV	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	11,671,515	Regular	28,072,005	43,579,236	15,507,231	64.42%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
924		Sum:	\$11,671,515		28,072,005	\$43,579,236	15,507,231	64.42%
925	UNCLAIMED PROP LEV FD DEB	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		14,895,782	15,000,000	104,218	99.31%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
925		Sum:	\$0		14,895,782	\$15,000,000	104,218	99.31%
928	SUPPLEMENTAL DEPUTY SHERIFF	OTHER CHARGES	4,295,011	Regular	37,496,685	53,716,000	16,219,315	69.81%
928		Sum:	\$4,295,011		37,496,685	\$53,716,000	16,219,315	69.81%
930	HIGHER ED-DEBT SVC & MAIN	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	45,349,361	45,349,361	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
930		Sum:	\$0		0	\$45,349,361	45,349,361	0.00%

931	ECON DEV-DEBT SVC & COMM	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	2,341,583	Regular	20,208,729	104,297,582	84,088,853	19.38%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
931		Sum:	\$2,341,583		20,208,729	\$104,297,582	84,088,853	19.38%
932	TWO PERCENT FIRE INSURAN	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	18,340,000	18,340,000	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
932		Sum:	\$0		0	\$18,340,000	18,340,000	0.00%
933	GOVER CONF & INTERSTATE C	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		416,697	458,028	41,331	90.98%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
933		Sum:	\$0		416,697	\$458,028	41,331	90.98%
939	PREPAID WIRELESS TELE 911 S	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,131	Regular	5,892,118	14,125,000	8,232,882	41.71%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
939		Sum:	\$1,131		5,892,118	\$14,125,000	8,232,882	41.71%
940	PH & MUN-EMERGENCY MEDI	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	150,000	150,000	0.00%

		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
940		Sum:	\$0		0	\$150,000	150,000	0.00%
941	AGRICULTURE & FORESTRY F	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	(551)		129	0	(129)	0.00%
		OTHER COMPENSATION	(7,200)		1,680	0	(1,680)	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	886,017	Regular	12,584,349	35,053,148	22,468,799	35.90%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
941		Sum:	\$878,266		12,586,158	\$35,053,148	22,466,990	35.91%
945	MISCELLANEOUS STATE AID	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	15,381,281	Regular	327,725,660	364,794,359	37,068,699	89.84%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
945		Sum:	\$15,381,281		327,725,660	\$364,794,359	37,068,699	89.84%
966	SUP PYMTS TO LAW ENFORMN	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	5,859,171	Regular	53,138,868	70,536,083	17,397,215	75.34%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
966		Sum:	\$5,859,171		53,138,868	\$70,536,083	17,397,215	75.34%
977	DOA DEBT SERVICE AND MAIN	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,495,114	Regular	19,304,991	23,879,042	4,574,051	80.84%
		DEBT SERVICES	1,253,356	Regular	52,942,674	97,295,449	44,352,775	54.41%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
977		Sum:	\$2,748,470		72,247,665	\$121,174,491	48,926,826	59.62%

		Sum:	\$2,486,562,194		21,250,907,947	35,426,570,359	\$14,175,662,412	59.99%
--	--	------	-----------------	--	----------------	----------------	------------------	--------

**KEY:**

* There is a week delay in initial and continued claim data from Bureau Labor Statistics. This data is released on Fridays.

^ Other Activity is the net of combined wage claim reimbursements and taxes and interest collected for that week.

^^ Money comes from 3rd quarter tax collections.

** Typically payments do not occur on Saturday but the final numbers can change with any adjustments made by the end of the week.

Weekly Trust Fund Update

Week ending	Benefits Paid	Other Activity ^	UI Trust Fund Balance	UI Borrowing Account Balance	Initial Claims*	Continued Claims*
3/14/2020	\$2,234,949.96	(\$588,970.88)	\$1,051,551,910.29		2,255	13,987
3/21/2020	\$2,222,543.37	(\$1,966,460.99)	\$1,047,362,905.93		72,438	14,143
3/28/2020	\$4,802,281.94	\$2,337,155.64	\$1,044,897,779.63		97,400	58,027
4/4/2020	\$21,200,360.13	\$6,871,152.23	\$1,030,568,571.73		102,172	120,744
4/11/2020	\$28,152,999.29	\$950,000.00	\$1,003,365,572.44		79,653	217,532
4/18/2020	\$40,964,287.87	\$2,685,835.11	\$965,087,119.68		91,923	246,296
4/25/2020	\$47,029,129.98	\$3,473,708.74	\$921,531,698.44		66,141	300,657
5/2/2020	\$52,714,647.38	\$8,509,209.07	\$877,326,260.13		50,941	310,013
5/9/2020	\$54,699,717.54	\$66,126,538.73	\$888,753,081.32		40,125	325,136
5/16/2020	\$55,105,823.09	\$628,109.02	\$834,275,367.25		28,545	326,504
5/23/2020	\$54,330,661.04	\$6,048,304.91	\$785,993,011.12		23,961	328,409
5/30/2020	\$47,326,472.92	\$1,297,802.05	\$739,964,340.25		19,334	301,598

KEY:

* There is a week delay in initial and continued claim data from Bureau Labor Statistics. This data is released on Fridays.

^ Other Activity is the net of combined wage claim reimbursements and taxes and interest collected for that week.

^^ Money comes from 3rd quarter tax collections.

** Typically payments do not occur on Saturday but the final numbers can change with any adjustments made by the end of the week.

Weekly Trust Fund Update

Week ending	Benefits Paid	Other Activity ^	UI Trust Fund Balance	UI Borrowing Account Balance	Initial Claims*	Continued Claims*
6/6/2020	\$53,622,579.90	\$1,082,999.47	\$687,424,759.82		21,879	305,083
6/13/2020	\$50,556,547.36	\$6,198,431.73	\$643,066,644.19		23,122	306,358
6/20/2020	\$50,904,761.46	\$4,935,063.20	\$597,096,945.93		19,524	300,389
6/27/2020	\$51,313,091.38	\$856,365.76	\$546,640,220.31		21,976	306,089
7/4/2020	\$50,344,019.97	\$7,367,796.25	\$503,663,996.59		31,417	313,157
7/11/2020	\$52,907,778.17	\$3,656,652.06	\$454,412,870.48		26,351	312,893
7/18/2020	\$52,078,441.92	\$359,828.12	\$402,694,256.68		32,079	313,117
7/25/2020	\$52,764,310.61	\$1,532,868.29	\$351,462,814.36		18,511	324,357
8/1/2020	\$52,396,259.80	\$2,629,391.93	\$301,695,946.49		13,402	327,467
8/8/2020	\$50,567,494.04	\$14,779,923.53	\$265,908,375.98		11,131	299,974
8/15/2020	\$42,060,807.30	\$513,150.35	\$224,360,719.03		14,365	255,068
8/22/2020	\$42,331,816.97	\$59,059,943.40	\$241,088,845.46		12,529	250,720

**KEY:**

* There is a week delay in initial and continued claim data from Bureau Labor Statistics. This data is released on Fridays.

^ Other Activity is the net of combined wage claim reimbursements and taxes and interest collected for that week.

^^ Money comes from 3rd quarter tax collections.

** Typically payments do not occur on Saturday but the final numbers can change with any adjustments made by the end of the week.

Weekly Trust Fund Update

Week ending	Benefits Paid	Other Activity ^	UI Trust Fund Balance	UI Borrowing Account Balance	Initial Claims*	Continued Claims*
8/29/2020	\$41,081,472.92	\$392,981.84	\$200,400,354.38		16,191	249,610
9/5/2020	\$40,624,632.25	\$615,697.13	\$160,391,419.26		24,566	256,184
9/12/2020	\$38,743,318.69	\$2,029,191.66	\$123,677,292.23		16,182	250,244
9/19/2020	\$40,475,397.85	\$1,710,263.15	\$84,912,157.53		14,842	238,724
9/26/2020	\$35,835,929.16	(\$981,193.23)	\$48,095,035.14		16,296	214,860
10/3/2020	\$31,275,433.91	\$1,749,863.67	\$18,569,464.90		13,461	195,223
10/10/2020	\$27,451,459.76	(\$1,354,987.81)	\$0.00	(\$10,236,982.67)	13,577	165,320
10/17/2020	\$23,760,103.10	(\$27,087,095.89)	\$0.00	(\$61,084,181.66)	13,039	146,622
10/24/2020	\$20,467,122.14	\$1,183,413.91	\$0.00	(\$80,367,889.89)	9,943	128,378
10/31/2020	\$22,269,521.25	\$1,386,154.81	\$0.00	(\$101,251,256.33)	8,419	115,350
11/7/2020	\$16,266,367.57	\$14,338,700.70	\$5,577,170.53 ^^	(\$108,756,093.73)	10,045	98,935
11/14/2020	\$14,515,589.51	\$4,333,702.53	\$0.00	(\$118,937,980.71)	43,618	91,023

**KEY:**

* There is a week delay in initial and continued claim data from Bureau Labor Statistics. This data is released on Fridays.

^ Other Activity is the net of combined wage claim reimbursements and taxes and interest collected for that week.

^^ Money comes from 3rd quarter tax collections.

** Typically payments do not occur on Saturday but the final numbers can change with any adjustments made by the end of the week.

Weekly Trust Fund Update

Week ending	Benefits Paid	Other Activity ^	UI Trust Fund Balance	UI Borrowing Account Balance	Initial Claims*	Continued Claims*
11/21/2020	\$13,281,553.17	(\$2,023,455.75)	\$0.00	(\$133,460,334.29)	10,045	83,338
11/28/2020	\$11,615,879.75	\$85,470,618.48	\$73,854,738.73	(\$133,460,334.29)	11,780	74,863
12/5/2020	\$11,223,499.06	\$772,884.83	\$63,404,124.50	(\$133,460,334.29)	9,114	75,004
12/12/2020	\$9,983,869.95	(\$751,260.32)	\$52,668,994.23	(\$133,460,334.29)	9,289	66,810
12/19/2020	\$8,823,006.24	\$1,705,279.11	\$45,551,267.10	(\$133,460,334.29)	7,926	64,448
12/26/2020	\$7,886,671.18	\$553,623.11	\$38,218,219.03	(\$133,460,334.29)	8,246	60,641
1/2/2021	\$8,485,145.85	\$9,283,522.91	\$39,016,596.09	(\$133,460,334.29)	25,365	64,404
1/9/2021	\$7,790,034.19	\$1,125,556.17	\$32,352,118.07	(\$133,460,334.29)	20,497	85,773
1/16/2021	\$6,359,294.23	\$46,941.92	\$26,039,765.76	(\$133,460,334.29)	16,340	63,888
1/23/2021	\$5,917,679.70	\$1,229,133.73	\$21,351,219.79	(\$133,460,334.29)	12,004	64,916
1/30/2021	\$6,898,259.38	\$517,770.58	\$14,970,730.99	(\$133,460,334.29)	10,376	65,649
2/6/2021	\$6,809,829.02	\$15,604,543.37	\$23,765,445.34	(\$133,460,334.29)	9,010	60,711

**KEY:**

* There is a week delay in initial and continued claim data from Bureau Labor Statistics. This data is released on Fridays.

^ Other Activity is the net of combined wage claim reimbursements and taxes and interest collected for that week.

^^ Money comes from 3rd quarter tax collections.

** Typically payments do not occur on Saturday but the final numbers can change with any adjustments made by the end of the week.

Weekly Trust Fund Update

Week ending	Benefits Paid	Other Activity ^	UI Trust Fund Balance	UI Borrowing Account Balance	Initial Claims*	Continued Claims*
2/13/2021	\$7,608,542.68	\$1,044,383.09	\$17,201,285.75	(\$133,460,334.29)	6,458	54,587
2/20/2021	\$6,233,982.22	\$252,961.79	\$11,220,265.32	(\$133,460,334.29)	6,447	52,721
2/27/2021	\$6,301,861.15	\$574,702.04	\$5,493,106.21	(\$133,460,334.29)	6,981	53,212
3/6/2021	\$6,581,466.60	\$801,833.98	\$0.00	(\$133,746,860.70)	7,100	48,016
3/13/2021	\$6,702,335.24	\$1,109,951.62	\$0.00	(\$139,339,244.32)	7,195	46,170
3/20/2021	\$6,574,691.83	\$841,342.70	\$0.00	(\$145,072,593.45)	6,468	40,840
3/27/2021	\$6,329,352.50	(\$3,482,645.82)	\$0.00	(\$154,884,591.77)	12,845	42,546
4/3/2021	\$5,919,942.38	\$1,303,348.28	\$0.00	(\$159,501,185.87)	12,263	46,530
4/10/2021	\$6,216,392.63	(\$11,048,544.49)	\$0.00	(\$176,766,122.99)	9,809	50,796
4/17/2021	\$4,955,541.08	\$2,270,541.99	\$313,222.59	(\$179,764,344.67)	TBD	TBD