



SENATOR BODI WHITE
CHAIRMAN

JOINT LEGISLATIVE COMMITTEE ON THE BUDGET

STATE CAPITOL
P.O. BOX 44294, CAPITOL STATION
BATON ROUGE, LOUISIANA 70804
(225) 342-2062

REPRESENTATIVE JEROME ZERINGUE
VICE-CHAIRMAN

NOTICE OF MEETING

November 20, 2020

9:30 AM

House Chamber

A G E N D A

I. CALL TO ORDER

II. ROLL CALL

III. BUSINESS

1. Fiscal Status Statement and Five-year Baseline Budget
2. Discussion of CARES Act funding in accordance with Act 311 of the 2020 Regular Session of the Legislature.
3. BA-7 Agenda
4. Facility Planning and Control
5. Review and approval of the Fiscal Year 2020-2021 operating budget for the Greater New Orleans Expressway Commission.
6. Review and approval Municipal Police and Firemen's Supplemental Pay as required by R.S. 40:1666.9 and 1667.8.
7. Review and approval of contract amendments to the contract between the Office of Technology Services and Northwoods Consulting Partners, Inc., on behalf of the Louisiana Department of Children and Family Services, in accordance with the provisions of R.S. 39:1615(J).
8. Review and approval of the 2021 operating budget for the Louisiana Public Facilities Authority in accordance with R.S. 9:2346.

9. Review and approval of contract amendments to the contract between the Office of Technology Services and Midwestern Software Solutions (MS2), on behalf of the Department of Transportation and Development (DOTD), in accordance with the provisions of R.S. 39:1615(J).
10. Office of Group Benefits
 - A. Review and approval of the contract between the Office of Group Benefits and Louisiana Health Service & Indemnity Company d/b/a Blue Cross and Blue Shield of Louisiana, for medical third-party services in accordance with the provisions of R.S. 42:802.
 - B. Review and approval of the contract between the Office of Group Benefits and Willis Towers Watson (formerly Extend Health, Inc.) for Individual Market Medicare Exchange Broker with administrative services for health reimbursement arrangement in accordance with the provisions of R.S. 42:802.
 - C. Review and approval of contract amendments to the contract between the Office of Group Benefits and Buck Global, LLC (formerly Conduent HR Consulting, LLC) for actuarial services, pursuant to LA R.S. 39:1615(J).
11. Review and approval of the 2020-2021 operating budget for the Tobacco Settlement Financing Corporation in accordance with R.S. 39:99.6(C).
12. Report from the Commissioner of Administration regarding COVID-19 Federal Funding in accordance with Act 1, Section 2(B), of the 2020 First Extraordinary Session of the Legislature.
13. Report from the Commissioner of Administration regarding expenditures by category in accordance with Act 1, Section 20, of the 2020 First Extraordinary Session of the Legislature.
14. Report from the Commissioner of Administration regarding the deficit avoidance plan in accordance with Act 1, Section 21, of the 2020 First Extraordinary Session of the Legislature.
15. Update from the Louisiana Workforce Commission on unemployment and layoff notifications.
16. Review of any proposed plan by the Louisiana Public Defender Board to utilize funds appropriated in Act 45 of the 2020 Second Extraordinary Session of the Legislature, for the renovation or purchase of office space for district defender offices.

IV. CONSIDERATION OF ANY OTHER BUSINESS THAT MAY COME BEFORE THE COMMITTEE

V. ADJOURNMENT

Persons who do not feel comfortable giving testimony in person may submit a prepared statement in accordance with Senate Rule 13.79, in lieu of appearing before the committee. Statements may be emailed to gasconr@legis.la.gov and must be received by the committee secretary at least three hours prior to the meeting to be included in the record for this committee meeting.

Audio/visual presentations, such as PowerPoint, must be received by the committee secretary at gasconr@legis.la.gov at least twenty-four hours PRIOR to the scheduled start of the committee meeting for review and prior approval. Thumb drives will NOT be accepted.

Persons desiring to participate in the meeting should utilize appropriate protective health measures and observe the recommended and appropriate social distancing.

THIS NOTICE CONTAINS A TENTATIVE AGENDA AND MAY BE REVISED PRIOR TO THE MEETING.

BODI WHITE, CHAIRMAN

JOINT LEGISLATIVE COMMITTEE ON THE BUDGET
GENERAL FUND FISCAL STATUS STATEMENT
FISCAL YEAR 2020-2021
(\$ in millions)

November 20, 2020

	OCTOBER 2020	NOVEMBER 2020	NOVEMBER 2020 Over/(Under) OCTOBER 2020
<u>GENERAL FUND REVENUE</u>			
Revenue Estimating Conference, July 30, 2020	\$9,160.400	\$9,160.400	\$0.000
Use of the Budget Stabilization Fund	\$90.063	\$90.063	\$0.000
FY 19-20 Revenue Carried Forward into FY 20-21	\$67.251	\$67.251	\$0.000
Total Available General Fund Revenue	\$9,317.714	\$9,317.714	\$0.000
<u>APPROPRIATIONS AND REQUIREMENTS</u>			
Non-Appropriated Constitutional Requirements			
Debt Service	\$432.253	\$429.802	(\$2.451)
Interim Emergency Board	\$1.323	\$1.323	\$0.000
Revenue Sharing	\$90.000	\$90.000	\$0.000
Total Non-Appropriated Constitutional Requirements	\$523.576	\$521.125	(\$2.451)
Appropriations			
General (Act 1 of 2020 1ES, Act 45 of 2020 2ES)	\$8,563.137	\$8,577.119	\$13.982
Ancillary (Act 11 of 2020 1ES)	\$0.000	\$0.000	\$0.000
Judicial (Act 7 of 2020 1ES, Act 45 of 2020 2ES)	\$152.057	\$154.508	\$2.451
Legislative (Act 8 of 2020 1ES)	\$61.243	\$61.243	\$0.000
Capital Outlay (Act 2 of 2020 1ES)	\$0.000	\$0.000	\$0.000
Total Appropriations	\$8,776.437	\$8,792.870	\$16.434
Total Appropriations & Non-Appropriated Constitutional Requirements	\$9,300.013	\$9,313.995	\$13.982
Other Requirements			
Funds Transfer Bill (Act 10 of 2020 1ES)	\$3.001	\$3.001	\$0.000
Total Other Requirements	\$3.001	\$3.001	\$0.000
Total Appropriations and Requirements	\$9,303.014	\$9,316.996	\$13.982
General Fund Revenue Less Appropriations and Requirements	\$14.700	\$0.718	(\$13.982)

II. FY 2019-2020 Fiscal Status Summary:

In accordance with Act 1092 of the 2001 Regular Session and Act 107 of the 2002 First Extraordinary Session (R.S. 39:75), the first budget status report presented after October 15th shall reflect the fund balance for the previous fiscal year. "At the first meeting of the Joint Legislative Committee on the Budget after publication of the Comprehensive Annual Financial Report for the state of Louisiana, the commissioner of administration shall certify to the committee the actual expenditures paid by warrant or transfer and the actual monies received and any monies or balances carried forward for any fund at the close of the previous fiscal year which shall be reflected in the budget status report."

FY20 GENERAL FUND DIRECT SURPLUS/DEFICIT - ESTIMATED (millions)

FY19 Surplus/(Deficit)	534.510
Other Obligations Against Cash Carried Over from FY19 to FY20	
General Fund - Direct Carryforward	87.892
Unappropriated Use of FY17 & FY18 Surpluses	1.234
Other Receipts	1.061
Total Other Obligations Against Cash Carried Over from FY19 to FY20	90.187
FY20 General Fund - Adjusted Direct Revenues:	9,846.211
Total General Funds Available for Expenditure in FY20	10,470.907
FY20 General Fund - Direct Appropriations & Requirements:	
Draws of General Fund - Direct Appropriations	(8,939.381)
General Obligation Debt Service	(446.004)
Transfer to the Revenue Sharing Fund (Z06) - Constitution 7:26	(90.000)
Coastal Protection & Restoration Fund - R.S. 49:214.5.4	(9.815)
Transfers - Per Statute and Legislative Action	(120.982)
Other Transfers	(0.344)
FY19 Surplus - Appropriated or Transferred	(534.510)
Total FY20 General Fund - Direct Appropriations & Requirements	(10,141.035)
General Fund Direct Cash Balance	329.873
Obligations Against the General Fund Direct Cash Balance	
Unappropriated Use of FY17 & FY 18 Surplus	(1.234)
Pending FY20 adjustments to be completed in FY21	(14.832)
General Fund - Direct Carryforwards from FY20 to FY21	(67.251)
Total Obligated General Fund Direct	(83.317)
Net General Fund Direct Surplus/(Deficit)	246.556

III. Current Year Items Requiring Action

IV. Horizon Issues Not Contained in 5-Year Plan

Governor's Office of Homeland Security and Emergency Preparedness (GOHSEP)

State share owed to FEMA upon the final closeout of various disasters, including Hurricane Katrina, for public assistance (state, local and private non-profits) and hazard mitigation projects. Final closeouts of the various disasters are not expected until FY21 at the earliest and Katrina FY23, but could extend beyond the 5-year baseline projection window.

Hurricane & Storm Damage Risk Reduction System

In 2008, the State of Louisiana through the Coastal Protection & Restoration Authority Board entered into a Project Partnership Agreement with the U.S. Army Corps of Engineers to construct a Hurricane and Storm Damage Risk Reduction System (HSDRRS) around the greater New Orleans area. The HSDRRS project is a cost share agreement whereby the State is required to pay a percentage (about 35%) of the total costs of the project. Payback will occur over a 30-year period with the first payment due once the HSDRRS project is completed and accepted.

There is federal legislation pending passage by the U.S. Congress that includes changes to the Water Resources Act of 1986 (Section 103k) that adds an interest relief provision and a project crediting provision. The HSDRRS project meets the criteria outlined in the draft language for renegotiating the terms and conditions. The criteria include, projects that have been delayed 5 or more years, have exceeded 10 years of construction and have construction interest that exceeds \$45 million. Since the HSDRRS meets all criteria and to the extent the federal legislation is enacted, the state would be able to renegotiate terms and conditions of the payment arrangement.

**FIVE YEAR BASE LINE PROJECTION
STATE GENERAL FUND SUMMARY
APPROPRIATED**

	Prior Fiscal Year 2019-2020	Current Fiscal Year 2020-2021	Projected Fiscal Year 2021-2022	Projected Fiscal Year 2022-2023	Projected Fiscal Year 2023-2024
REVENUES:					
Taxes, Licenses & Fees	\$11,991,900,000	\$11,534,800,000	\$12,243,800,000	\$13,065,200,000	\$13,713,000,000
Less Dedications	(\$2,399,000,000)	(\$2,374,400,000)	(\$2,448,000,000)	(\$2,548,000,000)	(\$2,787,400,000)
TOTAL REC REVENUES	\$9,592,800,000	\$9,160,400,000	\$9,795,800,000	\$10,517,200,000	\$10,925,600,000
ANNUAL REC GROWTH RATE		-4.51%	6.94%	7.36%	3.88%
Other Revenues:					
Carry Forward Balances	\$87,891,744	\$67,251,068	\$0	\$0	\$0
Use of Budget Stabilization Fund	\$0	\$90,062,911	\$0	\$0	\$0
Total Other Revenue	\$87,891,744	\$157,313,979	\$0	\$0	\$0
TOTAL REVENUES	\$9,680,691,744	\$9,317,713,979	\$9,795,800,000	\$10,517,200,000	\$10,925,600,000
EXPENDITURES:					
General Appropriation Bill (Act 1 of 2020 1ES)	\$8,970,450,938	\$8,509,867,982	\$9,682,293,207	\$9,815,301,055	\$9,942,305,706
Ancillary Appropriation Bill (Act 11 of 2020 1ES)	\$0	\$0	\$12,647,824	\$16,771,664	\$21,039,838
Non-Appropriated Requirements	\$539,966,015	\$521,124,619	\$560,555,954	\$572,306,178	\$583,850,157
Judicial Appropriation Bill (Act 7 of 2020 1ES)	\$151,460,091	\$154,508,439	\$153,890,906	\$155,832,791	\$157,823,223
Legislative Appropriation Bill (Act 8 of 2020 1ES)	\$62,472,956	\$61,242,871	\$61,303,282	\$61,303,279	\$61,303,276
Special Acts	\$0	\$0	\$10,162,436	\$10,162,436	\$10,162,436
Capital Outlay Bill (Act 2 of 2020 1ES)	\$0	\$0	\$0	\$0	\$0
TOTAL ADJUSTED EXPENDITURES (less carryforwards)	\$9,724,350,000	\$9,246,743,911	\$10,480,853,609	\$10,631,677,403	\$10,776,484,636
ANNUAL ADJUSTED GROWTH RATE		-4.91%	13.35%	1.44%	1.36%
Other Expenditures:					
Carryforward BA-7s Expenditures	\$87,891,744	\$67,251,068	\$0	\$0	\$0
Supplemental Bill (Act 255 of 20 RS); Funds Bills (Act 362 of 2019 RS and Act 10 of 20 1ES)	(\$131,550,000)	\$3,001,000	\$0	\$0	\$0
27th Pay Period occurring in FY22-23	\$0	\$0	\$0	\$66,265,921	\$0
Total Other Expenditures	(\$43,658,256)	\$70,252,068	\$0	\$66,265,921	\$0
TOTAL EXPENDITURES	\$9,680,691,744	\$9,316,995,979	\$10,480,853,609	\$10,697,943,324	\$10,776,484,636
PROJECTED BALANCE	\$0	\$718,000	(\$685,053,609)	(\$180,743,324)	\$149,115,364
Oil Prices included in the REC forecast.	\$46.42	\$32.17	\$45.92	\$51.68	\$54.30

Office of the Commissioner
State of Louisiana
Division of Administration

JOHN BEL EDWARDS
GOVERNOR



JAY DARDENNE
COMMISSIONER OF ADMINISTRATION

November 16, 2020

The Honorable Mack A. "Bodi" White, Jr., Chairman
The Honorable Jerome "Zee" Zeringue, Vice Chairman
Joint Legislative Committee on the Budget
Post Office Box 44294
Baton Rouge, Louisiana 70804

Dear Chairman White and Vice Chairman Zeringue:

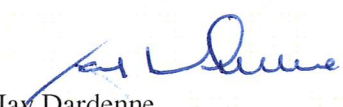
Attached hereto is the final report of disbursements in the Local CARES Program.

As I have indicated during my previous reports, all of the money now has been allocated and distributed to the various local governmental entities. The final distribution was made to all parishes with previously obligated funds that exceeded the allocation available in each distribution period. A total of \$524,873,916 was paid to 63 parishes. A total of \$955,876,646 in reimbursement requests were approved as eligible to be paid, but there were insufficient dollars available to meet these needs. Thus, \$431,002,731 of eligible expenses submitted by 35 parishes were not reimbursed. Red River Parish failed to submit any request for reimbursement. Twenty-eight parishes did not exceed their allocations and were reimbursed for all approved expenses.

No consultants were used to assist in this process. Not including me and members of my executive staff, about 20 full-time DOA employees from the Office of Community Development (led by Traci Watts) and our Internal Auditor's staff (led by Erin Sindelar) handled the process of eligibility determination and review of the submittals during four separate periods. The Office of Technology Services also provided technical support throughout this project. All funds were held by GOHSEP, which paid the recipients either by check or electronic transfer. The Division received an administrative fee of one-half of one percent, as did the Legislative Auditor's office, which was charged with the responsibility of reviewing the protocol and distribution process.

I will be providing the final report at this week's JLCB meeting. Please feel free to contact me if you have any questions.

Very truly yours,


Jay Dardenne
Commissioner of Administration

JD/si

Attachment

Louisiana CARES
Local Government Coronavirus Relief Funding

Total Activity by Parish as of November 15, 2020

Parish	Requested Amount	Adjustments	Approved Amount	Payments to Local Governments					Eligible Expenditures in Excess of Funding
				Period 1 Payments	Period 2 Payments	Period 3 Payments	Final Reallocation of Available Funding	Total Payments	
Acadia	\$ 2,486,802	\$ (47,966)	\$ 2,438,836	\$ 20,392	\$ 1,356,719	\$ 1,061,725	\$ -	\$ 2,438,836	\$ -
Allen	2,928,748	(670,309)	2,258,440	21,399	1,299,310	937,730	-	2,258,440	-
Ascension	18,942,678	(410,201)	18,532,476	68,297	8,655,571	3,249,007	576,679	12,549,554	5,982,922
Assumption	2,681,451	(39,152)	2,642,300	418	341,682	2,300,200	-	2,642,300	-
Avoyelles	1,673,749	(29,819)	1,643,930	1,507	849,740	792,683	-	1,643,930	-
Beauregard	4,043,424	(375,688)	3,667,735	155,382	1,613,415	1,001,536	78,894	2,849,227	818,509
Bienville	314,157	(26,999)	287,158	-	-	287,158	-	287,158	-
Bossier	29,545,396	4,407,312	33,952,708	3,572,982	3,478,853	3,178,899	2,085,486	12,316,220	21,636,487
Caddo	73,629,444	(512,024)	73,117,420	423,154	11,117,110	17,017,900	3,917,368	32,475,531	40,641,889
Calcasieu	34,589,401	(11,750,428)	22,838,973	1,228,145	9,594,215	7,285,992	415,886	18,524,238	4,314,735
Caldwell	924,037	1,033,083	1,957,120	2,827	680,199	251,445	89,905	1,024,376	932,744
Cameron	1,961,396	5,580	1,966,975	-	-	563,686	123,368	687,055	1,279,921
Catahoula	1,028,938	(237,229)	791,709	-	-	791,709	-	791,709	-
Claiborne	191,482	(3,562)	187,920	3,227	72,726	111,968	-	187,920	-
Concordia	3,643,147	260,372	3,903,519	605	1,001,046	508,223	210,434	1,720,308	2,183,211
Desoto	1,147,022	(303,719)	843,303	-	480,708	362,595	-	843,303	-
East Baton Rouge	156,128,719	(43,786,847)	112,341,872	18,227,298	16,230,551	12,314,843	5,764,428	52,537,120	59,804,753
East Carroll	3,389,708	(43,001)	3,346,707	-	-	2,002,588	118,167	2,120,754	1,225,953
East Feliciana	2,016,227	(80,156)	1,936,071	-	964,278	971,794	-	1,936,071	-
Evangeline	3,785,458	(536,290)	3,249,168	198,018	1,327,264	1,401,120	28,376	2,954,777	294,391
Franklin	3,804,839	(47,408)	3,757,431	-	2,334,254	1,001,465	37,074	3,372,793	384,638
Grant	1,277,097	606,847	1,883,944	3,578	905,238	538,892	38,351	1,486,059	397,885
Iberia	8,196,108	(260,610)	7,935,497	1,522,602	3,364,220	2,610,004	38,566	7,535,392	400,105
Iberville	5,848,196	(697,485)	5,150,712	-	2,732,195	2,418,516	-	5,150,712	-
Jackson	269,057	(65,288)	203,769	-	917	202,852	-	203,769	-
Jefferson	106,727,795	(6,846,561)	99,881,234	25,654,520	31,093,509	13,187,890	2,632,602	72,568,521	27,312,713
Jefferson Davis	1,973,866	13,207	1,987,073	20,257	112,132	1,854,684	-	1,987,073	-
Lafayette	51,787,039	(9,613,155)	42,173,885	6,320,408	8,777,219	7,838,298	1,691,280	24,627,205	17,546,680
Lafourche	10,078,355	(630,621)	9,447,734	2,197,464	2,562,890	4,687,381	-	9,447,734	-
Lasalle	22,871	(15,000)	7,871	-	748	7,122	-	7,871	-
Lincoln	4,751,505	279,723	5,031,228	1,094,634	1,486,178	1,290,195	101,999	3,973,006	1,058,221
Livingston	19,621,175	(2,781,538)	16,839,637	539,747	6,802,689	3,637,897	515,113	11,495,446	5,344,191
Madison	1,143,528	(141,629)	1,001,898	-	516,957	484,942	-	1,001,898	-
Morehouse	3,254,465	(5,255)	3,249,210	-	63,522	2,269,964	80,505	2,413,991	835,219
Natchitoches	10,495,385	(2,742,069)	7,753,316	981,913	1,227,403	1,096,379	391,007	3,696,701	4,056,615

Louisiana CARES
Local Government Coronavirus Relief Funding

Total Activity by Parish as of November 15, 2020

Parish	Requested Amount	Adjustments	Approved Amount	Payments to Local Governments					Eligible Expenditures in Excess of Funding
				Period 1 Payments	Period 2 Payments	Period 3 Payments	Final Reallocation of Available Funding	Total Payments	
Orleans	192,143,021	(45,413,603)	146,729,418	43,405,295	9,023,283	7,401,428	7,639,647	67,469,653	79,259,765
Ouachita	31,501,964	(2,076,165)	29,425,799	3,808,674	9,329,438	5,897,722	913,420	19,949,254	9,476,546
Plaquemines	12,458,427	138,311	12,596,738	857,147	1,074,808	596,730	885,119	3,413,805	9,182,934
Pointe Coupee	2,739,091	(26,314)	2,712,778	-	169,482	2,402,147	12,409	2,584,038	128,739
Rapides	27,510,082	(4,954,737)	22,555,345	4,327	9,624,541	3,434,920	834,439	13,898,227	8,657,119
Red River	-	-	-	-	-	-	-	-	-
Richland	3,642,080	(1,544)	3,640,536	-	-	2,034,376	141,203	2,175,580	1,464,957
Sabine	2,573,216	(147,691)	2,425,525	85,444	866,037	872,435	52,890	1,876,805	548,720
St Bernard	15,195,331	(956,468)	14,238,863	262,038	4,149,944	1,082,512	768,750	6,263,244	7,975,620
St Charles	12,737,746	(437,019)	12,300,727	-	5,338,486	1,356,712	492,803	7,188,001	5,112,726
St. Helena	941,734	253,633	1,195,367	-	521,232	521,579	13,412	1,056,223	139,144
St James	5,497,671	(293,227)	5,204,444	173,485	2,201,398	600,069	196,003	3,170,954	2,033,490
St John	7,490,918	(980,905)	6,510,013	1,097,879	2,981,753	2,430,381	-	6,510,013	-
St Landry	5,097,086	(990,640)	4,106,447	-	2,507,791	1,598,656	-	4,106,447	-
St Martin	4,695,040	(1,862,233)	2,832,807	-	1,250,604	1,582,203	-	2,832,807	-
St Mary	3,728,323	(6,655)	3,721,668	-	498,036	3,223,632	-	3,721,668	-
St Tammany	142,310,911	(12,998,548)	129,312,363	10,830,896	6,768,713	6,123,167	9,282,768	33,005,543	96,306,820
Tangipahoa	11,115,711	1,568,699	12,684,410	365,417	5,044,120	7,274,873	-	12,684,410	-
Tensas	153,779	76,673	230,453	-	217,526	12,927	-	230,453	-
Terrebonne	24,689,628	(1,412,835)	23,276,793	1,690,560	5,929,031	3,179,891	1,096,926	11,896,408	11,380,384
Union	7,920,796	(5,029,566)	2,891,230	75	1,423,804	1,467,351	-	2,891,230	-
Vermilion	2,207,199	0	2,207,199	-	1,232,958	974,240	-	2,207,199	-
Vernon	2,874,841	(581,091)	2,293,749	15,516	1,384,743	893,491	-	2,293,749	-
Washington	5,200,870	(465,737)	4,735,133	4,098	2,691,698	2,039,337	-	4,735,133	-
Webster	182,080	(22,499)	159,580	143,912	15,668	-	-	159,580	-
West Baton Rouge	6,118,553	(472,326)	5,646,228	738	1,838,992	775,456	266,470	2,881,656	2,764,572
West Carroll	568,210	(79,997)	488,213	-	178,755	309,458	-	488,213	-
West Feliciana	2,245,947	(3,713)	2,242,234	3,411	1,035,222	1,072,675	11,510	2,122,818	119,416
Winn	1,361,580	(57,775)	1,303,805	-	645,283	658,523	-	1,303,805	-
Total	\$ 1,109,204,502	\$ (153,327,856)	\$ 955,876,646	\$ 125,007,684	\$ 198,986,804	\$ 159,336,173	\$ 41,543,254	\$ 524,873,916	\$ 431,002,731
Administrative Fees - GOHSEP/DOA								2,777,394	
Administrative Fees - Legislative Auditor								2,827,394	
								\$ 530,478,704	\$ 431,002,731

Louisiana CARES Local Government Coronavirus Relief Funding Total Approved Reimbursements by Parish as of November 15, 2020															
Parish	Paid - Period 1	Paid - Period 2-a	Paid - Period 2-b	Period 3 - Disbursement #13			Period 3 - Disbursement #14			Period 3 - Disbursement #15			Final Reallocation - Disbursement #16		
				Disbursement Amount	Date Authorized	Date Paid	Disbursement Amount	Date Authorized	Date Paid	Disbursement Amount	Date Authorized	Date Paid	Disbursement Amount	Date Authorized	Date Paid
Acadia	\$ 20,392	\$ 8,703	\$ 1,348,016	\$ 1,061,725	11/9/2020	11/13/2020	\$			\$					
Allen	\$ 21,399	\$ 83,271	\$ 1,216,039	937,730	11/9/2020	11/13/2020									
Ascension	\$ 68,297	\$ 7,673,017	\$ 982,555							3,249,007	11/13/2020		576,679	11/13/2020	
Assumption	\$ 418	\$ 332,114	\$ 9,568	2,300,200	11/9/2020	11/13/2020									
Avoyelles	\$ 1,507	\$ 254	\$ 849,487	792,683	11/9/2020										
Beauregard	\$ 155,382	\$ 303,066	\$ 1,310,349	1,001,536	11/9/2020	11/13/2020							78,894	11/13/2020	
Bienville	\$	\$	\$	287,158	11/9/2020	11/13/2020									
Bossier	\$ 3,572,982	\$	\$ 3,478,853	3,178,899	11/9/2020	11/13/2020							2,085,486	11/13/2020	
Caddo	\$ 423,154	\$ 738,631	\$ 10,378,479				17,017,900	11/12/2020					3,917,368	11/13/2020	
Calcasieu	\$ 1,228,145	\$ 7,837,932	\$ 1,756,283	7,285,992	11/9/2020	11/11/2020							415,886	11/13/2020	
Caldwell	\$ 2,827	\$ 75,845	\$ 604,354	251,445	11/9/2020	11/13/2020							89,905	11/13/2020	
Cameron	\$	\$	\$	563,687	11/9/2020	11/13/2020							123,368	11/13/2020	
Catahoula	\$	\$	\$	791,709	11/9/2020	11/13/2020									
Claiborne	\$ 3,227	\$ 17,699	\$ 55,027	111,968	11/9/2020	11/13/2020									
Concordia	\$ 605	\$ 88,368	\$ 912,678	508,223	11/9/2020	11/13/2020							210,434	11/13/2020	
Desoto	\$	\$	\$ 480,708	362,595	11/9/2020	11/11/2020									
East Baton Rouge	\$ 18,227,298	\$ 16,230,551	\$	12,314,843	11/9/2020	11/13/2020							5,764,428	11/13/2020	
East Carroll	\$	\$	\$	2,002,588	11/9/2020	11/11/2020							118,167	11/13/2020	
East Feliciana	\$	\$ 112,028	\$ 852,250	971,794	11/9/2020	11/13/2020									
Evangeline	\$ 198,018	\$ 946,659	\$ 380,604				1,401,119	11/12/2020					28,376	11/13/2020	
Franklin	\$	\$ 1,857,861	\$ 476,393	1,001,466	11/9/2020	11/13/2020							37,074	11/13/2020	
Grant	\$ 3,578	\$ 18,322	\$ 886,916	538,892	11/9/2020	11/11/2020							38,351	11/13/2020	
Iberia	\$ 1,522,602	\$ 1,295,772	\$ 2,068,448	2,610,004	11/9/2020	11/13/2020							38,566	11/13/2020	
Iberville	\$	\$ 9,937	\$ 2,722,259	2,418,516	11/9/2020	11/13/2020									
Jackson	\$	\$ 217	\$ 700	202,852	11/9/2020	11/13/2020									
Jefferson	\$ 25,654,520	\$ 28,480,154	\$ 2,613,354				13,187,890	11/12/2020					2,632,602	11/13/2020	
Jefferson Davis	\$ 20,257	\$ 112,132	\$	1,854,684	11/9/2020	11/13/2020									
Lafayette	\$ 6,320,408	\$ 7,531,463	\$ 1,245,757				7,838,298	11/12/2020					1,691,280	11/13/2020	
Lafourche	\$ 2,197,464	\$ 2,562,890	\$	4,687,381	11/9/2020	11/13/2020									
Lasalle	\$	\$	\$ 748	7,122	11/9/2020	11/13/2020									
Lincoln	\$ 1,094,634	\$ 646,136	\$ 840,042	1,290,195	11/9/2020	11/11/2020							101,999	11/13/2020	
Livingston	\$ 539,747	\$ 330,965	\$ 6,471,724				3,637,897	11/12/2020					515,113	11/13/2020	
Madison	\$	\$	\$ 516,957	136,031	11/9/2020	11/13/2020	348,911	11/12/2020							
Morehouse	\$	\$ 54,935	\$ 8,587	2,269,964	11/9/2020	11/13/2020							80,505	11/13/2020	
Natchitoches	\$ 981,913	\$ 886,581	\$ 340,822	1,096,379	11/9/2020	11/13/2020							391,007	11/13/2020	
Orleans	\$ 43,405,295	\$ 9,023,283	\$	7,401,428	11/9/2020	11/11/2020							7,639,647	11/13/2020	
Ouachita	\$ 3,808,674	\$ 9,280,056	\$ 49,381							5,897,722	11/13/2020		913,420	11/13/2020	
Plaquemines	\$ 857,147	\$ 797,646	\$ 277,162				596,730	11/12/2020					885,119	11/13/2020	
Pointe Coupee	\$	\$ 9,479	\$ 160,003				2,402,147	11/12/2020					12,409	11/13/2020	
Rapides	\$ 4,327	\$ 2,080,014	\$ 7,544,527							3,434,920	11/13/2020		834,439	11/13/2020	
Red River	\$	\$	\$												
Richland	\$	\$	\$				2,034,376	11/12/2020					141,203	11/13/2020	
Sabine	\$ 85,444	\$ 5,024	\$ 861,013	872,435	11/9/2020	11/13/2020							52,890	11/13/2020	
St Bernard	\$ 262,038	\$ 4,149,944	\$							1,082,512	11/13/2020		768,750	11/13/2020	
St Charles	\$	\$ 232,827	\$ 5,105,659	1,356,712	11/9/2020	11/13/2020							492,803	11/13/2020	
St Helena	\$	\$	\$ 521,232							521,579	11/13/2020		13,412	11/13/2020	
St James	\$ 173,485	\$ 198	\$ 2,201,202				600,069	11/12/2020					196,003	11/13/2020	
St John	\$ 1,097,879	\$ 1,727,850	\$ 1,253,903	2,430,381	11/9/2020	11/11/2020									
St Landry	\$	\$ 58,466	\$ 2,449,325	1,556,340	11/9/2020	11/13/2020				42,316	11/13/2020				
St Martin	\$	\$ 841,463	\$ 409,141	1,582,203	11/9/2020	11/13/2020									
St Mary	\$	\$ 183,615	\$ 314,422	2,965,978	11/9/2020	11/13/2020	20,560	11/12/2020		237,095	11/13/2020				
St Tammany	\$ 10,830,896	\$ 6,768,713	\$	6,123,167	11/9/2020	11/13/2020							9,282,768	11/13/2020	
Tangipahoa	\$ 365,417	\$ 728,408	\$ 4,315,713				7,274,873	11/12/2020							
Tensas	\$	\$	\$ 217,526	12,927	11/9/2020	11/13/2020									
Terrebonne	\$ 1,690,560	\$ 5,929,031	\$				3,179,891	11/12/2020					1,096,926	11/13/2020	

Louisiana CARES Local Government Coronavirus Relief Funding Total Approved Reimbursements by Parish as of November 15, 2020															
Parish	Paid - Period 1	Paid - Period 2-a	Paid - Period 2-b	Period 3 - Disbursement #13			Period 3 - Disbursement #14			Period 3 - Disbursement #15			Final Reallocation - Disbursement #16		
				Disbursement Amount	Date Authorized	Date Paid	Disbursement Amount	Date Authorized	Date Paid	Disbursement Amount	Date Authorized	Date Paid	Disbursement Amount	Date Authorized	Date Paid
Union	\$ 79	\$ 1,263	\$ 1,422,542	1,467,351	11/9/2020	11/13/2020									
Vermilion	\$	\$ 4,701	\$ 1,228,257	974,240	11/9/2020	11/13/2020									
Vernon	\$ 15,516	\$ 129,629	\$ 1,255,114	840,390	11/9/2020	11/13/2020				53,101	11/13/2020				
Washington	\$ 4,098	\$ 1,476,838	\$ 1,214,861	842,828	11/9/2020	11/13/2020	1,196,508	11/12/2020							
Webster	\$ 143,912	\$ 15,668	\$												
West Baton Rouge	\$ 738	\$ 139,893	\$ 1,699,099				775,456	11/12/2020					266,470	11/13/2020	
West Carroll	\$	\$	\$ 178,755				309,458	11/12/2020							
West Feliciana	\$ 3,411	\$ 32,656	\$ 1,002,566				1,072,675	11/12/2020					11,510	11/13/2020	
Winn	\$	\$ 7,643	\$ 637,639				658,523	11/12/2020							
Total	\$ 125,007,684.02	\$ 121,859,804.27	\$ 77,127,000	\$ 81,264,640.10			\$ 63,553,281.32			\$ 14,518,251.90			\$ 41,543,254		
Grand Total	\$ 524,873,916														

Louisiana CARES Local Government Coronavirus Relief Funding Reimbursement Requests by Local Governmental Entity																
Parish	Entity	On Behalf Of	Total Allocation - Period 1 & 2	Paid through Period 2-B	Remaining Allocation Prior to Period 3	Cumulative Carryforward - Prior to Period 3	Period 3 Allocation	Total Available for Period 3	Period 3 Requested	Period 3 Approved	Period 3 Disbursements	Total Remaining Allocation	Total Excess Eligible Expenditure	Distribution of Remaining Allocation	Eligible Expenditures in Excess of Funding	
Acadia	Crowley	CITY OF CROWLEY		29,096												
Acadia	Acadia Parish Assessor Office	Acadia Parish Assessor		914												
Acadia	Acadia Parish Sheriff Office			1,347,101					987,514	1,061,725	1,061,725					
Acadia Total			5,140,979	1,377,111	3,763,868		2,298,856	6,062,724	987,514	1,061,725	1,061,725	5,000,999	-	-	-	
Allen	Allen Parish Clerk of Cour	Allen Parish Clerk of Court		25,672												
Allen	Allen Parish Police Jun	Allen Parish District Attorney Office		24,819												
Allen	Allen Parish Police Jun	Allen Parish Police Jun		119,852					420,035	178,159	178,159					
Allen	Allen Parish Sheriff Office			941,387					614,243	588,947	588,947					
Allen	Kinder			208,980					159,479	170,624	170,624					
Allen Total			1,970,383	1,320,710	649,673		1,341,947	1,991,620	1,193,758	937,730	937,730	1,053,890	-	-	-	
Ascension	23rd District Attorney Office	23rd Judicial District Attorney for Ascension Parish		8,274					6,671	6,659	2,206		4,453	391		
Ascension	Ascension Parish Clerk of Court	Ascension Parish Clerk of Court		3,175					847	847	281		566	50		
Ascension	Ascension Parish Government	Ascension Parish Government		438,308		1,277			439,621	464,178	153,754		310,423	27,290		
Ascension	Donaldsonville	The City of Donaldsonville		45,037		188			694,600	694,054	229,899		464,155	40,806		
Ascension	Gonzales	City of Gonzales		2,074,968		3,918			1,667,737	1,764,976	584,631		1,180,344	103,768		
Ascension	Ascension Parish Sheriff Office	Ascension Parish Sheriff Office		6,146,209		9,108			6,856,147	6,865,255	2,274,050		4,591,205	403,630		
Ascension	Sorrento			7,897					12,640	12,640	4,187		8,453	743		
Ascension Total			8,723,868	8,723,868	-	14,491	3,249,007	3,249,007	9,678,264	9,808,608	3,249,007	-	6,559,601	576,679	5,982,922	
Assumption	23rd District Attorney Office	23rd Judicial District Attorney for Assumption Parish		672					2,153	2,090	2,090					
Assumption	Assumption Parish Police Jury	Assumption Parish Police Jury		331,860					48,814	41,964	41,964					
Assumption	Assumption Parish Sheriff Office	Assumption Parish Sheriff Office		9,568					2,256,146	2,256,146	2,256,146					
Assumption Total			2,276,191	342,100	1,934,091	-	541,982	2,476,073	2,307,113	2,300,200	2,300,200	175,873	-	-		
Avoyelles	12th District Attorney Office	Avoyelles Parish District Attorney		3,445					8,216	8,216	8,216					
Avoyelles	Avoyelles Parish Police Jun	Avoyelles Parish Police Jun		2,471		-			24,850	24,850	24,850					
Avoyelles	Avoyelles Parish Sheriff Office	Avoyelles Parish Sheriff Office		845,331		-			760,509	759,617	759,617					
Avoyelles Total			2,377,545	851,247	1,526,298		1,377,161	2,903,459	793,575	792,683	792,683	2,110,776	-	-		
Beauregard	Beauregard Parish Police Jury	Beauregard Parish Police Jury		458,448		-			328,280	292,315	154,172		138,142	12,145		
Beauregard	DeRidder	CITY OF DERIDDER							625,429	687,751	362,733		325,018	28,574		
Beauregard	Beauregard Parish Sheriff Office	Beauregard Parish Sheriff Office		1,310,349		171,987			746,885	918,873	484,631		434,242	38,176		
Beauregard Total			1,768,797	1,768,797	-	171,987	1,001,536	1,001,536	1,700,594	1,898,939	1,001,536	-	897,403	78,894	818,509	
Bienville	Arcadia								314,157	287,158	287,158					
Bienville Total			1,352,047	-	1,352,047		339,261	1,691,308	314,157	287,158	287,158	1,404,150	-	-		
Bossier	Bossier City	City of Bossier City		5,095,905		3,894,904			5,345,289	14,412,050	1,703,084		12,708,966	1,117,292		
Bossier	Bossier Parish Sheriff Office	Bossier Parish Sheriff Office		1,955,930		5,002,328			7,733,582	12,488,822	1,475,815		11,013,007	968,194		
Bossier Total			7,051,835	7,051,835	-	8,897,233	3,178,899	3,178,899	13,078,872	26,900,872	3,178,899	-	23,721,973	2,085,486	21,636,487	
Caddo	Caddo Parish Clerk of Court	CADDO PARISH CLERK OF COURT		36,805					2,923	2,923	808		2,115	186		
Caddo	Caddo Parish Commission	CADDO PARISH COMMISSION		697,366					1,061,786	1,061,786	293,443		768,343	67,548		
Caddo	Shreveport			427,612					51,949,360	51,854,273	14,330,815		37,523,458	3,298,825		
Caddo	1st District Attorney Office			-					741,767	732,876	202,543		530,333	46,624		
Caddo	Caddo Parish Sheriff Office	Caddo Parish Sheriff's Office		10,378,479					7,925,299	7,925,299	2,190,292		5,735,007	504,185		
Caddo Total			21,878,825	11,540,262	10,338,563		6,679,337	17,017,900	61,681,134	61,577,157	17,017,900	-	44,569,257	3,917,368	40,641,889	
Calcasieu	Calcasieu Parish Assessor Office			5,406												
Calcasieu	14th District Attorney Office	14th District Attorney Office		48,369		273,829			317,164	317,164	192,305		124,859	10,977		
Calcasieu	Calcasieu Parish Clerk of Court			6,051					53,961	53,805	32,624		21,182	1,862		
Calcasieu	Calcasieu Parish Police Jury	Fire Protection District No. One of Ward One		272,698		-					-		-	-		
Calcasieu	Calcasieu Parish Police Jury	Fire Protection District No. One of Ward Six		18,717		-					-		-	-		
Calcasieu	Calcasieu Parish Police Jury	Calcasieu Parish Public Safety Communications (911) District (Revised)														
Calcasieu	Calcasieu Parish Police Jury			517,683		-					-		-	-		
Calcasieu	Calcasieu Parish Police Jury	Fire Protection District No. Two of Ward Four		346,709		-					-		-	-		
Calcasieu	Calcasieu Parish Police Jury	Calcasieu Parish Police Jury		3,546,380		40,894			447,817	447,817	271,523		176,294	15,499		
Calcasieu	Calcasieu Parish Police Jury	Calcasieu Cameron Hospital Service District		247,850		-					-		-	-		
Calcasieu	Calcasieu Parish Police Jury	Fire Protection District No. Three of Ward Four		20,893		118,279			118,279	118,279	71,716		46,563	4,094		
Calcasieu	Calcasieu Parish Police Jury	Recreation District No. One of Ward Four		20,466		115,863			127,854	127,854	77,521		50,333	4,425		
Calcasieu	Calcasieu Parish Police Jury	Recreation District No. One of Ward Three		24,898		140,951			148,225	148,225	89,873		58,352	5,130		
Calcasieu	Calcasieu Parish Sheriff Office	Calcasieu Parish Sheriff Office		1,343,715		7,607,056			7,607,056	7,607,056	4,612,361		2,994,696	263,275		
Calcasieu	DeQuincy								93,554	93,534	56,712		36,822	3,237		
Calcasieu	Lake Charles			4,111,806		-			300,927	324,198	196,570		127,628	11,220		
Calcasieu	Sulphur	City of Sulphur		290,718		1,645,818			2,277,430	2,778,681	1,684,788		1,093,893	96,168		
Calcasieu Total			10,822,360	10,822,360	-	9,942,690	7,285,992	7,285,992	11,492,265	12,016,613	7,285,992	-	4,730,621	415,886	4,314,735	
Caldwell	Caldwell Parish Police Jury			78,672					80,275	80,075	15,803		64,272	5,650		
Caldwell	Caldwell Parish Sheriff Office	Caldwell Parish Sheriff's Department		604,354		339,773			679,756	1,194,019	235,642		968,377	84,254		
Caldwell Total			683,026	683,026	-	339,773	251,445	251,445	760,032	1,274,094	251,445	-	1,022,649	89,905	932,744	
Cameron	Cameron Parish Sheriff Office	CAMERON PARISH SHERIFF'S OFFICE							1,961,396	1,965,976	563,687		1,403,289	123,368		
Cameron Total			352,217	-	352,217		211,470	563,687	1,961,396	1,965,976	563,687	-	1,403,289	123,368	1,279,921	
Catahoula	Catahoula Parish Sheriff Department								1,028,938	791,709	791,709					
Catahoula Total			1,042,905	-	1,042,905		293,825	1,336,730	1,028,938	791,709	791,709	545,021	-	-	-	
Claiborne	Claiborne Parish Police Jury			39,432					76,944	76,585	76,858					
Claiborne	Homer	Homer		36,521					37,885	35,109	35,109					
Claiborne Total			977,939	75,952	901,987		478,114	1,380,101	114,829	111,695	111,968	1,268,133	-	-	-	
Concordia	Concordia Parish Police Jury	Concordia Parish Fire Department		32,698		30,124			30,124	30,124	5,276		24,848	2,184		
Concordia	Concordia Parish Police Jury	Concordia Parish Police Jury		83,196		18,123			118,224	136,042	23,826		112,216	9,865		
Concordia	Concordia Parish Police Jury	Concordia Parish Sheriff's Office		551,629		508,205			1,525,415	2,010,768	352,159		1,658,609	145,814		
Concordia	Concordia Parish Police Jury	Concordia Parish Assessor's Office		601		-					-		-	-		
Concordia	Vidalia	Vidalia		333,528		284,380			724,935	724,935	126,963		597,972	52,570		
Concordia Total			1,001,651	1,001,651	-	840,831	508,223	508,223	2,398,698	2,901,868	508,223	-	2,393,645	210,434	2,183,211	

Louisiana CARES Local Government Coronavirus Relief Funding Reimbursement Requests by Local Governmental Entity															
Parish	Entity	On Behalf Of	Total Allocation - Period 1 & 2	Paid through Period 2-B	Remaining Allocation Prior to Period 3	Cumulative Carryforward - Prior to Period 3	Period 3 Allocation	Total Available for Period 3	Period 3 Requested	Period 3 Approved	Period 3 Disbursements	Total Remaining Allocation	Total Excess Eligible Expenditure	Distribution of Remaining Allocation	Eligible Expenditures in Excess of Funding
DeSoto	Mansfield	Mansfield		480,708					334,821	362,595	362,595				
	Desoto Total		2,385,375	480,708	1,904,666		707,190	2,611,856	334,821	362,595	362,595	2,249,261	-	-	-
East Baton Rouge	19th District Attorney Office	19th District Attorney Office		195,302		219,416			248,777	248,777	39,336		209,441	18,413	
East Baton Rouge	Baton Rouge	Central Fire Protection District		153,290		266,055			266,055	266,055	42,068		223,987	19,692	
East Baton Rouge	Baton Rouge	Eastside Fire Protection District		131,056		155,702			155,702	155,702	24,619		131,083	11,524	
East Baton Rouge	Baton Rouge	EBR Parish Fire District No. 6		80,849		140,325			140,325	140,325	22,188		118,137	10,386	
East Baton Rouge	Baton Rouge	S. George Fire Protection District		877,631		1,042,664			1,042,664	1,042,664	164,864		877,800	77,171	
East Baton Rouge	Baton Rouge			22,477,411		17,918,073			52,666,490	52,609,875	8,318,553		44,291,322	3,893,814	
East Baton Rouge	East Baton Rouge Parish Assessor's Office										5,428		7,096	624	
East Baton Rouge	East Baton Rouge Parish Sheriff Office	East Baton Rouge Sheriff's Office		9,799,755		8,361,041			19,199,926	20,242,320	3,200,669		17,041,651	1,498,194	
East Baton Rouge	Zachary			742,555		1,288,804			1,791,522	3,169,878	501,214		2,668,664	234,612	
	East Baton Rouge Total		34,457,849	34,457,848	-	29,392,080	12,314,843	12,314,843	75,511,460	77,884,024	12,314,843	-	65,569,181	5,764,428	59,804,753
East Carroll	East Carroll Parish Sheriff								3,389,708	3,346,708	2,002,588		1,344,120	118,166	
	East Carroll Total		1,848,313	-	1,848,313		154,275	2,002,588	3,389,708	3,346,708	2,002,588	-	1,344,120	118,166	1,225,953
East Feliciana	East Feliciana Parish Police Jur	911 Call Center		126,149					91,978	93,505	93,505				
East Feliciana	East Feliciana Parish Police Jur	East Feliciana Parish Police Jur		143,693					127,350	127,360	127,360				
East Feliciana	East Feliciana Parish Police Jur	Tax Assessors Office		16,197											
East Feliciana	East Feliciana Parish Sheriff Office	East Feliciana Parish Sheriff Office							570,804	570,804	570,804				
East Feliciana	Jackson			678,239					180,126	180,126	180,126				
	East Feliciana Total		1,865,181	964,278	900,903		1,389,994	2,290,897	970,258	971,794	971,794	1,319,104	-	-	-
Evangeline	Evangeline Parish Police Jury	Evangeline Parish Emergency Communication District		173,745					166,268	166,734	135,516		31,218	2,744	
Evangeline	Chataignier	Village of Chataignier							14,755	13,105	10,651			2,454	216
Evangeline	Evangeline Parish Police Jur	Evangeline Parish Solid Waste Commissior		113,783					88,622	94,720	76,986		17,735	1,559	
Evangeline	Evangeline Parish Police Jur	Evangeline Parish Police Jur		183,086					367,350	354,622	288,226		66,397	5,837	
Evangeline	Evangeline Parish Sheriff Office	Evangeline parish Sheriff Office		380,604					410,031	407,212	330,969		76,243	6,703	
Evangeline	Pine Prairie			70,060					62,825	62,825	67,317		15,507	1,363	
Evangeline	Manou			121,693					128,250	98,482	80,027		18,435	1,621	
Evangeline	Turkey Creek	Village of Turkey Creek							73,091	69,963	56,864		13,099	1,152	
Evangeline	Ville Platte			482,310					453,769	436,243	354,565		81,679	7,181	
	Evangeline Total		1,674,012	1,625,281	148,730		1,252,389	1,401,119	1,784,960	1,723,886	1,401,119	-	322,767	28,376	294,391
Franklin	Franklin Parish Sheriff Office	Franklin Parish Sheriff Office		2,334,254					1,429,687	1,423,178	1,001,466		421,712	37,074	
	Franklin Total		2,526,453	2,334,254	192,200		809,266	1,001,466	1,429,687	1,423,178	1,001,466	-	421,712	37,074	384,638
Grant	Grant Parish Police Jury	Grant Parish Police Jury		21,900					16,127	16,127	8,912		-	634	
Grant	Grant Parish Assessor	Grant Parish Assessor		11,636									-	-	
Grant	Grant Parish Sheriff Office	GRANT PARISH SHERIFF'S OFFICE		875,280		116,636			1,032,989	959,001	529,980		429,021	37,717	
	Grant Total		908,817	908,817	-	116,636	538,892	538,892	1,049,116	975,128	538,892	-	436,236	38,351	397,885
Iberia	16th District Attorney	16th Judicial District Attorney							4,183	4,183	3,581		602	53	
Iberia	Iberia Parish Governmenl			860,784					891,002	891,002	762,797		128,206	11,271	
Iberia	Jeanerette	Jeanerette		250,429					209,856	185,390	158,714		26,676	2,345	
Iberia	Loreauville			20,000							-		-	-	
Iberia	Iberia Parish Sheriff's Office			1,818,019							-		-	-	
Iberia	New Iberia	City of New Iberia		1,937,590					1,971,327	1,968,101	1,684,912		283,188	24,897	
	Iberia Total		4,979,375	4,886,823	92,552		2,517,452	2,610,004	3,076,368	3,048,675	2,610,004	-	438,671	38,566	400,105
Iberville	Grosse Tete	Village of Grosse Tete		9,937							17,580		-		
Iberville	Iberville Parish Clerk of Cour	Iberville Parish Clerk of Cour		2,503					14,111	14,111	14,111		-		
Iberville	Iberville Parish Governmen	Iberville Parish Libran							558,042	224,126	224,126		-		
Iberville	Iberville Parish Governmen	Iberville Parish Governmen		194,639					2,224,646	2,162,699	2,162,699		-		
Iberville	Iberville Parish Sheriff Office	Iberville Parish Sheriff Office		2,525,117									-		
	Iberville Total		4,426,981	2,732,195	1,694,786		874,369	2,569,155	2,814,348	2,418,516	2,418,516	150,638	-	-	-
Jackson	Jackson Parish Clerk of Court	JACKSON PARISH CLERK OF COURT		917					267,440	202,852	202,852		-	-	
	Jackson Total		1,274,307	917	1,273,390		521,990	1,795,380	267,440	202,852	202,852	1,592,527	-	-	-
Jefferson	24th District Attorney Office			4,426					39,479	54,394	16,631		37,763	3,320	
Jefferson	Grand Isle	Town of Grand Isle		95,627							-		-	-	
Jefferson	Gretna	City of Gretna		2,608,319					2,168,799	2,063,066	630,778		1,432,288	125,918	
Jefferson	Harahan			933,340					839,746	805,997	246,432		559,565	49,193	
Jefferson	Jean Lafitte			63,622					51,260	51,260	15,673		35,588	3,129	
Jefferson	Jefferson Parish Clerk of Court			787,364					996,792	986,095	301,497		684,598	60,186	
Jefferson	Jefferson Parish Coroner Office	Jefferson Parish Coroner's Office		932,777					872,620	758,224	231,825		526,398	46,278	
Jefferson	Jefferson Parish Governmenl	Avondale Volunteer Fire Departmenl		139,139					154,981	189,807	58,033		131,774	11,585	
Jefferson	Jefferson Parish Governmenl	Bridge City Volunteer Fire Company 1		152,289					118,576	141,175	43,164		98,011	8,617	
Jefferson	Jefferson Parish Governmenl	Greater New Orleans Expressway Commissior		876,945					354,413	349,890	106,978		242,912	21,355	
Jefferson	Jefferson Parish Governmenl	Harvey Volunteer Fire Co. No. 2		522,824					669,433	678,939	207,584		471,355	41,439	
Jefferson	Jefferson Parish Governmenl	Herbert Wallace Memorial Volunteer Fire Department		186,908					159,221	165,021	50,455		114,566	10,072	
Jefferson	Jefferson Parish Government	Jefferson Parish Economic Development Commission		11,136					30,869	30,669	9,377		21,292	1,872	
Jefferson	Jefferson Parish Government	Jefferson Parish Finance Authority		3,104					360	360	110		250	22	
Jefferson	Jefferson Parish Government	Jefferson Parish Governmenl		17,143,304					13,228,377	15,005,509	4,587,904		10,417,605	915,850	
Jefferson	Jefferson Parish Government	Jefferson Parish Government- Cost Share and Alario Center							1,555,386	145,874	44,601		101,274	8,903	
Jefferson	Jefferson Parish Government	Jefferson Parish Hospital Service District No. 2 - East Jefferson General Hospital		4,960,716					2,214,283	2,213,815	676,869		1,536,945	135,118	
Jefferson	Jefferson Parish Government	Jefferson Parish Hospital Service District No. 2 - East Jefferson General Hospital - 2		199,486							-		-	-	
Jefferson	Jefferson Parish Governmenl	Jefferson Parish Juvenile Cour		49,955					32,136	32,136	9,826		22,311	1,961	
Jefferson	Jefferson Parish Governmenl	Justice of the Peace - 5th District		10,715							-		-	-	
Jefferson	Jefferson Parish Governmenl	Justice of the Peace - 6th District		1,800							-		-	-	

Louisiana CARES
Local Government Coronavirus Relief Funding

Reimbursement Requests by Local Governmental Entity

Parish	Entity	On Behalf Of	Total Allocation - Period 1 & 2	Paid through Period 2-B	Remaining Allocation Prior to Period 3	Cumulative Carryforward - Prior to Period 3	Period 3 Allocation	Total Available for Period 3	Period 3 Requested	Period 3 Approved	Period 3 Disbursements	Total Remaining Allocation	Total Excess Eligible Expenditure	Distribution of Remaining Allocation	Eligible Expenditures in Excess of Funding
Jefferson	Jefferson Parish Government	Lafitte-Barataria-Crown Point Volunteer Fire Department		123,944					110,926	110,676	33,839		76,837	6,755	
Jefferson	Jefferson Parish Government	Live Oak Manor Volunteer Fire Department		131,540					118,576	136,989	41,884		95,105	8,361	
Jefferson	Jefferson Parish Government	Marrero Harvey Volunteer Fire Co. 1		359,555					356,009	407,850	124,699		283,151	24,893	
Jefferson	Jefferson Parish Government	Marrero-Estelle Volunteer Fire Co. 1		394,865					388,487	506,343	154,813		351,530	30,904	
Jefferson	Jefferson Parish Government	Marrero-Ragusa Volunteer Fire Department 3		412,413					448,117	545,552	166,801		378,750	33,297	
Jefferson	Jefferson Parish Government	Nine Mile Point Volunteer Fire Department		161,466					126,514	180,870	55,301		125,569	11,039	
Jefferson	Jefferson Parish Government	Terrytown Volunteer Fire Department		463,110					620,328	634,353	193,952		440,401	38,717	
Jefferson	Jefferson Parish Government	Third District Volunteer Fire Department		281,744					536,552	560,339	171,323		389,017	34,200	
Jefferson	Jefferson Parish Government	Timberlane Neighborhood Improvement and Beautification District		1,037					-	-	-		-	-	
Jefferson	Jefferson Parish Sheriff Office			16,601,403					8,940,524	8,940,524	2,733,547		6,206,977	545,678	
Jefferson	Kenner			8,110,690					6,296,893	7,411,668	2,266,102		5,145,565	452,366	
Jefferson	Westwego			22,468					25,810	25,810	7,892		17,919	1,575	
Jefferson Total			59,323,496	56,748,028	2,575,467		10,612,423	13,187,890	41,455,567	43,133,205	13,187,890	-	29,945,315	2,632,602	27,312,713
Jefferson Davis	Jefferson Davis Police Jury	Jefferson Davis Parish Police Jury		44											
Jefferson Davis	Jefferson Davis Parish Sheriff Office			-					1,727,383	1,727,383	1,727,383				
Jefferson Davis	Welsh			102,638					117,196	127,301	127,301				
Jefferson Davis	Jennings	City of Jennings		29,707											
Jefferson Davis Total			2,231,124	132,389	2,098,735		1,035,642	3,134,377	1,844,579	1,854,684	1,854,684	1,279,693	-	-	-
Lafayette	Duson								179,337	179,337	51,916		127,421	11,202	
Lafayette	Lafayette City-Parish Consolidated Government	Cajundome		2,639		19,063			21,463	21,463	6,213		15,250	1,341	
Lafayette	Lafayette City-Parish Consolidated Government	City Court of Lafayette		9,502		12,440			21,519	21,519	6,230		15,290	1,344	
Lafayette	Lafayette City-Parish Consolidated Government			13,844,127					9,183,185	9,182,332	2,658,191		6,524,141	573,561	
Lafayette	Lafayette Parish Sheriff Office			1,241,359		8,781,625			18,103,966	17,671,606	5,115,748		12,555,858	1,103,831	
Lafayette Total			15,097,627	15,097,627	0	8,813,129	7,838,298	7,838,298	27,509,470	27,074,257	7,838,298	-	19,237,959	1,691,280	17,546,680
Lafourche	Lafourche Parish Government			219,703					555,829	515,934	515,934				
Lafourche	Lafourche Parish Government	Greater Lafourche Port Commission		-					12,375	12,375	12,375				
Lafourche	Lafourche Parish Sheriff Office			4,540,651					4,333,281	4,159,072	4,159,072				
Lafourche Total			8,128,929	4,760,354	3,368,576		2,976,297	6,344,873	4,901,485	4,687,381	4,687,381	1,657,492	-	-	-
LaSalle	LaSalle Parish Police Jury	LaSalle Parish Police Jury		748					7,122	7,122	7,122				
LaSalle Total			794,429	748	793,681		406,044	1,199,725	7,122	7,122	7,122	1,192,602	-	-	-
Lincoln	Ruston	CITY OF RUSTON		1,740,770					897,340	1,115,215	587,184		528,031	46,421	
Lincoln	Lincoln Parish Sheriff Office	Lincoln parish Sheriff's Office		510,737		811,789			811,789	811,789	427,424		384,365	33,791	
Lincoln	Lincoln Parish Sheriff Office	Lincoln Parish Sheriff's Office - Detention Cente		329,305		523,412			523,412	523,412	275,587		247,825	21,787	
Lincoln Total			2,580,813	2,580,813	-	1,335,201	1,290,195	1,290,195	897,340	2,450,416	1,290,195	-	1,160,221	101,999	1,058,221
Livingston	Albany	Albany		50,892		20,407			88,284	74,903	28,692		46,212	4,063	
Livingston	Denham Springs			33,938		-			50,128	30,737	11,774		18,963	1,667	
Livingston	Killian			15,582		6,248			21,226	27,274	10,447		16,827	1,479	
Livingston	Livingston	Livingston		99,694		39,977			102,448	142,425	54,556		87,869	7,725	
Livingston	Livingston Parish Council	21st JDC Public Defender							6,124	6,124	2,346		3,778	332	
Livingston	Livingston Parish Council	Livingston Gravity Drainage 1		29,737		11,924			11,924	11,924	4,568		7,357	647	
Livingston	Livingston Parish Council	Livingston Gravity Drainage 2		18,843		7,556			7,556	7,556	2,894		4,662	410	
Livingston	Livingston Parish Council	Livingston Parish 21st JDC		29,262		11,734			61,394	59,430	22,765		36,665	3,223	
Livingston	Livingston Parish Council	Livingston Parish Council		302,413		2,277			53,640	52,698	20,151		32,456	2,853	
Livingston	Livingston Parish Council	Livingston Parish Fire District 5		2,423		972			971	971	372		599	53	
Livingston	Livingston Parish Council	Livingston Parish Library		38,318		15,365			34,997	34,953	13,389		21,564	1,896	
Livingston	Livingston Parish Council	Livingston Parish Sewer District		17,428		6,988			8,906	6,988	2,677		4,312	379	
Livingston	Livingston Parish Council	Livingston Recreation District 3		4,948		1,984			5,212	5,212	1,996		3,216	283	
Livingston	Livingston Parish Sheriff Office	Livingston Parish Sheriff Office		6,143,704		2,463,591			8,547,871	8,508,671	3,259,241		5,249,430	461,497	
Livingston	Springfield	Springfield		15,217		6,102			45,834	45,834	17,557		28,277	2,486	
Livingston	Walker			540,038		-			429,050	481,589	184,472		297,117	26,121	
Livingston Total			7,342,436	7,342,436	-	2,595,125	3,637,897	3,637,897	9,475,566	9,497,201	3,637,897	-	5,859,304	515,113	5,344,191
Madison	Madison Parish Sheriff Office	Madison Parish Sheriff Office		361,655					316,908	316,908	316,908				
Madison	Madison Parish Police Jury			22,984					32,004	32,004	32,004				
Madison	Tallulah			132,317					156,177	136,031	136,031				
Madison Total			1,637,373	516,957	1,120,416		543,751	1,664,167	505,088	484,942	484,942	1,179,226	-	-	-
Morehouse	Bastrop	CITY OF BASTROP		55,042					1,419,522	1,419,522	1,011,481		408,040	35,872	
Morehouse	Morehouse Parish Sheriff Office								1,766,791	1,766,166	1,258,483		507,683	44,632	
Morehouse	Morehouse Parish Police Jury	Morehouse Parish Police Jury		8,480					-	-	-		-	-	
Morehouse Total			1,643,413	63,522	1,579,891		690,073	2,269,964	3,186,313	3,185,688	2,269,964	-	915,724	80,505	835,219
Natchitoches	Natchitoches			1,868,494					1,307,444	1,301,220	257,329		1,043,691	91,772	
Natchitoches	Natchitoches Parish Sheriff Office	Natchitoches Parish Sheriff Office		340,822		2,353,288			4,366,506	4,242,781	839,050		3,403,730	299,234	
Natchitoches Total			2,209,316	2,209,316	-	2,353,288	1,096,379	1,096,379	5,673,950	5,544,001	1,096,379	-	4,447,622	391,007	4,056,615

Louisiana CARES Local Government Coronavirus Relief Funding Reimbursement Requests by Local Governmental Entity																
Parish	Entity	On Behalf Of	Total Allocation - Period 1 & 2	Paid through Period 2-B	Remaining Allocation Prior to Period 3	Cumulative Carryforward - Prior to Period 3	Period 3 Allocation	Total Available for Period 3	Period 3 Requested	Period 3 Approved	Period 3 Disbursements	Total Remaining Allocation	Total Excess Eligible Expenditure	Distribution of Remaining Allocation	Eligible Expenditures in Excess of Funding	
Orleans	New Orleans	Audubon Commission2							923,093	923,093	72,451		850,641	74,783		
Orleans	New Orleans	Audubon Institute		158,587		653,256							-	-		
Orleans	New Orleans	City of New Orleans		45,869,552		31,966,130			84,060,433	84,060,433	6,597,685		77,462,748	6,810,035		
Orleans	New Orleans	City Park		-		227,042			163,869	307,250	24,115		283,135	24,891		
Orleans	New Orleans	Criminal District Court		17,755		13,046			13,046	13,046	1,024		12,022	1,057		
Orleans	New Orleans	French Market Corporation		10,328		13,206			18,101	18,101	1,421		16,680	1,466		
Orleans	New Orleans	Mercedes-Benz Superdome/ Smoothie King Center							1,416,921							
Orleans	New Orleans	New Orleans Public Bell				48,503			48,503	48,503	3,807		44,696	3,929		
Orleans	New Orleans	Orleans Parish Communication District	1,126,338			998,466			2,006,632	3,005,099	235,862		2,769,236	243,454		
Orleans	New Orleans	Orleans Parish Justice Center							23,538	23,538	1,847		21,690	1,907		
Orleans	New Orleans	Orleans Parish Sheriff's Office	4,522,801			2,925,463			2,925,463	2,925,463	229,612		2,695,851	237,002		
Orleans	New Orleans	Port of New Orleans	510,332			603,334			2,608,736	2,091,387	164,148		1,927,240	169,431		
Orleans	New Orleans	Sewerage & Water Board of New Orleans							8,012	8,012	629		7,383	649		
Orleans	Orleans Clerk of Criminal District Court			3,351		13,802			-	13,802	1,083		12,718	1,118		
Orleans	Orleans Parish District Attorney Office	Orleans Parish District Attorney		209,533		863,115			863,115	863,115	67,744		795,371	69,924		
Orleans Total			52,428,578	52,428,578	-	38,276,861	7,401,428	7,401,428	95,079,461	94,300,840	7,401,428	-	86,899,412	7,639,647	79,259,765	
Ouachita	Monroe	Monroe		5,121,953					3,446,352	3,446,352	1,247,914		2,198,438	193,273		
Ouachita	Ouachita Parish Clerk of Court			42,214							-		-	-		
Ouachita	Ouachita Parish Police Jury	Ouachita Parish Police Jury		1,927,661					10,560,419	7,633,076	2,763,914		4,869,162	428,066		
Ouachita	Ouachita Parish Sheriff Office	OUACHITA PARISH SHERIFF OFFICE		4,213,184					3,732,205	3,769,306	1,364,854		2,404,452	211,384		
Ouachita	West Monroe	CITY of West Monroe		1,833,096					1,586,429	1,438,953	521,041		917,913	80,697		
Ouachita Total			14,387,245	13,138,111	1,249,133		4,648,589	5,897,722	19,325,405	16,287,688	5,897,722	-	10,389,966	913,420	9,476,546	
Plaquemines	25th District Attorney Office	25th Judicial District Attorney's Office for the Parish of Plaquemines		6,160									-	-		
Plaquemines	Plaquemines Parish Government	Plaquemines Parish Government		1,648,633					1,456,342	1,411,631	78,985		1,332,646	117,158		
Plaquemines	Plaquemines Parish Sheriff Office			277,162		5,224,058			4,029,094	9,253,152	517,745		8,735,408	767,962		
Plaquemines Total			1,931,955	1,931,955	-	5,224,058	596,730	596,730	5,485,435	10,664,783	596,730	-	10,068,053	885,119	9,182,934	
Pointe Coupee	Livonia	Town of Livonia		135,416					89,287	43,276	40,874		2,402	211		
Pointe Coupee	New Roads	City of New Roads							360,476	306,858	289,828		17,030	1,497		
Pointe Coupee	Pointe Coupee Parish Government	Pointe Coupee Clerk of Court		13,275					-	-	-		-	-		
Pointe Coupee	Pointe Coupee Parish Government	Pointe Coupee Parish Government		20,791					39,159	39,159	36,986		2,173	191		
Pointe Coupee	Pointe Coupee Parish Government	Pointe Coupee Fire District 3		-					-	-	-		-	-		
Pointe Coupee	Pointe Coupee Parish Government	Pointe Coupee Fire District 4		-					-	-	-		-	-		
Pointe Coupee	Pointe Coupee Parish Government	Pointe Coupee Fire District 5		-					-	-	-		-	-		
Pointe Coupee	Pointe Coupee Parish Sheriff								1,927,711	1,927,711	1,820,727		106,984	9,405		
Pointe Coupee	Pointe Coupee Parish Government	Pointe Coupee Fire District 1		-					115,294	226,292	213,733		12,559	1,104		
Pointe Coupee Total			1,689,199	169,482	1,519,716		882,431	2,402,147	2,531,926	2,543,295	2,402,147	-	141,148	12,409	128,739	
Rapides	Pineville			1,863,512					1,467,821	1,318,588	350,385		968,203	85,118		
Rapides	Rapides Parish Police Jury			96,431		7,545			138,649	132,949	35,328		97,621	8,582		
Rapides	Alexandria			1,635,014		1,659,935			3,577,184	2,979,643	791,773		2,187,870	192,344		
Rapides	Cheneyville			6,699		-			15,419	15,419	4,097		11,322	995		
Rapides	Rapides Parish Sheriff Office	Rapides Parish Sheriff Office		6,024,789		2,277,275			8,471,712	8,471,712	2,251,167		6,220,546	546,872		
Rapides	Rapides Parish Police Jury	Rapides Parish Coliseum		2,423		2,863			8,165	8,165	2,170		5,995	527		
Rapides Total			9,628,868	9,628,868	-	3,947,417	3,434,920	3,434,920	13,678,950	12,926,477	3,434,920	-	9,491,557	834,439	8,657,119	
Red River Total			501,670	-	501,670		328,006	829,676				829,676				
Richland	Richland Parish Police Jury								3,480,078	3,479,790	1,944,549		1,535,241	134,969		
Richland	Richland Parish Police Jury	Town of Delh							121,292	121,292	67,779		53,512	4,704		
Richland	Richland Parish Police Jury	Town of Mangham							40,710	39,455	22,048		17,407	1,530		
Richland Total			1,356,117	-	1,356,117		678,259	2,034,376	3,642,080	3,640,536	2,034,376	-	1,606,160	141,203	1,464,957	
Sabine	Florien	Village of Florien		26,566		10,083			11,838	21,921	12,974		8,947	787		
Sabine	Many	Town of Many, LA		167,769		2,073			5,288	145,627	86,192		59,436	5,225		
Sabine	Sabine Parish Sheriff Office	Sabine Parish Sheriff's Office		711,746		270,147			1,312,164	1,250,734	740,265		510,468	44,877		
Sabine	Sabine Parish Police Jury	Sabine Parish Police Jury		45,400		15,325			40,438	55,762	33,004		22,759	2,001		
Sabine Total			951,481	951,481	-	297,628	872,435	872,435	1,369,727	1,474,044	872,435	-	601,609	52,890	548,720	
St Bernard	St. Bernard Parish Government	St. Bernard Parish Government		923,039		2,200,361			2,187,866	4,388,227	483,399		3,904,828	343,288		
St Bernard	St. Bernard Parish Clerk of Court			9,332					179,133	134,505	14,817		119,688	10,522		
St Bernard	St. Bernard Parish Sheriff Office			3,479,611		1,574,071			3,802,480	5,304,148	584,296		4,719,853	414,940		
St Bernard Total			4,411,982	4,411,982	-	3,774,432	1,082,512	1,082,512	6,169,479	9,826,881	1,082,512	-	8,744,369	768,750	7,975,620	
St Charles	St. Charles Parish Government			1,221,547		332,602			190,503	512,996	99,966		413,030	36,311		
St Charles	St. Charles Parish Sheriff Office	St. Charles Parish Sheriff Office		4,116,939		1,384,926			5,064,352	6,449,244	1,256,746		5,192,498	456,492		
St Charles Total			5,338,486	5,338,486	-	1,717,528	1,356,712	1,356,712	5,254,855	6,962,241	1,356,712	-	5,605,529	492,803	5,112,726	
St. Helena	St. Helena Parish Sheriff Office	St. Helena Parish Sheriff Office		445,951					216,060	347,644	268,973		78,671	6,916		
St. Helena	Greensburg	Town Of Greensburg							187,122	140,330	108,574		31,757	2,792		
St. Helena	St. Helena Parish Police Jury	St. Helena Fire Dist. No 4							108,578	98,003	75,625		22,178	1,950		
St. Helena	St. Helena Parish Police Jury			75,281					88,158	88,158	68,208		19,950	1,754		
St Helena Total			683,556	521,232	162,324		359,255	521,579	599,919	674,135	521,579	-	152,556	13,412	139,144	
St James	23rd District Attorney Office	23rd Judicial District Attorney for St James Parish		798					926	926	196		730	64		
St James	Gramercy			59,206					134,148	134,148	28,449		105,699	9,292		
St James	Lutcher								53,938	53,938	11,439		42,499	3,736		
St James	St. James Parish Council			113,676					1,269,084	1,127,247	239,057		888,191	78,084		
St James	St. James Parish Sheriff Office	St. James Parish Sheriff Office		2,201,202					1,512,567	1,513,302	320,928		1,192,374	104,826		
St James Total			2,415,592	2,374,882	40,710		559,359	600,069	2,970,664	2,829,561	600,069	-	2,229,493	196,003	2,033,490	
St John	St. John the Baptist Parish Government	St. John the Baptist Parish Government		1,490,624					1,113,239	1,096,994	1,096,994					
St John	St. John the Baptist Parish Sheriff Office	ST JOHN THE BAPTIST PARISH SHERIFF OFFICE		2,589,008					1,341,564	1,333,387	1,333,387					
St John Total			6,294,887	4,079,632	2,215,255		895,932	3,111,187	2,454,803	2,430,381	2,430,381	680,806	-	-	-	

Louisiana CARES Local Government Coronavirus Relief Funding Reimbursement Requests by Local Governmental Entity															
Parish	Entity	On Behalf Of	Total Allocation - Period 1 & 2	Paid through Period 2-B	Remaining Allocation Prior to Period 3	Cumulative Carryforward - Prior to Period 3	Period 3 Allocation	Total Available for Period 3	Period 3 Requested	Period 3 Approved	Period 3 Disbursements	Total Remaining Allocation	Total Excess Eligible Expenditure	Distribution of Remaining Allocation	Eligible Expenditures in Excess of Funding
St Landry	Leonville			33,325					26,341	26,341	26,341				
St Landry	St. Landry Parish Assessor			19,028											
St Landry	Grand Coteau	TOWN OF GRAND COTEAU		4,336											
St Landry	St. Landry Parish Government	St. Landry Parish Government		96,742					975,844	960,768	960,768				
St Landry	Palmetto	Village of Palmetto							16,974	15,660	15,660				
St Landry	St. Landry Parish Sheriff Office	St. Landry Parish Sheriff's Office		2,312,026					1,411,227	528,042	528,042				
St Landry	Opelousas								31,850	25,530	25,530				
St Landry	Washington	Washington		42,334					44,815	42,316	42,316				
St Landry Total			4,823,820	2,507,791	2,316,029		3,003,447	5,319,476	2,507,052	1,595,656	1,595,656	3,720,820	-	-	-
St Martin	St. Martin Parish Government			6,333					8,145	8,145	8,145				
St Martin	16th District Attorney Office	16th Judicial District Attorney							4,457	4,457	4,457				
St Martin	St. Martin Parish Sheriff Office			835,130					1,482,823	1,461,466	1,461,466				
St Martin	St. Martinville	St. Martinville		409,141					102,344	108,135	108,135				
St Martin Total			4,515,320	1,250,604	3,264,716		1,633,364	4,898,080	1,597,770	1,582,203	1,582,203	3,315,877	-	-	-
St Mary	Berwick	Berwick		313,809					257,654	257,654	257,654				
St Mary	16th District Attorney Office	16th Judicial District Attorney							2,309	2,309	2,309				
St Mary	Patterson								1,101	20,560	20,560				
St Mary	St. Mary Parish Government	St. Mary Parish Government		133,224					29,215	28,338	28,338				
St Mary	St. Mary Parish Sheriff Office			51,003					2,914,771	2,914,771	2,914,771				
St Mary Total			3,457,235	498,036	2,959,198		1,686,713	4,645,911	3,205,050	3,223,632	3,223,632	1,422,279	-	-	-
St Tammany	Abita Springs			-		6,156			177,015	173,262	9,497		163,765	14,397	
St Tammany	Covington	Covington		-		83,292			2,106,721	2,112,877	115,810		1,997,066	175,569	
St Tammany	Madisonville			-		83,972			99,155	177,218	9,714		167,504	14,726	
St Tammany	Mandeville	City of Mandeville		9,600		-			30,033	114,005	6,249		107,756	9,473	
St Tammany	Pearl River			-		-			5,050	5,541	304		5,237	460	
St Tammany	Slidell	City of Slidell		963,761		-			1,644,189	2,486,964	136,315		2,350,649	206,654	
St Tammany	St. Tammany Parish Assessor Office			7,608		7,203			65,443	72,646	3,982		68,664	6,036	
St Tammany	St. Tammany Parish Clerk of Court	St. Tammany Parish Clerk of Court		6,712		58,918			311,805	310,881	17,040		293,841	25,833	
St Tammany	22nd District Attorney Office					-			10,651	9,387	515		8,873	780	
St Tammany	St. Tammany Parish Government	22ND JUDICIAL DISTRICT COURT		17,226		-			7,323	11,079	607		10,471	921	
St Tammany	St. Tammany Parish Government	FLORIDA PARISHES JUVENILE DETENTION CTR		-		-			145,805	-	-		-	-	
St Tammany	St. Tammany Parish Government	GNOEC		-		348,347			500,239	498,300	27,313		470,988	41,406	
St Tammany	St. Tammany Parish Government	RECREATION DISTRICT NO 1 - PELICAN PARK				-			36,821	36,821	2,018		34,803	3,060	
St Tammany	St. Tammany Parish Government	SLIDELL MEMORIAL HOSPITAL		5,189,000		13,546,377			14,061,648	25,668,488	1,406,934		24,261,554	2,132,922	
St Tammany	St. Tammany Parish Government	ST TAMMANY MOSQUITO ABATEMENT DISTRICT		-		8,776			8,776	8,776	481		8,295	729	
St Tammany	St. Tammany Parish Government	ST TAMMANY PARISH DEVELOPMENT DISTRICT		-		126,054			15,454	141,292	7,744		133,548	11,741	
St Tammany	St. Tammany Parish Government	ST TAMMANY PARISH GOVERNMENT		1,131,962		2,884,589			5,791,739	5,771,407	316,341		5,455,066	479,575	
St Tammany	St. Tammany Parish Government	ST TAMMANY PARISH HOSPITAL SERVICE DISTRICT NO.1		8,545,957		-			61,513,797	63,677,629	3,490,280		60,187,349	5,291,291	
St Tammany	St. Tammany Parish Government	St. Tammany Parish Library		4,270		13,720			15,979	29,699	1,628		28,071	2,468	
St Tammany	St. Tammany Parish Sheriff Office	N/A		1,723,513		5,093,026			10,408,485	10,408,485	570,397		9,838,088	864,727	
St Tammany Total			17,599,608	17,599,608	-	22,260,430	6,123,167	6,123,167	96,954,126	111,712,755	6,123,167	-	105,589,588	9,282,768	96,306,820
Tangipahoa	Amite City			285,970					338,830	305,852	305,852				
Tangipahoa	Hammond	City of Hammond		78,801					85,958	85,958	85,958				
Tangipahoa	Independence								170,760	157,317	157,317				
Tangipahoa	Ponchatoula	City of Ponchatoula		535,833					1,035,842	1,035,842	1,035,842				
Tangipahoa	Tangipahoa Parish Clerk of Court	Tangipahoa Parish Clerk of Court		12,880							-				
Tangipahoa	Tangipahoa Parish Government	21st Judicial District Court		9,198					72,622	72,635	72,635				
Tangipahoa	Tangipahoa Parish Government	Hammond Area Recreation District No. 1		4,231					47,871	47,871	47,871				
Tangipahoa		Hospital Service District No. 2 - Hood Memorial Hospital		1,256,052					1,508,556	1,566,489	1,566,489				
Tangipahoa	Tangipahoa Parish Government	Office of Public Defender							26,681	10,555	10,555				
Tangipahoa	Tangipahoa Parish Government	Tangipahoa Communication District No. 1		3,375					7,140	7,095	7,095				
Tangipahoa	Tangipahoa Parish Government	Tangipahoa Parish Fire District No. 1							858,715	828,060	828,060				
Tangipahoa	Tangipahoa Parish Government	Tangipahoa Parish Government		780,684					1,172,967	751,659	751,659				
Tangipahoa	Tangipahoa Parish Government	Tangipahoa Parish Library		153,826					25,073	25,073	25,073				
Tangipahoa	Tangipahoa Parish Government	Tangipahoa Parish Tourism Commissioner		13,313											
Tangipahoa	Tangipahoa Parish Sheriff Office	Tangipahoa Parish Sheriff Office		2,275,376					2,380,464	2,380,464	2,380,464				
Tangipahoa Total			9,332,246	5,409,538	3,922,709		3,838,260	7,760,969	7,731,479	7,274,873	7,274,873	486,096	-	-	-
Tensas	Tensas Parish Sheriff's Office			217,526		12,927			12,927	12,927	12,927				
Tensas Total				217,526	-	12,927	163,872	163,872	12,927	12,927	12,927	140,945	-	-	-
Terrebonne	Terrebonne Parish Clerk of Court			1,768		107			2,496	2,603	529		2,075	182	
Terrebonne	Terrebonne Parish Consolidated Governmen	Bayou Cane Fire District							32,846	31,401	6,377		25,024	2,200	
Terrebonne	Terrebonne Parish Consolidated Governmen	City Court of Houma		754					1,042	1,087	221		866	76	
Terrebonne	Terrebonne Parish Consolidated Governmen	Terrebonne General Medical Center		4,298,090		259,872			9,744,526	9,744,526	1,979,059		7,765,467	682,691	
Terrebonne	Terrebonne Parish Consolidated Governmen	Terrebonne Parish Consolidated Governmen		3,296,119		97,397			3,322,068	3,273,944	664,920		2,609,024	229,369	
Terrebonne	Terrebonne Parish Consolidated Governmen	Terrebonne Parish District Attorney Office		10,802		332			927	988	140		548		
Terrebonne	Terrebonne Parish Consolidated Government	Terrebonne Parish Waterworks District No. 1 - Consolidated		12,058		729			10,335	11,064	2,247		8,817	775	
Terrebonne	Terrebonne Parish Sheriff Office	Terrebonne Parish Sheriff's Office		-		1,577,485			1,014,403	2,591,888	526,398		2,065,490	181,585	
Terrebonne Total			7,619,591	7,619,591	-	1,935,922	3,179,891	3,179,891	14,128,643	15,657,201	3,179,891	-	12,477,310	1,096,926	11,380,384

Louisiana CARES
Local Government Coronavirus Relief Funding
Reimbursement Requests by Local Governmental Entity

Parish	Entity	On Behalf Of	Total Allocation - Period 1 & 2	Paid through Period 2-B	Remaining Allocation Prior to Period 3	Cumulative Carryforward - Prior to Period 3	Period 3 Allocation	Total Available for Period 3	Period 3 Requested	Period 3 Approved	Period 3 Disbursements	Total Remaining Allocation	Total Excess Eligible Expenditure	Distribution of Remaining Allocation	Eligible Expenditures in Excess of Funding
Union	Union Parish Clerk of Court			75											
Union	Marion			1,263					14,396	14,281	14,281		-		
Union	Farmerville	Town of Farmerville		120,400					913,948	710,130	710,130		-		
Union	Union Parish Police Jury	Union Parish Police Jury		714,688					345,622	298,656	298,656		-		
Union	Union Parish Sheriff Office	Union Parish Sheriff's Office		587,453					444,283	444,283	444,283		-		
Union Total			2,402,389	1,423,879	978,510		658,686	1,637,196	1,718,249	1,467,351	1,467,351	169,845	-	-	-
Vermilion	Vermilion Parish Police Jury			4,701					13,179	13,179	13,179				
Vermilion	Vermilion Parish Sheriff Office	Vermilion Parish Sheriff Office		1,228,257					961,061	961,061	961,061				
Vermilion Total			2,530,803	1,232,958	1,297,845		2,024,193	3,322,038	974,240	974,240	974,240	2,347,797	-	-	-
Vernon	Vernon Parish Police Jury	Vernon Parish Police Jury		108,797					82,789	53,101	53,101				
Vernon	30th District Attorney Office	Vernon Parish District Attorney's Office		69,581					115	115	115				
Vernon	Simpson			850					840,275	840,275	840,275				
Vernon	Vernon Parish Sheriff Office	Vernon Parish Sheriff's Office		1,221,030											
Vernon Total			1,840,829	1,400,258	440,570		1,225,191	1,665,761	923,179	893,491	893,491	772,270	-	-	-
Washington	Washington Parish Sheriff Office	Washington Parish Sheriff's Office		920,284					843,506	842,828	842,828				
Washington	Bogalusa	Bogalusa		1,510,467					1,223,991	1,196,508	1,196,508				
Washington	Washington Parish Government			265,045											
Washington Total			4,268,186	2,695,797	1,572,389		1,213,917	2,786,306	2,067,497	2,039,337	2,039,337	746,970	-	-	-
Webster	Doyleine	Village of Doyleine		16,925											
Webster	Springhill			131,312											
Webster	Webster Parish Assessor			1,636											
Webster	Webster Parish Clerk of Court	Webster Parish Clerk of Court		9,707											
Webster Total			2,754,986	159,581	2,595,405		1,076,578	3,671,983	-	-		3,671,983	-	-	-
West Baton Rouge	Brusly	Town of Brusly		135,789					168,131	162,038	33,010		129,027	11,343	
West Baton Rouge	Addis	Town of Addis		4,842					406,658	406,658	82,844		323,814	28,468	
West Baton Rouge	West Baton Rouge Parish Sheriff	West Baton Rouge Parish Sheriff		1,699,099		1,328,313			2,151,739	3,237,803	659,602		2,578,201	226,659	
West Baton Rouge Total			1,839,730	1,839,730	-	1,328,313	775,456	775,456	2,726,529	3,806,498	775,456	-	3,031,042	266,470	2,764,572
West Carroll	West Carroll Parish Sheriff Office	West Carroll Parish		178,755					163,512	165,335	165,335				
West Carroll	Oak Grove			-					159,305	144,123	144,123				
West Carroll Total			755,433	178,755	576,678		286,033	862,711	323,117	309,458	309,458	553,253	-	-	-
West Feliciana	St. Francisville	TOWN OF ST FRANCISVILLE		3,411					256,209	244,871	218,235		26,637	2,342	
West Feliciana	West Feliciana Parish Government	West Feliciana Parish		36,716					68,051	68,051	60,649		7,402	651	
West Feliciana	West Feliciana Parish Government	West Feliciana Clerk of Court							11,498	11,498	10,247		1,251	110	
West Feliciana	West Feliciana Parish Government	West Feliciana Library							7,138	6,873	6,125		748	66	
West Feliciana	West Feliciana Parish Government	West Feliciana Sheriff's Office		998,506					837,633	872,307	777,419		94,888	8,342	
West Feliciana Total			1,571,904	1,038,633	533,271		539,404	1,072,675	1,180,529	1,203,601	1,072,675	-	130,928	11,510	119,416
Winn	Winn Parish Police Jury			7,643					66,824	74,367	74,367				
Winn	Winn Parish Sheriff Office	Winn Parish Sheriff Office		637,639					584,021	584,156	584,156				
Winn Total			1,350,029	645,283	704,747		459,020	1,163,767	650,845	658,523	658,523	605,244	-	-	-
Local Government Total			\$ 393,655,436	323,994,488	\$ 69,660,949	\$ 143,587,981	\$ 131,218,474	\$ 200,879,423	590,839,717	631,881,886	159,336,173.3	41,543,250	472,545,985	41,543,254	431,002,731

Total Payments Distributed483,330,662
Total Allocation524,873,916
Adjustment (Rounding) \$ 40

LOUISIANA

DEPARTMENT of REVENUE

Frontline Worker COVID-19 Hazard Pay Rebate Program

Act 12 (1ES2020) created the Frontline Worker COVID-19 Hazard Pay Rebate Program which is administered by the Louisiana Department of Revenue ("LDR"). Act 12 provides a one-time hazard pay rebate of \$250 for essential critical infrastructure workers whose adjusted gross income is \$50,000 or less and worked 200 hours on the frontline between March 22 to May 14, 2020.

Applicants could apply for the rebate with the LDR either online at frontlineworkers.la.gov or by paper application from July 15 to October 31, 2020. The program's funding was appropriated from the CARES Act funds and is limited to \$50,000,000.

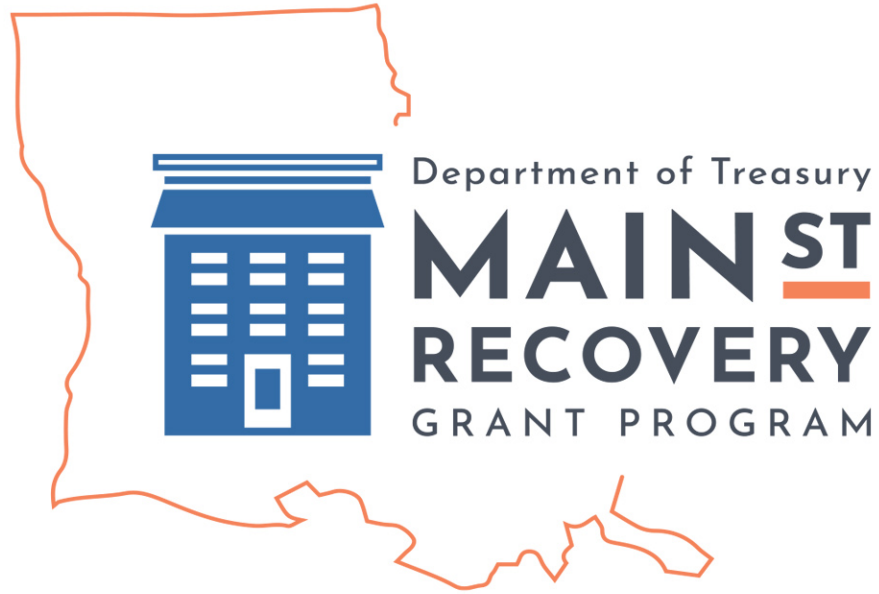
Program Statistics (as of November 17, 2020)

Application & Rebate Status	Correspondence	Funding Status
<ul style="list-style-type: none">• Applications Received<ul style="list-style-type: none">• 241,491• Rebates Issued to Applicants<ul style="list-style-type: none">• 142,294• Applications Under Review<ul style="list-style-type: none">• 46,460	<ul style="list-style-type: none">• Request for Information Letters<ul style="list-style-type: none">• 40,827• Denial Letters<ul style="list-style-type: none">• 7,756 (<i>Income exceeds \$50k</i>)• 44,981 (<i>Ineligible for other reasons</i>)	<ul style="list-style-type: none">• Rebates Amount Issued to Applicants<ul style="list-style-type: none">• \$35.4M (<i>electronic applications</i>)• \$129k (<i>paper applications</i>)• Program Dollars Available to Issue<ul style="list-style-type: none">• \$14.4M

Additional Updates:

- About 98% of applications have been reviewed by the Department's staff.
 - Over 80% of those applications have been closed due to approval of the rebate or denial due to ineligibility. Over 52,700 applicants were determined ineligible.
 - Remaining applications are awaiting additional information that has been requested from the applicant. The applicant has 30 days to submit the information.
- **Approval rate averages about 77.6%**
 - Projections show approximately \$11.6M - \$12.0M of the \$14.4M in program dollars available to issue are obligated and will likely be issued.
- The application window closed October 31, 2020, and no new applications can be submitted.

This Report was prepared by LDR and is intended for review by the Joint Legislative Committee on the Budget at its November 20, 2020 meeting.



Report to the Joint Legislative Committee on the Budget November 20, 2020



Program Overview (as of 5:00 p.m., November 18, 2020)

- **\$247M** has been paid to or awarded to **19,807** small businesses.
- **95%** of all available grant funds have been awarded. The program expects to exhaust funds for new grants the week of Thanksgiving.
- The program will be able to make awards up to application number MSRP 20-34000. Application numbers above MSRP 20-34000 are highly dependent on awards issued to prior applicants.
- More than **\$149M** has been paid to minority, woman, or veteran owned businesses, more than **triple** what was required by law.
- **60%** of paid applications are from minority, woman, or veteran owned businesses.
- **48%** of applications did not receive prior federal funding.



Program Overview (as of 5:00 p.m., November 18, 2020)

Applications (Type)	Number	Amount
Paid and Awarded (to be paid)	19,807	\$247,016,352
Denied	12,814	0
In Appeals	941	**\$5,646,000
Total	33,562	\$252,662,352

**Estimated



Program Stats – Minority Business Applicants (as of 5:00 p.m., November 18, 2020)

MBE Designation	Inception to Date
Total Businesses at least 50% owned by by either a Minority, Woman, or Veteran	26,269
Total Businesses NOT considered at least 50% owned by either a Minority, Woman, or Veteran	14,447
Question Left Blank	38
Total MSRP Applicants	40,754

75% of 26,269 - Owned
by a Minority

74% of 26,269 - Owned
by a Woman

13% of 26,269 - Owned
by a Veteran



Program Stats – Application Value by Industry Sector (as of 5:00 p.m., November 18, 2020)

Sector Name	Count of Total Applications	Paid Amount	Percent of Total Paid
Sector Not Defined	140	\$1,636,093.37	0.70%
Agriculture, Forestry, Fishing and Hunting	848	\$11,411,359.43	4.86%
Mining	147	\$2,060,247.93	0.88%
Utilities	11	\$159,655.50	0.07%
Construction	1,179	\$15,611,564.80	6.65%
Manufacturing	733	\$9,110,409.91	3.88%
Wholesale Trade	333	\$4,372,476.69	1.86%
Retail Trade	2,226	\$27,005,145.38	11.51%
Transportation and Warehousing	1,633	\$19,893,329.59	8.48%
Information	198	\$2,339,462.39	1.00%
Finance and Insurance	471	\$6,340,971.04	2.70%



Program Stats – Application Value by Industry Sector (as of 5:00 p.m., November 18, 2020)

Sector Name	Count of Total Applications	Paid Amount	Percent of Total Paid
Real Estate Rental and Leasing	1,085	\$13,808,186.49	5.89%
Professional, Scientific and Technical Services	2,067	\$25,125,363.96	10.71%
Management of Companies and Enterprises	7	\$95,597.55	0.04%
Administrative and Support and Waste Management and Remediation Services	858	\$9,610,149.24	4.10%
Educational Services	333	\$3,549,252.01	1.51%
Health Care and Social Assistance	1,562	\$19,693,920.69	8.39%
Arts, Entertainment and Recreation	780	\$9,213,315.62	3.93%
Accommodation and Food Services	1,721	\$23,466,567.06	10.00%
Other Services (except Public Administration)	2,631	\$28,851,687.45	12.30%
Public Administration	95	\$1,253,904.05	0.53%
Total	19,058	*\$234,608,660.15	100.00%

***Paid Amount does not include ~\$6.4 million of supplemental payments.**



Awards and Fund Balance (as of 5:00 p.m., November 18, 2020)

Average Time To Review and Disburse Award	41.63 days
Average Grant Award Paid To Date	\$12,650
Obligated Fund Balance	\$260,000,000
Unobligated Fund Balance	\$0

Louisiana Main Street Recovery Program

Parish Breakdown

as of 5:00PM CT on November 18, 2020



	Active	Paid	*Count of Total Applications	% of Total Applications	Paid Amount	% of Paid Amount
Acadia	100	163	347	0.85%	\$1,974,427.07	0.84%
Allen	19	59	114	0.28%	\$701,640.66	0.30%
Ascension	152	452	927	2.27%	\$5,757,563.19	2.45%
Assumption	37	57	136	0.33%	\$640,661.26	0.27%
Avoyelles	224	253	570	1.40%	\$3,290,675.12	1.40%
Beauregard	32	65	116	0.28%	\$838,368.94	0.36%
Bienville	23	33	80	0.20%	\$448,634.48	0.19%
Bossier	173	314	665	1.63%	\$4,042,184.81	1.72%
Caddo	521	1,002	2,132	5.23%	\$12,409,662.35	5.29%
Calcasieu	238	411	947	2.32%	\$5,285,502.63	2.25%
Caldwell	7	12	23	0.06%	\$150,273.75	0.06%
Cameron	4	14	20	0.05%	\$178,156.87	0.08%
Catahoula	22	53	93	0.23%	\$683,350.17	0.29%
Claiborne	11	20	42	0.10%	\$254,748.75	0.11%
Concordia	23	47	106	0.26%	\$633,496.10	0.27%
DeSoto	41	78	155	0.38%	\$989,041.61	0.42%
East Baton Rouge	928	2,250	5,061	12.42%	\$27,394,961.40	11.68%
East Carroll	6	14	30	0.07%	\$168,101.22	0.07%
East Feliciana	16	48	101	0.25%	\$531,588.69	0.23%
Evangeline	45	107	189	0.46%	\$1,283,175.29	0.55%
Franklin	26	44	105	0.26%	\$586,106.78	0.25%
Grant	11	19	44	0.11%	\$228,263.26	0.10%
Iberia	187	395	748	1.84%	\$4,895,447.43	2.09%
Iberville	64	114	264	0.65%	\$1,300,620.56	0.55%
Jackson	11	25	47	0.12%	\$290,283.39	0.12%
Jefferson	1,141	2,817	5,822	14.29%	\$34,087,543.55	14.53%
Jefferson Davis	12	47	89	0.22%	\$530,345.58	0.23%
La Salle	35	53	105	0.26%	\$688,511.76	0.29%
Lafayette	584	1,048	2,189	5.37%	\$13,208,171.28	5.63%
Lafourche	96	292	564	1.38%	\$3,684,552.09	1.57%
Lincoln	93	170	344	0.84%	\$2,262,721.40	0.96%
Livingston	123	304	614	1.51%	\$3,800,348.21	1.62%
Madison	11	20	49	0.12%	\$234,987.89	0.10%
Morehouse	57	53	153	0.38%	\$611,531.90	0.26%
Natchitoches	35	92	204	0.50%	\$1,202,422.06	0.51%
Orleans	1,045	2,673	6,184	15.17%	\$31,919,753.87	13.61%
Other	0	1	39	0.10%	\$10,206.75	0.00%
Ouachita	410	608	1,351	3.31%	\$7,728,634.77	3.29%
Plaquemines	91	237	441	1.08%	\$3,085,511.63	1.32%
Pointe Coupee	35	87	167	0.41%	\$1,006,395.03	0.43%
Rapides	225	320	720	1.77%	\$4,047,707.00	1.73%
Red River	12	19	42	0.10%	\$218,417.99	0.09%
Richland	44	69	144	0.35%	\$875,831.59	0.37%
Sabine	27	65	113	0.28%	\$713,923.85	0.30%
St. Bernard	70	182	406	1.00%	\$2,096,562.42	0.89%
St. Charles	48	147	309	0.76%	\$1,630,467.64	0.69%
St. Helena	32	34	101	0.25%	\$387,388.30	0.17%
St. James	24	52	128	0.31%	\$605,589.79	0.26%
St. John the Baptist	50	164	388	0.95%	\$1,749,144.00	0.75%
St. Landry	181	322	644	1.58%	\$4,096,503.27	1.75%
St. Martin	133	260	507	1.24%	\$3,316,727.01	1.41%
St. Mary	71	156	325	0.80%	\$1,882,142.23	0.80%
St. Tammany	414	1,151	2,212	5.43%	\$14,530,482.50	6.19%
Tangipahoa	228	403	948	2.33%	\$4,963,887.31	2.12%
Tensas	6	16	31	0.08%	\$166,791.67	0.07%
Terrebonne	225	453	958	2.35%	\$5,700,661.54	2.43%
Union	108	90	225	0.55%	\$1,247,547.50	0.53%
Vermilion	79	175	330	0.81%	\$2,065,769.64	0.88%
Vernon	36	61	116	0.28%	\$809,408.36	0.35%
Washington	31	95	191	0.47%	\$1,023,033.17	0.44%
Webster	34	66	146	0.36%	\$873,662.70	0.37%
West Baton Rouge	54	118	224	0.55%	\$1,410,635.85	0.60%
West Carroll	6	25	36	0.09%	\$304,458.97	0.13%
West Feliciana	22	45	82	0.20%	\$608,544.32	0.26%
Winn	17	19	53	0.13%	\$264,797.98	0.11%
Total:	8,866	19,058	40,756	100%	\$234,608,660.15	100%

*Includes those records marked
as 'Stopped'



Christopher A. Keaton
Legislative Fiscal Officer

STATE OF LOUISIANA

Legislative Fiscal Office
BATON ROUGE

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To: The Honorable Mack "Bodi" White, Chairman
Joint Legislative Committee on the Budget
The Honorable Members of the Joint Legislative Committee on the Budget

From: Christopher A. Keaton, Legislative Fiscal Officer *CAK*
Alan Boxberger, LFO Staff Director *AB*

Date: November 13, 2020

Subject: Joint Legislative Committee on the Budget
Meeting November 20, 2020

Attached are the Legislative Fiscal Office BA-7 (Budget Adjustment) write-ups for the November 20th meeting of the Joint Legislative Committee on the Budget.

The LFO recommends approval of all BA-7's.

Also attached is the Greater New Orleans Expressway Commission (GNOEC) budget analysis for FY 21.

Please contact me if you have questions or need additional information.

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

DEPARTMENT: Justice

AGENDA NO.: 1

AGENCY: Attorney General

ANALYST: Rebecca Robinson

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Administrative	\$0	0
Interagency Transfers:	\$0	Civil Law	\$2,513,973	0
Self-Generated Revenue:	\$0	Criminal Law & Medicaid Fraud	\$0	0
Statutory Dedications:	\$2,513,973	Risk Litigation	\$0	0
Federal Funds:	\$0	Gaming	\$0	0
Total	<u>\$2,513,973</u>	Total	<u>\$2,513,973</u>	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 is to increase the budget authority from the statutorily dedicated Department of Justice Legal Support Fund. Funding is to pay a professional services contract (Mike Moore Law Firm) related to the state's ongoing opioid litigation. The current contract was initiated on October 15, 2017 and expired October 15, 2020. Due to the higher than expected volume of discovery the contract amount has been amended twice for the current total of \$2.6 M, which the AG anticipates funding out of their existing operating budget. The AG's office has drafted a new contract in anticipation of continued discovery in the opioid litigation in the amount of \$2,513,973 for the period of October 15, 2020 to October 14, 2023. The AG's office cannot submit this draft contract to the Office of Contract Review for approval until the BA-7 has been approved and the office can demonstrate sufficient revenue and budget authority for the contract expenditures.

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

DEPARTMENT: Transportation & Development

AGENDA NO.: 2

AGENCY: Engineering & Operations

ANALYST: Alan M. Boxberger

Means of Financing		Expenditures by Program		T.O.
State General Fund:	\$0	Engineering	\$0	0
Interagency Transfers:	\$0	Multimodal Planning	\$14,979,370	0
Self-Generated Revenue:	\$0	Operations	\$0	0
Statutory Dedications:	\$0	Aviation	\$0	0
Federal Funds:	\$14,979,370			
Total	\$14,979,370	Total	\$14,979,370	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to provide \$14.98 M in federal direct budget authority through a grant from the Federal Transit Administration's (FTA) Nonurbanized Area Formula Program pursuant to provisions of the federal Coronavirus Aid, Relief, and Economic Security (CARES) Act. These funds will provide subrecipients in nonurbanized areas with federal assistance for COVID-19 related capital and operating expenses. DOTD will only serve as a pass-through on these FTA Nonurbanized formula grants. Funds are 100% federal share with no local match requirement. Eligible recipients include public bodies and private nonprofit organizations.

FTA financial assistance under the CARES Act may be used for operating assistance and intercity bus. The total award to Louisiana under the CARES Act for these grants is \$40,059,259, with \$34,050,370 available for operating assistance to rural transit programs and \$6,008,889 for intercity bus for bus transportation between municipalities statewide. The grant award is for a period of 3 years (36 months). DOTD began providing supplemental contracts to the 37 rural general public transit providers beginning in FY 20 and the contracts will continue through FY 23. This BA-7 seeks to appropriate the FY 21 allocation.

Recipients under the FTA Nonurbanized Area Formula Program that will receive an allocation of these funds are as follows:

Allen Parish Police Jury	\$425,000
Assumption Parish Police Jury	\$395,000
Avoyelles Parish Police Jury	\$260,000
Beauregard / City of DeRidder	\$350,000
Bienville Parish Police Jury	\$425,000
Calcasieu Parish Police Jury	\$300,000
Caldwell Parish Police Jury	\$188,000
Cameron Parish Police Jury	\$350,000
Claiborne Parish Police Jury	\$290,000
DeSoto Parish Police Jury	\$314,000
East Feliciana Parish Police Jury	\$338,000
Evangeline / City of Ville Platte	\$386,000
Iberia Parish Government	\$449,000
Iberville Parish Sheriff	\$605,000
Jefferson Davis Parish Police Jury	\$359,000
Lincoln Parish Police Jury	\$257,000
Livingston Parish Council	\$485,000
Madison Parish Police Jury	\$218,000
Ouachita / City of West Monroe	\$530,000
Plaquemines Parish Government	\$482,000
Pointe Coupee Parish Government	\$780,000
Rapides	\$170,000
Red River Parish Police Jury	\$183,000

October 22, 2020

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

River Parishes Transit Authority	\$923,370
St. Helena Parish Police Jury	\$230,000
St. James Parish Government	\$580,000
St. Landry Parish Government	\$200,000
St. Martin Parish Government	\$221,000
St. Mary / City of Franklin	\$329,000
St. Tammany Parish Government	\$870,000
Tangipahoa Parish Council	\$308,000
Terrebonne Parish Consolidated Gov't	\$870,000
Vermilion Parish Police Jury	\$239,000
Vernon Parish Police Jury	\$368,000
Washington Parish Government	\$512,000
Webster Parish Police Jury	\$590,000
West Feliciana	\$200,000
Total	\$14,979,370

Note: An additional \$123 M has been awarded directly to urban transit systems statewide. These funds will not pass through DOTD and are awarded directly to the agencies providing urban transportation services. The FTA will provide direct oversight for the urban system funding.

II. IMPACT ON FUTURE FISCAL YEARS

The total award to Louisiana under the CARES Act for these grants is \$40,059,259, with \$34,050,370 available for operating assistance to rural transit programs and \$6,008,889 for intercity bus for bus transportation between municipalities statewide. This BA-7 will appropriate \$14.98 M in FY 21. DOTD previously expended \$3.5 M in FY 20 between 3/1/20 and 6/30/20. DOTD will require appropriations in future fiscal years to expend the balance of available grant funds, including \$6 M in FY 22 and \$15.6 M in FY 23. **Note:** Because DOTD's base operating budget on 12/1/20 will contain \$14.98 M from this source in FY 21, the FY 22 executive operating budget adjustment will be a net negative adjustment of \$8 M (\$14.98 M base less \$6 M FY 22 projected need).

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

DEPARTMENT: Health

AGENDA NO.: 3

AGENCY: Behavioral Health

ANALYST: Shawn Hotstream

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Administration & Support	\$7,624,075	0
Interagency Transfers:	\$0	Behavioral Health Community	\$0	0
Self-Generated Revenue:	\$0	Hospital Based Treatment	\$0	0
Statutory Dedications:	\$0	Auxiliary Account	\$0	0
Federal Funds:	\$7,624,075			
Total	\$7,624,075	Total	\$7,624,075	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to provide \$7.6 M in federal direct budget authority to the Office of Behavioral Health (OBH) to provide for new and continuing grants awarded in FY 21. The total awards from the five respective grants total to \$31.6 M in projected expenditures during FY 21. Only \$7.6 M is requested, as the BA-7 also reduces excess authority in various other grants to balance federal budget authority to projected grant expenditures. At initial appropriation, OBH had a federal funds appropriation tied to grant expenditures totaling \$60,276,190. OBH projects FY 21 federal funds expenditures associated with the five new grants as well as eleven continuing grants at \$67,900,265. OBH is requesting an increase in federal direct expenditure authority sufficient to make up the difference between the existing appropriation and FY 21 projected expenditures.

New Grants

COVID-19 Emergency Response for Suicide Prevention Project (ERSP) - \$800,000 total grant with \$550,362 projected in FY 21
Provides funding to advance efforts to prevent suicide and suicide attempts among adults 25 and older in order to reduce the overall suicide rate and number of suicides in the state. OBH will partner with the Mental Health Association of Greater Baton Rouge and other statewide and regional agencies to implement comprehensive suicide prevention programs for at risk adults 25 and older. This project will be implemented in southeast Louisiana and this area was chosen due to the high COVID-19 rates of infection and deaths and rates of domestic violence incidents.

Zero Suicide Grant - \$700,000 per year for five years with \$678,358 projected in FY 21
Provides funding to four Local Governmental Entities (LGE) and two state operated psychiatric hospitals that were selected based on the prevalence of suicide deaths in their respective catchment areas. The LGEs selected are Florida Parishes, South Central Louisiana, Acadiana Area and Northeast Delta. This program will seek to implement a systemwide, organizational commitment to safer suicide care in health and behavioral health care systems.

Continuing Grants that are not included in the current appropriation

Crisis Counseling Program (CCP) COVID-19 - \$7,722,778 from 8/22/20 to 5/21/21
After receiving a major disaster declaration for the State of Louisiana as the number of COVID-19 cases grew, Louisiana applied for and was awarded a CCP grant beginning in April of 2020. OBH oversees coordination of the state's COVID-19 Crisis Counseling Program known as Louisiana Spirit and leads the coordination of stress management services to support the emotional well-being of CCP staff and other workforces providing care and support to others during the pandemic. All ten LGEs were offered funding under the CCP grant, but only five accepted: Florida Parishes, Capital Area, Central Louisiana, Northwest Louisiana and Metropolitan. The remaining five districts reported existing capacity and/or access to additional funds that could be used to provide crisis counseling services for COVID-19 impacted individuals.

Louisiana State Opioid Response (LaSOR) Grant Part 2.0 - \$34,524,922 two year grant with \$6,277,704 needed in FY 21

LEGISLATIVE FISCAL OFFICE

ANALYSIS OF BA-7 REQUEST

The Substance Abuse and Mental Health Services Administration (SAMHSA) awarded OBH a total of \$23.1 M through the FFY18 State Opioid Response Grant to help Louisiana combat opioid addiction. The program aims to increase access to medication-assisted treatment, reduce unmet treatment need and reduce opioid overdose related deaths through prevention, treatment and recovery activities. On August 27, 2020, OBH was awarded an additional two years of funding (\$17.26 M per year) to enhance existing statewide prevention, intervention, treatment and recovery support services. All 10 LGEs statewide will receive funds under Part 2.0.

Louisiana State Opioid Response (LaSOR) Grant No Cost Extension - \$11,569,750 total with \$10,118,304 needed in FY 21

The Substance Abuse and Mental Health Services Administration (SAMHSA) awarded OBH a total of \$23.1 M through the FFY18 State Opioid Response Grant to help Louisiana combat opioid addiction. The program aims to increase access to medication-assisted treatment, reduce unmet treatment need and reduce opioid overdose related deaths through prevention, treatment and recovery activities. The state was awarded a no cost extension on September 20, 2020, for the period 9/30/20 through 9/30/21. Several challenges led to delays in full program implementation and execution, including provider recruitment, recruitment in rural areas, contractual delays and service interruptions due to COVID-19. All ten LGEs were offered funding under the LaSOR no cost extension but only seven accepted: Florida Parishes, Capital Area, Northeast Delta, Acadiana Area, Imperial Calcasieu, Central Louisiana, and Metropolitan. The remaining three LGEs reported they would be able to meet the LaSOR objectives by 9/30/20 and would not require funding for an additional year.

II. IMPACT ON FUTURE FISCAL YEARS

The OBH budget may require adjustments to federal budget authority in its executive budget recommendation for FY 22 and beyond for costs associated with these grants or to non-recur costs associated with these grants. Additional extensions or new awards may cause outyear expenditure increases. Fully expending the grants will allow these costs to be non-recurred or partially non-recurred.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

LEGISLATIVE FISCAL OFFICE ANALYSIS OF BA-7 REQUEST

DEPARTMENT: Health

AGENDA NO.: 3a

AGENCY: Florida Parishes Human Services Authority

ANALYST: Shawn Hotstream

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Florida Parishes Human Services Authority	\$678,830	0
Interagency Transfers:	\$678,830			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$0			
Federal Funds:	\$0			
Total	<u>\$678,830</u>	Total	<u>\$678,830</u>	<u>0</u>

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to increase Interagency Transfer (IAT) budget authority in the Florida Parish Human Services Authority to receive funds from the Office of Behavioral Health (OBH) for various new and existing grants. This is a companion BA-7 with agenda item 3 (Office of Behavioral Health). The source of IAT funds is 100% federal grant funds.

New and Existing Grants

\$419,769 COVID 19 Crisis Regular Services Program (CCP RSP)
 \$329,063 State Opioid Response Grant part 2.0 (SOR 2.0)
 \$85,292 State Opioid Response Grant extension
~~\$62,250~~ Zero Suicide grant
 \$896,374 Total grant funding requested
~~(\$217,544)~~ Less overall excess budget authority
\$678,830 Total requested in BA-7

Specific grant expenditures are reflected below.

\$610,317 Other Charges (Professional services - Mobile Crisis Team, Peer Supports in ER, Crisis Counseling)
 \$31,958 Operating Services (Media/Public Communications, cell phones, e-mail accounts)
 \$28,465 Supplies (Narcan kits, employee uniform shirts, stress management materials for distribution)
~~\$8,090~~ Acquisitions (laptops, printers)
\$678,830

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

LEGISLATIVE FISCAL OFFICE ANALYSIS OF BA-7 REQUEST

DEPARTMENT: Health

AGENDA NO.: 3b

AGENCY: Capital Area Human Services District

ANALYST: Shawn Hotstream

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Capital Area Human Services District	\$1,340,029	0
Interagency Transfers:	\$1,340,029			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$0			
Federal Funds:	\$0			
Total	\$1,340,029	Total	\$1,340,029	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to increase Interagency Transfer (IAT) budget authority in the Capital Area Human Services District to receive funds from the Office of Behavioral Health (OBH) for various new and existing grants. This is a companion BA-7 with agenda item 3 (Office of Behavioral Health). The source of IAT funds is 100% federal grants funds.

New and Existing Grants

\$771,683	COVID 19 Crisis Regular Services Program (CCP RSP)
\$453,138	State Opioid Response Grant part 2.0 (SOR 2.0)
\$223,834	State Opioid Response Grant Extension
\$91,240	Mental Health Block Grant
\$6,328	Comprehensive Opioid Abuse Program (COAP)
<u>(\$28,780)</u>	Less excess specific grant authority (Emergency COVID)
\$1,517,443	Grant increase requested
<u>(\$177,414)</u>	Less overall excess budget authority
\$1,340,029	Total requested in BA-7

New grant funding requested in this BA-7 is explained below.

State Opioid Grant 2.0 (\$453,138) - Support the planning and implementation of a Crisis/Outreach Mobile Team to provide outreach and mobile peer recovery services, including recovery coaching, within their communities primarily targeting opioid use and misuse to emergency departments, criminal justice populations, pregnant women, state-recognized tribes, veterans and other diverse populations; support the planning and implementation of opioid specific prevention strategies; and additional grief planning supports.

CCP-RSP COVID (\$771,683) - This program provides crisis counseling and resource linkage to community members of Region 2 in response to the COVID-19 pandemic. The program staff includes an administrative coordinator, a team leader, four crisis counselors, and four resource linkage coordinators.

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

DEPARTMENT: Health

AGENDA NO.: 3c

AGENCY: South Central LA Human Services Authority

ANALYST: Shawn Hotstream

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	South Central LA Human Services Authority	\$93,572	0
Interagency Transfers:	\$93,572			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$0			
Federal Funds:	\$0			
Total	\$93,572	Total	\$93,572	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to increase Interagency Transfer (IAT) budget authority in the South Central Human Services Authority to receive funds from the Office of Behavioral Health (OBH) for various new grants. This is a companion BA-7 with agenda item 3 (Office of Behavioral Health). The source of IAT funds is 100% federal grant funds.

New grants

\$444,570	State Opioid Response Grant part 2.0 (SOR 2.0)
\$60,000	Zero Suicide grant
\$504,570	Grant increase requested
(\$410,998)	Less overall excess budget authority
\$93,572	Total requested in BA-7

New grant funding is explained below.

SOR 2.0 grant funds will be used exclusively for a media campaign for Opioid Addiction outreach. Outreach efforts include state highway billboards, public service announcements on local radio, advertisement on television and contracts with media advertising agencies.

Zero Suicide grant funds will be used to provide a systemwide, organizational commitment to safer suicide care in health and behavioral health care systems.

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

LEGISLATIVE FISCAL OFFICE ANALYSIS OF BA-7 REQUEST

DEPARTMENT: Health

AGENDA NO.: 3d

AGENCY: Imperial Calcasieu Human Services Authority

ANALYST: Shawn Hotstream

Means of Financing		Expenditures by Program		T.O.
State General Fund:	\$0	Imperial Calcasieu Human Services Authority	\$209,459	0
Interagency Transfers:	\$209,459			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$0			
Federal Funds:	\$0			
Total	<u>\$209,459</u>	Total	<u>\$209,459</u>	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to increase Interagency Transfer (IAT) budget authority in the Imperial Calcasieu Human Services Authority to receive funds from the Office of Behavioral Health (OBH) for various new and existing grants. This is a companion BA-7 with agenda item 3 (Office of Behavioral Health). The source of IAT funds is 100% federal grant funds.

New and Existing Grants

\$329,316 State Opioid Response Grant part 2.0 (SOR 2.0)
~~\$257,460~~ State Opioid Response Grant and extension
 \$586,776 Grant increase requested
~~(\$377,317)~~ Less overall excess budget authority
\$209,459 Total requested in BA-7

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

LEGISLATIVE FISCAL OFFICE ANALYSIS OF BA-7 REQUEST

DEPARTMENT: Health

AGENDA NO.: 3e

AGENCY: Northwest LA Human Services District

ANALYST: Shawn Hotstream

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Northwest LA Human Services District	\$295,605	0
Interagency Transfers:	\$295,605			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$0			
Federal Funds:	\$0			
Total	\$295,605	Total	\$295,605	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to increase Interagency Transfer (IAT) budget authority in the Northwest LA Human Services District to receive funds from the Office of Behavioral Health (OBH) for various new grants. This is a companion BA-7 with agenda item 3 (Office of Behavioral Health). The source of IAT funds is 100% federal grant funds.

New and Existing Grants

\$373,668 State Opioid Response Grant part 2.0 (SOR 2.0)
~~\$350,000~~ COVID 19 Crisis Regular Services Program (CCP RSP)
 \$723,668 Total grant funding requested
~~(\$428,063)~~ Less overall excess budget authority
\$295,605 Total requested in BA-7

Information provided by LDH indicates funding would be used for Permanent Supportive Housing and support services for approximately 40 adult consumers.

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

DEPARTMENT: Executive

AGENDA NO.: 4

AGENCY: LA Public Defender Board

ANALYST: Rebecca Robinson

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	LA Public Defender Board	\$148,416	0
Interagency Transfers:	\$0			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$0			
Federal Funds:	\$148,416			
Total	<u>\$148,416</u>	Total	<u>\$148,416</u>	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 is to authorize \$148,416 additional federal funds budget authority for the Louisiana Public Defender Board (Board) in FY 21. The Board has received a federal grant from the Department of Justice, under the Office of Juvenile Justice and Delinquency Prevention (OJJDP) FY 20: Enhancing Juvenile Indigent Defense, totaling \$445,248 over three years. The remaining grant funding will be included in the Board's budget requests for FY 22 and FY 23 (\$148,416 each year).

The federal grant funding will be used to contract with the Louisiana Center for Children's Rights (LACCR) to aid the Board in the defense of juvenile offenders in the 19th Judicial District (East Baton Rouge Parish). The LACCR previously established the Children's Defense Team to act as the public defender for juveniles in Orleans parish. The program provides a team of professionals to address the causes and consequences of their involvement with the justice system. The Board plans to utilize this federal grant funding to establish a similar program in the 19th JDC and to fund two social workers to support juvenile delinquency defense.

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have an impact on future fiscal years. The public defender board will include the federal grant dollars for the ensuing fiscal year in their budget requests for FY 22 and FY 23.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

LEGISLATIVE FISCAL OFFICE ANALYSIS OF BA-7 REQUEST

DEPARTMENT: Wildlife & Fisheries

AGENDA NO.: 5

AGENCY: Office of Fisheries

ANALYST: Carter Albert

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Fisheries	\$249,999	0
Interagency Transfers:	\$0			
Self-Generated Revenue:	\$249,999			
Statutory Dedications:	\$0			
Federal Funds:	\$0			
Total	<u>\$249,999</u>	Total	<u>\$249,999</u>	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to increase SGR budget authority by \$249,999 in the Fisheries Program to receive grant funds from the National Fish and Wildlife Foundation (NFWF). On October 1, 2020, the LA Department of Wildlife & Fisheries (LDWF) entered into a grant agreement with NFWF to provide reimbursement, up to 60% of the cost, to fishermen in Louisiana that purchase and install Turtle Excluder Devices (TED) on their skimmer boats that are at least 40 feet in length. The grant agreement requires 40% matching funding of \$167,339 as follows: (1) \$151,061 from the fishermen and (2) \$16,278 from LDWF for providing a principal investigator position for the grant using existing budget authority from the Conservation Fund statutory dedication.

The new federal regulations for sea turtles, 50 CFR 223.205, requires fishermen to have the TED devices installed on their skimmer boats of at least 40 feet before April 1, 2021. Under the agreement with NFWF, LDWF will provide reimbursement to fishermen to purchase TED devices to comply with federal regulations. In the agreement with NFWF, LDWF will facilitate meetings between fishermen and National Oceanic and Atmospheric Administration (NOAA) to discuss new federal regulations on skimmer TED specifications and details on the TED reimbursement program. If TED devices are purchased within 90 days of the meetings, fishermen attending the meetings will be reimbursed at least 60% of the cost of up to two TED devices. The remaining 40% of the TED devices will be paid by the fishermen and considered matching funds. LDWF anticipates that 534 fishermen will qualify for reimbursement.

Expenditures will be budgeted as follows:

Personal Services - \$27,262 (\$17,992 Salaries and \$9,270 Related Benefits) for the work of two Biologist positions (\$23,292), one Biologist Manager position (\$1,815), and one Crustacean Program Manager position (\$2,155). The Biologists will manage the grant program including reviewing each reimbursement payment to ensure compliance. The Program Manager will communicate with the LA Shrimp Task Force and advise on any shrimp management issues. The grant allows LDWF to reimburse salaries or related benefits expenditures of existing staff related to administration.

Other Charges - \$249,999 for direct reimbursement to fishermen of at least 60% of the cost to purchase up to two TED devices. The remaining 40% of the purchase cost of the TED devices will be incurred by the fishermen and considered matching funds.

	Amount
Grant Award	
Salaries and Related Benefits	\$27,262
Other Charges (60% reimb. to fishermen)	<u>\$222,737</u>
BA-7 Requested Total	\$249,999

Matching Contribution	
LDWF Principal Investigator (Conservation Fund)	\$16,278
Fishermen (40% cost of TED device)	<u>\$151,061</u>
Existing Budget Authority and Private Funds	\$167,339

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

LEGISLATIVE FISCAL OFFICE ANALYSIS OF BA-7 REQUEST

DEPARTMENT: Treasury

AGENDA NO.: 6a

AGENCY: State Treasurer

ANALYST: Alan M. Boxberger

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	Administrative	(\$2,326,578)	0
Interagency Transfers:	\$0	Financial Accountability & Control	\$0	0
Self-Generated Revenue:	\$0	Debt Management	\$0	0
Statutory Dedications:	(\$2,326,578)	Investment Management	\$0	0
Federal Funds:	\$0			
Total	(\$2,326,578)	Total	(\$2,326,578)	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to decrease Statutory Dedications (LA Main Street Recovery Fund) by \$2,326,578 in the LA Department of Treasury (LDT). This is a companion BA-7 to item #6b (Other Requirements - State Aid to Local Government Entities). LDT anticipates using \$12,673,422 out of a total \$15 M appropriation for the administration of the LA Main Street Recovery Program (LMSRP). LDT requests to reduce its budget by the estimated difference and increase the budget for the grant awards so that the funds may be used to provide grants to additional eligible applicants. The decrease will adjust the total budget authority for this fund from \$15 M to \$12,673,422 in this agency.

LA received over \$1.8 B in funds from the CARES Act. Through Act 311 of the 2020 RS and other instruments, the legislature allocated \$275 M of the total to provide grants of up to \$15,000 each to LA small businesses through the LMSRP, and allowed for up to 5% of the total to be used for administrative costs by LDT to operate the program. Current appropriations from the Main Street Recovery Fund include \$15 M to LDT for administration and \$260 M in Schedule 20 for grants to businesses.

Currently, LDT projects total administrative costs of the Main Street Recovery Grant Program to total \$12,673,422. These companion BA-7s propose to transfer \$2,326,578 (\$15 M appropriated - \$12,673,422 estimated expenses) to provide additional grants to eligible small businesses. The transfer would allow over 150 additional grant awards to eligible small businesses without reopening the application process. The number of pending grants exceeds the current funding capacity.

The LMSRP estimates payable applications for grant awards total as much as \$293 M. As of October 28, 2020, the program considers all of the unspent award fund balance (between \$87-\$89 M) to be obligated. LDT reports the following:

- The application deadline was September 29, 2020
- The number of applications received was 40,646
- The number of applications denied was 11,806
- The average time to disperse funds is 36.9 days
- The number of applications awarded was 18,265 (as of November 10, 2020)
- The total amount awarded to those applicants was \$226 M (as of November 10, 2020), \$139 M to minority owned businesses
- The average award amount is \$12,865 (as of November 12, 2020)

NOTE: Remaining balances (if any) in the LA Main Street Recovery Fund on December 1, 2020, are to be transferred into the State Coronavirus Relief Fund and may be used by the state, subject to appropriation, for the purposes of providing monies to local government units, eligible businesses, or the state in accordance with the provisions of Act 311 of the 2020 RS and the CARES Act. Approval of this transfer diminishes the likelihood of unspent balances available on December 1, 2020.

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

November 20, 2020

**LEGISLATIVE FISCAL OFFICE
ANALYSIS OF BA-7 REQUEST**

DEPARTMENT: Other Requirements

AGENDA NO.: 6b

AGENCY: State Aid to Local Govt. Entities

ANALYST: Monique Appeaning

<u>Means of Financing</u>		<u>Expenditures by Program</u>		<u>T.O.</u>
State General Fund:	\$0	State Aid to Local Govt. Entities	\$2,326,578	0
Interagency Transfers:	\$0			
Self-Generated Revenue:	\$0			
Statutory Dedications:	\$2,326,578			
Federal Funds:	\$0			
Total	\$2,326,578	Total	\$2,326,578	0

I. SUMMARY/COMMENTS

The purpose of this BA-7 request is to increase Statutory Dedications (LA Main Street Recovery Fund) by \$2,326,578 in Other Requirements - State Aid to Local Government Entities. This is a companion BA-7 to item #6a (LA Department of Treasury). LA Department of Treasury (LDT) anticipates using \$12,673,422 out of a total \$15 M appropriation for the administration of the LA Main Street Recovery Program (LMSRP). LDT requests to reduce its budget by the estimated difference and increase the budget for the grant awards so that the funds may be used to provide grants to additional eligible applicants. The increase will adjust the total budget authority for this fund from \$260 M to \$262,326,578 in this agency.

LA received over \$1.8 B in funds from the CARES Act. Through Act 311 of the 2020 RS and other instruments, the legislature allocated \$275 M of the total to provide grants of up to \$15,000 each to LA small businesses through the LMSRP, and allowed for up to 5% of the total to be used for administrative costs by LDT to operate the program. Current appropriations from the Main Street Recovery Fund include \$15 M to LDT for administration and \$260 M in Schedule 20 for grants to businesses.

Currently, LDT projects total administrative costs of the Main Street Recovery Grant Program to total \$12,673,422. These companion BA-7s propose to transfer \$2,326,578 (\$15 M appropriated - \$12,673,422 estimated expenses) to provide additional grants to eligible small businesses. The transfer would allow over 150 additional grant awards to eligible small businesses without reopening the application process. The number of pending grants exceeds the current funding capacity.

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NOTE: Remaining balances (if any) in the LA Main Street Recovery Fund on December 1, 2020, are to be transferred into the State Coronavirus Relief Fund and may be used by the state, subject to appropriation, for the purposes of providing monies to local government units, eligible businesses, or the state in accordance with the provisions of Act 311 of the 2020 RS and the CARES Act. Approval of this transfer diminishes the likelihood of unspent balances available on December 1, 2020.

II. IMPACT ON FUTURE FISCAL YEARS

Approval of this BA-7 request will have no impact on future fiscal years.

III. LEGISLATIVE FISCAL OFFICE RECOMMENDATION

The Legislative Fiscal Office recommends approval of this BA-7 request.

JOINT LEGISLATIVE COMMITTEE ON THE BUDGET
BA-7 AGENDA
November 2020

Revised
Added Item D.

A. Fiscal Status Statement

B. 5-Year Base Line Projection

C. Regular BA-7s

1	ELOF	Department of Justice (04-141) Office of the Attorney General
2	DOTD	Department of Transportation & Development (07-276) Engineering and Operations
3	LDH	Louisiana Department of Health (09-330) Office of Behavioral Health
3a	LDH	Louisiana Department of Health (09-301) Florida Parishes Human Services Authority
3b	LDH	Louisiana Department of Health (09-302) Capital Area Human Services District
3c	LDH	Louisiana Department of Health (09-309) South Central LA Human Services Authority
3d	LDH	Louisiana Department of Health (09-375) Imperial Calcasieu Human Services Authority
3e	LDH	Louisiana Department of Health (09-377) Northwest Louisiana Human Services District
4	EXEC	Executive Department (01-116) Louisiana Public Defender Board
5	WFIS	Department of Wildlife and Fisheries (16-514) Office of the Fisheries
6a	ELOF	Department of Treasury (04-147) Office of the State Treasurer
6b	OREQ	Other Requirements (20-945) State Aid to Local Government Entities

D. Act 1 Preamble Section 5.D.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: LA Department of Justice			FOR OPB USE ONLY			
AGENCY: Office of the Attorney General			OPB LOG NUMBER 88-B		AGENDA NUMBER 1	
SCHEDULE NUMBER: 04B_141			Approval and Authority: 			
SUBMISSION DATE: September 24, 2020						
AGENCY BA-7 NUMBER: FY2021-03 revised						
HEAD OF BUDGET UNIT: Elise Cazes						
TITLE: Dir. of Admin. Services						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 						
MEANS OF FINANCING		CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)		REVISED FY 2020-2021	
GENERAL FUND BY:						
DIRECT		\$16,818,450	\$0		\$16,818,450	
INTERAGENCY TRANSFERS		\$25,275,403	\$0		\$25,275,403	
FEES & SELF-GENERATED		\$7,994,103	\$0		\$7,994,103	
Regular Fees & Self-generated		\$7,045,614	\$0		\$7,045,614	
Subtotal of Dedications from Page 2		\$948,489	\$0		\$948,489	
STATUTORY DEDICATIONS		\$22,608,887	\$2,513,973		\$25,122,860	
[Select Statutory Dedication]		\$0	\$0		\$0	
[Select Statutory Dedication]		\$0	\$0		\$0	
Subtotal of Dedications from Page 2		\$22,608,887	\$2,513,973		\$25,122,860	
FEDERAL		\$8,534,095	\$0		\$8,534,095	
TOTAL		\$81,230,938	\$2,513,973		\$83,744,911	
AUTHORIZED POSITIONS		507	0		507	
AUTHORIZED OTHER CHARGES		1	0		1	
NON-TO FTE POSITIONS		0	0		0	
TOTAL POSITIONS		508	0		508	
PROGRAM EXPENDITURES						
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
Administrative	\$9,300,609	63	\$0	0	\$9,300,609	63
Civil Law	\$25,876,249	78	\$2,513,973	0	\$28,390,222	78
Criminal Law and Medicaid Fraud	\$18,379,389	144	\$0	0	\$18,379,389	144
Risk Litigation	\$20,627,890	172	\$0	0	\$20,627,890	172
Gaming	\$7,046,801	51	\$0	0	\$7,046,801	51
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$81,230,938	508	\$2,513,973	0	\$83,744,911	508

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: LA Department of Justice	FOR OPB USE ONLY	
AGENCY: Office of the Attorney General	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 04B_141		
SUBMISSION DATE: September 24, 2020	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: FY2021-03 revised		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
Sex Offender Registry Tech. Fund	\$948,489		\$9,225,231
SUBTOTAL (to Page 1)	\$948,489	\$0	\$0
STATUTORY DEDICATIONS			
Department of Justice Debt Collection Fund (JS7)	\$3,895,474	\$0	\$3,895,474
Department of Justice Legal Support Fund (JS5)	\$5,074,253	\$2,513,973	\$7,588,226
Tobacco Settlement Enforcement Fund (JS9)	\$400,000	\$0	\$400,000
Tobacco Control Special Fund (JS6)	\$15,000	\$0	\$15,000
Louisiana Fund (Z13)	\$3,554,300	\$0	\$3,554,300
Medical Assistance Programs Fraud Detection (H14)	\$2,126,128	\$0	\$2,126,128
Insurance Fraud Investigation Fund (I09)	\$940,752	\$0	\$940,752
Video Draw Poker Device Fund (G03)	\$3,447,971	\$0	\$3,447,971
Riverboat Gaming Enforcement Fund (G04)	\$2,284,967	\$0	\$2,284,967
Parl-mutuel Live Racing Facility Gaming Control Fund (G09)	\$870,042	\$0	\$870,042
SUBTOTAL (to Page 1)	\$22,808,867	\$2,513,973	\$25,122,860

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Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?

Legal Expense Fund, R.S. 49:259

§259. Department of Justice Legal Support Fund

The source of funding is Statutory Dedication-Department of Justice, Legal Support Fund. This fund is comprised of proceeds recovered by the attorney general on behalf of the state from court judgments, settlements, fines, fees, forfeitures and penalties.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$2,513,973	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	\$2,513,973	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:

This action does not require additional personnel.

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.

This request can't be postponed as litigation is ongoing.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.

This is not an after the fact BA7.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

The Department of Justice will not be able to continue representing the State in this litigation.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. *(Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)*

OBJECTIVE: N/A

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. *(For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)*

There will be no performance impacts associated from the approval of this BA7.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

There are no performance impacts associated with this BA7 request.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

There are no performance impacts with failure to approve this BA7.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Administrative Services Program

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$3,413,335	\$0	\$3,413,335	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$5,137,645	\$0	\$5,137,645	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$749,629	\$0	\$749,629	\$0	\$0	\$0	\$0
TOTAL MOF	\$9,300,609	\$0	\$9,300,609	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$3,088,625	\$0	\$3,088,625	\$0	\$0	\$0	\$0
Other Compensation	\$503,816	\$0	\$503,816	\$0	\$0	\$0	\$0
Related Benefits	\$2,179,470	\$0	\$2,179,470	\$0	\$0	\$0	\$0
Travel	\$106,175	\$0	\$106,175	\$0	\$0	\$0	\$0
Operating Services	\$311,009	\$0	\$311,009	\$0	\$0	\$0	\$0
Supplies	\$32,320	\$0	\$32,320	\$0	\$0	\$0	\$0
Professional Services	\$394,951	\$0	\$394,951	\$0	\$0	\$0	\$0
Other Charges	\$449,707	\$0	\$449,707	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$1,668,982	\$0	\$1,668,982	\$0	\$0	\$0	\$0
Acquisitions	\$566,554	\$0	\$566,554	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$9,300,609	\$0	\$9,300,609	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	63	0	63	0	0	0	0
TOTAL T.O. POSITIONS	63	0	63	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	63	0	63	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Department of Justice Debt Collection Fund (JS7)	\$3,553,101	\$0	\$3,553,101	\$0	\$0	\$0	\$0
Department of Justice Legal Support Fund (JS5)	\$1,584,544	\$0	\$1,584,544	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Administrative Services Program

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0
EXPENDITURES:						
Salaries		\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0
OVER / (UNDER)						
	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 2 NAME: Civil Law Program

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$8,574,672	\$0	\$8,574,672	\$0	\$0	\$0	\$0
Interagency Transfers	\$3,464,750	\$0	\$3,464,750	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$6,821,742	\$0	\$6,821,742	\$0	\$0	\$0	\$0
Statutory Dedications **	\$6,387,474	\$2,513,973	\$8,901,447	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$627,611	\$0	\$627,611	\$0	\$0	\$0	\$0
TOTAL MOF	\$25,876,249	\$2,513,973	\$28,390,222	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$ 6,535,616	\$0	\$6,535,616	\$0	\$0	\$0	\$0
Other Compensation	\$ 1,560,895	\$0	\$1,560,895	\$0	\$0	\$0	\$0
Related Benefits	\$ 3,822,636	\$0	\$3,822,636	\$0	\$0	\$0	\$0
Travel	\$ 296,536	\$0	\$296,536	\$0	\$0	\$0	\$0
Operating Services	\$ 628,917	\$0	\$628,917	\$0	\$0	\$0	\$0
Supplies	\$ 178,922	\$0	\$178,922	\$0	\$0	\$0	\$0
Professional Services	\$ 7,566,140	\$2,513,973	\$10,080,113	\$0	\$0	\$0	\$0
Other Charges	\$ 4,423,498	\$0	\$4,423,498	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$ 814,657	\$0	\$814,657	\$0	\$0	\$0	\$0
Acquisitions	\$48,432	\$0	\$48,432	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$25,876,249	\$2,513,973	\$28,390,222	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	78	0	78	0	0	0	0
TOTAL T.O. POSITIONS	78	0	78	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	78	0	78	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Fund Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Department of Justice Legal Support Fund (JS6)	\$2,266,951	2,513,973	4,780,924	\$0	\$0	\$0	\$0
Louisiana Fund (Z13)	\$3,554,300	\$0	\$3,554,300	\$0	\$0	\$0	\$0
Department of Justice Debt Collection Fund (JS7)	\$151,223	\$0	\$151,223	\$0	\$0	\$0	\$0
Tobacco Settlement Enforcement Fund (JS8)	\$400,000	\$0	\$400,000	\$0	\$0	\$0	\$0
Tobacco Control Special Fund (JS8)	\$15,000	\$0	\$15,000	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 2 NAME: Civil Law Program

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$2,513,973	\$0	\$2,513,973

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	2,513,973	\$0	\$2,513,973
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$2,513,973	\$0	\$2,513,973

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 3 NAME: Criminal Law and Medicaid Fraud Program

MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT/OUTYEAR PROJECTIONS			
				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$4,830,443	\$0	\$4,830,443	\$0	\$0	\$0	\$0
Interagency Transfers	\$851,048	\$0	\$851,048	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$111,766	\$0	\$111,766	\$0	\$0	\$0	\$0
Statutory Dedications **	\$5,429,277	\$0	\$5,429,277	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$7,156,855	\$0	\$7,156,855	\$0	\$0	\$0	\$0
TOTAL MOF	\$18,379,389	\$0	\$18,379,389	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$7,802,396	\$0	\$7,802,396	\$0	\$0	\$0	\$0
Other Compensation	\$575,990	\$0	\$575,990	\$0	\$0	\$0	\$0
Related Benefits	\$4,312,233	\$0	\$4,312,233	\$0	\$0	\$0	\$0
Travel	\$485,873	\$0	\$485,873	\$0	\$0	\$0	\$0
Operating Services	\$864,900	\$0	\$864,900	\$0	\$0	\$0	\$0
Supplies	\$481,935	\$0	\$481,935	\$0	\$0	\$0	\$0
Professional Services	\$662,465	\$0	\$662,465	\$0	\$0	\$0	\$0
Other Charges	\$1,943,188	\$0	\$1,943,188	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$748,241	\$0	\$748,241	\$0	\$0	\$0	\$0
Acquisitions	\$502,168	\$0	\$502,168	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$18,379,389	\$0	\$18,379,389	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	143	0	143	0	0	0	0
TOTAL T.O. POSITIONS	143	0	143	0	0	0	0
Other Charges Positions	1	0	1	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	144	0	144	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Medical Assistance Programs Fraud Detection (H14)	\$2,126,128	\$0	\$2,126,128	\$0	\$0	\$0	\$0
Department of Justice Legal Support Fund (JS5)	\$1,222,758	\$0	\$1,222,758	\$0	\$0	\$0	\$0
Sex Offender Registry Technology Fund (P25)	\$948,489	\$0	\$948,489	\$0	\$0	\$0	\$0
Insurance Fraud Investigation Fund (I09)	\$940,752	\$0	\$940,752	\$0	\$0	\$0	\$0
Department of Justice Debt Collection Fund (JS7)	\$191,150	\$0	\$191,150	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 3 NAME: Criminal Law and Medicaid Fraud Program

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0
OVER / (UNDER)						
	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 4 NAME: Risk Litigation Program

MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT/OUTYEAR PROJECTIONS			
				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$20,627,890	\$0	\$20,627,890	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$0	\$0	\$0	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL MOF	\$20,627,890	\$0	\$20,627,890	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$11,460,100	\$0	\$11,460,100	\$0	\$0	\$0	\$0
Other Compensation	\$137,280	\$0	\$137,280	\$0	\$0	\$0	\$0
Related Benefits	\$6,235,525	\$0	\$6,235,525	\$0	\$0	\$0	\$0
Travel	\$124,600	\$0	\$124,600	\$0	\$0	\$0	\$0
Operating Services	\$784,744	\$0	\$784,744	\$0	\$0	\$0	\$0
Supplies	\$107,758	\$0	\$107,758	\$0	\$0	\$0	\$0
Professional Services	\$22,459	\$0	\$22,459	\$0	\$0	\$0	\$0
Other Charges	\$12,282	\$0	\$12,282	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$1,602,502	\$0	\$1,602,502	\$0	\$0	\$0	\$0
Acquisitions	\$140,640	\$0	\$140,640	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$20,627,890	\$0	\$20,627,890	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	172	0	172	0	0	0	0
TOTAL T.O. POSITIONS	172	0	172	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	172	0	172	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 4 NAME: Risk Litigation Program

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0
OVER / (UNDER)						
	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 5 NAME: Gaming Program

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$331,715	\$0	\$331,715	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$112,106	\$0	\$112,106	\$0	\$0	\$0	\$0
Statutory Dedications **	\$6,602,980	\$0	\$6,602,980	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL MOF	\$7,046,801	\$0	\$7,046,801	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$3,548,619	\$0	\$3,548,619	\$0	\$0	\$0	\$0
Other Compensation	\$178,708	\$0	\$178,708	\$0	\$0	\$0	\$0
Related Benefits	\$1,984,998	\$0	\$1,984,998	\$0	\$0	\$0	\$0
Travel	\$52,350	\$0	\$52,350	\$0	\$0	\$0	\$0
Operating Services	\$175,189	\$0	\$175,189	\$0	\$0	\$0	\$0
Supplies	\$62,530	\$0	\$62,530	\$0	\$0	\$0	\$0
Professional Services	\$220,407	\$0	\$220,407	\$0	\$0	\$0	\$0
Other Charges	\$39,000	\$0	\$39,000	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$573,371	\$0	\$573,371	\$0	\$0	\$0	\$0
Acquisitions	\$211,629	\$0	\$211,629	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$7,046,801	\$0	\$7,046,801	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	51	0	51	0	0	0	0
TOTAL T.O. POSITIONS	51	0	51	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	51	0	51	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Fund Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Fund Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Video Draw Poker Device Fund (G03)	\$3,447,971	\$0	\$3,447,971	\$0	\$0	\$0	\$0
Riverboat Gaming Enforcement Fund (G04)	\$2,284,967	\$0	\$2,284,967	\$0	\$0	\$0	\$0
Parl-mutuel Live Racing Facility Gaming Control Fund (G09)	\$870,042	\$0	\$870,042	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 5 NAME: Gaming Program

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

QUESTIONNAIRE ANALYSIS

(Please reference question numbers, provide detailed information and use continuation sheets as needed.)

GENERAL PURPOSE

1. The purpose of this BA7 request is to seek an appropriation from available proceeds from the Department of Justice Legal Support Fund for continued representation of the State in the Opioid litigation matter.

REVENUES

5. The source of revenues is Statutory Dedications from the DOJ Legal Support Fund. The funds are generally available from proceeds recovered from court judgments, settlements, fines, fees, forfeitures and penalties, the recovery or award of certain attorney fees, or from other sources designated for deposit into the fund. Monies may only be used by the attorney general and for defraying the costs of expert witnesses, consultants, contract legal counsel, technology, specialized employee training and education, and public education initiatives, or the expense of employees hired, including attorneys and support staff, and to pay related expenses to represent the state. Funds may not be used to supplant appropriations from the State General Fund.

EXPENDITURES

9. The requested amount is based on anticipated invoicing resulting for representation of the State in the opioid litigation matter.
11. The funding is requested for the following expenditure categories:

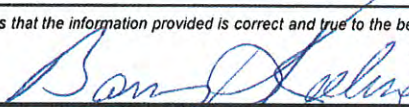
60	Professional Services	\$2,513,973
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OTHER

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STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: DOTD			FOR OPB USE ONLY			
AGENCY: ENGINEERING AND OPERATIONS			OPB LOG NUMBER		AGENDA NUMBER	
SCHEDULE NUMBER: 07-276			76-17		2	
SUBMISSION DATE: 09/23/2020			Approval and Authority:			
AGENCY BA-7 NUMBER: 2 (Revised)						
HEAD OF BUDGET UNIT: Barry D. Keeling						
TITLE: Undersecretary						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 						
MEANS OF FINANCING		CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)		REVISED FY 2020-2021	
GENERAL FUND BY:						
DIRECT		\$8,367,500	\$0		\$8,367,500	
INTERAGENCY TRANSFERS		\$14,562,235	\$0		\$14,562,235	
FEES & SELF-GENERATED		\$29,207,677	\$0		\$29,207,677	
Regular Fees & Self-generated		\$29,201,807	\$0		\$29,201,807	
Subtotal of Fund Accounts from Page 2		\$5,870	\$0		\$5,870	
STATUTORY DEDICATIONS		\$526,345,116	\$0		\$526,345,116	
TTF-Federal (54N)		\$143,032,273	\$0		\$143,032,273	
TTF-Regular (54P)		\$373,345,225	\$0		\$373,345,225	
Subtotal of Dedications from Page 2		\$9,967,618	\$0		\$9,967,618	
FEDERAL		\$21,632,793	\$14,979,370		\$36,612,163	
TOTAL		\$600,115,321	\$14,979,370		\$615,094,691	
AUTHORIZED POSITIONS		4,062	0		4,062	
AUTHORIZED OTHER CHARGES		0	0		0	
NON-TO FTE POSITIONS		0	0		0	
TOTAL POSITIONS		4,062	0		4,062	
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
Engineering	\$101,274,695	552	\$0	0	\$101,274,695	552
Office of Planning	\$51,794,656	76	\$14,979,370	0	\$66,774,026	76
Operations	\$442,379,920	3,410	\$0	0	\$442,379,920	3,410
Aviation	\$2,304,048	12	\$0	0	\$2,304,048	12
Office of Multimodal Commerce	\$2,362,002	12	\$0	0	\$2,362,002	12
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$600,115,321	4,062	\$14,979,370	0	\$615,094,691	4,062

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: DOTD	FOR OPB USE ONLY	
AGENCY: ENGINEERING AND OPERATIONS	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 07-276		
SUBMISSION DATE: 09/23/2020	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 2 (Revised)		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
Louisiana Bicycle and Pedestrian Safety Fund Account	\$5,870	\$0	\$5,870
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$5,870	\$0	\$5,870
STATUTORY DEDICATIONS			
LTRC Transportation Training and Education Center Fund (HWA)	\$724,590	\$0	\$724,590
Crescent City Transition Fund (HWE)	\$558,005	\$0	\$558,005
New Orleans Ferry Fund (HWF)	\$2,280,000	\$0	\$2,280,000
Regional Maintenance and Improvement Fund (HWH)	\$973,023	\$0	\$973,023
DOTD Right of Way Permit Processing Fund (HW3)	\$430,000	\$0	\$430,000
State Highway Improvement Fund (HW9)	\$5,000,000	\$0	\$5,000,000
Louisiana Highway Safety Fund (P35)	\$2,000	\$0	\$2,000
SUBTOTAL (to Page 1)	\$9,967,618	\$0	\$9,967,618



Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?

Federal Funds--U.S. Department of Transportation, Federal Transit Administration provided in the Coronavirus Aid, Relief, and Economic Security (CARES) Act.

Public Law Citation: P.L. 116-136, Div. B Title XII

Program Name: FTA Nonurbanized Formula (Section 5311)

This request utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Funds will be used for Operating Assistance and Intercity Bus.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$14,979,370	\$0	\$0	\$0	\$0
TOTAL	\$14,979,370	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:
This BA-7 does not require additional personnel.

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.
The BA-7 is in accordance with the Coronavirus Aid, Relief, and Economic Security (CARES) Act to provide subrecipients in non-urbanized areas with federal assistance for COVID-19 related capital and operating expenses. The Louisiana Department of Transportation (DOTD) will only serve as a pass-through on these FTA Nonurbanized Formula grants.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.
This is not an after the fact BA-7.

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

Approval of this BA-7 will provide non-urbanized areas funding at a 100-percent federal share, with no local match required, and will be available to support capital, operating, and other expenses generally eligible under the FTA Section 5311 (Rural Area Apportionments) program to prevent, prepare for, and respond to the COVID-19 pandemic.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. *(Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)*

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. *(For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)*

There are no performance impacts associated with this BA-7, as it makes supplemental appropriations as provided in the CARES Act, P.L. 116-136, Div. B Title XII.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

DOTD will only serve as pass-through on these FTA Nonurbanized Formula grants, which will be transferred to local recipient entities.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

Failure to approve this BA-7 would result in noncompliance with the CARES Act, P.L. 116-136, Div. B Title XII passed by Congress.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 2 NAME: Planning

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT								
PROGRAM 2 NAME: <u>Planning</u>								
MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS				
GENERAL FUND BY:				<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #ffff00;"> <th>FY 2021-2022</th> <th>FY 2022-2023</th> <th>FY 2023-2024</th> <th>FY 2024-2025</th> </tr> </thead> </table>	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025					
Direct	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Interagency Transfers	\$1,060,000	\$0	\$1,060,000	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Fees & Self-Generated *	\$1,852,807	\$0	\$1,852,807	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Statutory Dedications **	\$30,840,547	\$0	\$30,840,547	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
FEDERAL FUNDS	\$18,041,302	\$14,979,370	\$33,020,672	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
TOTAL MOF	\$51,794,656	\$14,979,370	\$66,774,026	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
EXPENDITURES:								
Salaries	\$5,847,610	\$0	\$5,847,610	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Other Compensation	\$67,000	\$0	\$67,000	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Related Benefits	\$3,530,670	\$0	\$3,530,670	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Travel	\$314,196	\$0	\$314,196	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Operating Services	\$464,942	\$0	\$464,942	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Supplies	\$122,936	\$0	\$122,936	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Professional Services	\$12,922,194	\$0	\$12,922,194	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Other Charges	\$23,555,446	\$14,979,370	\$38,534,816	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Debt Services	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Interagency Transfers	\$4,869,662	\$0	\$4,869,662	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Acquisitions	\$100,000	\$0	\$100,000	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
Major Repairs	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
UNALLOTTED	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
TOTAL EXPENDITURES	\$51,794,656	\$14,979,370	\$66,774,026	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
POSITIONS								
Classified	73	0	73	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0
0	0	0	0					
Unclassified	3	0	3	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0
0	0	0	0					
TOTAL T.O. POSITIONS	76	0	76	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0
0	0	0	0					
Other Charges Positions	0	0	0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0
0	0	0	0					
Non-TO FTE Positions	0	0	0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0
0	0	0	0					
TOTAL POSITIONS	76	0	76	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>0</td><td>0</td><td>0</td><td>0</td></tr> </table>	0	0	0	0
0	0	0	0					
*Dedicated Fund Accounts:								
Reg. Fees & Self-generated	\$1,852,807	\$0	\$1,852,807	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
[Select Fund Account]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
[Select Fund Account]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
**Statutory Dedications:								
TTF-Federal (54N)	\$26,852,598	\$0	\$26,852,598	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
TTF-Regular (54P)	\$3,987,949	\$0	\$3,987,949	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					
[Select Statutory Dedication]	\$0	\$0	\$0	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> </table>	\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0					

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
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STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 2 NAME: Planning

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$14,979,370	\$14,979,370

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$14,979,370	\$14,979,370
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$14,979,370	\$14,979,370

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

QUESTIONNAIRE ANALYSIS

(Please reference question numbers, provide detailed information and use continuation sheets as needed.)

GENERAL PURPOSE

This purpose of this BA-7 is to appropriate federal funding in accordance with the 2020 Coronavirus Aid, Relief, and Economic Security (CARES) Act (Title XII), which provided federal assistance from the U.S. Department of Transportation, Federal Transit Administration (FTA), Section 5311 to local entities in order to help to prevent, prepare for and respond to the COVID-19 pandemic. DOTD will act as the pass-through agency to provide funding to local entities in non-urbanized areas.

REVENUES

Federal Funds - Federal Transit Administration (FTA) as provided by the CARES Act--\$14,979,370

EXPENDITURES

Appropriated Program - Planning

Other Charges \$14,979,370

OTHER

Barry D. Keeling
Undersecretary, DOTD
Management and Finance
barry.keeling@la.gov
(225) 379-1270

FY 20 CARES PAYMENTS

Vendor Name	Amount
ALLEN PARISH POLICE JURY	91,038.00
ASSUMPTION PARISH POLICE JURY	80,766.00
AVOYELLES PARISH POLICE JURY	57,884.00
BIENVILLE PARISH POLICE JURY	109,079.00
CALCASIEU PARISH POLICE JURY	70,000.00
CALDWELL PARISH POLICE JURY	44,368.00
CAMERON PARISH POLICE JURY	99,766.00
CITY OF DERIDDER	78,062.00
CITY OF FRANKLIN	53,908.00
CITY OF WEST MONROE	132,237.00
CLAIBORNE PARISH POLICE JURY	53,644.00
DESOTO PARISH POLICE JURY	85,557.00
EAST FELICIANA PARISH POLICE JURY	85,436.00
IBERIA PARISH GOVERNMENT	126,649.00
IBERVILLE PARISH SHERIFFS OFFICE	172,924.00
JEFFERSON DAVIS PARISH POLICE JURY	78,615.00
LINCOLN PARISH POLICE JURY	69,000.00
LIVINGSTON PARISH COUNCIL	130,047.00
MADISON PARISH POLICE JURY	56,000.00
PLAQUEMINES PARISH GOVERNMENT	138,672.00
POINTE COUPEE PARISH POLICE JURY	185,004.00
RAPIDES PARISH POLICE JURY	28,609.00
RED RIVER PARISH POLICE JURY	39,905.00
RIVER PARISHES TRANSIT AUTHORITY	224,000.00
ST HELENA PARISH POLICE JURY	58,822.00
ST JAMES PARISH COUNCIL	168,000.00
ST LANDRY PARISH POLICE JURY	48,779.00
ST MARTIN PARISH GOVERNMENT	41,639.00
ST TAMMANY PARISH GOVERNMENT	109,444.00
TANGIPAHOA PARISH GOV	60,653.00
TERREBONNE PARISH CONSOLIDATED GOVT	148,005.00
TOWN OF VILLE PLATTE	84,924.00
VERMILLION PARISH POLICE JURY	47,510.00
VERNON PARISH POLICE JURY	102,847.00
WASHINGTON PARISH GOVERNMENT	154,000.00
WEBSTER PARISH POLICE JURY	158,481.00
WEST FELICIANA COUNCIL ON	31,588.00
	3,505,862.00

CARES ACT 2020

CFDA #	RECIPIENT	PUBLIC TRANSIT REGION	2021 AWARD CARES
			U2760018.2007 at 100% fed share
5311 - CFDA 20.509	ALLEN PPJ	Southwest	\$ 425,000.00
5311 - CFDA 20.509	ASSUMPTION PPJ	New Orleans	\$ 395,000.00
5311 - CFDA 20.509	AVOYELLES PPJ	Central	\$ 260,000.00
5311 - CFDA 20.509	BEAUREGARD/CITY OF DERIDDER	Southwest	\$ 350,000.00
5311 - CFDA 20.509	BIENVILLE PPJ	North	\$ 425,000.00
5311 - CFDA 20.509	CALCASIEU PPJ	Southwest	\$ 300,000.00
5311 - CFDA 20.509	CALDWELL PPJ	North	\$ 188,000.00
5311 - CFDA 20.509	CAMERON PPJ	Southwest	\$ 350,000.00
5311 - CFDA 20.509	CLAIBORNE PPJ	North	\$ 290,000.00
5311 - CFDA 20.509	DESOTO PPJ	North	\$ 314,000.00
5311 - CFDA 20.509	EAST FELICIANA PPJ	Central	\$ 338,000.00
5311 - CFDA 20.509	EVANGELINE/CITY OF VILLE PLATTE	Southwest	\$ 386,000.00
5311 - CFDA 20.509	IBERIA PARISH GOVERNMENT	Southwest	\$ 449,000.00
5311 - CFDA 20.509	IBERVILLE PARISH SHERIFF	Central	\$ 605,000.00
5311 - CFDA 20.509	JEFFERSON DAVIS PPJ	Southwest	\$ 359,000.00
5311 - CFDA 20.509	LINCOLN PPJ	North	\$ 257,000.00
5311 - CFDA 20.509	LIVINGSTON PARISH COUNCIL	Central	\$ 485,000.00
5311 - CFDA 20.509	MADISON PPJ	North	\$ 218,000.00
5311 - CFDA 20.509	OUACHITA CITY OF WEST MONROE	North	\$ 530,000.00
5311 - CFDA 20.509	PLAQUEMINES PARISH GOVERNMENT	New Orleans	\$ 482,000.00
5311 - CFDA 20.509	POINTE COUPEE Parish Government	Central	\$ 780,000.00
5311 - CFDA 20.509	RAPIDES	Central	\$ 170,000.00
5311 - CFDA 20.509	RED RIVER PPJ	North	\$ 183,000.00
5311 - CFDA 20.509	RIVER PARISHES TRANSIT AUTHORITY	New Orleans	\$ 923,370.00
5311 - CFDA 20.509	ST. HELENA PPJ	Central	\$ 230,000.00
5311 - CFDA 20.509	ST. JAMES PARISH GOVERNMENT	New Orleans	\$ 580,000.00
5311 - CFDA 20.509	ST. LANDRY PARISH GOVERNMENT	Southwest	\$ 200,000.00
5311 - CFDA 20.509	ST. MARTIN PARISH GOVERNMENT	Southwest	\$ 221,000.00
5311 - CFDA 20.509	ST. MARY / CITY OF FRANKLIN	New Orleans	\$ 329,000.00
5311 - CFDA 20.509	ST. TAMMANY PARISH GOVERNMENT	New Orleans	\$ 870,000.00
5311 - CFDA 20.509	TANGIPAHOA PARISH COUNCIL	Central	\$ 308,000.00
5311 - CFDA 20.509	TERREBONNE PARISH CONSOLIDATED GOVT	New Orleans	\$ 870,000.00
5311 - CFDA 20.509	VERMILION PPJ	Southwest	\$ 239,000.00
5311 - CFDA 20.509	VERNON PPJ	Central	\$ 368,000.00
5311 - CFDA 20.509	WASHINGTON PG	Central	\$ 512,000.00
5311 - CFDA 20.509	WEBSTER PPJ	North	\$ 590,000.00
5311 - CFDA 20.509	WEST FELICIANA	Central	\$ 200,000.00
			\$ 14,979,370.00

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation And Development, Louisiana Department Of

Recipient ID: 1562

DUNS No: 027568364

Award Information

Federal Award Identification Number: LA-2020-007-00

Award Name: LADOTD FY2020 5311 CARES ACT Operating Assistance

Award Start Date: 4/22/2020

Original Award End Date: 12/30/2024

Current Award End Date: 12/30/2024

Award Executive Summary: Louisiana is applying for the full Section 5311 apportionment of the FY 2020 CARES ACT in the total amount of \$40,059,259. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus.

Operational portion = \$34,050,370

Intercity Bus at 15% = \$ 6,008,889

Local Match is not required; 100% federally funded.

No project in the application lead to a functional, location, or capacity change; therefore, the projects in this application is not programmed in the long-range transportation plan (TIP) or statewide transportation improvement plan (STIP)

The recipient agrees that if it received Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

A detailed POP is attached in Application Documents.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Award Budget

Total Award Budget: \$40,059,259.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$40,059,259.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$40,059,259.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Award Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$40,059,259
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$40,059,259

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

Comment By Eldridge Onco

Comment Type Special Conditions

Date 4/22/2020

Comment This award of Federal financial assistance is subject to the terms and conditions set forth in the Special Warranty Arrangement established and approved at 29 C.F.R. Section 215.7, as amended, by the U.S. Department of Labor for the Rural Area program (49 U.S.C. Section 5311) to meet the requirement of 49 U.S.C. Section 5333(b). The Special Warranty Arrangement is incorporated herein by reference.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:
Gail Lyssy
Regional Administrator
FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info: gail.lyssy@dot.gov
Award Date: 4/22/2020

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,

- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Michelle Horne

Public Transportation Director

Transportation And Development, Louisiana Department Of

4/29/2020

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Award - Budget Change History

Federal Award Identification Number (FAIN)	LA-2020-007-00
Temporary Application Number	1562-2020-2
Award Name	LADOTD FY2020 5311 CARES ACT Operating Assistance
Award Status	Active (Executed)
Budget Revision Number	0

Period of Performance Start Date	4/22/2020	
Original Period of Performance End Date	12/30/2024	
Current Period of Performance End Date	12/30/2024	Revision #: 0

Part 1: Recipient Information

Name: Transportation And Development, Louisiana Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1562	State Agency	LOUISIANA DEPARTMENT OF TRANSPORTATION & DEVELOPMENT	027568364

Part 2: Award Information

Title: LADOTD FY2020 5311 CARES ACT Operating Assistance

FAIN	Award Status	Award Type	Date Created	Last Updated Date	From TEAM?
LA-2020-007-00	Active (Executed)	Grant	4/14/2020	4/29/2020	No

Part 3: Budget Revision Information

Status

Approved

Budget Revision Reason(s)
New Application

Budget Revision Narrative
New Application

Award Budget Control Totals

Funding Source	Award Amount	Difference	Current Revision Amount
5311 - Rural Area Formula (CARES Act)	\$0	\$40,059,259	\$40,059,259

Award Budget Summary: Previous Revision to Current Revision

Budget Item	Previous FTA Amount	Difference	Current Revision FTA Amount	Previous Non-FTA Amount	Difference	Current Revision Non-FTA Amount	Previous Total Eligible Cost	Difference
30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$0.00	\$6,008,889	\$6,008,889.00	\$0.00	\$0	\$0.00	\$0.00	\$6,008,889
30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$0.00	\$34,050,370	\$34,050,370.00	\$0.00	\$0	\$0.00	\$0.00	\$34,050,370

Part 4: Project Information

Project Title: CARES operating for rural and intercity bus

Project Number	Date Created	Start Date	End Date
LA-2020-007-01-00	4/15/2020	3/1/2020	12/30/2023

Project Description

CARES ACT funds will support 5311 rural general public transit and intercity bus transit in Louisiana. Requesting full FY 2020 CARES Act Apportionment of \$40,059,259. This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Funds will be used for Operating Assistance and Intercity Bus.

Project Benefits

CARES will provide direct funding support for transit agencies operating services.

Additional Information

None provided.

Location Description

The CARES ACT funds will be distributed statewide to rural general public transit operators and intercity bus providers.

Project Budget Control Totals

Funding Source	Award Amount	Difference	Current Revision Amount
5311 - Rural Area Formula (CARES Act)	\$40,059,260	\$0	\$40,059,260

Project Budget Summary: Previous Revision to Current Revision

Budget Item	Previous FTA Amount	Difference	Current Revision FTA Amount	Previous Non-FTA Amount	Difference	Current Revision Non- FTA Amount	Previous Total Eligible Cost	Difference
30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$0.00	\$6,008,889	\$6,008,889.00	\$0.00	\$0	\$0.00	\$0.00	\$6,008,8
30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$0.00	\$34,050,370	\$34,050,370.00	\$0.00	\$0	\$0.00	\$0.00	\$34,050,3

FEDERAL TRANSIT ADMINISTRATION		
TABLE 3		
FY 2020 CARES ACT SECTION 5311 AND SECTION 5340 RURAL AREA APPORTIONMENTS		
SECTION 5311(c)(2) APPALACHIAN DEVELOPMENT PUBLIC TRANSPORTATION ASSISTANCE PROGRAM APPORTIONMENTS		
<i>The total available amount for a program is based on the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), (Pub. L. 116-136, Mar 27, 2020).</i>		
(Note: Section 5311 and Section 5340 were combined to show a single amount. The State's apportionment under the column heading "Section 5311 and 5340 Apportionment" includes Section 5311 and Growing States funds.)		
STATE	SECTION 5311 AND 5340 APPORTIONMENT	APPALACHIAN DEVELOPMENT PUBLIC TRANSPORTATION ASSISTANCE PROGRAM
Alabama	\$54,126,485	\$5,000,000
Alaska	\$28,801,429	\$0
American Samoa	\$1,105,059	\$0
Arizona	\$41,730,201	\$0
Arkansas	\$42,742,893	\$0
California	\$94,976,667	\$0
Colorado	\$39,754,866	\$0
Connecticut	\$10,140,499	\$0
Delaware	\$5,915,431	\$0
Florida	\$55,616,793	\$0
Georgia	\$74,428,850	\$592,000
Guam	\$2,704,154	\$0
Hawaii	\$8,906,600	\$0
Idaho	\$27,303,356	\$0
Illinois	\$57,457,865	\$0
Indiana	\$55,504,089	\$0
Iowa	\$42,820,959	\$0
Kansas	\$38,806,197	\$0
Kentucky	\$58,808,456	\$1,764,000
Louisiana	\$40,059,259	\$0
Maine	\$24,554,813	\$0
Maryland	\$19,184,735	\$636,000
Massachusetts	\$12,619,719	\$0
Michigan	\$73,186,840	\$0
Minnesota	\$54,432,229	\$0
Mississippi	\$49,448,479	\$254,000
Missouri	\$61,770,760	\$0
Montana	\$35,454,948	\$0
N. Mariana Islands	\$1,047,695	\$0
Nebraska	\$27,108,904	\$0
Nevada	\$21,789,259	\$0
New Hampshire	\$13,773,396	\$0
New Jersey	\$13,321,545	\$0
New Mexico	\$36,474,320	\$0
New York	\$71,444,684	\$200,000
North Carolina	\$93,491,001	\$1,450,000
North Dakota	\$17,996,449	\$0
Ohio	\$80,968,267	\$964,000
Oklahoma	\$51,392,612	\$0
Oregon	\$42,686,023	\$0
Pennsylvania	\$75,813,777	\$4,788,000
Puerto Rico	\$6,847,672	\$0
Rhode Island	\$1,916,705	\$0
South Carolina	\$44,761,932	\$200,000
South Dakota	\$22,495,400	\$0
Tennessee	\$64,523,351	\$1,110,000
Texas	\$143,200,553	\$0
Utah	\$22,313,111	\$0
Vermont	\$13,327,895	\$0
Virginia	\$51,377,174	\$1,150,000
Washington	\$44,121,608	\$0
West Virginia	\$26,972,721	\$1,892,000
Wisconsin	\$54,982,185	\$0
Wyoming	\$2,197,466	\$0
TOTAL	\$2,178,708,336	\$20,000,000

America's Surface Transportation Act (division A of Public Law 114-94): *Provided*, That a State shall not be required to pay the National Railroad Passenger Corporation more than 80 percent of the amount paid in fiscal year 2019 under section 209 of the Passenger Rail Investment and Improvement Act of 2008 (Public Law 110-432) and that not less than \$239,000,000 of the amounts made available under this heading in this Act shall be made available for use in lieu of any increase in a State's payment: *Provided further*, That amounts made available under this heading in this Act may be transferred to and merged with "Northeast Corridor Grants to the National Railroad Passenger Corporation" to prevent, prepare for, and respond to coronavirus: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

FEDERAL TRANSIT ADMINISTRATION

TRANSIT INFRASTRUCTURE GRANTS

For an additional amount for "Transit Infrastructure Grants", \$25,000,000,000, to remain available until expended, to prevent, prepare for, and respond to coronavirus: *Provided*, That the Secretary of Transportation shall provide funds appropriated under this heading in this Act as if such funds were provided under section 5307 of title 49, United States Code, and section 5311 of title 49, United States Code and apportion such funds in accordance with section 5336 of such title (other than subsections (h)(1) and (h)(4)), section 5311 (other than subsection (b)(3) and (c)(1)(A)), section 5337 and section 5340 of title 49, United States Code, and apportion such funds in accordance with such sections except that funds apportioned under section 5337 shall be added to funds apportioned under 5307 for administration under 5307: *Provided further*, That the Secretary shall allocate the amounts provided in the preceding proviso under sections 5307, 5311, 5337, and 5340 of title 49, United States Code, among such sections in the same ratio as funds were provided in the fiscal year 2020 appropriations: *Provided further*, That funds apportioned under this heading in this Act shall be apportioned not later than 7 days after the date of enactment of this Act: *Provided further*, That funds shall be apportioned using the fiscal year 2020 apportionment formulas: *Provided further*, That not more than three-quarters of 1 percent, but not to exceed \$75,000,000, of the funds for transit infrastructure grants provided under this heading in this Act shall be available for administrative expenses and ongoing program management oversight as authorized under sections 5334 and 5338(f)(2) of title 49, United States Code, and shall be in addition to any other appropriations for such purpose: *Provided further*, That notwithstanding subsection (a)(1) or (b) of section 5307 of title 49, United States Code, funds provided under this heading are available for the operating expenses of transit agencies related to the response to a coronavirus public health emergency as described in section 319 of the Public Health Service Act, including, beginning on January 20, 2020, reimbursement for operating costs to maintain service and lost revenue due to the coronavirus public health emergency, including the purchase of personal protective equipment, and paying the administrative leave of operations personnel due

to reductions in service: *Provided further*, That such operating expenses are not required to be included in a transportation improvement program, long-range transportation, statewide transportation plan, or a statewide transportation improvement program: *Provided further*, That the Secretary shall not waive the requirements of section 5338 of title 49, United States Code, for funds appropriated under this heading in this Act or for funds previously made available under section 5307 of title 49, United States Code, or sections 5311, 5337, or 5340 of such title as a result of the coronavirus: *Provided further*, That unless otherwise specified, applicable requirements under chapter 53 of title 49, United States Code, shall apply to funding made available under this heading in this Act, except that the Federal share of the costs for which any grant is made under this heading in this Act shall be, at the option of the recipient, up to 100 percent: *Provided further*, That the amount made available under this heading in this Act shall be derived from the general fund and shall not be subject to any limitation on obligations for transit programs set forth in any Act: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

MARITIME ADMINISTRATION

OPERATIONS AND TRAINING

For an additional amount for "Operations and Training", \$3,134,000, to remain available until September 30, 2021, to prevent, prepare for, and respond to coronavirus: *Provided*, That of the amounts made available under this heading in this Act, \$1,000,000 shall be for the operations of the United States Merchant Marine Academy: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

STATE MARITIME ACADEMY OPERATIONS

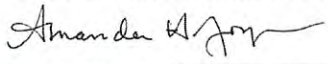
For an additional amount for "State Maritime Academy Operations", \$1,000,000, to remain available until September 30, 2021, to prevent, prepare for, and respond to coronavirus: *Provided*, That amounts made available under this heading in this Act shall be for direct payments for State Maritime Academies: *Provided further*, That such amount is designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985.

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

For an additional amount for "Office of Inspector General", \$5,000,000, to remain available until expended, to prevent, prepare for, and respond to coronavirus: *Provided*, That the funding made available under this heading in this Act shall be used for conducting audits and investigations of projects and activities carried out with

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Louisiana Department of Health		FOR OPB USE ONLY				
AGENCY: Office of Behavioral Health		OPB LOG NUMBER 85R		AGENDA NUMBER 3		
SCHEDULE NUMBER: 09-330		Approval and Authority:				
SUBMISSION DATE: 09/23/2020						
AGENCY BA-7 NUMBER: #2 Federal Budget Authority						
HEAD OF BUDGET UNIT: Karen Stubbs						
TITLE: Assistant Secretary						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)		REVISED FY 2020-2021		
GENERAL FUND BY:						
DIRECT	\$59,751,346	\$0		\$59,751,346		
INTERAGENCY TRANSFERS	\$147,734,284	\$0		\$147,734,284		
FEES & SELF-GENERATED	\$678,915	\$0		\$678,915		
Regular Fees & Self-generated	\$678,915	\$0		\$678,915		
Subtotal of Fund Accounts from Page 2	\$0	\$0		\$0		
STATUTORY DEDICATIONS	\$5,123,945	\$0		\$5,123,945		
Tobacco Tax Health Care Fund (E32)	\$2,237,860	\$0		\$2,237,860		
Compulsive & Problem Gaming Fund (H10)	\$2,583,873	\$0		\$2,583,873		
Subtotal of Dedications from Page 2	\$302,212	\$0		\$302,212		
FEDERAL	\$61,555,807	\$7,624,075		\$69,179,882		
TOTAL	\$274,844,297	\$7,624,075		\$282,468,372		
AUTHORIZED POSITIONS	1,675	0		1,675		
AUTHORIZED OTHER CHARGES	6	0		6		
NON-TO FTE POSITIONS	110	2		112		
TOTAL POSITIONS	1,791	2		1,793		
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
BH Admin and Comm Oversight	\$88,671,401	137	\$7,624,075	2	\$96,295,476	139
Hospital Based Treatment	\$186,152,896	1,654	\$0	0	\$186,152,896	1,654
Auxiliary	\$20,000	0	\$0	0	\$20,000	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$274,844,297	1,791	\$7,624,075	2	\$282,468,372	1,793

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Louisiana Department of Health	FOR OPB USE ONLY	
AGENCY: Office of Behavioral Health	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 09-330		
SUBMISSION DATE: 09/23/2020	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: #2 Federal Budget Authority		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
Health Care Facility Fund (H12)	\$302,212	\$0	\$302,212
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$302,212	\$0	\$302,212



Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?

Federal Department of Health and Human Services, Substance Abuse and Mental Health Services Administration. See attached Notice of Awards for COVID-19 Emergency Response for Suicide Prevention Project (ERSP), Zero Suicide, Crisis Counseling Program (CCP) COVID-19, Louisiana State Opioid Response (LaSOR) Grant Part 2.0, and Louisiana State Opioid Response (LaSOR) Grant No Cost Extension (NCE), which are all new grant awards received in FY21.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$7,624,075	\$0	\$0	\$0	\$0
TOTAL	\$7,624,075	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:

This BA-7 requests two Non-TO Job Appointment Program Manager 1-A positions that are 100% federally grant funded, for the SOR 2.0 initiative. A Project Coordinator is required by the grant and OBH determined that due to the size of this grant that a Contracts Compliance Manager is necessary to oversee professional services contracts.

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.

Grant awards have been received with the expectation that grant activities will commence immediately. To postpone the grant activities for nine months will negatively impact the implementation of the grants and lead to non-compliance with federal expectations.

5. Is this an after the fact BA-7, e.g., have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.

This is not an after the fact BA-7.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

There are no programmatic impacts that result from the approval of this BA-7.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. *(Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)*

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. *(For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)*

No new objectives or performance indicators will be created in the LaPAS database for this grant.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

There are no performance impacts associated with any existing performance objectives or indicators.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

Additional budget authority is necessary to align with projected federal expenditures. If this BA-7 is not approved, all remaining grant activities would cease once the agency met the current federal budget cap.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Behavioral Health Administration and Community Oversight Program

MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS			
				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$12,624,182	\$0	\$12,624,182	\$0	\$0	\$0	\$0
Interagency Transfers	\$10,949,296	\$0	\$10,949,296	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$4,821,733	\$0	\$4,821,733	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$60,276,190	\$7,624,075	\$67,900,265	\$0	\$0	\$0	\$0
TOTAL MOF	\$88,671,401	\$7,624,075	\$96,295,476	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$8,032,939	\$0	\$8,032,939	\$0	\$0	\$0	\$0
Other Compensation	\$822,051	\$0	\$822,051	\$0	\$0	\$0	\$0
Related Benefits	\$6,389,944	\$0	\$6,389,944	\$0	\$0	\$0	\$0
Travel	\$96,252	\$0	\$96,252	\$0	\$0	\$0	\$0
Operating Services	\$129,421	\$0	\$129,421	\$0	\$0	\$0	\$0
Supplies	\$99,566	\$0	\$99,566	\$0	\$0	\$0	\$0
Professional Services	\$200,494	\$0	\$200,494	\$0	\$0	\$0	\$0
Other Charges	\$27,015,868	\$2,804,873	\$29,820,741	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$45,884,866	\$4,819,202	\$50,704,068	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$88,671,401	\$7,624,075	\$96,295,476	\$0	\$0	\$0	\$0
POSITIONS							
Classified	102	0	102	0	0	0	0
Unclassified	2	0	2	0	0	0	0
TOTAL T.O. POSITIONS	104	0	104	0	0	0	0
Other Charges Positions	6	0	6	0	0	0	0
Non-TO FTE Positions	27	2	29	0	0	0	0
TOTAL POSITIONS	137	2	139	0	0	0	0
*Dedicated Fund Accounts:							
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
[Select Statutory Dedication]	\$2,237,860	\$0	\$2,237,860	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$2,583,873	\$0	\$2,583,873	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Behavioral Health Administration and Community Oversight Program

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$7,624,075	\$7,624,075

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$2,804,873	\$2,804,873
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$4,819,202	\$4,819,202
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$7,624,075	\$7,624,075

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	2	2
TOTAL POSITIONS	0	0	0	0	2	2

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 2 NAME: HOSPITAL BASED TREATMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT				
PROGRAM 2 NAME: <u>HOSPITAL BASED TREATMENT</u>				
MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS
				FY 2021-2022 FY 2022-2023 FY 2023-2024 FY 2024-2025
GENERAL FUND BY:				
Direct	\$47,127,164		\$47,127,164	\$0 \$0 \$0 \$0
Interagency Transfers	\$136,784,988		\$136,784,988	\$0 \$0 \$0 \$0
Fees & Self-Generated *	\$658,915	\$0	\$658,915	\$0 \$0 \$0 \$0
Statutory Dedications **	\$302,212	\$0	\$302,212	\$0 \$0 \$0 \$0
FEDERAL FUNDS	\$1,279,617	\$0	\$1,279,617	\$0 \$0 \$0 \$0
TOTAL MOF	\$186,152,896	\$0	\$186,152,896	\$0 \$0 \$0 \$0
EXPENDITURES:				
Salaries	\$82,577,799	\$0	\$82,577,799	\$0 \$0 \$0 \$0
Other Compensation	\$2,823,811	\$0	\$2,823,811	\$0 \$0 \$0 \$0
Related Benefits	\$48,789,871	\$0	\$48,789,871	\$0 \$0 \$0 \$0
Travel	\$109,168	\$0	\$109,168	\$0 \$0 \$0 \$0
Operating Services	\$11,710,506	\$0	\$11,710,506	\$0 \$0 \$0 \$0
Supplies	\$8,250,024	\$0	\$8,250,024	\$0 \$0 \$0 \$0
Professional Services	\$8,362,985	\$0	\$8,362,985	\$0 \$0 \$0 \$0
Other Charges	\$9,499,128	\$0	\$9,499,128	\$0 \$0 \$0 \$0
Debt Services	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Interagency Transfers	\$14,029,604	\$0	\$14,029,604	\$0 \$0 \$0 \$0
Acquisitions	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Major Repairs	\$0	\$0	\$0	\$0 \$0 \$0 \$0
UNALLOTTED	\$0	\$0	\$0	\$0 \$0 \$0 \$0
TOTAL EXPENDITURES	\$186,152,896	\$0	\$186,152,896	\$0 \$0 \$0 \$0
POSITIONS				
Classified	1,560	0	1,560	0 0 0 0
Unclassified	11	0	11	0 0 0 0
TOTAL T.O. POSITIONS	1,571	0	1,571	0 0 0 0
Other Charges Positions	0	0	0	0 0 0 0
Non-TO FTE Positions	83	0	83	0 0 0 0
TOTAL POSITIONS	1,654	0	1,654	0 0 0 0
*Dedicated Fund Accounts:				
[Select Fund Account]	\$658,915	\$0	\$658,915	\$0 \$0 \$0 \$0
[Select Fund Account]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Fund Account]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
**Statutory Dedications:				
[Select Statutory Dedication]	\$302,212	\$0	\$302,212	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 2 NAME: HOSPITAL BASED TREATMENT

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 3 NAME: AUXILIARY

MEANS OF FINANCING:					ADJUSTMENT OUTYEAR PROJECTIONS			
	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021		FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:								
Direct	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$20,000	\$0	\$20,000		\$0	\$0	\$0	\$0
Statutory Dedications **	\$0	\$0	\$0		\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0		\$0	\$0	\$0	\$0
TOTAL MOF	\$20,000	\$0	\$20,000		\$0	\$0	\$0	\$0
EXPENDITURES:								
Salaries	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Other Charges	\$20,000	\$0	\$20,000		\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0		\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0		\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0		\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$20,000	\$0	\$20,000		\$0	\$0	\$0	\$0
POSITIONS								
Classified	0	0	0		0	0	0	0
Unclassified	0	0	0		0	0	0	0
TOTAL T.O. POSITIONS	0	0	0		0	0	0	0
Other Charges Positions	0	0	0		0	0	0	0
Non-TO FTE Positions	0	0	0		0	0	0	0
TOTAL POSITIONS	0	0	0		0	0	0	0
*Dedicated Fund Accounts:								
[Select Fund Account]	\$20,000	\$0	\$20,000		\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0		\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0		\$0	\$0	\$0	\$0
**Statutory Dedications:								
[Select Statutory Dedication]	\$0	\$0	\$0		\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0		\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0		\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0		\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0		\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0		\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0		\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0		\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 3 NAME: AUXILIARY

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$0	\$0

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0	\$0

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

OBH BA-7 #2 – QUESTIONNAIRE

GENERAL PURPOSE

This request is to increase federal budget authority for new and continuing grants that were awarded in FY21 to the Office of Behavioral Health (OBH). A total of \$31,625,210 was received in FY21, and OBH is able to utilize unobligated federal budget authority available at the beginning of the fiscal year to offset some of the projected expenditures associated with these additional awards. This budget authority was maintained in the FY21 budget with the expectation that the agency would receive continued opioid-related funding. Note that OBH non-recurred \$6,450,506 in federal budget authority during FY21 budget development for grants that had terminated in order to avoid maintaining excess authority. OBH analyzed all federal grants to determine reasonable expenditures during the fiscal year. As a result of this analysis, OBH determined that it would only need an additional \$7,624,075 in federal budget authority in FY21.

The table, below, illustrates all federal grants received by OBH in the Behavioral Health Administration and Community Oversight Program, and shows FY21 revenue at appropriated, total awards, and the projected FY21 expenditures.

OBH FY21 Federal Funds - Administration Program	FY21 Revenue at Appropriated	FY21 FF Awarded as of 09/22/2020	FY21 Projected Expenditures
Mental Health Block Grant (MHBG)	\$10,696,015	\$10,696,015	\$9,696,015
Data System Grant	\$203,235	\$203,235	\$203,235
Partnership for Success II (PFS II) Grant	\$2,401,000	\$2,401,000	\$2,401,000
Projects to Assist in Transition from Homelessness (PATH) Grant	\$752,254	\$752,254	\$752,254
Drug Courts Administration - Restitution	\$5,000	\$5,000	\$5,000
Louisiana Strategic Prevention Framework for Prescription Drugs (LaSPF Rx) Grant	\$371,616	\$371,616	\$371,616
Louisiana Comprehensive Opioid Abuse Program (LaCOAP II) Grant	\$479,104	\$479,104	\$479,104
Louisiana State Opioid Response (LaSOR) Grant	\$6,508,006	\$6,508,006	\$1,000,000
Louisiana Promoting Integration of Primary and Behavioral Health Care (LaPIPBHC) Grant	\$2,000,000	\$2,000,000	\$2,000,000
Substance Abuse Prevention and Treatment Block Grant (SAPT)	\$25,027,783	\$25,027,783	\$24,027,783
Emergency COVID-19 Grant	\$1,616,752	\$1,616,752	\$1,616,752
COVID-19 Emergency Response for Suicide Prevention Project (ERSP) Grant NEW for FY21	\$0	\$550,362	\$550,362
Zero Suicide Grant NEW for FY21	\$0	\$678,358	\$678,358
Crisis Counseling Program (CCP) COVID-19 - continuation	\$0	\$7,722,778	\$7,722,778
Louisiana State Opioid Response (LaSOR) Grant Part 2.0 - continuation	\$0	\$12,555,408	\$6,277,704
Louisiana State Opioid Response (LaSOR) Grant No Cost Extension (NCE) - continuation	\$0	\$10,118,304	\$10,118,304
TOTAL - FEDERAL	\$50,060,765	\$81,685,975	\$67,900,265
Federal Budget Authority	\$60,276,190	\$60,276,190	\$60,276,190
Over / (Under)	\$10,215,425	(\$21,409,785)	(\$7,624,075)

Two of the five grant awards received in FY21 are for new grants: COVID-19 Emergency Response for Suicide Prevention Project (ERSP) and Zero Suicide. Crisis Counseling Program (CCP) COVID-19, Louisiana State Opioid Response (LaSOR) Grant Part 2.0, and Louisiana State Opioid Response (LaSOR) Grant No Cost Extension (NCE) are continuations of programs that were initiated prior to FY21. All five grants are described in the following pages.

REVENUES

Federal Funds \$7,624,075

OBH BA-7 #2 – QUESTIONNAIRE

EXPENDITURES

Program	ORG	Object	Total	MOF
100	0032	3740	\$2,804,873	Federal
100	0032	4940	\$4,819,202	Federal
TOTAL			\$7,624,075	

OTHER

The attached chart illustrates the FY21 OBH federal grants for which Local Governing Entities (LGEs) receive an allocation. Of the 10 grant programs, three include allocations for all 10 LGEs throughout the state: Louisiana State Opioid Response (LaSOR), Mental Health Block Grant (MHBG), and Substance Abuse Prevention and Treatment Block Grant (SAPT). For the remaining seven, selection criteria and the resulting partner recipients are briefly described below.

Comprehensive Opioid Abuse Program (LaCOAP II)

Selection criteria for the grant was LGE reported data on unduplicated persons served for Opioid Use Disorder (OUD) and Medicaid service data for persons with a primary OUD diagnosis. The same three parishes had the highest unduplicated persons as identified by the LGEs and had the most recipients with an OUD service as shown through Medicaid data including inpatient treatment, specifically, FPHSA, CAHSD, and MHSD.

COVID-19 Crisis Counseling Regular Services Program (CCP RSP)

All 10 LGEs were offered funding under the CCP grant, but only five accepted: FPHSA, CAHSD, CLHSD, NLHSD, and MHSD. The remaining five districts responded that they had the existing capacity and/or had received additional funding that could be used to provide crisis counseling services for COVID-19-impacted individuals.

Partnership for Success II (PFS II)

The target population for this grant is underage drinking. Data from Louisiana's Caring Communities Youth Survey (CCYS), population-based surveillance systems, and community health disparities were analyzed to provide parish rankings from worst to best. Ten parishes appeared the most often across the collective set of lists as having the highest levels of consumption, consequences, and contributing factors, and the lowest levels of protective health factors, access to care, and social and economic resources. LGE recipients based on the selection criteria: FPHSA, AAHSD, CAHSD, NEDHSA, ICHSA, CLSH, and NLHSD.

Projects for Assistance in Transition from Homelessness (PATH)

The LGEs were chosen based on Point-In-Time (PIT) data which is a count of sheltered and unsheltered homeless persons on a specified night each January. HUD requires that Continuums of Care collect an annual count of homeless persons who are sheltered in emergency shelters, transitional housing, and Safe Havens on a single night. This data is then used to identify the recipient LGEs, which are JPHSA, AAHSD, CAHSD, NEDHSA, MHSD, SCHSA, and NLHSD.

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Promoting Integration of Primary and Behavioral Health Care (PIPBHC)

Recipients were required to be a Community Mental Health Center or a Federally Qualifying Health Center, and they must also have identified a partner. All LGEs were surveyed for their interest, and of those who responded, only CAHSD and NEDHSA met the criteria and had the capability and interest in pursuing the grant activities.

Strategic Prevention Framework for Prescription Drugs (SPF Rx)

The Greater New Orleans area had the highest number of opioid related overdose deaths in the state, with Jefferson Parish, specifically, having the most: JPHSA.

Zero Suicide

Four LGEs were chosen to participate in the Zero Suicide Initiative based on the prevalence of suicide deaths in their catchment areas: FPHSA, SCLHSA, AAHSD, and NEDHSA.

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**OBH FY21 Federal Grants Allocated to Local Governing Entities (LGEs)
as of 09/21/2020**

Federal Grants	FPHSA	JPHSA	AAHSD	CAHSD	NEDHSA	MHSD	SCHSA	ICHSA	CLHSD	NLHSD	Total
Comprehensive Opioid Abuse Program (LaCOAP II)	\$95,972	\$0	\$0	\$109,000	\$0	\$80,564	\$0	\$0	\$0	\$0	\$285,536
COVID-19 Crisis Counseling Regular Services Program (CCP RSP)	\$419,769	\$0	\$0	\$771,683	\$0	\$1,622,091	\$0	\$0	\$665,212	\$350,000	\$3,828,755
Louisiana State Opioid Response (LaSOR) Grant (SOR and SOR 2.0)	\$499,369	\$162,296	\$712,633	\$803,712	\$654,302	\$787,169	\$610,307	\$783,430	\$583,897	\$458,682	\$6,055,797
Mental Health Block Grant (MHBG)	\$596,070	\$440,814	\$709,647	\$680,656	\$518,189	\$445,814	\$783,817	\$640,814	\$703,314	\$890,814	\$6,409,949
Partnership for Success II (PFS II)	\$112,590	\$0	\$335,586	\$167,593	\$306,445	\$0	\$0	\$128,593	\$147,593	\$152,593	\$1,350,993
Projects for Assistance in Transition from Homelessness (PATH)	\$0	\$85,712	\$57,692	\$133,579	\$37,879	\$196,525	\$49,426	\$0	\$72,983	\$87,242	\$721,038
Promoting Integration of Primary and Behavioral Health Care (PIPBHC)	\$0	\$0	\$0	\$450,000	\$450,000	\$0	\$0	\$0	\$0	\$0	\$900,000
Strategic Prevention Framework for Prescription Drugs (SPF Rx)	\$0	\$136,710	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$136,710
Substance Abuse Prevention and Treatment Block Grant (SAPT)	\$3,485,697	\$709,177	\$1,803,427	\$3,498,876	\$2,198,377	\$3,809,890	\$2,208,454	\$1,225,076	\$2,314,693	\$2,104,675	\$23,358,342
Zero Suicide	\$62,250	\$0	\$75,000	\$0	\$62,000	\$0	\$60,000	\$0	\$0	\$0	\$259,250
Total	\$5,271,717	\$1,534,709	\$3,693,985	\$6,615,099	\$4,227,192	\$6,942,053	\$3,712,004	\$2,777,913	\$4,487,692	\$4,044,006	\$43,306,370

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Louisiana COVID-19 Emergency Response for Suicide Prevention Project (ERSP)

On July 20, 2020, the Office of Behavioral Health (OBH) was awarded the federal Emergency Response for Suicide Prevention Project (ERSP) Grant by the Department of Health and Human Services Substance Abuse and Mental Health Services Administration to support Louisiana communities during the COVID-19 pandemic in advancing efforts to prevent suicide and suicide attempts among adults age 25 and older in order to reduce the overall suicide rate and number of suicides in the state. The premise is that the current national COVID-19 crisis will contribute to the growth in the number of Americans needing urgent care to address mental health needs, including suicidality.

Project Period: 07/31/2020 – 11/30/2021
Total Award: \$800,000
FY21 Budget: \$550,362

Louisiana has been hugely impacted by the COVID-19 pandemic. Socially and financially disadvantaged families are more vulnerable to stresses and traumas, and the risk for mental health problems and domestic violence increases after families face extreme adversity, such as those related to COVID-19 disruptions and trauma. The devastating impact of COVID-19 is undoubtedly far-reaching throughout the communities of Louisiana; however, the most vulnerable populations are in dire need of additional supports and resources to overcome the impact not only on physical health, but also on their mental health. These alarming responses do not take into account persons already living with behavioral health disorders. The COVID-19 pandemic is an added stressor to individuals who are often living with comorbid conditions, such as behavioral health disorders, chronic medical conditions, and added socioeconomic stressors like homelessness, unemployment, justice system involvement, abuse, and lack of an adequate support system. Compounding the stress and anxiety caused by the disease itself is the social distancing being practiced to reduce the spread of COVID-19, limiting interactions with friends and family and other social supports such as churches and community centers.

OBH will partner with the Mental Health Association for Greater Baton Rouge (MHA) and other statewide and regional agencies to implement comprehensive suicide prevention for adults ages 25 and older at risk for suicide. The ERSP Project will be implemented in southeast Louisiana, including the parishes of St. Tammany, St. Helena, Tangipahoa, Washington, East Feliciana, West Feliciana, Ascension, East Baton Rouge, Iberville/West Baton Rouge, Livingston, Pointe Coupee, and St. John/St. James. This area of the state has been chosen due to the high COVID-19 rates of infection and deaths, and rates of domestic violence incidents.

Specific grant activities include:

- **Follow-up Care and Transition** – MHA will employ a Case Management intervention model utilizing Peer Support Specialists as the case managers. MHA will enter into a formal agreement or memorandum of understanding (MOU) with local hospitals for referrals to the MHA Suicide Prevention Team. Once a person is referred to the MHA Suicide Prevention team, one of MHA's case managers will contact the individual within 24 hours.
- **Suicide Screening and Assessment** – It is anticipated that since referrals will come from a clinical setting or more likely a hospital, most if not all of the participants will enter the program having already had a full suicide assessment. The level of trauma, isolation and loneliness experienced

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by COVID-19 positive residents will be especially assessed with special attention to return to home setting. MHA will work with the National Suicidology Training Center to develop appropriate protocols for ongoing screening, assessment, and referral and to train case managers to ensure proper attention to trauma.

- **Treatment Services** – MHA will develop appropriate processes for referral to treatment services for participants and family of caregivers. MHA will partner with the Local Governing Entities (LGE) that provide state funded behavioral health treatment services to provide clinical treatment. The LGEs offer comprehensive treatment including outpatient mental health, referral to in-patient where applicable with access to a provider network of mental health and addiction services.
- **Community Recovery Supports** – Includes support groups and informational resources provided by local crisis centers. The Baton Rouge Crisis Intervention Center (BRCIC) offers a free, weekly, peer-facilitated support group for those who have lost a loved one to suicide. This service is offered in-person in Baton Rouge but incorporates the region. The partnership will allow for an expansion of this service. Should there be a need, there is the possibility of expanding outside of the Baton Rouge metro area.
- **Suicide Prevention Training** – MHA will partner with the National Suicidology Training Center to provide trainings on suicide prevention and Postvention strategies for first responders and providers across the state. This course is designed to teach recognition of the signs of suicidal ideation in another person, or yourself, to identify potential suicidal behavior.
- **Enhanced Services for Victims of Domestic Violence** – The approach to address domestic violence follows the guidelines of the Domestic Violence Evidence Project, which provides an online resource center that houses comprehensive evidence reviews and profiles of innovative programs and practices related to domestic violence services. Services will be promoted to local hospitals, law enforcement, and related domestic violence providers and advocacy groups.

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Louisiana Zero Suicide Initiative

On July 20, 2020, OBH was awarded the five-year federal Zero Suicide grant by the Department of Health and Human Services Substance Abuse and Mental Health Services Administration in order to implement suicide prevention and intervention programs for individuals who are 25 years of age or older. This program is designed to raise awareness of suicide, establish referral processes, and improve care and outcomes for such individuals who are at risk for suicide. Louisiana will implement the Zero Suicide model throughout the health system.

Project Period: 08/31/2020 – 08/30/2025
Total Award: \$700,000 per year for five years
FY21 Budget: \$678,358

OBH will implement the Zero Suicide Initiative in six health systems: four state operated regional behavioral health systems or Local Governing Entities (LGEs) and two state operated psychiatric hospitals. Four LGEs were chosen to participate in the Zero Suicide Initiative based on the prevalence of suicide deaths in their catchment areas: Florida Parishes Human Services Authority (FPHSA), South Central Louisiana Human Services Authority (SCLHSA), Acadiana Area Human Services District (AAHSD), and Northeast Delta Human Services Authority (NEDHSA). In addition, OBH will implement the Zero Suicide Initiative at the Eastern Louisiana Mental Health System (ELMHS) and the Central Louisiana State Hospital (CLSH), which are the state hospitals in OBH's inpatient psychiatric system that receive patients from across the state.

Louisiana ranked 22nd among the states in its rate of suicides for ages 25 and older during 2018. The State's age adjusted rate of suicides per 100,000 population (19.7) was 5.91% higher than the national rate (18.6). Louisiana rates over the last few years have steadily increased. From 2016 to 2018, Louisiana's rate increased by 6.9% (from 18.5 in 2016 to 19.7 in 2018). For the years 2016-2018 combined, many parishes with the highest age-adjusted suicide death rate for ages 25 and older were located in the catchment area of the selected LGEs, including: Evangeline Parish (40.7), St. Landry (37.1), and Vermillion (26.7), located in AAHSD; Lafourche (23.6) and Terrebonne (20.9), located in SCLHSA; and St. Tammany (22.7) and Tangipahoa (22.2), located in FPHSA. These far exceed the state rate of 19.5 and national rate of 18.2 for the same time period. In 2018 alone, the age-adjusted suicide death rate for Ouachita Parish (28.8), located in NEDHSA, was the highest in the state with a rate that was 46% higher than the state rate of 19.7. In addition, Ouachita had the largest increase in the number of deaths between 2016 and 2018 (11 and 29, respectively), an increase of 164% in only two years.

The Zero Suicide framework is a system-wide, organizational commitment to safer suicide care in health and behavioral health care systems. The framework is based on the realization that suicidal individuals often fall through the cracks in a sometimes fragmented and distracted health care system. A systematic approach to quality improvement in these settings is both available and necessary. The essential elements of suicide care, and which are part of the Louisiana Zero Suicide Initiative are:

- **Lead** system-wide culture change committed to reducing suicides. A Zero Suicide Implementation Team will be formed, comprised of senior leadership, clinical staff, and at least one suicide attempt or suicide loss survivor from each of the six providers, to lead the initiative.

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- **Train** a competent, confident, and caring workforce. Strategic training plans will be developed for each provider to train their staff in evidence-based services appropriate to their role relevant to recognizing signs of suicide as well as the identification, assessment, management, treatment and evaluation of the suicidal individual throughout the overall process of their engagement with the agency.
- **Identify** individuals with suicide risk via comprehensive screening and assessment. All clients of the participating LGEs and hospitals will be screened for suicidal thoughts and behaviors at intake using a standardized screening tool, such as the Patient Health Questionnaire 9 (PHQ-9). Reassessment of risk will be completed at each visit and when clients' circumstances change.
- **Engage** all individuals at-risk of suicide using a suicide care management plan. All clients identified to be at risk for suicide will be engaged in the development of a suicide care management plan that is timely and adequate to meet their needs in the least restrictive environment. The plan will include collaborative safety planning, crisis support, and restriction of lethal means.
- **Treat** suicidal thoughts and behaviors using evidence-based treatments. In keeping with system of care principles and the need for a comprehensive continuum of care, OBH emphasizes a close liaison among the LGEs, state hospitals, community provider agencies, and consumer and family support and advocacy systems. The community and hospital system of care emphasize continuity of care and treatment in the least restrictive environment appropriate to the person's needs. A stepped care model will be used for suicide prevention to ensure individuals at risk for suicide receive the most appropriate and least restrictive type of treatment.
- **Transition** individuals through care with warm hand-offs and supportive contacts. Policies and procedures will be developed by the LGEs and hospitals to support successful care transitions. Each LGE will identify a clinical staff person to engage individuals referred by local psychiatric hospitals and Emergency Departments for follow up care 24-48 hours after discharge.
- **Improve** policies and procedures through continuous quality improvement. Organizations will look at data elements to include in an evaluation plan to assess impact and ensure they are able to meet the data collection requirements of the grant. The Zero Suicide Implementation Team of each organization will also complete the organizational self-study annually to measure their progress toward implementing Zero Suicide and to assess fidelity to the Zero Suicide Model.

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Crisis Counseling Program (CCP) – COVID-19

On August 7, 2020, OBH was awarded the Crisis Counseling Assistance and Training Program – Regular Services Program (RSP) by the Department of Health and Human Services Substance Abuse and Mental Health Services Administration, in order to provide crisis counseling assistance and training under Section 416 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, for Louisianans impacted by COVID-19.

Project Period: 08/22/2020 – 05/21/2021
Total Award: \$7,722,778
FY21 Budget: \$7,722,778

On March 24, 2020 Governor John Bel Edwards requested and received a Major Disaster Declaration for the State of Louisiana as the number of cases of COVID-19 continued to grow and the state's efforts to combat the spread of illness intensified. This declaration allowed the State to apply for the Crisis Counseling Assistance and Training grant to help meet the behavioral health needs of disaster survivors. All of the State's 64 parishes and five tribal and territorial governments are designated under the Major Disaster Declaration LA-4484-DR.

Louisiana was awarded the CCP Initial Services Program (ISP) in April, 2020 to provide immediate "boots on the ground" services, and to begin planning for the longer term services portion of the grant, which is the RSP. The ISP was developed to maximize the support for all citizens statewide who had been isolated since March 22, 2020. This program was designed into two components. The Governor's Office of Homeland Security and Emergency Preparedness (GOHSEP) leads the COVID-19 related Public Campaign and Connections Element, and the Office of Behavioral Health (OBH) oversees the coordination of the State's COVID-19 Crisis Counseling Program known as Louisiana Spirit. OBH also leads the coordination of stress management services to support the emotional well-being of CCP staff and other workforces providing care and support to others during the COVID-19 pandemic.

The RSP will continue to enhance the partnerships and alliances built from the ISP at all levels of the program. The Public Messaging and Connections Elements provided a strategic foundation of critical information and resources to encourage citizens to reach out and stay well. This also created an opportunity to build more ambassadors within professional organizations and groups to connect with their stakeholders and these networks are expanding a catalyst of support on multiple levels. Consistent messaging normalizes that it is "okay not to be okay" and it is okay to talk about it. This reduces the stigma of mental health problems, and will provide a force multiplier to benefit not only to the individual but to the professionals as well. The goal is to encourage people to share and connect to others in order to divert further isolation and loneliness, and to build on strengths and understanding to achieve resiliency. For example, the Louisiana Nursing Home Association and the Louisiana State Nursing Association are capitalizing on the information to encourage self-care, stress management and renewal to help their staff and frontline champions.

All 10 Local Governing Entities (LGEs) were offered funding under the CCP grant, but only five accepted: Florida Parishes Human Services Authority, Capital Area Human Services District, Central Louisiana Human Services District, Northwest Louisiana Human Services District, and Metropolitan Human Services District. The remaining five districts responded that they had the existing capacity and/or had

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received additional funding that could be used to provide crisis counseling services for COVID-19-impacted individuals.

How services are provided by OBH and the LGEs will be impacted by the physical distancing, closures and stay at home guidance from the state. Initially, most primary and secondary services will be provided virtually. Phone calls, emails, texts and programs such as Zoom, Skype, Facetime, and FaceBook Live will be utilized for service delivery. In addition, brochures and flyers can be shared with entities to disseminate to their membership or clients. Social media will be utilized by OBH, GOHSEP and the LGEs. When physical distancing is relaxed, more face-to-face interactions will occur for outreach, support, psychosocial education, development of positive coping skills, stress management and training.

Virtual services will be provided from home or offices. Physical services will be provided where there is need and a desire for services; literally meeting people where they are while ensuring that appropriate physical distancing practices continue. Some examples include: at a workplace for staff, at a faith based entity for members, at schools, colleges, universities and trade schools for both students and staff, library space can be utilized for meetings, education and training groups.

State CCP staff will provide stress management services to support the CCP workforce and assist those frontline personnel, essential workers, first responders, healthcare workers, EOC/disaster responders, social services, faith/community based entities and other target groups impacted by COVID-19. Stress management services will offer support to individuals most at risk for exposure to infection and provide opportunities to express their fears and concerns related to their work responding to the COVID-19 pandemic. Sessions will promote the development of coping and resiliency skills and provide referrals to appropriate behavioral health resources. Stress Management services will be delivered virtually through a series of Zoom presentations as physical/social distancing guidance remain in place. Stress managers will also distribute information and resource, and offer remote telephonic stress management support. Stress management activities include: instructions on deep breathing exercises to help individuals recognize the importance of utilizing techniques to help them remain calm; pre-recorded guided imagery meditation demonstrations, dissemination of guides on tips for families, children, disaster responders, and other topics that includes transitioning to work, mini relaxations techniques, healthy coping strategies, and sessions on anxiety and grief and loss. Stress managers will offer presentations tailored to group needs addressing such topics as managing stress and burnout, coping with anger, work/home balance, compassion fatigue, resiliency etc. Stress managers will also promote the use available resources and information such as crisis lines, suicide prevention trainings, coping and resiliency resources available via SAMHSA and CDC handouts.

As the State's Stay at Home orders are lifted, stress managers will provide workplace support through walk-arounds to disaster response workers, first responder and healthcare sites, business/governmental entities, and other similar sites to monitor the "pulse" of the workplace environment and provide brief, non-intrusive interventions to employees and provide information on self-care and demonstrate relaxation techniques. Appropriate encounter forms will be utilized to track the various services provided, and monitor the ongoing needs and gaps to assist in the development of other resources and services.

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Louisiana State Opioid Response (LaSOR) – Part 2.0

In 2018 the Substance Abuse and Mental Health Services Administration (SAMHSA) awarded the Office of Behavioral Health (OBH) a total of \$23,139,500 through the FFY18 State Opioid Response (SOR) Grant to help Louisiana combat opioid addiction. The program aims to address the opioid crisis by increasing access to medication-assisted treatment using the three FDA-approved medications for the treatment of opioid use disorder, reducing unmet treatment need, and reducing opioid overdose related deaths through the provision of prevention, treatment and recovery activities for opioid use disorder (OUD) (including illicit use of prescription opioids, heroin, and fentanyl, and fentanyl analogs). This program also supports evidence-based prevention, treatment and recovery support services to address stimulant misuse and use disorders, including for cocaine and methamphetamine.

On August 27, 2020, OBH was awarded an additional two years of SOR funding, which is \$17,262,461 per year for two years, for a total of \$34,524,922. The Louisiana project, called LaSOR 2.0 to differentiate it from the first federal grant cycle, will enhance existing statewide prevention, intervention, treatment, and recovery support services statewide for individuals with or at risk for OUD or stimulant use/misuse (SUM). The priority populations to be served are: 1) the under- and uninsured, 2) the criminal justice population, 3) tribes, 4) pregnant women or women with infants experiencing neonatal opioid withdrawal symptoms, 5) people who inject drugs, 6) colleges and universities, and 7) school age children (for prevention).

Project Period:	09/30/2020 – 09/29/2022
Total Award:	\$34,524,922
FY21 Budget:	\$6,277,704

LaSOR 2.0 will expand and enhance the existing hub and spoke model, with Opioid Treatment Programs (OTPs) serving as hubs and Office Based Opioid Treatment (OBOT) providers serving as spokes. OBOT providers will receive support from Spoke Care Teams, education and consultation from Tulane University through academic detailing and Project ECHO, and psychiatric consults from Louisiana State University Health Sciences Center. In addition, the Mother-Baby Substance Exposure Initiative (MBSEI) will provide five birthing facilities with a patient navigator to connect identified women with treatment, resources, and providing social support as needed. Prevention and intervention strategies include education through the Prescription Drug Abuse Prevention Module of Life Skills Training, the Opioid Module of Project Alert and Generation Rx; safe storage and proper disposal of prescription medications; distribution of Narcan Kits to institutions of higher education, first responders, jails, and federally qualified health centers; faith based community partnerships; and a media campaign. OBH will host webinars and on-site trainings for healthcare professionals on opioid use, stimulant use and non-drug alternatives to pain management. In addition, peer trainings and webinars for institutions of higher education will be held. In addition, OUD in college students will be addressed through collegiate recovery programs and an evidence based substance use screening and assessment tool for university health centers. Community recovery support strategies include expansion of Oxford Houses statewide; Crisis Outreach Mobile Teams that will provide outreach services and mobile peer recovery services; placement of Peer Recovery Support Specialists in hospital emergency departments; and grief groups for families and loved ones who experienced a loss due to an opioid overdose. A pre-release opioid treatment program will be expanded in correction facilities to address OUD and SUM in the criminal justice population. In addition, Health Coordinators will be provided to Syringe Service Programs to expand harm reduction strategies and linkages to Hepatitis C and HIV testing. Finally, the needs of state

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and federally-recognized tribes will be identified through a thorough needs assessment and addressed through strategy implementation.

All 10 LGEs throughout the state are receiving grant funds.

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Louisiana State Opioid Response (LaSOR) – No Cost Extension

In 2018 the Office of Behavioral Health (OBH) was awarded \$11,569,750 per year for two years, for a total of \$23,139,500, for the State Opioid Response (SOR) Grant to help the state combat opioid addiction. The program aims to address the opioid crisis by increasing access to medication-assisted treatment using the three FDA-approved medications for the treatment of opioid use disorder, reducing unmet treatment need, and reducing opioid overdose related deaths through the provision of prevention, treatment and recovery activities for opioid use disorder (OUD) (including illicit use of prescription opioids, heroin, and fentanyl, and fentanyl analogs). This program also supports evidence-based prevention, treatment and recovery support services to address stimulant misuse and use disorders, including for cocaine and methamphetamine.

On September 20, 2020, OBH received approval for a 12-month no cost extension (NCE) extending the budget and project period until September 30, 2021.

Project Period:	09/30/2020 – 09/30/2021
Total Award:	\$11,569,750
SFY21 Budget:	\$10,118,304

Several challenges led to the delay in project goals being completed during the grant period including provider recruitment of Office Based Opioid Treatment (OBOTs), recruitment of licensed and certified employees within rural areas, and contractual delays at the university and state level. During the third quarter of the grant period, all providers were faced with service interruptions due to the COVID-19 pandemic. During this period, program services were diminished due to client and staff cross contamination concerns, thereby reducing service delivery to accommodate physical distancing; state mandates of city and parish shut downs/closures and difficulty re-engaging clients back into care via telehealth.

The 12-month no cost extension will enable Louisiana to complete the following goals of this project: 1) Increase access to Medication Assisted Treatment (MAT) for the under- and uninsured with an OUD diagnosis who are being treated with Evidence-Based Programs or Practices (EBPs); 2) Increase access to recovery support services for clients on MAT and those reentering communities from criminal justice settings; 3) Increase outreach to community programs; 4) Distribute Narcan kits to the unreached populations; 5) Provide safe storage/disposal bags ; 6) Provide prevention services including, Generation Rx curriculum and Youth Leadership trainings; 7) Increase public and professional awareness and education for prevention and treatment of opioid use, misuse, and abuse; and 8) Provide Naloxone, safe storage and proper disposal products to institutions of higher education.

Louisiana will use the NCE period to complete the LaSOR goals and ensure proper treatment transition and continuity of care for clients who are unable to self-pay for their current LaSOR treatment services. This will also allow current participants the opportunity to complete treatment prior to the original LaSOR initiative ending. The additional time to complete these goals will position Louisiana to accomplish a systematic behavioral health system that will address the immediate needs of persons suffering from OUD. This additional time will be a critical to ensure that individuals have the highest probability of successful recovery and to reduce overdose rates in Louisiana.

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All 10 Local Governing Entities (LGEs) were offered funding under SOR NCE, but only seven accepted: Florida Parishes Human Services Authority, Capital Area Human Services District, Northeast Delta Human Services Authority, Acadiana Area Human Services District, Imperial Calcasieu Human Services Authority, Central Louisiana Human Services District, and Metropolitan Human Services District. The remaining three districts responded that they would be able to meet the SOR objectives by September 30, 2020 and would not need funding for an additional year.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: LA Dept. of Health		FOR OPB USE ONLY				
AGENCY: FL Parishes Human Services Authority		OPB LOG NUMBER 82R		AGENDA NUMBER 3a		
SCHEDULE NUMBER: 09-301		Approval and Authority:				
SUBMISSION DATE: 9/23/20						
AGENCY BA-7 NUMBER: 21-01						
HEAD OF BUDGET UNIT: Richard Kramer						
TITLE: Executive Director						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): <i>Gachelle Suley for Richard Kramer</i>						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021			
GENERAL FUND BY:						
DIRECT	\$6,928,942	\$0	\$6,928,942			
INTERAGENCY TRANSFERS	\$12,899,976	\$678,830	\$13,578,806			
FEES & SELF-GENERATED	\$2,787,675	\$0	\$0			
Regular Fees & Self-generated	\$0	\$0	\$0			
Subtotal of Fund Accounts from Page 2	\$0	\$0	\$0			
STATUTORY DEDICATIONS	\$0	\$0	\$0			
(Select Statutory Dedication)	\$0	\$0	\$0			
(Select Statutory Dedication)	\$0	\$0	\$0			
Subtotal of Dedications from Page 2	\$0	\$0	\$0			
FEDERAL	\$0	\$0	\$0			
TOTAL	\$22,616,593	\$678,830	\$23,295,423			
AUTHORIZED POSITIONS		0	0			
AUTHORIZED OTHER CHARGES	181	0	181			
NON-TO FTE POSITIONS	0	0	0			
TOTAL POSITIONS	181	0	181			
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
FL Parishes Human Svs Auth	\$22,616,593	181	\$678,830	0	\$23,295,423	181
Program 2	\$0	0	\$0	0	\$0	0
Program 3	\$0	0	\$0	0	\$0	0
Program 4	\$0	0	\$0	0	\$0	0
Program 5	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$22,616,593	181	\$678,830	0	\$23,295,423	181

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: LA Dept. of Health	FOR OPB USE ONLY	
AGENCY: FL Parishes Human Services Authority	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 09-301		
SUBMISSION DATE: 9/23/20	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 21-01		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0

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Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?

The funding source for the requested increase is Interagency Transfer (IAT) means of finance from LDH/Office of Behavioral Health (OBH) to Florida Parishes Human Services Authority (FPHSA). The increase is necessary to provide sufficient IAT budget authority for FPHSA to receive IAT funding allocated from OBH for the COVID-19 Crisis Counseling Regular Services Program (CCP-RSP), State Opioid Response (SOR) Supplemental Funding- No Cost Extension (NCE), and State Opioid Response (SOR) 2.0 grant. This is a companion BA-7 #2 to LDH/OBH.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$678,830	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	\$678,830	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:
 No additional personnel is being requested.

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.
 This request cannot be postponed for consideration in the agency's budget request for next fiscal year because the grants are effective in Fiscal Year 2020-2021 and FPHSA currently has insufficient IAT budget authority for receipt of funds from OBH.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.
 This is not an after the fact BA-7.

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

Approval of this BA-7 will have a positive impact to individuals in the Behavioral Health area of services provided within the Florida Parishes Human Services Authority (FPHSA) catchment area.

2. Complete the following information for each objective and related performance indicators that will be effected by this request. (Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. (For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)

Approval of this BA-7 will have a positive impact on FPHSA's Behavioral Health services as a result of an anticipated increase to the number of referrals for out-patient treatment services. The community within the Florida Parishes Human Services Authority's catchment area will benefit from Crisis Counselor's services to the local community, first responders, and designated sites; Resource Linkage Coordinator, and ; Stress Management as a result of the COVID-19 pandemic; SOR Supplemental-NCE will provide Medically Assisted Treatment (MAT) services at the clinical level through contracted services, and; Mobile Crisis Team, Peer Supports services at local emergency room, and from the distribution of Narcan kits in an effort to prevent overdoses within the community..

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

Not applicable.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

Not applicable.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Florida Parishes Human Services Authority

MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS			
				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$6,928,942	\$0	\$6,928,942	\$0	\$0	\$0	\$0
Interagency Transfers	\$12,899,976	\$678,830	\$13,578,806	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$2,787,675	\$0	\$2,787,675	\$0	\$0	\$0	\$0
Statutory Dedications **	\$0	\$0	\$0	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL MOF	\$22,616,593	\$678,830	\$23,295,423	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$38,015	\$0	\$38,015	\$0	\$0	\$0	\$0
Operating Services	\$802,150	\$0	\$802,150	\$0	\$0	\$0	\$0
Supplies	\$110,455	\$0	\$110,455	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$21,086,183	\$678,830	\$21,767,013	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$544,403	\$0	\$544,403	\$0	\$0	\$0	\$0
Acquisitions	\$33,387	\$0	\$33,387	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$22,616,593	\$678,830	\$23,295,423	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0	0
Other Charges Positions	181	0	181	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	181	0	181	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Fund Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Fund Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Select Statutory Dedication)	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Florida Parishes Human Services Authority

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$678,830	\$0	\$0	\$0	\$678,830

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$678,830	\$0	\$0	\$0	\$678,830
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$678,830	\$0	\$0	\$0	\$678,830

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

QUESTIONNAIRE ANALYSIS

(Please reference question numbers, provide detailed information and use continuation sheets as needed.)

GENERAL PURPOSE

The purpose of this BA-7 is to increase Interagency Transfer (IAT) means of finance to allow sufficient budget authority for the receipt of funds from LDH/Office of Behavioral Health (OBH) to Florida Parishes Human Services Authority (FPHSA) for the COVID-19 Crisis Counseling Regular Services Program (CCP RSP) grant, State Opioid Response (SOR) Supplemental-No Cost Extension grant, and State Opioid Response (SOR) 2.0 grant. This is a companion BA-7 #2 to LDH/OBH.

REVENUES

Interagency Transfer from LDH/OBH to FPHSA

EXPENDITURES

Other-Other charges

Object 3720	\$31,958
Object 3730	\$28,465
Object 3740	\$610,317
Object 3750	\$8,090

Total	\$678,830
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NOTE: Amount requested has been decreased by excess IAT budget authority

OTHER

Richard Kramer, Executive Director 985/543-4333 extension 1403 richard.kramer@fphsa.org

Rachelle Sibley, Chief Operating Officer 985/543-4333 extension 1422 rachelle.sibley@fphsa.org

BA-7 SUPPORT INFORMATION

Page _____

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Louisiana Department of Health		FOR OPB USE ONLY				
AGENCY: Capital Area Human Services District		OPB LOG NUMBER 83		AGENDA NUMBER 36		
SCHEDULE NUMBER: 09-302		Approval and Authority:				
SUBMISSION DATE: September 24, 2020						
AGENCY BA-7 NUMBER: 02 <i>Companion BA7 to OBH BA1#2</i>						
HEAD OF BUDGET UNIT: Janzlean Laughinghouse, PhD						
TITLE: Executive Director						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge) <i>Janzlean Laughinghouse</i>						
MEANS OF FINANCING	CURRENT FY 2021-2022	ADJUSTMENT (+) or (-)	REVISED FY 2021-2022			
GENERAL FUND BY:						
DIRECT	\$8,656,170	\$0	\$8,656,170			
INTERAGENCY TRANSFERS	\$16,104,862	\$1,340,029	\$17,444,891			
FEES & SELF-GENERATED	\$3,553,108	\$0	\$3,553,108			
Regular Fees & Self-generated	\$3,553,108	\$0	\$3,553,108			
Subtotal of Fund Accounts from Page 2	\$0	\$0	\$0			
STATUTORY DEDICATIONS	\$0	\$0	\$0			
(Select Statutory Dedication)	\$0	\$0	\$0			
(Select Statutory Dedication)	\$0	\$0	\$0			
Subtotal of Dedications from Page 2	\$0	\$0	\$0			
FEDERAL	\$0	\$0	\$0			
TOTAL	\$28,314,140	\$1,340,029	\$29,654,169			
AUTHORIZED POSITIONS	0	0	0			
AUTHORIZED OTHER CHARGES	218	0	218			
NON-TO FTE POSITIONS	0	0	0			
TOTAL POSITIONS	218	0	218			
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
CAHSD	\$28,314,140	218	\$1,340,029	0	\$29,654,169	218
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2	\$0	0	\$0	0	\$0	0
TOTAL	\$28,314,140	218	\$1,340,029	0	\$29,654,169	218

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Louisiana Department of Health		FOR OPB USE ONLY			
AGENCY: Capital Area Human Services District		OPB LOG NUMBER		AGENDA NUMBER	
SCHEDULE NUMBER: 09-302		Approval and Authority:			
SUBMISSION DATE: September 24, 2020					
AGENCY BA-7 NUMBER: 02					
HEAD OF BUDGET UNIT: Janzlean Laughinghouse, PhD					
TITLE: Executive Director					
SIGNATURE <small>(Certifies that the information provided is correct and true to the best of your knowledge):</small>					

MEANS OF FINANCING	CURRENT FY 2021-2022	ADJUSTMENT (+) or (-)	REVISED FY 2021-2022
GENERAL FUND BY:			
DIRECT	\$8,656,170	\$0	\$8,656,170
INTERAGENCY TRANSFERS	\$16,104,862	\$1,340,029	\$17,444,891
FEES & SELF-GENERATED	\$3,553,108	\$0	\$3,553,108
Regular Fees & Self-generated	\$3,553,108	\$0	\$3,553,108
Subtotal of Fund Accounts from Page 2	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
Subtotal of Dedications from Page 2	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0
TOTAL	\$28,314,140	\$1,340,029	\$29,654,169
AUTHORIZED POSITIONS	0	0	0
AUTHORIZED OTHER CHARGES	218	0	218
NON-TO FTE POSITIONS	0	0	0
TOTAL POSITIONS	218	0	218

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
CAHSD	\$28,314,140	218	\$1,340,029	0	\$29,654,169	218
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$28,314,140	218	\$1,340,029	0	\$29,654,169	218

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Louisiana Department of Health	FOR OPB USE ONLY	
AGENCY: Capital Area Human Services District	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 09-302		
SUBMISSION DATE: September 24, 2020	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 02		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2021-2022	ADJUSTMENT (+) or (-)	REVISED FY 2021-2022
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0



Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? **Specifically identify any grant** or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?
Interagency Transfers

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025	FY 2025-2026
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$1,340,029	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	\$1,340,029	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:
N/A

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.
This BA-7 is to provide sufficient budget authority to receive IAT funding allocated to Capital Area Human Services District. This is a companion BA7 #2 to OBH. Requested IAT authority is adjusted based on an analysis of projected FY21 expenditures.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.
No

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7. Approval of this BA-7 will provide individuals obtaining behavioral health treatment in the seven (7) parish CAHSD catchment area enhanced services for the treatment of Opioid use and addiction; increased family and community support services provided through the MH Block Grant and provides additional funding to the agency for COVID-19 impacted services.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. (Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)

OBJECTIVE: N/A

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2021-2022	ADJUSTMENT (+) OR (-)	REVISED FY 2021-2022

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. (For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)

N/A

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

Approval of this BA-7 will provide individuals obtaining behavioral health treatment in the seven (7) parish CAHSD catchment area enhanced services for the treatment of Opioid use and addiction; increased family and community support services provided through the MH Block Grant and provides additional funding to the agency for COVID-19 impacted services.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: ~~Increased LDH-OBH IAT Funding to CAHSD~~ **CAHSP**

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2021-2022	ADJUSTMENT	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025	FY 2025-2026
GENERAL FUND BY:							
Direct	\$8,656,170	\$0	\$8,656,170	\$0	\$0	\$0	\$0
Interagency Transfers	\$16,104,862	\$1,340,029	\$17,444,891	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$3,553,108	\$0	\$3,553,108	\$0	\$0	\$0	\$0
Statutory Dedications **	\$0	\$0	\$0	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL MOF	\$28,314,140	\$1,340,029	\$29,654,169	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$27,426,669	\$1,340,029	\$28,766,698	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$887,471	\$0	\$887,471	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$28,314,140	\$1,340,029	\$29,654,169	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0	0
Other Charges Positions	218	0	218	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	218	0	218	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$3,553,108	\$0	\$3,553,108	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Increased LDH-OBH IAT Funding to CAHSD *CAHSD*

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$1,340,029	\$0	\$0	\$0	\$1,340,029

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$1,340,029	\$0	\$0	\$0	\$1,340,029
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$1,340,029	\$0	\$0	\$0	\$1,340,029

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
----------------	-----	-----	-----	-----	-----	-----

POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

QUESTIONNAIRE ANALYSIS

(Please reference question numbers, provide detailed information and use continuation sheets as needed.)

GENERAL PURPOSE

This BA-7 is to provide sufficient budget authority to receive IAT funding allocated to Capital Area Human Services District. This is a companion BA7 #2 to OBH. Requested IAT authority is adjusted based on an analysis of projected FY21 expenditures.

REVENUES

\$1,340,029 - Interagency Transfer

EXPENDITURES

3740 - \$1,340,029

OTHER

Jan Laughinghouse, Ph.D., LCSW-BACS • Interim Executive Director

Capital Area Human Services

7389 Florida Blvd, Suite 100A • Baton Rouge, LA 70806

M: 225.397.7803

janzlean.Laughinghouse@la.gov

Shaketha Carter • Deputy Director

Capital Area Human Services

7389 Florida Blvd, Suite 100A • Baton Rouge, LA 70806

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shaketha.carter@la.gov

Ramona Harris, MBA • Accountant Administrator

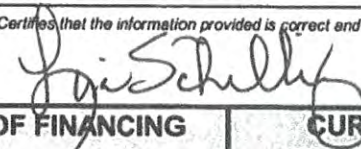
Capital Area Human Services

7389 Florida Blvd, Suite 100A • Baton Rouge, LA 70806

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STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Health		FOR OPB USE ONLY				
AGENCY: South Central LA Human Services Authority		OPB LOG NUMBER 84		AGENDA NUMBER 3c		
SCHEDULE NUMBER: 09-309		Approval and Authority:				
9/23/2020						
AGENCY BA-7 NUMBER: 21-01 Companion BA-7 to OBH BA-1#2						
HEAD OF BUDGET UNIT: Lisa Schilling						
TITLE: Executive Director						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021			
GENERAL FUND BY:						
DIRECT	\$7,868,905	\$0	\$7,868,905			
INTERAGENCY TRANSFERS	\$11,837,300	\$93,572	\$11,930,872			
FEES & SELF-GENERATED	\$3,000,000	\$0	\$3,000,000			
Regular Fees & Self-generated	\$3,000,000	\$0	\$3,000,000			
Subtotal of Fund Accounts from Page 2	\$0	\$0	\$0			
STATUTORY DEDICATIONS	\$0	\$0	\$0			
[Select Statutory Dedication]	\$0	\$0	\$0			
[Select Statutory Dedication]	\$0	\$0	\$0			
Subtotal of Dedications from Page 2	\$0	\$0	\$0			
FEDERAL	\$0	\$0	\$0			
TOTAL	\$22,706,205	\$93,572	\$22,799,777			
AUTHORIZED POSITIONS	0	0	0			
AUTHORIZED OTHER CHARGES	145	0	145			
NON-TO FTE POSITIONS	0	0	0			
TOTAL POSITIONS	145	0	145			
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
South Central LA Human Services Au	\$22,706,205	0	\$93,572	0	\$22,799,777	0
Program 2	\$0	0	\$0	0	\$0	0
Program 3	\$0	0	\$0	0	\$0	0
Program 4	\$0	0	\$0	0	\$0	0
Program 5	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$22,706,205	0	\$93,572	0	\$22,799,777	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Health	FOR OPB USE ONLY	
AGENCY: South Central LA Human Services Authority	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 09-309		
44097	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 21-01		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0



Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?

This BA-7 is to provide sufficient budget authority to receive IAT funding allocated to South Central Louisiana Human Services Authority. Requested IAT authority is adjusted based on an analysis of projected FY21 expenditures.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$93,572	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	\$93,572	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:

N/A

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.

N/A

5. Is this an after the fact BA-7, e.g., have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.

No

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

This BA-7 is to provide sufficient budget authority to receive IAT funding allocated to South Central Louisiana Human Services Authority. Requested IAT authority is adjusted based on an analysis of projected FY21 expenditures.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. (Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. (For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)

Approval of the BA7 will result in only positive affects to services directly provided to behavioral health consumers and stakeholders in the community.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

N/A

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

SCLHSA will not be able to collect the Grant funds from Office of Behavioral Health for the expenses incurred for providing services as outlined in Grants.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: South Central LA Human Services Authority

MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS			
GENERAL FUND BY:				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
Direct	\$7,868,905	\$0	\$7,868,905	\$0	\$0	\$0	\$0
Interagency Transfers	\$11,837,300	\$93,572	\$11,930,872	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$3,000,000	\$0	\$3,000,000	\$0	\$0	\$0	\$0
Statutory Dedications **	\$0	\$0	\$0	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL MOF	\$22,706,205	\$93,572	\$22,799,777	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$62,793	\$0	\$62,793	\$0	\$0	\$0	\$0
Operating Services	\$1,212,368	\$0	\$1,212,368	\$0	\$0	\$0	\$0
Supplies	\$567,904	\$0	\$567,904	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$20,254,856	\$93,572	\$20,348,428	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$608,284	\$0	\$608,284	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$22,706,205	\$93,572	\$22,799,777	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0	0
Other Charges Positions	145	0	145	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	145	0	145	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$3,000,000	\$0	\$3,000,000	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: South Central LA Human Services Authority

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$93,572	\$0	\$0	\$0	\$93,572

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$93,572	\$0	\$0	\$0	\$93,572
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$93,572	\$0	\$0	\$0	\$93,572

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

BA-7 QUESTIONNAIRE

(Provide answers on the Questionnaire Analysis Form; answer all questions applicable to the requested budget adjustment.)

GENERAL PURPOSE

This BA-7 will balance the IAT budget authority with OBH allocated funding.

REVENUES

This BA7 increases the IAT revenue expected from the La Dept of Health / Office of Behavioral Health for awards received from SAMHSA.

EXPENDITURES

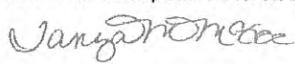
This BA7 will increase expenditures in the Other Charges Budget Category for contract services.

OTHER

Lisa Schilling, SCLHSA Executive Director
985-858-2931

Lisa.schilling@la.gov

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Louisiana Department of Health		FOR OPB USE ONLY				
AGENCY: Imperial Calcasieu Human Services Authority		OPB LOG NUMBER 86R		AGENDA NUMBER 3d		
SCHEDULE NUMBER: 09-375		Approval and Authority:				
SUBMISSION DATE: 9/23/2020						
AGENCY BA-7 NUMBER: 1 (Companion to OBH BA7 #2)						
HEAD OF BUDGET UNIT: Tanya McGee						
TITLE: Executive Director, ImCal HSA						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)		REVISED FY 2020-2021		
GENERAL FUND BY:						
DIRECT	\$3,945,760	\$0		\$3,945,760		
INTERAGENCY TRANSFERS	\$6,315,238	\$209,459		\$6,524,697		
FEES & SELF-GENERATED	\$1,300,000	\$0		\$1,300,000		
Regular Fees & Self-generated	\$1,300,000	\$0		\$1,300,000		
Subtotal of Fund Accounts from Page 2	\$0	\$0		\$0		
STATUTORY DEDICATIONS	\$0	\$0		\$0		
[Select Statutory Dedication]	\$0	\$0		\$0		
[Select Statutory Dedication]	\$0	\$0		\$0		
Subtotal of Dedications from Page 2	\$0	\$0		\$0		
FEDERAL	\$395,629	\$0		\$395,629		
TOTAL	\$11,956,627	\$209,459		\$12,166,086		
AUTHORIZED POSITIONS	0	0		0		
AUTHORIZED OTHER CHARGES	77	0		77		
NON-TO FTE POSITIONS	0	0		0		
TOTAL POSITIONS	77	0		77		
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
ICHSA	\$11,956,627	77	\$209,459	0	\$12,166,086	77
Program 2	\$0	0	\$0	0	\$0	0
Program 3	\$0	0	\$0	0	\$0	0
Program 4	\$0	0	\$0	0	\$0	0
Program 5	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$11,956,627	77	\$209,459	0	\$12,166,086	77

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Louisiana Department of Health	FOR OPB USE ONLY	
AGENCY: Imperial Calcasieu Human Services Authority	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 09-375		
SUBMISSION DATE: 9/23/2020	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 1 (Companion to OBH BA7 #2)		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
 The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund/Account]	\$0	\$0	\$0
[Select Fund/Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0

Use this section for additional Program Names, if needed.
 The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?

The BA-7 is to provide sufficient budget authority to receive IAT funding allocated to Imperial Calcasieu Human Service Authority. This is a companion BA-7 #2 to OBH. Requested IAT authority is adjusted based on an analysis of projected FY21 expenditures.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$209,459	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	\$209,459	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:
No additional personnel needed.

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.

This increase is to have sufficient budget authority for the remainder of FY21. The adjustment is needed to facilitate IAT funding that the District will receive.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.

This is not an after the fact BA-

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

Approval of this BA-7 will account for sufficient Budget authority for the remainder of FY21. This adjustment is needed to facilitate all IAT funding that the District will receive.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. (Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. (For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)

There are no impacts to Performance Indicators related to this BA-7.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

There are no impacts to Performance Indicators related to this BA-7.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

Failure to approve this BA-7 will not allow for sufficient Budget authority for the remainder of FY21. This BA-7 adjustment is needed to facilitate all IAT funding that the District will receive.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: IMCAL

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$3,945,760	\$0	\$3,945,760	\$0	\$0	\$0	\$0
Interagency Transfers	\$6,315,238	\$209,459	\$6,524,697	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$1,300,000	\$0	\$1,300,000	\$0	\$0	\$0	\$0
Statutory Dedications **	\$0	\$0	\$0	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$395,629	\$0	\$395,629	\$0	\$0	\$0	\$0
TOTAL MOF	\$11,956,627	\$209,459	\$12,166,086	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$11,708,190	\$209,459	\$11,917,649	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$248,437	\$0	\$248,437	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$11,956,627	\$209,459	\$12,166,086	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0	0
Other Charges Positions	77	0	77	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	77	0	77	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$1,300,000	\$0	\$1,300,000	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: IMCAL

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$209,459	\$0	\$0	\$0	\$209,459

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$209,459	\$0	\$0	\$0	\$209,459
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$209,459	\$0	\$0	\$0	\$209,459

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

BA-7 QUESTIONNAIRE

(Provide answers on the Questionnaire Analysis Form; answer all questions applicable to the requested budget adjustment.)

GENERAL PURPOSE

1. This BA-7 is to provide sufficient budget authority to receive IAT funding allocated to Imperial Calcasieu Human Services Authority. This is a companion BA-7 #1 to the Office of Behavioral Health. Requested IAT authority is adjusted based on an analysis of projected FY21 expenditures.

REVENUES

(Explain the Means of Financing. Provide details including Source, authority to spend, etc.)

- | | |
|--|-----------------|
| 2. If STATE GENERAL FUND | N/A |
| 3. If IAT | OBH - \$209,459 |
| 4. If Self-Generated Revenues | N/A |
| 5. If Statutory Dedications | N/A |
| 6. If Interim Emergency Board Appropriations | N/A |
| 7. If Federal Funds | N/A |
| 8. All Grants: | N/A |

EXPENDITURES

9. Provide detailed expenditure information including how the amount requested was calculated. Additional funding is to align FY21 IAT with IAT allocation received from OBH.
10. If funds are being transferred, please explain how excess funds became available.
N/A
11. Provide object details as part of explanation. Other Charges, activities related to treatment, prevention and recovery.

OTHER

12. ICHSA Contacts:


Tanya M. McGee
Executive Director
Imperial Calcasieu Human Services Authority
(337) 475-3100

Melanie Jackson
CFO
Imperial Calcasieu Human Services Authority
(337) 475-4869

BA-7 SUPPORT INFORMATION

Page 7

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: LA DEPARTMENT OF HEALTH		FOR OPB USE ONLY				
AGENCY: NORTHWEST LA HUMAN SERVICES DISTRICT		OPB LOG NUMBER 87R		AGENDA NUMBER 3e		
SCHEDULE NUMBER: 09-377		Approval and Authority:				
SUBMISSION DATE: 09/23/2020						
AGENCY BA-7 NUMBER: #1 (Companion to OBH BA7 #2)						
HEAD OF BUDGET UNIT: DOUGLAS EFFERSON						
TITLE: EXECUTIVE DIRECTOR						
SIGNATURE <i>(Certifies that the information provided is correct and true to the best of your knowledge)</i> 						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)		REVISED FY 2020-2021		
GENERAL FUND BY:						
DIRECT	\$4,354,638	\$0		\$4,354,638		
INTERAGENCY TRANSFERS	\$9,166,338	\$295,605		\$9,461,943		
FEES & SELF-GENERATED	\$1,500,000	\$0		\$1,500,000		
Regular Fees & Self-generated	\$1,500,000	\$0		\$1,500,000		
Subtotal of Fund Accounts from Page 2	\$0	\$0		\$0		
STATUTORY DEDICATIONS	\$0	\$0		\$0		
[Select Statutory Dedication]	\$0	\$0		\$0		
[Select Statutory Dedication]	\$0	\$0		\$0		
Subtotal of Dedications from Page 2	\$0	\$0		\$0		
FEDERAL	\$0	\$0		\$0		
TOTAL	\$15,020,976	\$295,605		\$15,316,581		
AUTHORIZED POSITIONS	0	0		0		
AUTHORIZED OTHER CHARGES	89	0		89		
NON-TO FTE POSITIONS	0	0		0		
TOTAL POSITIONS	89	0		89		
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
NLHSD	\$15,020,976	89	\$295,605	0	\$15,316,581	89
Program 2	\$0	0	\$0	0	\$0	0
Program 3	\$0	0	\$0	0	\$0	0
Program 4	\$0	0	\$0	0	\$0	0
Program 5	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$15,020,976	89	\$295,605	0	\$15,316,581	89

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: LA DEPARTMENT OF HEALTH	FOR OPB USE ONLY	
AGENCY: NORTHWEST LA HUMAN SERVICES DISTRICT	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 09-377		
SUBMISSION DATE: 09/23/2020	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: #1 (Companion to OBH BA7 #2)		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.

The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0

Use this section for additional Program Names, if needed.

The subtotal will automatically be transferred to Page 1.

[illegible]

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0
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STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?
This increase is to align IAT budget authority with OBH appropriated funding including funding received accordingly.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$295,605	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	\$295,605	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:
No - this BA-7 does not require additional personnel.

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.
This increase is to have sufficient budget authority for the remainder of FY21. The adjustment is needed to facilitate IAT funding that the District will receive.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.
This is not an after the fact BA-7.

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

Approval of this BA-7 will account for sufficient Budget authority for the remainder of FY21. This adjustment is needed to facilitate all IAT funding that the District will receive. There will be no programmatic impacts to the District.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. *(Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)*

OBJECTIVE: N/A

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).
There are no impacts to Performance Indicators related to this BA-7.

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. *(For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)*

There are no impacts to Performance Indicators related to this BA-7.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

There are no impacts to Performance Indicators related to this BA-7.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

Failure to approve this BA-7 will not allow for sufficient Budget authority for the remainder of FY21. This BA-7 adjustment is needed to facilitate all IAT funding that the District will receive.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: _____

MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS			
				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$4,354,638	\$0	\$4,354,638	\$0	\$0	\$0	\$0
Interagency Transfers	\$9,166,338	\$295,605	\$9,461,943	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$1,500,000	\$0	\$1,500,000	\$0	\$0	\$0	\$0
Statutory Dedications **	\$0	\$0	\$0	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL MOF	\$15,020,976	\$295,605	\$15,316,581	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$14,681,020	\$295,605	\$14,976,625	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$339,956	\$0	\$339,956	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$15,020,976	\$295,605	\$15,316,581	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0	0
Other Charges Positions	89	0	89	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	89	0	89	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$1,500,000	\$0	\$1,500,000	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: _____

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$295,605	\$0	\$0	\$0	\$295,605

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$295,605	\$0	\$0	\$0	\$295,605
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$295,605	\$0	\$0	\$0	\$295,605

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

BA-7 QUESTIONNAIRE

(Provide answers on the Questionnaire Analysis Form; answer all questions applicable to the requested budget adjustment.)

GENERAL PURPOSE

1. This BA-7 is to provide sufficient budget authority to receive IAT funding allocated to Northwest Louisiana Human Services District. This is a companion BA-7 #1 to the Office of Behavioral Health. Requested IAT authority is adjusted based on an analysis of projected FY21 expenditures.

REVENUES

(Explain the Means of Financing. Provide details including Source, authority to spend, etc.)

- | | |
|--|-----------------|
| 2. If STATE GENERAL FUND | N/A |
| 3. If IAT | OBH - \$295,605 |
| 4. If Self-Generated Revenues | N/A |
| 5. If Statutory Dedications | N/A |
| 6. If Interim Emergency Board Appropriations | N/A |
| 7. If Federal Funds | N/A |
| 8. All Grants: | N/A |

EXPENDITURES

9. Provide detailed expenditure information including how the amount requested was calculated. Additional funding is to align FY21 IAT with IAT allocation received from OBH.
10. If funds are being transferred, please explain how excess funds became available.
N/A
11. Provide object details as part of explanation.
Object class to be used: 3690, 3691, 3694, 3695, 3696, 3700, 3720, 3730, 3740 and 3741

OTHER

12. NLHSD Contacts:

Douglas Efferson
Executive Director
Northwest Louisiana Human Services District
318-676-5128

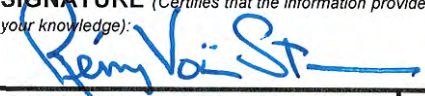
Gloria Lott
Chief Financial Officer
318-676-5102

BA-7 SUPPORT INFORMATION

Page 1 of 1

Revised January 30, 2001

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Executive			FOR OPB USE ONLY			
AGENCY: Louisiana Public Defender Board			OPB LOG NUMBER 90R		AGENDA NUMBER 4	
SCHEDULE NUMBER: 01-116			Approval and Authority:			
SUBMISSION DATE: September 30, 2020						
AGENCY BA-7 NUMBER: 3						
HEAD OF BUDGET UNIT: Rémy V. Starns						
TITLE: State Public Defender						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021			
GENERAL FUND BY:						
DIRECT	\$8,329,995	\$0	\$8,329,995			
INTERAGENCY TRANSFERS	\$991,862	\$0	\$991,862			
FEES & SELF-GENERATED	\$0	\$0	\$0			
Regular Fees & Self-generated	\$0	\$0	\$0			
Subtotal of Fund Accounts from Page 2	\$0	\$0	\$0			
STATUTORY DEDICATIONS	\$39,503,515	\$0	\$39,503,515			
DNA Testing Post-Conviction Relief for Indigents Fund (CR5)	\$50,000	\$0	\$50,000			
Louisiana Public Defender Fund (V31)	\$39,453,515	\$0	\$39,453,515			
Subtotal of Dedications from Page 2	\$0	\$0	\$0			
FEDERAL	\$0	\$148,416	\$148,416			
TOTAL	\$48,825,372	\$148,416	\$48,973,788			
AUTHORIZED POSITIONS	16	0	16			
AUTHORIZED OTHER CHARGES	0	0	0			
NON-TO FTE POSITIONS	5	0	5			
TOTAL POSITIONS	21	0	21			
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
Louisiana Public Defender Board	\$48,825,372	21	\$148,416	0	\$48,973,788	21
Program 2	\$0	0	\$0	0	\$0	0
Program 3	\$0	0	\$0	0	\$0	0
Program 4	\$0	0	\$0	0	\$0	0
Program 5	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$48,825,372	21	\$148,416	0	\$48,973,788	21

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Executive	FOR OPB USE ONLY	
AGENCY: Louisiana Public Defender Board	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 01-116		
SUBMISSION DATE: September 30, 2020	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 3		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0

Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?
 These funds are federal funds. LPDB is anticipating the monthly receipt of funds from OJP for the purpose of hiring two social workers to support juvenile delinquency defense in East Baton Rouge. Louisiana Center for Children's Rights will do the hiring and handle the day to day operations of the project. The source of these funds is the federal government through Office of Justice Programs (OJP), U.S Department of Justice (DOJ), under the funding opportunity entitled, Office of Juvenile Justice and Delinquency Prevention (OJJDP) FY 20: Enhancing Juvenile Indigent Defense. The grant file and award letter are attached.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$148,416	\$148,416	\$148,416	\$0	\$0
TOTAL	\$148,416	\$148,416	\$148,416	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:
 N/A

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.
 The grant period is 10/01/2020-09/30/2023. If this request was postponed until FY 22, LPDB would lose nine months of the award period.

5. Is this an after the fact BA-7, e.g., have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.
 Expenditures have not been made against the funds we are requesting.

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

This request, if fulfilled, would close the gap and put us closer to the true amount spent on parent representation. The increase would enhance parent representation.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. *(Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)*

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).
There will be no impact on the performance indicators.

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. *(For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)*

Our performance indicators are not affected. However, it will aid in improving the quality of public defense services for clients.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

These funds will allow for the hiring of social workers, which would improve public defense.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

The public defense system is a financially strained system that does not have the funding available to hire social workers.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Louisiana Public Defender Board

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT				
PROGRAM 1 NAME: <u>Louisiana Public Defender Board</u>				
MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS
GENERAL FUND BY:				FY 2021-2022 FY 2022-2023 FY 2023-2024 FY 2024-2025
Direct	\$8,329,995	\$0	\$8,329,995	\$0 \$0 \$0 \$0
Interagency Transfers	\$991,862	\$0	\$991,862	\$0 \$0 \$0 \$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Statutory Dedications **	\$39,503,515	\$0	\$39,503,515	\$0 \$0 \$0 \$0
FEDERAL FUNDS	\$0	\$148,416	\$148,416	\$148,416 \$148,416 \$0 \$0
TOTAL MOF	\$48,825,372	\$148,416	\$48,973,788	\$148,416 \$148,416 \$0 \$0
EXPENDITURES:				
Salaries	\$1,433,853	\$0	\$1,433,853	\$0 \$0 \$0 \$0
Other Compensation	\$151,779	\$0	\$151,779	\$0 \$0 \$0 \$0
Related Benefits	\$787,634	\$0	\$787,634	\$0 \$0 \$0 \$0
Travel	\$43,000	\$0	\$43,000	\$0 \$0 \$0 \$0
Operating Services	\$193,003	\$0	\$193,003	\$0 \$0 \$0 \$0
Supplies	\$187,267	\$0	\$187,267	\$0 \$0 \$0 \$0
Professional Services	\$400,334	\$0	\$400,334	\$0 \$0 \$0 \$0
Other Charges	\$45,050,679	\$148,416	\$45,199,095	\$148,416 \$148,416 \$0 \$0
Debt Services	\$0	\$0	\$0	\$0 \$0 \$0 \$0
Interagency Transfers	\$220,086	\$0	\$220,086	\$0 \$0 \$0 \$0
Acquisitions	\$357,737	\$0	\$357,737	\$0 \$0 \$0 \$0
Major Repairs	\$0	\$0	\$0	\$0 \$0 \$0 \$0
UNALLOTTED	\$0	\$0	\$0	\$0 \$0 \$0 \$0
TOTAL EXPENDITURES	\$48,825,372	\$148,416	\$48,973,788	\$148,416 \$148,416 \$0 \$0
POSITIONS				
Classified	8	0	8	0 0 0 0
Unclassified	8	0	8	0 0 0 0
TOTAL T.O. POSITIONS	16	0	16	0 0 0 0
Other Charges Positions	0	0	0	0 0 0 0
Non-TO FTE Positions	5	0	5	0 0 0 0
TOTAL POSITIONS	21	0	21	0 0 0 0
*Dedicated Fund Accounts:				
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Fund Account]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Fund Account]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
**Statutory Dedications:				
DNA Testing Post-Conviction Relief for Indigents Fund (CR5)	\$50,000	\$0	\$50,000	\$0 \$0 \$0 \$0
Louisiana Public Defender Fund (V31)	\$39,453,515	\$0	\$39,453,515	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0 \$0 \$0 \$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Louisiana Public Defender Board

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$0	\$148,416	\$148,416

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$0	\$148,416	\$148,416
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$0	\$148,416	\$148,416

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

BA-7 #4 – LOUISIANA PUBLIC DEFENDER BOARD **QUESTIONNAIRE ANALYSIS**

(Please reference question numbers, provide detailed information and use continuation sheets as needed.)

GENERAL PURPOSE

This BA-7 is to add Federal funds in the amount of \$148,416. The funds will be used to hire two social workers to support juvenile delinquency defense in East Baton Rouge. The Louisiana Center for Children's Rights will perform the day to day operation of the project.

REVENUES

As we do not have any federal budget authority to receive the funds, we need to request funds of \$148,416 be added to our appropriation in this category.

EXPENDITURES

Other Charges

OTHER

Richard Pittman –Deputy State Public Defender – 225-219-9305 rpittman@lpdb.la.gov

Natashia M. Carter – Budget Administrator – 225-219-9305 ncarter@lpdb.la.gov



Department of Justice (DOJ)
Office of Justice Programs

Office of the Assistant Attorney General

Washington, D.C. 20531

September 16, 2020

Mr. Remy Starns
Louisiana Public Defender Board
301 Main St. Ste. 700
Baton Rouge, LA 70825-0029

Dear Mr. Starns:

On behalf of Attorney General William P. Barr, it is my pleasure to inform you that the Office of Justice Programs (OJP), U.S. Department of Justice (DOJ), has approved the application by Louisiana Public Defender Board for an award under the OJP funding opportunity entitled "OJJDP FY 20 Enhancing Juvenile Indigent Defense." The approved award amount is \$445,248. These funds are for the project entitled East Baton Rouge Advanced Advocacy Project.

The award document, including award conditions, is enclosed. The entire document is to be reviewed carefully before any decision to accept the award. Also, the webpage entitled "Legal Notices: Special circumstances as to particular award conditions" (ojp.gov/funding/Explore/LegalNotices-AwardReqs.htm) is to be consulted prior to an acceptance. Through that "Legal Notices" webpage, OJP sets out -- by funding opportunity -- certain special circumstances that may or will affect the applicability of one or more award requirements. Any such legal notice pertaining to award requirements that is posted through that webpage is incorporated by reference into the award.

Please note that award requirements include not only award conditions, but also compliance with assurances and certifications that relate to conduct during the period of performance for the award. Because these requirements encompass financial, administrative, and programmatic matters, as well as other important matters (e.g., specific restrictions on use of funds), it is vital that all key staff know the award requirements, and receive the award conditions and the assurances and certifications, as well as the application as approved by OJP. (Information on all pertinent award requirements also must be provided to any subrecipient of the award.)


Should Louisiana Public Defender Board accept the award and then fail to comply with an award requirement, DOJ will pursue appropriate remedies for non-compliance, which may include termination of the award and/or a requirement to repay award funds.

Please direct questions regarding this award as follows:

- For program questions, contact Julia Alanen, Program Manager at (202) 598-6892; and
- For financial questions, contact the Customer Service Center of OJP's Office of the Chief Financial Officer at (800) 458-0786, or at ask.ocfo@usdoj.gov.

We look forward to working with you.

Sincerely,


Katharine T. Sullivan
Principal Deputy Assistant Attorney General

Encl.



Department of Justice (DOJ)
Office of Justice Programs
Office of Civil Rights

Washington, DC 20531

September 16, 2020

Mr. Remy V. Starns
Louisiana Public Defender Board
301 Main St. Ste. 700
Baton Rouge, LA 70825-0029

Dear Mr. Starns:

Congratulations on your recent award. The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice (DOJ) has been delegated the responsibility for ensuring that recipients of federal financial assistance from the OJP, the Office of Community Oriented Policing Services (COPS), and the Office on Violence Against Women (OVW) are not engaged in discrimination prohibited by law. Several federal civil rights laws, such as Title VI of the Civil Rights Act of 1964 and Title IX of the Education Amendments of 1972, require recipients of federal financial assistance to give assurances that they will comply with those laws. In addition to those civil rights laws, many grant program statutes contain nondiscrimination provisions that require compliance with them as a condition of receiving federal financial assistance. For a complete review of these civil rights laws and nondiscrimination requirements, in connection with OJP and other DOJ awards, see <https://ojp.gov/funding/Explore/LegalOverview/CivilRightsRequirements.htm>

Under the delegation of authority, the OCR investigates allegations of discrimination against recipients from individuals, entities, or groups. In addition, the OCR conducts limited compliance reviews and audits based on regulatory criteria. These reviews and audits permit the OCR to evaluate whether recipients of financial assistance from the Department are providing services in a non-discriminatory manner to their service population or have employment practices that meet equal-opportunity standards.

If you are a recipient of grant awards under the Omnibus Crime Control and Safe Streets Act or the Juvenile Justice and Delinquency Prevention Act and your agency is part of a criminal justice system, there are two additional obligations that may apply in connection with the awards: (1) complying with the regulation relating to Equal Employment Opportunity Programs (EEOs); and (2) submitting findings of discrimination to OCR. For additional information regarding the EEO requirement, see 28 CFR Part 42, subpart E, and for additional information regarding requirements when there is an adverse finding, see 28 C.F.R. §§ 42.204(c), .205(c)(5). Please submit information about any adverse finding to the OCR at the above address.

We at the OCR are available to help you and your organization meet the civil rights requirements that are associated with OJP and other DOJ grant funding. If you would like the OCR to assist you in fulfilling your organization's civil rights or nondiscrimination responsibilities as a recipient of federal financial assistance, please do not hesitate to let us know.

Sincerely,

Michael L. Alston
Director

cc: Grant Manager
Financial Analyst



Department of Justice (DOJ)
Office of Justice Programs
Office of the Chief Financial Officer

Washington, D.C. 20531

September 16, 2020

Mr. Remy V. Starns
Louisiana Public Defender Board
301 Main St. Ste. 700
Baton Rouge, LA 70825 - 0029

Reference Grant Number: 2020-ZE-BX-0003

Dear Mr. Starns:

I am pleased to inform you that my office has approved the following budget categories for the aforementioned grant award in the cost categories identified below:

Category	Budget
Personnel	\$0
Fringe Benefits	\$0
Travel	\$0
Equipment	\$0
Supplies	\$0
Construction	\$0
Contractual	\$463,450
Other	\$0
Total Direct Cost	\$463,450
Indirect Cost	\$0
Total Project Cost	\$463,450
Federal Funds Approved:	\$445,248
Non-Federal Share:	\$18,202
Program Income:	\$0

Match is not required but necessary for the completion of the project; therefore, the non-federal share that has been incorporated in the approved budget is mandatory and subject to audit.

The line item labeled "Contractual" may include contracts, subawards, or consultants.

If you have questions regarding this award, please contact:

- Program Questions, Julia Alanen, Program Manager at (202) 598-6892
- Financial Questions, the Office of Chief Financial Officer, Customer Service Center(CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

A handwritten signature in cursive script, appearing to read "Leigh Benda".

Leigh Benda
Chief Financial Officer

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Wildlife & Fisheries		FOR OPB USE ONLY				
AGENCY: Office of Fisheries		OPB LOG NUMBER 94		AGENDA NUMBER 5		
SCHEDULE NUMBER: 16-514		Approval and Authority:				
SUBMISSION DATE: 10/12/2020						
AGENCY BA-7 NUMBER: F-21-2						
HEAD OF BUDGET UNIT: Bryan McClinton						
TITLE: Undersecretary						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge) 						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021			
GENERAL FUND BY:						
DIRECT	\$0	\$0	\$0			
INTERAGENCY TRANSFERS	\$14,289,022	\$0	\$14,289,022			
FEES & SELF-GENERATED	\$116,976	\$249,999	\$116,976			
Regular Fees & Self-generated	\$116,976	\$0	\$116,976			
Subtotal of Fund Accounts from Page 2	\$0	\$0	\$0			
STATUTORY DEDICATIONS	\$27,404,083	\$0	\$27,404,083			
[Select Statutory Dedication]	\$0	\$0	\$0			
[Select Statutory Dedication]	\$0	\$0	\$0			
Subtotal of Dedications from Page 2	\$27,404,083	\$0	\$27,404,083			
FEDERAL	\$10,672,013	\$0	\$10,672,013			
TOTAL	\$52,482,094	\$249,999	\$52,732,093			
AUTHORIZED POSITIONS	236	0	236			
AUTHORIZED OTHER CHARGES	1	0	1			
NON-TO FTE POSITIONS	53	0	53			
TOTAL POSITIONS	290	0	290			
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
FISHERIES	\$52,482,094	237	\$249,999	0	\$52,732,093	237
Program 2	\$0	0	\$0	0	\$0	0
Program 3	\$0	0	\$0	0	\$0	0
Program 4	\$0	0	\$0	0	\$0	0
Program 5	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2	\$0	0	\$0	0	\$0	0
TOTAL	\$52,482,094	237	\$249,999	0	\$52,732,093	237

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: Wildlife & Fisheries	FOR OPB USE ONLY	
AGENCY: Office of Fisheries	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 16-514		
SUBMISSION DATE: 10/12/2020	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: F-21-2		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
Oyster Sanitation Fund (Q08)	\$75,500	\$0	\$75,500
Conservation Fund (W01)	\$15,821,127	\$0	\$15,821,127
Artificial Reef Development Fund (W04)	\$6,019,433	\$0	\$6,019,433
Oyster Development Fund (W18)	\$149,989	\$0	\$149,989
Shrimp Marketing & Promotion Account (W22)	\$70,331	\$0	\$70,331
Aquatic Plant Control Fund (W27)	\$1,400,000	\$0	\$1,400,000
Public Oyster Seed Ground Development Account (W28)	\$2,374,217	\$0	\$2,374,217
Crab Promotion and Marketing Account (W33)	\$42,577	\$0	\$42,577
Derelict Crab Trap Removal Program Account (W34)	\$102,363	\$0	\$102,363
Saltwater Fish Research and Conservation Fund (W40)	\$1,348,546	\$0	\$1,348,546
SUBTOTAL (to Page 1)	\$27,404,083	\$0	\$27,404,083

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Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?
The source of Funding is the National Fish and Wildlife Foundation (NFWF). The purpose of the funds is to provide direct financial assistance to fisherman in Louisiana to purchase Turtle Excluder Devices (TED) to install on skimmer boats that are at least 40 feet in length. Federal regulations 50 CFR 223.205 will become effective April 1, 2021 and will require these TED devices on skimmer boats of at least 40 feet in length. In addition, we have provided a copy of the contract between NFWF and LDWF to provide reimbursement up to 60% of the TED device cost to fishermen who purchase the TED devices to copy with this federal regulation.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$249,999	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$0	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	\$249,999	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:

No additional personnel is required

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.

The new federal regulation, 50 CFR 223.205, requires fishermen to have the TED devices installed on their skimmer boats of at least 40 feet prior to April 1, 2021. As a result, we plan to start meeting with fishermen in October 2020 to inform them of this new federal regulation and to apprise them of the procedures we will follow to reimburse them up to 60% for the purchase of a maximum of two TED devices.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.

No

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

Approval of this BA-7 will enable commercial fishermen to more easily comply with federal regulations associated with shrimp. In addition, installation of the TED devices will continue to protect and prolong the lives of turtles, which are an endangered species.

OBJECTIVE:

[illegible]

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

Approval of this BA-7 will enable commercial fishermen to more easily comply with new federal regulations associated with shrimping, which is 50 CFR 223.205 - Sea Turtles.

There is no performance indicator directly associated with the reimbursement to fishermen for the purchase of TED devices. Approval of this BA-7 will enable LDWF to provide direct financial assistance to fishermen and to improve the economy of commercial fishing, particularly, after the negative impacts to the fishing industry after the onset of the novel COVID-19 coronavirus.

Failure to approve this BA-7 will potentially cause fishermen to be in non-compliance with federal regulations for failure to purchase TED devices on their skimmer boats, which could lead to these fishermen having to pay fines and civil penalties.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: FISHERIES

MEANS OF FINANCING:	CURRENT	REQUESTED	REVISED	ADJUSTMENT OUTYEAR PROJECTIONS			
	FY 2020-2021	ADJUSTMENT	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$14,289,022	\$0	\$14,289,022	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$116,976	\$249,999	\$366,975	\$0	\$0	\$0	\$0
Statutory Dedications **	\$27,404,083	\$0	\$27,404,083	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$10,672,013	\$0	\$10,672,013	\$0	\$0	\$0	\$0
TOTAL MOF	\$52,482,094	\$249,999	\$52,732,093	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$13,669,961	\$17,992	\$13,687,953	\$0	\$0	\$0	\$0
Other Compensation	\$1,093,958	\$0	\$1,093,958	\$0	\$0	\$0	\$0
Related Benefits	\$9,999,953	\$9,270	\$10,009,223	\$0	\$0	\$0	\$0
Travel	\$134,912	\$0	\$134,912	\$0	\$0	\$0	\$0
Operating Services	\$6,660,735	\$0	\$6,660,735	\$0	\$0	\$0	\$0
Supplies	\$4,631,361	\$0	\$4,631,361	\$0	\$0	\$0	\$0
Professional Services	\$2,347,943	\$0	\$2,347,943	\$0	\$0	\$0	\$0
Other Charges	\$10,090,492	\$222,737	\$10,313,229	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$869,853	\$0	\$869,853	\$0	\$0	\$0	\$0
Acquisitions	\$1,919,451	\$0	\$1,919,451	\$0	\$0	\$0	\$0
Major Repairs	\$1,063,475	\$0	\$1,063,475	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$52,482,094	\$249,999	\$52,732,093	\$0	\$0	\$0	\$0
POSITIONS							
Classified	236	0	236	0	0	0	0
Unclassified	1	0	1	0	0	0	0
TOTAL T.O. POSITIONS	237	0	237	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	53	0	53	0	0	0	0
TOTAL POSITIONS	290	0	290	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$116,976	\$249,999	\$366,975	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Oyster Sanitation Fund (Q06)	\$75,500	\$0	\$75,500	\$0	\$0	\$0	\$0
Conservation Fund (W01)	\$15,821,127	\$0	\$15,821,127	\$0	\$0	\$0	\$0
Artificial Reef Development Fund (W04)	\$6,019,433	\$0	\$6,019,433	\$0	\$0	\$0	\$0
Oyster Development Fund (W18)	\$149,989	\$0	\$149,989	\$0	\$0	\$0	\$0
Shrimp Marketing & Promotion Account (W22)	\$70,331	\$0	\$70,331	\$0	\$0	\$0	\$0

**STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT**

Aquatic Plant Control Fund (W27)	\$1,400,000	\$0	\$1,400,000	\$0	\$0	\$0	\$0
Public Oyster Seed Ground Development Account (W28)	\$2,374,217	\$0	\$2,374,217	\$0	\$0	\$0	\$0
Crab Promotion and Marketing Account (W33)	\$42,577	\$0	\$42,577				
Derelict Crab Trap Removal Program Account (W34)	\$102,363	\$0	\$102,363				
Saltwater Fish Research and Conservation Fund (W40)	\$1,348,546	\$0	\$1,348,546	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: FISHERIES

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$249,999	\$0	\$0	\$249,999
EXPENDITURES:						
Salaries	\$0	\$0	\$17,992	\$0	\$0	\$17,992
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$9,270	\$0	\$0	\$9,270
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$222,737	\$0	\$0	\$222,737
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$249,999	\$0	\$0	\$249,999
OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

A

QUESTIONNAIRE ANALYSIS**BA-7 F-21-2**

(Please reference question numbers, provide detailed information and use continuation sheets as needed.)

GENERAL PURPOSE

The purpose of this BA-7 is to add funds to the Self-Generated Budget (002) to provide reimbursement to fishermen for the purchase of up to two Turtle Excluder Devices (TED) for skimmer boats of at least 40 feet in length to comply with federal regulations 50 CFR 223.205 - Sea Turtles

REVENUES

Self-Generated revenues will be obtained through a grant with the National Fish and Wildlife Foundation (NFWF) for up to a total of \$249,999 (Refer to page 1, Box 14 of the National Fish and Wildlife Foundation Grant Agreement)


EXPENDITURES

Program	Major Category	Description	Amount	Purchase Order #
Fisheries	Other Charges	Expenditures related to the administration and operation of the NFWF grant to educate fishermen on the federal regulation 50 CFR 223.205 that will become effective April 1, 2021 and to reimburse them up to 60% of the purchase for two Turtle Excluder Devices (TED)	\$ 249,999	N/A

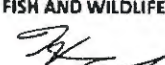
OTHER

Fiscal Contact:	Beth Boulet, CPA, JD, Chief Fiscal Officer, (225) 765-2801	bboulet@wlf.la.gov
Programmatic Contact:	Patrick Banks, Asst Secretary (225) 765-2370	pbanks@wlf.la.gov
Testifying before JLCB:	Patrick Banks, Asst Secretary (225) 765-2370	pbanks@wlf.la.gov

BA-7 SUPPORT INFORMATION

	NATIONAL FISH AND WILDLIFE FOUNDATION GRANT AGREEMENT	1. NFWF PROPOSAL ID: 70333	2. NFWF GRANT ID: 0310.20.070333
		3. UNIQUE ENTITY IDENTIFIER (DUNS #) 809927783	4. INDIRECT COST RATE (REFERENCE LINE 17 for RATE TERMS) N/A
5. SUBRECIPIENT TYPE State or Local Government		6. NFWF SUBRECIPIENT Louisiana Department of Wildlife and Fisheries	
7. NFWF SUBRECIPIENT CONTACT Julia Lightner P.O. Box 98000 2000 Quail Drive Baton Rouge, LA 70898 Tel: 504-913-7849 jlightner@wlf.la.gov		8. NFWF GRANTS ADMINISTRATOR/NFWF CONTACT INFORMATION Arielle Mion National Fish and Wildlife Foundation 1133 15 th Street, N.W. Suite 1000 Washington, D.C. 20005 Tel: 202-857-0166 Fax: 202-857-0162 Arielle.Mion@nfwf.org	
9. PROJECT TITLE Skimmer Turtle Excluder Device Reimbursement Program			
10. PROJECT DESCRIPTION Under the federal regulations for sea turtles, skimmer boats have been allowed an exemption from installing TEDs in their nets. This exemption has been removed for skimmer boats measuring 40 feet and over, becoming effective on April 21, 2021. The goals of this project are to provide financial relief to fishermen who need to purchase TEDs as a result of the recently amended federal regulations and to ensure that fishermen are compliant with federal regulations.			
11. PERIOD OF PERFORMANCE September 1, 2020 to September 1, 2021	12. TOTAL AWARD TO SUBRECIPIENT \$249,998.13	13. TOTAL FED. FUNDS N/A	14. TOTAL NON-FED. FUNDS \$249,998.13
15. FEDERAL MATCH REQUIREMENT N/A		16. NON-FEDERAL MATCH REQUIREMENT N/A	
17. SUBRECIPIENT INDIRECT COST RATE TERMS The rate specified in Line 4 reflects that the Subrecipient has elected not to claim an indirect cost rate and that this election shall apply throughout the project's period of performance.			
18. TABLE OF CONTENTS			
SEC.	DESCRIPTION		
1	NFWF Agreement Administration		
2	NFWF Agreement Clauses		
3	Representations, Certifications, and Other Statements – General		
4	Representations, Certifications, and Other Statements Relating to Federal Funds- General		
5	Representations, Certifications, and Other Statements Relating to Federal Funds – Funding Source Specific		
6	Other Representations, Certifications, Statements and Clauses		

19. FUNDING SOURCE INFORMATION/FEDERAL AND NON-FEDERAL						
A. FUNDING SOURCE (FS)	B. NFWF FS ID	C. FS AWARD DATE TO NFWF	D. FAIN	E. TOT FED. AWARD TO NFWF	F. TOT OBLG. TO SUBRECIPIENT	G. CFDA
BP America	TR.A112	N/A	N/A	N/A	\$249,998.13	N/A

20. NOTICE OF AWARD			
<p>The National Fish and Wildlife Foundation (NFWF) agrees to provide the NFWF Award to the NFWF Subrecipient for the purpose of satisfactorily performing the Project described in a full proposal as identified on line 1 and incorporated into this Grant Agreement by reference. The NFWF Award is provided on the condition that the NFWF Subrecipient agrees that it will raise and spend at least the amount listed on lines 15 and 16 in matching contributions on the Project, as applicable. The Project must be completed, with all NFWF funds and matching contributions spent, during the Period of Performance as set forth above. All items designated on the Cover Page and the Table of Contents are incorporated into this Grant Agreement by reference herein. NFWF Subrecipient agrees to abide by all statutory or regulatory requirements, or obligations otherwise required by law, required of a subrecipient of Federal grant or cooperative agreement funds. Subrecipient is obligated to notify NFWF if any of the information on the Cover Page changes in any way, whether material or immaterial.</p>			
A. NAME AND TITLE OF AUTHORIZED SUBRECIPIENT SIGNER (Type or Print)		D. NAME AND TITLE OF NFWF AWARDOING OFFICIAL	
<i>Jack</i> Jack Montoucet, Secretary		Holly A. Bamford, PhD, Chief Conservation Officer	
B. SUBRECIPIENT	C. DATE	E. NATIONAL FISH AND WILDLIFE FOUNDATION BY	F. DATE
<i>Robert J. Paulsen, Jr., Sec.</i>	10/1/2020		10/10/2020

See Reporting Schedule on the following page.

Skimmer Ted Reimbursement Program - Attachment

Outreach Meetings

As stated in the reimbursement program proposal, LDWF will identify vessels that fall under the new federal TED requirements (skimmers, 40 feet and over). In Louisiana, licenses are required for each skimmer net being utilized on board a vessel. One requirement to participate in the program will include verified landings in 2019, to confirm that the fisherman is still active. LDWF will contact fishermen to encourage participation in the program through the following ways:

- The TED outreach coordinator will use the contact information provided through licensing and will send letters to qualified fishermen;
- LDWF will post a news release announcing the program, including a link to an online portal for qualified fishermen to register for the program;
- LDWF will utilize social media to announce the program.

For examples of LDWF's online portal: <https://fs30.formsite.com/Jfroeba/form80/index.html>

The TED outreach coordinator will call net shops in Louisiana to discuss TED making supplies and the reimbursement program, and will visit net shops while accompanying the NOAA GMT.

Covid-19 protocols will be followed at all meeting locations. Because of the current NOAA travel restrictions, we may try to have 2 meetings in a day at the Larose location and the Houma location. Both of these areas have the largest numbers of licensed fishermen using skimmer gear, and that would allow the GMT to more easily travel back home. Meetings should be easily accessible from at least two parishes, or in areas with high numbers of licenses.

Draft calendar and agenda for meetings:

TED reimbursement program meetings

Draft agenda

9:30 AM	Meeting room opens, check-in (required for the reimbursement program)
10:00 AM	LDWF Introduction to the project (LDWF, Julia Lightner)
10:15 AM	Review of skimmer TED requirements and specifications (NOAA GMT)
11:00 AM	Skimmer TED installation demonstration (NOAA GMT)
11:15 AM	Review/reminder of federal sea turtle regulations (LDWF, Julia Lightner)
11:30 AM	Details on the reimbursement procedure (LDWF, Julia Lightner or other)
11:45 AM	Questions?
12:00 noon	Adjourn

Proposed range of dates for meetings:

October 12-16: Houma (2 meetings), Lafitte, Belle Chasse

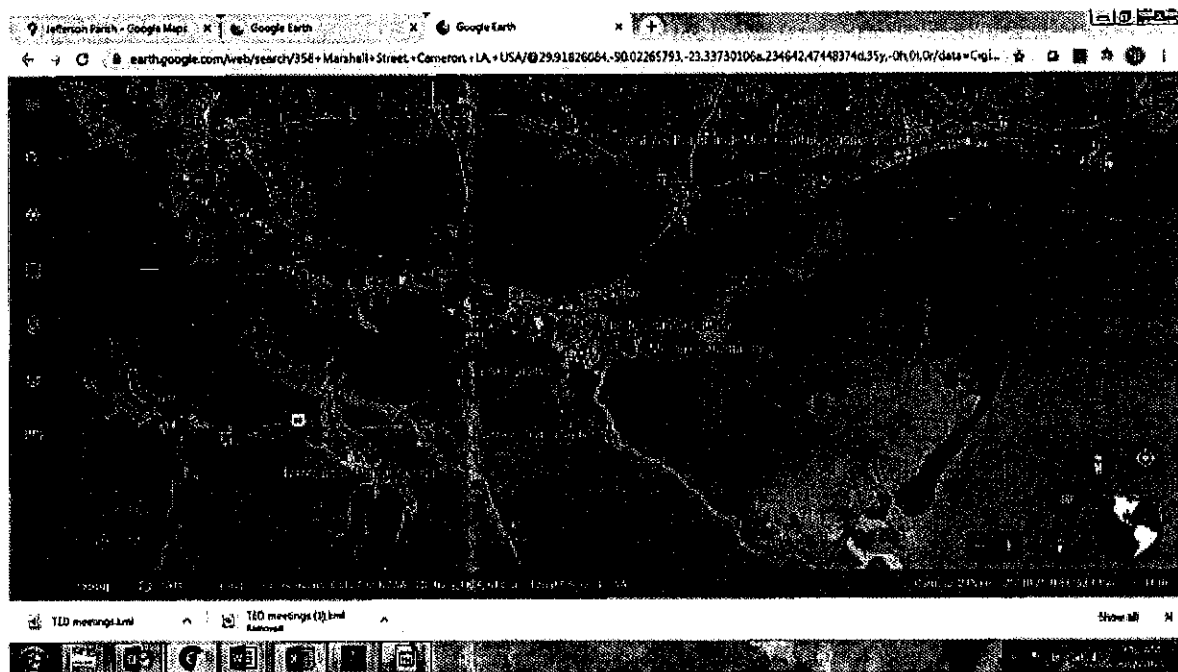
October 19-23: Larose (2 meetings), Meraux, Slidell

Nov 16-20: Buras, Abbeville, placeholder

Table 1 Residential license sales for skimmer trawls in 2019, per parish (top ten) (LDWF, 2020)

Parish	RES SKIMMER NETS A1	
Terrebonne	702	28%
Jefferson	475	19%
Plaquemines	418	17%
Lafourche	279	11%
St. Bernard	236	10%
St. Tammany	100	4%
Orleans	79	3%
St. Mary	69	3%
Vermillion	64	3%
Acadia	59	2%

Proposed locations of meeting places:





LDWF. (2020, June 22). *Licenses and Permits*. Retrieved from Louisiana Department of Wildlife and Fisheries: <https://www.wlf.louisiana.gov/resources/category/licenses-and-permits/>



NFWF

EasyGrantsID: 70333

National Fish and Wildlife Foundation – Sea Turtles 2020, Full Proposal

Title: Skimmer Turtle Excluder Device Reimbursement Program

Organization: Louisiana Department of Wildlife and Fisheries

Grant Information

Title of Project

Skimmer Turtle Excluder Device Reimbursement Program

Total Amount Requested	\$ 249,998.13
Matching Contributions Proposed	\$167,339.00
Proposed Grant Period	09/01/ 2020 - 09/01/ 2021

Project Description

Under the federal regulations for sea turtles, skimmer boats have been allowed an exemption from installing TEDs in their nets. This exemption has been removed for skimmer boats measuring 40 feet and over, becoming effective on April 21, 2021.

The goals of this project are to provide financial relief to fishermen who need to purchase TEDs as a result of the recently amended federal regulations and to ensure that fishermen are compliant with federal regulations.

Project Abstract

The Louisiana Department of Wildlife and Fisheries (LDWF) will facilitate meetings between skimmer boat fishermen and the NOAA Gear Monitoring Team. Topics of the meeting will include the skimmer TED specifications, a review of the sea turtle regulations, and details regarding the TED reimbursement program. Fishermen will be registered and tracked for reimbursements through an online system used by LDWF in administering similar programs. Fishermen will be required to purchase TEDs within 90 days of the meetings in order to qualify for reimbursement. This will encourage shops to begin manufacturing skimmer TEDs, and may encourage early installations (Louisiana fishermen could need from 1200 to 1500 skimmer TEDs manufactured by April 21, 2021).

LDWF will identify vessels that fall under the new regulation (skimmers, 40 feet and over) and that have reported landings in the last year. Shrimpers attending the meeting will receive reimbursement for partial payment up to 2 TEDs (possibly 60% or more – this could increase depending on number of participants). Meetings will be located along coastal Louisiana in areas convenient to the commercial fishermen, such as Venice, Hopedale, Houma, Morgan City, Abbeville, and Cameron. The NOAA Gear Monitoring Team (GMT) will provide all information on TED specifications and regulations.

Organization and Primary Contact Information

Organization	Louisiana Department of Wildlife and Fisheries
Organization Type	State or Local Government
City, State, Country	Baton Rouge, Louisiana, North America - United States

Region (if international)

Primary Contact	Ms. Julia Lightner
Position/Title	Biologist
Phone and E-mail	504-913-7849 x ; jlightner@wlf.la.gov

Additional Contacts

1133 15th Street, NW
Suite 1100 Washington, DC 20005

Page 1 of 15

Version 1.1



NFWF

EasyGrantsID: 70333

National Fish and Wildlife Foundation – Sea Turtles 2020, Full Proposal

Title: Skimmer Turtle Excluder Device Reimbursement Program

Organization: Louisiana Department of Wildlife and Fisheries

Role	Name



NFWF

EasyGrantsID: 70333

National Fish and Wildlife Foundation ~ Sea Turtles 2020, Full Proposal

Title: Skimmer Turtle Excluder Device Reimbursement Program

Organization: Louisiana Department of Wildlife and Fisheries

Project Location Information

Project Location Description	This project affects fishermen living in every district of the state, working in the coastal parishes.
Project Country(ies)	North America - United States
Project State(s)	Louisiana
Project Congressional District(s)	All Districts (LA)

Permits and Approvals

Permits/Approvals Description:

Permits/Approvals Status:

Permits/Approvals Agency-Contact Person:

Permits/Approvals Submittal-Approval Date:



NFWF

EasyGrantsID: 78333

National Fish and Wildlife Foundation ~ Sea Turtles 2020, Full Proposal

Title: Skimmer Turtle Excluder Device Reimbursement Program

Organization: Louisiana Department of Wildlife and Fisheries

Activities and Outcomes

Funding Strategy: Capacity, Outreach, Incentives

Metric: Turtle - Outreach/ Education/ Technical Assistance - # people with changed behavior

Required: Optional

Description: This metric should only be used to record stakeholder involvement in bycatch or poaching reduction projects.

Starting Value	0.00 # people with changed behavior
Target value	534.00 # people with changed behavior

Note: We anticipate 534 shrimpers will qualify for the reimbursement program and will register and attend the outreach meetings.

Funding Strategy: Species-specific Strategies

Metric: Turtle - Reduction in by-catch - # boats implementing bycatch reduction strategy

Required: Recommended

Description: Enter # of boats implementing a bycatch reduction strategy

Starting Value	0.00 # boats implementing bycatch reduction strategy
Target value	534.00 # boats implementing bycatch reduction strategy

Note: We anticipate that 534 shrimpers will qualify for the reimbursement program, will register and attend meetings, and will purchase and install TEDs.



NFWF

Ecology Grant ID: 70333
National Fish and Wildlife Foundation - Sea
Turtles 2020, Full Proposal
Title: Skimmer Turtle Excluder Device

Reimbursement Program
Organization: Louisiana Department of Wildlife and Fisheries

PERSONNEL									\$27,261.39
Staff Name	Position	Annual Salary	Project Hours	Hourly Rate	LOE (%)	Project Salary	% Fringe	\$ Fringe	Total Personnel
Richard Williams	Biologist Manager, Program Development Section	\$61,620.00	40.00	\$29.62	2	\$1,185.00	53.18	\$630.18	\$1,815.18
Peyton Cagle	Crustacean Program Manager	\$73,372.00	40.00	\$35.28	2	\$1,411.00	52.70	\$743.60	\$2,154.60
Lucy Boutte	Biologist	\$61,883.85	270.00	\$29.75	13	\$8,033.00	52.46	\$4,214.11	\$12,247.11
Sonya Self	Biologist	\$56,722.37	270.00	\$27.27	13	\$7,363.00	50.00	\$3,681.50	\$11,044.50
Totals						\$17,992.00		\$9,269.39	\$27,261.39

TRAVEL									\$0.00
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Domestic Airfare -- Per Flight

Purpose/Destination	Unit Cost	Quantity	Total Cost
SubTotal			\$0.00

International Airfare -- Per Flight

Purpose/Destination	Unit Cost	Quantity	Total Cost



NFWF

Reimbursement Program
Organization: Louisiana Department of Wildlife and Fisheries

Easy Grant ID: 70333
National Fish and Wildlife Foundation – Sea
Turtles 2010, Full Proposal
Title: Skimmer Turtle Excluder Device

SubTotal \$0.00

Train – Per Ticket

Purpose/Destination	Unit Cost	Quantity	Total Cost
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SubTotal \$0.00

Rental Car – Per Day

Purpose/Destination	Days/Duration	Unit Cost	Quantity	Total Cost
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SubTotal \$0.00

Taxis – Per Trip

Purpose/Destination	Unit Cost	Quantity	Total Cost
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SubTotal \$0.00

Mileage – Per Mile

Purpose/Destination	Unit Cost	Quantity	Total Cost
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SubTotal \$0.00

Gasoline – Per Gallon

Purpose/Destination	Unit Cost	Quantity	Total Cost
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NFWF

Reimbursement Program
Organization: Louisiana Department of Wildlife and Fisheries

Easy Grants ID: 70333
National Fish and Wildlife Foundation - Sea
Turtles 2020, Full Proposal
Title: Skimmer Turtle Excluder Device

SubTotal \$0.00

Per Diem (M&IE) - Per Day

Purpose/Destination	Days/Duration	Unit Cost	Quantity	Total Cost
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SubTotal \$0.00

Lodging - Per Night

Purpose/Destination	Days/Duration	Unit Cost	Quantity	Total Cost
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SubTotal \$0.00

Meals (no M&IE) - Per Meal

Purpose/Destination	Days/Duration	Unit Cost	Quantity	Total Cost
---------------------	---------------	-----------	----------	------------

SubTotal \$0.00

III. EQUIPMENT \$0.00

Item Name	Description	Unit Cost	Quantity	Total Cost
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IV. MATERIALS & SUPPLIES \$0.00

Type	Purpose	Unit of Measure	Unit Cost	Quantity	Total Cost
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NFWF

Reimbursement Program
Organization: Louisiana Department of Wildlife and Fisheries

Easy Grants ID: 70333
National Fish and Wildlife Foundation - Sea
Turtles 2020, Full Proposal
Title: Skimmer Turtle Excluder Device

V. CONTRACTUAL SERVICES **\$0.00**

Subcontract/Contract - Per Agreement

Contractor Name	Description	Total Cost
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SubTotal \$0.00

Subgrant - Per Agreement

Subrecipient	Description	Total Cost
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SubTotal \$0.00

VI. OTHER DIRECT COSTS **\$222,736.74**

Type	Purpose	Unit of Measure	Unit Cost	Quantity	Total Cost
Reimbursement payments	TED purchase (60% of cost)	per fisherman	\$417.11	534	\$222,736.74

VII. TOTAL DIRECT COSTS **\$249,998.13**

VIII. INDIRECT COSTS **\$0.00**

Explanation of Modified Total Direct Cost Base(MTDC)	Rate Type	NICRA Expiration	\$MTDC	Rate(%)	Total Cost
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IX. TOTAL PROJECT COSTS **\$249,998.13**



NFWF

Reimbursement Program
Organization: Louisiana Department of Wildlife and Fisheries

Easy Grants ID: 70333
National Fish and Wildlife Foundation -- Sea
Turtles 2020, Full Proposal
Title: Skinner Turtle Excluder Device



Reimbursement Program
Organization: Louisiana Department of Wildlife and Fisheries

Easy Grant ID: 70333
National Fish and Wildlife Foundation - Sea
Turtles 2020, Full Proposal
Title: Skinner Turtle Excluder Device

Budget Narrative

Budget Narrative:

1. Personnel

Personnel -

Richard Williams and biologists with the outreach staff will create and organize the reimbursements to commercial fishermen; this section has managed similar grant programs. Each reimbursement payment may need up to an hour of time for administrative review and input.
Peyton Cagle will communicate with the Louisiana Shrimp Task Force and advise the LDWF team on any shrimp management issues.

2. Travel

Domestic Airfare - Per Flight -

International Airfare - Per Flight -

Train - Per Ticket -

Rental Car - Per Day -

Taxis - Per Trip -

Mileage - Per Mile -

Gasoline - Per Gallon -

Per Diem (M&IE) - Per Day -



NFWF

Reimbursement Program
Organization: Louisiana Department of Wildlife and Fisheries

Essay Grant ID: 70333
National Fish and Wildlife Foundation - Sea
Turtles 2020, Full Proposal
Title: Skimmer Turtle Excluder Device

Lodging - Per Night -
Meals (No M&IE) - Per Meal -

3. Equipment

Equipment -

4. Materials and Supplies

Materials and Supplies -

5. Contractual Services

Subcontract/Contract - Per
Agreement -
Subgrant - Per Agreement -

6. Other Direct Costs

Other Direct Costs -

Reimbursement payments for TEDs to participating fishermen. Payment amount will depend on number of fishermen that register and participate in the program.



NFWF

Reimbursement Program
Organization: Louisiana Department of Wildlife and Fisheries

EasyGrantsID: 70333
National Fish and Wildlife Foundation - Sea
Turtles 2020, Full Proposal
Title: Skimmer Turtle Excludes Device

7. Indirect Costs

Indirect Costs <



NFWF

EasyGrantsID: 70333

National Fish and Wildlife Foundation ~ Sea Turtles 2020, Full Proposal

Title: Skimmer Turtle Excluder Device Reimbursement Program

Organization: Louisiana Department of Wildlife and Fisheries

Matching Contributions

Matching Contribution Amount:	\$16,278.00
Type:	Cash
Status:	Intend to Apply
Source:	Early Restoration sea turtle project, GMTE
Source Type:	Non-Federal
Description:	Julia Lightner's time on this project will be charged as TED outreach coordinator and funded through the early restoration RW TIG project.

Matching Contribution Amount:	\$151,061.00
Type:	Cash
Status:	Intend to Apply
Source:	Commercial fishermen
Source Type:	Non-Federal
Description:	Shrimper's contribution toward the purchase of skimmer TEDs. This is dependent on the number of shrimpers who choose to participate.

Total Amount of Matching Contributions:	\$167,339.00
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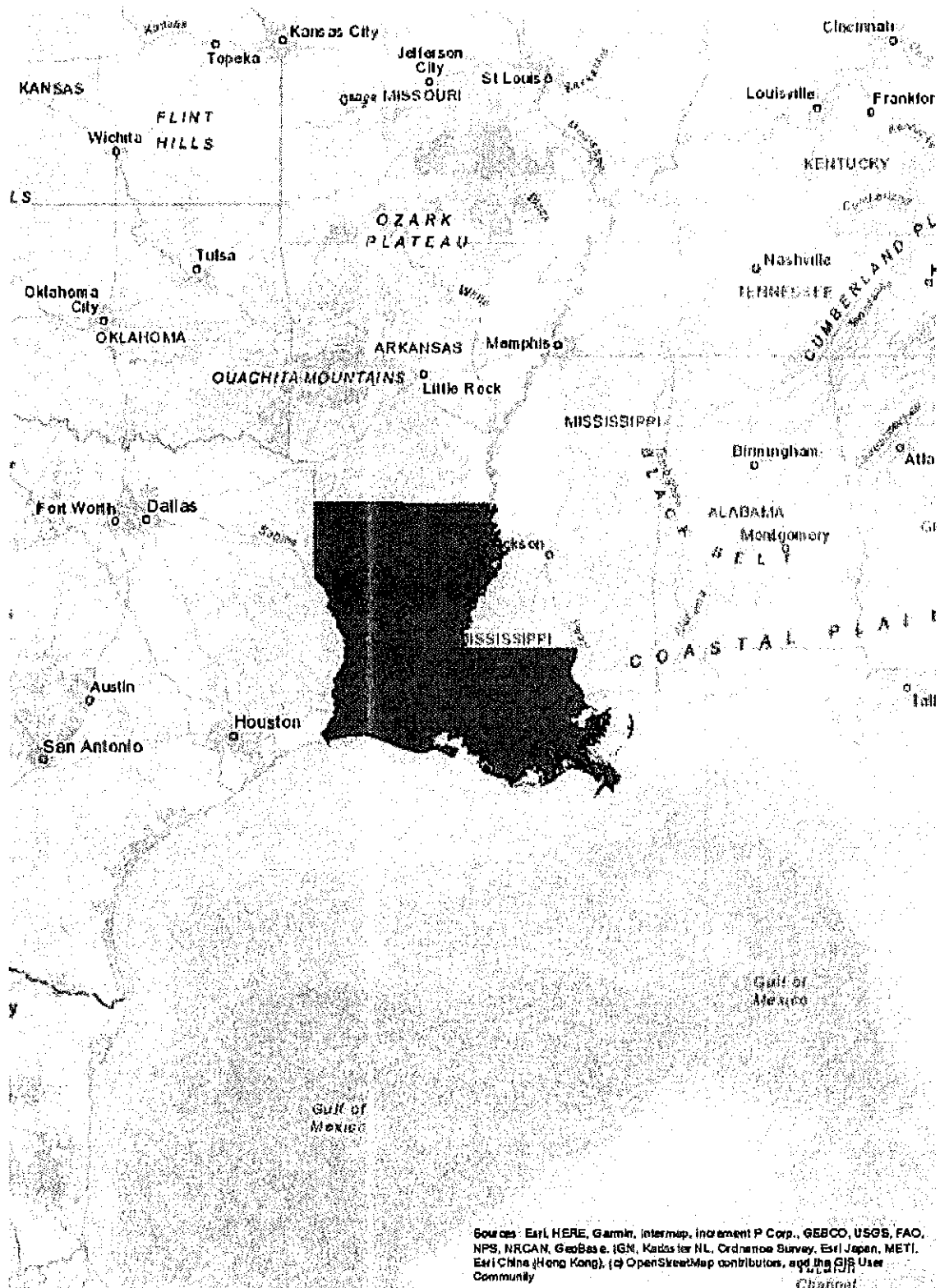
NFWF

EasyGrantsID: 70333

National Fish and Wildlife Foundation – Sea Turtles 2020, Full Proposal

Title: Skimmer Turtle Excluder Device Reimbursement Program

Organization: Louisiana Department of Wildlife and Fisheries



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), Swisstopo, Mapbox, OpenStreetMap contributors, and the GIS User Community



NFWF

EasyGrantsID: 70333

National Fish and Wildlife Foundation ~ Sea Turtles 2020, Full Proposal

Title: Skimmer Turtle Excluder Device Reimbursement Program

Organization: Louisiana Department of Wildlife and Fisheries

The following pages contain the uploaded documents, in the order shown below, as provided by the applicant:

Upload Type	File Name	Uploaded By	Uploaded Date
Full Proposal Narrative - Marine	Skimmer TED Reimbursement Program 062320.pdf	Lightner, Julia	06/23/2020
Statement of Litigation	Statement+of+Litigation LDWF 062920.pdf	Lightner, Julia	06/29/2020
Board of Trustees, Directors, or equivalent	Executive Staff_ Louisiana Department of Wildlife and Fisheries.pdf	Lightner, Julia	06/29/2020

The following uploads do not have the same headers and footers as the previous sections of this document in order to preserve the integrity of the actual files uploaded.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: State Treasury			FOR OPB USE ONLY				
AGENCY: State Treasury			OPB LOG NUMBER 95		AGENDA NUMBER 6a		
SCHEDULE NUMBER: 04-147			Approval and Authority:				
SUBMISSION DATE: 10/26/20							
AGENCY BA-7 NUMBER: 21-01							
HEAD OF BUDGET UNIT: Nancy Keaton							
TITLE: First Assistant State Treasurer							
SIGNATURE <small>(Certifies that the information provided is correct and true to the best of your knowledge):</small> 							
MEANS OF FINANCING		CURRENT FY 2020-2021		ADJUSTMENT (+) or (-)		REVISED FY 2020-2021	
GENERAL FUND BY:							
DIRECT		\$0		\$0		\$0	
INTERAGENCY TRANSFERS		\$1,686,944		\$0		\$1,686,944	
FEES & SELF-GENERATED		\$10,021,540		\$0		\$10,021,540	
Regular Fees & Self-generated		\$0		\$0		\$0	
Subtotal of Fund Accounts from Page 2		\$10,021,540		\$0		\$10,021,540	
STATUTORY DEDICATIONS		\$15,811,455		(\$2,326,578)		\$13,484,877	
Medicaid Trust Fund for the Elderly (H19)		\$19,640		\$0		\$19,640	
Subtotal of Dedications from Page 2		\$15,791,815		(\$2,326,578)		\$13,465,237	
FEDERAL		\$0		\$0		\$0	
TOTAL		\$27,519,939		(\$2,326,578)		\$25,193,361	
AUTHORIZED POSITIONS		0		0		0	
AUTHORIZED OTHER CHARGES		0		0		0	
NON-TO FTE POSITIONS		0		0		0	
TOTAL POSITIONS		0		0		0	
PROGRAM EXPENDITURES							
PROGRAM NAME:		DOLLARS		POS		DOLLARS	
		POS		DOLLARS		POS	
Administrative		\$20,824,263		0		(\$2,326,578)	
Financial Acct & Control		\$3,730,054		0		\$18,497,685	
Debt Management		\$1,364,189		0		\$0	
Investment Management		\$1,601,433		0		\$0	
		\$0		0		\$1,364,189	
		\$0		0		\$0	
		\$0		0		\$0	
		\$0		0		\$0	
		\$0		0		\$0	
		\$0		0		\$0	
Subtotal of programs from Page 2:		\$0		0		\$0	
TOTAL		\$27,519,939		0		(\$2,326,578)	
						\$25,193,361	
						0	

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: State Treasury	FOR OPB USE ONLY	
AGENCY: State Treasury	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 04-147		
SUBMISSION DATE: 10/26/20	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 21-01		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED	\$10,021,540		
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$10,021,540	\$0	\$0
STATUTORY DEDICATIONS			
Louisiana Main Street Recovery Fund (STJ)	\$15,000,000	(\$2,326,578)	\$12,673,422
Louisiana Quality Education Support Fund (Z)	\$449,093		\$449,093
Health Excellence Fund (Z17)	\$114,242		\$114,242
Education Excellence Fund (Z18)	\$114,240		\$114,240
TOPS Fund (Z19)	\$114,240		\$114,240
[Select Statutory Dedication]	\$0		\$0
SUBTOTAL (to Page 1)	\$15,791,815	(\$2,326,578)	\$13,465,237

Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?
 This BA-7 is to request a decrease in budget from the Louisiana Main Street Recovery Fund of \$2,326,578. Treasury anticipates only using a total of \$12,673,422 for the administration of the Louisiana Main Street Recovery Program. Treasury is requesting to reduce the budget for the administration of the program and increase the budget in schedule 20 agency 945 for the grant awards so the funds may be used to provide grants to eligible applicants.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	-\$2,326,578	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	-\$2,326,578	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:
 This action does not require additional personnel.

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.
 The grant awards will not continue in FY 21.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.
 This is not an after the fact BA-7.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

There are no programmatic impacts.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. *(Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)*

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. *(For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)*

There are no performance impacts.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

There are no performance impacts.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

There are no performance impacts.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Administrative

MEANS OF FINANCING:				ADJUSTMENT OUTYEAR PROJECTIONS			
	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers		\$0	\$0	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$5,824,263	\$0	\$5,824,263	\$0	\$0	\$0	\$0
Statutory Dedications **	\$15,000,000	(\$2,326,578)	\$12,673,422	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL MOF	\$20,824,263	(\$2,326,578)	\$18,497,685	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$2,200,096	\$0	\$2,200,096	\$0	\$0	\$0	\$0
Other Compensation	\$365,789	\$0	\$365,789	\$0	\$0	\$0	\$0
Related Benefits	\$1,060,053	\$0	\$1,060,053	\$0	\$0	\$0	\$0
Travel	\$71,327	\$0	\$71,327	\$0	\$0	\$0	\$0
Operating Services	\$1,417,778	\$0	\$1,417,778	\$0	\$0	\$0	\$0
Supplies	\$35,149	\$0	\$35,149	\$0	\$0	\$0	\$0
Professional Services	\$159,037	\$0	\$159,037	\$0	\$0	\$0	\$0
Other Charges	\$12,504,222	(\$2,326,578)	\$10,177,644	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$2,930,971	\$0	\$2,930,971	\$0	\$0	\$0	\$0
Acquisitions	\$79,841	\$0	\$79,841	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$20,824,263	(\$2,326,578)	\$18,497,685	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$5,824,263	\$0	\$5,824,263	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
Louisiana Main Street Recovery Fund (STJ)	\$15,000,000	(\$2,326,578)	\$12,673,422	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Administrative

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	(\$2,326,578)	\$0	(\$2,326,578)
EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	(\$2,326,578)	\$0	(\$2,326,578)
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	(\$2,326,578)	\$0	(\$2,326,578)
OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

QUESTIONNAIRE ANALYSIS

(Please reference question numbers, provide detailed information and use continuation sheets as needed.)

GENERAL PURPOSE

Treasury projects a total budget of \$12.7 million for the administration of the Louisiana Main Street Recovery Program and is requesting to reduce the budget for the administration of the program by \$2.3 million and increase the amount available for grant awards to \$262.3 million.

REVENUES

CARES funds in the Louisiana Main Street Recovery Program

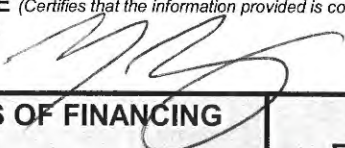
EXPENDITURES

Reduce the budget for the administration of the program by \$2.3 million and increase the amount available for grant awards by \$2.3 million

OTHER

Laura Lapeze
225-342-0051
llapeze@treasury.la.gov

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: State Treasury		FOR OPB USE ONLY				
AGENCY: Miscellaneous State Aid		OPB LOG NUMBER 96		AGENDA NUMBER 66		
SCHEDULE NUMBER: 20-945		Approval and Authority:				
SUBMISSION DATE: 10/26/20						
AGENCY BA-7 NUMBER: 21-03						
HEAD OF BUDGET UNIT: Nancy Keaton						
TITLE: First Assistant State Treasurer						
SIGNATURE (Certifies that the information provided is correct and true to the best of your knowledge): 						
MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)		REVISED FY 2020-2021		
GENERAL FUND BY:						
DIRECT	\$8,850,853	\$0		\$8,850,853		
INTERAGENCY TRANSFERS	\$0	\$0		\$0		
FEES & SELF-GENERATED	\$0	\$0		\$0		
Regular Fees & Self-generated	\$0	\$0		\$0		
Subtotal of Fund Accounts from Page 2	\$0	\$0		\$0		
STATUTORY DEDICATIONS	\$329,227,128	\$2,326,578		\$331,553,706		
[Select Statutory Dedication]	\$0	\$0		\$0		
Subtotal of Dedications from Page 2	\$15,747,158	\$0		\$15,747,158		
Subtotal of Dedications from Page 3	\$313,479,970	\$2,326,578		\$315,806,548		
FEDERAL	\$0	\$0		\$0		
TOTAL	\$338,077,981	\$2,326,578		\$340,404,559		
AUTHORIZED POSITIONS	0	0		0		
AUTHORIZED OTHER CHARGES	0	0		0		
NON-TO FTE POSITIONS	0	0		0		
TOTAL POSITIONS	0	0		0		
PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
Miscellaneous State Aid	\$338,077,981	0	\$2,326,578	0	\$340,404,559	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
Subtotal of programs from Page 2:	\$0	0	\$0	0	\$0	0
TOTAL	\$338,077,981	0	\$2,326,578	0	\$340,404,559	0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

DEPARTMENT: State Treasury	FOR OPB USE ONLY	
AGENCY: Miscellaneous State Aid	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 20-945		
SUBMISSION DATE: 10/26/20	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 21-03		

Use this section for additional Dedicated Fund Accounts or Statutory Dedications, if needed.
The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
FEES & SELF-GENERATED			
[Select Fund Account]	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0
SUBTOTAL (to Page 1)	\$0	\$0	\$0
STATUTORY DEDICATIONS			
St. Landry Parish Excellence Fund (E29)	\$376,192		\$376,192
Calcasieu Parish Fund (E30)	\$1,330,107		\$1,330,107
Tobacco Tax Health Care Fund (E32)	\$11,278,815		\$11,278,815
Bossier Parish Truancy Program Fund (E33)	\$311,452		\$311,452
Beautification and Improvement of the New Orleans City Park Fund (G13)	\$1,600,315		\$1,600,315
Greater New Orleans Sports Foundation (G14)	\$850,277		\$850,277
SUBTOTAL (to Page 1)	\$15,747,158	\$0	\$15,747,158



Use this section for additional Program Names, if needed.
The subtotal will automatically be transferred to Page 1.

PROGRAM EXPENDITURES	DOLLARS	POS	DOLLARS	POS	DOLLARS	POS
PROGRAM NAME:						
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
	\$0	0	\$0	0	\$0	0
SUBTOTAL (to Page 1)	\$0	0	\$0	0	\$0	0

DEPARTMENT: State Treasury	FOR OPB USE ONLY	
AGENCY: Miscellaneous State Aid	OPB LOG NUMBER	AGENDA NUMBER
SCHEDULE NUMBER: 20-945		
SUBMISSION DATE: 10/26/20	ADDENDUM TO PAGE 1	
AGENCY BA-7 NUMBER: 21-03		

Use this section for additional Statutory Dedications, if needed.

The subtotal will automatically be transferred to Page 1.

MEANS OF FINANCING	CURRENT FY 2020-2021	ADJUSTMENT (+) or (-)	REVISED FY 2020-2021
GENERAL FUND BY:			
STATUTORY DEDICATIONS			
G15 Algiers Economic Dev Foundation Fd	121,091		121,091
NO Urban Tourism & Hospitality Training in			
G16 Economic Development Foundation	-		0
Beautification Project for New Orleans			
G17 Neighborhoods Fund	100,000	\$0	100,000
G18 Friends of NORD	125,000		125,000
G21 Gentilly Development District	100,000		100,000
RVA Sports Facility Assistance Fund	145,338		145,338
S06 Rehabilitation for the Blind and Visually Impaired	2,309,486		2,309,486
STF Fiscal Administrator Revolving Loan Fund	450,000		450,000
STI Oil and Gas Royalties Dispute Payments Fund	129,055		129,055
STJ Louisiana Main Street Recovery Fund	260,000,000	\$2,326,578	262,326,578
STL Critical Workers Hazard Pay Rebate Fund	50,000,000		50,000,000
V25 Overcollections Fund	-	\$0	-
PAGE 2 SUBTOTAL (to Page 1)	\$313,479,970	\$2,326,578	315,806,548

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

Policy and Procedure Memorandum No. 52, Revised, requires that all Requests for Changes in Appropriation be fully documented. At a minimum, the following questions and statements must be answered. Use Continuation Sheets as needed. **FAILURE TO ANSWER ALL QUESTIONS COMPLETELY WILL BE CAUSE TO RETURN THIS DOCUMENT WITHOUT ACTION.**

1. What is the source of funding (if other than General Fund (Direct))? Specifically identify any grant or public law and the purposes of the funds, if applicable. A copy of any grant application and the notice of approved grant or appropriation must accompany the BA-7. What are the expenditure restrictions of the funds?
 This BA-7 is to request an increase in budget from the Louisiana Main Street Recovery Fund of \$2,326,578. Treasury anticipates only using a total of \$12,673,422 for the administration of the Louisiana Main Street Recovery Program. Treasury is requesting to reduce the budget in agency 147 for the administration of the program and increase the budget for the grant awards so the funds may be used to provide grants to eligible applicants.

2. Enter the financial impact of the requested adjustment for the next four fiscal years.

MEANS OF FINANCING OR EXPENDITURE	FY 2020-2021	FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:					
DIRECT	\$0	\$0	\$0	\$0	\$0
INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0	\$0
FEES & SELF-GENERATED	\$0	\$0	\$0	\$0	\$0
STATUTORY DEDICATIONS	\$2,326,578	\$0	\$0	\$0	\$0
FEDERAL	\$0	\$0	\$0	\$0	\$0
TOTAL	\$2,326,578	\$0	\$0	\$0	\$0

3. If this action requires additional personnel, provide a detailed explanation below:
 This action does not require additional personnel.

4. Explain why this request can't be postponed for consideration in the agency's budget request for next fiscal year.
 The grant awards will not continue in FY 21.

5. Is this an after the fact BA-7, e.g.; have expenditures been made toward the program this BA-7 is for? If yes, explain per PPM No.52.
 This is not an after the fact BA-7.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PERFORMANCE IMPACT OF MID-YEAR BUDGET ADJUSTMENT

1. Identify and explain the programmatic impacts (positive or negative) that will result from the approval of this BA-7.

There are no programmatic impacts.

2. Complete the following information for each objective and related performance indicators that will be affected by this request. *(Note: Requested adjustments may involve revisions to existing objectives and performance indicators or creation of new objectives and performance indicators. Repeat this portion of the request form as often as necessary.)*

OBJECTIVE:

LEVEL	PERFORMANCE INDICATOR NAME	PERFORMANCE STANDARD		
		CURRENT FY 2020-2021	ADJUSTMENT (+) OR (-)	REVISED FY 2020-2021

JUSTIFICATION FOR ADJUSTMENT(S): Explain the necessity of the adjustment(s).

3. Briefly explain any performance impacts other than or in addition to effects on objectives and performance indicators. *(For example: Are there any anticipated direct or indirect effects on program management or service recipients? Will this BA-7 have a positive or negative impact on some other program or agency?)*

There are no performance impacts.

4. If there are no performance impacts associated with this BA-7 request, then fully explain this lack of performance impact.

There are no performance impacts.

5. Describe the performance impacts of failure to approve this BA-7. (Be specific. Relate performance impacts to objectives and performance indicators.)

There are no performance impacts.

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Miscellaneous State Aid

MEANS OF FINANCING:	CURRENT FY 2020-2021	REQUESTED ADJUSTMENT	REVISED FY 2020-2021	ADJUSTMENT OUTYEAR PROJECTIONS			
				FY 2021-2022	FY 2022-2023	FY 2023-2024	FY 2024-2025
GENERAL FUND BY:							
Direct	\$8,850,853	\$0	\$8,850,853	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees & Self-Generated *	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Statutory Dedications **	\$329,227,128	\$2,326,578	\$331,553,706	\$0	\$0	\$0	\$0
FEDERAL FUNDS	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL MOF	\$338,077,981	\$2,326,578	\$340,404,559	\$0	\$0	\$0	\$0
EXPENDITURES:							
Salaries	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$338,077,981	\$2,326,578	\$340,404,559	\$0	\$0	\$0	\$0
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$338,077,981	\$2,326,578	\$340,404,559	\$0	\$0	\$0	\$0
POSITIONS							
Classified	0	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0	0
*Dedicated Fund Accounts:							
Reg. Fees & Self-generated	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Fund Account]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
**Statutory Dedications:							
[Select Statutory Dedication]	\$329,227,128	\$2,326,578	\$331,553,706	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0
[Select Statutory Dedication]	\$0	\$0	\$0	\$0	\$0	\$0	\$0

STATE OF LOUISIANA
DIVISION OF ADMINISTRATION, OFFICE OF PLANNING AND BUDGET
REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM LEVEL REQUEST FOR MID-YEAR BUDGET ADJUSTMENT

PROGRAM 1 NAME: Miscellaneous State Aid

MEANS OF FINANCING:	State General Fund	Interagency Transfers	Fees & Self-Generated Revenues	Statutory Dedications	Federal Funds	TOTAL
AMOUNT	\$0	\$0	\$0	\$2,326,578	\$0	\$2,326,578

EXPENDITURES:						
Salaries	\$0	\$0	\$0	\$0	\$0	\$0
Other Compensation	\$0	\$0	\$0	\$0	\$0	\$0
Related Benefits	\$0	\$0	\$0	\$0	\$0	\$0
Travel	\$0	\$0	\$0	\$0	\$0	\$0
Operating Services	\$0	\$0	\$0	\$0	\$0	\$0
Supplies	\$0	\$0	\$0	\$0	\$0	\$0
Professional Services	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges	\$0	\$0	\$0	\$2,326,578	\$0	\$2,326,578
Debt Services	\$0	\$0	\$0	\$0	\$0	\$0
Interagency Transfers	\$0	\$0	\$0	\$0	\$0	\$0
Acquisitions	\$0	\$0	\$0	\$0	\$0	\$0
Major Repairs	\$0	\$0	\$0	\$0	\$0	\$0
UNALLOTTED	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL EXPENDITURES	\$0	\$0	\$0	\$2,326,578	\$0	\$2,326,578

OVER / (UNDER)	\$0	\$0	\$0	\$0	\$0	\$0
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POSITIONS						
Classified	0	0	0	0	0	0
Unclassified	0	0	0	0	0	0
TOTAL T.O. POSITIONS	0	0	0	0	0	0
Other Charges Positions	0	0	0	0	0	0
Non-TO FTE Positions	0	0	0	0	0	0
TOTAL POSITIONS	0	0	0	0	0	0

Statewide Summary
Act 1 Preamble Section 5.D. - November 2020
Personal Services
Fiscal Year 2020-2021

DEPT-APP #	APP. NAME	GEN. FUND	I.A.T.	SELF-GEN.	STAT. DED.	FEDERAL	TOTAL	T.O.	Description of Item
<p>- NOTE - For this Report: A. Negative numbers represent a Decrease in <u>Personal Services</u> Expenditures. B. Positive numbers represent an Increase in <u>Personal Services</u> Expenditures.</p> <p><i>Net impact to the operating budget is \$0 as these requests merely realign expenditures within the existing FY21 appropriation.</i></p>									
01_106	Louisiana Tax Commission	\$0	\$0	\$0	\$45,500	\$0	\$45,500	0	This request would adjust the Tax Commission Expense Fund to fund the Tax Commission Director position in Salaries from Other Charges and Travel. Due to budget reductions, including increased attrition, in FY 2020-2021, there is a shortfall of \$45,500 in Salaries. The agency has identified savings in Other Charges of \$30,000 and Travel of \$15,500.
01A_EXEC	Executive Department	\$0	\$0	\$0	\$45,500	\$0	\$45,500	0	Total - Executive Department
04_141	Office of the Attorney General	\$0	\$0	\$0	\$400,000	\$300,000	\$700,000	0	This request would move \$700,000 from Other Charges to Salaries and Related Benefits in the Criminal Law and Medicaid Fraud Program. During session there were amendments that provided the AG with 14 unfunded positions in the Criminal Program and an additional \$100,000 in the Medical Assistance Programs Fraud Detection Fund that was for operating expenses. In a later stage they also received amendments that were wrapped that increased the Department of Justice Legal Support Fund and Federal Funds by \$300,000 each. In total they received an additional \$700,000 in the Criminal Program and all of it was placed into Other Charges at the time since intent of the amendments was unknown. The AG's Office is now requesting to move the money to Salaries and Related Benefits to fund those positions.
04B_AG	Attorney General	\$0	\$0	\$0	\$400,000	\$300,000	\$700,000	0	Total - Office of the Attorney General
GRAND TOTAL		\$0	\$0	\$0	\$445,500	\$300,000	\$745,500	0	GRAND TOTAL

DIVISION OF ADMINISTRATION
Facility Planning & Control

JOINT LEGISLATIVE COMMITTEE
ON THE
BUDGET

Briefing Book

FOR

November 2020

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JOINT LEGISLATIVE COMMITTEE ON THE BUDGET
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Office of the Commissioner
State of Louisiana
Division of Administration

JOHN BEL EDWARDS
GOVERNOR



JAY DARDENNE
COMMISSIONER OF ADMINISTRATION

September 16, 2020

The Honorable Bodi White, Chairman
Joint Legislative Committee on the Budget
P. O. Box 44294 Capitol Station
Baton Rouge, Louisiana 70804

**Re: Supplemental Funds Request
Interagency Transfer
Northwest LA Veterans Cemetery Expansion
Keithville, Louisiana
Project No: 03-130-19-01; WBS F.03000028**

Dear Chairman White:

Act 20 of 2019 allocated \$2,200,000 from interagency transfers for the subject project.

This project consists of expansion to the existing Northwest Louisiana Veterans Cemetery to add 1,520 pre-placed crypts and 620 columbarium niches. The project will also make improvements and repairs to the existing site to resolve drainage and erosion problems, as well as repairs to existing roads and sidewalks. Irrigation systems, landscaping, signage including grave control markers and other site improvements are included. With oversight from the Federal VA, the project will comply with VA/SCGS standards, National Cemetery standards and all State and Federal guidelines.

Louisiana Department of Veterans Affairs has received preliminary approval for a Federal Grant from the U.S. Veterans Administration in the amount of \$2,200,000 for this purpose. LA Veterans Affairs must provide state funding for architectural and engineering expenditures, estimated not to exceed \$220,000 which will be reimbursed once the grant is approved.

Therefore, we are requesting that the Committee approve supplemental interagency transfer of funds in the amount of \$220,000.

Please place this item on the agenda for the next meeting of the Committee.

Sincerely,

Mark A. Moses
Director

Jay Dardenne
Commissioner of Administration

CC: Mr. Mark Gates, FPC
Mr. Stephen Losavio, FPC
Ms. Lisa Smeltzer, FPC
Ms. Sue Gerald, FPC
Ms. Ternisa Hutchinson, OPB
Mr. Travis McIlwain, OPB
Mr. Samuel Roubique, OPB
Mr. Paul Fernandez, OPB
Ms. Linda Hopkins, House Fiscal Division
Mr. Mark Mahaffey, House Fiscal Division
Mr. Daniel Waguespack, House Fiscal Division
Ms. Katie Andress, House Fiscal Division
Ms. Martha Hess, Senate Counsel
Ms. Bobbie Hunter, Senate Fiscal Division
Ms. Debra Vivien, Senate Fiscal Division
Ms. Raynel Gascon, Senate Fiscal Division

Office of the Commissioner
State of Louisiana
Division of Administration

JOHN BEL EDWARDS
GOVERNOR



JAY DARDENNE
COMMISSIONER OF ADMINISTRATION

October 22, 2020

The Honorable Bodi White, Chairman
Joint Legislative Committee on the Budget
P. O. Box 44294 Capitol Station
Baton Rouge, Louisiana 70804

**Re: Request for Approval of Change Order over \$100,000
MEB and CSRB Permanent Repairs & Mitigation of Building Functions and
Critical MEP Systems & Equipment
Restoration of Main Campus
Louisiana State University Health Sciences Center
New Orleans, Louisiana
Project No. 01-107-05B-13, Part BC (F.01000293)**

Dear Chairman White:

On March 8, 2019, a contract was awarded to Woodward Design+Build, LLC, for \$89,270,000 for the Base Bid for the Medical Education Building and the Clinical Sciences Research Building the LSU Health Sciences Building in New Orleans.

This request is for approval of a change order in the amount of \$112,012 which consists of installation of additional electrical bus duct and conductors and associated modification to existing interior walls. The electrical bus duct is to be routed in areas above the ceilings that will minimize disruptions to the ongoing research efforts that the LSU faculty and staff is currently conducting in the building.

Facility Planning and Control is requesting approval of this change order in the amount of \$112,012 to the current contract. Project contingency funds are available to cover the cost of this additional work. The cost of this work is also FEMA reimbursable.

Please place this item on the agenda for the next meeting of the Committee.

Sincerely,

Mark A. Moses
Director

Jay Dardenne
Commissioner of Administration

CC: Mr. Mark Gates, FPC
Mr. Stephen Losavio, FPC
Ms. Lisa Smeltzer, FPC
Ms. Sue Gerald, FPC
Ms. Ternisa Hutchinson, OPB
Mr. Travis McIlwain, OPB
Mr. Samuel Roubique, OPB
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Mr. Mark Mahaffey, House Fiscal Division
Mr. Daniel Waguespack, House Fiscal Division
Ms. Katie Andress, House Fiscal Division
Ms. Martha Hess, Senate Counsel
Ms. Bobbie Hunter, Senate Fiscal Division
Ms. Debra Vivien, Senate Fiscal Division
Ms. Raynel Gascon, Senate Fiscal Division

Office of the Commissioner
State of Louisiana
Division of Administration

JOHN BEL EDWARDS
GOVERNOR



JAY DARDENNE
COMMISSIONER OF ADMINISTRATION

October 22, 2020

The Honorable Bodi White, Chairman
Joint Legislative Committee on the Budget
Post Office Box 44294, Capitol Station
Baton Rouge, Louisiana 70804

**Re: Reporting of Change Orders over \$50,000 and under \$100,000
Facility Planning and Control**

Dear Chairman White:

In accordance with R.S. 39:126 any change order in excess of fifty thousand dollars but less than one hundred thousand dollars shall be submitted to the Joint Legislative Committee on the Budget for review but shall not require committee approval. Pursuant to this authority Facility Planning and Control has issued change orders that are itemized on the attached list.

Please place this item on the agenda of the next meeting of the Committee.

Sincerely,

Mark A. Moses
Director

Jay Dardenne
Commissioner of Administration

MAM/kh

CC: Mr. Mark Gates, FPC
Mr. Stephen Losavio, FPC
Ms. Lisa Smeltzer, FPC
Ms. Sue Gerald, FPC
Ms. Ternisa Hutchinson, OPB
Mr. Travis McIlwain, OPB
Mr. Samuel Roubique, OPB
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Ms. Katie Andress, House Fiscal Division
Ms. Martha Hess, Senate Counsel
Ms. Bobbie Hunter, Senate Fiscal Division
Ms. Debra Vivien, Senate Fiscal Division
Ms. Raynel Gascon, Senate Fiscal Division

- 1) **MEB and CSRB Permanent Repairs & Mitigation of Building Functions and Critical MEP Systems & Equipment Restoration of Main Campus**
Louisiana State University Health Sciences Center
New Orleans, Louisiana
Project No. 01-107-05B-13, Part BC (F.01000293)
Date of Contract: March 8, 2019
- | | |
|---|------------------------|
| Original Contract Amount: | \$89,270,000.00 |
| Changes by previous change orders: | \$ 12,306.00 |
| Contract amount increased by Change Order 2: | \$ 58,292.00 |
| New contract sum: | \$89,340,598.00 |

Change Order Number 2 was executed in the amount of \$58,292 for the modifications necessary for a change in location of the ENTERGY power company's underground electrical duct bank. The change was needed to suit existing conditions and to avoid the structure of a future project on the campus.

- 2) **Repurposing Interim Hospital**
Louisiana State University Medical Center
New Orleans, Louisiana
Project No. 19-604N-16-01, Part 01
Date of Contract: November 13, 2019
- | | |
|---|------------------------|
| Original Contract Amount: | \$53,729,387.00 |
| Changes by previous change orders: | \$ 490,543.00 |
| Contract amount increased by Change Order 8: | \$ 98,591.00 |
| Contract amount increased by Change Order 9: | \$ 54,607.00 |
| New Contract Sum: | \$54,373,128.00 |

Change Order 8 increases the contract amount for additional steel beams supporting the floor slabs at the chapel area, additional demolition on the existing second floor, additional headwalls in two patient rooms, a bus duct between two electrical disconnects and the Entergy Transformer Vault, and modifications of chilled water piping supports. This increased amount was covered by the project contingency.

Change Order 9 increases the contract amount to provide spray foam insulation at the gaps between the existing insulation boards on the exterior walls of the second through eighth floors. Foam insulation was sprayed on the exterior walls at locations on the second floor where insulation boards are missing. The need for insulation is to provide a continuous thermal barrier along the exterior walls. The gaps between the existing insulation boards and the missing boards were not visible until the wall finishes were removed. This increased amount was covered by the project contingency.



Christopher A. Keaton
Legislative Fiscal Officer

STATE OF LOUISIANA

Legislative Fiscal Office
BATON ROUGE

Post Office Box 44097
Capitol Station
Baton Rouge, Louisiana 70804
Phone: 225.342.7233
Fax: 225.342.7243

To: The Honorable Bodi White, Chairman
Joint Legislative Committee on the Budget
The Honorable Members of the Joint Legislative Committee on the Budget

From: Rebecca Robinson, Fiscal Analyst
Christopher A. Keaton, Legislative Fiscal Officer

Date: October 22, 2020

Subject: GREATER NEW ORLEANS EXPRESSWAY COMMISSION
FY 21 BUDGET ANALYSIS

The Greater New Orleans Expressway Commission (GNOEC) staff annually prepares its budget for approval by the Commissioners in accordance with its bond indenture. Upon approval by the GNOEC, the budget is then submitted to the Legislative Fiscal Office. The GNOEC approved the budget and submitted the approved budget to the Legislative Fiscal Office on September 11, 2020.

Prior to the submission of the annual operating budget to the Joint Legislative Committee on the Budget (JLCB) for its approval or rejection, the Legislative Fiscal Office reviews the submission of the budget for mathematical accuracy and content. In addition, the Legislative Fiscal Office prepares an analysis of the budget submitted by the GNOEC and provides this report to the Joint Legislative Committee on the Budget (JLCB) for its consideration.

BUDGET SUMMARY, FISCAL YEAR 2020-21
Greater New Orleans Causeway Expressway Commission

	Actual FY 18	Actual FY 19	Approved FY 20	Requested FY 21	Change		Page No.	Notes
Means of Finance								
Tolls	\$24,343,703	\$23,867,547	\$24,133,000	\$17,085,500	(\$7,047,500)	-29.2%	3, 7-10	Decrease in toll revenue due to COVID-19
Highway Fund No. 2	\$7,092,826	\$6,489,477	\$6,300,000	\$6,300,000	\$0	0.0%	3,6,16	
Interest Income	\$229,184	\$288,675	\$250,000	\$175,000	(\$75,000)	-30.0%	4	Interest on Maint.& Repair Fund only
Other Revenue	<u>\$189,530</u>	<u>\$91,224</u>	<u>\$75,000</u>	<u>\$175,000</u>	\$100,000	133.3%	4	Sale of equipment at surplus
Total MOF	\$31,855,243	\$30,736,923	\$30,758,000	\$23,735,500	(\$7,022,500)	-22.8%		
Expenditures								
Salaries	\$4,252,082	\$3,929,867	\$4,192,664	\$4,119,276	(\$73,388)	-1.8%	5, 11-12, 23-27	Retirement of 4 employees
Related Benefits	\$1,631,388	\$1,462,190	\$1,925,706	\$1,882,373	(\$43,333)	-2.3%	5,11,12	Decrease in retiree group benefits
Other Compensation	<u>\$31,901</u>	<u>\$27,344</u>	<u>\$34,180</u>	<u>\$34,180</u>	\$0	0.0%	5,11,12	
Personnel Services	\$5,915,371	\$5,419,401	\$6,152,550	\$6,035,829	(\$116,721)	-1.9%	5,11,12	
Operating Services	\$2,816,788	\$3,005,769	\$3,040,000	\$3,177,500	\$137,500	4.5%	5,13-14	
Supplies	<u>\$1,299,151</u>	<u>\$1,226,366</u>	<u>\$1,319,050</u>	<u>\$1,196,725</u>	(\$122,325)	-9.3%	5,13-14	
Operating Expenses	\$4,115,939	\$4,232,135	\$4,359,050	\$4,374,225	\$15,175	0.3%		
Professional Services	\$292,136	\$420,130	\$325,000	\$220,000	(\$105,000)	-32.3%	6,14-15	Reduction for Investment Consultant
Debt Service	\$7,796,831	\$7,796,831	\$7,622,531	\$7,617,131	(\$5,400)	-0.1%	6,16-17	
State Surplus-HPL	<u>\$1,647,438</u>	<u>\$1,651,548</u>	<u>\$1,778,465</u>	<u>\$1,766,807</u>	(\$11,658)	-0.7%	6,18	
Other Charges	\$9,444,269	\$9,448,379	\$9,400,996	\$9,383,938	(\$17,058)	-0.2%	16	
Acquisitions	\$360,739	\$276,175	\$460,553	\$308,641	(\$151,912)	-33.0%	6,19	Decreased spending due to reduced revenues
Major Repairs / Cap. Improvements	<u>\$11,726,789</u>	<u>\$10,940,704</u>	<u>\$10,059,851</u>	<u>\$3,412,867</u>	(\$6,646,984)	-66.1%	6,19-22	Bond money spent on projects
Acquisitions & Repairs	\$12,087,528	\$11,216,879	\$10,520,404	\$3,721,508	(\$6,798,896)	-64.6%		
Total Expenditures	\$31,855,243	\$30,736,923	\$30,758,000	\$23,735,500	(\$7,022,500)	-22.8%		Decreased toll revenues and bond money spent on projects

Section 2 of Act 875 of 1988 requires the GNOEC to submit its annual budget to the Joint Legislative Committee on the Budget for its approval or rejection prior to the expenditure of funds contained in such budget. Act 842 of the 2008 Regular Legislative Session requires "...consideration of operating budgets shall be given in advance of the beginning of the subject entity's fiscal year." The GNOEC's new fiscal year begins on November 1, 2020; therefore, its annual operating budget is being presented at this time.

BUDGET SUMMARY

The Greater New Orleans Expressway Commission (GNOEC) is requesting approval of a FY 21 total budget that represents a 22.8% decrease, or \$7 M total decrease, from the budget approved by the JLCB for FY 20. The Commission anticipates a decrease in toll revenues of \$7 M less than originally budgeted in FY 20 due to reduced traffic from business closures from COVID-19. GNOEC anticipates the reduced revenues to continue into the next year and have conservatively estimated toll revenues at \$17 M for FY 21.

MEANS OF FINANCING

Toll Revenues

Toll revenues are budgeted based upon historical collections and trends in traffic patterns.

As a result of fewer crossings, the budget for toll revenues for FY 21 is projected to decrease by approximately 29.2% from approved for FY 20. Toll revenues are budgeted at \$17,085,500 for FY 21, which is \$7 M less than the total approved in FY 20 (\$24,133,000). The total number of one-way crossings on the expressway is anticipated to be 5,066,381 in FY 21 as opposed to 5,209,364 projected in FY 20, or 142,983 fewer crossings. The full toll schedule is outlined on page 8, and toll revenue history is discussed on page 9.

These revenues are used to pay for the Commission's operating expenses (\$10,630,054), and the excess toll revenues are encumbered in order to pay for future capital improvement projects. In FY 21 \$8,565,071 will be placed in the Extraordinary Maintenance and Repair Reserve Fund for current and future projects. (See Pg. 9)

State Highway Fund Number 2

State Highway Fund No. 2 revenues are derived from vehicular license taxes collected in Orleans, Jefferson, St. John the Baptist, St. Charles, Tangipahoa and St. Tammany parishes. These revenues are disbursed as follows: 1) fifty percent (50%) accruing to GNOEC; 2) all monies collected in Orleans Parish from vehicular registration license fees and taxes from trucks and trailers pursuant to LA R.S. 47:462 are deposited into the New Orleans Ferry Fund and; 3) the remaining funds are deposited into the Transportation Trust Fund (TTF-R). Based upon the Revenue Estimating Conference's latest adopted revenue forecast of \$13.1 M for FY 21, the GNOEC's share of revenue is anticipated to total \$6.5 M in State Highway Fund No. 2 dollars for FY 21. However, GNOEC chose to be conservative and the board has approved a reduced amount of \$6.3 M.

The GNOEC's budgeted revenues from State Highway Fund No. 2 are anticipated to be greater than its debt service payment backed by resources from the fund, which is projected to be \$3,785,050 in FY 21. As stated in earlier reports, the Legislative Fiscal Office (LFO) previously requested and received an Attorney General opinion on this matter verifying that "surplus" funds from Highway Fund No. 2 are permissible to be utilized by the GNOEC in its budget for expenses of the Huey P. Long Bridge and other local agencies, as well as capital improvements. The excess revenue from the Highway Fund No. 2 after the annual debt service payment is \$2,514,950 (\$6,300,000 - \$3,785,050 debt service); \$1,766,807 will go towards IAT for the HPL Bridge and Local agencies, and the remaining surplus of \$748,143 will be encumbered for acquisitions (\$308,461) and major repairs and capital improvements (\$439,682).

Bond Proceeds

FY 17 bond proceeds totaling \$98.9 M were dedicated to the Construction Fund 2017, and are non-recurred in the out years. These funds were used for the addition of safety bays to both the north and southbound spans and increasing the rail height on the southbound bridge. During FY 17 the GNOEC issued bonds with a principal amount of \$88,740,000 and an interest rate of 5% with a completion date of November 1, 2047. The GNOEC paid \$4,333,250 towards interest and \$345,000 in principal in FY 20 and will pay \$4,328,625 towards interest and \$185,000 towards principal for a total payment of \$4,513,625 in FY 21. The project to increase safety bays began in December 2018 and was completed in August 2020. The project to increase the rail height began in May 2019, is ongoing, and is expected completion date at the end of FY 21.

Interest Income

Interest income is projected to decrease by \$75,000 from FY 20's approved budget, from \$250,000 to \$175,000. According to the GNOEC, decreased interest income is due to the elimination of the enhanced construction investments; and this budget decrease is to align the budget with interest income from the Extraordinary Maintenance and Repair Reserve Fund.

Other Revenue

Other Revenue is projected at \$175,000, which is an increase of \$100,000 from the approved FY 20 budget amount in FY 20. This category consists of monies received for copies of police accident and incident reports, plans for projects, records requests, and revenue generated from ATM fees. The increase for FY 21 is from the expected sale of equipment at surplus auction.

EXPENDITURES

Personnel Expense (Salaries and Related Benefits)

Total Salaries is requested at \$4,119,276 which reflects an 8.4% decrease from the amount approved in FY 20. There is a decrease of 6.5 full-time equivalent employee (FTE) for FY 21 due to the retirement of 4 employees. The number of FTEs by category allocated to the Causeway Commission for FY 21 is 12 administrative and 77 non-administrative for a total of 89. In addition, the number of FTEs by category allocated to the Huey P. Long Bridge for FY 21 is 8 non-administrative personnel.

Traditionally, administrative and supervisory personnel of the GNOEC may receive merit increases as a flat percentage based upon individual job performances. Merit increases are approved each year through the Commission's budget approval process. For FY 21, provided that revenues are on target with projections, the Commission authorized merit based pay increases for GNOEC personnel, averaging 3%, with employees receiving half of the increase on November 1, 2020 and the remainder on May 1, 2021.

The GNOEC currently has 29 Peace Officer Standards & Training (POST) certified police officers (21 - Causeway, 8 – Huey P. Long Bridge). Act 664 of 2008 provides that these police officers are eligible to receive state supplemental pay, which is currently \$500/month or \$6,000/year for a total state supplement of \$192,000 as all Causeway police officers currently receive supplemental pay. This portion of the police officers' salaries is not included in the GNOEC's budget as the state pays this amount annually. However, the GNOEC is responsible for paying the related benefits costs associated with the additional \$6,000 state salary supplement, which equates to approximately \$24,255 of additional related benefits expenditures included in the operating budget over and above the base salaries.

Salary information for filled positions can be found on pages 23-27.

The Related Benefits expenditure category for FY 21 is requested at 2.3% less than the approved budget for FY 20. While group insurance costs are higher for active employees in FY 21, those costs are offset by the savings for retirees due to plan changes that require Medicare eligible retirees be removed from the plan.

Other compensation is for board members per diem and remains at standstill of \$34,180.

Operating Expenses (Operating Services/Material & Supplies)

The FY 21 budget request for Operating Expenses is \$4,374,225, which is a net increase of \$15,175, or 0.3% more than what was approved in FY 20. Operating Expenses include advertising, the publication of public notices and minutes, insurance, travel, telephone and radio expenses, utilities and other operating services. Other operating services include payout of unused vacation and sick leave, dues and subscriptions, trustee fees, bank and visa charges for toll deposits, and other miscellaneous expenses. The majority of this increase is due to an increase in bank and VISA charges on toll deposits; however, it is somewhat offset by a reduction in utilities and repair and maintenance supplies.

Professional Services

The Commission hires outside consultants and services under professional services contracts. These items include services for bond indenture requirements in the form of independent audit and accounting services (\$50,000), engineering and traffic consultants (\$15,000), legal counsel (\$135,000), and investment consultation (\$20,000). The total projected professional services expenditure of \$220,000, is a 32.3% or a \$105,000 decrease from what was approved in FY 20.

Other Charges (Debt Service/Huey P. Long Bridge/State Surplus)

The Commission's debt service payment for FY 21 will decrease to \$7,617,131 from \$7,622,531, which is a reduction of \$5,400 or .07% (see page 17 for debt service schedule).

Huey P. Long Bridge FY 21 requested budget is \$1,766,807. FY 21 expenditures are paid from anticipated surplus revenues and the Commission has budgeted \$1,416,807 for expenditures related to the policing of the Huey P. Long Bridge. This represents a requested decrease of \$11,658, or .08%, below the level approved for FY 20.

Additionally, transfers made pursuant to Act 875 of 1988 and Act 1227 of 1995 total \$350,000. The Commission provides \$50,000 each fiscal year to the parishes of St. Charles, St. John the Baptist, and Tangipahoa for a total of \$150,000. Per Act 1227 of 1995, the Commission provides \$50,000 to Jefferson Parish, St. Tammany Parish, the Washington Parish Infrastructure and Park Fund, and the City of New Orleans for a total of \$200,000.

Total Acquisitions & Major Repairs

This category includes capital acquisitions and the major repairs/capital improvement program. The Commission requested \$11,458,641 in FY 21; \$308,641 for acquisitions; \$2,100,000 for major repairs; and \$11,150,000 for capital improvements. An additional \$3,412,867 will be transferred to the Extraordinary Maintenance and Repair Reserve Fund for project in future fiscal years.

The GNOEC is required to have all funds available prior to the start of any capital improvement project. The budget summary reflects the receipt of any revenues designated for capital outlay projects. Acquisitions and repair expenditures reflect the dedication of the revenues into the Extraordinary Maintenance and Repair Reserve Fund and the Construction Fund 2017 for ongoing and future projects. **(The actual project expenditures are not reflected in the operating budget).**

In addition to annual fund expenses totaling \$2.1 M, the board approved budget for the capital improvements/rehabilitation program includes eleven (11) projects which will be paid out of the Extraordinary Maintenance and Repair Reserve Fund. These projects include: North Channel Bascule Mechanical Maintenance (\$2 M); CCTV System Upgrade (\$1.5 M); High Voltage Power System – Control System and Surge Protection (\$250K); 1700 AM Highway Advisory Radio Upgrade (\$75K); Call Box Replacement (\$1 M); Monroe Street Overpass and Frontage Road Rehabilitation (\$750K); Monroe Street and West Approach Road Rehabilitation (\$1 M); Northshore Maintenance Facility Improvements (\$400K); North Toll Plaza Canopy Roof and Lightning Protection (\$475K); Toll Tag Store Software Upgrade (\$600K); Toll Collection System – Violation Enforcement System (\$1 M) (see pages 19-22).

BUDGET ISSUES

Act 481 of 2016 -Toll Increases, Toll Bonds, Span Improvements

Act 481 of 2016, allows the GNOEC to carry out improvements to both spans of the bridge, including the addition of safety bays and higher safety rails and to issue toll bonds up to \$133 M, the proceeds of which would be used to fund the higher safety rails and safety bays. The bond issuance was subject to approval of the State Bond Commission, as well as Jefferson and St. Tammany Parishes, the localities that the bridge directly serves. Act 481 also states that the bonds must be backed using revenues derived from tolls and cannot be supplemented by State Highway Fund No. 2, which the GNOEC uses to fund its current debt service payments. As a result, the GNOEC voted to increase toll schedules for use of the bridge in August 2016, allowing the Commission to back the bond issuance with increased toll revenues. During FY 17 the GNOEC issued bonds with a principal amount of \$88,740,000 and an interest rate of 5% with a maturity date of November 1, 2047. The GNOEC paid \$345,000 towards interest in FY 20, and will pay \$4,328,625 towards interest and \$185,000 towards principal for a total of \$4,513,625 in FY 21.

Act 481 allows the GNOEC to fund higher safety rails on both spans at a cost of up to \$67 M. The GNOEC contracted with Texas A&M University to develop a rail that would fasten to the top of the existing rails on the southbound and northbound spans. Two options were tested. The option the GNOEC chose will increase the rail height of the southbound span by 21 inches for a total rail height of 46 inches (25 inch existing rail height + 21 inch addition) at a total estimated cost of \$40 M. It will include 2 bars spanning the length of the bridge on both sides and will be secured to the bridge by a bolt on the top and side of the existing rail. The Commission began the project in May of 2019 and is expected to be completed by the end of FY 21.

In addition to increasing the rail heights on both spans, Act 481 allows the GNOEC to add safety bays to both spans of the bridge at a cost of up to \$63 M. The safety bays will have a length of 1,008 feet each and will result in a partial lane being added to each span of the bridge at different intervals that will allow vehicles to pull off in case of mechanical failure or wrecks. There will be a total of 12 safety bays with the Northbound and Southbound bridges each having six. The safety bays on the Southbound Bridge require 12 of its 56-foot spans to be widened, and the Northbound Bridge safety bays require 8 of its 84-foot spans to be widened. The safety bays will add up to an additional 16 feet of width to the corresponding spans. Volkert Inc. is the design engineer for the project and anticipates a total project cost of approximately \$54 M. Test pilings were put into the lake at the beginning September of 2018, and the Commission began the full project in December of 2018. The project was completed in August of 2020.

TOLL RATES AND REHABILITATION PROJECTS

In February 1995, the Greater New Orleans Expressway Commission (GNOEC) increased tolls and charges on the Lake Pontchartrain Causeway. The revenues generated from the increase are dedicated for the purpose of funding major repairs/capital improvement projects.

The GNOEC increased tolls during an August 2016 meeting. Proceeds from the increased toll revenues were used to finance a bond issuance, the proceeds of which funded higher safety rails on the southbound span and safety bays on both spans. The new toll schedule became effective on May 1, 2017. A table of the new toll schedule is below.

NOTE: The GNOEC began one-way toll collections in May 1999. Tolls have been collected only on the North Shore since that time.

TOLL SCHEDULE Effective May 1, 2017

# of Axles	Toll Tag		Cash	
	Under 7'	Over 7'	Under 7'	Over 7'
2	\$3.00	\$9.00	\$5.00	\$9.00
3	\$6.75	\$13.50	\$7.00	\$14.00
4	\$9.00	\$18.00	\$9.00	\$18.00
5	\$11.25	\$22.50	\$12.00	\$23.00
6	\$11.25	\$22.50	\$12.00	\$23.00
7+	\$11.25	\$22.50	\$12.00	\$23.00

REVENUE FROM TOLL INCREASES

Fiscal Year	Revenue from Toll Increases
2017	\$4,077,080
2018	\$8,244,568
2019	\$7,930,000
2020	\$7,696,000
2021	*not available

Note: 2021 data is not available due to COVID-19

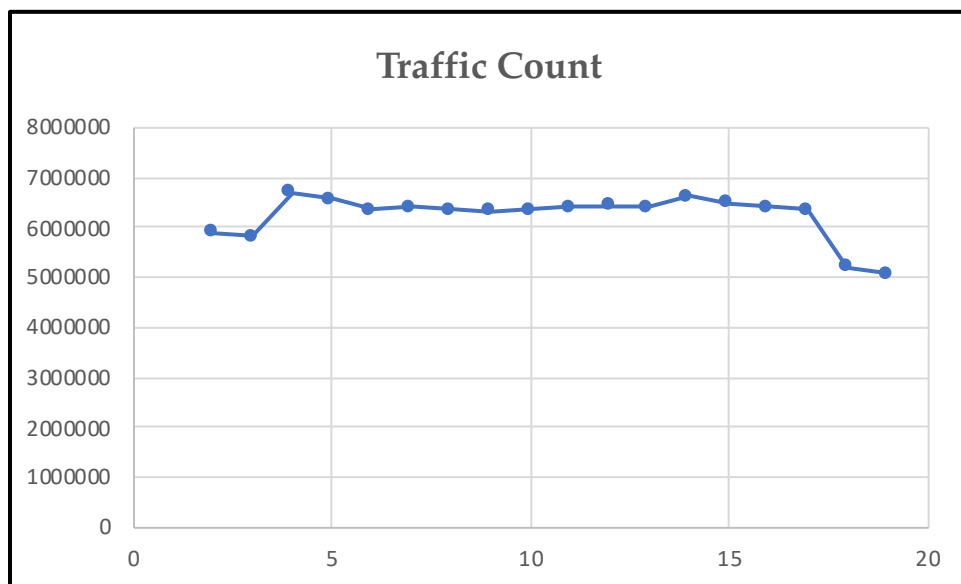
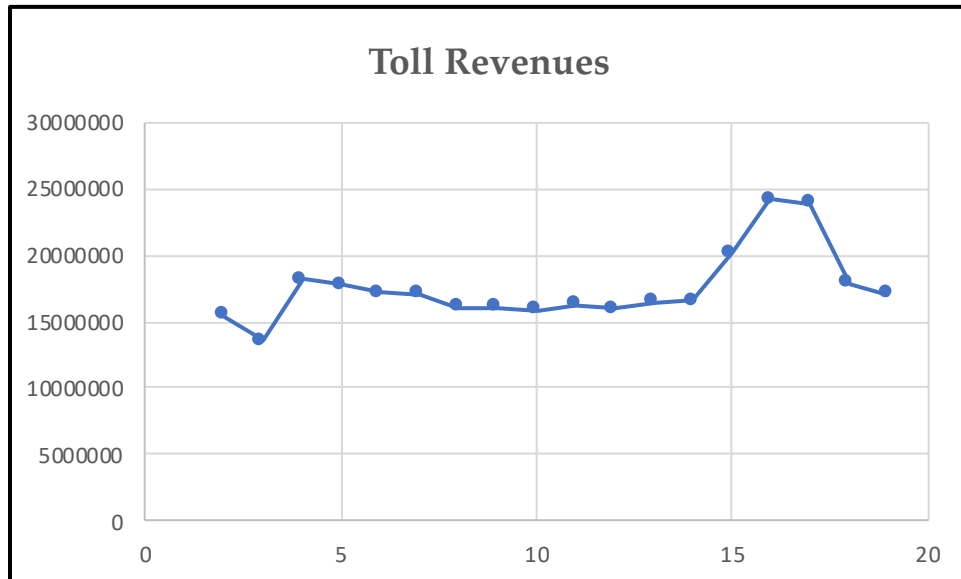
Toll Revenues/Toll Increase

Toll revenues are budgeted based upon historical collections and trends in traffic patterns. The collections from toll revenues in FY 21 are projected to decrease by approximately 29.2% from the amount approved for FY 20. Toll revenues are projected at \$17,085,500 for FY 21, or \$7 M less than the \$24,133,000 approved in FY 20. The graphs on Page 10 illustrate toll revenue collections and traffic counts from FY 04 (pre-Katrina levels) to projected levels in FY 21. The large decrease in FY 21 revenues is a result of decreased traffic due to COVID-19. The GNOEC's engineering contractor, Stantec, projected a revenue estimate of \$15,879,000 to \$18,292,000 for FY 21. While GNOEC reports an uptick in traffic over the past few months it has nevertheless opted for a conservative request of \$17 M for FY 21.

Toll Revenues (\$17 M) are used to pay for the Commission's operating expenses (\$10,630,054), and the excess toll revenues are encumbered in order to pay for future capital improvement projects. In FY 21, \$3,412,867 will be placed in the Extraordinary Maintenance and Repair Reserve Fund for current and future projects.

Toll Increases are used to pay towards the 2017 debt service payment. The amount of the increase for FY 21 is currently unavailable due to COVID-19.

Fiscal Year	Toll Revenues	Change	Fiscal Year	Traffic Count	Change
2004	\$15,421,017	-	2004	5,909,426	-
2005	\$13,566,529	(\$1,854,488)	2005	5,814,832	(\$94,594)
2006	\$18,184,159	\$4,617,630	2006	6,707,603	\$892,771
2007	\$17,768,414	(\$415,745)	2007	6,571,180	(\$136,423)
2008	\$17,135,270	(\$633,144)	2008	6,366,320	(\$204,860)
2009	\$17,047,947	(\$87,323)	2009	6,400,327	\$34,007
2010	\$16,025,247	(\$1,022,700)	2010	6,355,044	(\$45,283)
2011	\$16,018,853	(\$6,394)	2011	6,337,597	(\$17,447)
2012	\$15,811,025	(\$207,828)	2012	6,364,746	\$27,149
2013	\$16,212,070	\$401,045	2013	6,409,719	\$44,973
2014	\$15,916,000	(\$296,070)	2014	6,445,170	\$35,451
2015	\$16,455,022	\$539,022	2015	6,413,600	(\$31,570)
2016	\$16,543,981	\$88,959	2016	6,629,438	\$215,838
2017	\$20,207,445	\$3,663,464	2017	6,495,401	(\$134,037)
2018	\$24,189,993	\$3,982,548	2018	6,399,579	(\$95,822)
2019	\$23,867,541	(\$322,452)	2019	6,348,382	(\$51,197)
*2020	\$17,857,500	(\$6,010,041)	*2020	5,209,364	(\$1,139,018)
*2021	\$17,085,500	(\$772,000)	*2021	5,066,381	(\$142,983)



PERSONNEL EXPENSES

		Actual		Actual		Approved		Requested	<u>CHANGE</u>	
Salaries	FTE	FY 18	FTE	FY 19	FTE	FY 20	FTE	FY 21		
Administration										
General Manager	1	\$128,074	1	\$128,366	1	\$133,843	1	\$133,848	\$5	0.0%
Office Personnel	12	\$517,157	12	\$492,044	12	\$528,932	12	\$552,932	\$24,000	4.5%
Less: HPL Adm.	(1)	(\$69,786)	(1)	(\$77,714)	(1)	(\$94,386)	(1)	(\$96,797)	(\$2,411)	2.6%
Sub-Total	12	\$575,445	12	\$542,696	12	\$568,389	12	\$589,983	\$21,594	3.8%
Operations										
Toll Collectors	17	\$553,351	17	\$565,986	17	\$626,959	16	\$570,095	(\$56,864)	-9.1%
Maintenance	26	\$929,504	26	\$967,226	26	\$1,071,007	25	\$1,012,357	(\$58,650)	-5.5%
Bascule Operators	5	\$224,249	5	\$215,773	5	\$278,757	5	\$226,541	(\$52,216)	-18.7%
Dispatch	14	\$613,058	14	\$563,845	13	\$709,940	12	\$513,087	(\$196,853)	-27.7%
Police	35	\$2,179,042	35	\$1,835,192	35	\$1,756,421	32	\$2,010,456	\$254,035	14.5%
Less: HPL Operations	(13)	(\$822,567)	(13)	(\$760,851)	(13)	(\$818,808)	(13)	(\$803,242)	\$15,566	-1.9%
Sub-Total	84	\$3,676,637	84	\$3,387,171	83	\$3,624,276	77	\$3,529,294	(\$94,982)	-2.6%
Total Salaries	96	\$4,252,082	96	\$3,929,867	95	\$4,192,665	89	\$4,119,276	(\$73,389)	-1.8%
Related Benefits										
Parochial (Retirement)		\$624,461		\$549,732		\$760,698		\$724,605	(\$36,093)	-4.7%
Group Insurance		\$1,020,584		\$1,033,071		\$1,075,579		\$1,244,036	\$168,457	15.7%
Retirees Group Benefits		\$187,581		\$73,373		\$300,000		\$125,000	(\$175,000)	-58.3%
Gov. Def. Comp. Plan		\$76,567		\$77,965		\$85,000		\$85,000	\$0	0.0%
Less: HPL Rel. Benef.		(\$277,805)		(\$271,951)		(\$295,571)		(\$296,268)	(\$697)	0.2%
Total Related Benefits		\$1,631,388		\$1,462,190		\$1,925,706		\$1,882,373	(\$43,333)	-2.3%
Other Compensation		\$31,901		\$27,344		\$34,180		\$34,180	\$0	0.0%
TOTAL		\$5,915,371		\$5,419,401		\$6,152,551		\$6,035,829	(\$116,722)	-1.9%

Salaries:

The GNOEC has budgeted \$4 M for salaries, an 8.4% decrease from FY 20. GNOEC has budgeted merit raises for employees, averaging 3%. Due to the budget constraints in FY 21, employees will be given half of their raise in November 2020 with the remainder given in May 2021, provided that toll revenues meet projections. Despite giving raises, salaries are down overall due to the retirement of 4 employees in FY 20.

There is a decrease in the FTE count from 95.5 in FY 20 to 89 in FY 21. The decrease is due to the retirement of 4 employees and 5.5 unfilled vacancies.

Related Benefits:

The GNOEC is requesting a 2.3% decrease in its Related Benefits budget. There is an increase in group insurance rates for active employees; however, that is offset by a decrease in retiree group benefits for Medicare eligible members.

Other Compensation:

The GNOEC is requesting standstill funding for Other Compensation in FY 21. Other Compensation represents the salaries of the Greater New Orleans Expressway Commissioners. The five appointed commissioners are paid \$569.66 per month for Commission duties as provided by an amendment to the Articles of Incorporation of the Greater New Orleans Expressway Commission. The Parishes of Jefferson and St. Tammany executed the Articles of Incorporation on October 20, 1954, with the above amendment being approved on August 7, 1986.

OPERATING EXPENSES

	Actual	Actual	Approved	Requested	<u>CHANGE</u>	
	<u>FY 18</u>	<u>FY 19</u>	<u>FY 20</u>	<u>FY 21</u>		
Advertising (Motorist Safety)	\$0	\$0	\$15,000	\$10,000	(\$5,000)	-33.0%
Public Notices	\$1,504	\$1,221	\$1,500	\$1,500	\$0	0.0%
Insurance	\$1,839,787	\$2,023,588	\$2,110,000	\$2,250,000	\$140,000	6.6%
Travel	\$5,180	\$6,074	\$5,000	\$2,500	(\$2,500)	-50.0%
Telephone and Radio	\$328,368	\$275,443	\$225,000	\$225,000	\$0	0.0%
Utilities	\$195,290	\$183,877	\$195,000	\$175,000	(\$20,000)	-10.0%
Bank/Visa Charge on Toll Deposits	\$367,860	\$380,664	\$350,000	\$380,000	\$30,000	8.6%
Bank Trustee Fees	\$7,067	\$12,665	\$8,500	\$8,500	\$0	0.0%
Dues & Subscriptions	\$20,283	\$42,439	\$30,000	\$25,000	(\$5,000)	16.7%
Unused vacation and sick leave compensation	<u>\$51,449</u>	<u>\$79,798</u>	<u>\$100,000</u>	<u>\$100,000</u>	<u>\$0</u>	<u>0.0%</u>
TOTAL	\$2,816,788	\$3,005,769	\$3,040,000	\$3,177,500	\$137,500	4.5%

Operating Expenses:

The GNOEC is requesting a 4.5% increase in its operating expenses primarily associated with increases in insurance and bank charge expenses.

SUPPLIES EXPENSES

	Actual	Actual	Approved	Requested	<u>CHANGE</u>	
	<u>FY 18</u>	<u>FY 19</u>	<u>FY 20</u>	<u>FY 21</u>		
Office Expenses	\$273,300	\$237,224	\$256,850	\$250,900	(\$5,950)	-2.3%
Operating Expenses	\$594,582	\$608,216	\$616,900	\$610,475	(\$6,425)	-1.0%
Repairs and Maintenance	\$431,269	\$380,926	\$445,300	\$335,350	(\$109,950)	-24.7%
TOTAL	\$1,299,151	\$1,226,366	\$1,319,050	\$1,196,725	(\$122,325)	-9.3%

Office Expense and Supplies:

The GNOEC is requesting a 2.3% decrease in its office expense and supply budget. Included in this general ledger account are the costs related to leased office space, disposal services, postage, extermination and termite control, office machine repairs and maintenance contracts, and other general administrative office supplies.

Operating Expenses and Supplies:

The requested budget for FY 21 is \$610,475 or a 1% decrease from the previously approved budget and is attributed to increased auto and truck fuel expenses. Included in the Operating Supplies general ledger account are the costs associated with vehicle and truck repairs and routine preventative maintenance. Gasoline, oil, auto parts, tires, lubricants, diesel fuel and any similar costs are in this account. All police equipment mounted on the vehicles and related maintenance is in this account. Also included in this account are all police uniforms, protective equipment and any expenditures for police car retrofitting.

Repair and Maintenance Supplies:

The requested budget for FY 21 is \$335,350 or a 24.7% decrease from the amount budgeted in FY 20. The reduction is primarily associated with the reduction of expenses due to the reduced toll revenue.

Included in this account are the costs for general maintenance and supplies needed on the bridge, toll tags, toll system software maintenance, computer and electronic repairs on all bridge equipment, plumbing and janitorial supplies for the entire operation, and air conditioning contracts and other miscellaneous items dealing with operations maintenance. Also included are the components of the crash attenuators used on the bridge, which must be kept in stock and used by maintenance personnel in repairing damages throughout the year.

PROFESSIONAL SERVICES

	Actual	Actual	Approved	Requested	<u>CHANGE</u>	
	<u>FY 18</u>	<u>FY 19</u>	<u>FY 20</u>	<u>FY 21</u>		
Accounting and Finance						
Independent Audit	\$17,020	\$17,232	\$20,000	\$15,000	(\$5,000)	-25.0%
Accounting Services	\$9,744	\$42,998	\$55,000	\$35,000	(\$20,000)	-36.4%
Engineering						
Engineering & Traffic Consultant	\$9,934	\$21,000	\$10,000	\$15,000	\$5,000	50.0%
Litigation & Legal Representation						
General Counsel	\$175,171	\$196,419	\$140,000	\$135,000	(\$5,000)	-3.6%
Other Professional Services						
Investment Consultant	\$80,267	\$142,481	\$100,000	\$20,000	(\$80,000)	-80.0%
TOTAL	\$292,136	\$420,130	\$325,000	\$220,000	(\$105,000)	-32.0%

Accounting and Finance Expenses:

Beginning in FY 00, the Legislative Auditor began performing an annual audit of the Commission's financial statements, which is now being performed by an independent CPA firm. The Commission, with the recommendation of the Legislative Auditor, has budgeted \$15,000 for this activity. Account services include preparation of the financial statements, budget documents, and audit services.

Engineering Expenses:

The traffic engineer provides information pertaining to annual traffic studies and toll analyses, which are used mainly for budget and operations planning. The budget for the Engineering Consultant increased to \$15,000 in FY 21 from \$10,000 in FY 20.

Legal Expenses:

Litigation and legal representation include general legal consultation and litigation. The \$5,000 decrease in the general counsel expenses reflects actual expenses based on prior years.

Other Professional Services Expenses:

The requested budget for investment consultant reflects a decrease of \$80,000 or 80% from the FY 20 budget. This consultant was used to manage the investment of the bond money; however, the projects are almost complete which results in a reduced need for investment services.

OTHER CHARGES

	Actual	Actual	Approved	Requested	CHANGE	
	FY 18	FY 19	FY 20	FY 21		
Debt Service	\$7,796,831	\$7,796,831	\$7,622,531	\$7,617,131	(\$5,400)	-0.1%
Interagency Expenses						
Act 875 of 1988						
St. Charles Parish	\$50,000	\$50,000	\$50,000	\$50,000	\$0	0.0%
St. John the Baptist Parish	\$50,000	\$50,000	\$50,000	\$50,000	\$0	0.0%
Tangipahoa Parish	\$50,000	\$50,000	\$50,000	\$50,000	\$0	0.0%
Total Act 875	\$150,000	\$150,000	\$150,000	\$150,000	\$0	0.0%
Act 1227 of 1995						
City of New Orleans*	\$50,000	\$50,000	\$50,000	\$50,000	\$0	0.0%
Jefferson Parish	\$50,000	\$50,000	\$50,000	\$50,000	\$0	0.0%
St. Tammany Parish	\$50,000	\$50,000	\$50,000	\$50,000	\$0	0.0%
Washington Parish	\$50,000	\$50,000	\$50,000	\$50,000	\$0	0.0%
Total Act 1227	\$200,000	\$200,000	\$200,000	\$200,000	\$0	0.0%
Huey P. Long Bridge	\$1,297,438	\$1,301,548	\$1,428,465	\$1,416,807	(\$11,658)	-0.8%
Total Interagency Expense	\$1,647,438	\$1,651,548	\$1,778,465	\$1,766,807	(\$11,658)	-0.7%
TOTAL OTHER CHARGES	\$9,444,269	\$9,448,379	\$9,400,996	\$9,383,938	(\$17,058)	-0.2%

Other Charges expenditures consist of Debt Service, Interagency Expense, and State Surplus. Interagency Expense includes expenditures per Act 875 of 1988 and Act 1227 of 1995. These Acts provide that prior to the transfer of surplus funds to the State of Louisiana, funding shall be provided for the policing of the Huey P. Long Bridge and for the transfer of funds to specific local agencies as detailed in the table above. The Commission last returned surplus revenues to the State of Louisiana in FY 94 in the amount of \$104,748. Act 875 of 1988 requires the Commission to use surplus funds to police the Huey P. Long Bridge.

Debt Service: \$7,617,131 FY 21 Payment

The decrease in Other Charges in FY 21 is due primarily to the reduced debt service payment.
Series 2017 Bonds – The 2017 bond issuance to fund improvements on both spans and budgeting for the debt service payment on the issuance; amount approved for FY 21 is \$4,513,625.

Series 2013 Bonds – amount approved for FY 21 is \$2,421,963.

Series 2014 Bonds – amount approved for FY 21 is \$681,544.

*Act 892 of the 2008 Regular Legislative Session provides that of the \$50,000 distributed to the city of New Orleans, \$25,000 be allocated to the New Orleans Recreation Department and \$25,000 be allocated to the New Orleans Police Department.

DEBT SERVICE SCHEDULE

	Series 2013 Bond Total	Series 2014 Bonds Principal	Series 2014 Bonds Interest	Series 2014 Bonds Total	Series 2017 Bonds Principal	Series 2017 Bonds Interest	Series 2017 Bonds Total	Combined Total Debt Service
2021	2,421,963	65,000	616,544	681,544	185,000	4,328,625	4,513,625	7,617,131
2022	2,413,963	75,000	614,444	689,444	0	4,324,000	4,324,000	7,427,406
2023	2,416,588	75,000	612,194	687,194	0	4,324,000	4,324,000	7,427,781
2024	2,404,713	90,000	609,719	699,719	0	4,324,000	4,324,000	7,428,431
2025	2,403,813	90,000	607,188	697,188	1,905,000	4,276,375	6,181,375	9,282,375
2026	2,402,966	95,000	332,585	427,585	1,780,000	4,184,250	5,964,250	8,794,801
2027	2,399,409	100,000	601,894	701,894	1,585,000	4,100,125	5,685,125	8,786,428
2028	2,392,650	110,000	598,744	708,744	1,365,000	4,026,375	5,391,375	8,492,769
2029		2,545,000	558,919	3,103,919	1,120,000	3,964,250	5,084,250	8,188,169
2030		2,625,000	479,728	3,104,728	830,000	3,915,500	4,745,500	7,850,228
2031		2,705,000	394,756	3,099,756	525,000	3,881,625	4,406,625	7,506,381
2032		2,810,000	294,600	3,104,600	3,790,000	3,773,750	7,563,750	10,668,350
2033		2,920,000	180,000	3,100,000	3,595,000	3,589,125	7,184,125	10,284,125
2034		3,040,000	60,800	3,100,800	3,360,000	3,415,250	6,775,250	9,876,050
2035					3,090,000	3,254,000	6,344,000	6,344,000
2036					2,785,000	3,107,125	5,892,125	5,892,125
2037					2,440,000	2,976,500	5,416,500	5,416,500
2038					2,055,000	2,864,125	4,919,125	4,919,125
2039					5,845,000	2,666,625	8,511,625	8,511,625
2040					5,595,000	2,380,625	7,975,625	7,975,625
2041					5,290,000	2,108,500	7,398,500	7,398,500
2042					4,945,000	1,852,625	6,797,625	6,797,625
2043					4,560,000	1,615,000	6,175,000	6,175,000
2044					4,120,000	1,398,000	5,518,000	5,518,000
2045					3,640,000	1,204,000	4,844,000	4,844,000
2046					7,865,000	916,375	8,781,375	8,781,375
2047					7,440,000	533,750	7,973,750	7,973,750
2048					6,955,000	0	6,955,000	6,955,000
	19,256,063	17,345,000	6,562,113	23,907,113	86,665,000	83,304,500	169,969,500	213,132,676

HUEY P. LONG BRIDGE
Interagency Transfer

	<u>Approved FY 20</u>	<u>Requested FY 21</u>	<u>CHANGE</u>	
Administrative				
Administrative Salaries	\$94,386	\$96,797	\$2,411	2.6%
Payroll Taxes	\$12,813	\$13,262	\$449	3.5%
Payroll Benefits	<u>\$16,156</u>	<u>\$16,837</u>	<u>\$681</u>	<u>4.2%</u>
Total Administrative Function	\$123,355	\$126,896	\$3,541	2.9%
Operations				
Police Patrol	\$671,548	\$686,261	\$14,713	2.2%
Dispatch	\$125,748	\$95,586	(\$30,162)	-24.0%
Payroll Taxes	\$115,970	\$114,921	(\$1,049)	-0.9%
Payroll Benefits	\$143,355	\$143,858	\$503	0.4%
Materials, Supplies, and Maint.	\$90,000	\$90,000	\$0	0.0%
Telephone and Radio	\$40,000	\$40,000	\$0	0.0%
Unused Vacation and Sick Leave	\$10,000	\$10,000	\$0	0.0%
Insurance	<u>\$28,000</u>	<u>\$28,000</u>	<u>\$0</u>	<u>0.0%</u>
Total Operations	\$1,224,621	\$1,208,626	(\$15,995)	-1.3%
Maintenance				
Maintenance Personnel	\$21,512	\$21,395	(\$117)	-0.5%
Payroll Taxes	\$2,920	\$2,931	\$11	0.4%
Payroll Benefits	<u>\$4,357</u>	<u>\$4,459</u>	<u>\$102</u>	<u>2.3%</u>
Total Maintenance	\$28,789	\$28,785	(\$4)	0.0%
Capital Acquisitions	<u>\$51,700</u>	<u>\$52,500</u>	<u>\$800</u>	<u>1.5%</u>
TOTAL	\$1,428,465	\$1,416,807	(\$11,658)	-0.8%

Since Act 875 of 1988, the Commission has budgeted expenditures for the policing of the Huey P. Long Bridge. A percentage of the Commission's administrative, police, and maintenance salaries and benefits, equipment, and acquisitions comprise total expenditures associated with the policing function.

Total expenditures for policing the Huey P. Long Bridge is projected to decrease by \$11,658, or .8%.

FY 21 ACQUISITIONS, MAJOR REPAIRS, AND CAPITAL IMPROVEMENTS

<u>GENERAL OPERATING BUDGET</u>	Requested FY 21
Capital Acquisitions	
Causeway Police	\$193,500
Maintenance	\$109,141
Operations & Administration	<u>\$6,000</u>
TOTAL OPERATING	\$308,641
 <u>EXTRAORDINARY MAINTENANCE & REPAIR RESERVE FUND</u>	
Major Repairs	
Extraordinary Maintenance and Consulting Engineering	\$400,000
Annual AASHTO Inspection	\$700,000
Emergency and Periodic Repairs	\$100,000
System Improvements Preventative Maintenance & Mechanical Systems	<u>\$900,000</u>
SUB-TOTAL REPAIRS	\$2,100,000
 Capital Improvements/Rehabilitation Program	
North Channel Bascule Mechanical Maintenance	\$2,000,000
Upgrade CCTV System	\$1,500,000
High Voltage Power System - Control System and Surge Protection	\$250,000
Upgrade 1700 AM Highway Advisory Radio	\$75,000
Replace Call Boxes	\$1,000,000
Monroe Street Overpass and Frontage Road Rehabilitation	\$750,000
Road Rehabilitation Monroe St., West Approach	\$1,000,000
Northshore Maintenance Facility Improvements	\$400,000
North Toll Plaza Canopy Roof and Lightning Protection	\$475,000
Toll Tag Store Software Upgrade	\$600,000
Toll Collection System - Violation Enforcement System	<u>\$1,000,000</u>
SUB-TOTAL IMPROVEMENTS	\$9,050,000
TOTAL MAINTENANCE & REPAIR FUND	\$11,150,000
TOTAL ACQUISITIONS, MAJOR REPAIRS & CAPITAL IMPROVEMENTS	\$11,458,641

Acquisitions, Major Repairs/Capital Improvement Expenditure Category

Acquisitions

Capital Acquisitions total \$308,641 in FY 21 and include items such as vehicles, radios and various Causeway police equipment. This category is requested 33% less than requested for FY 20.

Causeway police acquisitions in FY 21 total \$193,500 and the expenditures include the purchase of four (4) Ford trucks (\$134,000) and accompanying equipment (\$14,000), and one laptop computer (\$3,500).

Maintenance acquisitions for FY 21 total \$11,480 and include the purchase one (1) zero turn mower (\$11,480).

Operations and Administration acquisitions for FY 21 total \$6,000 for a drive thru tag reader.

Capital Improvement Program – Extraordinary Maintenance & Repair Reserve Fund

The GNOEC is required to have all funds available prior to the start of any capital project. The Commission accumulates any unexpended funds each year in the Extraordinary Maintenance and Repair Reserve Fund for the purpose of meeting its regular capital outlay and maintenance needs not met in the capital improvements program. This financing method is not evidenced in the Commission's budget request in previous fiscal years, but was used prior to the capital improvements program in order to meet recurring capital outlay and major repair needs.

The GNOEC will begin the year with a balance of \$16,302,204 and will add \$3,412,867, which reflects the amount the GNOEC will deposit into the Extraordinary Maintenance and Repair Reserve fund for ongoing and future projects. The GNOEC plans to spend \$11,150,000 on major repairs and capital improvement projects in FY 21. Lastly, the GNOEC projects it will carry forward a total of \$8,565,071 from FY 21 to FY 22 for future projects.

Major Repairs

The budget approved by the Commission on August 19, 2020 includes the major repairs projects listed below. The projects approved by the GNOEC for a typical fiscal year often are changed during the course of the year due to changing priorities and other factors, which require projects to be altered or rescheduled (either delayed or accelerated). Total costs for the projects outlined below are \$2,100,000.

Extraordinary Maintenance & Consulting Engineering - \$400,000

The services provided include monthly and annual reporting, review of driveway and utility permits, inspection of accident site damages, inspection and coordination of repairs to bridge deck and approach roads when necessary, and other engineering services as needed.

Annual AASHTO Inspection of Facilities & Security Inspection - \$700,000

This is the annual inspection of the Causeway in accordance with the Federal Highway Administration (FHWA) and the American Association of State Highway & Transportation Officials (AASHTO) guidelines. The GNOEC's Trust Indenture requires that the bridge be inspected annually. In addition, the Consulting Engineers conduct monthly inspections of the underside of the bridge and the navigation lights.

Emergency and Periodic Repair - \$100,000

This provides funding for issues that may arise throughout the year. For instance, the lock bar has failed the past two years, and in order to fund the repair, money was taken from other project expenditures.

System Improvement - \$100,000 and Preventative Maintenance (\$800,000)

The services provided under this category are associated with improving switches, technology, and electrical items for bridge improvements.

Capital Improvement Projects

The budget approved by the GNOEC on August 19, 2020 includes the capital improvement projects listed below. The projects approved by the GNOEC for a typical fiscal year often are changed during the course of the year due to changing priorities and other factors, which require projects to be altered or rescheduled (either delayed or accelerated). Total costs for the projects outlined below are \$9,050,000.

North Channel Bascule Mechanical Maintenance - \$2,000,000

The project provides for maintenance of the mechanical portion of the bascule and other repairs not covered during prior projects. The project will involve shimming the load bearings, replacing a lock bar receiver collar and lock bar operator rehabilitation.

Upgrade Closed Circuit TV (CCTV) System - \$1,500,000

The CCTV system has been in service for approximately 10 years and has not received any major software or hardware upgrades since it was installed. Most of the hardware has reached the end of life and end of support. As a result of the recording devices running full-time since installation nearly a decade ago, they are beginning to fail with minimal support available.

High Voltage Power System - \$250,000

The High Voltage Power System provides power at various distribution points along the 24-mile bridge and has been in service since 2002. The system includes 11 switchgears at the utility source connections and distribution points that allow the system to be automatically reconfigured upon loss of utility power from one of the two sources (CLECO and Entergy). This power system relies on a complex control system that communicates on a fiber optic network communication system. Because of the "daisy chain" configuration, the loss of a single communication device eliminates all communication to the Supervisory Control and Data Acquisition (SCADA) master station. The proposed upgrades will provide individual communication paths from each of the 11 switchgear control stations to the SCADA master station. In the event of a loss of a single communication device at one of the 11 stations, all other stations will continue to provide data. This allows partial system status to be known until the communication failure can be resolved. The upgrades will also provide additional capabilities for data collection and remote diagnosis of power system issues. The upgrades will improve the reliability of the communications for the power system controls and monitoring.

Upgrade 1700 AM Highway Advisory Radio - \$75,000

The GNOEC maintains a Highway Advisory Radio (HAR) system operating on 1700 AM to inform motorist conditions on the Lake Ponchartrain Causeway Bridge and Approaches. The system transmitters are located on the roof of the administration offices on the south shore, crossover 4, the north toll plaza, and near state police troop L on US 190 (north approach). Due to the age of the HAR system, replacement parts are difficult to find and the system has become unreliable. This project will replace components to improve communications with the motorist.

Replace Call Boxes - \$1,000,000

Emergency call boxes are currently located at four-tenth mile intervals along the bridge and at each crossover. These call boxes allow motorists to summon help in the event of an emergency. The existing Call Boxes, installed in 1999, have reached the ends of their useful lives and replacement parts are becoming difficult to obtain. This project will provide for the replacement of the call boxes with new equipment, allowing greater flexibility for the Causeway staff to handle emergencies and increased safety for the Causeway user.

Monroe Street Overpass and Frontage Road Rehabilitation - \$750,000

The project was originally constructed in the early 1990s and needs to be rehabilitated. The bridge repairs will result in the replacement of expansion joint seals, epoxy repair of cracks in bent caps, bridge drain restoration and repair of the brick fascia. The Frontage Road repairs will consist of removal and replacement of a damaged guardrail, extension of culvers and cleaning of the drainage system and replacement of the striping and pavement markers.

Road Rehabilitation at Monroe St West Approach and Patching at North Approach - \$1,000,000

In 2015, the West Approach Road from the west side of the Chinchuba Bayou Bridge to the intersection with LA 22 was rehabilitated. The limits for this project will be from the end of the Monroe Street Overpass through the Chinchuba Bayou Bridge. The existing asphaltic concrete overlay and striping/pavement markings are badly worn and are in need of replacement. This project provides for the removal and replacement of two inches of the existing asphaltic concrete overlay, patching of the Portland Cement Concrete pavement as needed, replacement of the curb in the median as needed, replacement of the striping, pavement markers, and any symbols or legends. This project will also include installation of safety end treatments on existing cross-side drains and cleaning of the existing drainage system. The guardrails across from the Chinchuba Bayou Bridge will also be replaced. Construction will be sequenced or phased in to cause minimal interruptions to the flow of traffic. In order to extend the life of the existing asphaltic concrete overlay, the North Approach Road will also be receiving patching and crack sealing. If warranted, microsurfacing will also be applied.

Northshore Maintenance Facility Improvements - \$400,000

This project will include renovations to a building constructed in 1998 and the construction of a new storage area for GNOEC maintenance equipment and spare part inventories. The renovations will include replacement of flooring, replacement of roll-up doors, replacement of the HVAC system, painting, installation of industrial shelving in storage areas, and site drainage work.

North Toll Plaza Canopy Roof and Lightning Protection - \$475,000

This project includes waterproofing the North Toll Plaza canopy roof and providing lightning and surge protection for the North Toll Plaza. The project will be scheduled after the dynamic message sign has been removed and replaced on the truss.

Toll Tag Store Software Upgrade - \$600,000

The software was last updated in 2009. The system currently can only work using Internet Explorer 9, which is no longer supported. The upgrade will include the capability to use multiple browsers, compatibility for the violation system, and equipment upgrades. The upgrade expenses will extend over a four year period.

Toll Collection System – Violation Enforcement System (VES) - \$1,000,000

The Violation Enforcement System allows for an open toll road. The VES will connect to the Toll Tag Software, and the image will be reviewed to verify the vehicle has a tag or a violation letter will be issued. This project will consist of software and camera upgrades.

In future years, the GNOEC intends to replace bearing pads and level the northbound bridge, clean and adjust bearings and level the southbound span, perform structural repairs to the underside of the bridge deck, paint the steel spans, replace or perform maintenance on the radar system, and encapsulate piles. Historically, funds are carried to the next fiscal year as the GNOEC is required by its bond indenture to have all construction funds available before a project can be initiated.

SALARY INFORMATION FOR FILLED POSITION AS OF OCTOBER 1, 2020

ADMINISTRATION

		FULL	FY 2020	FY 2021	
	DATE	PART	CURRENT	PROPOSED	%
TITLE/POSITION	HIRED	TIME	SALARY	SALARY	INCREASE
General Manager	9/1/09	FULL	133,848.80	133,843.38	0.00%
Director of Finance	5/28/03	FULL	87,006.40	89,406.40	2.76%
Human Resource Director	6/16/95	FULL	82,846.40	85,246.40	2.90%
Executive Secretary	10/2/00	FULL	58,118.32	59,515.20	2.40%
Receptionist	10/16/17	FULL	27,851.20	28,686.74	3.00%

COMMUTER SALES

Commuter Sales Supervisor	2/22/06	FULL	38,090.80	39,384.80	3.40%
North Shore Tag Office	11/28/05	FULL	32,196.40	31,833.15	-1.13%
North Shore Tag Office	3/5/18	FULL	27,040.00	27,851.20	3.00%
North Shore Tag Office	7/16/08	FULL	30,594.43	31,514.70	3.01%

ACCOUNTING

Bookkeeper	2/18/15	FULL	41,953.60	41,953.60	0.00%
Purchasing Clerk	10/30/17	FULL	32,245.80	33,368.00	3.48%
Toll Analyst	1/26/09	FULL	37,113.20	38,357.20	3.35%
Web Special Project	6/6/12	FULL	37,113.20	38,407.20	3.49%

CAUSEWAY BRIDGE POLICE

		FULL	FY 2020	FY 2021	
	DATE	PART	CURRENT	PROPOSED	%
TITLE/POSITION	HIRED	TIME	SALARY	SALARY	INCREASE
Commander	10/1/19	FULL	89,606.40	92,006.40	2.68%
Lieutenant	1/16/05	FULL	78,561.81	80,918.45	3.00%
Lieutenant	3/15/99	FULL	76,190.40	78,476.11	3.00%
Sergeant	1/2/14	FULL	52,638.77	54,217.93	3.00%
Sergeant	3/17/08	FULL	57,075.20	58,787.46	3.00%
Sergeant	7/3/07	FULL	43,243.20	44,540.50	3.00%
Sergeant	11/3/09	FULL	48,204.00	49,650.00	3.00%
Sergeant	10/4/10	FULL	45,868.78	47,244.00	3.00%
Corporal	7/16/12	FULL	41,184.00	42,419.52	3.00%
Corporal	7/28/98	FULL	67,464.18	69,485.00	3.00%
Corporal	1/25/16	FULL	43,243.20	44,540.50	3.00%
Corporal	6/1/11	FULL	44,112.02	45,435.00	3.00%
Officer	2/2/15	FULL	37,813.36	38,948.83	3.00%
Officer	5/24/17	FULL	39,784.37	40,978.00	3.00%
Officer	9/17/18	FULL	36,720.74	37,822.00	3.00%
Officer	5/7/18	FULL	37,813.36	38,947.83	3.00%
Officer	8/1/17	FULL	39,784.37	40,979.11	3.00%
Officer	11/6/18	FULL	36,720.74	37,821.36	3.00%
Officer	2/6/17	FULL	39,784.37	40,979.11	3.00%
Officer	11/13/18	FULL	36,712.00	37,813.36	3.00%
Officer	11/13/18	FULL	36,712.00	37,813.36	3.00%

HUEY P. LONG BRIDGE POLICE

Sergeant	3/22/99	FULL	67,549.87	69,578.15	3.00%
Sergeant	12/2/13	FULL	44,133.44	45,457.73	3.00%
Corporal	9/19/96	FULL	66,414.40	68,406.83	3.00%
Officer	5/26/98	FULL	65,793.10	67,764.11	3.00%
Officer	11/5/14	FULL	39,784.37	40,979.11	3.00%
Officer	3/12/07	FULL	39,784.37	40,979.11	3.00%

COMMUNICATIONS – DISPATCH AND BASCULE

		FULL	FY 2020	FY 2021	
	DATE	PART	CURRENT	PROPOSED	%
TITLE/POSITION	HIRED	TIME	SALARY	SALARY	INCREASE
Dispatcher	3/8/02	FULL	69,012.00	48,128.80	-30.26%
TAC Officer	4/23/01	FULL	46,737.60	48,139.73	3.00%
Dispatcher	2/2/04	FULL	43,885.20	44,219.14	0.76%
Dispatcher	3/3/08	FULL	36,337.60	37,427.73	3.00%
Dispatcher-HPL	3/23/15	FULL	29,744.00	30,636.32	3.00%
Dispatcher	12/20/14	FULL	30,284.80	31,193.34	3.00%
Dispatcher	8/31/05	FULL	39,374.40	40,555.63	3.00%
Dispatcher-HPL	7/31/98	FULL	45,013.20	46,361.54	3.00%
Dispatcher	9/4/18	FULL	28,912.00	29,779.36	3.00%
Security Operator	3/5/07	FULL	32,137.20	33,100.08	3.00%
Security Operator	5/26/17	FULL	31,721.20	32,671.60	3.00%
Supervisor	9/26/94	FULL	54,312.96	55,394.98	1.99%
Operator	1/21/14	FULL	29,183.20	30,349.70	4.00%
Operator	8/16/12	FULL	31,545.09	32,815.75	4.03%
Operator	10/3/08	FULL	35,219.48	36,270.83	2.99%
Operator	7/21/03	FULL	37,426.15	38,541.78	2.98%

TOLL COLLECTORS – NORTH SHORE

		FULL	FY 2020	FY 2021	
	DATE	PART	CURRENT	PROPOSED	%
TITLE/POSITION	HIRED	TIME	SALARY	SALARY	INCREASE
N. S. Toll Captain	1/30/96	FULL	46,134.40	48,134.40	4.34%
Trainer	3/21/16	FULL	27,299.58	28,489.60	4.36%
Toll Collector	10/9/17	FULL	23,694.94	24,591.20	3.78%
Toll Collector	1/8/18	FULL	23,524.80	24,424.80	3.83%
Toll Collector	3/9/20	FULL	23,400.00	24,400.00	4.27%
Toll Collector	4/20/15	FULL	30,118.40	30,118.40	0.00%
Toll Collector	11/3/15	FULL	24,016.30	24,924.00	3.78%
Toll Collector	7/24/17	FULL	23,694.94	24,591.20	3.78%
Toll Collector	6/25/18	FULL	23,920.00	24,820.00	3.76%
Toll Collector	4/23/02	FULL	30,057.87	30,057.87	0.00%
Toll Collector	12/19/16	FULL	23,887.76	24,778.40	3.73%
Toll Collector	3/2/20	FULL	23,400.00	24,300.00	3.85%
Toll Collector	7/18/08	FULL	29,715.09	30,723.20	3.39%
Toll Collector	5/22/17	FULL	23,691.20	24,591.20	3.80%
Toll Collector	4/27/06	PART	18,609.01	19,503.50	4.81%

MAINTENANCE

		FULL	FY 2020	FY 2021	
	DATE	PART	CURRENT	PROPOSED	%
TITLE/POSITION	HIRED	TIME	SALARY	SALARY	INCREASE
Bridge/Operation Director	11/2/95	FULL	87,006.40	89,406.40	2.76%
Maintenance Supervisor	8/19/91	FULL	65,004.16	67,000.00	3.07%
North Shore Maintenance	3/18/19	FULL	27,851.20	28,851.20	3.59%
North Shore Maintenance	9/28/15	FULL	30,293.54	31,284.80	3.27%
North Shore Maintenance	9/21/15	FULL	32,285.97	33,781.60	4.63%
North Shore Maintenance	12/18/17	FULL	27,851.20	28,851.20	3.59%
North Shore Maintenance	9/8/20	FULL	24,960.00	27,040.00	8.33%
North Shore Maintenance	10/1/02	FULL	35,992.32	36,984.00	2.76%
North Shore Maintenance	6/27/18	FULL	27,851.20	28,851.20	3.59%
Electrical	10/8/18	FULL	33,280.00	34,780.00	4.51%
S.S. Maintenance Supervisor	2/23/09	FULL	43,328.90	45,326.40	4.61%

MOTORISTS ASSISTANCE PATROL

MAP Leaderman	8/2/04	FULL	37,985.79	39,980.80	5.25%
Operator	6/5/17	FULL	30,486.35	31,492.80	3.30%
Operator	6/19/17	FULL	30,336.38	31,326.40	3.26%
Operator	6/6/16	FULL	31,514.70	32,512.00	3.16%
Operator	10/15/18	FULL	29,458.00	30,452.80	3.38%
Operator	12/19/16	FULL	32,032.00	33,032.00	3.12%
Operator	9/3/19	FULL	28,600.00	29,600.00	3.50%
Operator	9/8/20	FULL	27,040.00	28,600.00	5.77%

VEHICLE MAINTENANCE

Leaderman	2/21/11	FULL	38,376.00	39,876.00	3.91%
Mechanic	11/13/00	FULL	44,904.70	46,107.20	2.68%
Mechanic	7/27/20	FULL	26,000.00	27,040.00	4.00%



JOHN BEL EDWARDS
GOVERNOR

LAMAR A. DAVIS, COLONEL
DEPUTY SECRETARY

State of Louisiana
Department of Public Safety and Corrections
Public Safety Services

November 17, 2020
DPS-02-02012-JS

Honorable Mack "Bodi" White, Chairman
Joint Legislative Committee on the Budget
Post Office Box 44294
Baton Rouge, Louisiana 70804

Dear Honorable White:

Attached is a spreadsheet detailing 114 requests for back pay for Municipal Police Supplemental Pay which have been approved by the Board of Review as per Act 110 of 1982. Please place this item on the agenda for the next meeting.

Total requested prior year funds: \$169,034.00.

Public Safety Services projects sufficient funds available in the current fiscal year budget to fund these Act 110 requests for back pay.

If any additional documentation or information is needed, please feel free to contact the Undersecretary's Office at (225) 925-6032.

Sincerely,

Jason Starnes

Lt. Colonel Jason Starnes
Chief Administrative Officer

Attachment

CC: Chief Bry Layrisson, Chairman, MPO Board of Review
 Candace Oby, Public Safety Services, MPO Board Member
 Ternisa Hutchinson, Division of Administration, MPO Board Member
 Connor Junkin, Legal Affairs

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MUNICIPAL POLICEMEN'S SUPPLEMENTAL PAY ACT 110 REQUEST 2020

No.	DEPARTMENT	EMPLOYEE NAME	DATES OWED	AMOUNT	REASON
1	Abbeville	Hebert, Brittany M	6/5/2020 - 6/30/20	433.00	Application Submitted Late
2	Abbeville	Vincent, Rodney L	6/8/2020 - 6/30/20	383.00	Application Submitted Late
3	Alexandria	Lewis, Jim D	5/4/2020 - 6/30/20	950.00	Application Submitted Late
4	Alexandria	Suydam, Ethen	6/11/2020 - 6/30/20	333.00	Application Submitted Late
5	Ball	Busby, Christopher	6/11/2020 - 6/30/20	333.00	Application Submitted Late
6	Ball	Rachal, Justin W	4/17/2020 - 6/30/20	1,233.00	Application Submitted Late
7	Bastrop	Green, Joshua	09/28/18 - 6/30/20	4,550.00	Application Submitted Late
8	Bastrop	Madison, Marcus	5/30/2019 - 6/30/19	517.00	Application Submitted Late
9	Bastrop	Wimberly, Jemmerio	04/18/19 - 6/30/19	1,217.00	Application Submitted Late
10	Baton Rouge Constable/Marshal	Burns, Colby	4/1/2020 - 6/30/20	1,500.00	Application Submitted Late
11	Baton Rouge PD	Wallace, Matthew	3/17/2020 - 6/30/20	1,733.00	Application Submitted Late
12	Bernice	Lee, Gerald G	5/28/2020 - 6/30/20	550.00	Application Submitted Late
13	Bogalusa PD	Gatlin, Aristine O	6/12/2020 - 6/30/20	317.00	Application Submitted Late
14	Breaux Bridge	Granger, Thomas A	3/10/2020 - 6/30/20	1,850.00	Application Submitted Late
15	Broussard PD	Wells, Dale E	6/15/2020 - 6/30/20	267.00	Application Submitted Late
16	Brusly	Byrd, Devin E.	2/3/2020 - 6/30/20	2,467.00	Application Submitted Late
17	Couchata Tribe	Thompson, Weldon	11/1/18 - 6/30/19	4,000.00	Application Submitted Late
18	Crowley	Benoit, Jonathan P	6/29/2020 - 6/30/20	33.00	Application Submitted Late
19	Crowley	Coats, Chastity Shawn	6/8/2020 - 6/30/20	383.00	Application Submitted Late
20	Crowley	Faulk, Dexter P	6/8/2020 - 6/30/20	383.00	Application Submitted Late
21	Delcambre	Mayard, Caleb	4/5/2020 - 6/30/20	1,433.00	Application Submitted Late
22	Dequincy	Allen, Billy Joe	5/12/2020 - 6/30/20	817.00	Application Submitted Late
23	DeQuincy	Lindenman, Donald J	3/30/2020 - 6/30/20	1,517.00	Application Submitted Late
24	Deridder	Cole, Ryan Lee	6/24/2020 - 6/30/20	117.00	Application Submitted Late
25	Deridder	Ross, Kenetta	5/29/2020 - 6/30/20	533.00	Application Submitted Late
26	Deridder	Smith, Cameron	6/24/2020 - 6/30/20	117.00	Application Submitted Late
27	Franklin	Conner, Cloressa N	6/2/2020 - 6/30/20	483.00	Application Submitted Late
28	Franklin	LeBlanc, Noah	5/13/2020 - 6/30/20	800.00	Application Submitted Late
29	Franklin	Mitchell, Travis J	1/7/2020 - 6/30/20	800.00	Application Submitted Late
30	Franklin	Pellerin, Todd M	12/18/2019 - 6/30/20	3,217.00	Application Submitted Late
31	Gonzales	Miller, David Louis	2/19/2020 - 6/30/20	2,033.00	Application Submitted Late
32	Gretna	Belton, Paul Jr	5/17/2020 - 6/30/20	733.00	Application Submitted Late
33	Gretna	Blanchard III, Russell J	2/21/20-6/30/20	2,167.00	Application Submitted Late
34	Gretna	Breaux, Christopher W	5/17/2020 - 6/30/20	733.00	Application Submitted Late
35	Gretna	Flanders, Brittany D	5/17/2020 - 6/30/20	733.00	Application Submitted Late
36	Gretna	Macheca, Brandon	6/15/19 - 6/30/19	267.00	Application Submitted Late
37	Gretna	Pujol, Brittany	9/17/2020 - 6/30/20	733.00	Application Submitted Late

No.	DEPARTMENT	EMPLOYEE NAME	DATES OWED	AMOUNT	REASON
38	Gretna	Rabb, Latoya A W	6/28/2020 - 6/30/20	50.00	Application Submitted Late
39	Gretna	Reeves, Alexis Nicole	3/20/2020 - 6/30/20	1,683.00	Application Submitted Late
40	Hammond	Dantzler, Courtney	11/16/18 - 6/30/19	3,750.00	Application Submitted Late
41	Harahan	Bagley, Chase	2/24/2020 - 6/30/20	2,117.00	Application Submitted Late
42	Harahan	Lopez, Angel S	5/17/2020 - 6/30/20	533.00	Application Submitted Late
43	Harahan	Salaun, Daryl J	1/24/2020 - 6/30/20	2,617.00	Application Submitted Late
44	Haughton	Lilly, Cody	2/1/2020 - 6/30/20	2,500.00	Application Submitted Late
45	Haynesville	Kelly, Marcus	9/13/18 - 6/30/19	4,633.00	Application Submitted Late
46	Haynesville	Ritch, Jonathan	6/13/19 - 6/30/19	300.00	Application Submitted Late
47	Haynesville	Waldron, Tristin	11/19/2019 - 6/30/20	3,700.00	Application Submitted Late
48	Houma	Farmer, Arthur	3/18/2020 - 6/30/20	1,717.00	Application Submitted Late
49	Iota	Alleman, Donald R	12/11/2019 - 6/30/20	3,333.00	Application Submitted Late
50	Iowa PD	Piper, Christopher	6/4/2020 - 6/30/20	450.00	Application Submitted Late
51	Jena	Gentry, Billy Gene	12/2/2019 - 6/30/20	3,483.00	Application Submitted Late
52	Jennings	Roy, Rockie Ray II	5/28/2020 - 6/30/20	550.00	Application Submitted Late
53	Kaplan	Guidroz, Mitchell J	5/14/2020 - 6/30/20	783.00	Application Submitted Late
54	Killian	Cormier, Aaron	2/11/2020 - 6/30/20	2,333.00	Application Submitted Late
55	Lafayette	Boudreaux, Skip	5/22/19 - 6/30/20	6,650.00	Application Submitted Late
56	Lafayette PD	Bobinox, Shaughn Y	12/24/2019 - 6/30/20	3,117.00	Application Submitted Late
57	Lake Charles	Saunier, John Keegan A	6/22/2020 - 6/30/20	150.00	Application Submitted Late
58	Lecompte	Ducote, Ross Anthony	3/2/2020 - 6/30/20	1,983.00	Application Submitted Late
59	LeCompte	Kennedy, John Thomas	3/2/2020 - 6/30/20	1,983.00	Application Submitted Late
60	Mansfield	Shaw, Mindy M	1/15/2020 - 6/30/20	2,767.00	Application Submitted Late
61	Mansfield	Miles, Zamarcea T	5/18/2020 - 6/30/20	717.00	Application Submitted Late
62	Many PD	Cook, Matthew E	3/17/2020 - 6/30/20	1,733.00	Application Submitted Late
63	Many PD	Cook, Glenndon K	3/17/2020 - 6/30/20	1,733.00	Application Submitted Late
64	Mer Rouge	Haynes, Tobias A	3/18/2020 - 6/30/20	1,717.00	Application Submitted Late
65	Minden	Cayer, Christopher M	2/12/2020 - 6/30/20	2,317.00	Application Submitted Late
66	Morgan City	Blanchard, Brock Anthony	3/9/2020 - 3/12/2020	50.00	Application Submitted Late
67	Morgan City	Faircloth, Michael Dwayne	6/10/2020 - 6/30/20	350.00	Application Submitted Late
68	Morgan City	Lewis, Sheila Paul	5/13/2020 - 6/30/20	800.00	Application Submitted Late
69	Morgan City	Strother, Rory James	5/13/2020 - 6/30/20	800.00	Application Submitted Late
70	Natchitoches City PD	Lewis, Clayton Wayne	4/29/2020 - 6/30/20	1,033.00	Application Submitted Late
71	New Iberia	McBride, John	1/21/2020 - 6/30/20	2,667.00	Application Submitted Late
72	New Iberia	Thomas, Jacob M	6/1/2020 - 6/30/20	500.00	Application Submitted Late
73	New Orleans	Eloie, Jonathan	12/18/18 - 6/30/19	3,367.00	Application Submitted Late

No.	DEPARTMENT	EMPLOYEE NAME	DATES OWED	AMOUNT	REASON
74	New Orleans	Gradidge, Matthew Leslie	6/2/2020 - 6/30/20	483.00	Application Submitted Late
75	New Orleans	Jones, Aaron M	6/2/2020 - 6/30/20	483.00	Application Submitted Late
76	New Orleans	Mcdonald, Dwight	6/2/2020 - 6/30/20	483.00	Application Submitted Late
77	New Orleans	Miller, Patrick Michael	6/2/2020 - 6/30/20	483.00	Application Submitted Late
78	New Orleans	Sirois, Tyler Paul	6/2/2020 - 6/30/20	483.00	Application Submitted Late
79	New Orleans	White, Darius Lee Robert	6/2/2020 - 6/30/20	483.00	Application Submitted Late
80	New Orleans	Willyard, Donald Logan	6/2/2020 - 6/30/20	483.00	Application Submitted Late
81	New Roads	Collie, Jessica	9/27/18 - 6/30/19	5,067.00	Application Submitted Late
82	New Roads	Lewis, Byron	5/17/19 - 6/30/19	733.00	Application Submitted Late
83	Opelousas	Dekerlegand, Corey L	3/25/2020 - 6/30/20	1,600.00	Application Submitted Late
84	Opelousas City Marshal Office	McKinney, Lucien J Jr	5/20/2020 - 6/30/20	683.00	Application Submitted Late
85	Parks	Alexander, Doris	6/30/2020 - 6/30/20	17.00	Application Submitted Late
86	Pineville	Cates, Devn Alan	5/25/2020 - 6/30/20	600.00	Application Submitted Late
87	Pineville City Marshal	Hamilton, Raymond G	3/16/2020 - 6/21/20	1,600.00	Application Submitted Late
88	Plaquemine	Hall, Len	6/30/2020 - 6/30/20	17.00	Application Submitted Late
89	Port Allen	Ravencraft, Matthew O	6/24/2020 - 6/30/20	117.00	Application Submitted Late
90	Port Allen	Smith, Revay A	5/27/2020 - 6/30/20	567.00	Application Submitted Late
91	Port Allen	Walker, Clint	5/27/2020 - 6/30/20	567.00	Application Submitted Late
92	Richwood	Whitfield, Jaymes E	4/16/2020 - 6/30/20	1,100.00	Application Submitted Late
93	Shreveport	Garner, Roland	3/6/2019 - 6/30/2019	1,917.00	Application Submitted Late
94	Slidell	Ezell, Benjamin J.	3/23/2020 - 6/30/20	1,633.00	Application Submitted Late
95	Slidell	Sevin, Christopher	5/9/19 - 6/30/19	867.00	Application Submitted Late
96	St Francisville	Franks, Daniel K.	4/27/2020 - 6/30/20	1,067.00	Application Submitted Late
97	St Martinville	Prejean, Brock A	6/27/2020 - 6/30/20	67.00	Application Submitted Late
98	St Martinville	Wood, George R	6/1/2020 - 6/30/20	500.00	Application Submitted Late
99	Thibodaux	King, Jamaal R	2/10/2020 - 6/30/20	2,350.00	Application Submitted Late
100	Thibodaux	Leboeuf, Devin J	1/29/2020 - 6/30/20	2,517.00	Application Submitted Late
101	Thibodaux	Slosarek, Jason G	2/10/2020 - 6/30/20	2,350.00	Application Submitted Late
102	Turkey Creek	Ardoin, Steven J Jr	4/16/2020 - 6/30/20	1,250.00	Application Submitted Late
103	Vidalia PD	Mohon, Orville N	12/9/2019 - 6/30/20	3,367.00	Application Submitted Late
104	Village of Goldonna	Hines, Joseph	1/1/19 - 6/30/19	3,000.00	Application Submitted Late
105	Westlake	Barber, Beau M	3/6/2020 - 6/30/20	1,917.00	Application Submitted Late
106	Westlake	Spears, Gary H	1/6/2020 - 6/30/20	2,917.00	Application Submitted Late
107	Westlake	Williams, Dustin S	11/19/2019 - 6/30/20	3,700.00	Application Submitted Late
108	Westlake	Cahill, Harley J Jr	5/12/2020 - 6/30/20	817.00	Application Submitted Late
109	Westlake	Perez, Anastacio M	5/12/2020 - 6/30/20	817.00	Application Submitted Late

No.	DEPARTMENT	EMPLOYEE NAME	DATES OWED	AMOUNT	REASON
110	Westwego	Gisclair, Paul Joseph Jr	5/6/2020 - 6/30/20	917.00	Application Submitted Late
111	WestWego	Milano, Joseph	4/15/20 - 6/30/20	1,267.00	Application Submitted Late
112	Winnsboro	Jones, Timothy L	5/6/2020 - 6/30/20	100.00	Application Submitted Late
113	Zwolle	Collins, Steffone	6/30/2020 - 6/30/20	17.00	Application Submitted Late
114	Zwolle	Rivers, Randy	11/01/2018 - 6/30/20	10,000.00	Application Submitted Late
			Grand Total	169,034.00	



JOHN BEL EDWARDS
GOVERNOR

LAMAR A. DAVIS, COLONEL
DEPUTY SECRETARY

State of Louisiana
Department of Public Safety and Corrections
Public Safety Services

November 13, 2020
DPS-02-02009-JS

Honorable Mack "Bodi" White, Chairman
Joint Legislative Committee on the Budget
Post Office Box 44294
Baton Rouge, Louisiana 70804

Dear Honorable White:

Attached is a spreadsheet detailing 100 requests for back pay for Municipal Firemen's Supplemental Pay which have been approved by the Board of Review as per Act 110 of 1982. Please place this item on the agenda for the next meeting.

Total requested prior year funds: \$134,624.

Public Safety Services projects sufficient funds available in the current fiscal year budget to fund these Act 110 requests for back pay.

If any additional documentation or information is needed, please feel free to contact the Undersecretary's Office at (225) 925-6032.

Sincerely,

A handwritten signature in blue ink, appearing to read "J. Starnes".

Lt. Colonel Jason Starnes
Chief Administrative Officer

Attachment

CC: Brien Ruiz, Chairman, Board of Review
Morgan Williams, Board Member
Dwayne Thevis, Board Member
Ronnie Schillace, Board Member
Richard Parker, Board Member
Paul Schexnayder, Legal Affairs

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MUNICIPAL FIREMEN'S SUPPLEMENTAL PAY ACT 110 REQUEST 2020

No.	DEPARTMENT	EMPLOYEE NAME	DATES OWED	AMOUNT	REASON
1	Ascension #3	Causey, Zachary H.	06/03/19 - 06/30/20	\$6,467	Application Submitted Late
2	Alexandria FD	Dwellingham, Jequan T	05/24/19 - 09/07/20	\$1,717	Application Submitted Late
3	Ascension #3	Cormier, Spencer A	05/20/20 - 06/30/20	\$667	Application Submitted Late
4	Ascension #3	Jackson, Blake R	06/01/20 - 06/30/20	\$500	Application Submitted Late
5	Baton Rouge FD	Clark, Cleveland Marcel III	06/10/20 - 06/30/20	\$350	Application Submitted Late
6	Baton Rouge FD	Edwards, Derek Mason	02/01/20 - 06/30/20	\$2,500	Application Submitted Late
7	Baton Rouge FD	Godfrey, Joey Jamal	06/10-20 - 06/30/20	\$350	Application Submitted Late
8	Baton Rouge FD	Guerin, John Collin	06/10/20 - 06/30/20	\$350	Application Submitted Late
9	Baton Rouge FD	Lero, Jeffrey Steven	06/26/20 - 06/30/20	\$83	Application Submitted Late
10	Baton Rouge FD	Mayeaux, Kamron Thomas	06/10/20 - 06/30/20	\$350	Application Submitted Late
11	Baton Rouge FD	McCarter, Mark Caleb	06/10/20 - 06/30/20	\$350	Application Submitted Late
12	Baton Rouge FD	McGarner, Clarence Emile	06/10/20 - 06/30/20	\$350	Application Submitted Late
13	Baton Rouge FD	Murphy, Kelvin Wayne, Jr.	06/10/20 - 06/30/20	\$350	Application Submitted Late
14	Baton Rouge FD	Ramsay, Garrett Lane	06/10/20 - 06/30/20	\$350	Application Submitted Late
15	Baton Rouge FD	Schiele, Alan Maxwell, Jr.	06/10/20 - 06/30/20	\$350	Application Submitted Late
16	Baton Rouge FD	Simon, Jevaghn Dwayne	11/23/19 - 06/30/20	\$3,633	Application Submitted Late
17	Baton Rouge FD	Stinson, Matthew Cody	06/10/20 - 06/30/20	\$350	Application Submitted Late
18	Baton Rouge FD	Stockton, Hunter Barron	06/10/20 - 06/30/20	\$350	Application Submitted Late
19	Baton Rouge FD	Taylor, Errol T., III	06/10/20 - 06/30/20	\$350	Application Submitted Late
20	Baton Rouge FD	Thomas, Darryl Wayne	06/10/20 - 06/30/20	\$350	Application Submitted Late
21	Baton Rouge FD #6	Case, Thomas J J	05/01/20 - 06/30/20	\$1,000	Application Submitted Late
22	Baton Rouge FD #6	Lejeune, Lyle James	02/12/20 - 06/30/20	\$2,317	Application Submitted Late
23	Baton Rouge FD #6	Reed, Colby B	06/01/20 - 06/30/20	\$500	Application Submitted Late
24	Caddo FD #4	Idom, Weldon C	06/01/20 - 06/30/20	\$500	Application Submitted Late
25	Caddo FD #4	Roque, Jeremy J	06/01/20 - 06/30/20	\$500	Application Submitted Late
26	Cameron Fire District #10	Dixon, Morgan	05/21/19 - 06/30/19	\$667	Application Submitted Late
27	Carencro FD	Phillips, Adam Lance	01/30/20 - 06/30/20	\$2,517	Application Submitted Late
28	Central FD	Higginbotham, Peyton	05/15/20 - 06/30/20	\$767	Application Submitted Late
29	Chimatcha Fire	Smith, Dustin	04/25/19 - 06/30/19	\$1,100	Application Submitted Late
30	Coushatta Tribal Fire	Touchet, Tre'	05/28/19 - 06/30/19	\$550	Application Submitted Late
31	Covington FD	Farris, Jacob B	02/06/20 - 06/30/20	\$2,417	Application Submitted Late
32	Crowley Fire	Miller, Brock	05/13/19 - 06/30/19	\$800	Application Submitted Late
33	David Crockett Fire Dept.	Chauffe, Nathan T.	04/20/20 - 06/30/20	\$683	Application Submitted Late
34	David Crockett Fire Dept.	Foret, Lester, IV	04/22/20 - 06/30/20	\$1,150	Application Submitted Late

No.	DEPARTMENT	EMPLOYEE NAME	DATES OWED	AMOUNT	REASON
35	East Bank Consolidated	Cavanaugh, Bambi Lynn	06/09/20 - 06/30/20	\$367	Application Submitted Late
36	East Bank Consolidated	Marlbrough, Cindy Ann	05/28/20 - 06/30/20	\$550	Application Submitted Late
37	East Bank Consolidated	St Cyr, Tristan Andrew	03/09/20 - 06/30/20	\$1,867	Application Submitted Late
38	East Bank Consolidated	Taylor, Thomas C	03/28/20 - 06/30/20	\$1,550	Application Submitted Late
39	Franklin FD	Martin, Christopher C	04/06/20 - 06/30/20	\$1,417	Application Submitted Late
40	Gonzales FD	Berthelot, Chris P Jr.	05/04/20 - 06/30/20	\$950	Application Submitted Late
41	Gonzales FD	Cunningham, Alfred T	02/29/20 - 06/30/20	\$1,000	Application Submitted Late
42	Grambling Fire	Hebert, Arthur Octave	10/01/19 - 06/30/20	\$4,500	Application Submitted Late
43	Grambling Fire	Jackson, Roxanne	02/11/19 - 06/30/19	\$2,333	Application Submitted Late
44	Grand Caillou Fire	Turnage, Michael	04/19/19 - 06/30/19	\$1,200	Application Submitted Late
45	Grand Isle Fire	Blanchard, Joby	05/22/19 - 06/30/19	\$650	Application Submitted Late
46	Hammond Fire	Tregre, Louie J III	05/04/20 - 06/30/20	\$950	Application Submitted Late
47	Husser VFD	Spears, Bennie W	04/06/20 - 06/30/20	\$1,417	Application Submitted Late
48	Kenner FD	Hydell, Jared W	02/11/20 - 06/30/20	\$2,333	Application Submitted Late
49	Kenner FD	Trascher, Shannon L.	06/09/20 - 06/30/20	\$367	Application Submitted Late
50	Lafourche Parish FD#3	Galliano, Jude	05/27/18 - 07/06/18	\$667	Application Submitted Late
51	Marrero Estelle FD	Templet, Glenn, Jr.	04/06/20 - -06/30/20	\$1,417	Application Submitted Late
52	Marrero Harvey FD	Campange, Dale, Jr.	06/12/20 - 06/30/20	\$317	Application Submitted Late
53	Natalbany FD	Tenhundfeld, Jay Edward	04/01/20 - 06/30/20	\$1,500	Application Submitted Late
54	Natchitoches Fire	Fairchild, James	03/02/19 - 06/30/19	\$1,983	Application Submitted Late
55	New Iberia FD	Bergeron, Jacob K	06/28/20 - 06/30/20	\$50	Application Submitted Late
56	New Iberia FD	Monte, Chance J	04/30/20 - 06/30/20	\$1,017	Application Submitted Late
57	New Iberia FD	Wilson, David B	05/02/20 - 06/30/20	\$983	Application Submitted Late
58	New Orleans FD	Buckner, Timothy	03/15/20 - 06/30/20	\$1,500	Application Submitted Late
59	New Orleans FD	Charles, Herbert	03/15/20 - 06/30/20	\$1,750	Application Submitted Late
60	New Orleans FD	Eiserloh, Robert M	08/25/20 - 06/30/20	\$5,100	Application Submitted Late
61	New Orleans FD	Griffin, Noah B	03/15/20 - 06/30/20	\$1,767	Application Submitted Late
62	New Orleans FD	Koeppel, Milliam Max	03/15/20 - 06/30/20	\$1,767	Application Submitted Late
63	New Orleans FD	Lewis, Robert	03/15/20 - 06/30/20	\$1,767	Application Submitted Late
64	Paradis VFD	Allshouse, Noah B	04/22/20 - 06/30/20	\$1,150	Application Submitted Late
65	Plaquemine Parish FD	Dauterive, Daniel J Jr.	03/11/20 - 06/30/20	\$1,833	Application Submitted Late
66	Rapides Parish #7	Jordan, Clay Michael	03/01/20 - 06/30/20	\$2,000	Application Submitted Late
67	Rapides Parish Fire #4	Helms, Forest	03/16/19 - 06/30/19	\$1,750	Application Submitted Late
68	Schriever FPD	Bourgeois, Christopher	03/01/20 - 06/30/20	\$2,000	Application Submitted Late
69	Shreveport Fire	Joiner, Louie	02/01/19 - 06/30/19	\$2,500	Application Submitted Late

No.	DEPARTMENT	EMPLOYEE NAME	DATES OWED	AMOUNT	REASON
70	South Bossier #2	Henry, Jessie Andre	05/20/20 - 06/30/20	\$683	Application Submitted Late
71	South Bossier #2	Owers, James Todd	05/20/20 - 06/30/20	\$683	Application Submitted Late
72	St. George FD	Barksdale, Derek	08/20/18 - 06/30/19	\$5,183	Application Submitted Late
73	St. George FD	Clements, Michael G Jr.	03/06/20 - 06/30/20	\$1,917	Application Submitted Late
74	St. George FD	Pifer, Micah Jay	06/19/20 - 06/30/20	\$200	Application Submitted Late
75	St. George FD	Richard, Brian	05/26/19 - 06/30/19	\$583	Application Submitted Late
76	St. Tammany #07	Pyke, Richard	02/29/20 - 06/30/20	\$1,033	Application Submitted Late
77	St. Tammany #11	Holland, Michael W	12/02/19 - 06/30/20	\$3,483	Application Submitted Late
78	St. Tammany #12	Alford, Brandon	06/06/20 - 06/30/20	\$417	Application Submitted Late
79	St. Tammany #12	Gnau, Michael Patrick Jr.	06/06/20 - 06/30/20	\$417	Application Submitted Late
80	St. Tammany #12	Markey, Jace Philip	06/06/20 - 06/30/20	\$417	Application Submitted Late
81	St. Tammany #12	Rebouche, Nicholas A	06/06/20 - 06/30/20	\$417	Application Submitted Late
82	St. Tammany #12	Trombatore, Justin M	06/06/20 - 06/30/20	\$417	Application Submitted Late
83	St. Tammany #3	Marx, Joshua P	05/30/20 - 06/30/20	\$517	Application Submitted Late
84	St. Tammany #4	Blaise, Mitchell	04/03/19 - 06/30/19	\$1,467	Application Submitted Late
85	St. Tammany #4	Caro, Cameron	04/03/19 - 06/30/19	\$1,467	Application Submitted Late
86	St. Tammany #4	Greenwood, Joshua	04/03/19 - 06/30/19	\$1,467	Application Submitted Late
87	St. Tammany #4	Gucheraux, Alexander	04/03/19 - 06/30/19	\$1,467	Application Submitted Late
88	St. Tammany #4	Hatty, Nicholas	04/03/19 - 06/30/19	\$1,467	Application Submitted Late
89	St. Tammany #4	Hennessey, Ross	04/03/19 - 06/30/19	\$1,467	Application Submitted Late
90	St. Tammany #4	Kaufman, Blaine	04/03/19 - 06/30/19	\$1,467	Application Submitted Late
91	St. Tammany #4	Mornay, Mario	04/03/19 - 06/30/19	\$1,467	Application Submitted Late
92	St. Tammany #4	Pitre, Axle	04/03/19 - 06/30/19	\$1,467	Application Submitted Late
93	St. Tammany #4	Rizzuto, Blake	04/03/19 - 06/30/19	\$1,467	Application Submitted Late
94	St. Tammany #8	Salleen, Aaron M	04/05/20 - 06/30/20	\$1,433	Application Submitted Late
95	Tangipahoa Parish FD #1	Jenkins, Aaron	06/16/19 - 06/30/19	\$250	Application Submitted Late
96	Tangipahoa Parish FD #1	Newman, Kolby Steven	03/19/20 - 06/30/20	\$1,700	Application Submitted Late
97	Terrytown FD	Monahan, Preston J	06/21/20 - 06/30/20	\$167	Application Submitted Late
98	Ward 1 FD 1	Dally, Dustin J	12/02/19 - 06/30/20	\$3,483	Application Submitted Late
99	Wilmer FD	Brown, Steven	03/04/20 - 06/30/20	\$1,950	Application Submitted Late
100	Zachary	Noel, Gregory	06/19-19-06/30/20	\$4,750	Application Submitted Late
Grand Total				\$134,624	



JOHN BEL EDWARDS
GOVERNOR

KEVIN W. REEVES, COLONEL
DEPUTY SECRETARY

State of Louisiana
Department of Public Safety and Corrections
Public Safety Services

October 28, 2020
DPS-02-02005-JS

Honorable Mack "Bodi" White, Chairman
Joint Legislative Committee on the Budget
Post Office Box 44294
Baton Rouge, Louisiana 70804

Dear Honorable White:

Attached is a spreadsheet detailing 18 requests for back pay for Municipal Police Supplemental Pay for the University of New Orleans Police Department which have been approved by the Board of Review as per Act 110 of 1982. Please place this item on the agenda for the next meeting.

Total requested prior year funds: \$229,350.

Public Safety Services projects sufficient funds available in the current fiscal year budget to fund these Act 110 requests for back pay.

If any additional documentation or information is needed, please feel free to contact the Undersecretary's Office at (225) 925-6032.

Sincerely,

A handwritten signature in blue ink, appearing to read "J. Starnes".

Lt. Colonel Jason Starnes
Chief Administrative Officer

Attachment

CC: Chief Bry Layrisson, Chairman, MPO Board of Review
Candace Oby, Public Safety Services, MPO Board Member
Ternisa Hutchinson, Division of Administration, MPO Board Member
Paul Schexnayder, Legal Affairs

COURTESY • LOYALTY • SERVICE
"An Equal Opportunity Employer"
P.O. BOX 66614, BATON ROUGE, LOUISIANA 70896

UNO Police Applications Act 110 **MOU Effective 11/01/2017**

	RECEIVED	TOWN	EMPLOYEE NAME	EFFECTIVE DATES		ACT 110	REASON
1	8/11/20	UNO Police	Aiola III, Vincent	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
2	8/11/20	UNO Police	Coleman Jr., Leonard N	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
3	8/11/20	UNO Police	Cotton, Benjamin Richard	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
4	8/11/20	UNO Police	Dent, Emannuel Jerome	10/23/18	06/30/20	\$ 10,117	MOU Effective 11/01/2017
5	8/11/20	UNO Police	Fisher, Herbert A	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
6	8/11/20	UNO Police	Franks, Anthony	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
8	8/11/20	UNO Police	Gueringer, Henry A	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
9	8/11/20	UNO Police	Harrington, Thomas R	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
10	8/11/20	UNO Police	Hebert, Joseph E	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
11	8/11/20	UNO Police	Hingle, Lawrence M	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
12	8/11/20	UNO Police	Ireland, Matthew Joseph	02/20/18	06/30/20	\$ 7,583	MOU Effective 11/01/2017
13	8/11/20	UNO Police	Padilla, Adalberto	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
15	8/11/20	UNO Police	Rojas, Jesus M	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
16	8/11/20	UNO Police	Rondeno, Joshua Thomas	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
17	8/11/20	UNO Police	Saephan, Rick James	11/21/19	06/30/20	\$ 3,650	MOU Effective 11/01/2017
18	8/11/20	UNO Police	Winsey, Juan S	11/01/17	06/30/20	\$ 16,000	MOU Effective 11/01/2017
						\$ 229,350	
	MOU Date 11/01/2017						
	AG Opinion Date 02/06/2020						

STATE OF LOUISIANA
PARISH OF EAST BATON ROUGE

AMENDMENT NUMBER 3

Software Maintenance Agreement for the Office of Technology Services on behalf of the Louisiana Department of Children and Family Services

Be it known that on the date of approval shown below, the Louisiana Division of Administration, Office of Technology Services (hereinafter sometimes referred to as "State" or "Licensee") on behalf of the Louisiana Department of Children and Family Services and Northwoods Consulting Partners, Inc. with offices at 5815 Wall Street, Dublin, Ohio 43017 (hereinafter sometimes referred to as "Contractor" or "Northwoods") do hereby enter into Amendment Number 3 to the Software Maintenance Agreement under the following terms and conditions through the undersigned and duly authorized representatives of each respective party.

Change to Term of Agreement

Agreement term changed to read: "The term of this Agreement begins on November 28, 2017 and will expire on November 27, 2021, unless terminated in accordance with the termination clauses in this Agreement. At the option of the State of Louisiana and acceptance of the contractor, this Agreement may be extended for one (1) additional twelve (12) month period at the same terms and conditions.

Prior to the extension of the Agreement beyond the twelve (12) month term, prior approval by the Joint Legislative Committee on the Budget ("JLCB") or other approval authorized by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the Agreement amendment to the Office of State Procurement ("OSP") to extend the Agreement terms beyond the twelve (12) month term. Total agreement time may not exceed sixty (60) months.

All other terms and conditions of the Agreement remain the same. The original agreement and all amendments constitute the entire Agreement between the State and the Contractor. Any other oral or written communications between the parties before or after its execution shall not alter its effects, unless the change or modification is in writing and signed by authorized representatives of the State and the Contractor. In the event of a conflict between the terms and conditions of the Agreement and this Amendment, the terms and conditions of this Amendment shall prevail.

IN WITNESS WHEREOF, the parties have executed this Amendment Number 3 as of the approval date shown below.

Northwoods Consulting Partners Inc.

By: _____
Contractor Signature

Title: _____

Date: _____

Department of Children and Family Services

By: _____
State Agency Signature

Title: _____

Date: _____

LA Division of Administration

By: _____
State Agency Signature

Title: _____

Date: _____

STATE OF LOUISIANA
PARISH OF EAST BATON ROUGE

AMENDMENT NUMBER 2

Software Maintenance Agreement for the Office of Technology Services on behalf of the Louisiana Department of Children and Family Services

Be it known that on the date of approval shown below, the Louisiana Division of Administration, Office of Technology Services (hereinafter sometimes referred to as "State" or "Licensee") on behalf of the Louisiana Department of Children and Family Services and Northwoods Consulting Partners, Inc. with offices at 5815 Wall Street, Dublin, Ohio 43017 (hereinafter sometimes referred to as "Contractor" or "Northwoods") do hereby enter into Amendment Number 2 to the Software Maintenance Agreement under the following terms and conditions through the undersigned and duly authorized representatives of each respective party.

Change to Term of Agreement

Agreement term changed to read: "The term of this Agreement begins on November 28, 2017 and will expire on November 27, 2020, unless terminated in accordance with the termination clauses in this Agreement. At the option of the State of Louisiana and acceptance of the contractor, this Agreement may be extended for two (2) additional twelve (12) month periods at the same terms and conditions.

Prior to the extension of the Agreement beyond the thirty-six (36) month term, prior approval by the Joint Legislative Committee on the Budget ("JLCB") or other approval authorized by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the Agreement amendment to the Office of State Procurement ("OSP") to extend the Agreement terms beyond the thirty-six (36) month term. Total agreement time may not exceed sixty (60) months.

All other terms and conditions of the Agreement remain the same. The original agreement and all amendments constitute the entire Agreement between the State and the Contractor. Any other oral or written communications between the parties before or after its execution shall not alter its effects, unless the change or modification is in writing and signed by authorized representatives of the State and the Contractor. In the event of a conflict between the terms and conditions of the Agreement and this Amendment, the terms and conditions of this Amendment shall prevail.

IN WITNESS WHEREOF, the parties have executed this Amendment Number 2 as of the approval date shown below.

Northwoods Consulting Partners Inc.

By: _____
Contractor Signature

Title: _____

Date: _____

Department of Children and Family Services

By: _____
State Agency Signature

Title: _____

Date: _____

LA Division of Administration

By: _____
State Agency Signature

Title: _____

Date: _____

STATE OF LOUISIANA
PARISH OF EAST BATON ROUGE

AMENDMENT NUMBER 1

Software Maintenance Agreement for the Office of Technology Services on behalf of the Louisiana Department of Children and Family Services

Be it known that on the date of approval shown below the Louisiana Division of Administration, Office of Technology Services (hereinafter sometimes referred to as "State" or "Licensee") on behalf of the Louisiana Department of Children and Family Services and Northwoods Consulting Partners, Inc. with offices at 5815 Wall Street, Dublin, Ohio 43017 (hereinafter sometimes referred to as "Contractor" or "Northwoods") do hereby enter into Amendment Number 1 to the agreement for Software Maintenance under the following terms and conditions through the undersigned and duly authorized representatives of each respective party.

Change to Term of Agreement

Agreement term changed to read: "The term of this Agreement begins on November 28, 2017 and will expire on November 27, 2019, unless terminated in accordance with the termination clauses in this Agreement. At the option of the State of Louisiana and acceptance of the contractor, this Agreement may be extended for three (3) additional twelve (12) month periods at the same terms and conditions.

Prior to the extension of the Agreement beyond the thirty-six (36) month term, prior approval by the Joint Legislative Committee on the Budget ("JLCB") or other approval authorized by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the Agreement amendment to the Office of State Procurement ("OSP") to extend the Agreement terms beyond the thirty-six (36) month term. Total agreement time may not exceed sixty (60) months.

All other terms and conditions of the Agreement remain the same. The original agreement and all amendments constitute the entire Agreement between the State and the Contractor. Any other oral or written communications between the parties before or after its execution shall not alter its effects, unless the change or modification is in writing and signed by authorized representatives of the State and the Contractor.

Contract Amendment 1
PST Log 12 014

IN WITNESS WHEREOF, the parties have executed this Amendment Number 1 as of the approval date shown below.

Northwoods Consulting Partners, Inc.

CONTRACTOR'S SIGNATURE:

By: _____

As:

Date:

Title:

Date:

DEPARTMENT OF CHILDREN AND FAMILY SERVICES

STATE

STATE AGENCY SIGNATURE:

By: _____

AGENCY SIGNATURE:

Title: _____

Date: _____

DIVISION OF ADMINISTRATION

STATE AGENCY SIGNATURE:

By: _____

Title: _____

Date: _____

APPROVED

DIVISION OF ADMINISTRATION

OFFICE OF STATE PROCUREMENT

LOG NO: 18 12 014

DATE: December 14, 2018

BY: Felicia M. Sommer
for STATE DIRECTOR OF PROCUREMENT

OFFICE

OF STATE CUREMENT

SOFTWARE MAINTENANCE AGREEMENT

This Software Maintenance Agreement ("Agreement") is entered into as of December 20, 2017 ("Effective Day") by and between Northwoods Consulting Partners, Inc. ("Contractor" or "Northwoods"), with offices at 5815 Wall Street, Dublin, Ohio 43017 and The Division of Administration, Office of Technology Services ("State" or "Licensee") with an address at 1201 North Third Street, Baton Rouge, LA 70802 on behalf of the Louisiana Department of Children and Family Services.

1. TERM

The term of this Agreement begins on November 28, 2017 and will expire on November 27, 2018, unless terminated in accordance with the termination clauses in this Agreement, with up to four (4) optional one-year renewals. Any renewal term shall be mutually agreed to by the parties in writing.

2. APPLICABLE LAW

This Agreement shall be governed by and interpreted in accordance with the laws of the State of Louisiana. Venue of any action brought with regard to this Agreement shall be in the Nineteenth Judicial District Court, parish of East Baton Rouge, State of Louisiana.

3. GRANT OF LICENSE

No software is licensed under this Agreement. Licensee has licensed the Covered Software pursuant to the terms of a Software License Agreement with Hyland Software, Inc. (as the same may be amended or modified from time to time, hereinafter referred to as the "EULA").

4. OWNERSHIP OF SOFTWARE

Ownership of software provided under this Agreement shall be in accordance with the EULA.

5. COPIES

The number of copies for software provided under this Agreement shall be in accordance with the EULA.

6. SUPPORT PLAN

Northwoods shall provide Tier 2 remote support services as described in Exhibit A for the Covered Software and the delivery of generally released upgrades and enhancements with respect to such software from Northwoods.

Northwoods shall have executed with Hyland Software Inc. an agreement ("Contractor Use Agreement") which allows Northwoods to: (1) make use of the Covered Software configuration tools, Covered Software administrative tools or any of the Covered Software's application

programming interfaces ("APIs"); (2) make use of any training materials or attend any training courses, either online or in person, in either case related to the Covered Software; or (3) access any of Hyland's secure websites (including, but not limited to, users.onbase.com, teamonbase.com, training.onbase.com, demo.onbase.com, and Hyland.com/Community).

7. INDEMNIFICATION AND LIMITATION OF LIABILITY

Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under this Agreement.

Contractor shall be fully liable for the actions of its agents, employees, partners or subcontractors and shall fully indemnify and hold harmless the State from suits, actions, damages and costs of every name and description relating to personal injury and damage to real or personal tangible property caused by Contractor, its agents, employees, partners or subcontractors in the performance of this contract, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of the State.

Contractor will indemnify, defend and hold the State harmless, *without limitation*, from and against any and all damages, expenses (including reasonable attorneys' fees), claims judgments, liabilities and costs which may be finally assessed against the State in any action for infringement of a United States Letter Patent with respect to the Products, Materials or Services furnished, or of any copyright, trademark, trade secret or intellectual property right, provided that the State shall give the Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit, (ii) the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense, and (iii) assistance in the defense of any such action at the expense of Contractor. Where a dispute or claim arises relative to a real or anticipated infringement, the State may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as the Commissioner of Administration shall require.

The Contractor shall not be obligated to indemnify that portion of a claim or dispute based upon: i) State's unauthorized modification or alteration of a Product, Material or Service; ii) State's use of the Service in combination with other products, materials, or services not furnished by Contractor; iii) State's use in other than the specified operating conditions and environment.

In addition to the foregoing, if the use of any item(s) or part(s) thereof shall be enjoined for any reason or if Contractor believes that it may be enjoined, Contractor shall have the right, at its own expense and sole discretion as the state's exclusive remedy to take action in the following order of precedence: (i) to procure for the State the right to continue using such item(s) or part (s) thereof, as applicable; (ii) to modify the component so that it becomes non-infringing equipment of at least equal quality and performance; or (iii) to replace said item(s) or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, or (iv) if none of the foregoing is commercially reasonable, then provide monetary compensation to the State up to the dollar amount of the Contract.

For all other claims against the Contractor where liability is not otherwise set forth in the Agreement as being without limitation, and regardless of the basis on which the claim is made, Contractor's liability **for direct damages, shall be the greater of \$100,000, the dollar amount of the Contract, or two (2) times the charges for services rendered by the Contractor under the Contract.** Unless otherwise specifically enumerated herein mutually agreed between the parties, neither party shall be liable to the other for special, indirect or consequential damages, including lost data or records (unless the Contractor is required to back-up the data or records as part of the work plan), even if the party has been advised of the possibility of such damages. Neither party shall be liable for lost profits, lost revenue or lost institutional operating savings.

The State may, in addition to other remedies available to them at law or equity and upon notice to the Contractor, retain such monies from amounts due Contractor, or may proceed against the performance and payment bond, if any, as may be necessary to satisfy any claim for damages, penalties, costs and the like asserted by or against them.

8. CODE OF ETHICS

The contractor acknowledges that Chapter 15 of Title 42 of the Louisiana Revised Statutes (La. R.S. 42:1101 et. seq., Code of Governmental Ethics) applies to the Contracting Party in the performance of services called for in this Agreement. The Contractor agrees to immediately notify the state if potential violations of the Code of Governmental Ethics arise at any time during the term of this Agreement.

9. WAIVER

Waiver of any breach of any term or condition of this Agreement shall not be deemed a waiver of any prior or subsequent breach. No term or condition of this Agreement shall be held to be waived, modified or deleted except by the written consent of both parties

10. HEADINGS

Descriptive headings in this Agreement are for convenience only and shall not affect the construction of this Agreement or meaning of contractual language.

11. ASSIGNMENT

No contractor shall assign any interest in this Agreement by assignment, transfer, or novation, without prior written consent of the State. This provision shall not be construed to prohibit the contractor from assigning his bank, trust company, or other financial institution any money due or to become due from approved contracts without such prior written consent. Notice of any such assignment or transfer shall be furnished promptly to the State.

12. CONTRACT CONTROVERSIES

Any claim or controversy arising out of this Agreement shall be resolved by the provisions of Louisiana Revised Statute 39:1671-1673.

13. RIGHT TO AUDIT

The State Legislative auditor, federal auditors and internal auditors of the State, or others so designated by the State, shall have the option to audit all accounts directly pertaining to this Agreement for a period of five (5) years from the date of final payment or as required by applicable State and Federal Law. Records shall be made available during normal working hours for this purpose.

14. RECORD RETENTION

The Contractor shall maintain all records in relation to this contract for a period of at least five (5) years after final payment.

15. CONTRACT MODIFICATIONS

No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required by law. No oral understanding or agreement not incorporated in this Agreement is binding on any of the parties.

16. SEVERABILITY

If any term or condition of this Agreement, or the application thereof, is held invalid, such invalidity shall not affect other terms, conditions or applications which can be given effect without the invalid term, condition or application; to this end the terms and conditions of this Agreement are severable.

17. TERMINATION

- a. The State of Louisiana has the right to terminate this Agreement immediately for any of the following reasons: (a) misrepresentation by the Contractor; (b) Contractor's fraud, collusion, conspiracy or other unlawful means of obtaining any Agreement with the State of Louisiana; (c) conflict of Agreement provisions with constitutional or statutory provisions of State or Federal Law; (d) abusive or belligerent conduct by the Contractor towards an employee or agent of the State; (e) Contractor's intentional violation of the Louisiana Procurement Code (La. R.S. 39:1551 et seq.) and its corresponding regulations; or, (f) any listed reason for debarment under La. R.S. 39:1672.
- b. *Automatic.* This Agreement shall terminate automatically, without any other or further action on the part of either of the parties, immediately upon any termination of the EULA.
- c. *Non-Renewal.* Licensee may elect not to renew this Agreement at the end of the then-current term of this Agreement by written notice to Northwoods on or prior to the date

payment is due of Northwoods' invoice for annual support fees for the next succeeding renewal term of this Agreement.

d. *Effect of Termination.*

1) *Payments.* Notwithstanding any termination of this Agreement, Licensee shall be obligated to pay Northwoods for (A) all Support Services provided on a firm, fixed fee basis in accordance with this Agreement at any time on or prior to the effective date of termination; and (B) all annual support fees due with respect to any period commencing prior to the effective date of termination. All such payments shall be made in accordance with Section 4 of this Exhibit A, which shall survive any such termination for these purposes.

2) *Reinstatement of Agreement.* In the event of the termination of this Agreement by Licensee under Section 17(c) (Non-Renewal), Licensee may at any time after the effective date of such termination elect to reinstate this Agreement in accordance with this Section 17(d)(2). To obtain reinstatement, Licensee shall deliver written notice to such effect to Northwoods, together with payment in full of: (A) annual support fees, based upon Northwoods' Annual Support Fee Schedule in effect as of the time of such reinstatement, for all periods that have elapsed from the effective date of such termination through the effective date of such reinstatement; and (B) an amount equal to one hundred ten percent (110%) of the annual support fee, based upon Northwoods' Annual Support Fee Schedule in effect as of the time of such reinstatement, for the renewal term of this Agreement commencing on the effective date of such reinstatement. Any reinstatement under this Section 17(d)(2) shall be effective as of the first business day after Northwoods has received the notice of reinstatement and all payments required to be made hereunder in connection with such reinstatement. The renewal term commencing with the effective date of this Agreement shall be for a period ending on the first annual anniversary of such effective date; and thereafter the term of this Agreement shall be renewed: (i) at the end of such first renewal term, for a period of one year; and (ii) thereafter, annually on a year by year basis.

EXCEPT AS EXPRESSLY PROVIDED BY THIS SECTION 17(d)(2), LICENSEE SHALL HAVE NO RIGHT TO REINSTATE THIS AGREEMENT FOLLOWING THE TERMINATION THEREOF FOR ANY REASON.

18. TERMINATION FOR CONVENIENCE

The State of Louisiana may terminate this Agreement for convenience at any time (1) by giving thirty (30) days written notice to the Contractor of such termination; or (2) by negotiating with the Contractor an effective date. The State shall pay the Contractor for, if applicable: (a) deliverables in progress; (b) the percentage that has been completed satisfactorily; and, (c) for transaction-based services up to the date of termination, to the extent work has been performed satisfactorily.

19. TERMINATION FOR CAUSE

The State may terminate this Agreement for cause based upon the failure of Contractor to comply with the terms and/or conditions of the Agreement provided that the State shall give the Contractor

written notice specifying the Contractor's failure. If within thirty (30) days after receipt of such notice, the Contractor shall not have corrected such failure or, in the case of failure which cannot be corrected in thirty (30) days, begun in good faith to correct such failure and thereafter proceeded diligently to complete such correction, then the State may, at its option, place the Contractor in default and the Agreement shall terminate on the date specified in such notice.

The Contractor may exercise any rights available to it under Louisiana law to terminate for cause upon the failure of the State to comply with the terms and conditions of this Agreement, provided that the Contractor shall give the State written notice specifying the State's failure and a reasonable opportunity for the State to cure the defect.

20. TERMINATION FOR NON-APPROPRIATION OF FUNDS

The continuation of this Agreement is contingent upon the appropriation of funds by the legislature to fulfill the requirements of this Agreement. If the legislature fails to appropriate sufficient monies to provide for the continuation of the Agreement, or if such appropriation is reduced by the veto of the Governor or by any means provided in the appropriations act or Title 39 of the Louisiana Revised Statutes of 1950 to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the Agreement, the Agreement shall terminate on the date of the beginning of the first fiscal year for which funds have not been appropriated.

21. SECURITY

Contractor's personnel will comply with all security regulations in effect at the State's premises, and externally for materials and property belonging to the State or to the project. Where special security precautions are warranted (e.g., correctional facilities), the State shall provide such procedures to the Contractor, accordingly. Contractor is responsible for promptly reporting to the State any known breach of security.

22. CONFIDENTIALITY

The following provision will apply unless the State Agency specifically indicates that all information exchanged will be non-confidential:

All financial, statistical, personal, technical and other data and information relating to the State's operations which are designated confidential by the State and made available to the Contractor in order to carry out this Agreement or which becomes available to the Contractor in carrying out this Agreement, shall be protected by the Contractor from unauthorized use and disclosure through the observance of the same or more effective procedural requirements as are applicable to the State. The identification of all such confidential data and information as well as the State's procedural requirements for protection of such data and information from unauthorized use and disclosure shall be provided by the State in writing to the Contractor. If the methods and procedures employed by the Contractor for the protection of the Contractor's data and information are deemed by the State to be adequate for the protection of the State's confidential information, such methods and procedures may be used, with the written consent of the State, to carry out the intent of this

paragraph. The Contractor shall not be required under the provisions of the paragraph to keep confidential any data or information which is or becomes publicly available, is already rightfully in the Contractor's possession, is independently developed by the Contractor outside the scope of the Agreement, or is rightfully obtained from third parties.

23. COMPLIANCE WITH CIVIL RIGHTS LAWS

The Contractor agrees to abide by the requirements of the following as applicable: Title VI and Title VII of the Civil Rights Act of 1964, as amended by the Equal Employment Opportunity Act of 1972, Federal Executive Order 11246 as amended, the Federal Rehabilitation Act of 1973 as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Discrimination Act of 1975, the Fair Housing Act of 1968 as amended, and Contractor agrees to abide by the requirements of the Americans with Disabilities Act of 1990.

Contractor agrees not to discriminate in its employment practices, and will render services under this Agreement without regard to race, color, religion, sex, sexual orientation, national origin, veteran status, political affiliation, disability or age in any matter relating to employment. Any act of discrimination committed by Contractor, or failure to comply with these statutory obligations when applicable shall be grounds for termination of this Agreement.

24. PAYMENT

The State shall pay Contractor in accordance with the Pricing Schedule set forth in Exhibit A to this Agreement. The Contractor may invoice the State at the billing address designated by the State. Payments will be made by the State within approximately thirty (30) days after receipt of a properly executed invoice, and approval by the State. Invoices shall include the contract and order number, using department and product purchased. Invoices submitted without the referenced documentation will not be approved for payment until the required information is provided.

25. TAXES

Any taxes, other than State and local sales and use taxes from which the State is exempt, shall be assumed to be included within the total cost shown in Exhibit A.

26. LATE PAYMENTS

Interest due by a State agency for late payments shall be in accordance with La. R.S. 39:1695 at the rates established in La. R.S. 13:4202.

27. FUND USE

Contractor agrees not to use contract proceeds to urge any elector to vote for or against any candidate or proposition on an election ballot nor shall such funds be used to lobby for or against any proposition or matter having the effect of law being considered by the Louisiana Legislature

or any local governing authority. This provision shall not prevent the normal dissemination of factual information relative to a proposition on any election ballot or a proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority.

28. USE OF AGENCY'S FACILITIES

Any property of the State furnished to the Contractor shall be used only for the performance of this Agreement.

The Contractor shall be responsible for any loss or damage to property of the State and/or State Agency which results from willful misconduct or lack of good faith on the part of the Contractor or which results from the failure on the part of the Contractor to maintain and administer that property in accordance with sound management practices, to ensure that the property will be returned to the State and/or State Agency in like condition, except for normal wear and tear, to that in which it was furnished to the Contractor. Upon the happening of loss, or destruction of, or damage to property of the State, the Contractor shall notify the State thereof and shall take all reasonable steps to protect that property from further damage.

The Contractor shall surrender to the State Agency all property of the State Agency prior to settlement upon completion, termination, or cancellation of this Agreement. All reference to the Contractor under this section shall include any of its employees, agents, or subcontractors.

29. OWNERSHIP

All records, reports, documents, or other material related to this Agreement and/or obtained or prepared by Contractor in connection with the performance of the services contracted for herein shall become the property of State, and shall, upon request, be returned by Contractor to State, at Contractor's expense, at termination or expiration of this Agreement.

All records, reports, documents and other material delivered or transmitted to Contractor by the State shall remain the property of the State, and shall be returned by Contractor to the State at Contractor's expense, at termination or expiration of this Agreement.

30. WARRANTIES

Northwoods warrants that the Support Services shall be performed in a good and workmanlike manner and substantially according to industry standards. In order to assert any claim that any Support Services fail to conform to this limited warranty, Licensee must notify Northwoods in writing of such claim within thirty (30) days after the date the alleged non-conforming Services are completed. If, after such timely notice from Licensee, the Support Services in question are determined not to conform to this limited warranty, Northwoods' sole obligation, and Licensee's sole remedy, shall be for Northwoods to use commercially reasonable efforts to re-perform the nonconforming Support Services in an attempt to correct the nonconformity. If Northwoods is unable to correct such nonconformity after a reasonable period of time, Licensee's sole and exclusive remedy shall be termination of this Agreement in accordance with Section 19. This warranty specifically excludes non-performance issues caused as a result of incorrect data or

incorrect procedures used or provided by Licensee or a third party, or failure of Licensee to perform and fulfill its obligations under this Agreement or the EULA.

No Warranty of Patches, Upgrades and Fixes. The EULA shall govern any limited warranty or disclaimer relating to Patches, Upgrades and Fixes of the Covered Software provided to Licensee under this Agreement, and no warranty is given under this Agreement with respect to Patches, Upgrades and Fixes.

No Surreptitious Code Warranty. Contractor warrants that Contractor will make all commercially reasonable efforts not to include any Unauthorized Code in any software provided hereunder. "Unauthorized Code" means any virus, Trojan horse, worm or other software routine or component designed to permit unauthorized access to disable, erase, or otherwise harm software, equipment, or data, or to perform any other such actions. Excluded from this prohibition are identified and State-authorized features designed for purposes of maintenance or technical support.

31. WARRANTY OF PERFORMANCE

The warranty for the performance of any software provided under this Agreement shall be in accordance with the EULA.

EXTENT OF WARRANTY:

THESE WARRANTIES REPLACE ALL OTHER WARRANTIES, EXPRESS OR IMPLIED, INCLUDING THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE.

32. CONTRACTOR'S CERTIFICATION OF NO FEDERAL SUSPENSION OR DEBARMENT

Contractor has a continuing obligation to disclose any suspensions or debarment by any government entity, including but not limited to General Services Administration (GSA). Failure to disclose may constitute grounds for suspension and/or termination of this Agreement and debarment from future agreements.

33. CONTRACTOR'S COOPERATION/CLOSE-OUT

The Contractor has the duty to fully cooperate with the State and provide any and all requested information, documentation, etc. to the State when requested. This applies even if this Agreement is terminated and/or a lawsuit is filed. Specifically, the Contractor shall not limit or impede the State's right to audit or to withhold State owned documents.

34. NOTICES

Unless otherwise agreed to by the parties in a writing signed by both parties, all notices required under this Agreement shall be deemed effective: (a) when sent and made in writing by either (1)(A) registered mail, (B) certified mail, return receipt requested, or (C) overnight courier, in any such case addressed and sent to the address set forth herein and to the attention of the person

executing this Agreement on behalf of that party or that person's successor, or to such other address or such other person as the party entitled to receive such notice shall have notified the party sending such notice of; or (2) facsimile transmission appropriately directed to the attention of the person identified as the appropriate recipient and at the appropriate address under (a)(1) above, with a copy following by one of the other methods of notice under (a)(1) above; or (b) when personally delivered and made in writing to the person and address identified as appropriate under (a)(1) above.

35. INDEPENDENT CONTRACTOR

The parties acknowledge that Northwoods is an independent contractor and that it will be responsible for its obligations as employer for those individuals providing the Support Services.

36. EXPORT

Licensee agrees to comply fully with all relevant regulations of the U.S. Department of Commerce and all U.S. export control laws, including but not limited to the U.S. Export Administration Act, to assure that the Patches, Upgrades and Fixes are not exported in violation of United States law.

37. COMPLETE AGREEMENT:

This is the complete Agreement between the parties with respect to the subject matter and all prior discussions and negotiations are merged into this contract. This Agreement is entered into with neither party relying on any statement or representation made by the other party not embodied in this Agreement and there are no other agreements or understanding changing or modifying the terms. This Agreement shall become effective upon final statutory approval.

IN WITNESS WHEREOF, the parties execute this Agreement as of the Effective Date. Each person who signs this Agreement below represents that such person is fully authorized to sign this Agreement on behalf of the applicable party

Accepted By:

Northwoods Consulting Partners, Inc.



Signature

Christopher T. Carlson

Name

EVP/COO

Title

December 12, 2017

Date

Accepted By:

Department of Children and Family Services



Signature

Eric Horenk

Name

Undersecretary

Title

12-12-17

Date

Accepted By:

Office of Technology Services



Signature

Randy Davis

Name

Assistant Commissioner

Title

12-12-17

Date

APPROVED
DIVISION OF ADMINISTRATION
OFFICE OF STATE PROCUREMENT

LOG NO: 18-12-014

DATE: December 20, 2017

BY: Felicia M. Sommer

for STATE DIRECTOR OF PROCUREMENT

EXHIBIT A

Software Maintenance for the Enterprise OnBase Document Management System and support of the custom integrations with CAFÉ and ancillary systems.

1. DEFINED TERMS. The following terms shall have the meanings set forth below for all purposes of this Agreement:

- a. "Additional Environment" means any environment, in excess of the Covered Environments (defined below), for which Licensee elects to pay for additional support.
- b. "Consumable Items" includes all materials that can be used up and must be replenished. Examples of Consumable Items include, but are not limited to: printer, toner, scanner lamps, rollers, glass, cleaning sheets, data tapes, CDs, DVDs, etc.
- c. "Covered Environments" means one (1) production environment, plus one (1) test environment.
- d. "Covered Hardware" is defined as hardware (1) purchased through Northwoods, as detailed on Northwoods' invoices that Licensee has properly paid, and (2) installed and configured by Northwoods.
- e. "Covered Software" means (1) the current released version of any Commercial Off-The-Shelf ("COTS") Northwoods' proprietary software licensed by Licensee from Northwoods under a EULA, as detailed on Northwoods' invoices that the Licensee has properly paid; (2) at any time after Northwoods has delivered to Licensee a new version of such computer software as a Patch, Upgrade and/or Fix under this Agreement, the released version of such computer software last released prior to the current released version; and (3) the current released version of the COTS Document Management Software ("Covered DMS Software") of which Northwoods is a Reseller that is (a) licensed by Licensee through Northwoods, as detailed on Northwoods' invoices that the Licensee has properly paid and (b) installed and/or configured by Northwoods.
- f. "Covered Database" or "Covered Database Software" means the database software or the index data and/or image data stored in the database that is (1) purchased through Northwoods as detailed on Northwoods' invoices that Licensee has properly paid, and (2) installed and configured by Northwoods.
- g. "Covered Operating System" (sometimes abbreviated "OS") is the software program that, after being initially loaded into the computer by a boot program, manages all the other programs in a computer and that is (1) purchased through Northwoods, as detailed on Northwoods' invoices that Licensee has properly paid, and (2) installed and configured by Northwoods.
- h. "Covered Third Party Applications" means any third party software that is (1) licensed by Licensee through Northwoods, as detailed on Northwoods' invoices that the Licensee has properly paid and (2) installed and configured by Northwoods.
- i. "Documentation" means electronic on-line material, including user manuals, provided by Northwoods for the Covered Software and that relate to the functional, operational or performance characteristics of the Covered Software.
- j. "Error" or "Problem" when used in the context of the Covered Software operation shall mean a demonstrable instance of adverse and incorrect operation of the Covered Software that impacts Licensee's ability to utilize a function of the Covered Software: (1) as provided for in the current Documentation published by Northwoods; or (2) that was available prior to the report of the Error or Problem.
- k. "EULA" is defined in the Recitals to this Agreement.
- l. "Hyland" means Hyland Software, Inc., manufacturer of OnBase software, of which Northwoods is a Reseller.
- m. "Onsite Services" are Support Services provided by Northwoods on behalf of Licensee at a Licensee-designated physical location other than the Northwoods offices or location.
- n. "Patch(es), Upgrade(s) and Fix(es)" means any and all new versions, improvements, modifications,

upgrades, updates, fixes and additions to the Covered Software that Northwoods commercially releases to its end users generally during the term of this Agreement to correct deficiencies or enhance the capabilities of the Covered Software, together with updates of the Documentation to reflect such new versions, improvements, modifications, upgrades, fixes or additions; provided, however, that the foregoing shall not include new, separate product offerings, new modules, re-platformed software, new business process consulting, workflow changes or new functionality.

- o. "Support Services" means all professional services provided under this Agreement by Northwoods, subject to the Support Level actually purchased by Licensee, as noted in the Recitals to this Agreement.
- p. "Tier 1 Support Center" means Licensee's authorized provider of Tier 1 support.

2. SUPPORT SERVICES.

a. Software Support.

1) *Tier 2 Remote Support.* Northwoods shall assist Tier 1 Support Center by providing Tier 2 support as follows: (1) in the case of any properly reported Error(s) in the Software that are confirmed by Northwoods and Hyland, in the exercise of its commercially reasonable judgment, Northwoods shall: (A) use its commercially reasonable efforts to correct such Error(s); and (B) upon the request of Tier 1 Support Center, provide the correction(s) for such Error(s) either to Tier 1 Support Center or directly to Licensee. Northwoods shall undertake to confirm any reported Error(s) promptly after receipt of proper notice from Tier 1 Support Center in accordance with Northwoods' current Error reporting procedures; and Northwoods shall perform services in an effort to correct confirmed Errors promptly after making such confirmation; (2) Northwoods will not be obligated to provide any Tier 2 Support services not covered by subparagraph 1) above directly to Licensee or any end users. After Tier 1 Support Center has used its commercially reasonable efforts to resolve any technical support issues raised by Licensee, Tier 1 Support Center may, through a contact initiated solely by a Technical Support Representative of Tier 1 Support Center, seek technical support services related to such issue(s) from Northwoods during the hours of 8:00 a.m. to 8:00 p.m., Eastern Time, Monday through Friday, excluding State holidays. Licensee acknowledges and agrees that Northwoods' provision of Tier 2 Support requires Northwoods to have direct on-line access to the Software on Licensee systems. Accordingly, Licensee shall install and maintain, at Licensee's sole cost and expense, the appropriate communications software as specified by Northwoods; and Licensee shall establish and maintain, at Licensee's sole cost and expense, an adequate or dedicated connection with Northwoods to facilitate Northwoods' on-line technical support services. Licensee agrees that no person other than a Technical Support Representative of Tier 1 Support Center shall initiate any contact with Northwoods regarding Tier 2 Support; and (3) Northwoods shall not be obligated to provide any technical support services on site at the facilities of Licensee. Should Northwoods elect, in its sole discretion, to provide any technical support services on site at any of the foregoing locations, such services will be provided only upon submission by Licensee or Tier 1 Support Center of a purchase order for such services agreeing to pay for such services on a firm, fixed fee basis.

2) *Patches, Upgrades and Fixes.* Northwoods has regular software releases and makes all releases available to its customers. Upon request, on an annual basis, Northwoods will provide to Licensee, at least one (1) Patch, Upgrade and/or Fix to the Covered Software released by Northwoods and will use its best efforts to remotely install such Patch, Upgrade, and/or Fix. To the extent the Patch, Upgrade, and/or Fix cannot be installed by Northwoods remotely, Licensee may request the necessary Onsite Services in accordance with Section 2(a)(3) below. Installation of any Patches, Upgrades, and Fixes may require Licensee to upgrade the Covered Software to the most current version. Installation of any additional Patches, Upgrades, and Fixes beyond the one (1) allotted as requested by Licensee will be available at the discretion of Northwoods. Licensee acknowledges and agrees that Northwoods has the right, at any time, to change the specifications and operating characteristics of the Covered Software, and Northwoods' policies respecting Patches, Upgrades and Fixes and the release thereof to end users. Any Patches, Upgrades and Fixes to the Covered Software and Documentation shall

remain proprietary to Northwoods and/or its suppliers, shall be the sole and exclusive property of Northwoods and/or its suppliers, and shall be subject to all of the restrictions, limitations and protections of the EULA. All applicable rights to patents, copyrights, trademarks, other intellectual property rights, applications for any of the foregoing, and/or trade secrets in the Covered Software, Documentation, and any Patches, Upgrades and Fixes are and shall remain the exclusive property of Northwoods and/or its suppliers.

3) *Onsite Services*. Upon the reasonable request of Licensee, and submission of a purchase order for such services agreeing to pay for such services on a firm, fixed fee basis at Northwoods then-current rates for such services, Northwoods may provide Onsite Services at Licensee's facilities in connection with the correction of any Error(s) involving the Covered Software that is not functioning in the Covered Environments, or any Additional Environment(s).

b. Exclusions. Northwoods is not responsible for providing, or obligated to provide, Support Services or Patches, Upgrades and Fixes under this Agreement: (i) in connection with any Errors or Problems that result in whole or in part from any alteration, revision, change, enhancement or modification of any nature of the Covered Software, including any configuration of the Covered Software that was not undertaken by or authorized in writing in advance by Northwoods; (ii) in connection with any Error if Northwoods has previously provided corrections for such Error, which correction Licensee chose not to implement; (iii) in connection with any Errors or problems that have been caused by defects, alterations, revisions, changes, enhancements or modifications in the database, operating system, third party software (other than third party software bundled with the Software by Northwoods), hardware or any system or networking utilized by Licensee; (iv) if the Covered Software or related software or systems have been subjected to abuse, misuse, improper handling, accident or neglect; (v) if any party other than Northwoods has provided any services in the nature of Support Services to Licensee with respect to the Covered Software; (vi) in connection with any Errors or Problems that occur in any non-production environment, unless Licensee has elected to pay for additional environment support. Any upgrades, support, or troubleshooting requested for a non-production environment for which Licensee has not paid additional environment fees is available at the sole discretion of Northwoods and Northwoods reserves the right to bill for any such request on a firm, fixed fee basis at Northwoods then-current rates; (vii) where applicable, in connection with any hardware systems, operating systems, database systems, network operating systems, hardware drivers, or any software or hardware unless specifically "covered" by this Agreement and by the relevant Support Level pursuant to the defined terms hereinabove including, but not limited to, Citrix and Kerberos environments; or (viii) in connection with any Covered Hardware, Covered Database Software, Covered Operating Systems, Covered Third Party Applications, and Covered DMS Software for which the manufacturer has ceased providing support. Further, except as otherwise explicitly provided for elsewhere, Northwoods is not responsible for end user training or retraining, preventative support visits by Northwoods technicians, forms creation services of any type, business process consulting, workflow lifecycle creation or modification, services to bring the system back to working order after changes have been made by anyone other than Northwoods to the system or supporting systems, to the desktop image, to hardware, new operating systems, or repairs or adjustments necessitated by the moving of hardware. The exclusions outlined in this section are applicable to all levels of Software Support.

3. LICENSEE'S RESPONSIBILITIES.

a. Operation of the Covered Software. Licensee acknowledges and agrees that it is solely responsible for the operation, supervision, management and control of the Covered Software, including but not limited to providing training for its personnel, instituting appropriate security procedures and implementing reasonable procedures to examine and verify all output before use. In addition, Licensee is solely responsible for its data, its database, and for maintaining suitable backups of the data and database to prevent data loss in the event of any hardware or software malfunction. Northwoods shall have no responsibility or liability for data loss regardless of the reasons for said loss. Northwoods shall have no

- responsibility or liability for Licensee's selection or use of the Covered Software or any hardware, third party software or systems.
- b. Licensee's Implementation of Error Corrections and Patches, Upgrades and Fixes. In order to maintain the integrity and proper operation of the Covered Software, Licensee agrees to implement, in the manner instructed by Northwoods, all Error corrections and Patches, Upgrades and Fixes. Licensee's failure to implement any Error corrections or Patches, Upgrades and Fixes of the Covered Software as provided in this Section 3(b) shall relieve Northwoods of any responsibility or liability whatsoever for any failure or malfunction of the Covered Software, as modified by a subsequent Error correction or Patch, Upgrade and Fix, but in no such event shall Licensee be relieved of the responsibility for the payment of fees and charges otherwise properly invoiced during the term hereof.
 - c. System Administrator. Licensee or Tier 1 Support Center agrees to provide at least one (1) "System Administrator" responsible for the administration, supervision, management, and control of the Covered Software. Licensee also agrees that all Covered Software support incidents raised by Licensee's personnel will be reported to the Tier 1 Support Center, who will provide the initial research, investigation, and troubleshooting into the support incident. In the event the support incident can be resolved without Northwoods, the Tier 1 Support Center will provide the support resolution to Licensee personnel. If, after initial research and investigation into a support question, the Tier 1 Support Center determines there is an Error or Problem with the Covered Software, the Tier 1 Support Center will report the Error or Problem to the System Administrator. Licensee agrees that all communications regarding Covered Software Errors and Problems will be between the System Administrator and Northwoods.
 - d. Notice and Documentation of Errors. Licensee shall give prompt notice of any Errors in the Covered Software discovered by Licensee, or otherwise brought to the attention of Licensee, in accordance with Northwoods' then current defect reporting procedures. Proper notice may include, without limitation, prompt telephonic or written notice to Northwoods of any alleged Error. If Northwoods requests, Licensee agrees to provide written documentation of Errors to substantiate them and to assist Northwoods in the detection and correction of said Errors.
 - e. Access to Premises and Systems. Licensee shall provide reasonable access to and use of Licensee's premises, computer hardware, peripherals, Covered Software, and any other software as Northwoods deems necessary to diagnose and correct any Errors or to otherwise provide Support Services. In addition, Licensee acknowledges and agrees that a third party service provider may be retained by Northwoods to provide Error corrections or other Support Services directly to Licensee and, accordingly, Licensee shall provide the same access directly to such service provider. Such right of access and use shall be provided at no cost or charge to Northwoods or the third party service provider.
 - f. Network Infrastructure. Licensee agrees to maintain all required network infrastructure to ensure persistent connectivity between Licensee's workstations and servers. This includes necessary networking hardware and associated software configuration and security settings.
 - g. Back-ups. Licensee agrees to perform daily back-ups of all application related systems, databases, and data files and to maintain current back-up copies of other pertinent systems and data files.

4. FEES AND PAYMENTS.

- a. Annual Support Fees. Licensee shall pay to Northwoods annual support fees in the amounts invoiced by Northwoods.
 - 1) *Initial Software.* The invoice that will be provided pursuant to this Agreement shall set forth the aggregate invoice amounts for initial annual support fees for each Covered Software module(s) initially licensed, and for all Covered Software modules initially licensed in the aggregate. Licensee shall be required to submit a purchase order for this Agreement, in the amount of the initial annual support fees due hereunder, simultaneously with Licensee's submission of its purchase order for the license of the Covered Software under the EULA.
 - 2) *Additional Software.* Northwoods shall invoice Licensee for annual support fees for all Covered

Software modules that Licensee additionally licenses under the EULA promptly upon acceptance of Licensee's purchase order for the purchase of Support Services for such Covered Software. Additionally, for a period of five (5) years following the execution of this Agreement, provided Licensee remains current on its payments, Northwoods will extend to Licensee a 10% discount off then-current published government list pricing on any of Northwoods' proprietary software. Such discount will be available only if Licensee purchases the software pursuant to an amendment to this Agreement.

3) *Renewal Periods.* Northwoods shall invoice Licensee for annual support fees for renewal terms at least sixty (60) days prior to the end of the then-current term.

4) *Firm, fixed fee Charges.* Notwithstanding anything to the contrary, if Licensee requests: (1) Support Services that Northwoods is not obligated to provide based on the level of support purchased by Licensee, and Northwoods agrees to provide such requested Support Services notwithstanding the provisions of Section 2(d), then Licensee agrees that such Support Services shall not be covered by the annual support fees under Section 4(a) and Licensee shall issue a separate purchase order authorizing Northwoods to provide such requested Support Services. Licensee agrees to pay for such Support Services at Northwoods' standard rates. Northwoods shall invoice Licensee for all firm, fixed fee charges thereunder.

b. Payments.

1) *Annual Support Fees.* Licensee shall pay all invoices for annual support fees described in the Pricing Schedule below in full net thirty (30) days from the date of invoice.

PRICING SCHEDULE				
DESCRIPTION	CODE	UNIT PRICE	QTY	EXTENDED PRICE
Multi-User Server	OBIPW1	\$0.00	1	\$0.00
Enterprise Clients	CTIPCE	\$0.00	1	\$0.00
Enterprise Named User Client	CTIPNE	\$0.00	1	\$0.00
Virtual Print Driver	PTIPC1	\$0.00	1	\$0.00
COLD/ERM	CLIPW1	\$0.00	1	\$0.00
PCL Input Filter	PCIPW1	\$0.00	1	\$0.00
AFP Input Filter	AFIPW1	\$0.00	1	\$0.00
Document Import Processor	DPIPW1	\$0.00	1	\$0.00
Advanced Document Import Processor	ADIPW1	\$0.00	1	\$0.00
Enterprise Workflow Concurrent Client SL	WLIPCE	\$0.00	1	\$0.00
Enterprise Workflow Named User Client SL	WLIPNE	\$0.00	1	\$0.00
Integration for Adobe LiveCycle Server Forms	AFIP11	\$0.00	1	\$0.00
Enterprise Digital Signature	DGIPNE	\$0.00	1	\$0.00
Web Server	WTIPW1	\$0.00	1	\$0.00
EDM Services	DMIP11	\$0.00	1	\$0.00
Full-Text Indexing Server for Autonomy IDOL	IDIP11	\$0.00	1	\$0.00
Enterprise Full-Text Indexing Named User Client for Autonomy IDOL	IDIPNE	\$0.00	1	\$0.00
Report Services	RPIPI1	\$0.00	1	\$0.00
Enterprise Application Enabler	AEIP12	\$0.00	1	\$0.00
Archival API	ARIP11	\$0.00	1	\$0.00
Web Services Toolkit	WSIP11	\$0.00	1	\$0.00
Unity Integration Toolkit	UIPI11	\$0.00	1	\$0.00
Records Management	RIPI11	\$0.00	1	\$0.00
Distributed Disk Services	DSIP11	\$0.00	1	\$0.00

DVD Authoring	DVIPW1	\$0.00	1	\$0.00
Annual OnBase Software Maintenance and CAFÉ Integration Support		\$550,000.00	1	\$550,000.00
Total Annual Support Agreements				\$550,000.00

c. Support Fee Increases.

- 1) For a period of five (5) years following the execution of this Agreement, provided Licensee remains current on its payments, Northwoods will not increase the support fees payable under this Agreement. At the end of the initial five (5) year term, and every five (5) years thereafter, Northwoods will increase the support fee in an amount that is the greater of (A) the annual support fee for the immediately preceding renewal term increased by the percentage increase in the Consumer Price Index (CPI) (as published by the United States Department of Labor, Bureau of Labor Statistics) for the 12-month period preceding the renewal date, or (B) the annual support fee for the immediately preceding renewal term increased by three and one-half percent (3 ½%).
- 2) Notwithstanding the above, any price increase by Northwoods' partners or suppliers for software specifically "Covered" under this agreement will be passed through to Licensee.

Louisiana Public Facilities Authority (LPFA)

2021 Budget Request

Program Authorization: Public Trust Act -- R.S. 9:2341 et seq.

Agency Description

The Louisiana Public Facilities Authority (LPFA) is a financing authority created as a public trust and public corporation by a Louisiana corporation, pursuant to an Indenture of Trust. The State of Louisiana is the beneficiary of the LPFA trust. LPFA was established to benefit the State of Louisiana and its citizens through the issuance of taxable and tax-exempt bonds as well as through other means of public service and economic development. The purposes of the LPFA are to promote, encourage, and further the accomplishment of all activities beneficial to the state and which have a public purpose.

Comparative Statement

Budget Comparison	Prior Year Actuals 2019	Existing Operating Budget 2020	Total Proposed 2021	Total Proposed Over/(Under) Existing	Percent Change
Means of Financing					
State General Fund by:					
Fees and Self-generated Revenues	\$ 2,076,862	\$ 1,917,500	\$ 1,985,620	\$ 68,120	3.55
Total Means of Financing	\$ 2,076,862	\$ 1,917,500	\$ 1,985,620	\$ 68,120	3.55
Expenditures and Request:					
Personal Services	\$ 1,455,204	\$ 1,138,665	\$ 1,211,865	\$ 73,200	6.43
Total Operating Expenses	\$ 526,835	\$ 654,535	\$ 648,935	\$ (5,600)	-0.86
Total Professional Services	\$ 87,307	\$ 124,300	\$ 124,820	\$ 520	0.42
Total Other Charges	\$ -	\$ -	\$ -	\$ -	0.00
Total Acquisitions and Major Repairs	\$ -	\$ 29,400	\$ 29,400	\$ -	0.00
Total Expenditures and Request	\$ 2,069,346	\$ 1,946,900	\$ 2,015,020	\$ 68,120	3.50
Authorized T.O. FTE Positions					
Classified	-	-	-	-	0.00
Unclassified	17	17	14	(3)	-17.65
Total Positions	17	17	14	(3)	-17.65

Source of Funding

The LPFA is a self-supporting entity that operates solely on revenues generated by fees on both taxable and tax-exempt bonds issued through the agency.

Major Changes from the Existing Operating Budget

\$1,917,500 2020 Existing Operating Budget Expenditures & Request

\$73,200	Personal Services: Increases in Salaries and Related Benefits.
-\$5,600	Operating Expenses: Decreases in Travel (-\$4,000) and Operating Services (-\$1,000).
\$520	Professional Services: Increase in Other Professional Services.
No Change	Other Charges and Acquisitions and Major Repairs. (\$0 funding)

\$68,120 Total Proposed Adjustments 2020 EOB to 2021 Proposed

\$1,985,620 2021 Proposed Operating Budget Expenditures & Request

Notes:

- The overall proposed budget for this agency is increased by 3.5 percent from the existing operating budget.
- The proposed budget for the **Bond Financing/Business Development Program** is requested at \$1,098,620 for 2021, an increase of \$31,120 over the 2020 Existing Budget of \$1,067,500.
- The proposed budget for the **Student Loans Program** is requested at \$887,000 for 2021, an increase of \$37,000 over the 2020 Existing Budget of \$850,000.

Program Descriptions

Bond Financing/Business Development Program

(\$1,098,620 / 5 positions)

This program issues taxable and tax-exempt bonds for:

- Industry and commerce to foster economic growth and stability
- Hospital, extended care, clinical, community health, geriatric, nursing home, and medical care facilities
- Educational facilities
- Residential housing
- Projects protecting citizens against air, water, noise, ground, and other types of pollution
- Public utility facilities and services
- Projects increasing efficiency in operating state and local governments
- Cultural and recreational facilities
- Public transportation facilities

Student Loans Program

(\$887,000 / 9 positions)

This program:

- Issues bonds for student loans
- Provides outreach services to high school students and their parents focusing primarily on financial aid access and FAFSA completion
- Maintains the *asklela.org* web site as a resource to students and parents during their college research and application process
- Maintains a free College Planning Resource Center at its office in Baton Rouge
- Has direct contact with thousands of high school students and parents through such outreach visits, FAFSA completion workshops, presentations, and visits to the College Planning Resource Center
- Continues to administer its existing Federal Family Education Loan Program loan portfolio
- Works with a consortium of other non-profits to service federal student loans.

Funding Sources by Program

Funding Sources by Program	Prior Year Actuals 2019	Existing Operating Budget 2020	Total Proposed 2021	Total Proposed Over/(Under) Existing
SELF-GENERATED REVENUES				
Bond Financing/ Business Development:				
Financing Acceptance Fees	\$ 92,325	\$ 425,000	\$ 425,000	\$ -
Financing Application Fees	\$ 4,500	\$ 15,000	\$ 15,000	\$ -
Program Administrative Fees	\$ 59,871	\$ 122,500	\$ 122,500	\$ -
Program Fees - General	\$ -	\$ -	\$ 25,000	\$ 25,000
Multifamily and other Program Fees	\$ 36,120	\$ 40,000	\$ 36,120	\$ (3,880)
Interest Income - Loan Programs	\$ -	\$ -	\$ 150,000	\$ 150,000
Interest Income	\$ 469,141	\$ 465,000	\$ 325,000	\$ (140,000)
Program Investment Earnings	\$ -	\$ -	\$ -	\$ -
Miscellaneous Income	\$ 5,633	\$ -	\$ -	\$ -
Realized and unrealized gain or loss on investments	\$ 296,339	\$ -	\$ -	\$ -
SUB-TOTAL	\$ 963,929	\$ 1,067,500	\$ 1,098,620	\$ 31,120
Student Loans:				
Program Administrative Fees	\$ 1,112,933	\$ 850,000	\$ 887,000	\$ 37,000
Miscellaneous Income	\$ -	\$ -	\$ -	\$ -
SUB-TOTAL	\$ 1,112,933	\$ 850,000	\$ 887,000	\$ 37,000
TOTAL SELF-GENERATED REVENUES	\$ 2,076,862	\$ 1,917,500	\$ 1,985,620	\$ 68,120

Positions and Compensation

Position	Current Semi-Monthly Salary as of 10-30-20	Total Base Salary Requested 2021	Total Salary Requested 2021	Retirement	Medicare	Group Insurance
President and CEO	\$8,291	\$198,974	Salary adjustments for the requested year will be made based upon merit as determined by LPFA management and will range between 0% and 3% of the base year amounts. Salary adjustments will in turn affect Related Benefits. Total amounts are based on requested levels.			
Vice President of Student Loans and Administration	\$5,505	\$132,115				
Vice President of Economic and Program Development	\$4,359	\$104,623				
Assistant Vice President of Student Loans	\$3,423	\$82,156				
Accountant	\$3,188	\$76,512				
Executive Assistant	\$2,637	\$63,288				
Public Information / IT Manager	\$1,840	\$44,160				
Compliance Analyst	\$1,740	\$41,760				
Administrative Assistant	\$1,595	\$38,280				
Receptionist	\$1,265	\$30,360				
Assistant Vice President of Student Loans VACANT	\$0	\$0				
Marketing Representative VACANT	\$0	\$0				
Client Service Representative VACANT	\$0	\$0				
Administrative Assistant VACANT	\$0	\$0				
TOTALS	\$33,843	\$812,228	\$ 830,800	\$ 93,200	\$12,200	\$206,400

Roughly 2%

- All positions are unclassified.
- Authorized employees have decreased from 17 to 14.
- Funded employees remain at 10.
- LPFA employees are not considered State employees.
 - They do not participate in State retirement.
 - LPFA employees have a defined contribution retirement plan at 11.2% of salary.
 - They are not eligible for Social Security.
 - They do not participate in Group Benefits and have no LPFA-provided health insurance after retirement.
 - LPFA employees are eligible for Medicare.

Professional Services

Professional Services by Program and Category	Individual and/or Firm	Prior Year Actuals 2019	Existing Operating Budget 2020	Total Proposed 2021	Total Proposed Over/(Under) Existing
Bond Financing/ Business Development:					
Accounting	Postlethwaite & Netterville, APAC	\$ -	\$ 3,000	\$ 3,000	\$ -
Audit	Louisiana State Legislative Auditor	\$ 16,500	\$ 17,000	\$ 17,000	\$ -
SUB-TOTAL		\$ 16,500	\$ 20,000	\$ 20,000	\$ -
Legal	Breazeale Sachse Wilson, LLP	\$ 195	\$ 3,000	\$ 3,000	\$ -
Legal	Gregory A. Pletsch & Associate		\$ 1,000	\$ 1,000	\$ -
Legal	Jacob S. Capraro	\$ 19,350	\$ 13,000	\$ 13,000	\$ -
Legal	Kutak Rock	\$ -	\$ 3,000	\$ 3,000	\$ -
SUB-TOTAL		\$ 19,545	\$ 20,000	\$ 20,000	\$ -
Casual Labor	Various	\$ -	\$ 1,000	\$ 1,000	\$ -
Media Graphics/ Third Party	Don Kadair Photography	\$ 350	\$ 1,500	\$ 1,500	\$ -
Media Graphics/ Third Party	Object 9	\$ 14,700	\$ 25,000	\$ 25,000	\$ -
Media Graphics/ Third Party	Various	\$ -	\$ 1,500	\$ 2,020	\$ 520
Media Graphics/ Third Party	Dr. James Richardson	\$ -	\$ 12,500	\$ 12,500	\$ -
Media Graphics/ Third Party	NAHEFFA	\$ -	\$ 2,000	\$ 2,000	\$ -
SUB-TOTAL		\$ 15,050	\$ 43,500	\$ 44,020	\$ 520
Student Loans:					
Accounting	Hannis T. Bourgeois and Co.	\$ -	\$ 500	\$ 500	\$ -
Audit	Louisiana State Legislative Auditor or Postlethwaite & Netterville, APAC	\$ 16,500	\$ 17,000	\$ 17,000	\$ -
SUB-TOTAL		\$ 16,500	\$ 17,500	\$ 17,500	\$ -
Legal	Breazeale Sachse Wilson, LLP	\$ 111	\$ 2,000	\$ 2,000	\$ -
Legal	Gregory A. Pletsch & Associate		\$ 2,000	\$ 2,000	\$ -
Legal	Jacob S. Capraro	\$ 9,820	\$ 4,000	\$ 4,000	\$ -
Legal	Foley & Lardner	\$ -	\$ 2,000	\$ 2,000	\$ -
SUB-TOTAL		\$ 9,931	\$ 10,000	\$ 10,000	\$ -
Casual Labor	Various	\$ -	\$ 500	\$ 500	\$ -
Media Graphics/ Third Party	EGB Creative or Similar	\$ 3,481	\$ 8,000	\$ 8,000	\$ -
Media Graphics/ Third Party	Dr. James Richardson		\$ 2,000	\$ 2,000	\$ -
Media Graphics/ Third Party	Object 9	\$ 6,300	\$ 2,800	\$ 2,800	\$ -
SUB-TOTAL		\$ 9,781	\$ 13,300	\$ 13,300	\$ -
Consolidated Accounting & Audit		\$ 33,000	\$ 37,500	\$ 37,500	\$ -
Consolidated Legal		\$ 29,476	\$ 30,000	\$ 30,000	\$ -
Consolidated Other Professional Services		\$ 24,831	\$ 56,800	\$ 57,320	\$ 520
GRAND TOTAL PROFESSIONAL SERVICES		\$ 87,307	\$ 124,300	\$ 124,820	\$ 520

- Professional Services show a modest increase of \$520 from 2020 to 2021 in the Media Graphics/Third Party category.
- This is the only increase from the current year to the next year.

James W. Parks II
President and CEO

Tricia A. Dubroc
VP of Student Loans and Administration

Martin Walke
VP of Economic Development



LPFA
LOUISIANA PUBLIC FACILITIES AUTHORITY

BOARD OF TRUSTEES

Guy Campbell III, Chairman
Ronald H. Bordelon, Vice Chairman
Craig A. Cheramie, Secretary-Treasurer
Michael C. Darnell
Larry Ferdinand
David W. Groner
Casey R. Guidry

October 30, 2020

The Honorable Bodi White
Chairman
Joint Legislative Committee on the Budget
11th Floor, State Capitol
Baton Rouge, LA 70804

The Honorable Jerome Zeringue
Vice Chairman
Joint Legislative Committee on the Budget
11th Floor, State Capitol
Baton Rouge, LA 70804

Re: Proposed LPFA Budget for Calendar Year 2021

Dear Chairman White and Vice Chairman Zeringue:

Thank you for your consideration of our proposed Budget for calendar year 2021. This proposed Budget was approved by LPFA's Board of Trustees at a public meeting held on October 6, 2020. I have also included a copy of the resolution adopted by the Board of Trustees approving our proposed 2021 Budget.

As always, the LPFA will operate solely on self-generated revenues. We are not requesting and we have never received any funds from the State for our operations.

The amount budgeted for revenues has increased by \$68,120 due to increases in Program Fees and Interest Income - Loan Programs. The amount budgeted for expenditures has increased by \$68,120 to maintain a balanced budget.

The total expenditures requested for 2021 are approximately \$1.986 million.

Please remember that the Joint Legislative Committee on the Budget made us reduce our 2010 expenses by approximately 20% from the 2009 levels. The proposed 2021 expense budget is \$1,283,440, or 39.26%, *below* our 2009 expense budget and \$659,990, or 24.95%, *below* our 2010 expense budget.

Here are a few brief highlights about the proposed 2021 budget:

- Overall revenues have increased by \$68,120 due to increases in Program Fees and Interest Income - Loan Programs. As we have for emergency loan programs in the past, we helped the State develop and implement the Louisiana Loan Portfolio Loan Program which offers loans of up to \$100,000 to Louisiana small businesses that

have been impacted by the COVID-19 crisis and we administer this Program for the State. We are projecting a slight increase in our Program Fees as we develop and administer additional programs. Our various loan programs continue to provide interest savings to local government borrowers while providing interest income to the LPFA. We participate with a local bank to finance a local government's borrowing and charge interest at half the amount charged by the bank. Demand for these discounted loans is high and we project an increase in our interest income from these loans.

- Overall expenses have increased by \$68,120, or 3.55%, as a result of the increase in revenues and to maintain a balanced budget.
- The amount budgeted for Total Personal Services has increased by \$73,200, or by 6.43%. This amount includes a modest cost of living adjustment for our employees ranging from 1.5% to 3%.
- The amount budgeted for Total Operating Expenses has decreased by \$5,600, or approximately 0.86%.
- The amount budgeted for Professional Services has increased by \$520, or approximately 0.42%.
- The amount budgeted for "Acquisitions and Major Repairs" has not changed and remains at \$29,400.
- The number of authorized employees has decreased from 17 to 14.
- The number of funded employees has not changed and remains at 10.
- All of our employees are unclassified.
- LPFA employees are not considered State employees.
- We do not participate in the State retirement plan, but instead have a **defined contribution retirement plan**.
- We also do not participate in State Group Benefits and have no LPFA provided health insurance coverage after retirement. LPFA employees are eligible for Medicare.

LOUISIANA PUBLIC FACILITIES AUTHORITY

On the motion of Trustee Cheramie, seconded by Trustee Malone, the following resolution was unanimously adopted:

A resolution approving the Annual Budget of the Louisiana Public Facilities Authority for Calendar Year 2021 and the submitting of the Annual Budget of the Louisiana Public Facilities Authority to the Joint Legislative Committee on the Budget; and providing for other matters with respect thereto.

WHEREAS, the Louisiana Public Facilities Authority (the "Authority") is a public trust and public corporation established by a private corporation for the benefit of the State of Louisiana (the "State") by a certain Indenture of Trust dated August 21, 1974 (the "Indenture of Trust"), under and pursuant to the provisions of the Louisiana Public Trust Act, Louisiana Revised Statutes 9:2341-2347 of 1950, as amended (the "Act"); and

WHEREAS, each year the Authority operates pursuant to a budget; and

WHEREAS, the Board of Trustees of the Authority desires to approve the Annual Budget attached hereto as Exhibit "A" for calendar year 2021; and

WHEREAS, pursuant to Act 915 of the 1999 Regular Session of the Louisiana Legislature ("Act 915"), the Authority is to submit its proposed annual operating budget to the Joint Legislative Committee on the Budget for its review and approval;

WHEREAS, it is now the desire of the Board of Trustees of the Authority to authorize and direct the submitting of the Authority's annual operating budget for 2021 to the Joint Legislative Committee on the Budget in accordance with Act 915;

NOW, THEREFORE, BE IT RESOLVED BY THE TRUSTEES OF THE LOUISIANA PUBLIC FACILITIES AUTHORITY:

SECTION 1. The Trustees, for and on behalf of the Authority, hereby approve the Annual Budget attached hereto as Exhibit "A" for calendar year 2021.

SECTION 2. The Trustees, for and on behalf of the Authority, hereby authorize and direct the Staff of the Authority to submit a copy of the Authority's annual operating budget for 2021, as approved pursuant to Section 1 hereof, no later than November 1, 2020, to the Joint Legislative Committee on the Budget in accordance with Act 915.

SECTION 3. The Chairman, Vice-Chairman, Secretary-Treasurer and any Assistant Secretary are hereby authorized and directed to do all things necessary to effectuate and implement the purpose and intent of this Resolution.

SECTION 4. This Resolution shall become effective upon its adoption.

<u>Member</u>	<u>Yea</u>	<u>Nay</u>	<u>Absent</u>	<u>Abstaining</u>
Ronald H. Bordelon	<u>X</u>	<u> </u>	<u> </u>	<u> </u>
Guy Campbell III	<u>X</u>	<u> </u>	<u> </u>	<u> </u>
Craig A. Cheramie	<u>X</u>	<u> </u>	<u> </u>	<u> </u>
Michael C. Darnell	<u>X</u>	<u> </u>	<u> </u>	<u> </u>
David Groner	<u> </u>	<u> </u>	<u>X</u>	<u> </u>
Casey R. Guidry	<u>X</u>	<u> </u>	<u> </u>	<u> </u>
Dannye W. Malone	<u>X</u>	<u> </u>	<u> </u>	<u> </u>

This Resolution was declared adopted on this 6th day of October, 2020.

* * * *

(Other items of business not pertinent to the foregoing resolution may be found in the official minutes of the Board of Trustees of the Authority.)

Upon motion duly made, seconded and unanimously carried, the meeting was adjourned.

CERTIFICATE OF ASSISTANT SECRETARY

I, the undersigned, do hereby certify that I am an Assistant Secretary of the Board of Trustees of the Louisiana Public Facilities Authority (the "Authority"), a public trust duly organized and existing under and by virtue of the laws of the State of Louisiana, and in such capacity I have access to all records of the Authority.

I do hereby further certify that at a meeting of the Trustees of the Authority, duly called, held and convened, according to law, on the 6th day of October, 2020, a quorum being present and voting thereon, the above and foregoing Resolution was unanimously adopted and that said Resolution is a full true and correct copy of said Resolution as it appears on the records of the Authority and that the same has not been revoked or amended and is now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and attached the seal of the Authority this 6th day of October, 2020.

LOUISIANA PUBLIC FACILITIES AUTHORITY

SEAL

By:


Assistant Secretary

Activity Information: LPFA

Bonds Issued

<u>Project Category</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>
Health Care	\$ 18,020,000	\$ 27,000,000	\$ 13,264,000
Hospitals	\$ 66,230,000	\$ 50,520,000	\$ 583,135,000
Economic Development	\$ -	\$ 25,295,000	\$ 23,540,000
Student Loans	\$ -	\$ -	\$ -
Universities	\$ 53,312,500	\$ 80,635,000	\$ 287,375,000
Multi-Family Housing	\$ -	\$ -	\$ -
State of Louisiana	\$ 4,700,000	\$ 3,200,000	\$ -
Single-Family Housing	\$ -	\$ -	\$ -
TOTAL	\$ 142,262,500	\$ 186,650,000	\$ 907,314,000

INTRODUCING

LelaCHOICE

LOUISIANA'S NONPROFIT EDUCATION LOANS

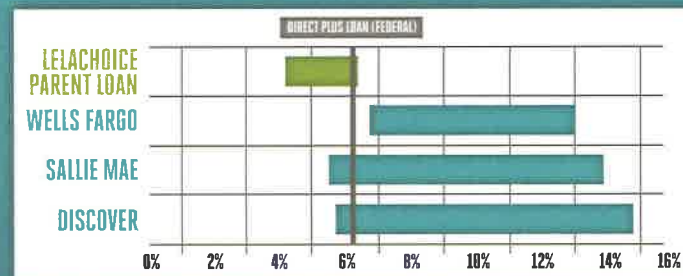
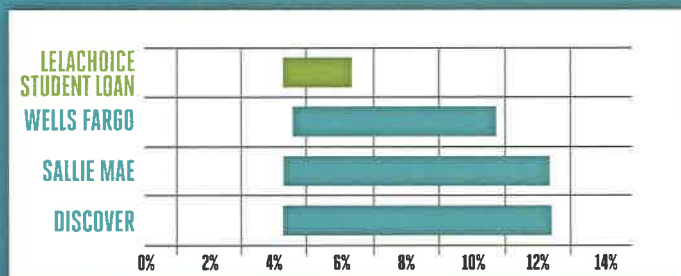
Choose Quality. Choose Community. Choose Lela.

Education Loan FINANCING

- ✓ For Students, Parents & Grad Students
- ✓ Fixed Lower Interest Rates!
- ✓ No Origination or Processing Fees!
- ✓ No Capitalized Interest, ever!
- ✓ Earn an interest rate reduction with auto payment

The LelaCHOICE supplemental student loan program offers students and parents a new financing option that can help fill the gap between the total education cost of attendance and the traditional sources (federal and state) of financial aid. This program provides Louisiana residents attending in-state and out-of-state eligible education programs as well as out of state students attending a Louisiana eligible institution an opportunity to access more affordable funds. Borrow up to cost of attendance minus other annual aid.

Compare **LelaCHOICE** rates to other lenders.



»»» SEE BACK FOR DISCLOSURE DETAILS.



Who we are

The Louisiana Education Authority (Lela) is a division of the Louisiana Public Facilities Authority (LPFA), a nonprofit public trust established to bring financing assistance and advantages to the people of Louisiana. As part of a nonprofit public trust, Lela's mission is to make higher education more accessible and affordable for the people of Louisiana.



How we give back

Together, Lela and the LPFA are committed to **making Louisiana a better place to live, work and raise our families** by furthering education, healthcare, economic development and job creation in the state. Since 1974, the LPFA has **issued over \$27 billion in bonds to finance over 600 projects** which has helped to generate **more than 327,110 jobs** in Louisiana! Over the years, Lela has saved students over \$23 million by paying the upfront fees for students on their federal student loans and through incentives has offered borrowers the potential to save over \$255 million throughout repayment!



visit lelachoice.org for more information and to apply.

Lela reserves the right to change the program in any way from time to time without notice.



LOUISIANA'S NONPROFIT EDUCATION LOANS

Choose Quality. Choose Community. Choose Lela.



Many lenders only offer limited information about their actual rates upfront. They do not provide all the rate details within the range of rates depicted on these graphs. The specific rate an applicant is offered will be determined by the loan type selected and the applicant's or, if applicable, the cosigner's, credit history and credit score. APRs were retrieved from the lenders' websites on Aug. 3, 2020, for fixed-rate loans for student borrowers while the student is enrolled at least half-time and for parent borrowers while the student for whom the loan is being requested is enrolled at least half-time. The ranges contain rates offered to applicants with a wide range of credit scores and for a variety of repayment options and terms.

Note: Only customers with an outstanding balance on a Wells Fargo Private Student Loan are eligible to be borrowers on a new Wells Fargo Private Student Loan for the 2020–2021 academic year.

Because each lender offers different in-school repayment options and different repayment terms, an identical loan comparison between lenders is not possible. However, the APRs in the chart are listed as the highest and lowest available rates for each lender. For complete details on how APRs were calculated, visit the lenders' websites. The U.S. Department of Education does not provide APR calculations for federal loans. As a guide for comparing costs, however, the costs of the federal Direct PLUS Loan is approximately equivalent to an APR of 6.25%, which is based on borrowing \$10,000, a 4.236% origination fee and a fixed interest rate of 5.30% during the 120-month principal and interest repayment period. APRs may be different for different types of loans. For these examples, a 0.25% auto-debit interest rate reduction (repayment benefit) has been included in Lela's APRs.

Before applying for a student loan from any lender, you should consider additional characteristics, including: credit requirements, monthly payment amount, origination fees, capitalization frequency, borrower benefits and protections, repayment term, when repayment begins, and the total amount to be repaid over the life of the loan.



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BUDGET REQUEST

BR-0
(6/08)

Year Ending December 31, 2021

NAME OF DEPARTMENT: Louisiana Public Facilities Authority

ADDRESS: 2237 South Acadian Thruway Suite 650
Baton Rouge, LA

BUDGET UNIT: _____

SCHEDULE NUMBER: _____

ZIP CODE: 70808

FAX NUMBER: (225) 923-0021

TELEPHONE NUMBER: (225) 923-0020

AGENCY WEB ADDRESS: _____

TO THE OFFICE OF PLANNING AND BUDGET:

THE ACCOMPANYING FORMS, STATEMENTS AND EXPLANATIONS HAVE BEEN APPROVED BY US AND ARE COMPRISED AS FOLLOWS:

OPERATIONAL PLAN PACKAGE:

NUMBERED PAGE 1 THROUGH PAGE _____

EXISTING OPERATING BUDGET PACKAGE:

NUMBERED PAGE 1 THROUGH PAGE _____

CONTINUATION BUDGET PACKAGE:

NUMBERED PAGE 1 THROUGH PAGE _____

TECHNICAL/OTHER ADJUSTMENT BUDGET PACKAGE:

NUMBERED PAGE 1 THROUGH PAGE _____

NEW/EXPANDED BUDGET REQUEST PACKAGE:

NUMBERED PAGE 1 THROUGH PAGE _____

TOTAL REQUEST SUMMARY PACKAGE:


NUMBERED PAGE 1 THROUGH PAGE _____

ADDENDA TO REQUEST (WHERE APPLICABLE)

NUMBERED PAGE 1 THROUGH PAGE _____

WE HEREBY CERTIFY THAT THE STATEMENTS AND FIGURES ON THE ACCOMPANYING FORMS ARE TRUE AND CORRECT TO THE BEST OF OUR KNOWLEDGE.

HEAD OF DEPARTMENT: _____



PRINTED NAME/TITLE: President and CEO

DATE: October 30, 2020

EMAIL ADDRESS: parks@lpfa.com

HEAD OF BUDGET UNIT: _____



PRINTED NAME/TITLE: President and CEO

EMAIL ADDRESS: parks@lpfa.com

PROGRAM CONTACT PERSON: James W. Parks II

TITLE: President and CEO

TELEPHONE NUMBER: (225) 923-0020

EMAIL ADDRESS: parks@lpfa.com

FINANCIAL CONTACT PERSON: James W. Parks II

TITLE: President and CEO

TELEPHONE NUMBER: (225) 923-0020

EMAIL ADDRESS: parks@lpfa.com

TABLE OF CONTENTS

BR-TC
(9/10)

BUDGET REQUEST DOCUMENTS:

BR-0	X	BR-16A	X	CB-0	
BR-1	X	BR-16B	X	CB-1	
BR-2	X	BR-16C	X	CB-2	
BR-3	X	BR-16D	X	CB-4	
BR-4	X	BR-17A	X	CB-5	
BR-5	N/A	BR-18	X	CB-6	
BR-6	X	BR-18A	N/A	CB/BR-9	X
BR-7	N/A	BR-18B	N/A	CB-7	
BR-8	N/A	BR-19	X	CB-8	
BR-9	X	BR-19A	N/A	CB/BR-20A	
BR-10	N/A	BR-19B	N/A	CB/BR-21A	
BR-11	X	BR-20A	X		
BR-12	N/A	BR-20B	N/A	T/OAP-0	
BR-13	X	BR-20B>	N/A	T/OAP-1A	
BR-14	X	BR-20C	N/A	T/OAP-2A	
BR-15	N/A	BR-20D	N/A		
BR-16	X	BR-21A	N/A	NE-0	
BR-17	X	BR-SUP	N/A	NE-DS	
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BR-21	X			NE-C	
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BR-324	X				
BR-325	X				
BR-326	X				
BR-327	X				
BR-328	X				
BR-329	X				
BR-330	X				
BR-331	X				
BR-332	X				
BR-333	X				
BR-334	X				
BR-335	X				
BR-336	X				
BR-337	X				
BR-338	X				
BR-339	X				
BR-340	X				
BR-341	X				
BR-342	X				
BR-343	X				
BR-344	X				
BR-345	X				
BR-3					

SUMMARY STATEMENT OF MEANS OF FINANCING FOR YEARS SHOWN

BR-1
(9/06)

LINE NO.	MEANS OF FINANCING	PRIOR YEAR ACTUAL 2019 (no negatives)	EXISTING OPERATING BUDGET 2020 (no negatives)	TOTAL REQUEST 2021 (no negatives)	OVER/UNDER EXISTING OPERATING BUDGET	PERCENT CHANGE						
1	FEES & SELF-GENERATED REVENUES	\$ 2,076,862	\$ 1,917,500	\$ 1,985,620	\$ 68,120	3.55%						
2												
3	TOTAL MEANS OF FINANCING	\$ 2,076,862	\$ 1,917,500	\$ 1,985,620	\$ 68,120	3.55%						

Note: Column totals on BR-1 from should equal corresponding Column totals on BR-2 form.

SUMMARY STATEMENT OF EXPENDITURES FOR YEARS SHOWN

BR-2
(8/13)

LINE NO.	CATEGORY OF EXPENDITURE	PRIOR YEAR ACTUAL 2019 (no negatives)	EXISTING OPERATING BUDGET 2020 (no negatives)	TOTAL REQUEST 2021 (no negatives)	OVER/UNDER EXISTING OPERATING BUDGET	PERCENT CHANGE
1	PERSONAL SERVICES:					
2	Salaries	\$ 1,078,872	\$ 812,300	\$ 830,800	\$ 18,500	2.28%
3	Other Compensation	\$ 14,130	\$ 23,665	\$ 23,665	\$ -	0.00%
4	Related Benefits	\$ 362,202	\$ 302,700	\$ 357,400	\$ 54,700	18.07%
5	TOTAL PERSONAL SERVICES	\$ 1,455,204	\$ 1,138,665	\$ 1,211,865	\$ 73,200	6.43%
6	OPERATING EXPENSES:					
7	Travel	\$ 49,902	\$ 68,700	\$ 64,700	\$ (4,000)	-5.82%
8	Operating Services	\$ 458,147	\$ 558,585	\$ 556,985	\$ (1,600)	-0.29%
9	Supplies	\$ 18,786	\$ 27,250	\$ 27,250	\$ -	0.00%
10	TOTAL OPERATING EXPENSES	\$ 526,835	\$ 654,535	\$ 648,935	\$ (5,600)	-0.86%
11	PROFESSIONAL SERVICES	\$ 87,307	\$ 124,300	\$ 124,820	\$ 520	0.42%
12	OTHER CHARGES:					
13	Other Charges	N/A	N/A	N/A	N/A	N/A
14	Debt Service	N/A	N/A	N/A	N/A	N/A
15	Interagency Transfers	\$ -	N/A	N/A	N/A	N/A
16	TOTAL OTHER CHARGES	\$ -	\$ -	\$ -	\$ -	N/A
17	ACQUISITIONS & MAJOR REPAIRS:					
18	Acquisitions	\$ -	\$ 29,400	\$ 29,400	\$ -	0.00%
19	Major Repairs	\$ -	\$ -	\$ -	\$ -	N/A
20	TOTAL ACQUISITIONS & MAJOR REPAIRS	\$ -	\$ 29,400	\$ 29,400	\$ -	0.00%
21	UNALLOTTED	N/A	N/A	N/A	N/A	N/A
22	TOTAL EXPENDITURES & REQUEST	\$ 2,069,346	\$ 1,946,900	\$ 2,015,020	\$ 68,120	3.50%
23	AUTHORIZED T. O. FRE POSITIONS					
24	Classified	-	-	-	-	0.00%
25	Unclassified	17	17	14	(3)	-17.65%
26	TOTAL AUTHORIZED T. O. FTE POSITIONS	17	17	14	(3)	-17.65%
27	TOTAL AUTHORIZED OTHER CHARGES POSITION	-	-	-	-	0.00%
28	TOTAL NON-T.O. FTE POSITIONS**	-	-	-	-	0.00%

* Authorized Other Charges Positions are those reported under Objects 3670, 3680, and 3681.

** Non-T.O. FTE Positions are those reported under Object 2200 (exclude WAEs).

Note: There should be no **NEGATIVE** numbers in the following columns: PRIOR YEAR ACTUAL, EXISTING OPERATING BUDGET, AND TOTAL REQUEST.

MEANS OF FINANCING OTHER THAN Bond Financing/ Business Development FUND DIRECT

BR-6
(9/10)

REVENUE OBJECT	SOURCE (FEDERAL, INTERAGENCY TRANSFERS, ETC.) * Give the Legal Citation Authorizing These Funds and Describe Fully Their Purpose and Use.	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET	DETAIL SHEET NOS.
	SELF-GENERATED REVENUES					
	Bond Financing/ Business Development:					
	Financing Acceptance Fees	\$ 92,325	\$ 425,000	\$ 425,000	\$ -	
	Financing Application Fees	\$ 4,500	\$ 15,000	\$ 15,000	\$ -	
	Program Administrative Fees	\$ 59,871	\$ 122,500	\$ 122,500	\$ -	
	Program Fees - General	\$ -	\$ -	\$ 25,000	\$ 25,000	
	Multifamily and other Program Fees	\$ 36,120	\$ 40,000	\$ 36,120	\$ (3,880)	
	Interest Income - Loan Programs	\$ -	\$ -	\$ 150,000	\$ 150,000	
	Interest Income	\$ 469,141	\$ 465,000	\$ 325,000	\$ (140,000)	
	Program Investment Earnings	\$ -	\$ -	\$ -	\$ -	
	Miscellaneous Income	\$ 5,633	\$ -	\$ -	\$ -	
	Realized and unrealized gain or loss on investments	\$ 296,339	\$ -	\$ -	\$ -	
	SUB-TOTAL	\$ 963,929	\$ 1,067,500	\$ 1,098,620	\$ 31,120	
	Student Loans:					
	Program Administrative Fees	\$ 1,112,933	\$ 850,000	\$ 887,000	\$ 37,000	
	Miscellaneous Income	\$ -	\$ -	\$ -		
	SUB-TOTAL	\$ 1,112,933	\$ 850,000	\$ 887,000	\$ 37,000	
	PUBLIC TRUST ACT:					
	LA. R.S. 9:2341-47 The Louisiana Public Facilities Authority (LPFA) is a financing authority created as a public trust and public corporation by a Louisiana corporation, pursuant to an Indenture of Trust. The State of Louisiana is the beneficiary of the LPFA trust. LPFA was established to benefit the State of Louisiana and its citizens through the issuance of taxable and tax-exempt bonds as well as through other means of public service and economic development. The purposes of the LPFA are to promote, encourage and further the accomplishment of all activities beneficial to the state and which have a public purpose.					
	TOTAL SELF-GENERATED REVENUES	\$ 2,076,862.00	\$ 1,917,500.00	\$ 1,985,620.00	\$ 68,120.00	

* NOTE: Each individual grant, interagency transfer, self-generated revenue source, etc. must be listed separately, and a BR-6A and BR-6B detail sheet must be completed for each separate item listed on the BR-6.

Financing Acceptance Fees	The Authority requires a financing acceptance fee usually equal to one-twentieth of one percent of the face amount of issued bonds less the financing application fee. The financing acceptance fee covers general administration expenses incurred by the Authority. This fee is due upon the closing of a bond issue and is recorded as revenue at such time.
Financing Application Fees	The Authority assesses a non-refundable financing application fee of \$500 on all project-financing applications formally submitted for consideration by the Authority's Board of Trustees.
Program Administrative Fees	The Authority acts as both the issuer and administrator for certain bond programs. The Authority is compensated as administrator through a fee usually based upon a percentage of either the outstanding bonds or assets of the program. The percentages vary from one-tenth of one percent to one percent. The fee is assessed to cover program administrative costs incurred by the Authority.
Multi-family and Other Program Fees	The Authority assesses an annual issuer fee on all multi-family bond issues. The fee is typically based upon a percentage of the outstanding bond principal balance as of January 1 of each year. The percentage usually is either one-twentieth of one percent for pre-1985 issues or one-tenth of one percent for issues thereafter. The Authority also collects fees and interest from its other programs such as the Bond Bank and Rural Development Loan Programs. These fees cover general administration expenses incurred by the Authority.
Interest Income	The Authority receives interest income from the investment of Authority assets.
Program Investment Earnings	Program Investment Earnings consist primarily of residual funds of retired program bond issues. Residual proceeds represent cash and investment balances of a program bond issue that remain after the extinguishment of all obligations, including applicable arbitrage rebate to the federal government. The residuals are due to the Authority unless the program issue's Indenture of Trust identifies another recipient. The residual funds are recognized as income to the Authority upon the termination of the program bond issue's Indenture of Trust. All residuals from project financings are paid to the project borrower.

Line No.	DEPARTMENT OF BR-8 Tracking Sheet	PRIOR YEAR ACTUAL 2019 (NO NEGATIVE NUMBERS IN THIS COLUMN)	EXISTING OPERATING BUDGET 2020 (NO NEGATIVE NUMBERS IN THIS COLUMN)	TOTAL REQUEST 2021 (NO NEGATIVE NUMBERS IN THIS COLUMN)	OVER/UNDER EXISTING OPERATING BUDGET
	Bond Financing/Business Development				
	Program:				
1	General Fund	-	-	-	
2	Self-Generated Revenues:				
3	Financing Acceptance Fees	92,325	425,000	425,000	-
4	Financing Application Fees	4,500	15,000	15,000	-
5	Program Administrative Fees	59,871	122,500	122,500	-
6	Program Fees - General	-	-	25,000	25,000
7	Multifamily and Other Program Fees	36,120	40,000	36,120	(3,880)
8	Interest Income - Loan Programs	-	-	150,000	150,000
9	Interest Income	469,141	465,000	325,000	(140,000)
10	Program Investment Earnings	-	-	-	-
11	Miscellaneous Income	5,633	-	-	-
12	Realized and unrealized gain or loss on investments	296,339	-	-	-
13					
14					
15	TOTAL REVENUE	963,929	1,067,500	1,098,620	31,120
16					
17	Classified	N/A	N/A	N/A	N/A
18	Unclassified	6	6	5	(1)
19	TOTAL AUTHORIZED T. O. FTE POSITIONS	6	6	5	(1)
20	TOTAL AUTHORIZED OTHER CHARGES POSITIONS*	-	-	-	-
21	TOTAL NON-T.O. FTE POSITIONS**	-	-	-	-
22	TOTAL POSITION CONTROL	6	6	5	(1)
23					
24	2100 Salaries-Classified - Regular	N/A	N/A	N/A	N/A
25	2110 Salaries-Classified - Overtime	N/A	N/A	N/A	N/A
26	2120 Salaries-Classified - Termination	N/A	N/A	N/A	N/A
27	2130 Salaries-Unclassified - Regular	400,254	401,000	401,000	-
28	2140 Salaries-Unclassified - Overtime	N/A	N/A	N/A	N/A
29	2150 Salaries-Unclassified - Termination	N/A	N/A	N/A	N/A
30	TOTAL SALARIES	400,254	401,000	401,000	-
31					
32	2200 Other Compensation - Wages	-	665	665	-
33	2210 Other Compensation - Students	2,930	3,000	3,000	-
34	2221 Compensation Board of Trustees	5,600	10,000	10,000	-
35	2230 Evening Instruction	N/A	N/A	N/A	N/A
36	TOTAL OTHER COMPENSATION	8,530	13,665	13,665	-
37					
38	2300 Retirement - State	N/A	N/A	N/A	N/A
39	2310 Retirement - School Employees	N/A	N/A	N/A	N/A
40	2320 Retirement - Teachers	N/A	N/A	N/A	N/A
41	2330 Retirement - School Lunch	N/A	N/A	N/A	N/A
42	2340 Retirement - Other	45,482	44,700	45,000	300
43	2350 FICA Tax - State	N/A	N/A	N/A	N/A
44	2360 Medicare Tax - State	5,509	5,200	5,200	-
45	2370 Unemployment Taxes	140	350	350	-
46	2380 Group Insurance - State	86,694	94,900	122,900	28,000
47	2400 Other Related Benefits	9,618	25,000	25,000	-
48	2410 Taxable Fringe Benefits	-	-	-	-
49	TOTAL RELATED BENEFITS	147,443	170,150	198,450	28,300
50					
51	TOTAL PERSONNEL SERVICES	556,227	584,815	613,115	28,300

Line No.	DEPARTMENT OF BR-8 Tracking Sheet			EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
			PRIOR YEAR ACTUAL 2019			
	Bond Financing/Business Development		(NO NEGATIVE NUMBERS IN THIS COLUMN)	(NO NEGATIVE NUMBERS IN THIS COLUMN)	(NO NEGATIVE NUMBERS IN THIS COLUMN)	
	Program:					
52						
53	2500	In State Travel - Administrative	5,392	7,800	7,800	-
54	2510	In State Travel - Conferences	2,590	5,000	5,000	-
55	2520	In State Travel - Field Travel	123	1,500	1,500	-
56	2530	In State Travel - Board Members	3,254	4,500	4,500	-
57	2550	In State IT Travel / Training	-	-	-	-
58	2600	Out of State Travel - Administrative	-	700	700	-
59	2610	Out of State Travel - Conferences	9,381	16,250	16,250	-
60	2620	Out of State Travel - Field Travel	-	700	700	-
61	2630	Out of State Travel - Board Members	-	2,500	2,500	-
62	2650	Out of State IT Travel / Training	-	-	-	-
63						
64	TOTAL TRAVEL		20,740	38,950	38,950	-
65						
66	2700	Advertising	29,337	44,000	44,000	-
67	2710	Printing	3,752	15,000	15,000	-
68	2720	Insurance - Automotive	N/A	N/A	N/A	N/A
69	2730	Insurance - Workman's Compensation	1,180	2,300	2,300	-
70	2740	Insurance - Fire & Extended Coverage	1,242	2,300	2,300	-
71	2750	Insurance -Malpractice	N/A	N/A	N/A	N/A
72	2760	Insurance - Other	8,464	13,500	13,500	-
73	2770	Maintenance of Prop & Equip - Auto	N/A	N/A	N/A	N/A
74	2780	Maintenance of Prop & Equip - Other	N/A	N/A	N/A	N/A
75	2790	Maintenance of Buildings	N/A	N/A	N/A	N/A
76	2791	Maintenance - Pest Control	N/A	N/A	N/A	-
77	2792	Maintenance - Waste Disposal	N/A	N/A	N/A	-
78	2800	Maintenance of Equipment	-	2,000	2,000	-
79	2810	Maintenance - Janitorial / Custodial	N/A	N/A	N/A	N/A
80	2811	Maintenance of Grounds	-	-	-	-
81	2820	Maintenance of Data Processing Equipment	12,347	12,000	12,000	-
82	2825	Maintenance of Data Processing Software	-	-	-	-
83	2830	Rentals - Buildings	68,924	98,700	90,000	(8,700)
84	2840	Rentals - Equipment	N/A	N/A	N/A	N/A
85	2850	Rentals - Data Processing Equipment	N/A	N/A	N/A	N/A
84	2870	Rentals - Other	N/A	N/A	N/A	N/A
85	2875	Data Processing - Licensing Software	4,588	5,000	5,000	-
86	2880	Internet Provider Costs	8,051	10,000	10,000	-
87	2890	Dues & Subscriptions	6,247	11,600	11,600	-
88	2900	Mail, Delivery & Postage	4,383	7,000	7,000	-
89	2910	Telephone - Services	3,283	7,500	7,500	-
90	2930	Telephone - Other Comm Services	N/A	N/A	N/A	N/A
91	2935	Data Processing - Contract Services	N/A	N/A	N/A	N/A
92	2940	Utilities - Gas	N/A	N/A	N/A	N/A
93	2950	Utilities - Electricity	N/A	N/A	N/A	N/A
94	2960	Utilities - Water	N/A	N/A	N/A	N/A
95	2970	Utilities - Other	N/A	N/A	N/A	N/A

Line No.	DEPARTMENT OF BR-8 Tracking Sheet			EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
			PRIOR YEAR ACTUAL 2019			
	Bond Financing/Business Development		(NO NEGATIVE NUMBERS IN THIS COLUMN)	(NO NEGATIVE NUMBERS IN THIS COLUMN)	(NO NEGATIVE NUMBERS IN THIS COLUMN)	
	Program:					
96	2990	Lab Fees	N/A	N/A	N/A	N/A
97	2991	Operating Services - Security	N/A	N/A	N/A	N/A
98	3000	Miscellaneous	26,500	106,385	117,385	11,000
99	3010	Depreciation Expense-Building	N/A	N/A	N/A	N/A
100	3020	Depreciation Expense-Improvements	-	-	-	-
101	3030	Depreciation Expense-Equipment	3,088	8,700	8,700	-
102	3040	Depreciation Expense-Software	-	500	500	-
103	3090	Credit Card Transaction Fees	N/A	N/A	N/A	N/A
104	3091	Credit Card Discount Fees	N/A	N/A	N/A	N/A
105						
106	TOTAL OPERATING SERVICES		181,386	346,485	348,785	2,300
107						
108						
109	3100	Office Supplies	6,697	13,750	13,750	-
110	3120	Computer Supplies	N/A	N/A	N/A	N/A
111	3130	Clothing & Uniforms	N/A	N/A	N/A	N/A
112	3140	Medical Supplies	N/A	N/A	N/A	N/A
113	3160	Food Supplies	N/A	N/A	N/A	N/A
114	3170	Automotive Supplies	N/A	N/A	N/A	N/A
115	3180	Operating Supplies - Other	N/A	N/A	N/A	N/A
116	3190	Bldgs, Grounds & Gen Plant Supplies	N/A	N/A	N/A	N/A
117	3200	Household Supplies	N/A	N/A	N/A	N/A
118	3300	Repair & Maintenance Supplies - Auto	N/A	N/A	N/A	N/A
119	3310	Repair & Maintenance Supplies - Other	N/A	N/A	N/A	N/A
120	3320	Software	N/A	N/A	N/A	N/A
121	3330	Voc-Tech School Buildings Supplies	N/A	N/A	N/A	N/A
122	3340	Stores Increase	N/A	N/A	N/A	N/A
123						
124	TOTAL SUPPLIES		6,697	13,750	13,750	-
125						
126	TOTAL OPERATING SERVICES		208,823	399,185	401,485	2,300
127						
128	3400	Accounting & Auditing	16,500	20,000	20,000	-
129	3410	Management Consulting	N/A	N/A	N/A	N/A
130	3420	Engineering & Architectural	N/A	N/A	N/A	N/A
131	3430	Legal	19,545	20,000	20,000	-
132	3440	Medical	N/A	N/A	N/A	N/A
133	3460	Other Professional Services	15,050	43,500	44,020	520
134	3470	Other Professional Travel	N/A	N/A	N/A	N/A
135	3471	Professional Travel	N/A	N/A	N/A	N/A
136						
137	TOTAL PROFESSIONAL SERVICES		51,095	83,500	84,020	520

Line No.	DEPARTMENT OF BR-8 Tracking Sheet	PRIOR YEAR ACTUAL 2019 (NO NEGATIVE NUMBERS IN THIS COLUMN)	EXISTING OPERATING BUDGET 2020 (NO NEGATIVE NUMBERS IN THIS COLUMN)	TOTAL REQUEST 2021 (NO NEGATIVE NUMBERS IN THIS COLUMN)	OVER/UNDER EXISTING OPERATING BUDGET
	Bond Financing/Business Development				
	Program:				
138					
139	3650 Other Charges	0	0	0	0
140	3670 Other Charges-Salaries-Classified	0	0	0	0
141	3680 Other Compensation	0	0	0	0
142	3690 Related Benefits	0	0	0	0
143	3700&3710 Travel	0	0	0	0
144	3720 O/C - Operating Services	0	0	0	0
145	3730 O/C - Supplies	0	0	0	0
146	3735&3780 Other Charges	0	0	0	0
147	3740 O/C - Professional Services	0	0	0	0
148	3750 O/C - Acquisitions / Major Repairs	0	0	0	0
149	3750 & 3770 Major Repairs	0	0	0	0
150	3840 Other Charges-Client Payments Section 110	0	0	0	0
151					
152	TOTAL OTHER CHARGES	0	0	0	0
153					
154	4800-4899 Debt Service				
155	4900 IAT - Commodities & Services	0	0	0	0
156	4940 IAT - Transfer of Funds	0	0	0	0
157	4960 IAT - Printing	0	0	0	0
158	4970 IAT - Data Processing	0	0	0	0
159	4980 IAT - Insurance	0	0	0	0
160	5000 IAT - Other Maintenance	0	0	0	0
161	5010 IAT - Rentals	0	0	0	0
162	5020 IAT - Dues & Subscriptions	0	0	0	0
163	5030 IAT - Postage	0	0	0	0
164	5040 IAT - Telephone & Telegraph	0	0	0	0
165	5050 IAT - Utilities	0	0	0	0
166	5080 IAT - Laboratory Fees	0	0	0	0
167	5110 IAT - Office Supplies	0	0	0	0
168	5140 IAT - Automotive Supplies	0	0	0	0
169	5150 IAT - Other Operating Services	0	0	0	0
170					
171	TOTAL INTERAGENCY TRANSFER	0	0	0	0
172					
173	TOTAL O/C & IAT	0	0	0	0

Line No.	DEPARTMENT OF BR-8 Tracking Sheet			EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
			PRIOR YEAR ACTUAL 2019			
	Bond Financing/Business Development		(NO NEGATIVE NUMBERS IN THIS COLUMN)	(NO NEGATIVE NUMBERS IN THIS COLUMN)	(NO NEGATIVE NUMBERS IN THIS COLUMN)	
	Program:					
174						
175	4400	Land				
176	4410	Buildings				
177	4420	Capitalized Automobile => \$5000	0	0	0	0
178	4440	Equipment \$1000 - \$4999	0	0	0	0
179	4441	Equipment < \$1000	0	0	0	0
180	4442	Equipment => \$5000	0	0	0	0
181	4450	Capitalized Computer Software => \$5000	0	0	0	0
182	4451	Capitalized Computer Hardware => \$5000	0	0	0	0
183	4452	Capitalized Data Comm Facilities => \$5000	0	0	0	0
184	4453	Software \$1000 - \$4999	0	0	0	0
185	4454	Hardware \$1000 - \$4999	0	0	0	0
186	4455	Data Comm Facilities \$1000 - \$4999	0	0	0	0
187	4456	Software < \$1000	0	0	0	0
188	4457	Hardware < \$1000	0	0	0	0
189	4458	Data Comm Facilities < \$1000	0	0	0	0
190	4470	Household	0	0	0	0
191	4480	Medical	0	0	0	0
192	4490	Office Equipment \$1000 - \$4999	0	0	0	0
193	4491	Capitalized Office Equipment => \$5000	0	0	0	0
194	4492	Office Equipment < \$1000	0	0	0	0
195	4512	Library Reference < \$1000	0	0	0	0
196	4520	Boats \$1000 - \$4999	0	0	0	0
197	4521	Capitalized Boats => \$5000	0	0	0	0
198	4522	Boats < \$1000	0	0	0	0
199	4530	Communications \$1000 - \$4999	0	0	0	0
200	4531	Capitalized Communications => \$5000	0	0	0	0
201	4532	Communications < \$1000	0	0	0	0
202	4540	Other Acquisitions \$1000 - \$4999	0	0	0	0
203	4541	Capitalized Other Acquisitions => \$5000	0	0	0	0
204	4542	Other Acquisitions < \$1000	0	0	0	0
205						
206	TOTAL ACQUISITIONS		0	0	0	0
207						
208	4610	Major Repair - Building	0	0	0	0
209	4620	Major Repair - Auto	0	0	0	0
210	4640	Major Repair - Boats	0	0	0	0
211	4650	Major Repair - Aircraft	0	0	0	0
212	4740	Major Repair - Other	0	0	0	0
213						
214	TOTAL MAJOR REPAIR		0	0	0	0
215						
216	TOTAL ACQUISITION & MAJOR REPAIR		0	0	0	0
217						
218	TOTAL EXPENDITURES		816,145	1,067,500	1,098,620	31,120
219						

* Authorized Other Charges Positions are those reported under Objects 3670, 3680, and 3681.
** Non-T.O. FTE Positions are those reported under Object 2200 (exclude WAEs).

Line No.	DEPARTMENT OF BR-8 Tracking Sheet	PRIOR YEAR ACTUAL 2019 (NO NEGATIVE NUMBERS IN THIS COLUMN)	EXISTING OPERATING BUDGET 2020 (NO NEGATIVE NUMBERS IN THIS COLUMN)	TOTAL REQUEST 2021 (NO NEGATIVE NUMBERS IN THIS COLUMN)	OVER/UNDER EXISTING OPERATING BUDGET
	Student Loans				
	Program:				
1	General Fund	-	-	-	
2	Interagency Transfer	-	-	-	-
3	Self Generated Revenue	-	-	-	-
4	Program Administrative Fees	1,112,933	850,000	887,000	37,000
5	Miscellaneous Income	-	-	-	-
6		-	-	-	-
7		-	-	-	-
8		-	-	-	-
9		-	-	-	-
10		-	-	-	-
11	IEB	-	-	-	-
12	Federal Funds	-	-	-	-
13					
14	TOTAL REVENUE	1,112,933	850,000	887,000	37,000
15					
16	Classified	N/A	N/A	N/A	N/A
17	Unclassified	11	11	9	(2)
18	TOTAL AUTHORIZED T. O. FTE POSITIONS	11	11	9	(2)
19	TOTAL AUTHORIZED OTHER CHARGES POSITIONS*	-	-	-	-
20	TOTAL NON-T.O. FTE POSITIONS**	-	-	-	-
21	TOTAL POSITION CONTROL	11	11	9	(2)
22					
23	2100 Salaries-Classified - Regular	N/A	N/A	N/A	N/A
24	2110 Salaries-Classified - Overtime	N/A	N/A	N/A	N/A
25	2120 Salaries-Classified - Termination	N/A	N/A	N/A	N/A
26	2130 Salaries-Unclassified - Regular	678,618	411,300	429,800	18,500
27	2140 Salaries-Unclassified - Overtime	N/A	N/A	N/A	N/A
28	2150 Salaries-Unclassified - Termination	N/A	N/A	N/A	N/A
29	TOTAL SALARIES	678,618	411,300	429,800	\$ 18,500
30					
31	2200 Other Compensation - Wages	0	-	-	-
32	2210 Other Compensation - Students	0	-	-	-
33	2221 Compensation Board of Trustees	5,600	10,000	10,000	-
34	2230 Evening Instruction	N/A	N/A	N/A	N/A
35	TOTAL OTHER COMPENSATION	5,600	10,000	10,000	0
36					
37	2300 Retirement - State	N/A	N/A	N/A	N/A
38	2310 Retirement - School Employees	N/A	N/A	N/A	N/A
39	2320 Retirement - Teachers	N/A	N/A	N/A	N/A
40	2330 Retirement - School Lunch	N/A	N/A	N/A	N/A
41	2340 Retirement - Other	79,503	46,400	48,200	1,800
42	2350 FICA Tax - State	N/A	N/A	N/A	N/A
43	2360 Medicare Tax - State	9,816	7,000	7,000	-
44	2370 Unemployment Taxes	310	250	250	-
45	2380 Group Insurance - State	107,482	58,900	83,500	24,600
46	2400 Other Related Benefits	17,648	20,000	20,000	-
47	2410 Taxable Fringe Benefits	-	-	-	-
48	TOTAL RELATED BENEFITS	214,759	132,550	158,950	26,400
49					
50	TOTAL PERSONNEL SERVICES	898,977	553,850	598,750	44,900

Line No.	DEPARTMENT OF BR-8 Tracking Sheet		Student Loans			
			PRIOR YEAR ACTUAL 2019 (NO NEGATIVE NUMBERS IN THIS COLUMN)	EXISTING OPERATING BUDGET 2020 (NO NEGATIVE NUMBERS IN THIS COLUMN)	TOTAL REQUEST 2021 (NO NEGATIVE NUMBERS IN THIS COLUMN)	OVER/UNDER EXISTING OPERATING BUDGET
			Program:			
51						
52	2500	In State Travel - Administrative	1,758	4,000	4,000	
53	2510	In State Travel - Conferences	4,124	8,000	6,000	(2,000)
54	2520	In State Travel - Field Travel	3,689	4,500	4,500	-
55	2530	In State Travel - Board Members	3,254	4,500	4,500	-
56	2550	In State IT Travel / Training	-	-	-	-
57	2600	Out of State Travel - Administrative	3,198	-	-	-
58	2610	Out of State Travel - Conferences	13,139	8,500	6,500	(2,000)
59	2620	Out of State Travel - Field Travel	-	250	250	-
60	2630	Out of State Travel - Board Members	-	-	-	-
61	2650	Out of State IT Travel / Training	-	-	-	-
62						
63	TOTAL TRAVEL		29,162	29,750	25,750	(4,000)
64						
65	2700	Advertising	13,135	19,875	24,675	4,800
66	2710	Printing	11,346	8,000	8,000	-
67	2720	Insurance - Automotive	N/A	N/A	N/A	N/A
68	2730	Insurance - Workman's Compensation	2,191	2,300	2,300	-
69	2740	Insurance - Fire & Extended Coverage	2,298	2,300	2,300	-
70	2750	Insurance -Malpractice	N/A	N/A	N/A	N/A
71	2760	Insurance - Other	15,717	13,500	13,500	-
72	2770	Maintenance of Prop & Equip - Auto	N/A	N/A	N/A	N/A
73	2780	Maintenance of Prop & Equip - Other	N/A	N/A	N/A	N/A
74	2790	Maintenance of Buildings	N/A	N/A	N/A	N/A
75	2791	Maintenance - Pest Control	0	0	0	-
76	2792	Maintenance - Waste Disposal	0	0	0	-
77	2800	Maintenance of Equipment	0	2,000	2,000	-
78	2810	Maintenance - Janitorial / Custodial	N/A	N/A	N/A	N/A
79	2811	Maintenance of Grounds	0	0	0	-
80	2820	Maintenance of Data Processing Equipment	24,273	12,000	12,000	-
81	2825	Maintenance of Data Processing Software	0	0	0	-
82	2830	Rentals - Buildings	128,002	98,700	90,000	(8,700)
83	2840	Rentals - Equipment	N/A	N/A	N/A	N/A
84	2850	Rentals - Data Processing Equipment	N/A	N/A	N/A	N/A
85	2870	Rentals - Other	N/A	N/A	N/A	N/A
86	2875	Data Processing - Licensing Software	9,220	5,000	5,000	-
87	2880	Internet Provider Costs	12,042	10,000	10,000	-
88	2890	Dues & Subscriptions	24,570	15,325	5,325	(10,000)
89	2900	Mail, Delivery & Postage	6,574	5,000	5,000	-
90	2910	Telephone - Services	8,658	7,500	7,500	-
91	2930	Telephone - Other Comm Services	N/A	N/A	N/A	N/A
92	2935	Data Processing - Contract Services	N/A	N/A	N/A	N/A
93	2940	Utilities - Gas	N/A	N/A	N/A	N/A
94	2950	Utilities - Electricity	N/A	N/A	N/A	N/A
95	2960	Utilities - Water	N/A	N/A	N/A	N/A
96	2970	Utilities - Other	N/A	N/A	N/A	N/A

Line No.	DEPARTMENT OF BR-8 Tracking Sheet		PRIOR YEAR ACTUAL 2019 (NO NEGATIVE NUMBERS IN THIS COLUMN)	EXISTING OPERATING BUDGET 2020 (NO NEGATIVE NUMBERS IN THIS COLUMN)	TOTAL REQUEST 2021 (NO NEGATIVE NUMBERS IN THIS COLUMN)	OVER/UNDER EXISTING OPERATING BUDGET
	Student Loans					
	Program:					
97	2990	Lab Fees	N/A	N/A	N/A	N/A
98	2991	Operating Services - Security	N/A	N/A	N/A	N/A
99	3000	Miscellaneous	13,000	0	10,000	10,000
100	3010	Depreciation Expense-Building	N/A	N/A	N/A	N/A
101	3020	Depreciation Expense-Improvements	0	400	400	-
102	3030	Depreciation Expense-Equipment	5,735	8,700	8,700	-
103	3040	Depreciation Expense-Software	0	1,500	1,500	-
104	3090	Credit Card Transaction Fees	N/A	N/A	N/A	N/A
105	3091	Credit Card Discount Fees	N/A	N/A	N/A	N/A
106						
107	TOTAL OPERATING SERVICES		276,761	212,100	208,200	(3,900)
108						
109						
110	3100	Office Supplies	12,089	13,500	13,500	-
111	3120	Computer Supplies	N/A	N/A	N/A	N/A
112	3130	Clothing & Uniforms	N/A	N/A	N/A	N/A
113	3140	Medical Supplies	N/A	N/A	N/A	N/A
114	3160	Food Supplies	N/A	N/A	N/A	N/A
115	3170	Automotive Supplies	N/A	N/A	N/A	N/A
116	3180	Operating Supplies - Other	N/A	N/A	N/A	N/A
117	3190	Bldgs, Grounds & Gen Plant Supplies	N/A	N/A	N/A	N/A
118	3200	Household Supplies	N/A	N/A	N/A	N/A
119	3300	Repair & Maintenance Supplies - Auto	N/A	N/A	N/A	N/A
120	3310	Repair & Maintenance Supplies - Other	N/A	N/A	N/A	N/A
121	3320	Software	N/A	N/A	N/A	N/A
122	3330	Voc-Tech School Buildings Supplies	N/A	N/A	N/A	N/A
123	3340	Stores Increase	N/A	N/A	N/A	N/A
124						
125	TOTAL SUPPLIES		12,089	13,500	13,500	0
126						
127	TOTAL OPERATING SERVICES		318,012	255,350	247,450	(7,900)
128						
129	3400	Accounting & Auditing	16,500	17,500	17,500	-
130	3410	Management Consulting	N/A	N/A	N/A	N/A
131	3420	Engineering & Architectural	N/A	N/A	N/A	N/A
132	3430	Legal	9,931	10,000	10,000	-
133	3440	Medical	N/A	N/A	N/A	N/A
134	3460	Other Professional Services	9,781	13,300	13,300	-
135	3470	Other Professional Travel	N/A	N/A	N/A	N/A
136	3471	Professional Travel	N/A	N/A	N/A	N/A
137						
138	TOTAL PROFESSIONAL SERVICES		36,212	40,800	40,800	0

Line No.	DEPARTMENT OF BR-8 Tracking Sheet		PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
			(NO NEGATIVE NUMBERS IN THIS COLUMN)	(NO NEGATIVE NUMBERS IN THIS COLUMN)	(NO NEGATIVE NUMBERS IN THIS COLUMN)	
	Student Loans					
	Program:					
139						
140	3650	Other Charges	0	0	0	0
141	3670	Other Charges-Salaries-Classified	0	0	0	0
142	3680	Other Compensation	0	0	0	0
143	3690	Related Benefits	0	0	0	0
144	3700&3710	Travel	0	0	0	0
145	3720	O/C - Operating Services	0	0	0	0
146	3730	O/C - Supplies	0	0	0	0
147	3735&3780	Other Charges	0	0	0	0
148	3740	O/C - Profesional Services	0	0	0	0
149	3750	O/C - Acquisitions / Major Repairs	0	0	0	0
150	3750 & 3770	Major Repairs	0	0	0	0
151	3840	Other Charges-Client Payments Section 110	0	0	0	0
152						
153	TOTAL OTHER CHARGES		0	0	0	0
154						
155	4800-4899	Debt Service				
156	4900	IAT - Commodities & Services	0	0	0	0
157	4940	IAT - Transfer of Funds	0	0	0	0
158	4960	IAT - Printing	0	0	0	0
159	4970	IAT - Data Processing	0	0	0	0
160	4980	IAT - Insurance	0	0	0	0
161	5000	IAT - Other Maintenance	0	0	0	0
162	5010	IAT - Rentals	0	0	0	0
163	5020	IAT - Dues & Subscriptions	0	0	0	0
164	5030	IAT - Postage	0	0	0	0
165	5040	IAT - Telephone & Telegraph	0	0	0	0
166	5050	IAT - Utilities	0	0	0	0
167	5080	IAT - Laboratory Fees	0	0	0	0
168	5110	IAT - Office Supplies	0	0	0	0
169	5140	IAT - Automotive Supplies	0	0	0	0
170	5150	IAT - Other Operating Services	0	0	0	0
171						
172	TOTAL INTERAGENCY TRANSFER		0	0	0	0
173						
174	TOTAL O/C & IAT		0	0	0	0

Line No.	DEPARTMENT OF BR-8 Tracking Sheet		PRIOR YEAR ACTUAL 2019 <small>(NO NEGATIVE NUMBERS IN THIS COLUMN)</small>	EXISTING OPERATING BUDGET 2020 <small>(NO NEGATIVE NUMBERS IN THIS COLUMN)</small>	TOTAL REQUEST 2021 <small>(NO NEGATIVE NUMBERS IN THIS COLUMN)</small>	OVER/UNDER EXISTING OPERATING BUDGET
			Student Loans			
	Program:					
175						
176	4400	Land				
177	4410	Buildings				
178	4420	Capitalized Automobile => \$5000	0	0	0	0
179	4440	Equipment \$1000 - \$4999	0	0	0	0
180	4441	Equipment < \$1000	0	0	0	0
181	4442	Equipment => \$5000	0	0	0	0
182	4450	Capitalized Computer Software => \$5000	0	0	0	0
183	4451	Capitalized Computer Hardware => \$5000	0	0	0	0
184	4452	Capitalized Data Comm Facilities => \$5000	0	0	0	0
185	4453	Software \$1000 - \$4999	0	0	0	0
186	4454	Hardware \$1000 - \$4999	0	0	0	0
187	4455	Data Comm Facilities \$1000 - \$4999	0	0	0	0
188	4456	Software < \$1000	0	0	0	0
189	4457	Hardware < \$1000	0	0	0	0
190	4458	Data Comm Facilities < \$1000	0	0	0	0
191	4470	Household	0	0	0	0
192	4480	Medical	0	0	0	0
193	4490	Office Equipment \$1000 - \$4999	0	0	0	0
194	4491	Capitalized Office Equipment => \$5000	0	0	0	0
195	4492	Office Equipment < \$1000	0	0	0	0
196	4512	Library Reference < \$1000	0	0	0	0
197	4520	Boats \$1000 - \$4999	0	0	0	0
198	4521	Capitalized Boats => \$5000	0	0	0	0
199	4522	Boats < \$1000	0	0	0	0
200	4530	Communications \$1000 - \$4999	0	0	0	0
201	4531	Capitalized Communications => \$5000	0	0	0	0
202	4532	Communications < \$1000	0	0	0	0
203	4540	Other Acquisitions \$1000 - \$4999	0	0	0	0
204	4541	Capitalized Other Acquisitions => \$5000	0	0	0	0
205	4542	Other Acquisitions < \$1000	0	0	0	0
206						
207	TOTAL ACQUISITIONS		0	0	0	0
208						
209	4610	Major Repair - Building	0	0	0	0
210	4620	Major Repair - Auto	0	0	0	0
211	4640	Major Repair - Boats	0	0	0	0
212	4650	Major Repair - Aircraft	0	0	0	0
213	4740	Major Repair - Other	0	0	0	0
214						
215	TOTAL MAJOR REPAIR		0	0	0	0
216						
217	TOTAL ACQUISITION & MAJOR REPAIR		0	0	0	0
218						
219	TOTAL EXPENDITURES		1,253,201	850,000	887,000	37,000
220						

* Authorized Other Charges Positions are those reported under Objects 3670, 3680, and 3681.

** Non-T.O. FTE Positions are those reported under Object 2200 (exclude WAEs).

COMPENSATION OF BOARD OR COMMISSION MEMBERS (TRUSTEES) (2221)

Name of Member	Statutory Authority for Payment	No. of Per Diem Days in 2018	Per Diem Rate	What Other Expenses Are Paid With The Exception Of Travel? (Itemize Type and Amount)	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
Guy Campbell III	La R.S. 9:2343(A)	9	\$200 per day	None	\$ 1,800	\$ 5,000	\$ 5,000	\$ -
Craig Cheramie	La R.S. 9:2343(A)	6	\$200 per day	None	\$ 1,200	\$ 5,000	\$ 5,000	\$ -
Ronald H. Bordelon	La R.S. 9:2343(A)	9	\$200 per day	None	\$ 1,800	\$ 2,000	\$ 2,000	\$ -
Michael C Darnell	La R.S. 9:2343(A)	10	\$200 per day	None	\$ 2,000	\$ 2,000	\$ 2,000	\$ -
David W. Groner	La R.S. 9:2343(A)	10	\$200 per day	None	\$ 2,000	\$ 2,000	\$ 2,000	\$ -
Danny W. Malone	La R.S. 9:2343(A)	2	\$200 per day	None	\$ 400	\$ 2,000	\$ 2,000	\$ -
Casey R. Guidry	La R.S. 9:2343(A)	10	\$200 per day	None	\$ 2,000	\$ 2,000	\$ 2,000	\$ -
						\$ -	\$ -	\$ -
TOTAL COMPENSATION					\$ 11,200	\$ 20,000	\$ 20,000	\$ -

OBJECT				PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021
CLASS	LIST BY PROGRAM	DESTINATION	PURPOSE OF TRAVEL			
	IN STATE TRAVEL:		Bond Financing/ Business Development:			
	Administrative					
2500	Bond Financing/ Business Development:	Statewide	To promote, encourage and further the accomplishment of all activities	\$ 5,392	\$ 7,800	\$ 7,800
	Student Loans:		which are or may become of benefit to the state of Louisiana and	\$ 1,758	\$ 4,000	\$ 4,000
	Total		which have public purpose, including industrial, economic, and community	\$ 7,150	\$ 11,800	\$ 11,800
			development, health, education, environmental, and public safety; to provide			
	Conferences, Conventions and Training		leadership training programs, bond financing seminars, economic development			
2510	Bond Financing/ Business Development:	Statewide	training for local governments and rural areas, educational and networking	\$ 2,590	\$ 5,000	\$ 5,000
	Student Loans:		opportunities for state and local governments; community outreach initiatives;	\$ 4,124	\$ 8,000	\$ 6,000
	Total		professional training seminars for human resources, computer, and continuing	\$ 6,714	\$ 13,000	\$ 11,000
			education on federal and state bond laws; to attend economic development			
	Field Travel		conferences, bond closings, groundbreaking ceremonies for bond financings, and public			
2520	Bond Financing/ Business Development:	Statewide	relations seminars and activities; to meet with clients and prospective clients; and to	\$ 123	\$ 1,500	\$ 1,500
	Student Loans:		perform general economic development activities.	\$ 3,689	\$ 4,500	\$ 4,500
	Total			\$ 3,812	\$ 6,000	\$ 6,000
			Student Loans:			
	Board Members					
2530	Bond Financing/ Business Development:	Statewide	To develop and market lower cost student loansand programs student loan refinancing and other relate	\$ 3,254	\$ 4,500	\$ 4,500
	Student Loans:		programs which make higher education more accessible and affordable to Louisiana students,	\$ 3,254	\$ 4,500	\$ 4,500
	Total		parents and teachers; to provide information on financial aid access and FAFSA completion services	\$ 6,508	\$ 9,000	\$ 9,000
			In Louisiana; to attend			
			professional training seminars for human resources, accounting, personnel, computer			
			and client users; to attend student loan conferences of third party service providers;			
			to develop in-school lower cost loan programs; to attend student aid operations			
			functions and student loan bond closings and seminars. To attend board meetings,			
			bond commission meetings and other special functions promoting LPFA programs and services.			
			Board of Trustees:			
			To attend board meetings, bond closings, bond commission meetings and other			
			special functions promoting LPFA programs and services.			
Explain Existing Operating Budget by Program. Use Continuation Sheet.						

OBJECT				PRIOR	EXISTING	
CLASS	LIST BY PROGRAM	DESTINATION	PURPOSE OF TRAVEL	YEAR	OPERATING	TOTAL
				ACTUAL	BUDGET	REQUEST
				2019	2020	2021
	OUT OF STATE TRAVEL:					
	Administrative					
2600	Bond Financing/ Business Development:		SEE THE ATTACHED DESCRIPTION OF PROPOSED	\$ -	\$ 700	\$ 700
	Student Loans:		2021 OUT OF STATE TRAVEL	\$ 3,198	\$ -	\$ -
	Total			\$ 3,198	\$ 700	\$ 700
	Conferences, Conventions and Training					
2610	Bond Financing/ Business Development:			\$ 9,381	\$ 16,250	\$ 16,250
	Student Loans:			\$ 13,139	\$ 8,500	\$ 6,500
	Total			\$ 22,520	\$ 24,750	\$ 22,750
	Field Travel					
2620	Bond Financing/ Business Development:			\$ -	\$ 700	\$ 700
	Student Loans:			\$ -	\$ 250	\$ 250
	Total			\$ -	\$ 950	\$ 950
	Board members					
2630	Bond Financing/ Business Development:			\$ -	\$ 2,500	\$ 2,500
	Student Loans:			\$ -	\$ -	\$ -
	Total			\$ -	\$ 2,500	\$ 2,500
	Explain Existing Operating Budget by Program. Use Continuation Sheet.					

PROPOSED OUT OF STATE TRAVEL BUDGET FOR 2021
BOND FINANCING/BUSINESS DEVELOPMENT

APRIL	Staff Member:	2 People	
	Purpose:	NAHEFFA Spring Conference	
	Location:	To be determined by NAHEFFA	
	Costs to Attend:	\$3,000	\$3,000
SEPTEMBER	Staff Member:	2 People	
	Purpose:	NAHEFFA Fall Conference	
	Location:	To be determined by NAHEFFA	
	Costs to Attend:		\$3,000
<hr/>			
JANUARY	Staff Member:	1 person	
	Purpose:	CDFA Board Meeting	
	Location:	To be determined by CDFA	
	Costs to Attend:		\$1,500
MAY	Staff Member:	2 People	
	Purpose:	CDFA Board Meeting & Annual Educational Conference	
	Location:	To be determined by CDFA	
	Costs to Attend:		\$3,000
OCTOBER	Staff Member:	1 Person	
	Purpose:	CDFA Board Meeting & Strategic Planning	
	Location:	To be determined by CDFA	
	Costs to Attend:		\$1,500
<hr/>			
To be Determined	Staff Member:	1 person	
	Purpose:	A meeting or meetings with the MSRB, IRS, SEC, or other Government Agencies at the request of the agencies or in connection with NAHEFFA or CDFA initiatives	
	Location:	Washington, D.C.	
	Costs to Attend:		\$1,750
To be Determined	Staff Member:	1 person	
	Purpose:	Accounting Training and CPE Seminar	
	Location:	To be determined	
	Costs to Attend:		\$1,000
To be Determined	Staff Member:	1 person	
	Purpose:	SPRF Annual Conference	
	Location:	To be determined by SPRF	
	Costs to Attend:		\$1,500
			\$ 16,250.00

Proposed 2021 Student Loan Conferences/Meetings OUT OF STATE

Event/Item		Estimated Amount
EMPLOYEES		
March	Education Finance Council Annual Membership Meeting Location: To be determined by EFC Purpose: Annual mtg and training on student loan bond issues, secondary market issues, regulatory compliance President and Vice President of Student Loans	2,500
July	Education Finance Council Mid-Year Meeting Location: Arlington, Va. Purpose: Training updates from Washington DC from regulators as well as student loan industry updates Two employees	2,000
November	Education Finance Council CEO Planning Meeting Location: To be determined by the association Purpose: Timing varies and is scheduled on an as needed basis to inform leadership of changes and course of action to take on various issues which will affect the future of the loan programs; President and/or Vice President of Student Loans	1,000
To be determined	Accounting Training and CPE Location: To be determined Purpose: Ongoing training and CPE for staff accountant Accountant	1,000
Total for Employees		<u>6,500</u>
TRUSTEES		
To be determined	Council of Development Finance Agencies, National Association of Health and Educational Facilities Finance Authorities or Education Finance Council - Capital Hill Day Location: Washington, DC Purpose: Educate and update Louisiana's Congressional Delegation about LPFA's Programs and Services Board Memberes	<u>2,500</u>
Total for Trustees		<u>2,500</u>

ADVERTISING 2700	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
Bond Financing/ Business Development:				
Advertising and Business Promotions	\$ 29,337	\$ 44,000	\$ 44,000	\$ -
				\$ -
SUB-TOTAL	\$ 29,337	\$ 44,000	\$ 44,000	\$ -
Student Loans:				
Advertising and Business Promotions	\$ 13,135	\$ 19,875	\$ 24,675	\$ 4,800
SUB-TOTAL	\$ 13,135	\$ 19,875	\$ 24,675	\$ 4,800
TOTAL ADVERTISING	\$ 42,472	\$ 63,875	\$ 68,675	\$ 4,800

Explain Existing Operating Budget By Program. Use Continuation Sheet If Necessary.

PRINTING 2710	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
Bond Financing/ Business Development:				
Printing and Binding	\$ 3,752	\$ 15,000	\$ 15,000	\$ -
Student Loans:				
Printing and Binding	\$ 11,346	\$ 8,000	\$ 8,000	\$ -
TOTAL PRINTING	\$ 15,098	\$ 23,000	\$ 23,000	\$ -

Explain Existing Operating Budget By Program. Use Continuation Sheet If Necessary.

INSURANCE	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
2720 - AUTOMOTIVE	N/A	N/A	N/A	N/A
2730 - WORKMAN'S COMPENSATION				
Bond Financing/ Business Development	\$ 1,180	\$ 2,300	\$ 2,300	\$ -
Student Loans	\$ 2,191	\$ 2,300	\$ 2,300	\$ -
TOTAL WORKMAN'S COMPENSATION	\$ 3,371	\$ 4,600	\$ 4,600	\$ -
2740 - FIRE & EXTENDED COVERAGE				
Bond Financing/ Business Development	\$ 1,242	\$ 2,300	\$ 2,300	\$ -
Student Loans	\$ 2,298	\$ 2,300	\$ 2,300	\$ -
TOTAL FIRE & EXTENDED COVERAGE	\$ 3,540	\$ 4,600	\$ 4,600	\$ -
2750 - MALPRACTICE	N/A	N/A	N/A	N/A
2760 - OTHER				
Bond Financing/ Business Development- Insurance Surty and D&O	\$ 8,464	\$ 13,500	\$ 13,500	\$ -
Student Loans- Insurance Surty and D&O	\$ 15,717	\$ 13,500	\$ 13,500	\$ -
TOTAL OTHER	\$ 24,181	\$ 27,000	\$ 27,000	\$ -
PAGE TOTAL	\$ 31,092	\$ 36,200	\$ 36,200	\$ -

MAINTENANCE OF PROPERTY AND EQUIPMENT	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
2770 - AUTOMOTIVE	N/A	N/A	N/A	N/A

Explain Existing Operating Budget by Program. Use Continuation Sheet if Necessary.

MAINTENANCE OF PROPERTY AND EQUIPMENT	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
2780 - PROPERTY & EQUIP. - OTHER	N/A	N/A	N/A	N/A
2790 - BUILDINGS	N/A	N/A	N/A	N/A
2800 - EQUIPMENT				
Bond Financing/ Business Development	\$ -	\$ 2,000	\$ 2,000	\$ -
Student Loans	\$ -	\$ 2,000	\$ 2,000	\$ -
TOTAL EQUIPMENT	\$ -	\$ 4,000	\$ 4,000	\$ -
2810 - JANITORIAL/CUSTODIAL	N/A	N/A	N/A	N/A
2820 - DATA PROCESSING				
Bond Financing/ Business Development	\$ 12,347	\$ 12,000	\$ 12,000	\$ -
Student Loans	\$ 24,273	\$ 12,000	\$ 12,000	\$ -
TOTAL DATA PROCESSING	\$ 36,620	\$ 24,000	\$ 24,000	\$ -
2825 - DATA PROCESSING - SOFTWARE				
Bond Financing/ Business Development	\$ -	\$ -	\$ -	\$ -
Student Loans	\$ -	\$ -	\$ -	\$ -
TOTAL DATA PROCESSING- SOFTWARE	\$ -	\$ -	\$ -	\$ -
PAGE TOTAL	\$ 36,620	\$ 28,000	\$ 28,000	\$ -

Explain Existing Operating Budget by Program. Use Continuation Sheet if Necessary.

DUES AND SUBSCRIPTIONS 2890	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
Bond Financing/ Business Development:				
Dues and Membership	\$ 4,950	\$ 9,600	\$ 9,600	\$ -
Publications/Reports	\$ 117	\$ 500	\$ 500	\$ -
Subscriptions	\$ 1,180	\$ 1,500	\$ 1,500	\$ -
Total Bond Financing/ Business Development	\$ 6,247	\$ 11,600	\$ 11,600	\$ -
Student Loans:				
Dues and Membership	\$ 23,064	\$ 13,575	\$ 3,575	\$ (10,000)
Publications/Reports	\$ -	\$ 250	\$ 250	\$ -
Subscriptions	\$ 1,506	\$ 1,500	\$ 1,500	\$ -
Total Student Loans	\$ 24,570	\$ 15,325	\$ 5,325	\$ (10,000)
TOTAL DUES AND SUBSCRIPTIONS	\$ 30,817	\$ 26,925	\$ 16,925	\$ (10,000)

Explain Existing Operating Budget By Program. Use Continuation Sheet If Necessary.

DUES AND SUBSCRIPTIONS 2890	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
DUES AND MEMBERSHIP			
BOND FINANCING/ BUSINESS DEVELOPMENT			
Council of Development Finance Agencies	\$ 3,000	\$ 3,000	\$ -
National Ass'n. of Health & Education Fac. Finance Authorities	\$ 4,000	\$ 4,000	\$ -
Louisiana Ind. Dev. Executives Assn.	\$ 200	\$ 200	\$ -
Louisiana Assoc. of Non Profit Org.	\$ 100	\$ 100	\$ -
Louisiana Business Incubation Assoc.	\$ 100	\$ 100	\$ -
Miscellaneous	\$ 2,200	\$ 2,200	\$ -
SUB-TOTAL	\$ 9,600	\$ 9,600	\$ -
STUDENT LOANS:			
Southwest Assoc. of Student Aid Admin.	\$ 250	\$ 250	\$ -
Louisiana Assoc. of Student Aid Admin.	\$ 250	\$ 250	\$ -
Education Finance Council	\$ 10,000	\$ -	\$ (10,000)
National College Access Network	\$ 1,000	\$ 1,000	\$ -
Louisiana Banker's Association	\$ 1,000	\$ 1,000	\$ -
Louisiana Jumpstart Coalition	\$ -	\$ -	\$ -
Miscellaneous	\$ 1,075	\$ 1,075	\$ -
SUB-TOTAL	\$ 13,575	\$ 3,575	\$ (10,000)
TOTAL DUES AND MEMBERSHIP	\$ 23,175	\$ 13,175	\$ (10,000)

DUES AND SUBSCRIPTIONS 2890	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
PUBLICATIONS AND REPORTS			
BOND FINANACING/ BUSINESS DEVELOPMENT			
Louisiana Assoc. of Non Profit Org	\$ -	\$ -	\$ -
Legal Directories Publication	\$ 30	\$ 30	\$ -
Louisiana Governmental Studies	\$ 375	\$ 375	\$ -
Thompson Financial	\$ -	\$ -	\$ -
Secretary of State Publications	\$ 75	\$ 75	\$ -
Louisiana Press Association	\$ 20	\$ 20	\$ -
Other	\$ -	\$ -	\$ -
SUB-TOTAL	\$ 500	\$ 500	\$ -
STUDENT LOANS:			
Louisiana Assoc. of Non Profit Org.	\$ 20	\$ 20	\$ -
Legal Directories Publication	\$ 30	\$ 30	\$ -
La. Assoc. of College Reg.and Admiss. Off.	\$ 50	\$ 50	\$ -
Louisiana Bankers Association	\$ 125	\$ 125	\$ -
Louisiana Press Association	\$ 25	\$ 25	\$ -
Other			\$ -
SUB-TOTAL	\$ 250	\$ 250	\$ -
TOTAL PUBLICATIONS AND REPORTS	\$ 750	\$ 750	\$ -

DUES AND SUBSCRIPTIONS 2890	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
SUBSCRIPTIONS			
BOND FINANACING/ BUSINESS DEVELOPMENT			
The Bond Buyer	\$ 600	\$ 600	\$ -
New Orleans City Business	\$ 50	\$ 50	\$ -
State Bond Commission	\$ 35	\$ 35	\$ -
Tax Analysts	\$ 150	\$ 150	\$ -
Capitol City Press	\$ 75	\$ 75	\$ -
Finder Binder	\$ 60	\$ 60	\$ -
B.R. Business Report	\$ 50	\$ 50	\$ -
Metro Press Clipping	\$ 425	\$ 425	\$ -
Sheshunoff Publications	\$ 55	\$ 55	\$ -
SUB-TOTAL	\$ 1,500	\$ 1,500	\$ -
STUDENT LOANS:			
Capital City Press	\$ 125	\$ 125	\$ -
The Bond Buyer	\$ 700	\$ 700	\$ -
Tax Analysts	\$ -	\$ -	\$ -
Metro Press Clippings	\$ 600	\$ 600	\$ -
New Orleans City Business	\$ 50	\$ 50	\$ -
Postsecondary Education	\$ 25	\$ 25	\$ -
State Bond Commission	\$ -	\$ -	\$ -
SUB-TOTAL	\$ 1,500	\$ 1,500	\$ -
TOTAL SUBSCRIPTIONS	\$ 3,000	\$ 3,000	\$ -

RENTALS	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
2830 - BUILDINGS				
Bond Financing/ Business Development:				
Office	\$ 65,501	\$ 93,700	\$ 85,000	\$ (8,700)
Storage Facility	\$ 3,423	\$ 5,000	\$ 5,000	\$ -
Total Bond Financing/ Business Development	\$ 68,924	\$ 98,700	\$ 90,000	\$ (8,700)
Student Loans:				
Office	\$ 121,645	\$ 93,700	\$ 85,000	\$ (8,700)
Storage Facility	\$ 6,357	\$ 5,000	\$ 5,000	\$ -
Total Student Loans	\$ 128,002	\$ 98,700	\$ 90,000	\$ (8,700)
TOTAL BUILDINGS	\$ 196,926	\$ 197,400	\$ 180,000	\$ (17,400)
2840 - EQUIPMENT	N/A	N/A	N/A	N/A
2850 - DATA PROCESSING	N/A	N/A	N/A	N/A
2860 - THIRD PARTY LEASES	N/A	N/A	N/A	N/A
2865 - DATA PROCESSING EQUIPMENT - FINANCING	N/A	N/A	N/A	N/A
2870 - OTHER (SPECIFY)	N/A	N/A	N/A	N/A
*AUTO, AIRCRAFT, BOAT	N/A	N/A	N/A	N/A
2875 - DATA PROCESSING EQUIPMENT - SOFTWARE				
Bond Financing/ Business Development	\$ 4,588	\$ 5,000	\$ 5,000	\$ -
Student Loans	\$ 9,220	\$ 5,000	\$ 5,000	\$ -
TOTAL DATA PROCESSING EQUIPMENT- SOFTWARE	\$ 13,808	\$ 10,000	\$ 10,000	\$ -
2880 - INTERNET PROVIDER COSTS				
Bond Financing/ Business Development	\$ 8,051	\$ 10,000	\$ 10,000	\$ -
Student Loans	\$ 12,042	\$ 10,000	\$ 10,000	\$ -
TOTAL INTERNET PROVIDER COSTS	\$ 20,093	\$ 20,000	\$ 20,000	\$ -
PAGE TOTAL	\$ 230,827	\$ 227,400	\$ 210,000	\$ (17,400)

Explain Existing Operating Budget by Program. Use Continuation Sheet to list specifics as outlined in the Instruction Manual.

MAIL, DELIVERY & POSTAGE 2900	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
Bond Financing/ Business Development:				
Freight and Shipping	\$ 3,242	\$ 4,000	\$ 4,000	\$ -
Postage Expense	\$ 1,141	\$ 3,000	\$ 3,000	\$ -
SUB-TOTAL	\$ 4,383	\$ 7,000	\$ 7,000	\$ -
Student Loans:				
Freight and Shipping	\$ 3,424	\$ 3,000	\$ 3,000	\$ -
Postage Expense	\$ 3,150	\$ 2,000	\$ 2,000	\$ -
SUB-TOTAL	\$ 6,574	\$ 5,000	\$ 5,000	\$ -
TOTAL MAIL, DELIVERY & POSTAGE	\$ 10,957	\$ 12,000	\$ 12,000	\$ -

Explain Existing Operating Budget By Program. Use Continuation Sheet If Necessary.

Major articles mailed:

- 1) Quarterly LPFA Newsletter sent to clients for education and awareness.
- 2) Annual Report sent to clients for education and awareness.
- 3) Ongoing Student Loan marketing materials including direct mail campaigns to high school students.
- 4) Ongoing Board of Trustees meeting agendas.

TELEPHONE SERVICES	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
2910 - TELEPHONE SERVICES				
Bond Financing/ Business Development	\$ 3,283	\$ 7,500	\$ 7,500	\$ -
Student Loans	\$ 8,658	\$ 7,500	\$ 7,500	\$ -
TOTAL TELEPHONE SERVICES	\$ 11,941	\$ 15,000	\$ 15,000	\$ -
2930 - OTHER COMMUNICATION SERVICES	N/A	N/A	N/A	N/A
2935 - DATA PROCESSING - CONTRACT SERVICES	N/A	N/A	N/A	N/A
PAGE TOTAL	\$ 11,941	\$ 15,000	\$ 15,000	\$ -

Explain Existing Operating Budget By Program. Use Continuation Sheet If Necessary.

UTILITIES	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
2940 - GAS	N/A	N/A	N/A	N/A
2950 - ELECTRICITY	N/A	N/A	N/A	N/A
2960 - WATER	N/A	N/A	N/A	N/A
2970 - OTHER	N/A	N/A	N/A	N/A
PAGE TOTAL	0	0	0	0

Explain Existing Operating Budget By Program. Use Continuation Sheet if Necessary.

OTHER OPERATING SERVICES	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
2980 - LAUNDRY	N/A	N/A	N/A	N/A
2990 - LABORATORY FEES	N/A	N/A	N/A	N/A
2991 - SECURITY	N/A	N/A	N/A	N/A
3000 - MISCELLANEOUS				
Bond Financing/ Business Development:				
Unreimbursed Project Costs	\$ -	\$ 400	\$ 400	\$ -
DNR Program Expenses	\$ 22,500	\$ -	\$ 11,000	\$ 11,000
Bank Charges	\$ 3,980	\$ 4,000	\$ 4,000	\$ -
Miscellaneous	\$ -	\$ 400	\$ 400	\$ -
Taxes and Licenses	\$ 20	\$ 50	\$ 50	\$ -
Bad Debt Expense	\$ -	\$ -	\$ -	\$ -
Grants/ Technical Assistance/Advocacy	\$ -	\$ 101,535	\$ 101,535	\$ -
SUB-TOTAL	\$ 26,500	\$ 106,385	\$ 117,385	\$ 11,000
Student Loans:				
Bank Charges	\$ -	\$ -	\$ -	\$ -
Miscellaneous	\$ -	\$ -	\$ -	\$ -
Taxes and Licenses	\$ -	\$ -	\$ -	\$ -
Grants/ Technical Assistance	\$ 13,000	\$ -	\$ 10,000	\$ 10,000
SUB-TOTAL	\$ 13,000	\$ -	\$ 10,000	\$ 10,000
TOTAL MISCELLANEOUS	\$ 39,500	\$ 106,385	\$ 127,385	\$ 21,000
3020 - DEPRECIATION EXPENSE-IMPROVEMENTS				
Bond Financing/ Business Development	\$ -	\$ -	\$ -	\$ -
Student Loans	\$ -	\$ 400	\$ 400	\$ -
TOTAL DEPRECIATION EXPENSE-IMPROVEMENTS	\$ -	\$ 400	\$ 400	\$ -
3030 - DEPRECIATION EXPENSE-EQUIPMENT				
Bond Financing/ Business Development	\$ 3,088	\$ 8,700	\$ 8,700	\$ -
Student Loans	\$ 5,735	\$ 8,700	\$ 8,700	\$ -
TOTAL DEPRECIATION EXPENSE-EQUIPMENT	\$ 8,823	\$ 17,400	\$ 17,400	\$ -
3040 - DEPRECIATION EXPENSE-SOFTWARE				
Bond Financing/ Business Development	\$ -	\$ 500	\$ 500	\$ -
Student Loans	\$ -	\$ 1,500	\$ 1,500	\$ -
TOTAL DEPRECIATION EXPENSE-SOFTWARE	\$ -	\$ 2,000	\$ 2,000	\$ -
PAGE TOTAL	\$ 48,323	\$ 126,185	\$ 147,185	\$ 21,000

Explain Existing Operating Budget By Program. Use Continuation Sheet if Necessary.

SCHEDULE OF SUPPLIES-DETAIL 3

DETAILED EXPLANATION MUST BE PROVIDED

BR-16A
(9/09)

OFFICE SUPPLIES 3100	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
Bond Financing/ Business Development:				
Copier Expense	\$ 1,344	\$ 3,250	\$ 3,250	\$ -
Office Expenses	\$ 5,353	\$ 10,000	\$ 10,000	\$ -
Office Supplies	\$ -	\$ -	\$ -	\$ -
Miscellaneous	\$ -	\$ 500	\$ 500	\$ -
SUB-TOTAL	\$ 6,697	\$ 13,750	\$ 13,750	\$ -
Student Loans:				
Copier Expense	\$ 2,497	\$ 3,250	\$ 3,250	\$ -
Office Expenses	\$ 9,592	\$ 10,000	\$ 10,000	\$ -
Office Supplies	\$ -	\$ -	\$ -	\$ -
Miscellaneous	\$ -	\$ 250	\$ 250	\$ -
SUB TOTAL	\$ 12,089	\$ 13,500	\$ 13,500	\$ -
TOTAL OFFICE SUPPLIES	\$ 18,786	\$ 27,250	\$ 27,250	\$ -

Explain Existing Operating Budget By Program. Use Continuation Sheet If Necessary.

OPERATING SUPPLIES	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
3110 - PHARMACEUTICALS	N/A	N/A	N/A	N/A
3120 - COMPUTER	N/A	N/A	N/A	N/A
3130 - CLOTHING - UNIFORMS	N/A	N/A	N/A	N/A
3140 - MEDICAL	N/A	N/A	N/A	N/A
3150 - EDUCATION & RECREATION	N/A	N/A	N/A	N/A
3160 - FOOD	N/A	N/A	N/A	N/A
PAGE TOTAL	0	0	0	0

Explain Existing Operating Budget By Program. Use Continuation Sheet If Necessary.

OTHER OPERATING SUPPLIES	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
3170 - AUTOMOTIVE	N/A	N/A	N/A	N/A
3180 - OTHER	N/A	N/A	N/A	N/A
3185 - PURCHASING CARD	N/A	N/A	N/A	N/A
3190 - BUILDINGS, GROUNDS & GENERAL PLANT	N/A	N/A	N/A	N/A
3200 - HOUSEHOLD	N/A	N/A	N/A	N/A
3210 - FARM	N/A	N/A	N/A	N/A
3220 - PERSONAL ITEMS	N/A	N/A	N/A	N/A
3230 - OTHER MEDICAL	N/A	N/A	N/A	N/A
PAGE TOTAL	0	0	0	0

Explain Existing Operating Budget By Program. Use Continuation Sheet If Necessary.

REPAIR & MAINTENANCE SUPPLIES	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
3300 - AUTOMOTIVE	N/A	N/A	N/A	N/A
3310 - OTHER	N/A	N/A	N/A	N/A
3320 - SOFTWARE	N/A	N/A	N/A	N/A
3330 - VOC-TECH SCHOOL BLDG. SUPPLIES	N/A	N/A	N/A	N/A
3340 - STORES INCREASE	N/A	N/A	N/A	N/A
3350 - STORES DECREASE	N/A	N/A	N/A	N/A
PAGE TOTAL	0	0	0	0

Explain Existing Operating Budget By Program. Use Continuation Sheet If Necessary.

OBJECT CLASS	DESCRIPTION	NAME AND ADDRESS OF INDIVIDUAL AND/OR FIRM	NATURE OF WORK PERFORMED AND JUSTIFICATION FOR SERVICES	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
	Bond Financing/ Business Development:						
3400	Accounting	Postlethwaite & Netterville, APAC		\$ -	\$ 3,000	\$ 3,000	\$ -
3400	Audit	Louisiana State Legislative Auditor		\$ 16,500	\$ 17,000	\$ 17,000	\$ -
	SUB-TOTAL			\$ 16,500	\$ 20,000	\$ 20,000	\$ -
3430	Legal	Breazeale Sachse Wilson, LLP		\$ 195	\$ 3,000	\$ 3,000	\$ -
3430	Legal	Gregory A. Pletsch & Associate			\$ 1,000	\$ 1,000	\$ -
3430	Legal	Jacob S. Capraro		\$ 19,350	\$ 13,000	\$ 13,000	\$ -
3430	Legal	Kutak Rock		\$ -	\$ 3,000	\$ 3,000	\$ -
	SUB-TOTAL			\$ 19,545	\$ 20,000	\$ 20,000	\$ -
3460	Casual Labor	Various		\$ -	\$ 1,000	\$ 1,000	\$ -
3460	Media Graphics/ Third Party	Don Kadair Photography		\$ 350	\$ 1,500	\$ 1,500	\$ -
3460	Media Graphics/ Third Party	Object 9		\$ 14,700	\$ 25,000	\$ 25,000	\$ -
3460	Media Graphics/ Third Party	Various		\$ -	\$ 1,500	\$ 2,020	\$ 520
3460	Media Graphics/ Third Party	Dr. James Richardson		\$ -	\$ 12,500	\$ 12,500	\$ -
3460	Media Graphics/ Third Party	NAHEFFA		\$ -	\$ 2,000	\$ 2,000	\$ -
	SUB-TOTAL			\$ 15,050	\$ 43,500	\$ 44,020	\$ 520
	Student Loans:						
3400	Accounting	Hannis T. Bourgeois and Co.		\$ -	\$ 500	\$ 500	\$ -
3400	Audit	Louisiana State Legislative Auditor or Postlethwaite & Netterville, APAC		\$ 16,500	\$ 17,000	\$ 17,000	\$ -
	SUB-TOTAL			\$ 16,500	\$ 17,500	\$ 17,500	\$ -
3430	Legal	Breazeale Sachse Wilson, LLP		\$ 111	\$ 2,000	\$ 2,000	\$ -
3430	Legal	Gregory A. Pletsch & Associate			\$ 2,000	\$ 2,000	\$ -
3430	Legal	Jacob S. Capraro		\$ 9,820	\$ 4,000	\$ 4,000	\$ -
3430	Legal	Foley & Lardner		\$ -	\$ 2,000	\$ 2,000	\$ -
	SUB-TOTAL			\$ 9,931	\$ 10,000	\$ 10,000	\$ -
3460	Casual Labor	Various		\$ -	\$ 500	\$ 500	\$ -
3460	Media Graphics/ Third Party	EGB Creative or Similar		\$ 3,481	\$ 8,000	\$ 8,000	\$ -
3460	Media Graphics/ Third Party	Lambert Media		\$ -	\$ -	\$ -	\$ -
3460	Media Graphics/ Third Party	Various		\$ -	\$ -	\$ -	\$ -
3460	Media Graphics/ Third Party	Dr. James Richardson			\$ 2,000	\$ 2,000	\$ -
3460	Media Graphics/ Third Party	Transformix/Explore Interactive/Bizzuka		\$ -	\$ -	\$ -	\$ -
3460	Media Graphics/ Third Party	Object 9		\$ 6,300	\$ 2,800	\$ 2,800	\$ -
3460	Media Graphics/ Third Party	Overman Enterprises		\$ -	\$ -	\$ -	\$ -
	SUB-TOTAL			\$ 9,781	\$ 13,300	\$ 13,300	\$ -
3400	Consolidated Accounting & Audit			\$ 33,000	\$ 37,500	\$ 37,500	\$ -
3430	Consolidated Legal			\$ 29,476	\$ 30,000	\$ 30,000	\$ -
3460	Consolidated Other Professional Services			\$ 24,831	\$ 56,800	\$ 57,320	\$ 520
	GRAND TOTAL PROFESSIONAL SERVICES			\$ 87,307	\$ 124,300	\$ 124,820	\$ 520
Explain Existing Operating Budget by Program. Use Continuation Sheet if Necessary.							

NATURE OF WORK PERFORMED
AND JUSTIFICATION FOR SERVICES

Hannis T. Bourgeois, LLP – 8555 United Plaza Blvd. Suite 301, Baton Rouge, LA 70809 – Provides accounting services related to LPFA's bond financing and student loan programs.

Postlethwaite and Netterville, APAC – 8550 United Plaza Blvd. Suite 1001, Baton Rouge, LA 70809 – Provides some accounting services related to LPFA operations, including but not limited to payroll, maintenance of general ledger and financial statement preparation. Provides independent audit function for the LPFA's student loan program.

Brezeale, Sachse & Wilson, LLP – P.O. Box 3197, Baton Rouge, LA 70821 – Provides legal counsel and expertise relative to student loan, labor, LouLease Program and general matters for the LPFA.

Gregory A. Pletsch & Associates – 8555 United Plaza Blvd. Suite 301, Baton Rouge, LA 70809 – Provides legal counsel to both programs of the LPFA.

Lambert Media – Baton Rouge, LA 70809 – Provides numerous communications services including, but not limited to: assistance with the production of a quarterly newsletter; production of issue papers; production of news releases; production of annual report; production of miscellaneous communications to organizations or individuals; assistance with media relations and development of a comprehensive marketing strategy for the LPFA, Lela and their programs.

Jacob S. Capraro – 1100 Poydras Street, Suite 2900, New Orleans, LA 70163 – Provides legal counsel and expertise to both programs of the LPFA.

Foley & Lardner – 777 East Wisconsin Ave., Milwaukee, WI 53202 – Provides legal counsel and expertise in connection with the LPFA's student loan program with respect to drafting and maintaining the federally required "Privacy Policy" for the student loan program and matters related thereto.

Kutak Rock – 4435 Main Street, Suite 810, Kansas City, MO 64111 – Provides legal counsel and expertise in connection with LPFA bond issues and programs.

Don Kadair Photography – 8590 Oliver Ave, Baton Rouge, LA 70809– Provides professional photography services for both programs of the LPFA. Services include photography for the newsletters, brochures, other publications and the LPFA Annual Report.

Dr. James Richardson - 3165 Kleinert Avenue, Baton Rouge, LA 70806 – The Authority periodically uses Dr. Richardson to prepare and update a study detailing the economic impact of the Authority and its

Louisiana Legislative Auditor - Baton Rouge, LA 70802 – Provides primary general independent audit function related to LPFA operations.

Object 9 - Baton Rouge Location: 312 W Ardenwood Dr., Baton Rouge, LA 70806 – Provides expertise in the design and production of marketing print materials, digital services and graphics for the LPFA's student loan programs as well as expertise in the design and production of letterhead, business cards, logo designs, and other services for both programs of the LPFA.

EGB Creative - 15214 Oakview Lane, Baton Rouge, LA 70817 – Provides creative, digital and design services.

SCHEDULE OF OTHER CHARGES (35; 36; 37; 38; 39; 40; 41; 42; 43; 48)

LINE NO.	DESCRIPTION	PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET	DETAIL SHEET NOS.
1						
2	N/A	N/A	N/A	N/A	N/A	N/A
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						
21						
22						
23	TOTAL OTHER CHARGES					
	AUTHORIZED OTHER CHARGES POSITIONS:					
24	Other Charges-Salaries Classified (3670)					
25	Other Charges-Compensation (3680)					
26	Other Charges-Wages (3681)					
27	TOTAL AUTHORIZED OTHER CHARGES POSITIONS*					

* Authorized Other Charges Positions are those reported under Objects 3670, 3680, and 3681 (exclude WAEs).

SCHEDULE OF INTERAGENCY TRANSFERS

BR-19
(6/08)

LINE NO		PRIOR YEAR ACTUAL 2019	EXISTING OPERATING BUDGET 2020	TOTAL REQUEST 2021	OVER/UNDER EXISTING OPERATING BUDGET
1	General Fund				\$0
2	Interagency Transfer				\$ -
3	Self Generated Revenue				\$0
4	Statutory Dedications:				\$0
5	(List Statutory Dedications Separately)				\$0
6	IEB				\$0
7	Federal Funds				\$0
8	TOTAL REVENUE	\$ -	\$ -	\$ -	\$ -
	DESCRIPTION				
	(To Be Transferred To)				
9	State of Lousiana per 2015 Act 121	\$0	\$0	\$0	\$0
10					\$0
11					\$0
12					\$0
13					\$0
14					\$0
15					\$0
16					\$0
17					\$0
18					\$0
19					\$0
20					\$0
21					\$0
22					\$0
23	TOTAL INTERAGENCY TRANSFERS	\$0	\$0	\$0	\$0

Note: Copies of all IAT agreements requested to be funded should be included in the agency's budget request submission. Verify that all such agreements are included prior to submitting the budget request. This excludes statewide IAT agreements - ie. OTM, Risk Management.

Priority Number	OBJ CLASS	QUANTITY	EQUIPMENT REPLACED DESCRIPTION BY PROGRAM	AMOUNT	OBJ CLASS	QUANTITY	NEW EQUIPMENT DESCRIPTION BY PROGRAM	AMOUNT
							Both Programs*	
					4450		Acquisitions - Capitalized Software	
								\$ -
							Subtotal	\$ -
					4451		Acquisitions - Capitalized Hardware	
						1	Computer and Telephone Network Upgrades	\$ 10,000
								\$ -
							Subtotal	\$ 10,000
					4453		Acquisitions - Software	
								\$ -
							Subtotal	\$ -
					4454		Acquisitions - Hardware	
						2	Laptop Computers	\$ 5,000
						3	Desktop Computers	\$ 5,000
							Subtotal	\$ 10,000
					4456		Acquisitions - Software Less Than \$1000	
							Subtotal	\$ -
					4457		Acquisitions - Hardware Less Than \$1000	
							Subtotal	\$ -
					4490		Acquisitions - Office Equipment	
							Various Office Furniture and Equipment to Replace Old or Broken Items	\$ 9,400
							Subtotal	\$ 9,400
					4491		Acquisitons - Capitalized Office Equipment	
								\$ -
							Subtotal	\$ -
					4492		Acquisitions - Office Equipment Less Than \$1000	
							Subtotal	\$ -

Itemized Equipment currently authorized in the Existing Operating Budget. Use Continuation Sheet.

* The cost of this equipment will be shared by each program as follows: Bond Financing/Business Development - 50%; Student Loans - 50%.

1	2	3	4	5	6			7	8			9	10			
Name of Incumbent	C or U	Date of Vacancy	Class or Title of Position (including Pay Scale Group)	Current Semi-Monthly Salary as of 10/30/2020 - - -	Semi-Monthly Increases To Be Given Prior to End of Current Year			Total Base for Requested Year (Column 5 + Column 6B) X 26	Total Salary Adjustments for Requested Year			Total Salary for Requested Year Column 7 + Column 8C	Related Benefits			
					6A	6B	6C		8A	8B	8C		Retirement	F.I.C.A. Tax	F.I.C.A. Medicare Tax	Group Insurance
					Date	Biweekly Amount	Total Amount		Date	Biweekly Amount	Total Amount					
James W. Parks II	U		President and CEO	\$8,291		\$0	\$0	\$198,974	1/1/2021	*	*	*	*	\$0	*	*
Martain Walke	U		Vice President of Economic and Program Development	\$4,359		\$0	\$0	\$104,623	1/1/2021	*	*	*	*	\$0	*	*
Patricia A. Dubroc	U		Vice President of Student Loans and Administration	\$5,505		\$0	\$0	\$132,115	1/1/2021	*	*	*	*	\$0	*	*
Amy Tuminello	U		Accountant	\$3,188		\$0	\$0	\$76,512	1/1/2021	*	*	*	*	\$0	*	*
Currently Vacant	U		Assistant Vice President of Student Loans	\$0		\$0	\$0	\$0	1/1/2021	*	*	*	*	\$0	*	*
Stacye Bradford	U		Executive Assistant	\$2,637		\$0	\$0	\$63,288	1/1/2021	*	*	*	*	\$0	*	*
Joni Leggio	U		Assistant Vice President of Student Loans	\$3,423		\$0	\$0	\$82,156	1/1/2021	*	*	*	*	\$0	*	*
Currently Vacant	U		Marketing Representative	\$0		\$0	\$0	\$0	1/1/2021	*	*	*	*	\$0	*	*
Currently Vacant	U		Client Service Representative	\$0		\$0	\$0	\$0	1/1/2021	*	*	*	*	\$0	*	*
Emily Singleton	U		Public Information / IT Manager	\$1,840		\$0	\$0	\$44,160	1/1/2021	*	*	*	*	\$0	*	*
Kentra Davis	U		Compliance Analyst	\$1,740		\$0	\$0	\$41,760	1/1/2021	*	*	*	*	\$0	*	*
Geralyn King	U		Administrative Assistant	\$1,595		\$0	\$0	\$38,280	1/1/2021	*	*	*	*	\$0	*	*
Currently Vacant	U		Administrative Assistant	\$0		\$0	\$0	\$0	1/1/2021	*	*	*	*	\$0	*	*
Rachel Simmons	U		Receptionist	\$1,265		\$0	\$0	\$30,360	1/1/2021	*	*	*	*	\$0	*	*
Salary adjustments for the requested year will be made based upon merit as determined by LPFA management and will range between 0% and 3% of the base year amounts																
SUBTOTALS	0			\$33,843		\$0	\$0	\$812,228		\$0	\$0	\$830,800	\$93,200	\$0	\$12,200	\$206,400
LESS ATTRITION												\$0	\$0	\$0	\$0	\$0
TOTALS	0			\$33,843		\$0	\$0	\$812,228		\$0	\$0	\$830,800	\$93,200	\$0	\$12,200	\$206,400

**STATE OF LOUISIANA
PARISH OF EAST BATON ROUGE**

**AMENDMENT NUMBER 1
STATEWIDE TRAFFIC DATA MANAGEMENT SERVICES CONTRACT
File Number Y3000008892P, Solicitation Number 3000008892**

Be it known, that effective upon approval by the Director of State Procurement, as evidenced by the Director's signature on this document, the Louisiana Department of Transportation & Development through the Office of Technology Services (hereinafter sometimes referred to as "State") and Midwestern Software Solutions (MS2), 3815 Plaza Drive, Ann Arbor, MI 48108 (hereinafter sometimes referred to as "Contractor") do hereby enter into this Amendment Number 1 to the **STATEWIDE TRAFFIC DATA MANAGEMENT SERVICES** contract, File Number Y3000008892P, Solicitation Number 3000008892 under the following terms and conditions through the undersigned and duly authorized representatives of each respective party.

1.

Change contract from:

30. TERM OF CONTRACT

This Contract is effective upon OSP approval and will end no later than thirty-six (36) months unless otherwise terminated in accordance with the Termination provision(s) of this Contract. At the option of the State of Louisiana and acceptance of the Contractor, this contract may be extended for two (2) additional twelve (12) month periods at the same prices, terms, and conditions.

Prior to the extension of the contract beyond the initial thirty-six (36) month term, prior approval by the Joint Legislative Committee on the Budget ("JLCB") or other approval authorized by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the contract amendment to the Office of State Procurement ("OSP") to extend the contract terms beyond the initial thirty-six (36) month term. Total contract time may not exceed sixty (60) months.

Change contract to:

30. TERM OF CONTRACT

This Contract is effective upon OSP approval and will end no later than sixty (60) months unless otherwise terminated in accordance with the Termination provision(s) of this Contract.

2.

Change contract from:

ATTACHMENT B - Pricing Schedule

Contractor to furnish all materials, equipment, travel, and incidentals necessary to provide the scope of services as outlined in this contract for the sum of:

Software License Cost	\$150,000.00
One-time Implementation Costs	\$48,000.00
Software Maintenance and Support Costs (\$4000.00 per month X 36 months)	\$144,000.00
Hosting Fee (\$1000.00 per month X 36 Months)	\$36,000.00
Total Cost (Over 3 Year Term)	\$378,000.00

Software Maintenance and Support Costs shall be for a period of thirty-six (36) months which shall begin upon expiration of the warranty period.

Software Maintenance and Support shall include the following:

- Periodic updates to the licensed software at no additional cost to the State;
- An Issue Reporting and Tracking system; and
- Services to correct bugs, errors, breaks or defects in the product, which are defined as deviations between the documented functionality of the product and its actual performance.

Change contract to:

ATTACHMENT B - Pricing Schedule

Contractor to furnish all materials, equipment, travel, and incidentals necessary to provide the scope of services as outlined in this contract for the sum of:

Software License Cost	\$150,000.00
One-time Implementation Costs	\$48,000.00
Software Maintenance and Support Costs (\$4000.00 per month X 60 months)	\$240,000.00
Hosting Fee (\$1000.00 per month X 60 Months)	\$60,000.00
Total Cost (Over 5 Year Term)	\$498,000.00

Software Maintenance and Support Costs shall be for a period of sixty (60) months which shall begin upon expiration of the warranty period.

Software Maintenance and Support shall include the following:

- Periodic updates to the licensed software at no additional cost to the State;
- An Issue Reporting and Tracking system; and
- Services to correct bugs, errors, breaks or defects in the product, which are defined as deviations between the documented functionality of the product and its actual performance.

All other terms and conditions of the Contract shall remain the same. The original contract and all amendments constitute the entire Agreement between the State and the Contractor. Any other oral or written communications between the parties before or after its execution shall not alter its effects, unless the change or modification is in writing and signed by authorized representatives of the State and the Contractor. In the event of a conflict between the terms and conditions of the contract and this Amendment, the terms and conditions of this Amendment shall prevail.

THUS DONE AND SIGNED AT (City & State) on this _____ day of _____,
20____, the parties have executed this Amendment Number 1.

CONTRACTOR'S SIGNATURE:

By: _____

Title: _____

THUS DONE AND SIGNED AT (City & State) on this _____ day of _____,
20____, the parties have executed this Amendment Number 1.

DEPT. OF TRANSPORTATION SIGNATURE:

By: _____

Title: _____

THUS DONE AND SIGNED AT (City & State) on this _____ day of _____,
20____, the parties have executed this Amendment Number 1.

OFFICE OF TECHNOLOGY SERVICES SIGNATURE:

By: _____

Title: _____

Approved by:

Director of State Procurement

Date

STATEWIDE TRAFFIC DATA MANAGEMENT SERVICES CONTRACT

1. CONTRACT

Be it known, that effective upon approval by the Director of State Procurement, as evidenced by the Director's signature on this document, the Louisiana Department of Transportation & Development through the Office of Technology Services, (hereinafter sometimes referred to as "State" or "OTS/LA DOTD") and Midwestern Software Solutions (MS2), 3815 Plaza Drive, Ann Arbor, MI 48108, (hereinafter sometimes referred to as "Contractor") do hereby enter into this contract under the following terms and conditions.

2. SCOPE OF SERVICE

The Contractor hereby agrees to furnish the services in accordance with Attachment A, Statement of Work of this Contract, Request for Proposal Solicitation Number 3000008892, Addenda and Contractor's Proposal.

2.1 PERFORMANCE REQUIREMENTS

The performance of this contract will be measured by George Chike, State Program Manager, authorized on behalf of the State, to evaluate the contractor's performance against the criteria in the Statement of Work and are identified as the milestones and deliverables specified in this Contract, the RFP and the Contractor's proposal.

2.2 PERFORMANCE MEASUREMENTS/EVALUATION

The services provided by the Contractor shall be evaluated to determine that the services identified below are provided in a timely and professional manner by the Manager of the LA DOTD Traffic Monitoring & Data Collection unit who will develop a quarterly work schedule for Contractor activities. Objectives and deliverables will be tracked for completion. The services are:

- Setup and maintain web hosting site for LA DOTD traffic data storage and analysis.
- Setup a system to check to make sure that the traffic data auto polling from all the continuous count stations statewide is working as intended.
- Import LA DOTD historical traffic data for display and analysis into web hosted site.
- Increase the speed and efficiency of traffic data collection and processing.
- Produce FHWA reports from collected traffic data to generate Card3, SCard, CCard and WCard submittals.

Fees for service will be reduced on a pro-rata basis by comparing time the service is not in compliance against the stated benchmarks. The contract may be terminated if the service is not available at least 80% of the time. Security compliance shall be maintained at all times during service availability. Fees for service will be reduced on a pro-rata basis by comparing time the service is not in security compliance against the time in compliance.

3. CONTRACT MODIFICATIONS

No amendment or variation of the terms of this contract shall be valid unless made in writing, signed by the parties and approved as required by law. No oral understanding or agreement not incorporated in the contract is binding on any of the parties.

Changes to the contract include any change in a) Compensation; b) Beginning/Ending date of the contract; c) Scope of work; and/or d) Contractor change through the assignment of contract process.

Any such changes aforementioned, once approved, will result in the issuance of an amendment to the contract.

4. FUND USE

Contractor agrees not to use contract proceeds to urge any elector to vote for or against any candidate or proposition on an election ballot nor shall such funds be used to lobby for or against any proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority. This provision shall not prevent the normal dissemination of factual information relative to a proposition on any election ballot or a proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority.

5. HEADINGS

Descriptive headings in this contract are for convenience only and shall not affect the construction of this contract or meaning of contractual language.

6. PAYMENT TERMS

The Office of Technology Services (OTS) shall pay Contractor in accordance with the Pricing Schedule set forth in **Attachment B** to this contract. The Contractor may invoice OTS monthly at the billing address designated by OTS. Payments will be made by OTS within approximately thirty (30) days after receipt of a properly executed invoice, and approval by OTS. Invoices shall include the contract and order number, using department and product purchased. Invoices submitted without the referenced documentation will not be approved for payment until the required information is provided.

7. LATE PAYMENTS

Interest due by the State Agency for late payments shall be in accordance with La. R.S. 39:1695 at the rates established in La. R.S. 13:4202.

8. DELIVERABLES

Contractor agrees to provide the following deliverables:

Data Loading and Processing – Receipt and loading of data into the application shall be performed with care and OTS/LA DOTD must be involved whenever loading issues need to be resolved.

Data Quality Assurance – Processing of loaded data through the quality assurance applications, review of results, coordination of actions possibly required by LA DOTD staff, and accepting data once it has passed quality metrics.

Data Management and Reporting – Manipulation of data, creation of sites and working with OTS/LA DOTD to establish site parameters, and generation of reports.

Hosting Services – Maintaining the server space and OTS/LA DOTD-specific application modules.

Technical Support – Assistance to OTS/LA DOTD, training, updates to software.

9. TAXES

Contractor agrees that all applicable taxes are included in the Pricing Schedule set forth in **Attachment B** to this Contract. State agencies are exempt from all State and local sales and use taxes.

10. TERMINATION

The State of Louisiana has the right to terminate this contract immediately for any of the following reasons: (a) misrepresentation by the Contractor; (b) Contractor's fraud, collusion, conspiracy or other unlawful means of obtaining any contract with the State of Louisiana; (c) conflict of contract provisions with constitutional or statutory provisions of State or Federal Law; (d) abusive or belligerent conduct by the Contractor towards an employee or agent of the State; (e) Contractor's intentional violation of the Louisiana Procurement Code (La. R.S. 39:1551 et seq.) and its corresponding regulations; or, (f) any listed reason for debarment under La. R.S. 39:1672.

10.1. TERMINATION OF THIS CONTRACT FOR CAUSE

The State may terminate this contract for cause based upon the failure of Contractor to comply with the terms and/or conditions of this contract, or failure to fulfill its performance obligations pursuant to this contract, provided that the State shall give the Contractor written notice specifying the Contractor's failure. If within thirty (30) days after receipt of such notice, the Contractor shall not have corrected such failure or, in the case of failure which cannot be corrected in thirty (30) days, begun in good faith to correct such failure and thereafter proceeded diligently to complete such correction, then the State may, at its option, place the Contractor in default and this contract shall terminate on the date specified in such notice.

The Contractor may exercise any rights available to it under Louisiana law to terminate for cause upon the failure of the State to comply with the terms and conditions of this contract, provided that the Contractor shall give the State written notice specifying the State's failure and a reasonable opportunity for the State to cure the defect.

10.2. TERMINATION OF THIS CONTRACT FOR CONVENIENCE

The State of Louisiana may terminate this contract for convenience at any time (1) by giving thirty (30) days written notice to the Contractor of such termination; or (2) by negotiating with the Contractor an effective date. The State shall pay the Contractor for, if applicable: (a) deliverables in progress; (b) the percentage that has been completed satisfactorily; and, (c) for transaction-based services up to the date of termination, to the extent work has been performed satisfactorily.

10.3. TERMINATION FOR NON-APPROPRIATION OF FUNDS

The continuation of this contract is contingent upon the appropriation of funds to fulfill the requirements of this contract by the legislature. If the legislature fails to appropriate sufficient monies to provide for the continuation of this contract or if such appropriation is reduced by the veto of the Governor or by any means provided in the appropriations act or Title 39 of the Louisiana Revised Statutes of 1950 to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of this contract, this contract shall terminate on the date of the beginning of the first fiscal year for which funds are not appropriated.

11. OWNERSHIP

All records, reports, documents, or other material related to this contract and/or obtained or prepared by Contractor in connection with the performance of the services contracted for herein shall become the property of the State, and shall, upon request, be returned by the Contractor to the State, at the Contractor's expense, at termination or expiration of this contract.

All records, reports, documents and other material delivered or transmitted to the Contractor by the State shall remain the property of the State, and shall be returned by the Contractor to the State at the Contractor's expense, at termination or expiration of this contract.

12. USE OF AGENCY'S FACILITIES

Any property of the State furnished to the Contractor shall, unless otherwise provided herein, or approved by the State and/or Agency, be used only for the performance of this contract.

The Contractor shall be responsible for any loss or damage to property of the State and/or State Agency which results from willful misconduct or lack of good faith on the part of the Contractor or which results from the failure on the part of the Contractor to maintain and administer that property in accordance with sound management practices, to ensure that the property will be returned to the State and/or State Agency in like condition, except for normal wear and tear, to that in which it was furnished to the Contractor. Upon the happening of loss, or destruction of, or damage to property of the State, the Contractor shall notify the State thereof and shall take all reasonable steps to protect that property from further damage. The Contractor shall surrender to the State and/or State Agency all property of the State and/or State Agency prior to settlement upon completion, termination, or cancellation of this contract. All reference to the Contractor under this section shall include any of its employees, agents, or subcontractors.

13. WAIVER

Waiver of any breach of any term or condition of this contract shall not be deemed a waiver of any prior or subsequent breach. No term or condition of this contract shall be held to be waived, modified or deleted except by the written consent of both parties.

14. WARRANTIES

Contractor warrants that all services shall be performed in a workmanlike manner, and according to its current description (including any completion criteria) contained in the scope of work.

No Surreptitious Code Warranty. Contractor warrants that Contractor will make all commercially reasonable efforts not to include any Unauthorized Code in the software provided hereunder.

"Unauthorized Code" means any virus, Trojan horse, worm or other software routine or component designed to permit unauthorized access to disable, erase, or otherwise harm software, equipment, or data, or to perform any other such actions. Excluded from this prohibition are identified and State-authorized features designed for purposes of maintenance or technical support.

Contractor further warrants that it has the right to provide and or license its product to the State and that it will operate in accordance with this contract. In the event of a material failure of Contractor's product to function and operate, and/or failure by the Contractor to perform its obligations, in accordance with the terms and conditions of the contract that results in the termination of the contract for cause by the State, the State will not be obligated to compensate the Contractor of any costs incurred by Contractor.

Extent of Warranty: THESE WARRANTIES REPLACE ALL OTHER WARRANTIES, EXPRESS OR IMPLIED, INCLUDING THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE

15. INDEMNIFICATION AND LIMITATION OF LIABILITY

Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under this contract.

Contractor shall be fully liable for the actions of its agents, employees, partners or subcontractors and shall fully indemnify and hold harmless the State from suits, actions, damages and costs of every name and description relating to personal injury and damage to real or personal tangible property caused by Contractor, its agents, employees, partners or subcontractors in the performance of this contract, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of the State.

Contractor will indemnify, defend and hold the State harmless, **without limitation**, from and against any and all damages, expenses (including reasonable attorneys' fees), claims judgments, liabilities and costs which may be finally assessed against the State in any action for infringement of a United States Letter Patent with respect to the Products, Materials, or Services furnished, or of any copyright, trademark, trade secret or intellectual property right, provided that the State shall give the Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit, (ii) the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense, and (iii) assistance in the defense of any such action at the expense of Contractor. Where a dispute or claim arises relative to a real or anticipated infringement, the State may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as the Commissioner of Administration shall require.

The Contractor shall not be obligated to indemnify that portion of a claim or dispute based upon: (i) State's unauthorized modification or alteration of a Product, Material, or Service; (ii) State's use of the Product, Material, or Service in combination with other products, materials, or services not furnished by Contractor; (iii) State's use in other than the specified operating conditions and environment.

In addition to the foregoing, if the use of any item(s) or part(s) thereof shall be enjoined for any reason or if Contractor believes that it may be enjoined, Contractor shall have the right, at its own

expense and sole discretion as the State's exclusive remedy to take action no later than six (6) months after the issuance of an injunction in the following order of precedence: (i) to procure for the State the right to continue using such item(s) or part (s) thereof, as applicable; (ii) to modify the component so that it becomes non-infringing equipment of at least equal quality and performance; or (iii) to replace said item(s) or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, or (iv) if none of the foregoing is commercially reasonable, then provide monetary compensation to the State up to the dollar amount of the Contract. Any injunction that is issued against the State which prevents the State from utilizing the Contractor's product in excess of six (6) months and for which the Contractor has not obtained for the State or provided to the State one of the alternatives set forth in the foregoing sentence is cause for the State to terminate the Contract. In the event of such termination, the State will not be obligated to compensate the Contractor for any costs incurred by the Contractor.

For all other claims against the Contractor where liability is not otherwise set forth in the contract as being "without limitation", and regardless of the basis on which the claim is made, Contractor's liability **for direct damages, shall be the greater of \$100,000, the dollar amount of the Contract, or two (2) times the charges for services rendered by the Contractor under the Contract.** Unless otherwise specifically enumerated herein mutually agreed between the parties, neither party shall be liable to the other for special, indirect or consequential damages, including lost data or records (unless the Contractor is required to back-up the data or records as part of the work plan), even if the party has been advised of the possibility of such damages. Neither party shall be liable for lost profits, lost revenue or lost institutional operating savings.

The State may, in addition to other remedies available to them at law or equity and upon notice to the Contractor, retain such monies from amounts due Contractor, or may proceed against the performance and payment bond, if any, as may be necessary to satisfy any claim for damages, penalties, costs and the like asserted by or against them.

16. INSURANCE

16.1. INSURANCE

Contractor will be required to provide the State of Louisiana with Certificates of adequate insurance indicating coverage required, in accordance with Sections 1.30 and 1.31 of the RFP and **ATTACHMENT C**-Insurance Requirements for Contractors of this Contract. The Contractor shall maintain the insurance for the full term of this contract. Failure to comply shall be grounds for termination of this contract.

17. LICENSES AND PERMITS

Contractor shall secure and maintain all licenses and permits, and pay inspection fees required to do the work required to complete this contract, if applicable.

18. SEVERABILITY

If any term or condition of this contract or the application thereof is held invalid, such invalidity shall not affect other terms, conditions or applications which can be given effect without the invalid term, condition or application; to this end the terms and conditions of this contract are declared severable.

19. SUBCONTRACTORS

The Contractor may enter into subcontracts with third parties for the performance of any part of the Contractor's duties and obligations. In no event shall the existence of a subcontract operate to release or reduce the liability of the Contractor to the State and/or State Agency for any breach in the performance of the Contractor's duties. The Contractor will be the single point of contact for all subcontractor work.

20. SUBSTITUTION OF PERSONNEL

If, during the term of this contract, the Contractor or subcontractor cannot provide the personnel as proposed and requests a substitution, that substitution shall meet or exceed the requirements stated herein. A detailed resume of qualifications and justification is to be submitted to the State for approval prior to any personnel substitution. It shall be acknowledged by the Contractor that every reasonable attempt shall be made to assign the personnel listed in the Contractor's proposal.

The State shall reserve the right to require removal and replacement of any Contract personnel whose performance it considers unacceptable.

21. ASSIGNMENT

Contractor shall not assign any interest in this contract by assignment, transfer, or novation, without prior written consent of the State. This provision shall not be construed to prohibit the Contractor from assigning his bank, trust company, or other financial institution any money due or to become due from approved contracts without such prior written consent. Notice of any such assignment or transfer shall be furnished promptly to the State.

22. CODE OF ETHICS

The Contractor acknowledges that Chapter 15 of Title 42 of the Louisiana Revised Statutes (La. R.S. 42:1101 et. seq., Code of Governmental Ethics) applies to the Contracting Party in the performance of services called for in this contract. The Contractor agrees to immediately notify the State if potential violations of the Code of Governmental Ethics arise at any time during the term of this contract.

23. CONFIDENTIALITY

The following provision will apply unless the State agency statement of work specifically indicates that all information exchanged will be non-confidential:

All financial, statistical, personal, technical and other data and information relating to the State's operations which are designated confidential by the State and made available to the Contractor in order to carry out this contract, shall be protected by the Contractor from unauthorized use and disclosure through the observance of the same or more effective procedural requirements as are applicable to the State. The identification of all such confidential data and information as well as the State's procedural requirements for protection of such data and information from unauthorized use and disclosure shall be provided by the State in writing to the Contractor. If the methods and procedures employed by the Contractor for the protection of the Contractor's data and information are deemed by the State to be adequate for the protection of the State's confidential information, such methods and procedures may be used, with the written consent of the State, to carry out

the intent of this paragraph. The Contractor shall not be required under the provisions of the paragraph to keep confidential any data or information, which is or becomes publicly available, is already rightfully in the Contractor's possession, is independently developed by the Contractor outside the scope of the contract, or is rightfully obtained from third parties.

24. CONTRACT CONTROVERSIES

Any claim or controversy arising out of this contract shall be resolved by the provisions of Louisiana Revised Statute 39:1671-1673.

25. RIGHT TO AUDIT

The State Legislative auditor, federal auditors and internal auditors of the Division of Administration or others so designated by the DOA, shall have the option to audit all accounts directly pertaining to the contract for a period of five (5) years from the date of final payment or as required by applicable State and Federal Law. Records shall be made available during normal working hours for this purpose.

26. CONTRACTOR'S CERTIFICATION OF NO FEDERAL SUSPENSION OR DEBARMENT

Contractor has a continuing obligation to disclose any suspensions or debarment by any government entity, including but not limited to General Services Administration (GSA). Failure to disclose may constitute grounds for suspension and/or termination of this Contract and debarment from future contracts.

27. CONTRACTOR'S COOPERATION/CLOSE-OUT

The Contractor has the duty to fully cooperate with the State and provide any and all requested information, documentation, etc. to the State when requested. This applies even if this Contract is terminated and/or a lawsuit is filed. Specifically, the Contractor shall not limit or impede the State's right to audit or to withhold State owned documents.

28. COMMISSIONER'S STATEMENTS

Statements, acts and omissions made by or on behalf of the Commissioner of Administration regarding the RFP or RFP process, this Contract, any Contractor and/or any subcontractor of the Contractor shall not be deemed a conflict of interest when the Commissioner is discharging the Commissioner's duties and responsibilities under law, including, but not limited, to the Commissioner of Administration's authority in procurement matters.

29. SECURITY

Contractor's personnel will comply with all security regulations in effect at the State's premises, the Information Security Policy at <http://www.doa.la.gov/Pages/ots/InformationSecurity.aspx> and externally for materials and property belonging to the State or to the project. Where special security precautions are warranted (e.g., correctional facilities), the State shall provide such procedures to the Contractor, accordingly. Contractor is responsible for promptly reporting to the State any known breach of security.

30. TERM OF CONTRACT

This Contract is effective upon OSP approval and will end no later than thirty-six (36) months, unless otherwise terminated in accordance with the Termination provision(s) of this Contract. At the option of the State of Louisiana and acceptance of the Contractor, this contract may be extended for two (2) additional twelve (12) month periods at the same prices, terms, and conditions.

Prior to the extension of the contract beyond the initial thirty-six (36) month term, prior approval by the Joint Legislative Committee on the Budget ("JLCB") or other approval authorized by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the contract amendment to the Office of State Procurement ("OSP") to extend the contract terms beyond the initial thirty-six (36) month term. Total contract time may not exceed sixty (60) months.

31. COMMENCEMENT OF WORK

No work shall be performed by Contractor and the State shall not be bound until such time as this Contract is fully executed between the State and the Contractor and all required approvals are obtained.

32. COMPLIANCE WITH CIVIL RIGHTS LAWS

The Contractor agrees to abide by the requirements of the following as applicable: Title VI and Title VII of the Civil Rights Act of 1964, as amended, by the Equal Employment Opportunity Act of 1972, as amended, Federal Executive Order 11246 as amended, the Federal Rehabilitation Act of 1973 as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Discrimination Act of 1975, the Fair Housing Act of 1968 as amended, and Contractor agrees to abide by the requirements of the Americans with Disabilities Act of 1990.

Contractor agrees not to discriminate in its employment practices, and will render services under this contract without regard to race, color, religion, sex, sexual orientation, national origin, veteran status, political affiliation, disability or age in any matter relating to employment. Any act of discrimination committed by Contractor, or failure to comply with these statutory obligations when applicable shall be grounds for termination of this contract.

33. RECORD RETENTION

The Contractor shall maintain all records in relation to this contract for a period of at least five (5) years after final payment.

34. ANTI-KICKBACK CLAUSE

The Contractor hereby agrees to adhere to the mandate dictated by the Copeland "Anti-Kickback" Act which provides that each Contractor or sub guarantee shall be prohibited from inducing, by any means, any person employed in the completion of work, to give up any part of the compensation to which he is otherwise entitled.

35. CLEAN AIR ACT

The Contractor hereby agrees to adhere to the provisions which require compliance with all applicable standards, orders or requirements issued under Section 306 of the Clean Air Act which

prohibits the use under non-exempt Federal contracts, grants or loans of facilities included on the EPA list of Violating Facilities.

36. ENERGY POLICY AND CONSERVATION ACT

The Contractor hereby recognizes the mandatory standards and policies relating to energy efficiency which are contained in the State energy conservation plan issued in compliance with the Energy Policy and Conservation Act (P.L. 94-163).

37. CLEAN WATER ACT

The Contractor hereby agrees to adhere to the provisions which require compliance with all applicable standards, orders, or requirements issued under Section 508 of the Clean Water Act which prohibits the use under non-exempt Federal contracts, grants or loans of facilities included on the EPA List of Violating Facilities.

38. ANTI-LOBBYING AND DEBARMENT ACT

The Contractor will be expected to comply with Federal statutes required in the Anti-Lobbying Act and the Debarment Act.

39. GOVERNING LAW

This Contract shall be governed by and interpreted in accordance with the laws of the State of Louisiana, including but not limited to La. R.S. 39:1551-1736 (Louisiana Procurement Code) and La. R.S. 39:196-200 (Information Technology Procurement Code), if applicable; purchasing rules and regulations; executive orders; standard terms and conditions; special terms and conditions; and specifications listed in the RFP; and this contract. Venue of any action brought with regard to this Contract shall be in the Nineteenth Judicial District Court, Parish of East Baton Rouge, State of Louisiana.

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40. COMPLETE CONTRACT

This is the complete Contract between the parties with respect to the subject matter and all prior discussions and negotiations are merged into this contract. This contract is entered into with neither party relying on any statement or representation made by the other party not embodied in this contract and there are no other agreements or understanding changing or modifying the terms. This Contract shall become effective upon final statutory approval.

41. ORDER OF PRECEDENCE

The Request for Proposals (RFP), dated October 4, 2017, and the Contractor's Proposal dated November 29, 2017, are attached hereto and, incorporated into this Contract as though fully set forth herein. In the event of an inconsistency between this Contract, the RFP and/or the Contractor's Proposal, unless otherwise provided herein, the inconsistency shall be resolved by giving precedence first to this Contract, then to the RFP and finally, the Contractor's Proposal.

THUS DONE AND SIGNED AT ANN ARBOR, MICHIGAN on this 3rd day of JULY, 2018, and, IN WITNESS WHEREOF, the parties have executed this Contract.

WITNESSES' SIGNATURES:

Steven Wissin

Software Architect

MIDWESTERN SOFTWARE SOLUTIONS (MS2)

By: BEN CHEN

Title: PRINCIPAL

THUS DONE AND SIGNED AT DOTHAN on this 13 day of JULY, 2018, and, IN WITNESS WHEREOF, the parties have executed this Contract.

WITNESSES' SIGNATURES:

Paula Tuzee

Amber Mc

LA DEPARTMENT OF TRANSPORTATION
& DEVELOPMENT

By: Daniel J. Masi

Title: Deputy Assistant Secretary
Office of Planning

THUS DONE AND SIGNED AT Baton Rouge, Louisiana on this 8th day of August, 2018, and, IN WITNESS WHEREOF, the parties have executed this Contract.

WITNESSES' SIGNATURES:

Diana S. Herne

Amber Mc

DIVISION OF ADMINISTRATION
SIGNATURE:

BY: CLRD

Title: Asst. Comm.

Phone No.: 225-343-7000

Approved by: Paula Tuzee
Director of State Procurement
Date: 8/14/18

2018 AUG 13 AM 9:07
DIVISION OF ADMINISTRATION
OFFICE OF STATE PROCUREMENT

2018 JUL 16 AM 9:46
DIVISION OF ADMINISTRATION
OFFICE OF STATE PROCUREMENT

ATTACHMENT A – STATEMENT OF WORK

2.1 Contractor hereby agrees to furnish the following services:

- a. An action plan for creating, importing historical data and hosting a data storage system for DOTD's current and future traffic data sources. Note: The Traffic Monitoring Section uses different brands of sensors to monitor and collect traffic data that would be subsequently uploaded to the LA DOTD traffic server. Spreadsheets, queries, and database storage of traffic information are currently integrated into one (1) web based hosted solution.
- b. User-friendly, automated traffic database processing, reporting, and database management tools that improve LA DOTD's overall traffic data quality. The Contractor shall provide a web based hosting application that can provide electronic tools for compiling the daily, weekly, monthly and year-end processes. In support of providing processing and reporting of traffic data, the services shall, at a minimum, provide:
 - i. Traffic data polling management by auto-polling and receiving traffic data as machine-readable volumes, bins, or individual vehicle records. Traffic data devices used by LA DOTD include, but are not limited to, the following vendors:
 1. PEEK
 2. IRD
 3. Diamond
 4. TimeMark
 5. MetroCount
 6. Jamar
 7. Image Sensing Systems (ISS)
 - ii. Traffic data collection site management
 - iii. Data workflow management
 - iv. Quality control and quality checking of data
 - v. Calculate Seasonal Adjustment Factors and Axle Correction Factors (ACF) in accordance with FHWA Traffic Monitoring Guide (TMG) procedures
 - vi. Calculate Average Daily Traffic (ADT), Annual Average Daily Traffic (AADT), Vehicle Miles Traveled (VMT)
 - vii. Calculate Vehicle Density (D), Headway (ha), Spacing (S), 85th Percentile Speed, K-Factor (30th highest hour)
 - viii. Calculate Annual Highest Hour, Annual Hourly Day of Week, Annual Day of Week Percentage Statistics
 - ix. Process and store short count data and continuous count data including volume, classification, weigh-in-motion, speed and length data, and special needs data such as turning movement counts
 - x. Reporting (daily, monthly, quarterly and year-end), 24-hour and 48-hour traffic volume, class, speed, weigh-in-motion data and poll data from DOTD RVD (Radar Vehicle Detector) sites
 - xi. Database management tools for the storage, modification, importing and extraction of traffic information
 - xii. Export capabilities to support FHWA reporting requirements including Card3, SCard, CCard and WCard records
 - xiii. Ability to create custom reports and advanced queries on specified sites, Parishes, Functional Classification System, and other adhoc data, and must include available coordinates thereof.
- c. The hosted application must satisfy the FHWA traffic monitoring guide (TMG) requirements (<https://www.fhwa.dot.gov/policyinformation/tmgguide>) and the American Association of State and Highway Transportation Officials (AASHTO) guidelines (<http://www.transportation.org>)

for Traffic Data Programs.

- d. The ability to either view traffic data through an integrated GIS mapping solution or export GPS coordinates to allow downstream software to display the data on a map. Must also provide data publication through GIS feature services.
- e. An access point to allow mobile device data transfer using LA DOTD owned laptops or equivalent for collecting short term tube counts.
- f. An access point for validating and incorporating LA DOTD Intelligent Transportation Systems (ITS) traffic camera and radar detector data for volume, speed and occupancy.
- g. An access point for validating and incorporating data collected by contracted vendors and local agencies including, but not limited to, assisting with a decoder for certain traffic monitoring sensors.
- h. Training and support services to OTS/LA DOTD staff, respond to emails or other correspondence.
- i. The ability to process Linear Reference System (LRS) edits and maintain station locations via transactional communication with Esri Roads & Highways.
- j. Assist with creation and maintenance of Louisiana Roads & Highways Segments using ArcGIS.

2.1.1 Performance Levels

The application must provide secure environments for two (2) kinds of users:

- A multi-user, collaborative, read/write environment for registered data analysts and administrators from LA DOTD.
- A read-only reporting environment for non-authenticated (public) users.
- The application must provide at least for the following:
 - Five (5) simultaneous registered data analysts
 - Twenty (20) simultaneous public users

The application must continue to operate with minimal changes to performance for the heaviest specified loads.

2.1.2 Security

The system must take measures to prevent security threats that may result in data loss or service degradation, including:

- Firewalls
- Built in Role Based Access Control for various objects and actions throughout the application
- A secure process for creating users, which details who controls user creation and their permissions
- Built in measures that prevent modification or destruction of data by unauthorized users
- Built in measures for managing typical input validation errors that may compromise security, such as format strings, SQL injection, cross-site scripting, HTTP header injection and the like.
- Secure password management policies that include, at a minimum, a process for password recovery, a process for changing the password, and a policy on password strength.
- Encryption policies and algorithms for the secure transmission of data between hosted service and connections to LA DOTD or outside public internet activity.

2.1.3 Hosted Software Updates

Occasionally, changes to the FHWA Traffic Monitoring Guide reporting procedures and standards would require updates to the hosted software. The Contractor must make available once a year the latest software updates without additional cost to OTS.

2.1.4 Support Response

The Contractor must provide support response goals based on the level of urgency and present a schedule for response for normal business hours between 6:00 AM and 6:00 PM Central Time Monday through Friday, excluding State holidays observed by LADOTD, and during off-shift hours between 6:01 PM and 5:59 AM Central Time Monday through Friday, and between 12:01 AM and 12:00 Central Time midnight Saturday through Sunday. A resolution goal timeline will also be provided for handling support requests.

2.1.5 Service Level Agreement

The Contractor shall provide definitions of key terms used in the Service Level Agreement (SLA). This must include the definition of "The Service", which fully explains:

- The critical software applications and hardware devices involved in the provision of the service that the Contractor is responsible for providing, such as:
 - Database server
 - Web application server
 - LDAP-based authentication service such as Active Directory
 - ESRI ArcGIS Mobile
 - Enough ram to ensure all the critical software applications are run directly from memory, not "swap file"
 - Enough disk space to store all LADOTD's raw traffic data for at least ten (10) years
 - Unlimited power supply
 - Separate backup device
- Critical duties Contractor is responsible for performing
- Any other applications, devices or duties that LA DOTD is responsible for.

2.1.6 Service Availability

The application must allow all its functions to be executed via a standard web browser. At a minimum the application must be:

- 99% available during working hours: between 6:00 AM and 4:30 PM Central Time, Monday through Friday, excluding State holidays observed by LA DOTD
- 95% all other times, including State holidays observed by LA DOTD

The Contractor must define any exclusion that may apply to the service availability, for example; lost passwords, deliberate or accidental misuse by registered users, reasonable delays due to computer intensive activities such as data loading or performing intensive calculations, etc.

2.1.7 Other Policies

The Contractor shall provide:

- A backup and disaster recovery plan in the event of data loss due to natural or human induced disasters
- Control measures in recovery plan shall include preventive, detective and corrective measures

- An incident reporting and tracking process
- The approach to providing a fault tolerant computing environment

2.2 Location

The requirements gathering is to be performed, completed and managed at the Department of Transportation and Development, 1201 Capitol Access Road, Baton Rouge Louisiana 70802. All other services may be performed at the Contractor's location(s).

ATTACHMENT B – Pricing Schedule

Contractor to furnish all materials, equipment, travel, and incidentals necessary to provide the scope of services as outlined in this contract for the sum of:

Software License Cost	\$150,000.00
One-time Implementation Costs	\$48,000.00
Software Maintenance and Support Costs (\$4000.00 per month X 36 months)	\$144,000.00
Hosting Fee (\$1000.00 per month X 36 Months)	\$36,000.00
Total Cost (Over 3 Year Term)	\$378,000.00

Software Maintenance and Support Costs shall be for a period of thirty-six (36) months which shall begin upon expiration of the warranty period.

Software Maintenance and Support shall include the following:

- Periodic updates to the licensed software at no additional cost to the State;
- An Issue Reporting and Tracking system; and
- Services to correct bugs, errors, breaks or defects in the product, which are defined as deviations between the documented functionality of the product and its actual performance.

ATTACHMENT C - INSURANCE REQUIREMENTS FOR CONTRACTORS

The Contractor shall purchase and maintain for the duration of the contract insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, employees or subcontractors.

A. MINIMUM SCOPE AND LIMITS OF INSURANCE

1. Workers Compensation

Workers Compensation insurance shall be in compliance with the Workers Compensation law of the State of the Contractor's headquarters. Employers Liability is included with a minimum limit of \$1,000,000 per accident/per disease/per employee. If work is to be performed over water and involves maritime exposure, applicable LHWCA, Jones Act, or other maritime law coverage shall be included. A.M. Best's insurance company rating requirement may be waived for worker's compensation coverage only.

2. Commercial General Liability

Commercial General Liability insurance, including Personal and Advertising Injury Liability and Products and Completed Operations, shall have a minimum limit per occurrence of \$1,000,000 and a minimum general annual aggregate of \$2,000,000. The Insurance Services Office (ISO) Commercial General Liability occurrence coverage form CG 00 01 (current form approved for use in Louisiana), or equivalent, is to be used in the policy. Claims-made form is unacceptable.

3. Automobile Liability

Automobile Liability Insurance shall have a minimum combined single limit per accident of \$1,000,000. ISO form number CA 00 01 (current form approved for use in Louisiana), or equivalent, is to be used in the policy. This insurance shall include third-party bodily injury and property damage liability for owned, hired and non-owned automobiles.

4. Professional Liability (Errors and Omissions)

Professional Liability (Error & Omissions) insurance, which covers the professional errors, acts, or omissions of the Contractor, shall have a minimum limit of \$1,000,000. Claims-made coverage is acceptable. The date of the inception of the policy must be no later than the first date of the anticipated work under this contract. It shall provide coverage for the duration of this contract and shall have an expiration date no earlier than 30 days after the anticipated completion of the contract. The policy shall provide an extended reporting period of not less than 24 months, with full reinstatement of limits, from the expiration date of the policy.

5. Cyber Liability

Cyber liability insurance, including first-party costs, due to an electronic breach that compromises the State's confidential data shall have a minimum limit per occurrence of \$1,000,000. Claims-made coverage is acceptable. The date of the inception of the policy must be no later than the first date of the anticipated work under this contract. It shall provide coverage for the duration of this contract and shall have an expiration date no earlier than 30 days after the anticipated completion of the contract. The policy shall provide an extended reporting period of not less than 24 months from the expiration date of the policy, if the policy is not renewed. The policy shall not be cancelled for any reason, except non-payment of premium.

B. DEDUCTIBLES AND SELF-INSURED RETENTIONS

Any deductibles or self-insured retentions must be declared to and accepted by the Agency. The Contractor shall be responsible for all deductibles and self-insured retentions.

C. OTHER INSURANCE PROVISIONS

The policies are to contain, or be endorsed to contain, the following provisions:

1. Commercial General Liability and Automobile Liability Coverages

- a. The Agency, its officers, agents, employees and volunteers shall be named as an additional insured as regards negligence by the contractor. ISO Forms CG 20 10 (for ongoing work) AND CG 20 37 (for completed work) (current forms approved for use in Louisiana), or equivalents, are to be used when applicable. The coverage shall contain no special limitations on the scope of protection afforded to the Agency.
- b. The Contractor's insurance shall be primary as respects the Agency, its officers, agents, employees and volunteers for any and all losses that occur under the contract. Any insurance or self-insurance maintained by the Agency shall be excess and non-contributory of the Contractor's insurance.

2. Workers Compensation and Employers Liability Coverage

To the fullest extent allowed by law, the insurer shall agree to waive all rights of subrogation against the Agency, its officers, agents, employees and volunteers for losses arising from work performed by the Contractor for the Agency.

3. All Coverages

- a. All policies must be endorsed to require 30 days' written notice of cancellation to the Agency. Ten-day written notice of cancellation is acceptable for non-payment of premium. Notifications shall comply with the standard cancellation provisions in the Contractor's policy. In addition, Contractor is required to notify Agency of policy cancellations or reductions in limits.
- b. The acceptance of the completed work, payment, failure of the Agency to require proof of compliance, or Agency's acceptance of a non-compliant certificate of insurance shall release the Contractor from the obligations of the insurance requirements or indemnification agreement.
- c. The insurance companies issuing the policies shall have no recourse against the Agency for payment of premiums or for assessments under any form of the policies.
- d. Any failure of the Contractor to comply with reporting provisions of the policy shall not affect coverage provided to the Agency, its officers, agents, employees and volunteers.

D. ACCEPTABILITY OF INSURERS

1. All required insurance shall be provided by a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located. Insurance shall be placed with insurers with an A.M. Best's rating of **A-:VI or higher**. This rating requirement may be waived for worker's compensation coverage only.

2. If at any time an insurer issuing any such policy does not meet the minimum A.M. Best rating, the Contractor shall obtain a policy with an insurer that meets the A.M. Best rating and shall submit another Certificate of Insurance within 30 days.

E. VERIFICATION OF COVERAGE

1. Contractor shall furnish the Agency with Certificates of Insurance reflecting proof of required coverage. The Certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The Certificates are to be received and approved by the Agency before work commences and upon any contract renewal or insurance policy renewal thereafter.
2. The Certificate Holder Shall be listed as follows:

State of Louisiana
Division of Administration, Office of Technology Services, Its Officers, Agents, Employees & Volunteers
1201 N. Third St., Suite 2-130
Baton Rouge, LA 70802
Project or Contract #: Statewide Traffic Data Management Services #3000008892
3. In addition to the Certificates, Contractor shall submit the declarations page and the cancellation provision for each insurance policy. The Agency reserves the right to request complete certified copies of all required insurance policies at any time.
4. Upon failure of the Contractor to furnish, deliver and maintain required insurance, this contract, at the election of the Agency, may be suspended, discontinued or terminated. Failure of the Contractor to purchase and/or maintain any required insurance shall not relieve the Contractor from any liability or indemnification under the contract.

F. SUBCONTRACTORS

Contractor shall include all subcontractors as insureds under its policies OR shall be responsible for verifying and maintaining the Certificates provided by each subcontractor. Subcontractors shall be subject to all of the requirements stated herein. The Agency reserves the right to request copies of subcontractor's Certificates at any time.

G. WORKERS COMPENSATION INDEMNITY

In the event Contractor is not required to provide or elects not to provide workers compensation coverage, the parties hereby agree that Contractor, its owners, agents and employees will have no cause of action against, and will not assert a claim against, the State of Louisiana, its departments, agencies, agents and employees as an employer, whether pursuant to the Louisiana Workers Compensation Act or otherwise, under any circumstance. The parties also hereby agree that the State of Louisiana, its departments, agencies, agents and employees shall in no circumstance be, or considered as, the employer or statutory employer of Contractor, its owners, agents and employees. The parties further agree that Contractor is a wholly independent contractor and is exclusively responsible for its employees, owners, and agents. Contractor hereby agrees to protect, defend, indemnify and hold the State of Louisiana, its departments, agencies, agents and employees harmless from any such assertion or claim that may arise from the performance of this contract.



STATE of LOUISIANA
DIVISION OF ADMINISTRATION
OFFICE OF GROUP BENEFITS



Office of Group Benefits

November 20, 2020 JLCB Meeting

**Louisiana Health Service & Indemnity Company
d/b/a Blue Cross and Blue Shield of Louisiana**

*Administrative Services Only for Self-funded Medical Plans
Contract Overview*

Blue Cross and Blue Shield of Louisiana

Administrative Services Only for Self-funded Medical Plans Contract Overview

Contract Purpose	To provide administrative services to support the self-funded medical plans offered by OGB. Services include but are not limited to regulatory assistance, medical claims administration, case management, third party recovery, and other general administrative support services.
Eligible enrollees	Active and retired employees of the State of Louisiana and other OGB-participating entities
Self-funded Health Plan Members as of 11/1/2020	215,797
Beginning Contract Date	1/1/2021
Ending Contract Date	12/31/2023
Renewable Options	Two 12-month options
Procurement Method	Request for Proposals
Contract Amount	\$3,352,900,000
Administrative Fee	\$23.90 Per Enrollee Per Month (Increase of \$0.65 over 2016; increase of \$1.73 over 2020;); Payable to Vendor
Number of Plans	Five (no change from 2020)
Plan Year 2021 Rates¹	5.5% increase over Plan Year 2020 Premiums for all plans

¹ The 5.5% premium rate increase to be implemented effective 1/01/2021 is not associated with the award of the ASO for Self-funded Medical Plans Contract to BCBSLA. Adjustments made to the premiums for OGB's self-funded health plans are based on actuarial recommendations and implemented in accordance with the provisions established by Act 146 of the 2015 Regular Session of the Legislature. Appropriated state agencies' budgets were developed by the DOA-Office of Planning and Budget for FY 2021 with the anticipation of a 6.0% premium rate increase to become effect 1/01/2021. By virtue of their approval of Acts 1 and 7 of the 2020 First Extraordinary Session, the Louisiana Legislature approved the funding for such a premium rate increase. Updated actuarial projections provided OGB the opportunity to reduce the planned premium rate increase slightly, for the benefit of both members and participating employer agencies.

Blue Cross and Blue Shield of Louisiana

Administrative Services Only for Self-funded Medical Plans Contract Overview (cont.)

2021 Contract Highlights

- OGB will opt-in to enhanced administrative services programs at a cost of \$1.40 PEPM for the term of the contract, which, when combined with a \$0.33 PEPM increase for the administrative services currently being provided to OGB, will result in an increase in the PEPM administrative fee of \$1.73 over the current 2020 PEPM rate (or an increase of \$0.65 over the original 2016 contract PEPM rate). Based on an enrollee count of 124,647 as of 11/1/2020, the projected increase in administrative fees in 2021 over 2020 as a result of opting-in to the enhanced administrative services programs is \$2.6 million.
- By opting-in to BCBSLA's enhanced administrative services programs, the contract is projected to generate claims savings for OGB of approximately \$5.5 million annually. BCBSLA guarantees a return on investment ("ROI") for each portion of the \$23.90 PEPM administrative fee associated with the enhanced administrative services programs that's assessed to OGB to perform these services.
 - Enhanced Utilization Management Program: Through this program, BCBSLA partners with AIM Specialty Health to target key conditions (like musculoskeletal disorders, cardiac disorders, and radiation oncology) for review by board-certified specialists who can recognize and curtail inappropriate utilization thereby reducing costs. The 2021 BCBSLA contract contains a performance guarantee that provides for a 3:1 ROI.
 - Enhanced Payment Integrity Program: BCBSLA is now partnering with vendors specializing in payment integrity audits, including credit balance, data mining, and diagnosis-related group (DRG) code audits. The 2021 BCBSLA contract contains a performance guarantee that provides for a 2:1 ROI.

Blue Cross and Blue Shield of Louisiana

Administrative Services Only for Self-funded Medical Plans Contract Overview (cont.)

Changes from Draft 2021 Contract to Final (Signed) 2021 Contract

1. Maximum contract payable amount decreased by \$168.1 million.
 - In *Section 3.5 Payment Terms* of the draft contract the maximum contract payable amount totaled \$3,521,000,000; the maximum contract payable amount provided in the final (signed) contract is \$3,352,900,000.
 - The \$168.1 million decrease is the result of revised actuarial projections, which incorporated updated plan membership counts and claims experience data.
2. Alignment of ID card and welcome kit distribution timeframes listed in multiple sections.
 - In *Attachment I: Scope of Work/Services* of the draft contract listed under *Task (2): General ASO Support Services* item #8, the ASO Contractor is directed to prepare and distribute materials to new primary plan participants within 30 days of receipt of confirmation of the validity of their enrollment application; such material include identification cards, plan documents, and summary of benefits and coverage.
 - In *Attachment I: Scope of Work/Services* of the draft contract listed under *Task (1): Implementation* items #6 and #7 the ASO Contractor is directed to mail identification cards and welcome kits to newly enrolled plan participants within seven (7) business days of receipt of eligibility.
 - The delivery timeframes provided for in *Attachment I: Scope of Work/Services Task (1): Implementation* items #6 and #7 of the final (signed) contract were changed to “within 30 days upon receipt of eligibility”.
3. Technical correction to footnote for Utilization and Wellness ROI Performance Guarantee.
 - In *Attachment I: Scope of Work/Services* of the draft contract listed under *Performance Guarantees*, the ROI ratio listed in the performance standard and penalty percent at risk annually descriptions for the “Utilization and Wellness Management Programs” metric is 1.5:1, while in the applicable footnotes the ROI ratio is provided as 1:1.5. The footnote in the final (signed) contract reflects the ROI ratio as 1.5:1.

On this 2nd day of October, 2020, the State of Louisiana, Office of Group Benefits, 1201 N. 3rd Street, Suite G-159, Baton Rouge, LA 70802, hereinafter sometimes referred to as the “OGB” or “State”, and Louisiana Health Service & Indemnity Company d/b/a Blue Cross and Blue Shield of Louisiana, 5525 Reitz Avenue, Baton Rouge, LA 70809-3802, hereinafter sometimes referred to as the “Contractor,” do hereby enter into a Contract under the following terms and conditions.

1 SCOPE OF SERVICES

1.1 CONCISE DESCRIPTION OF SERVICES

Blue Cross Blue Shield of Louisiana shall provide Administrative Services Only (“ASO”) services to support certain self-funded plans offered by OGB. These services shall include, at a minimum, all services specified in Section 1.2 and the attachments referenced therein.

1.2 STATEMENT OF WORK

The Statement of Work consists of the following and/or any subsequent addendum:

Attachment I: Scope of Services

Attachment II: Rate Schedule

Attachment III: Business Associate Addendum

Attachment IV: Records Retention Schedule

Attachment V: Imaging System Survey Compliance and Records Destruction

Attachment VI: Subrogation and Workers’ Compensation Process and Procedures

Attachment VII: File/Reports and Layout

1.3 GOALS AND OBJECTIVES

1. To fulfill OGB’s delegated responsibility to serve the State of Louisiana by managing the self-funded health plans while improving the quality of health for those served by OGB.
2. To provide quality, cost-effective healthcare services to Plan Participants.

1.4 PERFORMANCE MEASURES

The performance of the Contract, including but not limited to Attachment I, Scope of Services, and/or any subsequent addendum including performance criteria and corresponding monetary penalties for Contractor’s failure to comply with the identified criteria in Attachment I, Scope of Services, Performance Guarantees, will be measured by the OGB Contract Monitor. The OGB Contract Monitor is authorized to evaluate the Contractor’s performance against these criteria.

1.5 MONITORING PLAN

The Contract Monitor will be the OGB Medical and Pharmacy Group Benefits Administrator, who will monitor the services and performance provided by the Contractor and the expenditure of funds under this Contract. The monitoring plan is as follows:

1. The Contractor will submit various monthly, quarterly, semi-annual, and annual reports to the Contract Monitor as specified in Attachment I, Scope of Services.

2. The Contract Monitor will ensure all deliverables are submitted timely and perform subsequent review and acceptance.
3. The Contract Monitor will provide oversight of the implementation of the Scope of Services to ensure quality, efficiency, and effectiveness in fulfilling the goals and objectives of OGB.

1.6 CONTRACTOR PROJECT MANAGEMENT

Contractor Project Management is as follows:

- A. Account Management Team.** Contractor will provide an Account Management Team for the duration of the engagement including a dedicated Account Executive, Implementation Manager, Operational Account Manager, Project Manager, Clinical Program Manager, Financial Analyst, Analytics and Data Lead, Privacy Officer, and Customer Service Manager. The Account Executive must have at least one (1) back-up staff member designated to handle the overall responsibility of OGB.
- B. Substitution of Key Personnel.** The Contractor's personnel assigned to this Contract shall not be replaced without the prior written consent of OGB/State. Such consent shall not be unreasonably withheld or delayed provided an equally qualified replacement is offered. In the event that any Contractor personnel become unavailable due to resignation, illness, or other factors, excluding assignment to projects outside this Contract, outside of the Contractor's reasonable control, as the case may be, the Contractor shall be responsible for providing an equally qualified replacement in time to avoid delays in providing services. When possible, Contractor will give OGB a minimum of sixty (60) days' advance notice of any changes in OGB's account management team, and a description of the training requirements for new team members. Reasonable exceptions would apply in situations beyond Contractor's control (i.e., resignation/termination with less than 60 days' notice). OGB reserves the right to request changes to any of the assigned personnel based on unsatisfactory performance levels as determined by OGB. Additionally, OGB will be provided with the opportunity to interview any new team member(s).
- C. Account Management Team Support.** The Account Management Team will provide support around account strategy, Plan Participant inquiries, issue resolution, reports, and other requested projects and deliverables. Contractor will provide an annual service cycle plan as well as an ongoing task log with timelines for all deliverables and weekly status update meetings in person, via video conference, or via teleconference.
- D. Quarterly Meetings.** All of the Account Management Team will attend all on-site quarterly meetings at OGB. The meetings shall be held no later than thirty (30) days following quarter end. The Account Management Team will provide for OGB approval a draft agenda at least ten (10) business days in advance of a meeting to allow changes to the agenda and a reasonable opportunity to prepare for the meeting. At minimum, during the quarterly meeting, the Account Management Team should discuss the following: goals, expectations, and priorities; review the quarterly report and other issues such as performance guarantees, quality assurance, operations, network status and access; benefit and program changes or enhancements; legislative issues; audits;

cost trends; utilization; program outcomes; customer service issues; future goals and planning; and other issues reasonably related to the Contract.

- E. Minutes.** Within three (3) business days after any meeting, Contractor shall provide OGB with a detailed and well-documented draft of meeting minutes. OGB shall review and revise the draft minutes as appropriate and return to the Contractor. Final minutes must be provided within three (3) business days after receipt of the revised minutes from OGB. Minutes shall include a list of and description of all tasks and/or deliverables, identify the responsible party, and provide a projected delivery date.
- F. Documentation.** Contractor will maintain an ongoing process log that will document all benefit and system programming changes, which will be provided to OGB within five (5) business days of any change.
- G. Coordination with other OGB Vendor(s).** Contractor will coordinate and cooperate with OGB's administrative services provider(s) for OGB's self-insured medical plans, actuary, and other vendors as needed on integration of information to or from other service providers relative to the services addressed in this Contract.

1.7 DELIVERABLES

The Contract will be considered complete when the entire scope of work has been completed and Contractor has delivered and OGB has accepted all deliverables specified in the Contract.

1.8 VETERAN-OWNED AND SERVICE-CONNECTED SMALL ENTREPRENEURSHIPS (VETERAN INITIATIVE) AND LOUISIANA INITIATIVE FOR SMALL ENTREPRENEURSHIPS (HUDSON INITIATIVE) PROGRAMS REPORTING REQUIREMENTS

During the term of the Contract and at expiration, the Contractor will be required to report Veteran-Owned and Service-Connected Disabled Veteran-Owned and Hudson Initiative small entrepreneurship subcontractor or distributor participation and the dollar amount of each.

2 DEFINITIONS

Account Management Team – Contractor's dedicated staff assigned to OGB which shall include a Strategic Account Executive, Implementation Manager, Operational Account Manager, Project Manager, Clinical Program Manager, Financial Analyst, Data and Analytics Lead, Privacy Officer, and Customer Service Manager.

Alternate Recipient – the Primary Plan Participant(s) in a group health plan who is ordered under a medical support order to provide health insurance on behalf of a child.

Benefit Plan – the covered benefits provided to Plan Participants as defined by the Plan offered by OGB.

Claim(s) – the Covered Benefits Claims processed through an online Claims adjudication system or otherwise sent and processed by Contractor.

CMS – the Centers for Medicare and Medicaid Services.

COB – the Coordination of Benefits.

Contractor – the successful Proposer who is awarded a Contract and assumes full responsibility and liability for completion of the deliverables.

Covered Benefit(s) – health care services, products, or supplies made available as a covered benefit to Plan Participants as set forth in the respective Plan.

CSR – a Customer Service Representative.

EOB – an Explanation of Benefits.

HIPAA – the Health Insurance Portability and Accountability Act.

Identification Cards (“ID Cards”) – printed identification cards containing specific information about the Covered Benefits to which Plan Participants are entitled. All ID Cards shall have the applicable logo or other method, agreed upon by both parties in writing, of identifying the Contractor.

IVR - Interactive Voice Response, an automated telephony system that interacts with callers, gathers information and routes calls to the appropriate recipients.

MBI – Medicare Beneficiary Identifier.

OGB CEO – the Office of Group Benefit’s Chief Executive Officer.

OSP – Office of State Procurement.

PBM – the Pharmacy Benefit Manager.

Plan – OGB’s defined health benefit plan pursuant to which Covered Benefits are provided to Plan Participants.

Plan Participant(s) – individuals who are entitled to Covered Benefits through OGB as identified in the eligibility data file prepared, maintained and as determined by OGB, and delivered to the Contractor.

Primary Plan Participant(s) – the Plan Participant whose relationship with OGB or the employee/retiree governs the coverage under the Plan.

PPACA – the Patient Protection and Affordable Care Act.

Proposal – a response to a RFP.

Proposer – an individual or organization submitting a proposal in response to a RFP.

RFP – a Request For Proposals.

ROI – Return on Investment.

Shall, Must, Will – a mandatory requirement.

Should, May, Can – an advisable or permissible action.

3 ADMINISTRATIVE REQUIREMENTS

3.1 TERM OF CONTRACT

The term of the Contract shall begin on or about January 1, 2021, and is anticipated to end on December 31, 2023. With all proper approvals and concurrence with the successful Contractor, OGB may also exercise the option to extend the Contract for additional periods of time at the same rates, terms and conditions of the initial Contract term; such additional periods of time shall not exceed a total of twenty-four (24) months. Prior to the extension of the contract beyond the initial thirty-six (36)-month term, prior approval by the Joint Legislative

Committee on the Budget (JLCB) and/or other approval authorized by law shall be obtained. Written evidence of JLCB approval shall be submitted, along with the contract amendment, to the Office of State Procurement (OSP) to extend contract terms beyond the initial 3-year term. The total Contract term, with extensions, shall not exceed five (5) years. The continuation of this Contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the Contract.

3.2 OGB FURNISHED RESOURCES

OGB shall appoint a Contract Monitor for this Contract who will provide oversight of the activities conducted hereunder. The assigned Contract Monitor shall be the principal point of contact on behalf of OGB and will be the principal point of contact for the Contractor concerning Contractor's performance under this Contract.

3.3 OGB PLAN RESPONSIBILITY

- (a) Except as specifically provided to the contrary, OGB retains final authority and responsibility for the Plan and its operation, including if applicable, compliance with any state and federal laws, and payment of Claims filed under the Plan. The Contractor is empowered to act on behalf of OGB only in an administrative capacity for the services specified herein, subject to the direction and authority of OGB. Any decision or action of the Contractor regarding this Contract or the Plan which does not result from its omission, fault, or negligence, including dishonest, fraudulent, or criminal conduct, of which OGB is made aware of in writing which is not overridden or otherwise modified by OGB in writing, shall be deemed to be the exercise of OGB's discretionary power to make final decision or conclusive action.
- (b) OGB shall be responsible for compliance with all state and federal laws except as specifically assumed by the Contractor under this Contract.
- (c) OGB shall reimburse the Contractor for any taxes, charges or fees which may be assessed against the Contractor by any governmental entity for providing any service or benefits to OGB as set forth under the Plan or this Contract, with the exception of income taxes owed by the Contractor as specified in section 3.4.
- (d) OGB will notify the Contractor which state employees, retirees or their dependents and/or other persons are eligible Plan Participants. This information will be provided to the Contractor in a daily eligibility file.
- (e) OGB will notify the Contractor in writing as soon as reasonably practical if OGB changes the Benefit Plan or other relevant Benefit Plan provisions, including termination of the Plan, within a reasonable period of time prior to the change becoming effective. OGB reserves the right to reallocate programs and costs to different programs offered by the Contractor throughout the term of the Contract without any penalty.
- (f) Unless otherwise specifically delegated to the Contractor herein, OGB remains responsible for the preparation and distribution of information, notices, etc. required to be provided to Plan Participants under applicable laws or regulations, and for maintaining and operating the Plan in accordance with applicable laws and regulations. Contractor shall be responsible for providing written notice to Plan Participants of any data breach.

3.4 TAXES AND FEES

Contractor is responsible for payment of all taxes and fees on Contractor's income, property, and entity status (i.e., permits, licenses, etc.). Contractor's federal tax identification number is 23-7384555. Contractor's seven-digit Louisiana Department of Revenue account number is 0400820. In accordance with La. R.S. 39:1624(A)(10), the Louisiana Department of Revenue ("LDR") must determine that the prospective Contractor is current in the filing of all applicable tax returns and reports and in payment of all taxes, interest, penalties, and fees owed to the State and collected by the Department of Revenue prior to the approval of this Contract by the Office of State Procurement. The prospective Contractor hereby attests to its current and/or prospective compliance, and agrees to provide its seven-digit LDR Account Number to the contracting agency so that the prospective contractor's tax payment compliance status may be verified. The prospective Contractor further acknowledges understanding that issuance of a tax clearance certificate by the Louisiana Department of Revenue is a necessary precondition to the approval and effectiveness of this Contract by the Office of State Procurement. The contracting agency reserves the right to withdraw its consent to this Contract without penalty and proceed with alternate arrangements should the prospective Contractor fail to resolve any identified apparent outstanding tax compliance discrepancies with the Louisiana Department of Revenue within seven (7) days of notification of such discrepancies.

3.5 PAYMENT TERMS

In consideration of the services required by this Contract, OGB hereby agrees to pay to Contractor a maximum fee of Three Billion Three Hundred Fifty-Two Million Nine Hundred Thousand Dollars \$3,352,900,000.00 for work performed during the term of this Contract. This fee is inclusive of travel and all Contract-related expenses. Payments are predicated upon successful completion by Contractor and written approval by OGB of the described services and deliverables as provided in the Contract. Contractor will not be paid more than the maximum amount of the Contract. **No payments will be made by OGB on banking or State holidays.**

Claims Payments. OGB will not provide advance funding for payment of claims. The Contractor shall submit weekly invoices for reimbursement of claims no later than 12:00 p.m. CT on the established billing day, with an accompanying check register (claims disbursements) showing all paid claims and any other supporting documentation necessary to substantiate invoiced costs. Separate invoices shall be prepared with respect to claims for each Plan offering and shall identify on each invoice the portion applicable to active and retiree Plan Participants. Upon receipt and validation of each claims invoice, OGB shall wire the undisputed amount within two (2) business days of receipt. If the invoice(s) and electronic check register(s) do not reconcile, payment of the disputed amount will be made within two (2) business days of successful reconciliation. If OGB questions the amount, OGB will notify the Contractor of its questions regarding said amount, and Contractor shall make a reasonable effort to respond to such questions within five (5) business days.

If OGB fails to wire the undisputed amount of the previous weekly invoice for reimbursement of claims within two (2) business days of receipt, Contractor shall send written notice via email to the Contract Monitor, the Chief Executive Officer, and the Chief Operating Officer of its intent to suspend claims payments on behalf of OGB until the reimbursement payment for the undisputed amount has been received. After the written notice is sent, Contractor must provide OGB seven (7) business days to wire the undisputed amount prior to suspending claims payments. Twenty-four (24) hours prior to the suspension of claims payment, Contractor shall

submit second written notice to the Contract Monitor, Chief Executive Officer, and the Chief Operating Officer of its intent to suspend claims payment. Upon the suspension of claims payments, Contractor will continue all administrative services. Claims payments will resume immediately once the undisputed amount that is the subject of the written notice described above has been paid.

Contractor shall not be liable for any penalties or fees that OGB may incur as a result of Contractor suspending claims payment due to OGB's non-payment of undisputed amounts of weekly invoices.

Administrative Monthly Fees. Contractor will invoice OGB for all fees and charges earned by Contractor set forth in Attachment II: Rate Schedule, unless lower fees are negotiated, which will be reflected in a separate invoice. Upon receipt and validation of Contractor's invoice for administrative monthly fees, OGB shall pay undisputed fees by wire transfer within thirty (30) days of receipt. Administrative monthly fees will be charged the month following the month in which the service is provided. If OGB questions the amount, OGB will notify the Contractor of its questions regarding said amount, and Contractor shall make a reasonable effort to respond to such questions within five (5) business days. Note: the Transitional Reinsurance Program fees and the Patient Certified Outcome Research Institute (PCORI) fees are the responsibility of OGB and are not included in the administrative monthly fees.

During the term of the Contract and at expiration, the Contractor will be required to report Veteran-Owned and Service-Connected Disabled Veteran-Owned and Hudson Initiative small entrepreneurship subcontractor or distributor participation and the dollar amount of each, if applicable.

3.6 PERFORMANCE BOND

Unless issuance of such bond is against applicable law, Contractor shall provide a performance (surety) bond in an amount determined by OGB of no more than one hundred percent (100%) of the annual contracted fees to ensure the successful performance under the terms and conditions of the Contract. The performance bond shall be written by a surety or insurance company currently on the U.S. Department of the Treasury Financial Management Services list of approved companies which is published annually in the Federal Register, or by a Louisiana-domiciled insurance company with at least an A- rating to write individual bonds up to ten percent (10%) of policyholders' surplus as shown in the latest A.M. Best's Key Rating Guide. In addition, any performance bond furnished shall be written by a surety or insurance company that is currently licensed to do business in the State of Louisiana.

The performance bond is to be provided at least thirty (30) business days prior to the effective date of the Contract. Failure to provide within the time specified may cause the Contract to be cancelled.

3.7 PERFORMANCE GUARANTEES

Contractor agrees to provide its operational performance guarantees on a client-specific basis and report OGB's results on a quarterly basis. The following clinical performance guarantee metrics shall be reported annually: Trend, Discount, Minimum Overall Network Discount, Diabetes Adverse Events, Coronary Artery Disease Adverse Events, Utilization and Wellness Management Program, Readmission Rate 15 Day, Mental Health 30 Day follow-up visit after

discharge, Specialty Drug Trend cost guarantee, and Specialty Drug Utilization Reduction . OGB shall have the ability to modify the performance guarantees each contract year, in accordance with the requirements provided in Section 11 of the contract. OGB, at its sole discretion, will allocate amounts at risk for performance guarantees, provided no more than thirty percent (30%) of the total amount at risk is allocated to one performance guarantee. Contractor will be subject to per day fees for the independent assurance performance guarantee.

The Trend, Discount, Minimum Overall Network Discount, Diabetes Adverse Events, Coronary Artery Disease Adverse Events, Utilization and Wellness Management Program, Readmission Rate 15 Day, Mental Health 30 Day follow-up visit after discharge, Specialty Drug Trend cost guarantee, and Specialty Drug Utilization Reduction performance guarantees must be reconciled annually and any penalties owed to OGB shall be paid automatically by August 15th after the end of the following calendar year. All other performance guarantees must be reconciled annually and any penalties owed to OGB shall be paid automatically within ninety (90) days after the end of the calendar year. Implementation performance guarantees will be measured and reported within ninety (90) days after the agreed upon implementation date. Payment of any due and owing implementation performance penalty shall be paid within sixty (60) days of notification of the penalty to the Contractor.

Performance Guarantees: The Contractor will be subject to negotiated performance standards and those detailed in Attachment I: Scope of Services.

Audit: OGB reserves the right to audit performance guarantee reports on an annual basis. A third party may be utilized to perform this audit.

Measurement Periods: The first period to be measured shall be calendar year 2021 also known as January 1, 2021 through December 31, 2021. The second period will be for calendar year 2022, and the third period for calendar year 2023. The fourth period, subject to the renewal option, will be for calendar year 2024, and the fifth period, subject to the renewal option, will be for calendar year 2025. If the performance guarantees are effective for less than a full calendar year, the payment amounts will be prorated for the portion of the Measurement Period.

4 TERMINATION

4.1 TERMINATION FOR CAUSE

State may terminate this Contract for cause based upon the failure of the Contractor to comply with the terms and/or conditions of the Contract; provided the State shall give the Contractor written notice specifying the Contractor's failure. If within thirty (30) calendar days after receipt of such notice as provided in Section 20 of this contract, the Contractor shall not have either corrected such failure or, in the case of failure which cannot be corrected in thirty (30) calendar days, begun in good faith to correct said failure and thereafter proceeded diligently to complete such correction, then the State may, at its option, place the Contractor in default, and the Contract shall terminate on the date specified in such notice. Failure to perform within the time agreed upon in the contract may constitute default and may cause cancellation of the contract.

4.2 TERMINATION FOR CONVENIENCE

OGB/State may terminate the Contract at any time by giving at least thirty (30) days' written notice to Contractor of such termination or negotiating with Contractor an effective date. Contractor shall be entitled to payment for services completed prior to receipt of such notice and deliverables in progress, to the extent work has been performed to OGB's satisfaction.

4.3 TERMINATION FOR NON-APPROPRIATION OF FUNDS

The continuation of this Contract is contingent upon the appropriation of funds by the Louisiana Legislature to fulfill the requirements of the Contract, as applicable. If the Legislature fails to appropriate sufficient monies to provide for the continuation of the Contract, or if such appropriation is reduced or eliminated by the veto of the Governor or by any means provided in the Appropriations Act of Title 39 of the Louisiana Revised Statutes of 1950 to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the Contract, the Contract shall terminate on the date of the beginning of the first fiscal year for which funds have not been appropriated.

5 INDEMNIFICATION AND DEFENSE

- (a) Contractor shall be fully liable for its own actions and the actions of its agents, employees, partners and subcontractors and shall fully protect, defend, and indemnify the State, all State departments, Agencies, Boards, and Commissions, its officers, trustees, employees, servants, subcontractors, agents, and volunteers (collectively the "State"), from and against any and all losses, claims, demands, liabilities, suits, actions, damages, costs, fines, penalties, judgments, forfeitures, assessments, expenses, obligations (including attorneys' fees), and other liabilities of every name and description ("Claims/Costs") relating to personal injury or death to any person or damages, loss, or destruction of any real or tangible property which may occur, or in any way arise out of, any act or omission of Contractor, its employees, agents, partners, or subcontractors/vendors. Contractor shall not be required to indemnify for that portion of any Claim/Cost arising due to the negligent act or failure to act of the State or for any Claim/Cost arising due solely to the intentional act or failure to act of the State.
- (b) Contractor shall further indemnify and defend the State from and against any Claims/Costs resulting from any violation of or failure to comply with any state or federal law, or other legal or Contract requirement to the extent caused by Contractor, its agents, employees, partners or subcontractors. Contractor shall not be required to indemnify for that portion of any Claim/Cost arising due to the negligent act or failure to act of the State or for any Claim/Cost arising due solely to the intentional act or failure to act of the State.
- (c) Contractor shall fully protect, defend, and indemnify, the State from and against all adverse federal and state tax consequences, loss, liability, damage, expense, attorneys' fees or other obligations resulting from, or arising out of, any act or omission by Contractor in connection with this Contract, including but not limited to other obligations resulting from or arising out of any premium charge, tax, or similar assessment by federal, state, and local governmental authorities, for which Contractor is liable.

- (d) If applicable, Contractor will protect, defend, and indemnify, the State, its officers, trustees, employees, servants, subcontractors, agents, and volunteers, from and against all Claims/Costs which may be assessed against the State in any action for infringement of a United States Letter Patent with respect to the products furnished, or of any copyright, trademark, trade secret or intellectual property right, in relation to the Contract provided that the State shall give Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit; (ii) the opportunity to take over, settle or defend such Claim/Cost at Contractor's sole expense; and (iii) reasonable assistance in the defense of any such action at the expense of Contractor. Where a Claim/Cost arises relative to a real or anticipated infringement, the State, its officers, trustees, employees, servants, subcontractors, agents, and/or volunteers, may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as to such infringement claim as the State deems necessary.
- (e) In addition to the foregoing remedies for patent infringement Claims/Costs, if the use of the product, material, or service or part(s) thereof shall be enjoined for any reason or if Contractor believes that such use may be enjoined, Contractor shall have the right, at its own expense and sole discretion to take action in the following order of precedence: (i) to procure for the State the right to continue using such product, material, or service or part(s) thereof, as applicable, under the same terms and conditions as provided in the Contract; (ii) to modify the product, material, or service so that it becomes a non-infringing product, material, or service of at least equal quality and performance, in the State's sole opinion; (iii) to replace the product, material, or service or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, in the State's sole opinion; or (iv) if none of the foregoing is commercially reasonable, provide monetary compensation to the State.
- (f) Contractor agrees to indemnify and defend the State from all Claims/Costs relating to Contractor's or its subcontractors' fault or negligence, including, but not limited to, any claims relating to the failure of Contractor to provide services or fulfill obligations as specified in the Contract due to financial hardship or insolvency.
- (g) Contractor agrees to investigate, handle, respond to, provide defense for and defend any Claims/Costs provided for in Section 5 at its sole expense and agrees to bear all other costs and expenses related thereto, even if the Claims/Costs are groundless, false or fraudulent.
- (h) The State may, in addition to other remedies available to the State, its officers, trustees, employees, servants, subcontractors, agents, and/or volunteers at law or equity and upon notice to Contractor, retain such monies from amounts due Contractor as may be necessary to satisfy any Claims/Costs asserted by or against the State, its officers, trustees, employees, servants, subcontractors, agents, and/or volunteers, for which Contractor owes indemnification and/or defense pursuant to this Section.

6 FORCE MAJEURE

Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. Whether a delay or failure results from a force majeure is

ultimately determined by the State based on a review of all facts and circumstances. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under Contract.

7 CONTRACT CONTROVERSIES

Any claim or controversy arising out of the Contract shall be resolved by the provisions of La. R.S. 39:1672.2-1672.4.

8 FUND USE

Contractor agrees not to use Contract proceeds to urge any elector to vote for or against any candidate or proposition on an election ballot, nor shall such funds be used to lobby for or against any proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority. This provision shall not prevent the normal dissemination of factual information relative to a proposition on any election ballot or a proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority.

9 ASSIGNMENT

Contractor shall not assign any interest in this Contract by assignment, transfer, novation, or otherwise without prior written consent of the OGB CEO or his/her delegee. This provision shall not be construed to prohibit Contractor from assigning to a bank, trust company, or other financial institution any money due or to become due from approved contracts without such prior written consent. Notice of any such assignment, transfer, or novation shall be furnished promptly to the State Contract Monitor and shall not be binding upon the State until actually received by the State.

10 RIGHT TO AUDIT

The State Legislative Auditor, federal auditors, internal auditors of the Division of Administration and its designated agents, the State, OGB, or others so designated by the State/OGB shall be entitled to audit all accounts, procedures, matters, and records of any Contractor or subcontractor under any negotiated Contract or subcontract directly pertaining to the Contract for a period of five (5) years after final payment under the Contract and for the subcontractor/vendor for a period of five (5) years from the date of final payment under the subcontract or such longer period as required by applicable state and federal law. Records, including direct read access to databases and all tables, shall be made available during normal business hours for this purpose.

The State has the right to hire an independent third-party auditor, if the State deems necessary, to review all accounts, procedures, matters, and records, and Contractor and/or subcontractor/vendor shall provide access to all files, information system access, and space access upon request of the State for the third-party auditor selected to perform the indicated audit.

In the event that an examination of records results in a determination that previously paid invoices included charges which were improper or beyond the scope of the Contract, Contractor agrees that the amounts paid to the Contractor shall be adjusted accordingly, and that the Contractor shall, within thirty (30) days of notification of such finding, issue a

remittance to the State of any payments declared to be improper or beyond the scope of the Contract. In combination therewith, or alternatively, the State may offset the amounts deemed improper or beyond the scope of the Contract against Contractor's outstanding or subsequent invoices, if any.

10.1 RECORDS

All records, reports, documents, or other material related to this Contract, delivered or transmitted to the Contractor by the State or its employees, agents, or authorized vendors, and/or obtained or prepared by Contractor or its subcontractors/vendors in connection with the performance of the services under the Contract, shall become records of the State and are referred to herein as "Records."

Contractor agrees to retain all Records in accordance with all Louisiana and federal laws and regulations. Further, Contractor agrees to retain all Records in accordance with OGB's official retention schedules (the "Schedules"), Attachment IV, until such time as the Records are returned to the State or other disposition is agreed. In the event the applicable Law and the Schedules contain different retention periods, the Records shall be kept for the longer period. Records shall be in a format and media as required by applicable law or as agreed upon by the parties in writing if allowed by applicable law. The Schedules in place as of the effective date of this Contract are contained in Attachment IV, Records Retention Schedule, and may be amended from time to time as deemed necessary by the State. To further ensure compliance with the Schedules and Louisiana retention laws, Contractor agrees to abide by the processes outlined in Attachment V, Imaging System Survey Compliance and Records Destruction. Contractor shall return the Records to the State, at Contractor's expense, within seven (7) days of request or in the specific instance of termination or expiration of the Contract, within sixty (60) days after the termination or expiration of this Contract, and shall retain no copies of the Records unless required by applicable law, provided, the confidentiality and security requirements of this Contract shall apply to such Records as long as retained by the Contractor. Additionally, all State data must be sanitized from Contractor's (and its vendors') systems in compliance with the most current revision of NIST SP 800-66.

10.2 CONTRACTOR'S COOPERATION

Contractor has the duty to fully cooperate with the State and provide any and all requested information, documentation, or other such requested support to the State when requested. This applies even if the Contract is terminated and/or litigation ensues. Specifically, Contractor shall not limit or impede OGB's right to audit, or withhold Records.

11 CONTRACT MODIFICATIONS

No amendment or variation of the terms of this Contract shall be valid unless made in writing, signed by the parties, and approved as required by applicable law. No oral understanding or agreement not incorporated in the Contract shall be binding on any of the parties.

12 CONFIDENTIALITY OF DATA

All financial, statistical, personal, technical, and other data and information relating to the State's operation or the Contract which are made available to the Contractor in order to carry out this Contract, or which become available to the Contractor in carrying out this Contract, shall be protected by the Contractor from unauthorized use and disclosure through the

observance of the same or more effective security and procedural requirements as are applicable to OGB and the State. The Contractor shall not be required under the provisions of this paragraph to keep confidential any data or information (other than protected health information) which is or becomes publicly available through no fault of Contractor or its subcontractors, vendors, agents, or employees, is already rightfully in the Contractor's possession, is independently developed by the Contractor outside the scope of the Contract, or is rightfully obtained from third parties without breach of the Contract.

Under no circumstance shall the Contractor discuss and/or release information to the media concerning this Contract or any Plan Participant without prior express written approval of the OGB CEO or his/her delegee.

12.1 SECURITY/DUTIES TO MONITOR AND REPORT SECURITY EVENTS

The Contractor and its subcontractors/vendors shall maintain safeguards and take commercially reasonable technical, physical, and organizational/administrative precautions to ensure that the State's data is protected from unauthorized access, use, and disclosure, in accordance with the State's current and published Information Security Policy found at <https://www.doa.la.gov/OTS/InformationSecurity/LA-InfoSecPolicy-v1.01.pdf>. The Contractor shall implement and maintain safeguards and monitoring plans to detect unauthorized access to or use of confidential information and any attempts to gain unauthorized access to confidential information. The Contractor and its subcontractors/vendors shall provide the Contract Monitor with immediate notification (not more than forty-eight (48) hours) of the Contractor's awareness of any Security Event, as defined in the Information Security Policy ("Security Event"), involving confidential information under this Contract and also report such Security Event to Louisiana's Information Security Team at 1.844.692.8019 (open 24 hours a day, 7 days a week) as soon as feasibly possible, not to exceed 48 hours following discovery of the Security Event. The reference to Security Event herein may include, but not be limited to, the following: attempts at gaining unauthorized access to confidential information or the unauthorized use of a system for the processing or storage of confidential information, or the unauthorized use or disclosure, whether intentional or otherwise, of confidential information.

In the event of a Security Event, the Contractor shall consult and cooperate fully with the State regarding the necessary steps to address the factors giving rise to the Security Event and to address the consequences of such Security Event. Contractor shall also provide assistance performing a risk assessment of any Security Event that occurs, if requested by the State.

Nothing in this Contract shall be deemed to affect or limit any rights an individual participant may have under any applicable state or federal law concerning privacy rights or the unauthorized access, use, or disclosure of protected health information.

12.2 THIRD PARTY REQUESTS FOR RELEASE OF INFORMATION

Should third parties request the Contractor to submit confidential information to them pursuant to an audit or other request not initiated by the Contractor, public records request, subpoena, summons, search warrant or governmental order, the Contractor will notify the State immediately upon receipt of such request. Notice shall be forwarded via e-mail to the Chief Executive Officer of OGB. The Contractor shall cooperate with the State with respect to defending against any such requested release of information or obtaining any necessary judicial protection against such release if, in the opinion of the State, the information contains

confidential information which should be protected against such disclosure. The reasonable legal fees and related expenses incurred by the Contractor or its subcontractor in resisting the release of information under this provision shall constitute reimbursable expenses under this Contract. If such a request for payment of reasonable legal fees and related expenses is made pursuant to this Contract, Contractor and the OGB will, after the request for payment is made, engage in good faith negotiations to determine the amount of the payment.

Legal service fees of law firms engaged pursuant to this Section may not be "marked up" (i.e., invoiced cost-plus) by the Contractor.

13 SUBCONTRACTORS

The Contractor may enter into subcontracts with third parties for the performance of any key delegated part of the Contractor's duties and obligations. The express prior written approval of the OGB CEO or his/her designee is required prior to Contractor entering into subcontracts with third parties for the performance of any key delegated part of the Contractor's duties and obligations. In no event shall the existence of a subcontract operate to release or reduce the liability of the Contractor to the State for any breach or deficiency in the performance of the Contractor's duties. The Contractor will be the single point of contact for all subcontractor work. The Contractor shall require subcontractors/vendors who are performing any key internal controls to undergo independent assurance project/program review.

14 COMPLIANCE WITH LAWS

The Contractor must comply with all applicable laws while providing services under this Contract. Specifically, Contractor agrees to abide by the requirements of the following as applicable: Title VI and Title VII of the Civil Rights Act of 1964, as amended by the Equal Opportunity Act of 1972, Federal Executive Order 11246, the Federal Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Discrimination Act of 1975, and the Americans with Disabilities Act of 1990 as amended.

Contractor agrees not to discriminate in its employment practices, and will render services under this Contract without regard to race, color, religion, sex, national origin, veteran status, political affiliation, or disabilities. Any act of discrimination committed by Contractor or its subcontractors, or failure to comply with these statutory obligations when applicable, shall be grounds for immediate termination of this Contract.

15 INSURANCE

The Contractor shall purchase and maintain for the duration of the contract insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, employees, or subcontractors.

A. MINIMUM SCOPE AND LIMITS OF INSURANCE

1. Workers Compensation

Workers Compensation insurance shall be in compliance with the Workers Compensation law of the State of the Contractor's headquarters. Employers Liability is included with a

minimum limit of \$1,000,000 per accident/per disease/per employee. If work is to be performed over water and involves maritime exposure, applicable LHWCA, Jones Act, or other maritime law coverage shall be included. A.M. Best's insurance company rating requirement may be waived for workers compensation coverage only.

2. **Commercial General Liability**

Commercial General Liability insurance, including Personal and Advertising Injury Liability and Products and Completed Operations, shall have a minimum limit per occurrence of \$1,000,000 and a minimum general annual aggregate of \$2,000,000. The Insurance Services Office (ISO) Commercial General Liability occurrence coverage form CG 00 01 (current form approved for use in Louisiana), or equivalent, is to be used in the policy. Claims-made form is unacceptable.

3. **Professional Liability (Errors and Omissions)**

Professional Liability (Error & Omissions) insurance, which covers the professional errors, acts, or omissions of the Contractor, shall have a minimum limit of \$1,000,000. Claims-made coverage is acceptable. The date of the inception of the policy must be no later than the first date of the anticipated work under this contract. It shall provide coverage for the duration of this contract and shall have an expiration date no earlier than thirty (30) days after the anticipated completion of the contract. The policy shall provide an extended reporting period of not less than 36 months from the expiration date of the policy, if the policy is not renewed.

4. **Automobile Liability**

Automobile Liability Insurance shall have a minimum combined single limit per accident of \$1,000,000. ISO form number CA 00 01 (current form approved for use in Louisiana), or equivalent, is to be used in the policy. This insurance shall include third-party bodily injury and property damage liability for owned, hired and non-owned automobiles.

5. **Cyber Liability**

Cyber liability insurance, including first-party costs, due to an electronic breach that compromises the State's confidential data shall have a minimum limit per occurrence of \$1,000,000. Claims-made coverage is acceptable. The date of the inception of the policy must be no later than the first date of the anticipated work under this contract. It shall provide coverage for the duration of this contract and shall have an expiration date no earlier than 30 days after the anticipated completion of the contract. The policy shall provide an extended reporting period of not less than thirty-six (36) months from the expiration date of the policy, if the policy is not renewed. The policy shall not be cancelled for any reason, except non-payment of premium.

B. DEDUCTIBLES AND SELF-INSURED RETENTIONS

Any deductibles or self-insured retentions must be declared to and accepted by the Agency. The Contractor shall be responsible for all deductibles and self-insured retentions.

C. OTHER INSURANCE PROVISIONS

The policies are to contain, or be endorsed to contain, the following provisions:

1. Commercial General Liability, Automobile Liability, and Cyber Liability Coverages

- a. The Agency, its officers, agents, employees and volunteers shall be named as an additional insured as regards negligence by the Contractor. ISO Forms CG 20 10 (for ongoing work) and CG 20 37 (for completed work) (current forms approved for use in Louisiana), or equivalents, are to be used when applicable. The coverage shall contain no special limitations on the scope of protection afforded to the Agency.
- b. The Contractor's insurance shall be primary as respects the Agency, its officers, agents, employees and volunteers for any and all losses that occur under the contract. Any insurance or self-insurance maintained by the Agency shall be excess and non-contributory of the Contractor's insurance.

2. Workers Compensation and Employers Liability Coverage

To the fullest extent allowed by law, the insurer shall agree to waive all rights of subrogation against the Agency, its officers, agents, employees and volunteers for losses arising from work performed by the Contractor for the Agency.

3. All Coverages

- a. All policies must be endorsed to require thirty (30) days' written notice of cancellation to the Agency. Ten (10) days' written notice of cancellation is acceptable for non-payment of premium. Notifications shall comply with the standard cancellation provisions in the Contractor's policy. In addition, Contractor is required to notify Agency of policy cancellations or reductions in limits.
- b. The acceptance of the completed work, payment, failure of the Agency to require proof of compliance, or Agency's acceptance of a non-compliant certificate of insurance shall not release the Contractor from the obligations of the insurance requirements or indemnification agreement.
- c. The insurance companies issuing the policies shall have no recourse against the Agency for payment of premiums or for assessments under any form of the policies.
- d. Any failure of the Contractor to comply with reporting provisions of the policy shall not affect coverage provided to the Agency, its officers, agents, employees, and volunteers.

D. ACCEPTABILITY OF INSURERS

1. All required insurance shall be provided by a company or companies lawfully authorized

to do business in the jurisdiction in which the Project is located. Insurance shall be placed with insurers with an A.M. Best's rating of **A-:VI or higher**. This rating requirement may be waived for workers compensation coverage only.

2. If at any time an insurer issuing any such policy does not meet the minimum A.M. Best rating, the Contractor shall obtain a policy with an insurer that meets the A.M. Best rating and shall submit another Certificate of Insurance within 30 days.

E. VERIFICATION OF COVERAGE

1. Contractor shall furnish the Agency with Certificates of Insurance reflecting proof of required coverage. The Certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The Certificates are to be received and approved by the Agency before work commences and upon any contract renewal or insurance policy renewal thereafter.
2. The Certificate Holder Shall be listed as follows:

State of Louisiana
Office of Group Benefits, Its Officers, Agents, Employees, and Volunteers
Address, City, State, Zip
Project or Contract #:
3. In addition to the Certificates, Contractor shall submit the declarations page and the cancellation provision for each insurance policy. The Agency reserves the right to request complete certified copies of all required insurance policies at any time.
4. Upon failure of the Contractor to furnish, deliver and maintain required insurance, this contract, at the election of the Agency, may be suspended, discontinued or terminated. Failure of the Contractor to purchase and/or maintain any required insurance shall not relieve the Contractor from any liability or indemnification under the contract.

F. SUBCONTRACTORS

Contractor shall include all subcontractors as insureds under its policies OR shall be responsible for verifying and maintaining the Certificates provided by each subcontractor. Subcontractors shall be subject to all of the requirements stated herein. OGB reserves the right to request copies of subcontractor's Certificates at any time. If OGB requests copies of subcontractor's Certificates, it shall be the responsibility of Contractor to ensure that the requested Certificates are provided to OGB.

G. WORKERS COMPENSATION INDEMNITY

In the event Contractor is not required to provide or elects not to provide workers compensation coverage, the parties hereby agree that Contractor, its owners, agents and employees will have no cause of action against, and will not assert a claim against, the State of Louisiana, its

departments, agencies, agents and employees as an employer, whether pursuant to the Louisiana Workers Compensation Act or otherwise, under any circumstance. The parties also hereby agree that the State of Louisiana, its departments, agencies, agents and employees shall in no circumstance be, or considered as, the employer or statutory employer of Contractor, its owners, agents and employees. The parties further agree that Contractor is a wholly independent contractor and is exclusively responsible for its employees, owners, and agents. Contractor hereby agrees to protect, defend, indemnify and hold the State of Louisiana, its departments, agencies, agents and employees harmless from any such assertion or claim that may arise from the performance of this contract.

16 APPLICABLE LAW

This Contract shall be governed by and enforced in accordance with the laws of the State of Louisiana, including but not limited to La. R.S. 39:1551-1736 (Louisiana Procurement Code, as applicable) (collectively referred to as the "Law"). After exhaustion of any available administrative remedies, the exclusive venue of any action brought with regard to this Contract shall be in the Nineteenth (19th) Judicial District Court, Parish of East Baton Rouge, State of Louisiana.

17 CODE OF ETHICS

Contractor acknowledges that Chapter 15 of Title 42 of the Louisiana Revised Statutes (La. R.S. 42:1101, *et. seq.*, Code of Governmental Ethics) applies to the contracting parties in the performance of services called for in this Contract. Contractor agrees to immediately notify the OGB's CEO if violations or potential violations of the Code of Governmental Ethics by or through Contractor or its subcontractors/vendors under this Contract arise at any time during the term of this Contract.

18 SEVERABILITY

If any term or condition of this Contract or the application thereof is held invalid, such invalidity shall not affect other terms, conditions, or applications which can be given effect without the invalid term, condition, or application; to this end, the terms and conditions of this Contract are declared severable.

19 INDEPENDENT ASSURANCES

Contractor shall submit, and cause its subcontractors who perform key internal controls to submit, to certain independent audits to ascertain that processes and controls related to the contracted service are operating properly. Independent assurances may be in the form of a Service Organization Control ("SOC") 1, Type II and/or SOC 2, Type II report resulting from an independent annual SSAE 18 engagement of the operations. The SSAE 18 engagement will be performed at least annually by an audit firm that will conduct tests and render an independent opinion on the operating effectiveness of the controls and procedures. The audit firm that will conduct the SSAE 18 engagement will submit a final report on controls placed in operation for the project and include a detailed description of the audit firm's tests of the operating effectiveness of controls. The Contractor shall supply the State with an exact copy of the SOC report resulting from the SSAE 18 engagement within the specified timeframe. Contractor shall also provide a bridge letter to OGB for the period of January 1-June 30 of the following independent assurance reporting

period no later than July 31 of each calendar year. OGB will not sign a non-disclosure agreement in order to obtain any of the independent assurances referenced herein.

The cost of such independent assurances will be borne solely by Contractor. Such independent assurances shall be performed at least annually during the term of the Contract. Contractor and its subcontractors who perform key internal controls may review any audit report before delivery to the State and include with the report a supplementary statement containing facts that Contractor or subcontractor considers pertinent to the audit or engagement. Contractor shall implement recommendations as suggested by the program review and/or audit, within three (3) months of report issuance and at no cost to the State.

20 NOTICE

Any notice required or permitted by this Contract, unless otherwise specifically provided for in this Contract, shall be in writing and shall be deemed given upon receipt following delivery by: (i) an overnight carrier or hand delivery to the State/OGB; or, (ii) registered or certified mail return receipt requested, and addressed as follows:

To Blue Cross Blue Shield of Louisiana: Dr. I. Steven Udvarhelyi, CEO
Blue Cross Blue Shield of Louisiana
5525 Reitz Avenue
Baton Rouge, LA 70809-3802

To OGB: Ms. Renita Ward Williams, Interim CEO
Office of Group Benefits
Post Office Box 44036
Baton Rouge, LA 70804

Or

Ms. Renita Ward Williams, Interim CEO
Office of Group Benefits
1201 N. 3rd Street, Suite G-159
Baton Rouge, LA 70802
For hand delivery

The U.S. Postal Service does not make deliveries to OGB's physical location.

At any time, either party may change its addressee and/or address for notification purposes by mailing a notice stating the change and setting forth the new address.

21 HEADINGS

Descriptive headings in this Contract are for convenience only and shall not affect the construction or meaning of Contractual language.

22 ENTIRE AGREEMENT

This Contract, together with the RFP and Addenda issued thereto by the State, the Proposal submitted by the Contractor in response to the applicable RFP, and any exhibits incorporated herein by reference, shall constitute the entire agreement between the parties with respect to the subject matter hereof.

23 ORDER OF PRECEDENCE

In the event of any inconsistent or incompatible provisions, this signed Contract (excluding the RFP and the Contractor's Proposal) shall take precedence, followed by the provisions of the RFP, and then by the terms of the Contractor's Proposal.

24 BUSINESS ASSOCIATE ADDENDUM

A Business Associate Addendum, Attachment III, shall be executed between the parties to this Contract to protect the privacy and provide security of Protected Health Information ("PHI") and personally-identifiable information ("PII") in compliance with the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), and regulations promulgated thereunder, as amended from time to time.

OGB is a "Covered Entity" under HIPAA/HITECH. For the purposes of this Contract, Contractor is deemed to be a "Business Associate" of OGB as such term is defined by HIPAA and regulations promulgated thereunder, including in the Privacy Standard of the Federal Register, published on December 28, 2000, and the parties have executed a Business Associate Addendum attached to this Contract as Attachment III, and made a part of this Contract. The parties understand and agree that if additional agreements are required to be compliant as required under HIPAA and applicable laws, the parties will execute such agreements in a timely manner. Contractor agrees that its processes, systems, and reporting will be in full compliance with federal and state requirements, including but not limited to HIPAA, throughout the term of the Contract. Any fines or penalties imposed on any party related to Contractor's or its subcontractors' non-compliance will be the sole responsibility of Contractor. Contractor shall require its subcontractors' and any other vendors' processes, systems, and reporting to be in full compliance with federal and state requirements, including but not limited to HIPAA. Further, Contractor agrees that its organization, and that it requires that its subcontractors/vendors, will comply with all HIPAA regulations throughout the term of the Contract with respect to any issue related to the OGB Contract, plans, or participants involving PHI/PII, including but not limited to participant services, complaints, appeals determinations, notification of rights, and confidentiality. Contractor shall require that all agreements with subcontractors or other vendors providing services for this Contract include the provisions of this Section and any Attachments referenced herein. OGB shall be provided copies of such subcontractor/vendor agreements upon request.

Notwithstanding any provision to the contrary, major delegated functions involving PHI and PII, including but not limited to claims processing, customer service, and any other services as provided by applicable law, shall not be sourced outside of the territorial and jurisdictional limits of the fifty (50) United States of America.

25 CONTRACTOR ELIGIBILITY

At the time of execution, Contractor, and each tier of subcontractors/vendors, certifies that it is not on the List of Parties Excluded from Federal Procurement or Non-procurement Programs

promulgated in accordance with Executive Orders 12549 and 12689, "Debarment and Suspension" as set forth in 24 CFR Part 24. Contractor has a continuing obligation to disclose any suspensions, debarment, or investigations by any government entity, including but not limited to General Services Administration (GSA). Failure to disclose may constitute grounds for suspension and/or termination of the Contract and debarment from future contracting opportunities.

26 CONTINUING OBLIGATIONS

Notwithstanding any provisions to the contrary herein, upon the termination of this Contract for any reason, the provisions of this Contract which by their nature require some action or forbearance after such termination, including but not limited to confidentiality, PHI, reporting, indemnity, insurance, records retention, run-out claims and performance guarantees, shall survive such termination and be binding until any actions, obligations, and/or rights provided therein have been satisfied or released.

27 PREFERRED CLIENT

OGB should be recognized as a preferred client relationship and should benefit from yearly pricing improvements provided to any other clients in Contractor's "book of business". Essentially, if Contractor offers better pricing to another client during the Contract term, OGB will benefit from the lesser pricing arrangement and receive the benefit of any offered enhancements.

28 CENTERS FOR MEDICARE AND MEDICAID SERVICES

Contractor shall make its books and records in connection with any Medicare business available to CMS and/or its designees in accordance with 42 CFR 423.504(d) and 42 CFR 423.505(d) and (e). In this regard, CMS and/or its designees shall have the right to audit, evaluate, and inspect any books, contracts, records, computer and/or other electronic systems, including medical records and documentation involving transactions related to the Plan and/or Medicare business provided under this Contract (including coverage costs, low income subsidies, and privacy and security of PHI and other personally identifiable information, enrollment and disenrollment) and any additional relevant information that CMS may require, and these rights shall continue for a period of ten (10) years, or longer if required by CMS, from the final date of the Contract period or from the date of completion of any audit, whichever is later. CMS and/or its designees shall have direct access (i.e., on-site access) to the Contractor, and the Contractor will make such books, records, computer and/or other electronic systems, directly available to CMS and/or its designee(s) for such inspection, evaluation, and audit.

29 TRANSITION OF SERVICES AND DATA

Contractor shall comply with the provisions of this Contract, and other requests of OGB/State, to accomplish a timely transition of services without interruption of services to participants. During any such transition, Contractor will provide all of the same Records and data in the same format as provided during the term of the Contract, to OGB/State or its designee. Contractor further agrees that no dispute or objection it may have regarding the propriety of any transition of services by OGB/State will relieve Contractor of these obligations.

30 PROHIBITION OF DISCRIMINATORY BOYCOTTS OF ISRAEL

In accordance with R.S. 39:1602.1, for any contract for \$100,000 or more and for any Contractor with five or more employees, Contractor, or any subcontractor, shall certify in writing it is not engaging in a boycott of Israel, and shall, for the duration of this Contract, refrain from a boycott of Israel.

The State reserves the right to terminate this Contract if the Contractor, or any subcontractor, engages in a boycott of Israel during the term of the Contract.

THUS DONE AND SIGNED on the date(s) noted below:

**STATE OF LOUISIANA
OFFICE OF GROUP BENEFITS**

BY: *Renita Ward Williams*

NAME: *RENITA WARD WILLIAMS*

TITLE: *Interim CEO*

DATE: *10/2/2020*

**LOUISIANA HEALTH SERVICE &
INDEMNITY COMPANY d/b/a BLUE
CROSS AND BLUE SHIELD OF LOUISIANA**

BY: *I. Steven Udvarhelyi, M.D.*
DocuSigned by:
6528EEFAD4A4C444

NAME: *I. Steven Udvarhelyi, M.D.*

TITLE: *CEO*

DATE: *October 2, 2020 | 2:23 PM CDT*

ATTACHMENT I: SCOPE OF WORK/SERVICES

The Contractor will be responsible for ensuring the accuracy, timeliness, and completion of all tasks assigned under the resulting Contract. OGB reserves the right to modify or delete the tasks and services listed prior to and during the term of the Contract, subject to the approval of the OGB CEO, Office of State Procurement, and any other approval required by law.

At a summary level, these tasks include:

1. Implementation services,
2. General ASO Support Services,
3. Network Services and Medical Claims Administration, and
4. Wellness and Population Health Management, Including Disease Management.

Below is a list of minimum services the Contractor shall be responsible for providing:

Task (1): Implementation Services

1. Assign a dedicated implementation team to manage the implementation process.
2. Perform all tasks necessary to complete the pre-implementation audit (including follow-up test claims) at least ten (10) days prior to the effective date. This assumes OGB will sign off on the benefit set up at least thirty (30) days in advance of the Plan effective date.
3. Provide an implementation credit to OGB in the amount of \$250,000 to offset OGB's expense associated with the RFP, transition, and ongoing services. In no case shall OGB be required to repay all or a portion of the used or unused implementation credit. Contractor will track such services and provide OGB a quarterly report, upon request, of current utilization and remaining balance, if any, of the implementation credit. Any remaining balance will not expire and be available for use during the term of this Contract and any subsequent amendments to or extensions of the Contract.
4. Facilitate system programming including, but not limited to, data collection from OGB; file transfer set-up between OGB and Contractor; and data transfer and mapping. If Contractor requires file mapping and/or subsequent updates, this service will be provided by Contractor at no additional cost to OGB. **Files must be sent electronically to the OTS MOVEit DMZ Secure FTP server utilizing a security file transport protocol; the preference is FTPS. All files must be encrypted using Public Key Infrastructure (PKI) with a prior exchange of Public Key(s), commonly referred to as PGP encryption. The encrypted file(s) must have an extension of "pgp." The encryption key must have an expiration of no longer than five (5) years from the creation date and be approved by the OTS InfoSec Team. All files must be encoded as an ASCII text file prior to encryption.**
5. Provide file data in a layout format mutually agreed upon by OGB and Contractor to include, but not limited to, Check Register File, Population Health Participation, Wellness Participation, Medical Claims File, Provider Files, Code Files, Out of Pocket Maximum, and Adjusted Claims File. Contractor will work with OGB to determine a standard file layout. File layouts will be provided at no cost to OGB. **The file transfer protocol and the file encryption must meet OTS Information Security Requirements as posted in the OTS Information Security Policy. Files must be sent electronically to the OTS**

MOVEit DMZ Secure FTP server utilizing a security file transport protocol; the preference is FTPS. All files must be encrypted using Public Key Infrastructure (PKI) with a prior exchange of Public Key(s), commonly referred to as PGP encryption. The encrypted file(s) must have an extension of “pgp.” The encryption key must have an expiration of no longer than five (5) years from the creation date, key strength is highly suggested 4096 with a minimum allowed 2048, key must include a valid email address and be approved by the OTS InfoSec Team. All files must be encoded as an ASCII text file prior to encryption.

6. Mail identification cards (“ID Cards”) to the homes of newly enrolled Plan Participants within thirty (30) days of receipt of the eligibility. Contractor will be responsible for cost of reproducing ID Cards and priority mail shipping in the event of Contractor errors and/or initiated changes.
7. Mail welcome kits to the homes of newly enrolled Plan Participants within thirty (30) days upon receipt of eligibility.
8. Integrate with selected contractor(s) as defined by OGB for the administration of the Plan, including the health claims administrator and COBRA administrator, for the purpose of out-of-pocket maximum accumulation. **Ensure that out-of-pocket maximum accumulation integration with selected contractor(s) as defined by OGB is successful prior to the “Go-Live” date, at no additional cost.**
9. Provide ten (10) read only access codes to the online eligibility, claims payment and/or standard and ad hoc reporting systems(s) (collectively, the “System”) which will allow OGB’s specified personnel to view and/or extract information residing in the System on an individual, Plan level, and account structure basis. Training to OGB personnel will be provided by the Contractor’s Account Management Team on-site at OGB.
10. Conduct project status implementation meetings with the Contract Monitor on-site, or via teleconference.
11. Perform comprehensive systems testing and quality assurance audits, with results reported to OGB prior to the “Go-Live” date, at no additional cost.
12. Ensure successful and timely completion of all tasks necessary to begin performance of the Contract on January 1, 2021 at 12:00 am CT.

Task (2): General ASO Support Services

1. Adhere to all provisions outlined and requested in the Administrative Services Only for Self-Funded Health Plan RFP #3000014396, Attachment III: Technical Questionnaire.
2. Provide Administrative Service Only Plan Third Party Administration for each self-funded plan offering including, but not necessarily limited to, general support and advisory services regarding the self-funded ASO plans and implementation, formulary management for the HSA plan, network management, administrative and claims processing services, clinical management programs, reporting, marketing, customer service, quality management, and utilization management functions.
3. Administer the current self-funded ASO program with the same plan designs, level of coverage, and plan participant cost share presently offered by the OGB, and per the current Summary Plan Description provided by the OGB. Similarly, administer a new self-funded plan should the plan designs change.

4. Manage the current self-funded plan designs and any changes implemented by OGB. Benefit design and coverage can be modified as needed and requested by OGB.
5. Support any deductible or out-of-pocket maximum cross accumulation in a mutually agreed format to ensure compliance with the Patient Protection and Affordable Care Act ("PPACA").
6. Support real-time integration with other vendors for deductible and out-of-pocket limits and produce an accurate EOB for Plan Participants showing their progress towards these limits.
7. Based upon OGB's determination and written communication to Contractor of a Plan Participant's eligibility for benefits provided under the Plan, Contractor will enroll Plan Participant to receive Plan benefits in accordance with Plan provisions and process any certificates of creditable coverage received by Contractor. Contractor will to the best of its ability, utilizing its current commercial process, make eligibility determinations for eligible over-age dependents. Contractor will accept OGB's standard file layout for the initial eligibility enrollment file. OGB's request for Contractor to enroll subsequent Plan Participants will be subject to Contractor's subsequent enrollment processes.
8. Prepare and distribute to each new Primary Plan Participant(s) within thirty (30) days of receipt of confirmation from OGB as to the validity of the enrollment application and Plan Participant the following materials:
 - 1) A plan document, which includes information on all covered services, including, but not limited to: benefits, limitations, exclusions, copayments, coinsurances and deductibles, policies and procedures for utilizing clinical and administrative services, conditions under which an individual's membership may be terminated, procedures for registering complaints or filing grievances against the Contractor or any providers participating in a contractual agreement with the Contractor.
 - 2) Directions to access an online directory of providers, which includes all network physicians, hospitals and specialty facilities. Hard copies of provider directories and certificates of coverage must be available upon request.
 - 3) Contractor will supply identification cards to Primary Plan Participant(s) of the Plan when necessary. New cards will be issued to all Primary Plan Participant(s) of the Plan when OGB is serviced by Contractor for the first time. Thereafter, new cards will only be issued on an individual basis, when Primary Plan Participant(s) make changes to their coverage upon annual or any other special enrollment that require the issuance of a new card, whenever OGB adds new Primary Plan Participant(s) to the Plan during a plan year, or whenever a card duplicate is requested at no additional charge to OGB or the Primary Plan Participant(s). Additional cards for family Plan Participants shall also be provided upon request and at no additional charge to OGB or the Plan Participant.
 - 4) Summary of Benefits and Coverage (SBC) and Uniform Glossary, as required by the federal PPACA and/or state law and/or rules and regulations promulgated pursuant thereto. If requested by OGB, Contractor shall

provide printed SBC documents to OGB for distribution to eligible employees who are not enrolled in a medical plan.

- 5) Contractor will prepare all the foregoing materials in English. Contractor will not be responsible for any translations of the materials into any other language.
9. Contractor will coordinate benefits on behalf of the Plan following Contractor's standard practices and procedures. This will include the determination of the primary, secondary and tertiary order of liability of the Plan and any other health benefits program under which a Plan Participant may be eligible for benefits, and the payment of the Plan's share of its liability for a Claim. Coordination of benefit efforts will be based on information available to Contractor at the time of the adjudication of the Claim.

Except for the standard processes that Contractor has in place for questioning Plan Participants regarding other health coverage (which may be subject to change from time to time, but which currently includes sending out a questionnaire to the Plan Participant when Contractor deems appropriate), Contractor shall not be required to determine the existence of any other plan or amount of benefits payable under any such other plan. Following Contractor standard investigation process, if a Plan Participant fails to respond to Contractor's inquiry about the existence of any other coverage, Contractor will reject the Claim and will only process such Claim upon receipt of Plan Participant's response.

10. Provide a dedicated Account Executive and/or Operational Account Manager who will provide day-to-day management of project tasks and activities, coordination of Contractor's employees, and possess the technical and functional knowledge to direct all aspects of the project. The Account Executive and/or Operational Account Manager who is knowledgeable about all aspects of the Medical Program and is always accessible by phone or email during regular working hours to address emergency and non-emergency issues posed by the OGB staff and will respond to all inquiries within one business day. The Account Executive must have at least one (1) back-up staff member designated to handle the overall responsibility of OGB. When the Account Executive will be out of the office for more than four hours, the designated back-up staff member will be in the office and available to address all questions and report requests during the Account Executive's absence. The designated back-up staff member will be identified by name, phone number, and alternate phone number prior to the account representative leaving the office.
11. Assist OGB in complying with grievance and appeal procedures adopted by OGB as outlined in the Plan. The Contractor will be responsible for resolution of appeals specific to Covered Benefits, medical necessity, and external reviews consistent with the appeals program and Plan Participant requested reviews of coverage denials as allowed by and in accordance with all applicable Law.
12. Provide support around account strategy, Plan Participant inquiries, issue resolution, reports, other requested projects, and deliverables.
13. Provide an annual service cycle plan as well as an ongoing task log with timelines for all deliverables and weekly status update meetings in person or via teleconference.
14. Attend all on-site quarterly meetings at OGB. The meetings shall be held no later than thirty (30) days following quarter end. The Account Management Team will provide, for

- OGB approval, a draft agenda at least ten (10) business days in advance of a meeting to allow changes to the agenda and a reasonable opportunity to prepare for the meeting.
15. Maintain an ongoing process log that will document all benefit and system programming changes, which will be provided to OGB within five (5) business days of any change.
 16. Upon OGB request, the Contractor will be required to work with the appointed OGB actuary, other selected OGB contractors, employees from the Division of Administration, and the OGB staff for management of the program.
 17. Investigate any activity, health plan related or otherwise relating to the Plan, which it believes to be fraudulent or abusive whenever detected by the Contractor or brought to the attention of the Contractor by OGB or other persons. The Contractor shall have established procedures and system edits that continually improve according to industry best practices to aggressively monitor and proactively search for cases and potential cases of fraud and abuse including providing OGB with a quarterly report of fraud activities and discoveries relating to the resulting Contract. The Contractor agrees to include OGB in anti-fraud efforts undertaken by Contractor.
 18. Assist OGB in responding to inquiries received from Plan Participants, providers, or other persons. Such requests shall be 1) given priority status; 2) subject to a method of tracking approved by OGB; and 3) result in the delivery of all requested information, documentation, etc. When immediate responses are required, the Contractor shall assist OGB in preparing its reply including providing data and documentation within the timeframes prescribed by OGB for a specific inquiry.
 19. Provide immediate online, real-time, manual, eligibility updates for urgent requests by OGB staff.
 20. Provide OGB a list of every legislative instrument for which the Contractor is taking a position on during a Louisiana legislative session. If the Contractor provides any information or data to another State agency for use in a fiscal note for a bill that could possibly impact OGB, that information or data must be shared with OGB.
 21. Assist OGB in responding to legislative inquiries and requests, including, but not limited to, the Account Management Team, analytics and outcomes, and government relations department. The Contractor will provide OGB a receipt of acknowledgement within 24 hours for the following: legislative inquiry requests made by OGB for data or information as a result of inquiries from members of the legislature, legislative staff, or as a result of a request for the fiscal impact of a legislative instrument to OGB. Information or data requested should be provided within three (3) business days of the date of the request, unless the information is requested sooner. If the specified timeframe is not reasonable based on the nature of the request, the Contractor should notify OGB immediately and provide a timeframe for when a response will be provided.
 22. Provide knowledgeable staff to attend statewide annual/special enrollments and any other informational meetings as scheduled by OGB as well as prepare, print and distribute communication materials. Staff in attendance at statewide annual/special enrollments and informational meetings must be Contractor's employees not subcontractors. If virtual meetings are scheduled in lieu of in-person meetings, Contractor will provide staff to attend virtual meetings.
 23. At OGB's request, provide knowledgeable and dependable staff for certain subcontracted wellness service programs (e.g., Live Better Louisiana, etc.) to attend statewide annual/special enrollments and any other informational meetings as scheduled by OGB.

24. Provide advisory services to OGB regarding actual or pending state and federal laws, regulations, policies, procedures, and rules specific to self-funded health plans and for other topics related to the provisions of the self-funded health Plans. Also, provide OGB with interpretation as to the impact of such laws or regulations on the Plan.
25. Subject to OGB's customization and approval, the Contractor will be responsible for the development of health benefit information including, but not limited to 1) annual and special enrollment brochures and promotions; 2) other Plan-related printed materials (i.e., promotional, Plan Participant education, ID Cards, benefit brochures, claim forms, clinical program notices and letters, pre-formatted letters, system generated letters and notifications, correspondence forms, and other written materials and forms). The Contractor will be responsible for all costs associated with designing, writing, printing, distributing and/or mailing all such information.
26. Provide upon request of the Plan Participant printed materials in a medium widely accepted and in compliance with all applicable anti-discrimination Laws. This does not require Contractor to provide the printed materials in a language other than English. Translation of the materials into any other language is not required.
27. Provide a website that is specific to OGB and that is in compliance with all applicable anti-discrimination Laws.
28. Provide all printed material in electronic format with final version submitted to OGB in PDF file format.
29. Provide dedicated Customer Service Representatives ("CSR") to research and resolve, to the satisfaction of OGB, benefits, Claims payment, denial inquiries and complaints submitted by Plan Participants, pharmacies, and OGB. CSR must have the ability to gather and analyze data, create an historical picture, including a timeline of Claim activity for the individual Plan Participant, and develop appropriate correspondence for complicated Claim issues that are appealed to OGB.
30. Handle inquiries related to Plan Participant, benefits, Claims payment, and Claims payment denial. Contractor will furnish a toll-free telephone number for incoming customer service calls, including telephone technology for the hearing impaired and multi-lingual support.
 - The call center services hours for providers must be staffed and available to receive calls 8:00 AM – 5:00 PM CT, Monday through Friday, except on state holidays.
 - The call center for account management must be staffed and available to receive calls 8:00AM – 5:00PM CT, Monday through Friday, except on state holidays.
 - The call center for the nurse line must be staffed and available to receive calls 8:00 AM – 7:00 PM CT, Monday through Thursday, and 8:00 AM – 5:00 PM CT, Friday, except on state holidays.
 - The call center for Plan Participants must be staffed and available to receive calls 8:00 AM – 8:00 PM CT, Monday through Friday, except on state holidays.

Contractor will be required to extend Call center hours, as needed, during annual and special enrollment periods and other appropriate times as requested by OGB. The crisis line call center for behavioral health will be staffed and available to receive crisis calls twenty-four (24) hours a day, seven (7) days a week.

31. Furnish a dedicated toll-free number for incoming pharmacy calls, including telephone technology for the hearing impaired and multi-lingual support. The dedicated call center

for pharmacies must be staffed and available to receive calls twenty-four (24) hours a day, seven (7) days a week. This requirement applies only to the plans for which Contractor administers pharmacy benefits. Where pharmacy benefits are administered by an entity unrelated to Contractor, that unrelated entity will have responsibility to provide the above services.

32. Upon request, provide digital recordings of phone calls within two (2) business days of request.
33. Document and maintain a service disruption/continuity of operations plan or procedure to continue customer service activities and all other business operations when existing service is temporarily unavailable due to either scheduled or unforeseen events (i.e. repairing/restoring utility or power supply, upgrading phone systems, and other events). OGB must be notified in advance for scheduled disruptions and within twenty-four (24) hours of occurrence for other events.
34. Design, update, print and/or email all Primary Plan Participant(s) communication materials (i.e., provider directories, summary plan documents, etc.), advertisements, marketing materials, and education materials for services such as disease management and wellness programs at the Contractor's expense. Only material specific to OGB will be subject to OGB's approval prior to distribution.
35. Written communications to Plan Participants that have not been previously approved by OGB will be subject to OGB's approval prior to distribution. Any changes to these communications are subject to OGB approval prior to implementation. Once written communications are submitted for prior approval, OGB will review and either approve the communications or provide mandatory edits.
36. Conduct annual Plan Participant and OGB satisfaction surveys and report results to OGB. The survey tools are subject to OGB's approval. At a minimum, OGB satisfaction will be measured in the following areas:
 1. Providing effective support in preparing for and conducting open enrollment events/sessions;
 2. Providing OGB with timely notification of issues impacting Plan Participants;
 3. Responding to issues and questions in a timely, comprehensive manner;
 4. Developing and following through on action plans, effectively coordinating to resolve open issues;
 5. Being accessible and attending scheduled meetings; and
 6. Delivering agreed upon reports and communication of program results in a timely manner.
37. Meet with OGB staff in person or via teleconference, on at least a weekly basis to review and evaluate Contract administration. This schedule may be modified by OGB.
38. Notify OGB within five (5) business days of receipt of any class action notice and/or knowledge of other lawsuits related to the services provided hereunder in which the Contractor determines OGB could have an interest and provide copy of such to OGB. Contractor is not authorized to file such claims on behalf of OGB without OGB's express written consent. Contractor will provide claims data and reporting to use in filing for refunds or to participate in any such action or litigation at no additional costs.
39. Furnish to any Plan Participant the appropriate personal health statements ("PHS"), explanation of benefits ("EOB") notices and notices of any denials for Claims.
40. Perform financial functions such as billing, cash disbursements and refund processing.

41. Contractor will process Claims for OGB eligible Plan Participants that were incurred prior to but not processed as of the termination of the Contract and which are received by Contractor not more than one (1) year following Contract termination. However, at OGB's request, the handling of such Claims may be transitioned to a successor agent appointed by OGB prior to the end of the run off period, and Contractor shall reasonably cooperate in transitioning of such services to any successor agent appointed by OGB. Further, Contractor will continue to process all Claims and appeals for Claims incurred prior to the termination of the Contract during the one (1) year run off period following termination, unless otherwise transitioned to a successor agent appointed by OGB, at OGB's option.
42. Contractor will continue the performance of critical functions, including the continuation of the HSA Plan Participant accounts through an appropriate and compliant banking institution, and provide essential services in the event of crisis or other disruption.
43. Assist OGB in preparation of any return or report pertaining to the Plan as required by any Federal Government Agency, and furnish OGB an annual report of information available to Contractor which may be needed by OGB to satisfy ERISA or any other applicable state or federal requirements. Contractor shall not be responsible for determining when or whether government filings are required or completing or filing any report or return. Such reporting includes the mandatory data reporting required by Section 111 of the Medicare, Medicaid & SCHIP Extension Act of 2007 (P.L. 111-173) responsibility for which Contractor delegates to OGB. By OGB's acceptance of the delegation of the responsibility for Section 111 data reporting, OGB shall comply with all requirements for data reporting pursuant to Section 111. In addition, OGB shall defend, indemnify and hold Contractor, its subsidiaries and affiliates, their respective officers, directors, agents, servants, employees and contractors harmless from any and all liabilities, damages, losses, obligations, taxes, claims, demands, judgments and settlements, including, without limitation, reasonable attorneys' fees, fines and penalties that may be imposed upon or incurred by Contractor as a result of Contractor's delegation of the data reporting to OGB, or OGB's performance of or failure to perform the data reporting. Further, OGB shall maintain adequate operational, financial and administrative records, contracts, books, files and other documentation directly or indirectly related to the data reporting.
44. At OGB's request, provide to OGB certain information required to be reported related to compensation earned with regard to administration of the Plan. This information should include all direct and indirect compensation paid by OGB to either Contractor or a third party subcontractor for providing services to the Plan.
45. Provide subrogation and reimbursement services for OGB, including outreach to plan participants, attorneys, risk management, and any other entity necessary to recoup subrogation and reimbursement payments. Contractor will comply with OGB Subrogation and Reimbursement procedures when providing these services.
46. Prepare and print a document containing a description of the covered benefits provided by the Plan to be used by OGB as a Summary Plan Document. OGB will review the draft prepared by Contractor and approve the document in writing as correct in the description of the benefits and compliant with all applicable laws and regulations, before dissemination to its Primary Plan Participant(s). If any changes to the draft prepared by Contractor are needed, OGB will request such changes in writing. Contractor shall update the draft to include OGB's requested changes and submit the revised draft to OGB within five (5)

business days. The Summary Plan Document must contain prior authorizations identified by Contractor as necessary for the Enhanced Utilization Management Program.

47. Prepare a Summary of Benefits and Coverage ("SBC") Document to be used by OGB. Contractor will provide to OGB the SBC within ten (10) business days after it has received from OGB all the benefits information Contractor needs to draft the document. Contractor will not be held responsible for any delays in the distribution of SBCs to Primary Plan Participant(s), unless it has assumed responsibility for such distribution in writing and OGB has submitted the information timely. In any case, it will be OGB's responsibility to review the draft prepared by Contractor. If any changes to the draft prepared by Contractor are needed, OGB will request such changes in writing. Contractor shall update the draft to include OGB's requested changes and submit the revised draft to OGB within five (5) business days of the receipt of the request for changes. If no changes are required, OGB will approve the document in writing as correct in the description of benefits and compliant with all applicable laws and regulations. Contractor will have no liability for any non-compliance of the document with the law, or any inaccuracies in regard to the benefit descriptions, statements, disclosures, or any other information contained in the document.
48. Distribute to Primary Plan Participant(s) a Summary of Benefits and Coverage ("SBC") at the beginning of the Contract, upon renewal of the Contract, or when changes made by OGB to the Plan would require the distribution of a new SBC to all Primary Plan Participant(s). Distribution of the SBCs shall be accomplished by Contractor placing electronic copies of the SBCs on Contractor's OGB designated website.
49. Assist OGB in meeting its responsibilities with respect to providing the continuation of health care coverage required by the Consolidated Omnibus Budget Reconciliation Act of 1985 ("COBRA") and the Uniformed Services Employment and Reemployment Rights Act of 1994 ("USERRA"). OGB is the Administrator for purposes of COBRA and shall remain responsible for meeting all COBRA and USERRA requirements applicable to the Plan. OGB shall also be responsible for promptly notifying Contractor of individuals who elect to continue Contractor coverage under COBRA or USERRA provisions. Contractor's responsibilities shall include, but are not limited to: (1) answering inquiries from former Plan Participants that continue Plan coverage under COBRA or USERRA provisions; and (2) preparing reports for OGB on COBRA and USERRA cases.
50. Contractor will assist OGB in meeting its responsibilities with respect to the receipt of Medical Child Support Orders ("MCSO").
51. Contractor will assist OGB in meeting its responsibilities with respect to administering individual rights and obligations, such as access, amendment, and disclosure accounting rights, as required by the HIPAA and its implementing regulations issued by the U.S. Department of Health and Human Services (45 C.F.R. Parts 160-164) as described in Attachment III: HIPAA Business Associate Addendum.
52. Work with Access Health to ensure the success of the Primary Care Capitation Program offered to OGB qualified members.
53. Enhanced Payment Integrity – Contractor will implement a suite of new payment integrity audits expected to reduce claims by more than \$2.25 million annually. The Contractor will partner with vendors to perform these audits, including credit balance, data mining and DRG audits. Contractor's payment integrity vendors use advanced analytics to identify inappropriate provider billings and, after review, the Contractor will recoup them on behalf of OGB.

54. **Enhanced Utilization Management** – This initiative utilizes the Contractor’s UM strategic partner to more tightly manage Musculoskeletal (MSK), Cardiac, Radiation Oncology and Radiology procedures. The ROI metric will follow clinical metrics schedule outlined under the performance guarantees.
55. **Medical Oncology** – Contractor will explore engagement with a vendor that specializes in medical oncology management. The Contractor will establish collaborative relationships with the Contractor’s oncology providers to promote evidence-based protocols, enhance member access to coordinated and appropriate care, including palliation when necessary, and enhance plan participants’ experience of care.
56. **High-value Select Networks** – The Contractor will make available additional high-performance network options for OGB. In Baton Rouge, the Contractor has partnered with Franciscan Missionaries of Our Lady Health System (FMOLHS) to offer a network including Our Lady of the Lake (LOL), Woman’s Hospital and Baton Rouge Clinic. In New Orleans, the Contractor has partnered with LCMC. Providers in these networks have extended significant additional discounts.
57. **Telehealth-** OGB’s Plan Participants may access telehealth services via two potential methods:
 - (i) **BlueCare-** Contractor’s supported telehealth platform. BlueCare provides OGB Plan Participants with access to telehealth benefits for episodic medical care (virtual access for non-acute or non-emergency medical needs) and for behavioral health (virtual therapy and psychiatry services for common mental health needs).
 - (ii) **Network Providers** with telehealth capabilities.

BlueCare visit summaries will be accessible to Plan Participants to share with their primary care physician (PCP) via the BlueCare app or web service.

As with other Providers, the reimbursement rates and allowable charges for Network and Non-Network telehealth Providers are subject to change.

58. **Behavioral Health Rainmaker program** – New Directions, the Contractor’s behavioral health management vendor, partners with providers to identify opportunities for improvement on key measures that support population health outcomes, such as HEDIS 7- and 30-day Follow-Up After Hospitalization for Mental Illness (FUH) and 30-day readmission.
59. **Medical Action Plan** – The Contractor has a multi-discipline work group focusing on cost reduction initiatives. New programs and policies are continually introduced to reduce costs.
60. **Shared Savings and Bundled Payment Programs** - The Contractor will provide a series of value-based payment models to encourage providers to provide high-quality, lower-cost care. Additionally, the Contractor will provide Shared Savings and Bundled Payment programs with some specialists.
61. **Best-in-Class Analytics** – The Contractor will use artificial intelligence (AI) to turn data into timely, actionable insight to enhance collaboration between insurers and doctors/hospitals, advance a value-based payment system with more accountability, increase transparency and improve patient engagement.
62. **Clinical Care Model Evaluations** – The Contractor will utilize Clinical Care Model evaluations to identify specific segments of OGB’s plan participant population that are at risk and deploy targeted interventions to improve their overall health. This unique

approach, led by the Blue Cross medical director, encourages evaluation of clinical ideas with a multi-disciplinary group of internal health plan experts to pilot clinical ideas and determine their feasibility and long-term viability. The team evaluates root cause analysis, identifies appropriate intervention(s), selects success metrics, controls group monitoring and pilots expansion, if applicable.

63. Healthcare Effectiveness Data and Information Set (HEDIS) and Quality Improvement – The Contractor will provide HEDIS measures. The provider will also provide the Quality Blue Primary Care (QBPC) program.
64. Hospital Quality Program – The Contractor will provide a Hospital Quality Improvement program that measures the performance of hospitals choosing to participate in the program against national evidence-based quality measures and ties their annual payment increase to their performance.
65. Physician Performance Reporting – the Contractor will produce Specialty Care Insight reports to physician groups in seven specialties, including cardiology, gastroenterology, general surgery, obstetrics and gynecology, orthopedic surgery, otolaryngology (ENT) and urology. These reports measure the performance of these groups for episodes of care and provide measures related to efficiency (cost of care) and effectiveness (quality of care) taken from administrative (claims) data. Reports will be added for primary care physicians and additional specialties and the content of the report will be greatly enhanced to provide both individual providers and provider groups with more actionable information. The Contractor will communicate this enhanced information appropriately to plan participants to facilitate their decision-making.
66. Post-Emergency Room (ER) Visit Coordination – The Contractor will partner with providers to follow-up with plan participants who recently visited the ER. The providers are incented to reach out to plan participants to schedule follow-up appointments with their PCPs to improve care coordination and follow-up care, help prevent the plan participant from revisiting the ER or being admitted to the hospital and reducing the overall cost of care.
67. Integrated Behavioral Health (IBH) Pilot Program – The IBH pilot program which the Contractor will provide was developed as a partnership with a large QBPC provider group to place a behavioral health provider on the plan participant's primary care team. The IBH program requires that the primary care team reach specified target metrics around readmission rates, ER visits, post-hospitalization follow-ups and medication adherence.
68. Bridge to Care – The Contractor has recently developed an innovative method to engage members. Bridge to Care leverages plan participant calls coming into the customer service call center to increase engagement in Contractor's care management programs. Using analytics and technology, Bridge to Care will be utilized to unite members to care management health coaches to improve health and care coordination.
69. Expert Guidance and Support – The Contractor's executive staff will provide OGB with consulting, advice and support services. The Contractor's actuarial and informatics staff will support OGB with product design questions and data requests.

70. Provide the following notices:

- Women's Health and Cancer Rights Act ("WHCRA") Notices. Contractor will provide a notice to Primary Plan Participant(s) under the Women's Health and Cancer Rights Act of 1998.
- HIPAA Authorized Delegate Form. Contractor will provide a HIPAA Authorized Delegate Form to Primary Plan Participant(s).
- HIPAA Privacy Notice. Contractor will provide each Primary Plan Participant(s) with Contractor's HIPAA privacy notice, in the event that Primary Plan Participant(s) need to contact Contractor's Privacy Department. OGB will prepare and Contractor will provide OGB's HIPAA privacy notice to Primary Plan Participant(s). Contractor will be responsible for all costs associated with printing HIPAA Privacy Notices.
- Balance Billing Disclosure Notice. Contractor will provide a Balance Billing Disclosure Notice to Primary Plan Participant(s).
- Notices Required by Patient Protection Affordable Care Act ("PPACA") or Other Laws. Contractor will not prepare or distribute any Plan Participant notices required under the Patient Protection and Affordable Care Act or any other state or federal law, unless Contractor assumes responsibility thereof in writing or under the Contract. This includes, but is not limited to, Genetic Information Non-discrimination Act ("GINA") notices, Michelle's Law notices, or COBRA notices.

Task (3): Network Services and Medical Claims Administration

1. Establish, arrange, and maintain physician allied health and hospital provider networks through contractual arrangements with participating hospitals, allied health providers and physicians.
2. Provide network management services offering broad access (e.g., access to two primary care physicians within 15 miles; two specialists within 15 miles; and one hospital within 15 miles of 90% or greater Plan Participants) to primary care physicians, specialists, and hospitals. Network access should be provided with minimal disruption to primary care physicians, specialists, and hospitals currently utilized by Plan Participants.
3. Provide national network access to Providers, facilities, laboratories, and radiology services for all Plan Participants for all self-funded plans other than the Magnolia Local Plan. Cover all emergency services at in-network level as required by applicable law.
4. Develop and/or maintain network options such as Value-Based Care networks, and narrow networks designed to enhance healthcare delivery, increase value, and improve Plan Participant experience.
5. Provide a national network of pharmacies for the Pelican HSA 775 Plan offering.
6. Support the high deductible health plan options (Pelican HSA 775 and Pelican HRA 1000) with an aggregate individual and family deductible and an embedded out-of-pocket maximum.
7. Provide Health Reimbursement Account and Health Savings Account Services (Pelican HSA 775 and Pelican HRA 1000) for respective plan offerings.
8. Provide highest quality Provider credentialing and re-credentialing services.
9. Maintain proven processes for evaluation, maintenance and re-evaluation of Providers for value-based care. Provide a method by which Plan Participants can identify network Providers practicing value-based care.
10. Prohibit participating Providers from balance billing Plan Participants for covered services when requested charges exceed negotiated fees.

11. Provide administrative services for provider contracts that have been negotiated by OGB directly, at OGB's request. OGB, at its sole discretion, may enter into contracts with any provider for rendering health services to the self-funded health plan. Contractor will render administrative services and facilitate discussions with providers as necessary whether in contractor's network or out of contractor's network. All system programming and file data exchange services will be at the contractor's expense.
12. Perform all aspects of Claims processing, coordination of benefits including non-Medicare and Medicare, Claims reimbursement, adjudication, and payment. The Contractor shall verify benefits and eligibility before paying Claims.
13. Provide a process for reimbursing Plan Participants through electronic submission and paper reimbursement form.
14. Provide a full Claims file feed to all vendors designated by OGB including, but not limited to, OGB's actuary and third-party claims administrator of self-insured health plans, as requested by OGB at no additional cost and in the format specified by OGB. File layouts will be provided at no cost to OGB.
15. Remit payments on behalf of OGB to the Centers for Medicare and Medicaid Services ("CMS") or to state Medicaid collection entities in response to Demand Letters for the recovery of Medicare or Medicaid payments. Unless requested by OGB in writing not to make such payments, OGB will reimburse Contractor for any payments remitted by Contractor on behalf of OGB to CMS or Medicaid collection entities in response to Demand Letters for the recovery of Medicare or Medicaid payments. Prior to accepting a substantial settlement agreement on OGB's behalf, Contractor must consult OGB and obtain prior written approval.
16. Process claims and determine payment levels based on the appropriate allowable charge, pursuant to the terms of the Benefit Plan as construed by Contractor.
17. Contractor will make coverage determinations and decide, in accordance with the Plans, the eligibility for payment of Claims incurred and submitted to it during the term of the Contract.
18. Remit timely payment to providers.
19. Review, clarify, edit as necessary, and confirm the accuracy of all health plan information included in the annual benefit guide and website as requested by OGB. The Contractor shall respond within the timeframe set by OGB, which will be determined at the time of the request.
20. Communicate as necessary with those Plan Participants on Plan Participant disruption letters to those impacted by quarterly Formulary changes.
21. Perform all aspects of claims processing, coordination of benefits including non-Medicare and Medicare, claims reimbursement, adjudication, and payment.
22. Process run-on Claims for eligible OGB Plan Participants incurred prior to but not processed as of the effective date of the resulting Contract at OGB's request.
23. Process claims for eligible OGB Plan Participants incurred prior to but not processed as of the termination of the resulting Contract and received not more than one (1) year following Contract termination ("run-off services"). At OGB's request, the handling of such claims may be transitioned to a successor appointed by OGB prior to the end of the run-off period, and the Contractor shall cooperate in transitioning such services to any successor appointed by OGB. Further, Contractor will continue to process all claims and appeals for claims

- incurred prior to termination of the resulting Contract during the one (1) year run-off period following termination, unless otherwise transitioned to a successor appointed by OGB.
24. Provide membership eligibility/enrollment, co-payment/coinsurance and benefit coverage information, supplied by OGB or its designated agent in mutually agreed format, available to network Pharmacies on a weekly basis at the time of dispensing through the online electronic transmission link maintained between the Contractor and pharmacies to assure claims are processed appropriately.
 25. Provide 24/7 access to online portal, except for scheduled maintenance, to Plan Participants for activities such as Claim submission, account monitoring, communications requested and approved by OGB, Formulary for HSA Plan only, and any other information required by state and federal Laws. All outages in excess of one (1) hour should be promptly reported to the Contract Monitor.
 26. Provide OGB and its designated actuarial consultant with access to its standard reporting package, as well as any OGB specific reporting, specific security view allowing access to only database records for Plan Participants.
 27. Develop and implement cost-saving programs. All such initiatives are subject to OGB's approval prior to implementation and/or discontinuance.
 28. Provide predictive and plan design modeling capabilities and tools that will assist OGB in assessing the financial impact and/or return on investment ("ROI") of OGB's current benefit plan design and any proposed benefit changes.
 29. Provide benchmark comparison for clients similar to OGB or national comparisons.
 30. Provide immediate notification upon receipt by Contractor of any non-routine CMS-related inquiries regarding OGB's self-funded ASO plans and prepare response to such inquiries for OGB approval within the specified timeframe mutually agreed upon by the parties; submit such response upon OGB approval.
 31. Provide assistance to OGB in complying with grievance and appeal procedures adopted by OGB and as outlined in the Benefit Plan or Summary Plan Document. Contractor shall abide by the grievance and appeals procedures as stated in the annual Plan Document. Contractor shall:
 - (i) For the first level of internal appeal, determine whether benefits are payable in accordance with the Benefit Plan as a result of an adverse benefit determination, within the timeframes required by law. Contractor will also issue timely decision notices of benefit determination in the appropriate format. If the Contractor receives first level internal appeals requiring eligibility determinations, Contractor will immediately notify and forward the appeal to OGB within five (5) business days of receipt.
 - (ii) At the conclusion of the first level of internal appeal for benefit determination, Contractor will notify the Plan Participant of Contractor's disposition of the appeal including instructions on how to initiate any additional levels of appeal that may be available to the Plan Participant. The determination will include instructions on how the Plan Participant may initiate a second level benefit determination appeal to the Contractor. For the second level internal appeal for benefit determination, Contractor will determine whether benefits are payable in accordance with the Benefit Plan as a result of an adverse benefit determination, within the timeframes required by law and issue timely decision notices in the appropriate format.

Additionally, Contractor will notify the Plan Participant in writing of any external review rights that may be available.

- (iii) Unless otherwise requested by OGB in writing, Contractor will facilitate OGB's external review procedures by randomly assigning an external review request sent by OGB to Contractor to one of Contractor's contracted independent review organizations ("IRO"). Contractor is responsible for complying with applicable laws regarding external review. OGB acknowledges that OGB is responsible for ensuring that the Plan is administered consistently and in accordance with applicable laws; provided, however, Contractor will be responsible for ensuring Claims received by Contractor are processed in accordance with the ERISA Claims regulation.

In the event Contractor receives any final appeal or grievance requests made by a denied claimant, Contractor shall immediately forward the request to OGB within five (5) business days of receipt.

- 32. Manage medical specialty prescription drug spend (i.e., identify and direct Plan Participants and/or in-network Providers to lowest-cost site of care for medical specialty prescription drugs, require medical prior authorization, etc.).

Task (4): Wellness and Population Health Management, Including Disease Management

1. Conduct utilization review, medical necessity determinations, benefit coverage determinations, population health services, and related functions affecting benefit activities.
2. Provide population health program for Common Chronic Conditions such as Chronic Obstructive Pulmonary Disease ("COPD"), Coronary Artery Disease ("CAD"), Congestive Heart Failure ("CHF"), Asthma, and Diabetes. Contractor will provide Population Health services designed to improve health outcomes for Plan Participants.
3. Population Health Management services must at minimum provide the following services:
 - Measure and determine current population risk factors – baseline;
 - Program and program development to mitigate and improve baseline and ongoing risk factors;
 - Monthly reporting dashboard;
 - ROI measurement for programs implemented;
 - Access to standard reporting packages; and
 - Dedicated (not exclusively) Medical Director assigned to review trends and assist in long term strategy for population health management.
4. Wellness Program services must at minimum include the following:
 - 24/7 online program for members and administration;
 - Preventive care tracking;
 - Biometric data collection – onsite and PCP;
 - Health coaching capabilities; and
 - Incentive tracking capabilities.

5. Assist OGB with its current wellness program (Live Better Louisiana Program) by giving Plan Participants resources to help them better monitor their health. To perform health assessments for Plan Participants and provide results to Primary Plan Participants.
6. Provide at least two (2) dedicated nurses to perform services that assist with population health.
7. Provide value based programs that will improve quality of care.

Deliverables

The deliverables listed in this section are the minimum required from the Contractor. Additional deliverables may be included at the time of Contract award or as mutual agreed upon.

Deliverable	Description	Frequency of Submission
Operational Reports		
Quarterly Strategic Report	Plan Dashboard to include data, such as financial experience, claims utilization, program performance, cost management strategies, population health and wellness initiatives and key findings and Plan strategies and opportunities.	Due April 30, July 30, October 30, and January 30 of each calendar year.
Financial Experience	Premium Income and Claims Utilization Experience.	Within fifteen (15) calendar days after end of each month.
Claims Turnaround Time	Percentage of electronic and non-electronic Claims paid within thirty (30) days of receipt.	Within fifteen (15) calendar days after end of each month.
Telephone Abandonment Rate	Percentage of calls where the caller hangs up before speaking to a live voice.	Within fifteen (15) calendar days after end of each month.
PCP Turnover Rate	Percentage of PCPs leaving the network voluntarily or involuntarily during the month. Details of how many PCPs leaving voluntarily or involuntarily will also be provided.	Within fifteen (15) calendar days after end of each month.
Grievance Log	Number of appeals and grievances filed during the month. A detailed report is required listing all appeals and grievances and the current status of each.	Within fifteen (15) calendar days after end of each month.
Plan Participant - Level Enrollment Accuracy	Percentage of Plan Participant updates within two (2) business days of receipt of a complete eligibility file submission.	Within fifteen (15) calendar days after end of each month and calendar year.

Claims Processing Accuracy	Percentage of Contractor audited Claims processed accurately the first time.	Within fifteen (15) calendar days after end of each month and calendar year.
Financial Payment Accuracy	Percentage of Contractor audited Claims dollars paid accurately.	Within fifteen (15) calendar days after end of each month and calendar year.
Abandoned Call Rate	Percentage of calls where the caller hangs up before speaking to a live voice, excluding those calls abandoned in the first 30 seconds and calls routed to an Interactive Voice Recognition ("IVR") system.	Within fifteen (15) calendar days after end of each month and calendar year.
Plan Participant Written Inquiry Timeliness	Percentage of Plan Participant written inquiries answered within seven (7) business days.	Within fifteen (15) calendar days after end of each month and calendar year.
Average Speed to Answer ("ASA")	Average lag time to answer by live voice; percentage of Plan Participants who wait more than 60 seconds to speak with a live customer service representative.	Within fifteen (15) calendar days after end of each month and calendar year.
Primary Plan Participant(s) ID Card Timeliness	Number of Primary Plan Participant(s) issued identification card within 30 days of receipt of confirmation of enrollment eligibility.	Within fifteen (15) calendar days after end of each month and calendar year.
Data Reporting Timeliness	All required data denoted in Attachment IV must be submitted to OGB within 10 days of the following month.	Within ten (10) calendar days after end of each month being reported.
Subrogation	Reporting in accordance with Office of Group Benefits Subrogation and Workers' Compensation Process and Procedures.	Within fifteen (15) calendar days after end of each month and calendar year.
Reports in Response to Audit Requests	Description will be provided at time of request.	Ad Hoc
Account Satisfaction		
Overall Primary Plan Participant(s) Satisfaction Survey	Conduct annual Primary Plan Participant(s) satisfaction survey and report results to OGB.	Within thirty (30) calendar days after end of each calendar year.

OGB Satisfaction Survey	Conduct annual OGB satisfaction survey and report results to OGB.	
Population Health Management		
Semi-Annual Chronic Condition Management Report	Number of Plan Participants that are eligible and enrolled versus those who are eligible and not enrolled in Chronic Condition Management.	<p>Calendar Year 1: Two semi-annual reports as follows: Due June 30 to include data beginning January 1 through June 15 and due January 15, 2022 to include data beginning June 16 through December 31, 2021.</p> <p>Calendar Year 2: Two semi-annual reports as follows: Due June 30 to include data beginning January 1 through June 15 and due January 15, 2023 to include data beginning June 16 through December 31, 2022.</p> <p>Calendar Year 3: Two semi-annual reports as follows: Due June 30 to include data beginning January 1 through June 15 and due January 15, 2024 to include data beginning June 16 through December 31, 2023. For calendar year 3, fifteen percent (15%) of the calendar year 3 administrative fee payment under the resulting contract will be withheld until the performance guarantee reports are provided to OGB.</p>
Claimants Cost Report	Plan Participants accumulating > \$75,000 YTD. The report will include the number of Plan Participants, number new to report	Calendar Year 1: Two semi-annual reports as follows: Due June 30 to

	<p>versus ongoing, average paid per Plan Participant, total paid amount, percent of total paid claims, distribution by diagnosis, and Plan Participant category (active, dependents, retirees with Medicare, and retirees without Medicare Plan Participants).</p>	<p>include Claims data beginning January 1 through June 15 and due January 15, 2022 to include Claims data beginning June 16 through December 31, 2021.</p> <p>Calendar Year 2: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2023 to include Claims data beginning June 16 through December 31, 2022.</p> <p>Calendar Year 3: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2024 to include Claims data beginning June 16 through December 31, 2023. For calendar year 3, fifteen percent (15%) of the calendar year 3 administrative fee payment under the resulting contract will be withheld until the performance guarantee reports are provided to OGB.</p>
Diabetes Adverse Events	Disease related inpatient admits, outpatient services , and/or emergency room visits for Plan Participants ages 18-64 with Diabetes.	<p>Calendar Year 1: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2022 to</p>

		<p>include Claims data beginning June 16 through December 31, 2021.</p> <p>Calendar Year 2: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2023 to include Claims data beginning June 16 through December 31, 2022.</p> <p>Calendar Year 3: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2024 to include Claims data beginning June 16 through December 31, 2023. For calendar year 3, fifteen percent (15%) of the calendar year 3 administrative fee payment under the resulting contract will be withheld until the performance guarantee reports are provided to OGB.</p>
Coronary Artery Disease (“CAD”) Adverse Events	Disease related inpatient admits, outpatients services, and/or emergency room visits for Plan Participants ages 18-64 with CAD.	<p>Calendar Year 1: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2022 to include Claims data beginning June 16 through December 31, 2021.</p>

		<p>Calendar Year 2: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2023 to include Claims data beginning June 16 through December 31, 2022.</p> <p>Calendar Year 3: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2024 to include Claims data beginning June 16 through December 31, 2023. For calendar year 3, fifteen percent (15%) of the calendar year 3 administrative fee payment under the resulting contract will be withheld until the performance guarantee reports are provided to OGB.</p>
Diabetes Prevention Program (“DPP”) Performance	Aggregated weight loss outcomes of DPP participants for each participant group (i.e. starters and graduates), projected diabetes reduction to include cost avoidance, claims impact, and health status (i.e., BMI, weight, etc.).	<p>Calendar Year 1: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2022 to include Claims data beginning June 16 through December 31, 2021.</p> <p>Calendar Year 2: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due</p>

		<p>January 15, 2023 to include Claims data beginning June 16 through December 31, 2022.</p> <p>Calendar Year 3: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2024 to include Claims data beginning June 16 through December 31, 2023. For calendar year 3, fifteen percent (15%) of the calendar year 3 administrative fee payment under the resulting contract will be withheld until the performance guarantee reports are provided to OGB.</p>
Mental Health 30-day follow up	Percentage of Plan Participants who have a follow up evaluation and management visit within 30 days of discharge.	Within fifteen (15) calendar days after close of each month.
On-site Nurse	Number of newly identified Plan Participants with chronic conditions who engage. Number of Plan Participants engaging with on-site nurse in report period.	Within fifteen (15) calendar days after close of each quarter.
Population Health	Eligible, referred, enrolled engagement statistics, and associated clinical indicators.	Within fifteen (15) calendar days after close of each quarter.
Readmissions Rate	Readmissions rates for inpatient admits including diagnosis within 30 days, 90 days, and 120 days.	Within fifteen (15) calendar days after close of each quarter.
Inpatient Reviews	Number of inpatient admissions reviews, and number of inpatient concurrent reviews per month.	Within fifteen (15) calendar days after close of each quarter.

Clinical Trend Report	List of 25 most common inpatient diagnoses (charges and paid). List of outpatient diagnoses with charges and paid (include cost/Plan Participant, sorted by region of the state where service was provided and in the aggregate).	Within fifteen (15) calendar days after close of each quarter.
Preventive Care	Number of eligible Plan Participants and the number of participating Plan Participants with adherence to required preventive and maintenance screenings based on age and condition.	Within fifteen (15) calendar days after close of each calendar year.
Cost Savings Report	Cost savings information for care management, disease management, wellness, utilization management, and any other programs implemented to improve health outcomes of Plan Participants. The Utilization Management reporting will include detailed savings metrics for the utilization and wellness management programs.	Due June 30 th of the following year.
Disease Management Activity Report	Activity Report broken out by line of business ("LOB") to include, but not limited to, plan type and status.	Within fifteen (15) calendar days after close of each month.
Trend Guarantee	The Contractor will provide OGB with a settlement report for the trend guarantee.	Due by June 30 th of each year for the previous year's trend guarantee.
Performance Guarantees Report		
Performance Guarantees	A detailed monthly report including metrics for the performance guarantees set forth in the Contract.	Within thirty (30) calendar days after close of each month and calendar year.
Over-Utilization Reports		
ALERT	Over-utilization or abuse by Plan Participant or provider, fraud, etc. with number of cases identified and disposition, and number of cases under review.	Within forty-five (45) calendar days after close of each quarter.
Fraud and Abuse	Financial impact of identified fraud and abuse.	Within forty-five (45) calendar days after close of each quarter.
Network Management Reports		

Overall Network Discounts	Report illustrating the overall discount received by specialty and by region of the state.	Within thirty (30) calendar days after the close of each month and calendar year.
Geo Access	Report for rural and urban, displayed for inpatient facility, partial, hospital, outpatient provider and MD.	<p>Calendar Year 1: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2022 to include Claims data beginning June 16 through December 31, 2021.</p> <p>Calendar Year 2: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2023 to include Claims data beginning June 16 through December 31, 2022.</p> <p>Calendar Year 3: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2024 to include Claims data beginning June 16 through December 31, 2023. For calendar year 3, fifteen percent (15%) of the calendar year 3 administrative fee payment under the resulting contract will be withheld until the performance guarantee reports are provided to OGB.</p>

Other Coverage	Monthly report of Plan Participants who have other coverage (i.e. Medicare or other commercial coverage).	Within fifteen (15) calendar days after the close of each month.
50 Most Utilized Providers	List of 50 most utilized in-network providers in Louisiana by 1) specialty, 2) per region of the state, 3) by number of evaluation and management visits and by 4) total allowed charges.	Reports due: January 15, 2021, and thereafter on April 15, July 15, October 15 and January 15 of each calendar year.
25 Most Utilized Facilities	List of top 25 most utilized facilities by number of admissions, average length of stay, 30, 90, and 120 day readmission rate and 30 day outpatient follow-up rate.	<p>Calendar Year 1: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2022 to include Claims data beginning June 16 through December 31, 2021.</p> <p>Calendar Year 2: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2023 to include Claims data beginning June 16 through December 31, 2022.</p> <p>Calendar Year 3: Two semi-annual reports as follows: Due June 30 to include Claims data beginning January 1 through June 15 and due January 15, 2024 to include Claims data beginning June 16 through December 31, 2023. For calendar year 3, fifteen percent (15%) of the calendar year 3</p>

		administrative fee payment under the resulting contract will be withheld until the performance guarantee reports are provided to OGB.
Network Providers	Detailed listing including the number of providers and facilities in-network by type, facility and provider terminations during report period by type, and new and re-contracted providers and facilities by type during the report period.	Within thirty (30) calendar days after close of each quarter.
In vs. Out of Network Analysis	In-network versus out of network analysis for each level of care (i.e., inpatient, outpatient, etc.).	Within forty-five (45) calendar days after close of each quarter.
Disruption Notification		
Network Disruption Notification	Provide at least sixty (60) days advance written notification to OGB and its Primary Plan Participant(s) of any change in provider networks. Primary Plan Participant(s) communications are subject to OGB's approval prior to distribution by the Contractor.	Within sixty (60) calendar days of any change to network.
Independent Assurances		
Independent Assurances	Contractor and its subcontractors performing key delegated functions shall each supply OGB with an exact copy of the SOC 1, Type II report and/or SOC 2, Type II report (as agreed by OGB) resulting from the SSAE 18 engagement or other assurances as described in Section 19 and for the period Jan 1- Dec 31. Contractor shall also provide a bridge letter to OGB for the period of January 1- June 30 of the following independent assurance reporting period no later than July 31 of each calendar year.	Due March 31 of each calendar year beginning March 31, 2022. The bridge letter covering the period of January 1-June 30 of each year will be due July 31 of each year beginning on July 31, 2022.
Unclaimed Property Report		

Unclaimed Property	Detailed listing in a mutually agreeable format of any unclaimed property of OGB Plan Participants held by Contractor.	No later than June 30 of each calendar year.
Rebates		
Rebate Checks	Provide OGB with applicable rebates for injectable drugs. Provide OGB with applicable rebates for the HSA plan offering. Provide breakout details for said rebates including respective health plans the rebate is derived.	Within forty-five (45) calendar days after close of each quarter, during the quarter that rebates check(s) issued.

Performance Guarantees

The following performance guarantees are the minimum acceptable standards for the resulting Contract. The following clinical performance guarantee metrics shall be reported and reconciled annually and paid automatically by August 15th after the end of the following calendar year: Trend, Discount, Minimum Overall Network Discount, Diabetes Adverse Events, Coronary Artery Disease Adverse Events, Utilization and Wellness Management Program, Readmission Rate 15 Day, Mental Health 30 Day follow-up visit after discharge, Specialty Drug Trend cost guarantee, and Specialty Drug Utilization Reduction. All other performance guarantee metrics shall be reported quarterly and reconciled on an annual basis unless another time period is agreed to between OGB and Contractor. OGB shall have the ability to modify the performance guarantees each Contract year, in accordance with the requirements provided in Section 11 of the contract. OGB, at its sole discretion, will allocate amounts at risk for performance guarantees. However, OGB will not allocate more than thirty percent (30%) of the total amount at risk to one performance guarantee. Contractor will be subject to per day fees for the independent assurance performance guarantee. For calendar year 3, fifteen percent (15%) of the calendar year 3 administrative fee payment under the resulting contract will be withheld until the performance guarantee reports are provided to OGB. If the option to extend the contract is exercised, for calendar years 4 and 5, fifteen percent (15%) of the calendar year 4 and 5 administrative fee payment under the resulting contract will be withheld until the performance guarantee reports are provided to OGB, for each respective calendar year.

All other performance guarantee penalties owed to OGB shall be paid within ninety (90) days after the end of the calendar year. Penalties owed by the Contractor will be paid automatically and will not need to be requested. Implementation performance guarantees will be measured and reported within ninety (90) days after the agreed upon implementation date. Payment of any due and owing implementation performance penalty shall be paid within sixty (60) days of notification of the penalty to the Contractor.

Performance Guarantees: The Contractor will be subject to the performance standards and those detailed in Attachment I, Scope of Service.

Audit: OGB reserves the right to audit performance guarantee reports on an annual basis. A third party may be utilized to perform this audit without limitation of the scope.

Measurement Periods: The first period to be measured shall be calendar year 2021 also known as January 1, 2021 through December 31, 2021. The second period will be for calendar year 2022, and the third period for calendar year 2023. The fourth period, subject to the renewal option, will be for calendar year 2024, and the fifth period, subject to the renewal option, will be for calendar year 2025. If the performance guarantees are effective for less than a full calendar year, the payment amounts will be prorated for the portion of the Measurement Period.

Metric	Performance Standard	Penalty Percent at Risk Annually
Implementation		
Implementation Satisfaction Survey	Provide an implementation satisfaction guarantee that is separate from all other guarantees. The guarantee will be at the sole discretion of OGB, meaning OGB can determine, in good faith, a "yes" or "no" if they were satisfied with the implementation, or a percentage of satisfaction.	1.0% at risk for the first measurement period of the contract
Pre-Implementation Audit	Complete the pre-implementation audit, including follow-up test claims, at least ten (10) days prior to the established implementation date.	0.5% at risk for the first measurement period of the contract
Post Implementation		
Independent Assurances		
Independent Assurances	Contractor shall supply OGB with an exact copy of the SOC 1, Type II and/or SOC 2, Type II report resulting from an independent annual SSAE 18 engagement of the operations as described in Section 19 and for the period of January 1 – December 31 beginning March 31, 2022 and each calendar year thereafter. Contractor shall also provide a bridge letter to OGB for the period of January 1-June 30 of the following independent	\$1,000 per day

	assurance reporting period beginning July 31, 2023.	
Plan Participant and Billing		
Plan Participant-Level Enrollment Accuracy	98% of Plan Participant updates within two (2) business days of receipt of a complete eligibility file submission.	1.50%
Claims Operations		
Claims Processing Accuracy	97% or greater of audited Claims adjudicated accurately in accordance with the Plan.	1.50%
Financial Accuracy	97.5% or greater of audited Claims accurately paid in accordance with the contracted provider rate.	1.50%
Customer Service		
First Call Resolution	80% of Plan Participant calls resolved on first call.	1.50%
	Measurement: The number of calls that are completed without the need for referral or follow up actions divided by the total number of calls (excludes calls routed to IVR).	
Abandoned Call Rate	Less than or equal to 5% abandonment rate as a percent of all calls disconnected before a Customer Service Representative gets on the line. (Excludes calls abandoned within the first 30 seconds and calls routed to IVR).	1.50%
Plan Participant Written Inquiry Timeliness	95% of all written inquiries will be answered within 7 business days.	1.50%
Average Speed to Answer	The average elapsed time between call accepted into Contractor's system and a customer service representative gets on the line will be less than or equal to 60 seconds.	1.50%
Account Satisfaction		
Overall Primary Plan Participant Satisfaction Survey	Satisfaction Rate must be 85% or greater.	2%

OGB Satisfaction Survey	Satisfaction Rate must be 85% or greater, using metrics mutually agreed upon by Contractor and OGB prior to January 1, 2021.	2%
Financial		
Trend Guarantee	The Contractor will provide a trend guarantee for calendar years 2021, 2022, and 2023.	20.6%
Discount Guarantee	The Contractor guarantees a 64.5% discount.** The discount guarantee will be based on the discount percentage defined as the contractual discount accepted by providers for covered services divided by covered billed charges for in and out-of-network claims. To be measured annually. Any shortfall will require a dollar for dollar payment up to the guaranteed discount amount.	Dollar for Dollar payment for any shortfall Capped at 100% of the Administrative Fee
***Enhanced Payment Integrity	The Contractor will partner with vendors to perform payment integrity audits, including credit balance, data mining and DRG audits.	The Contractor guarantees a 2:1 ROI beyond required guarantees.
Reporting and Analytics		
Overall Reporting Requirements	Contractor agrees to provide 90% of all reports listed in the Contract by the required timeframe stated in the Contract.	2.50%
Data Analytics	Refresh analytic tool data monthly by the 30th of the following month at least ninety-one percent (91%) of the time.	2.50%
Provider Contracting and Network		
Minimum Overall Network Discounts (all services)	Contractor will guarantee a 64.7% network discount for Louisiana in-network providers, excluding pharmacy.**** The discount guarantee will be based on the discount percentage defined as the contractual discount accepted by providers for covered services	15%

	divided by covered billed charges. Contractor will provide a discount report illustrating the overall discount achieved.	
Population Health Management		
Diabetes Adverse Events	Contractor will guarantee adverse events defined as disease related inpatient admits and/or emergency room for Plan Participants ages 18-64 with Diabetes as mutually agreed by OGB and Contractor. The adverse events for each Plan year shall be equal to or less than the BCBSLA governmental benchmark adverse events	2%
Coronary Artery Disease Adverse ("CAD") Events	Contractor will guarantee adverse events defined as disease related inpatient admits and/or emergency room for Plan Participants ages 18-64 with CAD as mutually agreed by OGB and Contractor. The adverse events for each Plan year shall be equal to or less than the BCBSLA governmental benchmark adverse events	2%
Readmission Rate- 15 day	Readmission rates will be based on "like events" ages 18-64.	2%
Mental Health 30 day follow up visit after discharge	60% of Plan Participants discharged from an inpatient mental health facility will have a follow up visit within 30 days of discharge.	2%
Dedicated Nurses	Two nurses dedicated solely to OGB.	2%
Utilization and Wellness Management Programs	On an annual basis, the utilization and wellness management programs (prior authorizations, disease management, Live Better Louisiana, etc.) must have a savings of 1.5:1 demonstrated using OGB's own claims	1.5:1 ROI or the difference is owed to OGB Capped at 100% of the Administrative Fee

	experience. If ratio is not 1.5:1 the Contractor will owe OGB the difference beginning calendar year 2022 and each calendar year thereafter.	
*****Enhanced Utilization Management Program	The Contractor will utilize UMstrategic partner to more tightly manage Musculoskeletal (MSK), Cardiac, Radiation Oncology and Radiology procedures.	Contractor guarantees a 3:1 ROI for these programs in aggregate above required guarantees.
Specialty Drugs in Self-Funded ASO Health Plan		
Trend cost guarantee, mutually agreed upon, at the Health Care Cost Procedure Code level on the drug classes included in the site of care service program.	The baseline will be average unit cost based on historical claims experience for the previous calendar year.	2.5%
Utilization reductions in mutually agreed targeted therapeutic classes.	At a minimum, 75% of new patients meeting criteria will be serviced in facilities, providers offices, or home that have pricing parity (meaning moved away from outpatient hospital setting)	2.5%

*The trend guarantee will have six (6) month run-out periods for base and performance periods. The base year will be 2019 due to the impact of COVID-19. The 2021 trend will be calculated by calculating the base and performance year per member per month (PMPM) applying the conditions listed below and dividing the Performance Period PMPM by the Base Period PMPM and then take the square root of the quotient. Then subtract one and multiply by 100. The 2022 trend will be calculated by calculating the base and performance year per member per month (PMPM) applying the conditions listed below and dividing the Performance Period PMPM by the Base Period PMPM and then take the cube root of the quotient. Then subtract one and multiply by 100. The 2023 trend will be calculated by calculating the base and performance year per member per month (PMPM) applying the conditions listed below and dividing the Performance Period PMPM by the Base Period PMPM and then take the fourth root of the quotient. Then subtract one and multiply by 100.

Below are sample calculations:

Year	Actual PMPM	Resulting Performance Trend
2019	\$300	
2021	\$360	9.54%
2022	\$400	10.06%
2023	\$420	8.78%

The following conditions apply:

- Excludes claims associated with additional coverage added above and beyond previous year coverage. For example, if Obesity Surgery coverage was added in 2021, claims associated with obesity coverage would be removed from settlement of the 2021 guarantee.
- Excludes the amount in excess of \$100,000 for any members with claims greater than \$100,000 for both the base and performance period.
- Includes all paid fee for service claims.
- Excludes Pharmacy Benefit Manager and Medical Specialty Prescriptions.

****** The discount guarantee will be based on the discount percentage defined as the contractual discount accepted by providers for covered services divided by covered billed charges for in and out-of-network claims. The calculation will exclude PBM pharmacy claims, charges for ineligible and non-covered services, and claims where OGB is secondary.

******* Contractor will deploy new vendor resources to identify and recover provider overpayments using data analytics to identify inappropriate provider billing.

By April 1st following the performance year Contractor will provide a detailed report of the adjusted claims and associated savings recovered between January 1st and December 31st using the new program. If savings are greater than 2 times the fee charged, Contractor owes nothing. If the savings are less than 2 times the fees charged, Contractor will pay OGB the difference.

Contractor pays vendors a percent of savings of fees recovered. As OGB's savings grows, Contractor's payments to vendor increases. Contractor agrees to not pass on any fee to OGB.

******** The discount guarantee will be based on the discount percentage defined as the contractual discount accepted by providers for covered services divided by covered billed charges. The calculation will exclude PBM pharmacy claims, claims from providers outside of Louisiana, charges for ineligible and non-covered services, claims where OGB is secondary, and out-of-network provider claims.

********* The 1.5:1 ROI for utilization and wellness Management programs will include Care Management, Disease Management, and Standard/Enhanced utilization management programs. ROI will be calculated annually using industry standard advanced analytics concepts and methodologies.

ATTACHMENT II: RATE SCHEDULE

The administrative fee listed below is fully burdened and inclusive of all Contract costs and expenses. Note: OGB shall reimburse the Contractor for any taxes or charges of fees which may be assessed against the Contractor by any government entity for providing any service or benefits to OGB, as set forth under the Plan or this Contract with the exception of income taxes owed by the Contractor.

	Fixed Monthly Administrative Fee (Per Primary Plan Participant Per Month)
January 1, 2021 – December 31, 2023	\$23.90

ATTACHMENT III: BUSINESS ASSOCIATE ADDENDUM

State of Louisiana, Office of Group Benefits
HIPAA Business Associate Addendum

THIS HIPAA BUSINESS ASSOCIATE ADDENDUM (the "Addendum") is entered into effective the 2nd day of October, 2020 (the "Effective Date"), by and between Louisiana Health Service & Indemnity Company d/b/a Blue Cross and Blue Shield of Louisiana and its subsidiary HMO Louisiana, Inc., ("Business Associate") and the State of Louisiana, Office of Group Benefits, on behalf of itself and its affiliates, if any (individually and collectively, the "Covered Entity"), and adds to the Agreement or Contract dated October 2, 2020, entered into between Covered Entity and Business Associate (the "Agreement").

WHEREAS, pursuant to the Agreement, Business Associate performs functions or activities or arranges for such on behalf of Covered Entity involving the use and/or disclosure of protected health information that Business Associate accesses, creates, receives, maintains or transmits on behalf of Covered Entity ("PHI"); and

WHEREAS, Covered Entity and Business Associate intend to protect the privacy and provide for the security of PHI in compliance with the Health Insurance Portability and Accountability Act of 1996, and regulations promulgated thereunder by the U.S. Department of Health and Human Services ("HHS"), as amended from time to time including by the Health Information Technology for Economic and Clinical Health Act ("HITECH") (collectively "HIPAA").

Covered Entity and Business Associate (collectively "the Parties"), therefore, agree to the following terms and conditions set forth in this Addendum.

1. **Definitions.** Terms used, but not otherwise defined, in this Addendum shall have the same meaning as those terms are defined under HIPAA.
2. **Compliance with Applicable Law.** The Parties acknowledge and agree that, beginning with the Effective Date, Business Associate shall comply with its obligations under this Addendum and with all obligations of a business associate under HIPAA and other applicable laws, regulations, and record retention policies, as they exist at the time this Addendum is executed and as they are amended, for so long as this Addendum is effective.
3. **Uses and Disclosures of PHI.** Except as otherwise limited in the Agreement or this Addendum, Business Associate may, and shall ensure that its directors, officers, employees, contractors, subcontractors, vendors, and agents use or disclose PHI only as follows:
 - (a) Business Associate may use PHI for the proper management and administration of the Business Associate or to carry out the legal responsibilities of the Business Associate.
 - (b) Business Associate may disclose PHI for the proper management and administration, or to carry out the legal responsibilities, of the Business Associate, provided that disclosures are required or authorized by HIPAA, or Business Associate obtains reasonable written assurances from the person or entity to whom the PHI is disclosed that it will remain confidential and be used or further disclosed only as required by law or for the purpose for

which it was disclosed to the person or entity, and the person or entity notifies the Business Associate of any instances of which it is aware or suspects in which the confidentiality of the PHI has been breached. In such case, Business Associate shall report such known or suspected breaches to Covered Entity as soon as possible and in accordance with timeframes set forth in this Addendum.

- (c) Business Associate, upon written request by Covered Entity, may use PHI to provide Data Aggregation services to Covered Entity as permitted by 45 CFR 164.504(e)(2)(i)(B). For purposes of this Section, Data Aggregation means, with respect to PHI, the combining of such PHI by Business Associate with the PHI received by Business Associate in its capacity as a Business Associate of another Covered Entity to permit data analyses that relate to the health care operations of the respective Covered Entities.
- (d) Business Associate may de-identify any and all PHI created or received by Business Associate under this Agreement; provided, however, that the de-identification conforms to the requirements of HIPAA and in accordance with any guidance issued by the Secretary. Such resulting de-identified information would not be subject to the terms of this Addendum.
- (e) Business Associate may create a Limited Data Set, as defined in HIPAA, and use such Limited Data Set pursuant to a Data Use Agreement that meets the requirements of HIPAA, provided Covered Entity agrees to such creation and use of a Limited Data Set.

4. *Required Safeguards to Protect PHI.* Business Associate shall implement appropriate safeguards in accordance with HIPAA to prevent the use or disclosure of PHI other than pursuant to the terms and conditions of the Agreement. To the extent that Business Associate creates, receives, maintains, or transmits electronic PHI ("ePHI") on behalf of Covered Entity, Business Associate shall comply with the HIPAA Security Rule as of the relevant effective date and further, shall implement Administrative, Physical, and Technical Safeguards that reasonably and appropriately protect the confidentiality, integrity and availability of the ePHI.

5. *Reporting to Covered Entity.* Business Associate shall immediately report to Covered Entity any use or disclosure of PHI not provided for by this Addendum, including breaches of unsecured PHI in accordance with the Breach Notification Rule (45 CFR Subpart D), and any successful security incident of which it becomes aware. Business Associate shall report such disclosure to Covered Entity as soon as reasonably possible upon discovery or notification of the disclosure and shall cooperate with Covered Entity's investigation, analysis, notification and mitigation activities, and shall be responsible for all costs incurred by Covered Entity for those activities. However, all uses and disclosures of PHI that are determined to be a low risk of harm to the individual will be reported on a monthly basis. This monthly report will include a summary of the risk analysis and any mitigation efforts undertaken. For purposes of this Addendum, a use and disclosure of PHI will be considered to be a low risk of harm if Business Associate determines, in its sole discretion, that there is a low probability that the PHI of an individual has been compromised.

6. *Mitigation of Harmful Effects.* Business Associate agrees to mitigate, to the extent practicable, any harmful effect of a use or disclosure of PHI by Business Associate in violation of the requirements of this Addendum, including, but not limited to, compliance with any state law or contractual data breach requirements.

7. Agreements with Third Parties. Business Associate understands and agrees that any agent or subcontractor that may create, receive, maintain or transmit PHI on behalf of Business Associate must comply with all applicable laws and regulations as are applicable to Covered Entity in regard to PHI. Business Associate shall enter into a written agreement with any agent or subcontractor of Business Associate that will create, receive, maintain, or transmit PHI on behalf of Business Associate. Pursuant to such agreement, the agent or subcontractor shall agree to be bound by substantially similar restrictions, terms, and conditions that apply to Business Associate under this Addendum with respect to such PHI. Such agreements with Business Associates agents and subcontractors shall be provided to Covered Entity upon request and subject to audit hereunder.

8. Access to Information. Within ten (10) days of a request by Covered Entity for access to PHI about an individual contained in a Designated Record Set, Business Associate shall make available to Covered Entity such PHI for so long as such information is maintained by Business Associate in the Designated Record Set, as required by 45 CFR 164.524. In the event any individual delivers directly to Business Associate a request for access to PHI held in a Designated Record Set by Business Associate, Business Associate shall within five (5) days forward such request to Covered Entity.

9. Availability of PHI for Amendment. Within ten (10) days of receipt of a request from Covered Entity for the amendment of an individual's PHI or a record regarding an individual contained in a Designated Record Set (for so long as the PHI is maintained in the Designated Record Set), Business Associate shall provide such information to Covered Entity for amendment as required by 45 CFR 164.526. Business Associate will incorporate any such amendments in the PHI it maintains in a Designated Record Set unless there is a valid reason for denial as allowed by 45 CFR 164.526.

10. Documentation of Disclosures. Business Associate agrees to document disclosures of PHI and information related to such disclosures as would be required for Covered Entity to respond to a request by an individual for an accounting of disclosures of PHI in accordance with 45 CFR 164.528. At a minimum, Business Associate shall provide Covered Entity with the following information: (i) the date of the disclosure; (ii) the name of the entity or person who received the PHI, and if known, the address of such entity or person; (iii) a brief description of the PHI disclosed; and (iv) a brief statement of the purpose of such disclosure which includes an explanation of the basis for such disclosure.

11. Accounting of Disclosures. Within fifteen (15) calendar days of notice by Covered Entity to Business Associate that it has received a request for an accounting of disclosures of PHI regarding an individual, Business Associate shall make available to Covered Entity information collected in accordance with Section 10 of this Addendum, to permit Covered Entity to respond to the request for an accounting of disclosures of PHI in accordance with 45 CFR 164.528. In the event the request for an accounting is delivered directly to Business Associate, Business Associate shall within five (5) days forward such request to Covered Entity. Business Associate hereby agrees to implement an appropriate record keeping process to enable it to comply with the requirements of this Section.

12. Other Obligations. To the extent that Business Associate is to carry out Covered Entity's obligation under HIPAA, Business Associate shall comply with the requirements of HIPAA that apply to the Covered Entity in the performance of such obligation.

13. Availability of Books and Records. Business Associate hereby agrees to make its internal practices, books, and records relating to the use and disclosure of PHI received from, or created or received by Business Associate on behalf of, Covered Entity available to Covered Entity and to the Secretary for purposes of determining Covered Entity's compliance with HIPAA for the term of this Agreement and for six years following the final payment under the Agreement.

14. Effect of Termination of Agreement. Upon the termination of the Agreement or this Addendum for any reason, and upon request from Covered Entity, Business Associate shall return to Covered Entity, at its expense and within sixty (60) days of the termination, all PHI owned by or belonging to Covered Entity as provided in the Agreement, and shall retain no copies of the PHI unless required by law. In the event that the law requires Business Associate to retain copies of PHI, Business Associate shall extend the protections of this Addendum to such PHI and limit further uses and disclosures of such PHI to those purposes required by law, for so long as Business Associate maintains such PHI. This provision includes, but is not limited to, PHI: (a) received from Covered Entity; (b) created or received by Business Associate on behalf of Covered Entity; and, (c) in the possession of subcontractors or agents of Business Associate. This provision includes PHI in any form, recorded on any medium, or stored in any storage system. In addition, the Business Associate shall return any books, records, or other documents required by the Agreement. When return of PHI is not feasible, Business Associate will identify any of Covered Entity's PHI, including any that Business Associate has disclosed to subcontractors or agents, that cannot feasibly be returned to Covered Entity or destroyed and explain why return or destruction is infeasible. Business Associate will limit its further use or disclosure of such information to those purposes that make return or destruction of such information infeasible. Business Associate's obligation to protect the privacy and safeguard the security of Covered Entity's Protected Health Information as specified in this Agreement will be continuous and survive termination or other conclusion of services and this Agreement.

15. Breach of Contract by Business Associate. In addition to any other rights Covered Entity may have in the Agreement, this Addendum or by operation of law or in equity, Covered Entity may (i) immediately terminate the Agreement if Covered Entity determines that Business Associate has violated a material term of this Addendum, or (ii) at Covered Entity's option, permit Business Associate to cure or end any such violation within the time specified by Covered Entity. Covered Entity's exercise of its option to permit Business Associate to cure a breach of this Addendum shall not be construed as a waiver of any other rights Covered Entity has in the Agreement, this Addendum or by operation of law or in equity.

16. Indemnification. Business Associate shall defend, indemnify and hold harmless Covered Entity and its officers, trustees, employees, subcontractors and agents from and against any and all claims, penalties, fines, costs, liabilities or damages, including but not limited to reasonable attorney fees, incurred by Covered Entity arising from a violation by Business Associate or its subcontractors of Business Associate's obligations under this Addendum or HIPAA. Covered Entity will promptly notify Business Associate of any such claim. Covered Entity agrees to assist and cooperate in the defense of such claim. This Section 16 of the Addendum shall survive the termination of the Agreement or this Addendum.

17. Exclusion from Limitation of Liability. To the extent that Business Associate has limited its liability under the terms of the Agreement, whether with a maximum recovery for direct damages or a disclaimer against any consequential, indirect or punitive damages, or other such limitations, all limitations shall exclude any damages to Covered Entity arising from Business

Associate's breach of its obligations relating to the use and disclosure of PHI. This Section 17 of the Addendum shall survive the termination of the Agreement and this Addendum.

18. *Injunctive Relief.* Business Associate acknowledges and stipulates that the unauthorized use or disclosure of PHI by Business Associate or its subcontractors while performing services pursuant to the Agreement or this Addendum would cause irreparable harm to Covered Entity, and in such event, Covered Entity shall be entitled, if it so elects, to institute and prosecute proceedings in any court of competent jurisdiction, either in law or in equity, to obtain damages and injunctive relief, together with the right to recover from Business Associate costs, including reasonable attorneys' fees, for any such breach of the terms and conditions of the Agreement or this Addendum.

19. *Third Party Rights.* The terms of this Addendum are not intended, nor should they be construed, to grant any rights to any parties other than Business Associate and Covered Entity.

20. *Owner of PHI.* Under no circumstances shall Business Associate be deemed in any respect to be the owner of any PHI used or disclosed by or to Business Associate pursuant to the terms of the Agreement.

21. *Changes in the Law.* The Parties may amend either the Agreement or this Addendum, as appropriate, to conform to any new or revised federal or state legislation, rules, regulations, and records retention policies to which the Parties are subject now or in the future including, without limitation, HIPAA.

22. *Judicial and Administrative Proceedings.* In the event Business Associate receives a subpoena, court, or administrative order, or other discovery request or mandate for release of PHI, other than a standard medical records request/medical records subpoena, Business Associate shall notify Covered Entity of such within five (5) business days by providing a copy of such and any applicable comments. Covered Entity shall have the right to control Business Associate's response to such request.

23. *Conflicts.* If there is any direct conflict between the Agreement and this Addendum, the terms and conditions of this Addendum shall control.

IN WITNESS WHEREOF, the parties have executed this Addendum effective the day and year first above written.

(Signature page to follow)

**STATE OF LOUISIANA
OFFICE OF GROUP BENEFITS**

By: Renita Ward Williams
Signature

Renita Ward Williams
Printed Name

Title: Interim Chief Executive Officer

Date: 10/2/2020

**LOUISIANA HEALTH SERVICE &
INDEMNITY COMPANY d/b/a BLUE
CROSS AND BLUE SHIELD OF LOUISIANA**

DocuSigned by:
By: I. Steven Udvarhelyi, M.D.
Signature

I. Steven Udvarhelyi, M.D.
Printed Name

Title: CEO

Date: October 2, 2020 | 2:23 PM CDT

ATTACHMENT IV: RECORDS RETENTION SCHEDULE

Records Retention Schedule

Louisiana Secretary of State
Division of Archives, Records Management and History
Post Office Box 94125, Baton Rouge, LA 70804

http://www.sos.la.gov

Agency No	Agency / Division / Section	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital	Remarks
Item Number			In Office	In Storage	Total					
1	Internal Audit Records/Reports	ACT + 2 FY	3 FY	ACT + 5 CY	M	S	Y	V	ACT = until the end of the FY in which the audit report is issued. "	
2	LJA Audit Records/Reports	ACT + 2 FY	3 FY	ACT + 5 CY	M	S	Y	V	ACT = until the end of the FY in which the audit report is issued. "	
3	Group Benefits Policy and Planning Board Meeting Presentations	ACT + 10 CY	0	ACT + 10 CY	P	S	N	I	ACT = until the end of the CY in which the presentations were created. "	
4	Group Benefits Policy & Planning Board Reports	ACT + 10 CY	0	ACT + 10 CY	M	S	N	V	ACT = until end of CY in which OGB ceases to exist. "	
5	Group Benefits Policy & Planning Board Meeting Minutes	PERM	0	PERM	M	R	N	V		
6	Group Benefits Estimating Conference Meeting Presentations	ACT + 10 CY	0	ACT + 10 CY	P	S	N	I	ACT = until the end of the CY in which the presentations were created. "	
7	Group Benefits Estimating Conference Meeting Minutes	PERM	0	PERM	M	R	N	V		
8	OGB 5-year Strategic Plan	ACT + 5 FY	0	ACT + 5 FY	P	S	N	V	ACT = until the end of the FY in which the Strategic plan was drafted. "	
9	OGB HIPAA Compliance Records	ACT + 6 CY	0	ACT + 6 CY	M	S	N	V	ACT = until the end of the CY in which the records were created or received. "	

Permitted Retention Period Abbreviations
ACT - Active Period (when used define term in remarks column)
FY - Fiscal Year (July 1 - June 30)
CY - Calendar Year (Jan 1 - Dec 31)
AY - Academic Year (Aug 1 - July 31)
FFY - Federal Fiscal Year (Oct 1 - Sept 30)
MO - Months WK - Week (Mon-Sun) DY - Days
PERM - Permanent
** = May be part of an Imaging/Electronic Exception.
** = May be part of an Imaging/Electronic Survey.

Security Status Codes
P - Public Record
M - May Contain Confidential Information
C - Confidential Information
Archival Processing Codes
A - Transfer to State Archives
R - Retain in Agency Archives
S - Review by State Archives
D - Review by State Archives/Electronic
O - Other (Specify in Remarks)

State Records Center
Y - Yes
N - No
Vital Record Identification Code
V - Vital
I - Important
U - Useful

Agency Abbreviations
LLA - Louisiana Legislative Auditor
OGB - Office of Group Benefits
HIPAA - Health Insurance Portability and Accessibility Act

Agency Approval *Thomas J. Hargis*

Date Signed 1-7-2020

Secretary of State, State Archives & Records Services *Sharon E. Hargis, OGB*

Date Approved 1-8-2020

SS ARC 822 (10/19)
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Records Retention Schedule

Louisiana Secretary of State
Division of Archives, Records Management and History
Post Office Box 94125, Baton Rouge, LA 70804

Http://www.sos.la.gov

Agency No	Agency / Division / Section	Division of Administration / Office of Group Benefits / Administration - Finance	Retention Period				Security	Archival	State Records Center	Vital	Remarks
Item Number	Records Series Title	In Office	In Storage	Total							
1	OGB Budget Request Documents/Records	ACT + 5 FY	0	ACT + 5 FY	P	R	N	N	V	ACT = until the end of the FY in which created**	
2	Actuarial Revenue/Expenditure Line Item Projections	ACT + 5 FY	0	ACT + 5 FY	M	S	N	V	ACT = until the end of the FY in which received**		
3	Actuarial Premium Rate Schedules	ACT + 5 CY	0	ACT + 5 CY	P	S	N	V	ACT = until the end of the FY in which received**		
4	Official Premium Rate Schedules	ACT + 10 CY	0	ACT + 10 CY	P	S	N	V	ACT = until the end of the CY in which the OGB ceases to exist**		
5	Monthly OTS Invoices & Supporting Documents	ACT + 1 FY	3 FY	ACT + 4 FY	M	S	Y	V	ACT = until the end of the FY in which the document was received**		
6	Annual IAT Agreements & Supporting Documents	ACT + 1 FY	3 FY	ACT + 4 FY	M	S	Y	V	ACT = until the end of the FY in which the document was received**		
7	Miscellaneous/One-time Invoices & Supporting Documents	ACT + 1 FY	3 FY	ACT + 4 FY	M	S	Y	V	ACT = until the end of the FY in which the document was received**		
8	OGB Fiscal Note Worksheets & Supporting Documents	ACT + 5 CY	0	ACT + 5 CY	M	S	N	V	ACT = until the end of the CY in which the document was created**		
9	DOA Analysis Sheets & Supporting Documents	ACT + 5 CY	0	ACT + 5 CY	M	S	N	V	ACT = until the end of the CY in which the document was created**		
10	Fuel Invoices & Supporting Documents	ACT + 1 FY	3 FY	ACT + 4 FY	M	S	Y	V	ACT = until the end of the FY in which the document was received**		

SS ARC 802 (10/19)

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XRENEWAL

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Remarks

Agency Approval: *Thomas H. Williams*

Date Signed: 1-7-2020

Secretary of State, State Archives & Records Services: *Glenn C. Applegate, JR*

Date Approved: 1-8-2020

Records Retention Schedule

SS ARC 932 (7/01/19)

Louisiana Secretary of State
Division of Archives, Records Management and History
Post Office Box 94125, Baton Rouge, LA 70804

Http://www.sos.la.gov

Agency No	Agency / Division / Section	Division of Administration / Office of Group Benefits / Administration - Communications				Indicate Use of Form ORIGINAL SUBMISSION XRENEWAL REPLACEMENT PAGE ADDENDUM PAGE			
Item Number	Records Series Title	In Office	In Storage	Total Retention	Security	Archival	State Records Center	Vital	Remarks
1	Annual Enrollment Member Guides	ACT + 10 CY	0	ACT + 10 CY	P	D	N	I	ACT = until the end of the CY in which OGB ceases to exist**
2	Annual Enrollment Member Presentations	ACT + 10 CY	0	ACT + 10 CY	P	S	N	I	ACT = until the end of the CY in which the presentations were created**
4	Annual Enrollment HR Presentations	ACT + 10 CY	0	ACT + 10 CY	P	S	N	I	ACT = until the end of the CY in which the presentations were created**
5	Agency/Member Memos on OGB Policies/Procedures Changes	ACT + 10 CY	0	ACT + 10 CY	P	D	N	V	ACT = until the end of the CY in which OGB ceases to exist**
6	Medicare Part D Creditable Coverage Notices	ACT + 8 CY	0	ACT + 8 CY	M	S	N	V	ACT = until the end of the CY in which the notices were created**
7	Publications	ACT + 10 CY		ACT + 10 CY	M	S	N	I	ACT = until end of CY in which OGB ceases to exist**

Agency Approval [Signature]

Date Signed 1-2-2020

Secretary of State, State Archives & Records Services [Signature]

Date Approved 1-8-2020

Louisiana Secretary of State
Division of Archives, Records Management and History
Post Office Box 94125, Baton Rouge, LA 70804

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Records Retention Schedule

SS ARC 332 (10/19)

Agency No 003.005 Agency / Division / Section Division of Administration / Office of Group Benefits / Administration - General

Item Number	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital	Remarks
		In Office	In Storage	Total					
1	OGB Employee Driver Authorization Forms	ACT + 2 FY	3 FY	ACT + 5 FY	M	S	Y	V	ACT = until the end of the FY in which the employee separates from agency.
2	OGB Employee Safety Meetings Training Materials & Sign-In Sheets	ACT + 2 FY	3 FY	ACT + 5 FY	M	S	Y	V	ACT = until the end of the FY in which the documents were created or received.
3	OGB Employee PES Evaluations and Planning Session Documents	ACT + 2 FY	3 FY	ACT + 5 FY	M	S	Y	V	ACT = until the end of the FY in which supervision ends.
4	OGB Employee Time & Attendance Reports	ACT + 2 CY	3 CY	ACT + 5 CY	M	S	Y	V	ACT = until the end of the CY in which the reports were created or received.
5	Vehicle Logs & Supporting Documents	ACT + 2 FY	3 FY	ACT + 5 FY	M	S	Y	V	ACT = until the end of the FY in which the documents were created or received.
6	SOE & Supporting Documents	ACT + 2 FY	1 FY	ACT + 3 FY	M	S	Y	V	ACT = until the end of the FY in which the documents were created or received.
7	OGB Visitor Logs/Sign-In Sheets	ACT + 2 FY	3 FY	ACT + 5 FY	M	S	Y	U	ACT = until the end of the FY in which the logs were created.
8	Daily Documents/Mail Assignments Logs	ACT + 2 FY	3 FY	ACT + 5 FY	M	S	Y	U	ACT = until the end of the FY in which the logs were created.
9	Records Management Files (Retention Schedules, disposal requests, Transmittals, Surveys and Exceptions)	ACT + 10 CY		ACT + 10 CY	M	S	N	V	ACT = until end of CY in which OGB ceases to exist. "

Permitted Retention Period Abbreviations
ACT - Active Period (when used define term in remarks column)
FY - Fiscal Year (July 1 - June 30)
CY - Calendar Year (Jan 1 - Dec 31)
AY - Academic Year (Aug 1 - July 31)
FFY - Federal Fiscal Year (Oct 1 - Sept 30)
MO - Months
WK - Week (Mon-Sun)
DY - Days

PERM - Permanent
** May be part of an Imaging/Electronic Exception.
M - May be part of an Imaging/Electronic Survey.

Security Status Codes
P - Public Record
M - May Contain Confidential Information
C - Confidential Information

Archival Processing Codes
A - Transfer to State Archives
R - Retain in Agency Archives
S - Review by State Archives
D - Review by State Archives/Electronic
O - Other (Specify in Remarks)

State Records Center Use
Y - Yes
N - No
Vital Record Identification Code
V = Vital
I = Important
U = Useful

Agency Abbreviations
SOE - Special order Form
OGB - Office of Group Benefits
PES = Personnel Evaluation System

Agency Approval *[Signature]*

Date Signed 1-2-2020

Secretary of State, State Archives & Records Services *[Signature]*

Date Approved 1-8-2020

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Agency Approval _____

1-2-2021
Date Signed

James E. Appleby, Clerk
Secretary of State, State Archives & Records

Date Approved 1-8-2020

Records Retention Schedule

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Division of Archives, Records Management and History
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Item Number	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital	Remarks
		In Office	In Storage	Total					
1	Enrollment Change Forms & Supporting Eligibility Documents (GB-01)	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist.
2	Designation Forms (OGB Coordinator, Agency Master User, Invoice Contact) (GB-74, GB-75, GB-78)	ACT + 10 CY		ACT + 10 CY	M	S	N	1	ACT = until the end of the CY in which OGB ceases to exist.
3	OGB Member Correspondence	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist.
4	Daily Work Papers (includes printed copies of imaged documents and non-essential notes with PHI or OGB member contact info, produced by OGB Customer Service section staff)	ACT	0	ACT	M	S	N	U	ACT = until the end of the day in which the work papers were created.

Permitted Retention Period Abbreviations

ACT - Active Period (when used define term in remarks column)

FY - Fiscal Year (July 1 - June 30)

CY - Calendar Year (Jan 1 - Dec 31)

AY - Academic Year (Aug 1 - July 31)

FFY - Federal Fiscal Year (Oct 1 - Sept 30)

MO - Months WK - Week (Mon-Sun) DY - Days

PERM - Permanent

** May be part of an Imaging/Electronic Exception

** May be part of an Imaging/Electronic Survey

Security Status Codes

P - Public Record

M - May Contain Confidential Information

C - Confidential Information

Archival Processing Codes

A - Transfer to State Archives

R - Retain in Agency Archives

S - Review by State Archives

D - Review by State Archives/Electronic

O - Other (Specify in Remarks)

State Records Center Use

Y - Yes

N - No

Vital Record Identification Code

V = Vital

I = Important

U = Useful

Agency Abbreviations

OGB - Office of Group Benefits

PHI = Personal Health Information

Agency Approval

Date Signed

Secretary of State, State Archives & Records Services

Date Approved

Records Retention Schedule

Louisiana Secretary of State
Division of Archives, Records Management and History
Post Office Box 94125, Baton Rouge, LA 70804

Http://www.sos.la.gov

Item Number	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital	Remarks
		In Office	In Storage	Total					
1	Self-funded Health Plan Medical TPA Invoices & Supporting Documents	ACT + 1 CY	3 CY	ACT + 4 CY	M	S	Y	V	ACT = until the end of the CY in which the document was received.
2	Self-funded Health Plan Pharmacy TPA Invoices & Supporting Documents	ACT + 1 CY	3 CY	ACT + 4 CY	M	S	Y	V	ACT = until the end of the CY in which the document was received.
3	Fully Insured Health Plan Vendors Invoices & Supporting Documents	ACT + 1 CY	3 CY	ACT + 4 CY	M	S	Y	V	ACT = until the end of the CY in which the document was received.
4	Capitalized Primary Care Network Vendor Invoices & Supporting Documents	ACT + 1 CY	3 CY	ACT + 4 CY	M	S	Y	V	ACT = until the end of the CY in which the document was received.
5	IMMEDIHRA Vendor Invoices and Supporting Documents	ACT + 1 CY	3 CY	ACT + 4 CY	M	S	Y	V	ACT = until the end of the CY in which the document was received.
6	Health Plan Vendors Reports & Contract Deliverables	ACT + 1 CY	3 CY	ACT + 4 CY	M	S	Y	U	ACT = until the end of the CY in which the report or deliverable was received.
7	Health Savings Account Enrollment & Payroll Deduction Election/Changes (GB-79 Forms)	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist.
8	TPA Health and Pharmacy Claims (including supplemental Claims)	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist.

Permitted Retention Period Abbreviations	Security Status Codes	State Records Center Use	Vital Record Identification Code	Agency Abbreviations
ACT - Active Period (when used define term in remarks column)	P - Public Record	Y - Yes	V - Vital	TPA - Third party administrator
FY - Fiscal Year (July 1 - June 30)	M - May Contain Confidential Information	N - No	I - Important	IMMEDIHRA - Individual Medicare Market Exchange with Health Reimbursement Arrangements
CY - Calendar Year (Jan 1 - Dec 31)	C - Confidential Information		U - Useful	OCB - Office of Group Benefits
AY - Academic Year (Aug 1 - July 31)	Archival Processing Codes			
FFY - Federal Fiscal Year (Oct 1 - Sep 30)	A - Transfer to State Archives			
MO - Months WK - Week (Mon-Sun) DY - Days)	R - Retain in Agency Archives			
PERM - Permanent	S - Review by State Archives			
** = May be part of an Imaging/Electronic Exception.	D - Review by State Archives/Electronic			
** = May be part of an Imaging/Electronic Survey.	O - Other (Specify in Remarks)			

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Date Signed

Secretary of State, State Archives & Records Services

Date Approved

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Agency Approval _____

1-24-24
Date Signed

Lucas E. Boyd, Secy

1-8-2020
Date Approved

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ACT = until the end of the CY in which the document was received ^

ACT = until the end of the CY in which the report or deliverable was received **

ACT = until the end of the CY in which OGB ceases to exist ..

[illegible]

Agency Approval _____

Date Signed 1-7-2024

James P. Apple, Clerk
Secretary of State, State Archives & Records

Date Approved 1-8-2020

Records Retention Schedule

Louisiana Secretary of State
Division of Archives, Records Management and History
Post Office Box 84125, Baton Rouge, LA 70804

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Agency No	Agency / Division / Section	Division of Administration / Office of Group Benefits / Discontinued Programs										ORIGINAL SUBMISSION X- RENEWAL REPLACEMENT PAGE ADDENDUM PAGE	
Item Number	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital	Remarks				
		In Office	In Storage	Total									
1	Filing Deadline Mail Records	ACT + 3 CY		ACT + 3 CY	M	S	N	I	ACT = until the end of the CY in which the document was created or received. **				
2	Live and Event Claims Records	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. **				
3	Field and Audit Reports	ACT + 5 CY		ACT + 5 CY	M	S	N	I	ACT = until the end of the CY in which Report was issued. **				
4	Health Claims (including supplemental Claims)	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. **				
5	Explanation of Benefits (EOBs)	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. **				
6	Medical Records	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. **				
7	Pre-determinations	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. **				
8	Case Management	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. **				
9	Medical Necessities	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. **				
Permitted Retention Period Abbreviations													
ACT - Active Period (when used define item in remarks column)													
FY - Fiscal Year (July 1 - June 30)													
CY - Calendar Year (Jan 1 - Dec 31)													
AY - Academic Year (Aug 1 - July 31)													
FPY - Federal Fiscal Year (Oct 1 - Sept 30)													
MO - Months WK - Week (Mon-Sun) DY - Days													
PERM - Permanent													
** = May be part of an Imaging/Electronic Exception													
** = May be part of an Imaging/Electronic Survey													
Security Status Codes					State Records Center Use					Agency Abbreviations			
P - Public Record					Y - Yes					OGB = Office of Group Benefits			
M - May Contain Confidential Information					N - No								
C - Confidential Information					Vital Record Identification Code								
Archival Processing Codes					A - Transfer to State Archives								
R - Retain in Agency Archives					V = Vital								
S - Review by State Archives					I = Important								
D - Review by State Archives/Electronic					U = Useful								
O - Other (Specify in Remarks)													

Agency Approval: *[Signature]*

Date Signed: 12-20-20

Secretary of State, State Archives & Records Services: *[Signature]*

Date Approved: 1-8-2020

Records Retention Schedule

Louisiana Secretary of State
Division of Archives, Records Management and History
Post Office Box 94125, Baton Rouge, LA 70804

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Remarks

Item Number	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital	Remarks
		In Office	In Storage	Total Retention					
003.005	Division of Administration / Office of Group Benefits / Discontinued Programs								
Agency No	Agency / Division / Section								
10	Paid In Vouchers	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. "
11	Flexible Benefit Master File	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. "
12	Hospital Audits, Statistical Reports and Work Papers	ACT + 5 CY		ACT + 5 CY	M	S	N	I	ACT = until the end of the CY in which Report was issued. "
13	Fraud and Abuse Case Files and Logs	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. "
14	Health Claim Audits and work papers (including over \$500 plan Member check Audits)	ACT + 5 CY		ACT + 5CY	C	S	N	I	ACT = until the end of the CY in Audit is completed. "
15	Special Reports (Outlier, Check Cycle)	ACT + 10 CY		ACT + 10CY	M	S	N	I	ACT = until the end of the CY in which report is run. "
16	Reviews (Medical and Chiropractic)	ACT + 10 CY		ACT + 10CY	C	S	N	I	ACT = until the end of the CY in which OGB ceases to exist. "
17	Case Management	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. "
18	Medical Necessities	ACT + 10 CY		ACT + 10CY	C	S	N	V	ACT = until the end of the CY in which OGB ceases to exist. "
Permitted Retention Period Abbreviations									
ACT - Active Period (when used define term in remarks column)									
FY - Fiscal Year (July 1 - June 30)									
CY - Calendar Year (Jan 1 - Dec 31)									
AY - Academic Year (Aug 1 - July 31)									
FFY - Federal Fiscal Year (Oct 1 - Sept 30)									
MO - Months WK - Week (Mon-Sun) DY - Day(s)									
PERM - Permanent									
" = May be part of an Imaging/Electronic Exception.									
" = May be part of an Imaging/Electronic Survey									
Security Status Codes					State Records Center				
P - Public Record					Use				
M - May Contain Confidential Information					Y - Yes				
C - Confidential Information					N - No				
Archival Processing Codes					Vital Record Identification Code				
A - Transfer to State Archives					V= Vital				
R - Retain in Agency Archives					I = Important				
S - Review by State Archives					U= Useful				
D - Review by State Archives/Electronic									
Q - Other (Specify in Remarks)									
Agency Abbreviations					OGB = Office of Group Benefits				

Agency Approval

Date Signed

Secretary of State, State Archives & Records Services

Date Approved

ATTACHMENT V: IMAGING SYSTEM SURVEY COMPLIANCE AND RECORDS DESTRUCTION

In connection with OGB's electronic records retention requirements and within thirty (30) days of the Contract's effective date, Contractor shall complete a State Archives Imaging System Survey ("System Survey") and forward to OGB.Records@la.gov¹, or as otherwise directed by OGB. According to LAC 4:XVII.1305(A), the System Survey must contain the following information:

1. A list of all OGB records series² maintained/managed by Contractor's system;
2. The hardware and software used including model number, version number and total storage capacity;
3. The type and density of media used by Contractor's system;
4. The type and resolution of images being produced (TIFF class 3 or 4 and dpi);
5. Contractor's quality control procedures for image production and maintenance;
6. Contractor's system's back up procedures including location of back-up (on or off-site) and number of existing images; and
7. Contractor's migration plan for purging images from the system that have met their retention period.

OGB shall review the System Survey to make an initial determination of conformity with LAC 4:XVII.1305(A). Once OGB determines that Contractor's System Survey contains the requisite information, OGB will forward the System Survey to the Secretary of State. As a continuing requirement, any system changes necessitating a revised System Survey response must be submitted to the Secretary of State within ninety (90) days of the change. To ensure compliance with this rule, Contractor shall notify the Records Officer of these changes within sixty (60) days so that he or she may forward the appropriate information to the Secretary of State.

Further, to ensure compliance with OGB's Schedules (Attachment IV) and applicable laws, Contractor shall not destroy any OGB records unless records are converted to digital images and thereafter approved for destruction or other disposition by the Secretary of State. Contractor shall request expedited authority to destroy or otherwise dispose of converted records by email to disposals@sos.louisiana.gov with "EDR_I2014-009 OGB [Blue Cross Blue Shield of Louisiana]" in the subject line, carbon copy to the Records Officer and OGB.Records@la.gov, and a description of the subject records per the OGB Schedules (such as "Documents, scanned and inspected, for the week/month of X") in the body. Upon receiving approval of the Secretary of State to destroy or otherwise dispose of the requested records, Contractor shall commence destruction or other approved disposition of said records. Contemporaneously therewith, Contractor shall complete a Certificate of Destruction (SSARC 933) form which shall be forwarded to the Records Officer. All SSARC forms can be found on the Louisiana Secretary of State's website <http://www.sos.la.gov/HistoricalResources/ManagingRecords/GetForms/Pages/default.aspx>.

¹ If OGB makes a different designation, OGB will notify Contractor of the change and provide updated contact information.

² A records series is a group of related or similar records that may be filed together as a unit, used in a similar manner, and typically evaluated as a unit for determining retention periods. LAC 4:XVII.301(A). The records series listed in Contractor's imaging survey should correspond to the records series listed on the OGB official Record Retention Schedule, Attachment IV.

ATTACHMENT VI: SUBROGATION AND WORKERS' COMPENSATION PROCESS AND PROCEDURES

Blue Cross and Blue Shield of Louisiana / Office of Group Benefits Subrogation and Workers' Compensation Process and Procedures

I. SUBROGATION AND WORKERS' COMPENSATION PROCESS STATEMENT

In addition to the applicable terms and conditions of the Contract effective January 1, 2021, between State of Louisiana, Office of Group Benefits ("OGB"), and Louisiana Health Service and Indemnity Company, d/b/a Blue Cross and Blue Shield of Louisiana ("Contractor"), the following Process Statement of Process and Procedures (the "Process and Procedures") shall apply:

If Contractor becomes aware of a subrogation, other third-party recovery, reimbursement claim, or workers' compensation case (hereinafter collectively referred to as "subrogation"), Contractor shall use its standard processes and procedures as outlined herein to attempt to recover any paid benefits related to the subrogation matter.

Any use or disclosure under this Process and Procedures of protected health information ("PHI"), as defined by the Health Insurance Portability and Accountability Act ("HIPAA") and the regulations issued thereunder, shall be subject to the terms and conditions of the HIPAA business associate addendum between OGB and Contractor.

II. CASE INITIATION

A. System Generated Injury Illness Inquiry

The Contractor claims processing system will generate a III letter on claims filed with an accident indicator or identifying accident ICD-10 diagnosis code when corresponding paid benefits total \$500.00. Contractor can provide OGB with a spreadsheet which lists these ICD-10 diagnosis codes.

A total of three (3) III letters will be generated to the Plan Participant, if necessary, as outlined below. If a response is not received from the Plan Participant within 60 days of the initial III letter, a second III letter will be generated. If a response is not received from the Plan Participant within 90 days of the initial III letter, a third III letter will automatically generate. If a response is not received from the Plan Participant within 120 days after the initial III letter, the letter cycle will be closed.

If within 180 days of the initial III letter generation another claim is submitted that is related to the same accident which precipitated the original III letter, Contractor's system will not generate an additional III letter on this claim. If another claim is paid that matches the Contractor criteria after the 180 days has passed, the III letter system will begin again.

B. Other Methods of Establishing a Subrogation Case

Other methods used by Contractor to establish a subrogation case are as follows:

- Correspondence from a Plan Participant, their representative, another health plan or insurance company, or any other source;
- Notification from OGB;
- Telephone call from a Plan Participant, their representative, another health plan or insurance company, or any other source;
- Publications (legal or otherwise) or pretrial investigations;
- Other Party Liability information from other Blue Cross Plans;
- Request or receipt of a refund; and/or
- Any other means of notification.

III. CASE PURSUIT

Once it is established that a Plan Participant's claim(s) is/are subject to subrogation or recovery, Contractor shall perform the following tasks.

A. Case Entry and Claim Review

A case is entered in the Subrogation Database. This includes any information obtained regarding the incident giving rise to the injuries/treatment. The Subrogation Specialist will generate an itemization of all related claims and benefits paid or applied toward the Plan Participant's deductible since the date of the incident.

B. Notification of Subrogation Interest

The Subrogation Specialist will send written notification regarding the subrogation interest to all involved parties. This notification includes the above described itemization.

Additionally, within thirty (30) days of receiving OGB transferred cases, Contractor will send a letter notifying the involved parties of Contractor's contact information and subrogation collection role.

C. Case Monitoring

The Subrogation Specialist will regularly review open cases to protect the subrogation interest. Updated itemizations of related claims and paid benefits will be generated and sent to all interested parties. Any information obtained during the pursuit of a case will be entered into the Subrogation Database and saved electronically.

IV. AUTHORITY

Contractor has authority to reduce, waive, or otherwise compromise any subrogation claim up to twenty percent (20%) of the total benefits paid (hereinafter referred to as the "discretionary reduction").

For all cases, Contractor will attempt to settle for the full amount of benefits paid. Notwithstanding, Contractor will make further attempts to reduce, waive, or otherwise compromise OGB's subrogation interest as a contribution to the Plan Participant's litigation expenses as set forth below:

- Up to 20% (discretionary reduction) of the total paid benefits for cases in which the Plan Participant was compensated for his/her damages without filing suit; and
- 25% to 30% of the total paid benefits for cases in which the Plan Participant was compensated for his/her damages after retaining counsel and filing suit.
- For reductions, waivers, or compromises of more than 30% for a contribution to the Plan Participant's litigation expenses, or for other reasons such as "made whole," "comparative negligence, causation," or "split liability," Contractor will consult first with OGB's Division of Administration counsel, which counsel shall maintain the discretion to pursue OGB authority for any additional reductions.

Contractor will use reasonable efforts to identify and to refer to OGB's Division of Administration counsel any subrogation case that requires payment from, or negotiation with, the Division of Administration, the Office of Risk Management or with the Louisiana Department of Justice, the Attorney General or an attorney appointed by, or a representative of, the Attorney General.

V. COMPLETION OF SETTLEMENT

A. Settlement Documents and Discussions

The Subrogation Specialist will document any settlement discussions and preserve any settlement documents for each case. The Subrogation Specialist will attempt to obtain a copy of the Plan Participant's settlement agreement with the tortfeasor, if any, on all subrogation cases.

B. Release Documents

Any release requiring signature by Contractor from the settling parties to effectuate settlement will be reviewed by the Contractor's attorney managing the Subrogation Unit.

C. OGB Recoveries

Any recoveries made by Contractor on behalf of OGB will be credited to claims and will be represented on the OGB claims billing report. Contractor will also provide OGB with a monthly check for those subrogation recoveries which cannot be credited to a corresponding claim, along with a spreadsheet detailing the following information:

- Primary Plan Participant(s) Contract number;
- Primary Plan Participant(s) name;
- Plan Participant name;
- OGB file number (if any);
- Date payment received;
- Date of accident;
- Total benefits paid; and
- Amount recovered.

VI. MONTHLY REPORTING

The following reports will be provided to OGB on a monthly basis.

A. Outstanding Injury Illness Inquiry

This report indicates the III letters that have been sent to the Plan Participant with no response and the Status of III letter generation (i.e., first letter, second letter, etc.).

B. System Closed Injury Illness Inquiry

This report shows the inquiries that were automatically closed because the three III letters were not returned by the Plan Participant and 120 days has passed since the initial letter. This report will include the benefits paid for each Plan Participant that triggered the III letters.

C. Injury Illness Inquiry Returned - No Subrogation Involvement

This report indicates that the Plan Participant has returned the III letter noting that his/her treatment was not related to an accident. This report will include the benefits paid for each Plan Participant that triggered the III letters. Unless other available facts indicate otherwise, these cases will be considered closed.

D. No Third Party Funds Available

This report indicates that while subrogation was involved, there were no third-party funds, as opposed to inadequate funds, to compensate the Plan Participant for his/her damages. Unless other available facts indicate otherwise, these cases will be closed.

E. Active Cases

This report shows all active cases Contractor is pursuing. This report will include the following:

- Contract number;
- Primary Plan Participant(s) name;
- Plan Participant name;
- Date of accident;
- Date case was opened;
- Amount recovered; and
- Total benefits paid.

F. New Cases

This report shows the number of new cases opened for the month being reported.

G. Closed Cases

This report details the number of cases closed for the month being reported, including a separate number for OGB transferred cases closed and a separate number for Contractor new cases closed. This report will include the following:

- Contract number;
- Primary Plan Participant(s) name;
- Plan Participant name;
- Date of accident;
- Date case was closed;
- Total benefits paid; and
- Amount recovered.

VII. LITIGATION OR COURT INTERVENTION

If Contractor receives notice of legal proceedings in connection with an OGB subrogation claim, or if it deems it prudent for OGB to enforce its subrogation rights by instituting legal proceedings, notice and all documents and information will be forwarded to OGB for handling.

Contractor will not initiate court proceedings on behalf of OGB. OGB will provide written notice of any election to retain counsel or pursue any subrogation case on its own. OGB will be solely responsible for the fees of any counsel retained by or on behalf of OGB.

ATTACHMENT VII: FILE/REPORTS AND LAYOUT

Data Exchanged with Blue Cross Files/reports and layout Revised 7/18/2017

Files sent by Blue Cross to OGB

File format is flat text unless otherwise specified.

1. Medical Claims File - Blue Cross sends OGB all claims for which EOBs (Explanations of Benefits) or checks were sent or issued to the provider and/or claimant during a month. This is a file of records containing claim charge lines or service lines for a physician claim (CMS-1500), facility claim (UB-92), or a dental claim (ADA-1500) that has been received and processed. No claim in process are included. Currently we also receive weekly file.
2. Provider File - This is a file of medical service providers for which checks and EOBs were issued in (1) above. This will include for example, physicians, hospital, urgent care facilities, and physician group. The file will also contain separate records relevant to the entity paid for a provider's services.
3. Check Register File - This file will contain one record for each check issued during the month. The amount of money reflected on this file should match the invoice sent to OGB for payment. Check numbers shall correspond to checks referenced in the paid claims provided in (1) above.
4. Pharmacy Claims File - This file shall contain Pharmacy Claims data paid on behalf of High Deductible Plan members during the period. The file contains multiple records type.
5. Disease Management Participation File - This file shall contain all Disease Management participants for the month just ended. The data will serve two purposes. It will determine which participants are invoiced for the month and who will receive reduced pharmacy co-pay incentives for the following 90 days.

Files to be sent to the contractor by OGB:

The contractor shall receive the following files from OGB. File shall be constructed using strictly the layout as described. All files shall be sent electronically using FTP (File Transfer Protocol) and MUST be encrypted using PGP (Pretty Good Privacy).

6. Eligibility File - This file shall be received the evening of every week day by the contractor and posted to their system before the next day. It will contain the contractor's entire membership plus any terminations that have been done in the last two months.
7. Non-COBRA ASO Administrative Fee Billing file - This file shall be received monthly by the contractor and will contain the amount per contract holder that OGB will pay the ASO for administrative fee for Enrollees not in COBRA. OGB will pay the ASO based on this file. The file will contain adjustments to prior months billing resulting from retro term and enrollment.
8. COBRA ASO Administrative Fee Billing file - This file shall be received monthly by the contractor and will contain the amount per contract holder that OGB will pay the ASO for administrative fee for Enrollees in COBRA. OGB will pay the ASO based on this file. The file will contain adjustments to prior months billing resulting from retro term and enrollment.

REQ * indicates a required field

TYPE A-N - Alphabetic (or text) N - Numeric D - Date

Revised 7/18/17

9. **Claims Paid By Contractor After Termination or Stop Payments** – This file shall be received monthly by the contractor and will contain the claims paid in error after the termination or stop payment date.

Medical Claims File

Prior to any transmission of claims data from Blue Cross to OGB, we must have an understanding of Blue Cross' procedures for processing, paying and adjusting claims so that the financial and clinical care of our member can be accurately reflected in our data warehouse. Information provided to OGB is also transmitted to our Living Well Louisiana health management program for management of ongoing health conditions, including diabetes, heart disease, heart failure, asthma, and chronic obstructive pulmonary disease (COPD). To clarify OGB needs, the following will apply to all claims:

- **Only processed claims:** – BCBS will transmit all paid and denied claims as indicated above for which bills were submitted for our member. Claim transactions will include detail for each charge or service line on the patient's bill. All coding in each line will adhere to standard medical coding procedures.
- **Adjusted Claims:** – Claims that are reprocessed and subsequently adjusted, whether for financial reasons or for changes related to service provided, will include a reference to the original or preceding claim in all claim lines. OGB must be able to reconstruct a representative processing history for each claim through final disposition.
- **Provider recognition:** – Each provider must be clearly identified by their purpose in the data provided, specifically, service provider and "pay-to" provider; must be distinguished from each other. Where possible, relationships between facilities, physician groups, physicians, and other ancillary service providers; as it applies to patient care should be made available whenever possible.
- **Non-standard code:** – Code and their meaning or description used to represent Blue Cross' processing data for which an industry standard does not exist will be transmitted to OGB separately from the monthly transmission, beginning with contract initiation. Any changes to these codes will be transmitted to OGB prior to transmission of claim records with these codes being used. Examples of these codes include but are not limited to Blue Cross' physician specialty code and dental code.
- **Data standards:** – Numeric data will be right-justified and zero-filled. Money amount will be 15 digits including an explicit decimal point and accurate to two decimal places (000009999999.99). Negative amount will have a minus sign as the first character (-000099999999.99). Date will be formatted CCYYMMDD and valid. All text will be left-justified and space-filled. All SSN's, ICD-9 codes, phone number, NDC's and zipcode will be left-justified with no dashes, commas, decimals or other formatting.

REQ. * indicates a required field TYPE A-N = Alphabetic (or text) N = Numeric D = Date Revised 7/18/17

Medical Claims File				
FIELD	REQ	FIELD NAME	TYPE	LEN LOC
Field 1: The Claim ID is Blue Cross' distinct identifier for all charges and services associated with a patient bill. Whenever OCB contracts Blue Cross relevant to information on a medical claim, this identifier will be used as reference to the specific claim.				
1	*	CLAIM ID	A,N	40 1-40
Field 2: A service line references a discrete charge or service in a submitted claim. OCB uses service line detail for its reporting for the State of Louisiana whenever we are asked to study the potential effects of a change to existing benefits, whether financial or clinical.				
2	*	CLAIM/LINE ID	A,N	40 41-80
Fields 3-4: Service Dates apply to the claim line, not the duration of the stay referenced for inpatient facility claims.				
3	*	FROM SERVICE DATE	D	8 81-88
4	*	THRU SERVICE DATE	D	8 89-96
Field 5: For billed claims, the date received, not the date billed. For electronic claims, the date Blue Cross received the transmission.				
5	*	RECEIVED DATE	D	8 97-104
6	*	CLAIM SOURCE	A,N	1 105
7	*	SYSTEM ENTRY DATE	D	8 106-113
Field 8: For each action affecting the payment status or clinical information on a claim, the date that action was taken.				
8	*	ADJUDICATION DATE	D	8 114-121
9	*	PAID DATE	D	8 122-129
10	*	MEDICAL CLAIM DOC TYPE	A,N	10 130-139
11	*	SUBMITTED DRG	A,N	20 140-159
Field 12: Revenue code is required for UB-92 claims. OCB will calculate the patient's length of stay for our data warehouse reports based on revenue coding.				
12	*	REVENUE CODE	A,N	10 160-169
Field 13: The original billed charge for each claim line will be provided on all activity affecting the claim or claim line.				
13	*	CHARGE AMOUNT	N	15 170-184
THIS CLAIM LINE				

REQ * indicates a required field

TYPE A,N - Alphanumeric (or text) N - Numeric D - Date

Revised 7/18/17

Medical Claims File

FIELD	REQ	FIELD NAME	TYPE	LEN	LOC	DESCRIPTION
Field 14: For in-network providers, the allowed amount is determined after repricing and applying rate tables. For out-of-network providers, the allowed amount is determined from Blue Cross' fee schedule for that service.						
14	*	ALLOWED AMOUNT	N	15	185-199	THE AMOUNT THAT IS ALLOWED PER THE PROVIDERS PRICING CONTRACT OR FOR OUT-OF-NETWORK PROVIDERS
Field 15: Copay is a fixed component of the member's cost share to be paid to the provider by or for the member directly and separately from other claim payments. Copays are established by the state and are listed in the plan benefits of the HMO plan.						
15	*	COPAY AMOUNT	N	15	200-214	THE AMOUNT THAT WOULD NORMALLY BE PAYABLE TO THE PROVIDER AT THE TIME OF SERVICE SEPARATE FROM THE AMOUNT PAID BY BLUE CROSS
Field 16: Concurrence is a variable component of the member's cost share to be paid to the provider by or for the member directly and separately from other claim payments. This value is normally zero except for out-of-network providers.						
16	*	CONCURRENCE AMOUNT	N	15	215-229	THE AMOUNT THAT WOULD NORMALLY BE PAYABLE TO THE PROVIDER BUT NOT BY BLUE CROSS DUE TO THE MEMBER'S CONCURRENCE ARRANGEMENTS
Field 17: The deductible is a component of the member's cost share to be paid to the provider by or for the member directly and separately from other claim payments. This value is normally zero except for out-of-network providers for which the member is subject to an annual limit.						
17	*	DEDUCTIBLE AMOUNT	N	15	230-244	THE AMOUNT THAT WOULD NORMALLY BE PAYABLE TO THE PROVIDER BUT NOT BY BLUE CROSS BASED ON PLAN BENEFITS
18	*	COB PAID AMOUNT	N	15	245-259	THE AMOUNT PAID BY ANOTHER INSURER AGAINST THE MEMBER'S CLAIM (COORDINATION OF BENEFITS)
19	*	WITHHELD AMOUNT	N	15	260-274	THE AMOUNT WITHHELD FROM PAYMENT DUE TO TERMS OF THE PROVIDER'S CONTRACT OR ACCOUNT
20	*	PROVIDER PAID AMOUNT	N	15	275-289	THE NET AMOUNT THAT WAS EVENTUALLY PAID DIRECTLY BY BLUE CROSS TO THE PAY-TO PROVIDER FOR THIS CLAIM LINE
21	*	MEMBER PAID AMOUNT	N	15	290-304	THE NET AMOUNT THAT WAS EVENTUALLY PAID DIRECTLY BY BLUE CROSS TO THE MEMBER, SUBSCRIBER OR EMPLOYEE FOR THIS CLAIM LINE
Field 22: The net paid amount must equal the total of the provider paid amount and the member paid amount.						
22	*	NET PAID AMOUNT	N	15	305-319	THE NET AMOUNT THAT WAS PAID IN TOTAL FOR THIS CLAIM LINE BY BLUE CROSS
23	*	TRANSACTION TYPE	A,N	20	320-339	THE TRANSACTION TYPE (OUTCOME), SPECIFICALLY: APPROVED, DENIED, DUPLICATE, REVERSED, REVERSAL, ADJUSTMENT

Field 24: This field is blank for the first activity or transaction against a patient's bill, the "original claim". Depending on Blue Cross procedures, for repriced claims this field will either contain the claim number of the original transaction or the claim number of the immediately prior transaction against the originally submitted claim. OCB will use this field to reconstruct a transaction history against the original claim. Note: Claim Line IDs remain the same throughout the transaction history of a member's claim (see Field 2 above).

REQ * indicates a required field

TYPE: A,N - Alphabetic (or X) N - Numeric D - Date

Revised 7/18/17

Medical Claims File				
FIELD	REQ	FIELD NAME	TYPE	LEN LOC DESCRIPTION
24		ADJUSTED FROM CLAIM ID	A/N	40 340-379 IF THIS CLAIM IS A REPROCESSING OF A MEMBER'S CLAIM, THIS FIELD WILL CONTAIN THE CLAIM ID OF THE PRIOR CLAIM.
Field 25: Blue Cross will provide OGB a file of their denial codes and the corresponding descriptions for the reasons a claim may be denied, thus eliminating the NUC requirement for a separate DENIED REASON NAME field. Codes provided on denied claims will exist in the list provided, and any changes to the list will be provided to OGB in a timely manner. All denial reasons will be clear and accurately reflect the actual condition causing the denial. Note: The denial reason code is required for all denied claims.				
25		DENIED REASON	A/N	20 380-399 IF DENIED, THE REASON CODE FOR THIS DENIAL CREATED BY HCFA AND PROVIDES THREE SPECIFIC PIECES OF INFORMATION: THE FIRST CHARACTER IDENTIFIES THE TYPE OF FACILITY; THE SECOND CLASSIFIES THE TYPE OF CARE; THE THIRD INDICATES THE SEQUENCE OF THIS BILL IN THIS PARTICULAR EPISODE OF CARE.
26		BILL TYPE CODE	A/N	3 400-402 THE HCFA STANDARD PLACE OF SERVICE CODE.
27	*	PLACE OF SERVICE	A/N	20 403-422 THE HCFA STANDARD TYPE OF SERVICE CODE ON THE CLAIM.
28	*	TYPE OF SERVICE	A/N	20 423-442 THE NUMBER OF UNITS OF SERVICE DESCRIBED BY THE PROCEDURE REFERENCED ON THIS CLAIM LINE.
29	*	SERVICE UNITS COUNT	N	11 443-453 WHEN APPROPRIATE, THIS CLAIM LINE LISTS THE NUMBER OF MINUTES OF ANESTHESIA THAT WAS RENDERED.
30		ANESTHESIA MINUTES	N	11 454-464
Fields 31-36: Employee refers to the contract holder (subscriber), identified in relation = '01' in the State of Louisiana's eligibility file provided to Blue Cross in a daily transmission.				
31	*	EMPLOYEE SSN	A/N	11 465-475 THE CONTRACT HOLDER'S SOCIAL SECURITY NUMBER, LEFT JUSTIFIED AND FILLED WITH SPACES TO THE RIGHT. NO DASHES. THE FOREIGN WORKER NUMBER, WHEN APPROPRIATE.
32	*	EMPLOYEE ID QUALIFIER	A/N	1 476 INDICATES THE TYPE ORIGIN OF THE IDENTIFYING NUMBER FROM THE BILL USED TO DETERMINE ELIGIBILITY: 'S' = SSN; 'P' = MEMBER ID OF THE CONTRACT HOLDER.
33	*	EMPLOYEE LAST NAME	A/N	40 477-516 THE LAST NAME OF THE CONTRACT HOLDER.
34	*	EMPLOYEE SEX	A/N	1 517 THE GENDER OF THE CONTRACT HOLDER: 'F' = FEMALE; 'M' = MALE; 'U' = UNKNOWN.
35	*	EMPLOYEE DATE OF BIRTH	D	8 518-525 THE CONTRACT HOLDER'S DATE OF BIRTH. FORMAT: CCYYMMDD.
36	*	EMPLOYEE ZIP CODE	A/N	9 526-534 THE CONTRACT HOLDER'S FULL ZIP CODE, 5 OR 9 DIGITS AS AVAILABLE, NO DASHES.

REQ * indicates a required field

TYPE: A = Alphabetic (or text) N = Numeric D = Date

Revised 7/18/17

Medical Claims File

FIELD	REQ	FIELD NAME	TYPE	LEN	LOC	DESCRIPTION
Fields 37-46: Member refers to the patient for whom the charge or service was provided. For a claim to be paid, a member must be eligible as of the date of the service. Member information must correspond to OCB's eligibility transmission.						
37	*	UNIQUE MEMBER ID	A/N	8	535-542	THE MEMBER'S UNIQUE IDENTIFIER FROM THE STATE OF LOUISIANA'S ELIGIBILITY FEED
38		MEMBER SSN	A/N	11	543-553	THE MEMBER'S SOCIAL SECURITY NUMBER - LEFT JUSTIFIED AND FILLED WITH SPACES TO THE RIGHT. NO DASHES. THE FOREIGN WORKER NUMBER WHEN APPROPRIATE.
39	*	MEMBER FIRST NAME	A/N	40	554-593	THE FIRST NAME OF THE MEMBER (PATIENT)
40	*	MEMBER LAST NAME	A/N	40	594-633	THE LAST NAME OF THE MEMBER (PATIENT)
41	*	MEMBER SEX	A/N	1	634	THE GENDER OF THE MEMBER F = FEMALE, M = MALE, U = UNKNOWN
42	*	MEMBER DATE OF BIRTH	D	8	635-642	THE MEMBER'S DATE OF BIRTH FORMAT: CCYYMMDD
43	*	MEMBER ZIP CODE	A/N	9	643-651	THE MEMBER'S FULL ZIP CODE, 5 OR 9 DIGITS AS AVAILABLE. NO DASHES.
Field 44: The relationship code will be consistent with that provided to Blue Cross in the daily eligibility transmission.						
44	*	RELATIONSHIP TO EMPLOYEE	A/N	2	652-653	THE RELATIONSHIP THIS MEMBER HAS TO THE CONTRACT HOLDER 01 = EMPLOYEE 02 = SPOUSE 03 = OTHER DEPENDENTS
Fields 45-46: The following should relate directly to a check written to a member in the check register transmitted along with the month's claim file.						
45		MEMBER CHECK NUMBER	A/N	10	654-663	FOR PAID CLAIMS, THE NUMBER OF THE CHECK USED TO PAY THE MEMBER
46		MEMBER CHECK AMOUNT	N	15	664-678	THE AMOUNT ON THE MEMBER'S CHECK
FIELDS 47-51 AND 61-66: DIAGNOSIS AND PROCEDURE CODING WILL ADHERE TO ICD-9 STANDARD CODING. ONCE A PLAN AND SCHEDULE FOR TRANSITION TO ICD-10 CODING IS ESTABLISHED, DIAGNOSIS CODES AND PROCEDURE CODES WILL BE REVISITED TO PROVIDE OCB WITH AN ACCURATE REPRESENTATION OF THE CLINICAL ASPECTS OF THE CLAIM.						
47	*	PRIMARY DIAGNOSIS CODE	A/N	10	679-688	THE ICD-9-CM DIAGNOSIS CODE THAT IDENTIFIES THE PRIMARY DIAGNOSIS FOR THE SERVICE PROVIDED
48		DIAGNOSIS CODE 2	A/N	10	689-698	THE ICD-9-CM DIAGNOSIS CODE THAT IDENTIFIES THE SECOND DIAGNOSIS FOR THE SERVICE
49		DIAGNOSIS CODE 3	A/N	10	699-708	THE ICD-9-CM DIAGNOSIS CODE THAT IDENTIFIES THE THIRD DIAGNOSIS FOR THE SERVICE
50		DIAGNOSIS CODE 4	A/N	10	709-718	THE ICD-9-CM DIAGNOSIS CODE THAT IDENTIFIES THE FOURTH DIAGNOSIS FOR THE SERVICE
51		DIAGNOSIS CODE 5	A/N	10	719-728	THE ICD-9-CM DIAGNOSIS CODE THAT IDENTIFIES THE FIFTH DIAGNOSIS FOR THE SERVICE

REQ * indicates a required field

TYPE: A/N - Alphabetic (or text) N - Numeric D - Date

Revised 7/18/17

Medical Claims File				
FIELD	REQ	FIELD NAME	TYPE	LEN
52		DIAGNOSIS CODE 6	A,N	10
53		DIAGNOSIS CODE 7	A,N	10
54		DIAGNOSIS CODE 8	A,N	10
55		DIAGNOSIS CODE 9	A,N	10
56		ADMIT DIAGNOSIS CODE	A,N	10
57	*	PROCEDURE CODE	A,N	10
58		MODIFIER CODE 1	A,N	5
59		MODIFIER CODE 2	A,N	5
60		MODIFIER CODE 3	A,N	5
61		ICD9 PROCEDURE CODE 1	A,N	10
62		ICD9 PROCEDURE CODE 2	A,N	10
63		ICD9 PROCEDURE CODE 3	A,N	10
64		ICD9 PROCEDURE CODE 4	A,N	10
65		ICD9 PROCEDURE CODE 5	A,N	10
66		ICD9 PROCEDURE CODE 6	A,N	10
67		RX DRUG CODE	A,N	11
Fields 68-69: The service provider must exist in the provider file transmitted along with the month's claim file.				
68	*	SERVICE PROVIDER ID	A,N	30
69	*	NPI	A,N	10
REQ * indicates a required field			TYPE: A,N - Alphabetic (or test) N - Numeric D - Date	
			Revised 7/18/17	

Medical Claims File						
FIELD	REQ	FIELD NAME	TYPE	LEN	LOC	DESCRIPTION
Fields 70-74: The pay-to provider must exist in the provider file transmitted along with the month's claim file.						
70	*	PAY-TO PROVIDER ID	A,N	20	905-924	THE UNIQUE ID OF THE PAY-TO PROVIDER ASSIGNED IN BLUE CROSS CLAIMS PROCESSING SYSTEM. THIS MAY BE THE SAME ID LISTED FOR THE SERVICE PROVIDER IF A SEPARATE PAYMENT ENTITY IS NOT ESTABLISHED AT THE TIME OF SERVICE. THE PROVIDER'S STATUS: T = IN NETWORK, O = OUT OF NETWORK
71	*	NETWORK INDICATOR	A,N	1	925	THE TAX ID NUMBER FOR THE PAY-TO ENTITY FOR THIS PROVIDER
72		PAY-TO TAX ID	A,N	10	926-935	
Fields 73-74: The following should relate directly to a check written to a provider in the check register transmitted along with the month's claim file.						
73		PROVIDER CHECK NUMBER	A,N	10	936-945	FOR PAID CLAIMS, THE NUMBER OF THE CHECK USED TO PAY THE PROVIDER
74		PROVIDER CHECK AMOUNT	N	15	946-960	THE AMOUNT ON THE PROVIDER'S CHECK IDENTIFIES THAT THE APPROVER OVERRODE THE SYSTEM-GENERATED PAYMENT AMOUNT IDENTIFIES THE REASON THE APPROVER OVERRODE THE SYSTEM (CLAIM RELATED TO DETOXIFICATION, PAY BENEFIT FROM CREDIT-RESERVE, REJECTED LINE ITEM, ETC.) IDENTIFIES THE REASON THE PATIENT SOUGHT MEDICAL CARE BLANK=N/A 0=GENERAL SICKNESS 1=PSYCHIATRIC 2=ABNORMAL MATERNITY 3=EMERGENCY ILLNESS 4=ROUTINE CARE 5=COMPLICATIONS OF PREGNANCY 6=ALCOHOLISM AND DRUG ADDICTION A=ACCIDENT
75		OVERRIDE CODE	A,N	3	961-963	
76		BENEFIT LEVEL CAUSE CODE	A,N	2	964-965	
77		DISCHARGE STATUS CODE	A,N	2	966-967	IDENTIFIES THE STATUS OF THE MEMBER'S INPATIENT STAY AS OF THE LAST SERVICE DATE ON THE CLAIM. RIGHT JUSTIFIED AND PREPARED WITH ZERO

REQ * indicates a required field

TYPE: A=N - Alphabetic (or text) N=Numeric D=Date

Revised 7/18/17

Provider File						
FIELD	REQ	FIELD NAME	TYPE	LEN	LOC	DESCRIPTION
Field 1: To simplify references, a provider may have more than one entry in the provider file. Specifically, a provider must be identified by services performed but may be paid as a separate entity from its identity as a service provider. Multiple entries may be caused by different addresses, tax requirements, and/or contractual responsibility to a group. Service providers are referenced in Fields 63 and 69 of Appendix A-1, Medical Claims File. Pre-to providers are referenced in Fields 70 through 74 of Appendix A-1.						
1	*	PROVIDER INTERNAL ID	A,N	20	1-20	THE UNIQUE ID FOR A SERVICE OR PAY-TO PROVIDER ASSIGNED BY BLUE CROSS IN CLAIMS PROCESSING
2	*	PROVIDER TAX ID	A,N	10	21-30	TAX ID OF THIS PROVIDER
3	*	NPI	A,N	10	31-40	THIS PROVIDER'S NATIONAL PROVIDER IDENTIFIER
4	*	PROVIDER DEA ID	A,N	10	41-50	THE FEDERAL DEA NUMBER OF THIS PROVIDER
Fields 5-8: A provider may refer to a physician, a facility, or another care provider. Either an office (Field 6) or a person (Fields 5-7) or both must be named in the following 4 fields.						
5		PROVIDER LAST NAME	A,N	40	51-90	THE LAST NAME FOR THIS PROVIDER
6		PROVIDER FIRST NAME	A,N	40	91-130	THE FIRST NAME FOR THIS PROVIDER
7		PROVIDER MIDDLE INITIAL	A,N	1	131	THE MIDDLE INITIAL FOR THIS PROVIDER
8		PROVIDER OFFICE NAME	A,N	40	132-171	THE OFFICE NAME, CORPORATION NAME OR LOCATION NAME OF THE OFFICE THIS PROVIDER OFFERS SERVICES
9	*	PROVIDER ADDRESS LINE1	A,N	40	172-211	LINE 1 OF THE STREET ADDRESS PORTION OF THIS PROVIDER'S ADDRESS
10		PROVIDER ADDRESS LINE2	A,N	40	212-251	LINE 2 OF THE STREET ADDRESS PORTION OF THIS PROVIDER'S ADDRESS
11	*	PROVIDER CITY	A,N	40	252-291	THE CITY PORTION OF THIS PROVIDER'S ADDRESS
12	*	PROVIDER STATE	A,N	2	292-293	THE STATE PORTION OF THIS PROVIDER'S ADDRESS
13	*	PROVIDER ZIP	A,N	9	294-302	THE ZIP CODE OF THIS PROVIDER'S ADDRESS. 5 OR 9 DIGITS AS AVAILABLE. NO DASHES
14		PROVIDER UPIN	A,N	20	303-322	THE UNIVERSAL PROVIDER IDENTIFICATION NUMBER FOR THIS PROVIDER
15		PROVIDER MEDICARE ID	A,N	20	323-342	THE MEDICARE IDENTIFIER FOR THIS PROVIDER
Fields 16-19: Blue Cross will send initially and keep current a file of specialty codes and descriptions used in their claims processing to OGB						
16	*	PROVIDER SPECIALTY	A,N	10	343-352	THE CODE FOR THE PROVIDER'S PRIMARY SPECIALTY FROM THE BLUE CROSS SYSTEM
17		PROVIDER SPECIALTY 2	A,N	10	353-362	A CODE FOR A PROVIDER'S SECONDARY SPECIALTY FROM THE BLUE CROSS SYSTEM
18		PROVIDER SPECIALTY 3	A,N	10	363-372	A CODE FOR A PROVIDER'S SECONDARY SPECIALTY FROM THE BLUE CROSS SYSTEM
19		PROVIDER SPECIALTY 4	A,N	10	373-382	A CODE FOR A PROVIDER'S SECONDARY SPECIALTY FROM THE BLUE CROSS SYSTEM
20	*	PROVIDER TYPE	A,N	1	383	"F" - FACILITY, "P" - PHYSICIAN, "O" - OTHER, "Y" - PAY-TO, "G" - GROUP

REQ * indicates a required field TYPE A,N - Alphanumeric (or text) N - Numeric D - Date Revised 7/8/17

Check Register				
FIELD	REQ	FIELD NAME	TYPE	LEN LOC DESCRIPTION
Field 1: The check number should relate directly to the check number in the claim or claims paid by this check. This assumes that all claims for OGB members are paid from the same checking account. If this is not so, a separate account field will be required.				
1	*	CHECK NUMBER	A,N	10 1-10 THE NUMBER PRINTED ON THE CHECK
2	*	CHECK ISSUE DATE	A,N	8 11-18 DATE THE CHECK WAS ISSUED AS PAYMENT FORMAT: CCYYMMDD
Field 3: The amount of the check should equal the sum of the amounts on the claim or claims paid to the provider or member paid by this check.				
3	*	CHECK ISSUE AMOUNT	N	15 19-33 AMOUNT PAID BY THIS CHECK
4	*	PAYEE TYPE	A,N	1 34 P - PROVIDER, M - MEMBER, O - OGB
Field 5: If the check is to a provider, the provider ID must exist in Blue Cross' provider file transmitted with the check register. If the check is written to a member, the member ID must correspond to OGB's member ID provided in the related eligibility transmission to Blue Cross. Financial adjustments to payments from OGB to Blue Cross may or may not reference a distinct claim transaction. Payments to OGB by Blue Cross, if any, should reference the relevant line on the Blue Cross invoice.				
5	*	PAYEE ID	A,N	20 35-54 PROVIDER ID OR MEMBER ID TO WHOM THE CHECK WAS PAID. INVOICE LINE IF PAID TO OGB

REQ * indicates a required field

TYPE A,N - Alphanumeric (or text) N - Numeric D - Date

Revised 7/18/17

Pharmacy Claims File				
No	FIELD NAME	TYPE	LEN	LOC DESCRIPTION
1	RECORD IDENTIFIER	N	1	001-001 0=PROCESSOR RECORD
2	PROCESSOR NUMBER	N	10	002-011 THIS NUMBER IS ASSIGNED BY NCPDP TO IDENTIFY THE SOURCE OF THE TAPE. IE. PHARMACY, WHOLESALER, HOSPITAL, SERVICE BUREAU, ETC. THIS NUMBER IS ASSIGNED BY THE PROCESSOR. FORMAT=YYDDDD YY=YEAR DDD=JULIAN DATE IE 92252=SEPT 8, 1992
3	BATCH NUMBER	N	5	012-016 PROCESSOR NAME
4	PROCESSOR NAME	A,N	20	017-036 PROCESSOR ADDRESS
5	PROCESSOR ADDRESS	A,N	20	037-056 PROCESSOR CITY
6	PROCESSOR LOCATION CITY	A,N	18	057-074 PROCESSOR STATE
7	PROCESSOR LOCATION STATE	A,N	2	075-076 PROCESSOR ZIP CODE EXPANDED
8	PROCESSOR ZIP CODE	A,N	9	077-085 TELEPHONE NUMBER FORMAT=AAAAEENNN AAA=AREA CODE EEE=EXCHANGE CODE NNNN=NUMBER
9	PROCESSOR TELEPHONE NUMBER	N	10	086-095 DATE ON WHICH TAPE WAS GENERATED BY CARRIER FORMAT=CCYYMMDD
10	RUN DATE	A,N	8	096-103 TYPE OF CLAIM M=GOVERNMENT P=PRIVATE
11	THIRD PARTY TYPE	A,N	1	104-104 A NUMBER TO IDENTIFY THE FORMAT OF THE TRANSACTION SENT OR RECEIVED
12	VERSION RELEASE NUMBER	N	2	105-106 RESERVED FOR FUTURE NCPDP CONTINGENCIES
13	EXPANSION AREA	A,N	187	107-293 FILLER
14	UNIQUE FREE FORM	A,N	415	294-708

REQ * indicates a required field

TYPE: A=N - Alphanumeric (or text) N=Numeric D=Date

Revised 7/18/17

Pharmacy Claims File				
FIELD NAME	TYPE	LEN	LOC	DESCRIPTION
1 RECORD IDENTIFIER	N	1	001-001	2-PHARMACY RECORD
2 PROCESSOR NUMBER	N	10	002-011	THIS NUMBER IS ASSIGNED BY NCPDP TO IDENTIFY THE SOURCE OF THE TAPE. I.E. PHARMACY, WHOLESALER, HOSPITAL, SERVICE BUREAU, ETC.
3 BATCH NUMBER	N	5	012-016	THIS NUMBER IS ASSIGNED BY THE PROCESSOR FORMAT=YYDDDD YY=YEAR DDD=JULIAN DATE I.E. 92252=SEPT. 8, 1992
4 PHARMACY NUMBER	A,N	12	017-028	ID ASSIGNED TO A PHARMACY
5 PHARMACY NAME	A,N	20	029-048	NAME OF PHARMACY
6 PHARMACY ADDRESS	A,N	20	049-068	ADDRESS OF PHARMACY
7 PHARMACY LOCATION CITY	A,N	18	069-086	CITY OF PHARMACY
8 PHARMACY LOCATION STATE	A,N	2	087-088	STATE OF PHARMACY
9 PHARMACY ZIP CODE	A,N	9	089-097	ZIP CODE OF PHARMACY EXPANDED
10 PHARMACY TELEPHONE NUMBER	A,N	10	098-107	TELEPHONE NUMBER OF PHARMACY
11 EXPANSION AREA	A,N	211	108-318	RESERVED FOR FUTURE NCPDP CONTINGENCIES
12 UNIQUE FREE FORM	A,N	390	319-708	FILLER

REQ * indicates a required field

TYPE A=N - Alphanumeric (or text) N=Numeric D=Date

Revised 7/18/17

Pharmacy Claims File					
NO	FIELD NAME	TYPE	LEN	LOC	DESCRIPTION
1	RECORD IDENTIFIER	N	1	1-1	4=CLAIM RECORD
2	PROCESSOR NUMBER	N	10	2-11	THIS NUMBER IS ASSIGNED BY NCPDP TO IDENTIFY THE SOURCE OF THE TAPE I.E. PHARMACY, WHOLESALER, HOSPITAL, SERVICE BUREAU, ETC.
3	BATCH NUMBER	N	5	12-16	THIS NUMBER IS ASSIGNED BY THE PROCESSOR FORMAT=YYDDDD YY=YEAR DDD=JULIAN DATE IE 9252=SEPT 8, 1992
4	PHARMACY NUMBER	A,N	12	17-28	ID ASSIGNED TO A PHARMACY
5	PRESCRIPTION NUMBER	A,N	7	29-35	
6	DATE FILLED	A,N	8	36-43	DISPENSING DATE OF RX FORMAT=CCYYMMDD
7	NDC NUMBER	N	11	44-54	FOR LEGEND COMPOUNDS USE 9999999999 SCHEDULE II 9999999992 SCHEDULE III 9999999993 SCHEDULE IV 9999999994 SCHEDULE V 9999999995 COMPOUNDS 9999999996
8	DRUG DESCRIPTION	A,N	30	55-84	LABEL NAME
9	NEW REFILL CODE	N	2	85-86	00=NEW PRESCRIPTION 01-99=NUMBER OF REFILLS
10	METRIC QUANTITY	N	6	87-92	NUMBER OF METRIC UNITS OF MEDICATION DISPENSED (LEADING SIGN IF NEGATIVE)
11	DAYS SUPPLY	N	4	93-96	ESTIMATED NUMBER OF DAYS THE PRESCRIPTION WILL LAST
12	BASIS OF COST DETERMINATION	A,N	2	97-98	01=AWP (contracted network discount) 06=MAC 07=USUAL AND CUSTOMARY Required field when not an adjustment
13	INGREDIENT COST	N	10	99-108	COST OF THE DRUG DISPENSED FORMAT-All financial fields should be 10 characters long, zero filled, with an explicit decimal point and

REQ * indicates a required field

TYPE A=N - Alphanumeric (or text) N=Numeric D=Date

Revised 7/18/17

Pharmacy Claims File				
NO	FIELD NAME	TYPE	LEN	LOC
				DESCRIPTION
				leading sign only when negative Example: 123.45 would be expressed as "0000123.45" -123.45 would be expressed as "-000123.45"
14	DISPENSING FEE SUBMITTED	N	10	109-118
				FORMAT-All financial fields should be 10 characters long, zero filled, with an explicit decimal point and leading sign only when negative Example: 123.45 would be expressed as "0000123.45" -123.45 would be expressed as "-000123.45"
15	CO-PAY AMOUNT	N	10	119-128
				CORRECT CO-PAY FOR PLAN BILLED FORMAT-All financial fields should be 10 characters long, zero filled, with an explicit decimal point and leading sign only when negative Example: 123.45 would be expressed as "0000123.45" -123.45 would be expressed as "-000123.45"
16	SALES TAX	N	10	129-138
				SALES TAX FOR THE PRESCRIPTION DISPENSED FORMAT-All financial fields should be 10 characters long, zero filled, with an explicit decimal point and leading sign only when negative Example: 123.45 would be expressed as "0000123.45" -123.45 would be expressed as "-000123.45"
17	AMOUNT BILLED	N	10	139-148
				THE PROVIDER'S USUAL AND CUSTOMARY AMT FORMAT-All financial fields should be 10 characters long, zero filled, with an explicit decimal point and leading sign only when negative Example: 123.45 would be expressed as "0000123.45" -123.45 would be expressed as "-000123.45"
18	PATIENT FIRST NAME	A/N	12	149-160
19	PATIENT LAST NAME	A/N	15	161-175
20	DATE OF BIRTH	A/N	8	176-183
				DATE OF BIRTH OF PATIENT FORMAT=CCYYMMDD 0=NOT SPECIFIED 1=MALE 2=FEMALE
21	SEX CODE	A/N	1	184-184

REQ * indicates a required field

TYPE A=N - Alphabetic (or text) N=Numeric D=Date

Revised 7/18/17

Pharmacy Claims File				
NO	FIELD NAME	TYPE	LEN	LOC DESCRIPTION
23	EMPLOYEE SSN	A N	9	185-193
24	OCB Internal Id	A N	8	194-201
25	FILLER	A N	1	202-202
26	RELATIONSHIP CODE	A N	1	203-203
27	GROUP NUMBER	A N	15	204-218
28	PRESCRIBER ID	A N	10	219-228
29	DIAGNOSIS CODE	A N	6	229-234
30	Document number	A N	15	235-249
30	FILLER	A N	12	250-261
31	RESUBMISSION CYCLE COUNT	A N	2	262-263
32	DATE PRESCRIPTION WRITTEN	A N	8	264-271
33	DISPENSE AS WRITTEN (DAN) PRODUCT SELECTION CODE	A N	1	272-272
34	ELIGIBILITY CLARIFICATION CODE	A N	1	273-273

Revised 7/18/17

TYPE A N - Alphabetic (or text) N - Numeric D - Date

REQ * indicates a required field

Pharmacy Claims File				
NO	FIELD NAME	TYPE	LEN	LOC
				DESCRIPTION
				0 = NOT SPECIFIED 1 = NOT OVERRIDE 2 = OVERRIDE 3 = FULL TIME STUDENT 4 = DISABLED DEPENDENT 5 = DEPENDENT PARENT
35	COMPOUND CODE	AN	1	274-274
				CODE INDICATING WHETHER OR NOT THE PRESCRIPTION IS A COMPOUND 0=NOT SPECIFIED 1=NOT A COMPOUND 2=COMPOUND
36	NUMBER OF REFILLS AUTHORIZED	N	2	275-276
				NUMBER OF REFILLS AUTHORIZED BY PRESCRIBER
37	DRUG TYPE	AN	1	277-277
				CODE TO INDICATE THE TYPE OF DRUG DISPENSED (Must be specified (1-5) if an amount is paid) 0=Not Specified 1=SINGLE SOURCE BRAND 2=BRANDED GENERIC 3=GENERIC 4=O.T.C. (OVER THE COUNTER)
38	PRESCRIBER LAST NAME	AN	15	278-292
				PRESCRIBER LAST NAME
39	POSTAGE AMOUNT CLAIMED	N	4	293-296
				DOLLAR AMOUNT OF POSTAGE CLAIMED FORMAT: Field should be 4 character long, zero filled, with an explicit decimal point and leading sign only when negative Example: 1.23 would be expressed as "-01.23" -1.23 would be expressed as "-1.23"
40	UNIT DOSE INDICATOR	AN	1	297-297
				CODE INDICATING THE TYPE OF UNIT DOSE DISPENSING DONE 0=NOT SPECIFIED 1=NOT UNIT DOSE 2=MANUFACTURER UNIT DOSE 3=PHARMACY UNIT DOSE
41	OTHER PAYOR AMOUNT	N	6	298-303
				DOLLAR AMOUNT OF PAYMENT KNOWN BY THE PHARMACY FROM OTHER SOURCES FORMAT: positive 123.56 negative -12.45
42	FILLER	AN	35	304-338
				RESERVED FOR FUTURE NCDDP
TYPE: A=N - Alphabetic (or text) N=Numeric D=Date				

Revised 7/18/17

REQ * indicates a required field

Pharmacy Claims File				
NO	FIELD NAME	TYPE	LEN	LOC
43	CONTRACT SSN	A-N	9	339-347
44	COVERED AMOUNT	N	10	348-357
45	PAID AMOUNT	N	10	358-367
46	PAID DATE	A-N	8	368-375
47	FILLER	A-N	2	376-377
48	Prescribe First Name	A-N	15	378-392
49	Prescribe Last Name	A-N	25	393-417
50	Prescribe MI	A-N	1	418-418
51	Prescribe Address-1	A-N	55	419-473
52	Prescribe Address-2	A-N	55	474-528
53	Prescribe City	A-N	20	529-548
54	Prescribe State	A-N	2	549-550
55	Prescribe Zip Code	A-N	10	551-560
56	GPI Number	N	14	561-574
57	Care Facility	A-N	6	575-580
58	Care Qualifier	A-N	10	581-590
59	Care From Date	N	7	591-597
60	Care Thru Date	N	7	598-604
61	Family ID	A-N	20	605-624
62	Alternate Insurance ID	A-N	10	625-634
63	Submitted PA Type	N	1	635-635
64	Submitted PA Number	A-N	11	636-646
65	Member PA Number	A-N	11	647-657
66	Member PA Reason Code	A-N	2	658-659
67	Therapeutic Class Code	N	6	660-665
68	Therapeutic Class Name	A-N	25	666-690
69	RefClaim #	N	15	691-705

REQ. * indicates a required field

TYPE: A-N - Alphanumeric (or text) N - Numeric D - Date

Revised 18.17

Pharmacy Claims File				
NO	FIELD NAME	TYPE	LEN	LOC DESCRIPTION
70	Claim Sequence #	N	3	706-708
71	Medicare D Eligible Indicator	A,N	1	709-709 Y = Medicare D eligible N = NOT Medicare D eligible
72	Data Processed	N	8	710-717 Format YYYYMMDD
73	Time Processed	N	6	718-723 Format HHMMSS
74	Diabetic Sense Vendor Indicator	A,N	1	724-724
75	Mail Order Indicator	A,N	1	725-725 If Mail Order then Y else N
76	Brand Generic Indicator	A,N	1	726-726 M=multi-source brand no generic equivalent O=multi-source brand generic equivalent avail. N=single-source brand Y=generic
77	Brand Generic Override	A,N	1	727-727 M=multi-source brand no generic equivalent O=multi-source brand generic equivalent avail. N=single-source brand Y=generic
78	Claim Origin	A,N	1	728-728 values: T = Electronic B = Batch M = Manual
79	Retrospective DUR Program	A,N	1	729-729 Run-time parameter values Y,N
80	Quantity Limit Program	A,N	1	730-730 Run-time parameter values Y,N
81	Prior Authorization Program	A,N	1	731-731 Run-time parameter values Y,N
82	Therapeutic Interchange Program	A,N	1	732-732 Run-time parameter values Y,N
83	Decimal Qty	N	13	733-745 Format -9.999
84	Cost Type Unit Cost	N	14	746-759 Format 9.99999, will contain unit cost or cost type (ANP MAC) values
85	Cost Basis	A,N	10	760-769 SD = Submitted Drug Cost SM = Submitted Amount Due U = Unit and Quantity ANP = Average Wholesale Price NCPA = NCPA MAC MAC = Cashier ES MAC price
86	Avg Wholesale Price Unit	N	14	770-783 Format 9.99999
87	DUR Method Cost Location	A,N	2	784-785 Added to indicators if DUR pricing is used: 91 indicates DUR is submitted value less copy; 94 indicates adjustment 91 indicates pass first cost less copy

REQ * indicates a required field

TYPE: A,N - Alphabetic (or next) N - Numeric D - Date

Revised 7/18/17

Disease Management Participation			
All data left justified			
NO	FIELD NAME	LEN	LOC
1	Patient Record ID	08	01-08
2	filler	42	09-50
3	Relationship Code	02	51-52
4	filler	28	53-80
5	Birth Date	08	81-88
6	Gender	01	89-89
7	First Name	15	90-104
8	filler	35	105-139
9	Middle Initial	01	140-140
10	filler	49	141-189
11	Last Name	20	190-209
12	Product Type	30	210-239
13	Last Coaching Date	08	240-247
14	Heart Disease	01	248-248
15	Diabetes	01	249-249
16	CHF	01	250-250
17	COPD	01	251-251
18	Asthma	01	252-252
19	File Creation Date	08	253-260

DESCRIPTION

OGB Member Internal ID (1-8)

Species

Relationship to Subscriber

01 - subscriber

02 - spouse

03 - dependent

Species

Birth Date (CCYYMMDD)

Gender Code

M - Male

F - Female

Patient First Name

Species

Patient Middle Initial

Species

Patient Last Name

EMO, PPO, etc

CCYYMMDD

Condition Indicator

Condition Indicator

Condition Indicator

Condition Indicator

Condition Indicator

CCYYMMDD

REQ * indicates a required field

TYPE: A - Alphabetic (or text) N - Numeric D - Date

Revised 7/8/17

Eligibility File				
N O	FIELD NAME	TYPE	LEN	LOC DESCRIPTION
1	Contract Holder's SSN	A N	9	Holder SSN
2	Member Last Name	A N	20	Member Last Name
3	Member First Name	A N	15	Member First Name
4	Member Middle Initial	A N	1	Member Middle Initial
5	Address 1	A N	35	Address Line 1
6	Address 2	A N	35	Address Line 2
7	City	A N	30	City
8	State	A N	2	State
9	Zip Code	A N	13	Zip Code
10	Birth Date	A N	8	CCYYMMDD
11	Plan Effective Date	A N	8	CCYYMMDD- earliest effective date of uninterrupted coverage within Blue Cross Plan
12	Termination Date	A N	8	CCYYMMDD- Blank if active
13	Client Agency Code	A N	8	Code Client Agency
14	Sub Client Section of Agency	A N	4	Sub Client or Section Agency
15	Type of Coverage	A N	1	T - member only C - member and child(ren) S - member and spouse F - family (Populated on all records)
16	Medicare A Primary Effective Date	A N	8	CCYYMMDD(can be blank)
17	Medicare B Primary Effective Date	A N	8	CCYYMMDD(can be blank)
18	Sex Code	A N	1	Male or Female(M/F)
19	Student Date	A N	8	BLANK
20	Relation Code	A N	2	01 - Enrollee 02 - Spouse 03 - Children 04 - Student
21	Transaction Date	A N	8	CCYYMMDD
22	Agency Employment Date	A N	8	CCYYMMDD
23	Portability Date	A N	8	CCYYMMDD- Pre-existing Condition Ending
24	Member Phone Number	A N	12	(Populated on all records)
TYPE A N - Alphabetic (or next) N - Numeric D - Date REQ * indicates a required field				

Revised 7/18/17

Eligibility File				
N O	FIELD NAME	TYPE	LEN	LOC
				DESCRIPTION
25	Retirement Indicator	A N	1	261-261 03-01-2015 Retirement Indicator A - Retired Before 03-01-2015 B - Retired 03-01-2015 or After Blank - Not Retired
26	Handicapped Indicator	A N	1	262-262 Y = Yes N = No
27	Marriage Date	A N	8	263-270 CCYYMMDD (can be blank)
28	HIC Number	A N	12	271-282 Medicare card number
29	COB DATE	A N	8	283-290 CCYYMMDD- Beginning coverage by other carrier not including Medicare
30	Medicare Primary	A N	1	291-291 Y = Yes N = No
31	Member SSN	A N	9	292-300 Member SSN
32	Filler	A N	1	301-301 Blanks
33	Agency Change Date	A N	8	302-309 CCYYMMDD- earliest effective date of uninterrupted Coverage within BlueCross Agency
34	Member Record-ID	A N	8	310-317 OCB Internal ID
35	Billing Rate Table (On Subscriber Only)	A N	2	318-319 AC - active CB - cobra CD - cobra disability CP - cobra part-time CS - cobra subsidy D1 - Sponsored Dependent 1 on Medicare DN - Sponsored Dependent No Medicare R1 - retired Medicare 1 R2 - retired Medicare 2 RN - retired no Medicare E1 - Early Retirement 1 On Medicare E2 - Early Retirement 2 On Medicare EN - Early Retirement None On Medicare

REQ * indicates a required field

TYPE A N - Alphabetic (or text) N - Numeric D - Date

Revised 7/18/17

Eligibility File				
N O	FIELD NAME	TYPE	LEN	LOC
				DESCRIPTION
				This Field is always blank for dependents
36	Shared Accumulator OGB	A,N	9	330-338
	Record ID			Contains the 8 digit Record ID for Shared Accumulators
37	Claim Payment Stop Date	A,N	8	339-346
38	Lifetime Accum	N	10	337-346
				CCYYMMDD
				99999999 99 Leading spaces Sum of Drugs, Medical, Mental Health & DME claims paid
39	Drug Accum	N	10	347-356
				99999999 99 Leading spaces Sum of Drug claims paid Included in Lifetime accum
40	Mental Health Accum	N	10	357-366
				99999999 99 Leading spaces Sum of Mental Health claims paid. Included in Lifetime accum
41	Country Code	A,N	2	367-368
				Values: available on request
42	Pre-existing Start Date	A,N	8	369-376
				CCYYMMDD- Pre-existing Condition Start Date
43	Coverage Level Effective Date	A,N	8	377-384
				CCYYMMDD- Earliest Eff Date of Uninterrupted Coverage Within Blue Cross Coverage Level
44	Rate Table Effective Date	A,N	8	385-392
				CCYYMMDD- Earliest Eff Date of Uninterrupted Coverage Within Blue Cross Rate Table
45	Product	A,N	5	393-397
				Magnolia Local New Orleans- MAGBC Magnolia Local Baton Rouge- MAGCB Magnolia Local Shreveport- MAGCB Magnolia Local Plus-HMO Magnolia Open Access- PPO Pelican HAS 775- CDHP Pelican ERA 1000- ERA
46	Retiree 100 Effective Date	A,N	8	398-405
				Retiree 100 Effective Date (CCYYMMDD)
47	Retiree 100 Termination Date	A,N	8	406-413
				Retiree 100 Termination Date (CCYYMMDD)
48	Medicare A Effective Date	A,N	8	414-421
				CCYYMMDD(can be blank)
49	Medicare A Termination Date	A,N	8	422-429
				CCYYMMDD(can be blank)
50	Medicare B Effective Date	A,N	8	430-437
				CCYYMMDD(can be blank)
51	Medicare B Termination Date	A,N	8	438-445
				CCYYMMDD(can be blank)
52	Medicare A Primary Termination Date	A,N	8	446-453
				CCYYMMDD(can be blank)
TYPE: A - N - Alphabetic (or text) N - Numeric D - Date				

REQ * indicates a required field

Revised 7/18/17

Eligibility File				
N O	FIELD NAME	TYPE	LEN	LOC DESCRIPTION
53	Medicare B Primary Termination Date	AN	8	454-461 CTTYAD/DD (can be blank)
54	Survivor Type	AN	2	462-465 SPS(Spouse) DP(Dependent) This field applies for Survivor RY(SV in Chart OR 95 in Sub Change

REQ * indicates a required field

TYPE: A N - Alphabetic (or text) N - Numeric D - Date

Revised 7/18/17

NON-COBRA ADMINISTRATIVE FEE BILLING FILE				
No	FIELD NAME	TYPE	LEN	LOC
1	Invoice Date	N	8	001-008
2	Enrollee SSN	N	9	009-017
3	Enrollee Last Name	A	20	018-037
4	Enrollee First Name	A	20	038-057
5	Enrollee Middle Initial	A	1	058-058
6	Enrollee Coverage Type	A	2	059-060
7	Rate Table Code	A	2	061-062
8	Billing OR Coverage	N	8	063-070
9	Premium Amount	N	10	071-080
10	Record ID	N	8	081-088
11	Product	N	5	089-094

DESCRIPTION
CCY1NMDD
SOCIAL SECURITY NUMBER
Last Name
First Name
Initial
EE - Employee Only
ES - Employee and Spouse
EC - Employee and Child(ren)
FM - Family
AC - Active
CB - Cobra
CD - Cobra Disability
CP - Cobra Part-Time
R1 - Retired Medicare 1
R2 - Retired Medicare 2
RN - Retired No Medicare
This Field is always blank for dependents
CCY1NMDD
Administrative Fee Amount
OCB Internal Record ID
PPO=PPO, HMO=HMO, OGBMOA=MAGNO- OPEN, OGBML=MAGNO-LOCAL, OGBMLP =MAGNO-PLUS, OGBHSA=HAS, OGBHSA=HR

REQ * indicates a required field

TYPE A=N - Alphabetic (or text) N=Numeric D=Date

Revised 7/18/17

COBRA ADMINISTRATIVE FEE BILLING FILE					
No	FIELD NAME	TYPE	LEN	LOC	DESCRIPTION
1	Invoice Date	N	8	001-008	CCTYADDD
2	Enrollee SSN	N	9	009-017	SOCIAL SECURITY NUMBER
3	Enrollee Last Name	A	20	018-037	Last Name
4	Enrollee First Name	A	20	038-057	First Name
5	Enrollee Middle Initial	A	1	058-058	Initial
6	Enrollee Coverage Type	A	2	059-060	-EE- Employee Only -ES- Employee and Spouse -EC- Employee and Child(ren) -FM- Family
7	Blanks	A	2	061-062	Blanks
8	Billing OR Coverage	N	8	063-070	CCTYADDD
9	Premium Amount	N	10	071-080	Administrative Fee Amount
10	Record ID	N	8	081-088	OGB Internal Record ID
11	Product	N	5	089-094	PRO=PRO, HMO=HMO, OGBMOA=MAGNO- OPEN, OGBML=MAGNO-LOCAL, OGBMLP =MAGNO-PLUS, OGBHSA=HAS, OGBHRA=HR

RDQ * indicates a required field

TYPE A=N - Alphabetic (or next) N=Numeric D=Date

Revised 7/18/17

CLAIMS PAID AFTER TERMED OR STOP PAYMENT DATE				
No	FIELD NAME	TYPE	LEN	LOC DESCRIPTION
1	CLAIM NUMBER		15	001-015
2	Total Charge		10	016-025 Decimal + 2 decimal places. If negative the sign will be immediately to the left of the number
3	Total Paid Amount		10	026-035 Decimal + 2 decimal places. If negative the sign will be immediately to the left of the number
4	Provider Name		30	036-065
5	Date of Service From		8	066-073 CCYYMMDD
6	Term/Stop Sent Date		8	074-081 CCYYMMDD
7	Paid Date		8	082-089 CCYYMMDD
8	Family SSN		9	090-098
9	Relation Code		2	099-100 01-Enrollee 02-Spouse 03-Dependent 05-Grandchild 17-Stepchild 24-Dep Child of a Dep Child
	Patient First Name		15	101-115
	Term/Stop Date		8	116-123 CCYYMMDD
	Term/Stop Flag		1	124-124 T-Term, H-Stop

REQ * indicates a required field

TYPE: A-N - Alphabetic (or text) N - Numeric D - Date

Revised 7/18/17



STATE of LOUISIANA
DIVISION OF ADMINISTRATION
OFFICE OF GROUP BENEFITS



Office of Group Benefits

November 20, 2020 JLCB Meeting

Willis Towers Watson

*Individual Market Medicare Exchange Broker with Administrative Services for HRA
Contract Overview*

Willis Towers Watson

Individual Market Medicare Exchange Broker with Administrative Services for HRA Contract Overview

Contract Purpose	To provide Individual Market Medicare Exchange Broker services for OGB-participating retirees who are enrolled in Medicare Parts A and B, together with administrative services for administering a Health Reimbursement Arrangement (“HRA”), for those retirees who utilize the Exchange.
Eligible enrollees	Retired employees of the State of Louisiana and other OGB-participating entities who are enrolled in Medicare Parts A and B.
Members as of 11/1/2020	751
Beginning Contract Date	1/1/2021
Ending Contract Date	12/31/2023
Renewable Options	Two 12-month options
Procurement Method	Request for Proposals
Contract Amount	N/A
HRA Administration Fee	\$4.00 Per HRA Account per Month (No change from 2020); Payable to Vendor
HRA Wire Transfer Fee	\$15.00 per Transaction (No change from 2020)

The current emergency contract for Individual Market Medicare Exchange Broker with Administrative Services for HRA is with Extend Health, LLC; a Willis Towers Watson company. The product offered by Extend Health/Willis Towers Watson is marketed as Via Benefits.

**STATE OF LOUISIANA
PARISH OF EAST BATON ROUGE**

**File No. A3000015390P
Solicitation No. 3000015390**

**Individual Market Medicare Exchange Broker with Administrative Services for Health
Reimbursement Arrangement (IMMHRA)**

1. CONTRACT

Be it known, that effective upon approval by the Director of State Procurement, as evidenced by the Director's signature on this document, the Office of Group Benefits (hereinafter sometimes referred to as "OGB" or "State") and Willis Towers Watson, 335 Madison Avenue, #20, New York, NY 10017 (hereinafter sometimes referred to as "Contractor") do hereby enter into this Contract under the following terms and conditions.

2. SCOPE OF SERVICE

Contractor hereby agrees to furnish the following services:

The Contractor will be responsible for ensuring the accuracy, timeliness, and completion of all tasks assigned under this Contract. OGB reserves the right to modify or delete the scope and services listed and, if appropriate, add additional tasks and services prior to and during the term of this Contract, subject to the approval of the OGB CEO, Office of State Procurement, and any other approval required by Louisiana law. Other entities serviced by OGB's health and welfare program may request that the Contractor enter into a confidentiality agreement or other similar agreement as relates to the sharing of certain data needed to perform the services described herein.

At a summary level, these tasks include:

1. Implementation
2. Health Reimbursement Arrangement Administration Services
3. Individual Market Medicare Exchange
4. General Services

All services shall comply with all applicable laws, including, but not limited to, the Patient Protection and Affordable Care Act (PPACA) Section 1557.

The Contractor shall perform the following tasks and services:

Task 1: Implementation

- Assign an implementation team to manage the implementation process.
- Conduct weekly transition calls with OGB during the IMMhRA Plan implementation.
- Coordinate with OGB to develop mutually agreeable file layout specifications and transmission frequency for files.
- Facilitate system programming including, but not limited to, data collection from OGB; file transfer set-up between OGB and Contractor; and data transfer and mapping. If the Contractor requires file mapping and/or subsequent updates, this service will be provided by the Contractor at no additional cost to OGB. **Files must be sent electronically to the OTS MOVEit DMZ Secure FTP server**

utilizing a security file transport protocol; the preference is FTPS. All files must be encrypted using Public Key Infrastructure (PKI) with a prior exchange of Public Key(s), commonly referred to as PGP encryption. The encrypted file(s) must have an extension of “pgp”. The encryption key must have an expiration of no longer than five (5) years from the creation date, key strength is highly suggested 4096 with a minimum allowed 2048, key must include a valid email address and be approved by the OTS InfoSec Team. All files must be encoded as an ASCII text file prior to encryption.

- Ensure successful and timely completion of all tasks necessary to begin performance of this Contract on January 1, 2021, 12:00 AM CT.
- Provide enrollment services during OGB’s annual enrollment period for coverage effective in calendar year 2021.

Task 2: Health Reimbursement Arrangement Administration Services

- Work with OGB in the preparation of, publishing and distribution of such flyers, posters, videos, and other presentations as may be required to accurately explain the advantages and disadvantages of IMMHRA Plan participation to all eligible OGB Plan Participants, including retirees and potential retirees who will participate in Medicare Parts A & B. A copy of the IMMHRA Plan Document is to be made available to Plan Participants at no cost. All such materials shall comply with all applicable laws, including, but not limited to, PPACA Section 1557.
- Establish a participant account for each IMMHRA Plan Participant.
- Maintain physical or electronic files for all Plan Participants for whom participant accounts have been established to include enrollment forms and all other written correspondence and documents concerning each Plan Participant’s account, and if applicable, records of any such actions conducted through the internet or electronic means.
- Administer the Health Reimbursement Arrangement (HRA) Plan according to OGB’s IMMHRA Plan Document (to be developed by the Contractor in consultation with OGB and subject to OGB’s approval). OGB’s current IMMHRA Plan Document is located on the following link:
<http://info.grouppenefits.org/docs/OGBforms/Plans/2013/HRA%20Plan%20Document%20-%202013.pdf>
- Maintain Plan Participant information including all necessary data elements to ensure proper plan administration.
- Provide claims procedures information to enrolled Plan Participants at various times, including upon enrollment, when they retire, or upon coverage termination.
- Assist Plan Participants with submission of expenses for reimbursement.
- Promptly credit contributions to Plan Participant accounts and update account balances within forty-eight (48) hours of receipt of contribution.
- Process Plan Participant requests for reimbursement according to the IMMHRA Plan Document, IRS rules, and United States Department of Labor claims procedure regulations, preferably with an online submission system.
- Maintain records of contributions, interest income, benefit payments and other administrative fee deductions, and resulting account balances of OGB Plan Participants and report the same to OGB in a format and frequency acceptable to OGB, no less than monthly.

- Review and substantiate initial reimbursement claims in accordance with standards set forth under applicable law, including IRS guidelines concerning eligible medical expenses and United States Department of Labor claims procedure regulations.
- Provide online access to transaction and account balance information via a secure internet portal to Plan Participants and OGB.
- Create, print, and stock all necessary forms to carry out IMMHRA Plan operations.
- Conduct internal audits of operations to assure compliance with all applicable laws and the IMMIRA Plan Document.
- Process and pay claims in accordance with the terms of the IMMHRA HRA Plan Document (to be developed by the Contractor in consultation with OGB and subject to OGB's approval).
- Provide OGB (or other individual(s) at the discretion of OGB) with any information pertaining to the IMMHRA necessary to complete necessary IRS tax forms.

Task 3: Individual Market Medicare Exchange

- Provide an Individual Market Medicare Exchange to include, at a minimum, Medicare Advantage plans.
- Provide outreach, information and enrollment assistance to OGB Medicare retirees eligible or potentially eligible for services solicited on behalf of OGB to facilitate such eligible individuals' enrollment in the selected plans offered through the Contractor. Information regarding the Individual Market Medicare Exchange with HRA for calendar year 2021 will be communicated to Medicare eligible retirees during annual enrollment meetings, on the OGB website, in annual enrollment guides and through agency HR departments.

Task 4: General Services

- Provide customer service representatives available to assist Plan Participants on all business days, from 8:00 AM-4:30 PM (Central Time) or such other times that will be subject to OGB's approval.
- Assist OGB with development of an IMMHRA Plan Document that complies with all applicable laws.
- Provide, at a minimum, the following, including, but not limited to:
 - a. Plan Participant communication materials in ready-to-print format such as benefit booklets;
 - b. Newsletters or similar informational materials; and
 - c. Web-access to interactive information, new Plan Participant letters and informational packets.
- Stay current on legal and regulatory changes affecting HRA plans and Medicare coverage and advise OGB of any proposed and final regulatory, legal, or internal procedural changes.
- Handle the intake and review of all customer service inquiries.
- Provide internet services, including website, available twenty-four (24) hours a day, seven (7) days a week, 365 days a year (24/7/365) to allow Plan Participants to access HRA account information and to file claims, including, but not limited to:
 - a. Real-time history of claims submission and payment process;
 - b. Reimbursement process management, such as claim reimbursement status;
 - c. Account management with transaction history and account balance;

- d. Account contributions data (current and historical); and
- e. Education tools.

All such internet services shall comply with all applicable laws, including but not limited to PPACA Section 1557.

- Contractor will digitally record all customer service calls at no additional cost to OGB.
- Contractor will provide to OGB, if requested by OGB, digitally recorded customer service calls, at no additional cost.

3. TERM OF CONTRACT

This Contract is effective January 1, 2021 and will end no later than December 31, 2023, unless otherwise terminated in accordance with the Termination provision of this Contract. At the option of the State of Louisiana and acceptance of the Contractor, this Contract may be extended for up to two (2) additional twelve (12) month periods at the same HRA administrative fee per Plan Participant per month, terms, and conditions. Total contract time may not exceed sixty (60) months.

4. DELIVERABLES

The Contractor will deliver the item(s) or service(s) as described below per the following schedule.

- Provide IMMHRA-level reports pertaining to OGB Plan Participants utilizing the information maintained in the Contractor's recordkeeping system, to the extent permitted by applicable law. These reports are to be provided monthly and shall summarize all transactions that occurred for each Plan Participant and shall report new enrollees within the specified reporting time period.
- Prepare quarterly reports of the contributions made by or through OGB and the benefits paid to, or on behalf of, Plan Participants under the IMMHRA Plan. Reports may be made electronically and submitted to OGB no later than sixty (60) calendar days after each quarter end.
- Prepare year-end reports of the contributions made by or through OGB and the benefits paid to, or on behalf of, Plan Participants under the IMMHRA Plan. Reports may be made electronically and submitted to OGB no later than ninety (90) calendar days after the end of each calendar year.
- Supply OGB with an exact copy of the SOC 1, Type II and/or SOC 2, Type II report resulting from the SSAE 18 engagement, or if approved by OGB by January 15th of each calendar year preceding the report due date, Contractor may provide a quality control plan [such as third party Quality Assurance (QA), or an Independent Verification and Validation (IV & V)], or any other independent Contractor project or performance review or audit report, beginning September 30, 2022, and each calendar year thereafter.

5. PERFORMANCE STANDARDS

5.1 PERFORMANCE REQUIREMENTS

The following performance guarantees are the minimum acceptable standards for this Contract. These metrics shall be reported to OGB quarterly and reconciled on an annual basis unless another time period is agreed upon between OGB and the Contractor.

Performance Measure	Performance Standard	Performance Guarantee (Percent of Annual Contract Cost at Risk Annually or Penalty Amount)
Independent Assurances	The Contractor shall supply OGB with an exact copy of the SOC 1, Type II and/or SOC 2, Type II report resulting from the SSAE 18 engagement, or if approved by OGB by January 15 th of each calendar year preceding the report due date, the Contractor may provide a quality control plan [such as third party Quality Assurance (QA), or an Independent Verification and Validation (IV & V)], or any other independent Contractor project or performance review or audit report, beginning September 30, 2022, and each calendar year thereafter.	\$1,000 per calendar day
Abandoned Call Rate	Less than or equal to five percent (5%) of Plan Participant calls are abandoned after being connected for at least (30) seconds.	2%
Average Speed to Answer	Average answer time is thirty (30) seconds or less.	2%
Claims Processing	Ninety-eight percent (98%) of electronic and non-electronic claims paid within thirty (30) days of receipt.	2%

The Contractor agrees to provide its operational performance guarantees as to the OGB Contract on an OGB Contract-specific basis. The performance guarantee of a total of six percent (6%) of the annual contracted cost will remain at risk. The Contractor shall also be subject to per day charges for the Independent Assurance Reporting guarantee. All guarantees must be reconciled annually and any penalties owed to OGB shall be paid within ninety (90) days after the end of each Contract year.

Audit:

OGB reserves the right to audit performance guarantee reports on an annual basis. A third party may be

utilized to perform this audit.

Monitoring Plan:

The Contract Monitor will be the OGB Medical and Pharmacy Group Benefits Administrator, who will monitor the services and performance provided by the Contractor and the expenditure of funds under this Contract. The monitoring plan to be as follows:

1. The Contractor will submit various monthly, quarterly, and annual reports to the Contract Supervisor as specified in Section 2. **Scope of Service** of this contract.
2. The Contract Monitor will work to ensure all deliverables are submitted timely and perform subsequent review and acceptance.
3. The Contract Monitor will provide oversight of the implementation of the Scope of Service to ensure quality, efficiency, and effectiveness in fulfilling the goals and objectives of OGB.

5.2 PERFORMANCE MEASUREMENT/EVALUATION

Measurement Periods:

The first period to be measured shall be January 1, 2021, through December 31, 2021. The second period will be for calendar year 2022, and the third period will be for calendar year 2023. The fourth and fifth periods, subject to the renewal option, will be for calendar years 2024 and 2025, respectively.

6. PAYMENT TERMS

OGB shall pay the Contractor in accordance with the Price Schedule set forth in **Attachment A** to this Contract. The Contractor will invoice OGB monthly for the HRA Administration Fee payment within five (5) business days after the end of each month. Upon validation of the invoice, OGB shall render payment of undisputed amounts within thirty (30) days. Invoices shall include the Contract and order number, using department and services provided. Invoices submitted without the referenced documentation will not be approved for payment until the required information is provided. **No payments will be made by OGB on banking or State holidays.**

7. TAXES

The Contractor agrees that all applicable taxes are included in the Pricing Schedule set forth in **Attachment A** to this Contract. State agencies are exempt from all State and local sales and use taxes.

8. LATE PAYMENTS

Interest due by OGB for late payments shall be in accordance with La. R.S. 39:1695 at the rates established in La. R.S. 13:4202.

9. TERMINATION

The State of Louisiana has the right to terminate this Contract immediately for any of the following reasons: (a) misrepresentation by the Contractor; (b) Contractor's fraud, collusion, conspiracy or other unlawful means of obtaining any contract with the State of Louisiana; (c) conflict of contract provisions with constitutional or statutory provisions of State or Federal Law; (d) abusive or belligerent conduct by the Contractor towards an employee or agent of the State; (e) Contractor's intentional violation of the Louisiana Procurement Code (La. R.S. 39:1551 et seq.) and its corresponding regulations; or, (f) any listed reason for debarment under La. R.S. 39:1672.

9.1 TERMINATION OF THIS CONTRACT FOR CAUSE

The State may terminate this Contract for cause based upon the failure of Contractor to comply with the terms and/or conditions of this Contract, or failure to fulfill its performance obligations pursuant to this Contract, provided that the State shall give the Contractor written notice specifying the Contractor's failure. If within thirty (30) days after receipt of such notice, the Contractor shall not have corrected such failure or, in the case of failure which cannot be corrected in thirty (30) days, begun in good faith to correct such failure and thereafter proceeded diligently to complete such correction, then the State may, at its option, place the Contractor in default and this Contract shall terminate on the date specified in such notice.

The Contractor may exercise any rights available to it under Louisiana law to terminate for cause upon the failure of the State to comply with the terms and conditions of this Contract, provided that the Contractor shall give the State written notice specifying the State's failure and a reasonable opportunity for the State to cure the defect.

9.2 TERMINATION OF THIS CONTRACT FOR CONVENIENCE

The State of Louisiana may terminate this Contract for convenience at any time (1) by giving thirty (30) days written notice to the Contractor of such termination; or (2) by negotiating with the Contractor an effective date. The State shall pay the Contractor for, if applicable: (a) deliverables in progress; (b) the percentage that has been completed satisfactorily; and, (c) for transaction-based services up to the date of termination, to the extent work has been performed satisfactorily.

9.3 TERMINATION FOR NON-APPROPRIATION OF FUNDS

The continuation of this Contract is contingent upon the appropriation of funds to fulfill the requirements of this Contract by the legislature. If the legislature fails to appropriate sufficient monies to provide for the continuation of this Contract or if such appropriation is reduced by the veto of the Governor or by any means provided in the appropriations act or Title 39 of the Louisiana Revised Statutes of 1950 to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of this Contract, this Contract shall terminate on the date of the beginning of the first fiscal year for which funds are not appropriated.

10. CONTRACT MODIFICATIONS

No amendment or modification of the terms of this Contract shall be valid unless made in writing, signed by the parties and approved as required by law. No oral understanding or agreement not incorporated in this Contract is binding on any of the parties.

Changes to this Contract include any change in a) compensation; b) beginning/ending date of this Contract; c) scope of work; and/or d) Contractor change through the assignment of contract process. Any such changes, once approved, will result in the issuance of an amendment to this Contract.

11. USE OF AGENCY'S FACILITIES

Any property of the State furnished to the Contractor shall, unless otherwise provided herein, or approved by the State and/or Agency, be used only for the performance of this Contract.

The Contractor shall be responsible for any loss or damage to property of the State and/or State Agency which results from willful misconduct or lack of good faith on the part of the Contractor or which results from the failure on the part of the Contractor to maintain and administer that property in accordance with sound management practices, to ensure that the property will be returned to the State and/or State Agency in like condition, except for normal wear and tear, to that in which it was furnished to the Contractor. Upon the happening of loss, or destruction of, or damage to property of the State, the Contractor shall notify the State thereof and shall take all reasonable steps to protect that property from further damage.

The Contractor shall surrender to the State and/or State Agency all property of the State and/or State Agency prior to settlement upon completion, termination, or cancellation of this Contract. All reference to the Contractor under this section shall include any of its employees, agents, or subcontractors.

12. WAIVER

Waiver of any breach of any term or condition of this Contract shall not be deemed a waiver of any prior or subsequent breach. No term or condition of this Contract shall be held to be waived, modified or deleted except by the written consent of both parties.

13. WARRANTIES

Contractor warrants that all services shall be performed in good faith, with diligence and care, by experienced and qualified personnel in a professional, workmanlike manner, and according to its current description (including any completion criteria) contained in the scope of work.

Extent of Warranty: THESE WARRANTIES REPLACE ALL OTHER WARRANTIES, EXPRESS OR IMPLIED, INCLUDING THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE.

14. INDEMNIFICATION AND LIMITATION OF LIABILITY

Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under this Contract.

Contractor shall be fully liable for the actions of its agents, employees, partners or subcontractors and shall fully indemnify and hold harmless the State from suits, actions, damages and costs of every name and description relating to personal injury and damage to property caused by Contractor, its agents, employees, partners or subcontractors in the performance of this Contract, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of the State.

Contractor will indemnify, defend and hold the State harmless, *without limitation*, from and against any and all damages, expenses (including reasonable attorneys' fees), claims judgments, liabilities and costs which may be finally assessed against the State in any action for infringement of a United States Letter Patent with respect to the Products, Materials, or Services furnished, or of any copyright, trademark, trade secret or intellectual property right, provided that the State shall give the Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit, (ii) the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense, and (iii) assistance in the defense of any such action at the expense of Contractor. Where a dispute or claim arises relative to a real or anticipated infringement, the State may require Contractor, at its sole expense, to submit such information and

documentation, including formal patent attorney opinions, as the Commissioner of Administration shall require.

The Contractor shall not be obligated to indemnify that portion of a claim or dispute based upon: (i) State's unauthorized modification or alteration of a Product, Material, or Service; (ii) State's use of the Product, Material, or Service in combination with other products, materials, or services not furnished by Contractor; (iii) State's use in other than the specified operating conditions and environment.

In addition to the foregoing, if the use of any item(s) or part(s) thereof shall be enjoined for any reason or if Contractor believes that it may be enjoined, Contractor shall have the right, at its own expense and sole discretion as the State's exclusive remedy to take action no later than six (6) months after the issuance of an injunction in the following order of precedence: (i) to procure for the State the right to continue using such item(s) or part(s) thereof, as applicable; (ii) to modify the component so that it becomes non-infringing equipment of at least equal quality and performance; or (iii) to replace said item(s) or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, or (iv) if none of the foregoing is commercially reasonable, then provide monetary compensation to the State up to the dollar amount of this Contract. Any injunction that is issued against the State which prevents the State from utilizing the Contractor's product in excess of six (6) months and for which the Contractor has not obtained for the State or provided to the State one of the alternatives set forth in the foregoing sentence is cause for the State to terminate this Contract. In the event of such termination, the State will not be obligated to compensate the Contractor for any costs incurred by the Contractor.

For all other claims against the Contractor where liability is not otherwise set forth in this Contract as being "without limitation", and regardless of the basis on which the claim is made, Contractor's liability **for direct damages, shall be the greater of \$100,000, the dollar amount of this Contract, or two (2) times the charges for services rendered by the Contractor under this Contract.** Unless otherwise specifically enumerated herein mutually agreed between the parties, neither party shall be liable to the other for special, indirect or consequential damages, including lost data or records (unless the Contractor is required to back-up the data or records as part of the work plan), even if the party has been advised of the possibility of such damages. Neither party shall be liable for lost profits, lost revenue or lost institutional operating savings.

The State may, in addition to other remedies available to them at law or equity and upon notice to the Contractor, retain such monies from amounts due Contractor, or may proceed against the performance and payment bond, if any, as may be necessary to satisfy any claim for damages, penalties, costs and the like asserted by or against them.

15. INSURANCE AND BONDS

15.1 INSURANCE

Contractor will be required to provide the State of Louisiana with Certificates of adequate insurance indicating coverage required, in accordance with Section 1.38, 1.39 and **Attachment IX** of the RFP and **Attachment B: Insurance Requirements for Contractors** of this Contract. The Contractor shall maintain the insurance for the full term of this Contract. Failure to comply shall be grounds for termination of this Contract.

Contractor shall, for any calendar month in which it fails to materially perform the terms and conditions of the Contract, provide a credit for that month's HRA administration fees.

16. LICENSES AND PERMITS

Contractor shall secure and maintain all licenses and permits, and pay inspection fees required to do the work required to complete this Contract, if applicable.

17. SEVERABILITY

If any term or condition of this Contract or the application thereof is held invalid, such invalidity shall not affect other terms, conditions or applications which can be given effect without the invalid term, condition or application; to this end the terms and conditions of this Contract are declared severable.

18. SUBCONTRACTORS

The Contractor may enter into subcontracts with third parties for the performance of any part of the Contractor's duties and obligations. In no event shall the existence of a subcontract operate to release or reduce the liability of the Contractor to the State and/or State Agency for any breach in the performance of the Contractor's duties. The Contractor will be the single point of contact for all subcontractor work.

19. SUBSTITUTION OF PERSONNEL

The Contractor's personnel assigned to this Contract shall not be replaced without the prior written consent of OGB/State. Such consent shall not be unreasonably withheld or delayed provided an equally qualified replacement is offered. In the event that any Contractor personnel become unavailable due to resignation, illness, or other factors, excluding assignment to projects outside this Contract, outside of the Contractor's reasonable control, as the case may be, the Contractor shall be responsible for providing an equally qualified replacement in time to avoid delays in providing services. When possible, Contractor will give OGB a minimum of sixty (60) calendar days' advance notice of any changes in OGB's account management team, and a description of the training requirements for new team members. Reasonable exceptions would apply in situations beyond Contractor's control (i.e., resignation/termination with less than sixty (60) calendar days' notice). OGB reserves the right to request changes to any of the assigned personnel based on unsatisfactory performance levels as determined by OGB. Additionally, OGB will be provided with the opportunity to interview any new team member(s).

20. ASSIGNMENT

Contractor shall not assign any interest in this Contract by assignment, transfer, or novation, without prior written consent of the State. This provision shall not be construed to prohibit the Contractor from assigning his bank, trust company, or other financial institution any money due or to become due from approved contracts without such prior written consent. Notice of any such assignment or transfer shall be furnished promptly to the State.

21. CODE OF ETHICS

The Contractor acknowledges that Chapter 15 of Title 42 of the Louisiana Revised Statutes (La. R.S. 42:1101 et. seq., Code of Governmental Ethics) applies to the Contracting Party in the performance of services called for in this Contract. The Contractor agrees to immediately notify the State if potential violations of the Code of Governmental Ethics arise at any time during the term of this Contract.

22. CONFIDENTIALITY

The following provision will apply unless the State agency statement of work specifically indicates that all information exchanged will be non-confidential:

All financial, statistical, personal, technical and other data and information relating to the State's operations which are designated confidential by the State and made available to the Contractor in order to carry out this Contract, shall be protected by the Contractor from unauthorized use and disclosure through the observance of the same or more effective procedural requirements as are applicable to the State. The identification of all such confidential data and information as well as the State's procedural requirements for protection of such data and information from unauthorized use and disclosure shall be provided by the State in writing to the Contractor. If the methods and procedures employed by the Contractor for the protection of the Contractor's data and information are deemed by the State to be adequate for the protection of the State's confidential information, such methods and procedures may be used, with the written consent of the State, to carry out the intent of this paragraph. The Contractor shall not be required under the provisions of this paragraph to keep confidential any data or information, which is or becomes publicly available, is already rightfully in the Contractor's possession, is independently developed by the Contractor outside the scope of this Contract, or is rightfully obtained from third parties.

The Contractor shall execute a Business Associate Addendum and a Data Sharing Agreement that is substantially the same as **Attachment C** and **Attachment D** respectively of this Contract.

23. CONTRACT CONTROVERSIES

Any claim or controversy arising out of this Contract shall be resolved by the provisions of Louisiana Revised Statute 39:1671-1673.

24. RIGHT TO AUDIT

The State Legislative Auditor, federal auditors, internal auditors of the Division of Administration and its designated agents, OGB, or others so designated by OGB/State shall be entitled to audit all accounts, procedures, matters, and records of any Contractor or subcontractor under this Contract or subcontract directly pertaining to this Contract for a period of five (5) years after final payment under this Contract and of any subcontractor for a period of five (5) years from the date of final payment under the subcontract, or such longer period as required by applicable state and federal law. Records, including direct read access to databases and all tables, shall be made available during normal business hours for this purpose.

The audit will be limited to a duration of a reasonable period, conducted at mutually convenient times and locations, and in a manner that does not disrupt business operations. No third party may participate in the audit without Contractor's prior written consent and the third party enters into an appropriate confidentiality agreement; provided that Contractor will not consent to the participation of any third party offering services or products that compete with its own business. However, this paragraph does not apply to any governmental auditor, including but not limited to, any audit by the State of Louisiana, Legislative Auditor.

In the event that an examination of records results in a determination that previously paid invoices included charges which were improper or beyond the scope of this Contract, the Contractor agrees that the amounts paid to the Contractor shall be adjusted accordingly, and that the Contractor shall within thirty (30) days thereafter issue a remittance to OGB/State of any payments declared to be improper or beyond the scope of this Contract. In combination therewith, or alternatively, OGB/State may offset the amounts deemed improper or beyond the scope of this Contract against the Contractor's outstanding or subsequent invoices, if any.

25. RECORD OWNERSHIP

All records, reports, documents, or other material related to the RFP or this Contract, delivered or transmitted to the Contractor by OGB or its employees, agents, or authorized vendors, and/or obtained or prepared by Contractor or its subcontractors in connection with the performance of the services under this Contract shall become the property of OGB/State and are referred to herein as "Records."

25.1 RECORD RETENTION

Contractor shall return the Records to OGB/State, at Contractor's expense, within seven (7) business days of request or in the specific instance of termination or expiration of this Contract, within sixty (60) business days after the termination or expiration of this Contract, and the Contractor shall retain no copies of the Records, unless required by applicable law, provided, the confidentiality and security requirements of the Contract shall apply to such Records as long as retained by the Contractor. Additionally, all State data must be sanitized from the Contractor's (and its subcontractors' and/or vendors') systems in compliance with the most current revision of NIST SP 800-66. Notwithstanding the foregoing, the Contractor shall retain any Records (i) pursuant to any internal compliance or document retention policies, (ii) in accordance with Center for Medicare and Medicaid Services requirement that Contractors to maintain related records for ten years (current year record created plus ten calendar years), (iii) pursuant to what is required to be retained pursuant to applicable law, rule, regulation or legal process, and (iv) that have been electronically archived pursuant to automatic back-up procedures. To the extent that the retention periods in (i) through (iii) in the previous sentence conflicts, the longest retention period shall be followed. Any Confidential Records retained pursuant to the foregoing shall remain subject to the terms of the Business Associate Agreement and the Contract.

26. CONTRACTOR'S CERTIFICATION OF NO FEDERAL SUSPENSION OR DEBARMENT

Contractor has a continuing obligation to disclose any suspensions or debarment by any government entity, including but not limited to General Services Administration (GSA). Failure to disclose may constitute grounds for suspension and/or termination of this Contract and debarment from future contracts.

27. CONTRACTOR'S COOPERATION/CLOSE-OUT

The Contractor has the duty to fully cooperate with the State and provide any and all requested information, documentation, etc. to the State when requested. This applies even if this Contract is terminated and/or a lawsuit is filed. Specifically, the Contractor shall not limit or impede the State's right to audit or to withhold State owned documents.

28. SECURITY

Contractor's personnel will comply with all security regulations in effect at the State's premises, the Information Security Policy at <http://www.doa.la.gov/Pages/ots/InformationSecurity.aspx> and externally for materials and property belonging to the State or to the project. Where special security precautions are warranted (e.g., correctional facilities), the State shall provide such procedures to the Contractor, accordingly. Contractor is responsible for promptly reporting to the State any known breach of security.

29. COMMENCEMENT OF WORK

No work shall be performed by Contractor and the State shall not be bound until such time as this Contract is fully executed between the State and the Contractor and all required approvals are obtained.

30. COMPLIANCE WITH CIVIL RIGHTS LAWS

The Contractor agrees to abide by the requirements of the following as applicable: Title VI and Title VII of the Civil Rights Act of 1964, as amended by the Equal Employment Opportunity Act of 1972, Federal Executive Order 11246 as amended, the Federal Rehabilitation Act of 1973 as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Discrimination Act of 1975, the Fair Housing Act of 1968 as amended, and Contractor agrees to abide by the requirements of the Americans with Disabilities Act of 1990.

Contractor agrees not to discriminate in its employment practices, and will render services under this Contract without regard to race, color, religion, sex, sexual orientation, national origin, veteran status, political affiliation, disability or age in any matter relating to employment. Any act of discrimination committed by Contractor, or failure to comply with these statutory obligations when applicable shall be grounds for termination of this Contract.

31. ANTI-KICKBACK CLAUSE

The Contractor hereby agrees to adhere to the mandate dictated by the Copeland "Anti-Kickback" Act which provides that each Contractor or subgrantee shall be prohibited from inducing, by any means, any person employed in the completion of work, to give up any part of the compensation to which he is otherwise entitled.

32. CLEAN AIR ACT

The Contractor hereby agrees to adhere to the provisions which require compliance with all applicable standards, orders or requirements issued under Section 306 of the Clean Air Act which prohibits the use under non-exempt Federal contracts, grants or loans of facilities included on the Environmental Protection Agency (EPA) list of Violating Facilities.

33. ENERGY POLICY AND CONSERVATION ACT

The Contractor hereby recognizes the mandatory standards and policies relating to energy efficiency which are contained in the State energy conservation plan issued in compliance with the Energy Policy and Conservation Act (P.L. 94-163).

34. CLEAN WATER ACT

The Contractor hereby agrees to adhere to the provisions which require compliance with all applicable standards, orders, or requirements issued under Section 508 of the Clean Water Act which prohibits the use under non-exempt Federal contracts, grants or loans of facilities included on the Environmental Protection Agency (EPA) List of Violating Facilities.

35. ANTI-LOBBYING AND DEBARMENT ACT

The Contractor will be expected to comply with Federal statutes required in the Anti-Lobbying Act and the Debarment Act.

36. PROHIBITION OF DISCRIMINATORY BOYCOTTS OF ISRAEL

In accordance with La. R.S. 39:1602.1, for any contracts with a value of \$100,000 or more and for any Contractor with five (5) or more employees, the Contractor certifies that it is not engaging in a boycott of Israel and it will, for the duration of its contractual obligations, refrain from a boycott of Israel.

37. FUND USE

Contractor agrees not to use contract proceeds to urge any elector to vote for or against any candidate or proposition on an election ballot nor shall such funds be used to lobby for or against any proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority. This provision shall not prevent the normal dissemination of factual information relative to a proposition on any election ballot or a proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority.

38. HEADINGS

Descriptive headings in this Contract are for convenience only and shall not affect the construction of this Contract or meaning of contractual language.

39. INDEPENDENT ASSURANCES

The State of Louisiana, Office of Group Benefits will require the Contractor and/or subcontractors to provide some form of assurances that internal controls over the process being administered by the Contractor for OGB is operating properly. The assurances provided by the Contractor may be in the form of SOC I and/or type II reports resulting from independent SSAE 18 engagement of internal controls, quality assurance reports or other financial and performance audits from outside companies to assure both the financial viability of the (outsourced) program and the operational viability, including the policies and procedures placed into operation. If an SSAE 18 review is required, the audit firm will conduct tests of the Contractor's activities and render an independent opinion on the operating effectiveness of the controls and procedures.

Other forms of assurances may be required by OGB. The Contractor may be required to provide a quality control plan, such as third party Quality Assurance (QA), Independent Verification and Validation (IV & V), or other internal project/program reviews or audits.

These audits and/or assurances will require the Contractor to provide any assistance, records access, information system access, staff access, and space access to the party selected to perform the indicated audit. If a SSAE 18 review or audit is required of the Contractor, the audit firm will submit to OGB and/or Contractor a final report on controls placed in operations for the project and include a detailed description of the audit firm's tests of the operating effectiveness of controls.

The Contractor shall supply OGB with an exact copy of the report within thirty (30) calendar days of completion. When required by OGB, such audits may be performed annually during the term of this Contract. The Contractor shall agree to implement recommendations as suggested by the audits within three (3) months of report issuance at no cost to OGB. The cost of the SSAE 18 engagement is to be borne by the Contractor and it was included in the cost proposed in response to the RFP.

40. GOVERNING LAW

This Contract shall be governed by and interpreted in accordance with the laws of the State of Louisiana, including but not limited to La. R.S. 39:1551-1736 (Louisiana Procurement Code) and La. R.S. 39:196-200 (Information Technology Procurement Code), if applicable; purchasing rules and regulations; executive orders; standard terms and conditions; special terms and conditions; and specifications listed in the RFP; and this Contract. Venue of any action brought with regard to this Contract shall be in the Nineteenth Judicial District Court, Parish of East Baton Rouge, State of Louisiana.

41. COMPLETE CONTRACT



This is the complete Contract between the parties with respect to the subject matter and all prior discussions and negotiations are merged into this Contract. This Contract is entered into with neither party relying on any statement or representation made by the other party not embodied in this Contract and there are no other agreements or understanding changing or modifying the terms. This Contract shall become effective upon final statutory approval.

42. ORDER OF PRECEDENCE

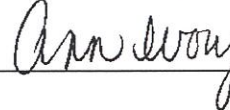
The Request for Proposals (RFP), dated June 22, 2020 and the Contractor's Proposal dated July 28, 2020, are attached hereto and, incorporated into this Contract as though fully set forth herein. In the event of an inconsistency between this Contract, the RFP and/or the Contractor's Proposal, unless otherwise provided herein, the inconsistency shall be resolved by giving precedence first to this Contract, then to the RFP and finally, the Contractor's Proposal.

THUS DONE AND SIGNED AT South Jordan, Utah on this 4th day of November, 2020, and, IN WITNESS WHEREOF, the parties have executed this Contract.

WITNESSES' SIGNATURES:

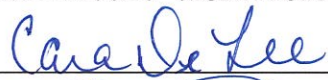




WILLIS TOWERS WATSON SIGNATURE:

By: 
 Title: Managing Director

THUS DONE AND SIGNED AT Baton Rouge, Louisiana on this 9th day of November, 2020, and, IN WITNESS WHEREOF, the parties have executed this Contract.

WITNESSES' SIGNATURES:

OFFICE OF GROUP BENEFITS SIGNATURE:

By: 
~~David Couvillon~~ Melissa Garon Mayers
 Title Chief Operating Officer

Approved by:

 Director of State Procurement

Date: _____

ATTACHMENT A: PRICE SCHEDULE**Monthly HRA Administration Fee**

Plan Participants as of 2/1/2020	Monthly Cost
615	\$4.00 per HRA account per month (\$250 monthly minimum) Retiree and spouse share the account
HRA Set-up	\$0
Implementation	\$0
Anytime Webcast*	\$0

* The Anytime Webcast is a YouTube-based recording that OGB may make available at their website. Retirees can click on 24/7 and view the presentation slides while listening to the audio presentation to fully understand the Individual Market Option.

ATTACHMENT B: INSURANCE REQUIREMENTS FOR CONTRACTORS

The Contractor shall purchase and maintain, as provided in this Attachment VII, insurance against claims for injuries to persons, damages to property, and other risks which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, employees or subcontractors.

A. MINIMUM SCOPE AND LIMITS OF INSURANCE

1. Workers Compensation

Workers Compensation insurance shall be in compliance with the Workers Compensation law of the State of the Contractor's headquarters. Employers Liability is included with a minimum limit of \$1,000,000 per accident/per disease/per employee. No work related to this contract will be performed over water. If work is to be performed over water and involves maritime exposure, applicable LHWCA, Jones Act, or other maritime law coverage shall be included. A.M. Best's insurance company rating requirement may be waived for workers compensation coverage only.

2. Commercial General Liability

Commercial General Liability insurance, including Personal and Advertising Injury Liability and Products and Completed Operations, shall have a minimum limit per occurrence of \$1,000,000 and a minimum general annual aggregate of \$2,000,000. The Insurance Services Office (ISO) Commercial General Liability occurrence coverage form CG 00 01 (current form approved for use in Louisiana), or equivalent, is to be used in the policy. Claims-made form is unacceptable.

3. Professional Liability (Errors and Omissions)

Professional Liability (Errors & Omissions) insurance, which covers the professional errors, acts, or omissions of the Contractor, shall have a minimum limit of \$1,000,000 per claim and \$3,000,000 in the annual aggregate. Claims-made coverage is acceptable, subject to the further terms within this section A.3. The date of the inception of the policy must be no later than the first date of the anticipated work under this Contract. It shall provide coverage for the duration of this Contract and shall have an expiration date no earlier than 30 days after the anticipated completion of the Contract. The policy shall provide an extended reporting period of not less than 36 months with full reinstatement of limits, from the expiration date of the policy, if the policy is not renewed.

4. Automobile Liability

INTENTIONALLY OMITTED.

5. Cyber/Data Breach Liability

Cyber/data breach liability insurance, including first-party costs, due to a breach that compromises the State's confidential data shall have a minimum limit per claim of \$1,000,000 and in the annual aggregate. Claims-made coverage is acceptable. The date of the inception of the policy must be no later than the first date of the anticipated work under this Contract. It shall provide coverage for the duration of this Contract and shall have an expiration date no earlier than thirty (30) days after the anticipated completion of the Contract. The policy shall provide an extended reporting period of not less than 36 months from the expiration date of the policy, if the policy is not renewed. The policy shall not be cancelled for any reason, except non-payment of premium.

B. DEDUCTIBLES AND SELF-INSURED RETENTIONS

Any insurance required under this Section may contain such deductibles and retentions as determined by Contractor in its sole discretion and remain the sole financial responsibility of

Contractor.

C. OTHER INSURANCE PROVISIONS

The policies are to contain, or be endorsed to contain, the following provisions:

1. Commercial General Liability and Automobile Liability Coverages

- a. The Agency, its officers, agents, employees and volunteers shall be named as an additional insured as regards negligence by the Contractor. ISO Forms CG 20 10 (for ongoing work) AND CG 20 37 (for completed work) (current forms approved for use in Louisiana), or equivalents, are to be used when applicable. The coverage shall not contain any special limitations on the scope of protection afforded to the Agency.
- b. The Contractor's insurance shall be primary as respects the Agency, its officers, agents, employees and volunteers for any and all losses that occur under the Contract. Any insurance or self-insurance maintained by the Agency shall be excess and non-contributory of the Contractor's insurance. The provisions under this clause C.1.b. apply as respects liability arising out of Contractor's operations or work being performed in connection with this agreement.

2. Workers Compensation and Employers Liability Coverage

To the fullest extent allowed by law, the insurer shall agree to waive all rights of subrogation against the Agency, its officers, agents, employees and volunteers for losses arising from work performed by the Contractor for the Agency.

3. All Coverages

- a. Contractor shall maintain these insurance coverages in full force and effect, during the Term of this agreement. If for any reason any of the above required insurance policies is cancelled or not renewed, we shall promptly notify OGB/State and obtain a replacement or reinstatement policy containing no less than the same limits and terms and conditions as required in this agreement and provide evidence to OBG/State with no lapse in coverage.
- b. The acceptance of the completed work, payment, failure of the Agency to require proof of compliance, or the Agency's acceptance of a non-compliant certificate of insurance shall not release the Contractor from the obligations of the insurance requirements or indemnification agreement.
- c. The insurance companies issuing the policies shall have no recourse against the Agency for payment of premiums or for assessments under any form of the policies.
- d. Any failure of the Contractor to comply with reporting provisions of the policy shall not affect coverage provided to the Agency, its officers, agents, employees and volunteers.

D. ACCEPTABILITY OF INSURERS

1. All required insurance shall be provided by a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located. Except for Professional Liability / Errors and Omissions and Cyber Liability insurances, which are currently underwritten by the Group's

Captive insurer, that has not been rated by A.M. Best Company or other Nationally Recognized Rating Organization (NRRO), all other insurance required is currently underwritten with responsible insurance companies of recognized standing and having an A.M. Best's Rating of at least "A-" or better.

E. VERIFICATION OF COVERAGE

1. Contractor shall furnish the Agency with Certificates of Insurance reflecting proof of required coverage. The Certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The Certificates are to be received and approved by the Agency before work commences, and upon any contract renewal or insurance policy renewal thereafter.
2. The Certificate Holder shall be listed as follows:

State of Louisiana
Its Officers, Agents, Employees and Volunteers
P.O. Box 94095, Baton Rouge, LA 70804-9095
Project or Contract #: A3000015390P

3. Upon failure of the Contractor to furnish, deliver and maintain required insurance, this Contract, at the election of the OGB, may be suspended, discontinued, or terminated. Failure of the Contractor to purchase and/or maintain any required insurance shall not relieve the Contractor from any liability or indemnification under the Contract.

F. SUBCONTRACTORS

Contractor shall include all subcontractors as insureds under its policies OR shall be responsible for verifying and maintaining the Certificates provided by each subcontractor. Subcontractors shall be subject to all of the requirements stated herein. The Agency reserves the right to request copies of subcontractor's Certificates at any time.

G. WORKERS COMPENSATION INDEMNITY

In the event the Contractor is not required to provide or elects not to provide workers compensation coverage, the parties hereby agree that the Contractor, its owners, agents and employees will have no cause of action against, and shall not assert a claim against, the State of Louisiana, its departments, agencies, agents and employees as an employer, whether pursuant to the Louisiana Workers Compensation Act or otherwise, under any circumstance. The parties also hereby agree that the State of Louisiana, its departments, agencies, agents and employees shall in no circumstance be, or be considered as, the employer or statutory employer of Contractor, its owners, agents and employees. The parties further agree that the Contractor is a wholly independent contractor and is exclusively responsible for its employees, owners, and agents. Contractor hereby agrees to protect, defend, indemnify and hold the State of Louisiana, its departments, agencies, agents, and employees harmless from any such assertion or claim that may arise from the performance of this Contract.

ATTACHMENT C: BUSINESS ASSOCIATE ADDENDUM

State of Louisiana
Office of Group Benefits
HIPAA Business Associate Addendum

This HIPAA Business Associate Agreement (“Agreement”) is hereby made and entered into this 9th day of November, 2020 (“Effective Date”), by and between the State of Louisiana, Office of Group Benefits on behalf of itself and its affiliates, if any (individually and collectively, the “Covered Entity”) and Willis Towers Watson and its subsidiaries and affiliate companies (“Business Associate”) (each a “Party” and collectively, the “Parties”).

WHEREAS, Covered Entity and Business Associate have entered into one or more underlying services agreement(s) (the “Underlying Services Agreement”) pursuant to which Business Associate performs services under which we receive Protected Health Information;

WHEREAS, Business Associate acknowledges and agrees that it is a Business Associate, and Covered Entity as those terms are defined under the Health Insurance Portability and Accountability Act of 1996, and its implementing regulations at 45 C.F.R. Parts 160 -164, all as amended, including by HITECH (as defined below in Section 1.c.) (collectively, “HIPAA”), and in this case, specifically in 45 C.F.R. § 160.103;

WHEREAS, Covered Entity and Business Associate mutually desire to outline their individual responsibilities with respect to the use and/or disclosure, safeguarding, and transmission of Protected Health Information (“PHI”) and electronic Protected Health Information (“ePHI”), as mandated by HIPAA;

WHEREAS, Covered Entity and Business Associate understand and agree that the Security Rule and Privacy Rule require that a Covered Entity and Business Associate enter into this Agreement, as required by 45 C.F.R. § 164.314(a) and 45 C.F.R. § 164.504(e), respectively, and that this Agreement is intended to satisfy these obligations and will govern the terms and conditions under which such PHI and/or ePHI may be used and/or disclosed and safeguarded by Business Associate;

The parties acknowledge and agree that, beginning the effective date of the Underlying Services Agreement, Business Associate shall comply with its obligations under this Agreement and with all obligations of a business associate under HIPAA and other applicable laws, regulations, and record retention policies, as they exist at the time this agreement is executed and as they are amended, for so long as this agreement is effective.

WHEREAS, the Parties desire to amend the Underlying Services Agreements by adding this Agreement containing the terms and conditions under which Business Associate may use the Protected Health Information;

NOW, THEREFORE, Covered Entity and Business Associate hereto agree to the foregoing and as follows:

Definitions

Capitalized terms used, but not otherwise defined, in this Agreement shall have the same meaning as those terms in 45 C.F.R. Parts 160 -164 and HITECH, as applicable.

- a. Compliance Date shall mean, in each case, the date by which compliance is required under the referenced provision of HITECH.
- b. Electronic Protected Health Information or ePHI. “Electronic Protected Health Information” or “ePHI” shall have the same meaning as the term “electronic protected health information” in 45 C.F.R. § 160.103 and includes Protected Health Information transmitted by, or maintained in, electronic media.
- c. HITECH shall mean the Health Information Technology for Economic and Clinical Health Act provisions of the American Recovery and Reinvestment Act of 2009, Pub. Law No. 111-5 and its implementing regulations. References in this Agreement to a section or subsection of title 42 of the United States Code are references to provisions of HITECH, and any reference to provisions of HITECH in this Agreement shall be deemed a reference to that provision and its existing and future implementing regulations, when and as each is effective.
- d. Individual. “Individual” shall have the same meaning as the term “individual” in 45 C.F.R. § 160.103 and shall include a person who qualifies as a personal representative in accordance with 45 C.F.R. § 164.502(g).
- e. Privacy Rule. “Privacy Rule” shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 C.F.R. Part 160 and Part 164, subparts A and E.
- f. Protected Health Information or PHI. “Protected Health Information” or “PHI” shall have the same meaning as the term “protected health information” in 45 CFR § 160.103, limited to the information created or received by Business Associate from or on behalf of Covered Entity.
- g. Required By Law. “Required By Law” shall have the same meaning as the term “required by law” in 45 C.F.R. § 164.103.
- h. Secretary. “Secretary” shall mean the Secretary of the Department of Health and Human Services or his designee.
- i. Security Rule. “Security Rule” shall mean the Security Standards for the Protection of Electronic Protected Health Information at 45 C.F.R. Part 160 and Part 164, subpart C.

Obligations and Activities of Business Associate

- a. Business Associate agrees to use or disclose Protected Health Information only as permitted or required by this Agreement or as Required By Law and in compliance with each applicable requirement of 45 C.F.R. § 164.504(e). Without limiting the generality of the foregoing sentence, to the extent Business Associate is to carry out Covered Entity’s obligations under the Privacy Rule, Business Associate shall comply with the requirements of the Privacy Rule that apply to Covered Entity in the performance of those obligations.
- b. Business Associate agrees to (i) implement and use appropriate safeguards in accordance with HIPAA to prevent use or disclosure of the Protected Health Information other than as provided for by this Agreement; (ii) protect the confidentiality, integrity, and availability of the ePHI that it creates, receives, maintains, or transmits electronic PHI (“ePHI”) on behalf of the

Covered Entity in compliance with the HIPAA Security Rule to the extent it applies; and (iii) as of the Compliance Date of 42 U.S.C.

§ 17931, comply with the Security Rule as of the relevant effective date and further, shall implement Administrative, Physical, and Technical Safeguards that reasonably and appropriately protect the confidentiality, integrity and availability of the ePHI.

- c. Business Associate agrees to mitigate, to the extent practicable, any harmful effect that is known to Business Associate of a use or disclosure of Protected Health Information by Business Associate in violation of the requirements of this Agreement, including, but not limited to, compliance with any state law or contractual data breach requirements.
- d. Business Associate agrees to report to Covered Entity (i) any use or disclosure of the Protected Health Information not provided for by this Agreement of which it becomes aware; (ii) any Security Incident affecting Protected Health Information of which it becomes aware, and (iii) without unreasonable delay and in no case later than thirty (30) calendar days after discovery, any Breach of any Unsecured PHI in accordance with the security breach notification requirements set forth in 42 U.S.C. § 17932 as of its Compliance Date, including at 45 C.F.R. § 164.410. As such, Business Associate shall provide the following information to the Covered Entity: (1) a brief description of what happened, including the date of the breach and the date of discovery of the breach, if known; (2) a description of the types of Unsecured PHI that were involved in the breach (such as full name, Social Security number, date of birth, home address, account number, or disability code) and who received the Unsecured PHI; (3) the steps Individuals should take to protect themselves from potential harm resulting from the Breach; (4) a brief description of what the Business Associate is doing to investigate the Breach, to mitigate losses, and to protect against any further Breaches; and (5) any information reasonably available regarding the Breach that the Covered Entity may need to include in its notification to the affected individuals, the media and/or the Secretary as required by 42 U.S.C. § 17932 and 45 C.F.R. §§ 164.404, 164.406, 164.408. The Business Associate shall also cooperate with the Covered Entity to conduct any risk assessment necessary to determine whether notification of Breach is required.
- e. Business Associate understands and agrees that any agent or subcontractor that may create, receive, maintain or transmit PHI on behalf of Business Associate must comply with all applicable laws and regulations as are applicable to Covered Entity in regard to PHI. Business Associate shall enter into a written agreement with any agent or subcontractor of Business Associate that will create, receive, maintain, or transmit PHI on behalf of Business Associate. Pursuant to such agreement, the agent or subcontractor shall agree to be bound by the same restrictions, terms, and conditions that apply to Business Associate under this Addendum with respect to such PHI. Such agreements with Business Associates agents and subcontractors shall be provided to Covered Entity upon request. Business Associate assumes responsibility for ensuring that subcontractors are complying with HIPAA and all applicable laws.
- f. Within ten (10) business days of a request by Covered Entity for access to PHI about an individual contained in a Designated Record Set, Business Associate shall make available to Covered Entity such PHI for so long as such information is maintained by Business Associate in the Designated Record Set, as required by 45 CFR 164.524. In the event any individual delivers directly to Business Associate a request for access to PHI, Business Associate shall within five (5) business days forward such request to Covered Entity.

- g. To the extent that Business Associate maintains a Designated Record Set on behalf of Covered Entity, Business Associate agrees to make any amendment(s) to Protected Health Information in that Designated Record Set that the Covered Entity directs or agrees to pursuant to 45 C.F.R. § 164.526 at the request of Covered Entity. If an Individual requests an amendment of his or her Protected Health Information directly from Business Associate, Business Associate shall promptly forward such request to Covered Entity (or in no event forward the request later than ten (10) business days after receipt) and Covered Entity shall be responsible for responding to such request.
- h. Business Associate agrees to make its internal practices, books, and records, including policies and procedures and Protected Health Information, relating to the use and disclosure of Protected Health Information received from, or created or received by Business Associate on behalf of, Covered Entity available to the Secretary, in a time and manner mutually agreed or designated by the Secretary, for purposes of the Secretary determining Covered Entity's compliance with the Privacy Rule and Security Rule for the term of this Agreement and for six (6) years after the final payment under the Agreement.
- i. Business Associate agrees to document disclosures of Protected Health Information and information related to such disclosures as would be required for Covered Entity to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 C.F.R. § 164.528 and, as of its Compliance Date, in accordance with the requirements for accounting for disclosures made through an Electronic Health Record in 42 U.S.C. 17935(c). At a minimum, Business Associate shall provide Covered Entity with the following information: (i) the date of the disclosure; (ii) the name of the entity or person who received the PHI, and if known, the address of such entity or person; (iii) a brief description of the PHI disclosed; and (iv) a brief statement of the purpose of such disclosure which includes an explanation of the basis for such disclosure.
- j. Business Associate agrees to provide to Covered Entity within ten (10) business days of notice by Covered Entity to Business Associate, information collected in accordance with Section 2.i. of this Agreement, to permit Covered Entity to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 C.F.R. § 164.528 and, as of its Compliance Date, in accordance with the requirements for accounting for disclosures made through an Electronic Health Record in 42 U.S.C. 17935(c). In the event the request for an accounting is delivered directly to Business Associate, Business Associate shall within five (5) business days forward such request to Covered Entity. Business Associate hereby agrees to implement an appropriate record keeping process to enable it to comply with the requirements of this Section.
- k. Business Associate may create a Limited Data Set, as defined in HIPAA, and use such Limited Data Set pursuant to a Data Use Agreement that meets the requirements of HIPAA, provided Covered Entity agrees to such creation and use of a Limited Data Set. Business Associate, upon written request by Covered Entity, may use PHI to provide Data Aggregation services to Covered Entity as permitted by 45 CFR 164.504(e)(2)(i)(B). For purposes of this Section, Data Aggregation means, with respect to PHI, the combining of such PHI by Business Associate with the PHI received by Business Associate in its capacity as a Business Associate of another Covered Entity to permit data analyses that relate to the health care operations of the respective Covered Entities. It is not contemplated that Business Associate will perform Data Aggregation services with PHI received from Covered Entity without express prior written permission of

Covered Entity. In the event that Business Associate in connection with the Underlying Services Agreements uses or maintains a Designated Record Set on behalf of Covered Entity, then the Business Associate shall promptly provide an electronic copy of the PHI, to Covered Entity all in accordance with 42 U.S.C. § 17935(e) as of its Compliance Date.

- l. Business Associate shall request, use and/or disclose only the minimum amount of PHI necessary to accomplish the purpose of the request, use or disclosure; provided, that Business Associate shall comply with 42 U.S.C. § 17935(b).
- m. Business Associate shall not directly or indirectly receive remuneration in exchange for any PHI in compliance with 42 U.S.C. § 17935(d).
- n. Business Associate shall not make or cause to be made any communication about a product or service that is prohibited by 42 U.S.C. § 17936(a).
- o. Business Associate shall not make or cause to be made any written fundraising communication that is prohibited by 42 U.S.C. § 17936(b).
- p. To the extent not provided herein, the Business Associate shall comply with each of the applicable requirements imposed on the Business Associate by HITECH, as of the applicable Compliance Date. In the event there is any new or revised federal or state legislation, rules, regulations, and records retention policies to which Covered Entity is subject now or in the future including, without limitation, HIPAA which impacts the terms of this Agreement, parties shall negotiate in good faith any changes to this Agreement.
- q. If and to the extent applicable, Business Associate will comply, and will require any subcontractor or agent involved with the conduct of such Standard Transactions to comply, with each the applicable requirements of 45 C.F.R. Part 162. The exchange of information related to (i) enrollment and disenrollment information and (ii) account balances and premium payment information, and any retransmission of that information, is sent or received by the Plan Sponsor (or its designated agent) in its capacity as an employer.

Permitted Uses and Disclosures by Business Associate

a. General Use and Disclosure Provisions

Except as otherwise limited in this Agreement, Business Associate may use or disclose Protected Health Information to perform functions, activities, or services for, or on behalf of, the Client or Covered Entity as specified in the Underlying Services Agreements, provided that, except as set forth in Section 3.b., such use or disclosure would not violate the Privacy Rule and Security Rule if done by Covered Entity.

b. Specific Use and Disclosure Provisions

- 1) Except as otherwise limited in this Agreement, Business Associate may use Protected Health Information for the proper management and administration of the

Business Associate or to carry out the legal responsibilities of the Business Associate.

- 2) Except as otherwise limited in this Agreement, Business Associate may disclose Protected Health Information for the proper management and administration of the Business Associate, provided that disclosures are Required By Law, or Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and used or further disclosed only as Required By Law or for the purpose for which it was disclosed to the person, and the person notifies the Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached.
- 3) On behalf of Covered Entity, Business Associate may de-identify any and all PHI obtained by Business Associate under this Agreement, and use such deidentified data on Business Associate's own behalf, all in accordance with the de-identification requirements of the Privacy Rule.
- 4) Except as otherwise limited in this Agreement, Business Associate may use Protected Health Information to provide Data Aggregation services to Covered Entity as permitted by 45 C.F.R. § 164.504(e)(2)(i)(B).
- 5) Business Associate may use Protected Health Information to report violations of law to appropriate Federal and State authorities, consistent with 45 C.F.R. § 164.502(j)(1).
- 6) Business Associate may use PHI to the extent and for any purpose authorized by an Individual under 45 C.F.R. § 164.508.

Obligations of Covered Entity

- a. Covered Entity shall notify Business Associate of any limitation(s) in its notice of privacy practices of Covered Entity in accordance with 45 C.F.R. § 164.520, to the extent that such limitation may affect Business Associate's use or disclosure of Protected Health Information under this Agreement.
- b. Covered Entity shall notify Business Associate of any changes in, or revocation of, permission by an Individual to use or disclose Protected Health Information, to the extent that such changes may affect Business Associate's use or disclosure of Protected Health Information under this Agreement.
- c. Covered Entity shall notify Business Associate of any restriction on the use or disclosure of Protected Health Information to which Covered Entity has agreed in accordance with 45 C.F.R. § 164.522 or 42 U.S.C. § 17935(a) as of its Compliance Date, to the extent that such restriction may affect Business Associate's use or disclosure of Protected Health Information under this Agreement.
- d. Covered Entity in performing its obligations and exercising its rights under this Agreement shall use and disclose Protected Health Information in compliance with HIPAA.

Permissible Requests by Covered Entity

- a. Covered Entity shall not request or require Business Associate to use or disclose Protected Health Information in any manner that would not be permissible under the Privacy Rule and Security Rule if done by Covered Entity, provided that Business Associate may use or disclose PHI as set forth in Section 3.b. of this Agreement. Covered Entity shall disclose or provide access to Business Associate only to the minimum PHI necessary for Business Associate to perform its obligations under the Underlying Services Agreements and Covered Entity represents that any request it makes for PHI from Business Associate shall be limited to the minimum PHI necessary to accomplish the permitted purpose of the applicable request or use.

Term and Termination

- a. Term. The Term of this Agreement shall be effective as of the Effective Date and shall terminate upon the final expiration or termination of the last remaining Underlying Services Agreement subject to this Agreement, unless earlier terminated in accordance with this Section 6.
- b. Termination of Agreement for Cause. In accordance with 42 U.S.C. § 17934(b) and 45 C.F.R. § 164.504(e)(1)(ii), if either Party knows of a pattern of activity or practice of the other Party that constitutes a material breach or violation of this Agreement then the non-breaching Party shall provide written notice of the breach or violation to the other Party that specifies the nature of the breach or violation. The breaching Party must cure the breach or end the violation on or before thirty (30) days after receipt of the written notice. In the absence of a timely cure reasonably satisfactory to the nonbreaching party, or in the event that cure is not possible, then the non-breaching party shall immediately terminate this Agreement unless neither termination nor cure is feasible, in which case the non-breaching party shall report the violation to the Secretary.
- c. Effect of Termination.
 - 1) Except as provided in paragraph (2) of this Section 6.c., upon termination of this Agreement, for any reason, Business Associate shall return to Covered Entity, at its expense and within sixty (60) days of the termination, all PHI owned by or belonging to Covered Entity as provided in the Agreement including Protected Health Information that is in the possession of subcontractors or agents of Business Associate, and shall retain no copies of the PHI unless required by law or Business Associates Record Retention policies. This provision includes, but is not limited to, PHI: (a) received from Covered Entity; (b) created or received by Business Associate on behalf of Covered Entity; and, (c) in the possession of subcontractors or agents of Business Associate.
 - 2) In the event that the law requires Business Associate to retain copies of Protected Health Information, Business Associate shall extend the protections of this Agreement to such Protected Health Information and limit further uses and disclosures of such Protected Health Information to those purposes required by law, for so long as Business Associate maintains such Protected Health Information. This

provision includes PHI in any form, recorded on any medium, or stored in any storage system. In addition, the Business Associate shall return any books, records, or other documents required by the Underlying Services Agreement. Business Associate assumes responsibility for protecting PHI that is retained past the termination of the Agreement.

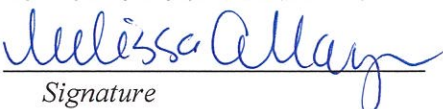
Miscellaneous

- a. Amendment of Underlying Services Agreements. The Parties agree that the term and conditions of this Agreement hereby amend and are incorporated into and made a part of the Underlying Services Agreements as of the Effective Date of this Agreement, and any reference to the Underlying Services Agreements on or after that date shall mean the Underlying Services Agreements as amended by this Agreement. This Agreement supersedes all prior Business Associate Agreements between the parties with respect to the Underlying Services Agreements.
- b. Regulatory References. A reference in this Agreement to a section in the Privacy Rule, Security Rule and/or HITECH means the section as in effect or as amended.
- c. Future Amendment. Covered Entity and Business Associate agree to take such action as is necessary to amend this Agreement and the Underlying Services Agreements from time to time as necessary for the Parties to comply with the requirements of HIPAA.
- d. Survival. The respective rights and obligations of Business Associate under Section 6.c. of this Agreement shall survive the termination of this Agreement.
- e. Interpretation. Any ambiguity in this Agreement shall be resolved to permit both Parties to comply with the Privacy Rule, Security Rule, and/or HITECH.
- f. Relation to Underlying Services Agreements. With the exception of the terms and conditions set forth in this Agreement in regards to PHI, all other terms and conditions of the Underlying Services Agreements shall remain unaltered and in full force and effect. The obligations in this Agreement shall be subject to the terms and conditions in the Underlying Services Agreement, except to the extent there is any conflict between the terms of this Agreement and the Underlying Services Agreements, this Agreement shall govern with respect to the subject matter herein.
- g. No Third Party Rights and No Third Party Beneficiary. Nothing express or implied in this Agreement is intended to confer, nor will anything herein confer, upon any person other than the Parties and the permitted respective successors or assigns of the Parties, any right, remedies, obligations or liabilities whatsoever. The terms of this Agreement are not intended, nor should they be construed, to grant any rights to any parties other than Business Associate and Covered Entity.
- h. Governing Law. Except to the extent preempted by federal law, this BAA will be governed by and construed in accordance with the laws of the jurisdiction designated in the Underlying Services Agreement.

- i. Waiver. No provision of this Agreement shall be waived except by an agreement in writing signed by the waiving party. A waiver of any term or provision shall not be construed as a waiver of any other term or provision.
- j. Injunctive Relief. Business Associate acknowledges and stipulates that the unauthorized use or disclosure of PHI by Business Associate or its subcontractors while performing services pursuant to the Agreement or this Addendum may cause irreparable harm to Covered Entity, and in such event, Covered Entity shall be entitled, if it so elects, to institute and prosecute proceedings in any court of competent jurisdiction, either in law or in equity, injunctive relief,
- k. Judicial and Administrative Proceedings. In the event Business Associate receives a subpoena, court, or administrative order, or other discovery request or mandate for release of PHI, other than a standard medical records request/medical records subpoena, Business Associate shall notify Covered Entity of such within five (5) business days by providing a copy of such and any applicable comments.. Business Associate shall keep Covered Entity informed throughout the process of actions taken.
- l. Owner of Protected Health Information. Under no circumstances shall Business Associate be deemed in any respect to be the owner of any PHI used or disclosed by or to Business Associate pursuant to the terms of the Underlying Services Agreement.
- m. Conflicts. If there is any direct conflict between conflict between the Underlying Services Agreement and this Agreement, the terms and conditions of this Agreement shall control.

IN WITNESS WHEREOF, Covered Entity and Business Associate have executed the Business Associate Agreement on the date written below.

**STATE OF LOUISIANA
OFFICE OF GROUP BENEFITS**


By: 
Signature

Melissa Garon Mayers
Printed Name

Title: Chief Operating Officer

Date: November 9, 2020

WILLIS TOWERS WATSON

By: 
Signature

Ann Ivory
Printed Name

Title: Managing Director

Date: November 4, 2020

ATTACHMENT D: DATA SHARING AGREEMENT

This Data Sharing Agreement (“Agreement”) is entered and effective this 9th day of November, 2020, by Willis Towers Watson (“Contractor”) to establish the content, use, and protection of data containing “Personal Information” that may be provided to Contractor by Office of Group Benefits, State of Louisiana (“OGB”), in conjunction with the Contract between OGB (issued through Office of State Procurement) and Contractor wherein Contractor provides an Individual Market Medicare Exchange Broker (“IMMHRA”) for OGB’s Medicare retirees, together with administrative services for a Health Reimbursement Arrangement (“HRA”) for those retirees who utilize the Exchange (the “Data”). The shared Personal Information will be accessed through services provided by the Division of Administration, Office of Technology Services (“OTS”). OGB and other supporting State agencies and offices are collectively referred to herein as “State.” Capitalized terms not specifically defined herein shall have the definition of such term as defined in the State’s Information Security Policy.

“Personal Information” shall be defined as information provided to the Contractor by or at the direction of the State, or to which access was provided to Contractor by or at the direction of the State, or is provided by Contractor to OGB as a requirement under the referenced Contract, in the course of Contractor’s performance under the Contract that identifies or can be used to identify any individual, including but not limited to any State employee, retiree, spouse, dependent, or beneficiary. Such Personal Information shall include, but not be limited to, the following: (i) government-issued identification number, including social security number, driver’s license number, or state-issued identified number; (ii) without limitation, names, signatures, addresses, telephone numbers, e-mail addresses, and other unique identifiers; (iii) information that can be used to authenticate an individual including, without limitation, employee identification numbers, passwords or PINs, financial account numbers, credit report information, biometric or health data, answers to security questions and other personal identifiers, and (iv) past and present medical or mental health information.

Purpose of Agreement

This Agreement is entered to provide for the necessary protection of the Personal Information to be disclosed in conjunction with Contractor’s provision of the IMMhra Plan on behalf of OGB Plan Participants (the “Purpose”) so as to assure that such Personal Information will not be accessed, used, or disclosed in violation of the Constitution and laws of the State of Louisiana and/or the United States.

Responsible Party

The following named individuals are designated as their respective party’s point of contact for performance of the terms of this Agreement:

Willis Towers Watson Contact

Name: Jay McDougal
Title: Manager, Information Security
Telephone: 801-994-9159
Email: Jay.McDougal@willistowerswatson.com

OGB Contact

Name: David Couvillon
Title: Chief Executive Officer
Telephone: 225-342-9655
Email: David.Couvillon@la.gov

OTS Contact

Name: Richard (Dickie) Howze
Title: Chief Information Officer
Telephone: 225-342-7105
Email: Dickie.Howze@la.gov

Term of Agreement

This Agreement shall remain in effect for the duration of the Contract. Upon termination of the Contract for any reason, Contractor shall certify in writing to OGB that all Personal Information provided to Contractor by or on behalf of OGB has been destroyed in compliance with all applicable laws and the method of such destruction, approved in advance by OGB, or that all such Personal Information has been returned to OGB, or that other disposition has been agreed between OGB and Contractor, consistent with the Contract. The certification must include that all Data has been sanitized from Contractor's (and its subcontractors') systems in compliance with the most current revision of NIST SP 800-66. If Contractor determines, and OGB agrees in writing, that destruction or return of the Personal Information or Data is impossible or infeasible, the protections of this Agreement with respect to such Personal Information or Data shall remain in effect until such Personal Information or Data is returned or destroyed in compliance with this Agreement.

Access, Use, and Disclosure of Personal Information

Contractor acknowledges and agrees that, in the course of its engagement by the State, Contractor may receive or have access to Personal Information. Contractor shall comply with the terms and conditions set forth in this Agreement and with applicable law, should such law be more stringent, in its access, collection, receipt, transmission, storage, disposal, use, and disclosure of such Personal Information and be responsible for the unauthorized access, collection, receipt, transmission, access, storage, disposal, use, and disclosure of Personal Information it receives pursuant to this Agreement.

Contractor certifies and agrees that the Personal Information obtained from or on behalf of OGB shall be used solely for the Purpose. If Contractor utilizes any subcontractor or other such third party to perform any portion of the Contract, Contractor shall notify such third party of the requirements of this Agreement and use best efforts to ensure that such third party complies with the requirements of this Agreement.

Constraints on Access, Use, and Disclosure of Personal Information

In recognition of the foregoing, Contractor agrees and covenants that it shall: (i) keep and maintain all Personal Information in confidence, using such degree of care as is appropriate to avoid unauthorized access, use or disclosure; (ii) use and disclose Personal Information solely and exclusively for the Purpose for which the Personal Information, or access to it, is provided pursuant to the terms and conditions of this Agreement and the Contract, and not use, sell, rent, transfer, distribute, or otherwise disclose or make available Personal Information for Contractor's own purposes or for the benefit of anyone other than the State, without the State's prior written consent; and (iii) not, directly or indirectly, disclose Personal Information to any person other than the Contractor's authorized individuals who have a defined business need associated with the Purpose, including employees, officers, contractors, subcontractors, agents, or auditors, without express written consent from the State, unless and only to the extent required by government authorities. Should the Contractor be required to disclose Personal Information to government authorities, the Contractor shall use best efforts to notify the State before such disclosure or as soon thereafter as reasonably possible, unless such notification is prohibited by applicable Laws.

Contractor acknowledges and stipulates that the unauthorized access, use, or disclosure of Personal Information by Contractor or its employees, officers, contractors, or subcontractors, while performing the Purpose pursuant to this Agreement and the Contract would cause irreparable harm to OGB, and in such event, OGB shall be entitled, if it so elects, to institute and prosecute proceedings in any court of competent jurisdiction, either in law or in equity, to obtain damages and injunctive relief, together with the right to recover from Contractor costs, including reasonable attorneys' fees, for any such breach of the terms and conditions of this Agreement.

Contractor represents and warrants that its collection, access, use, storage, disposal and disclosure of Personal Information does and will comply with all applicable federal and state laws, including but not limited to, privacy and data protection laws, regulations, and directives.

Applicable Law and Venue

This Agreement shall be interpreted in accordance with the laws of the State of Louisiana. After exhaustion of any available administrative remedies, the exclusive venue of any action brought with regard to this Agreement shall be in the Nineteenth (19th) Judicial District Court, Parish of East Baton Rouge, State of Louisiana.

Information Security

Contractor shall implement administrative, physical, and technical safeguards to protect Personal Information that are no less rigorous than accepted industry practices (including, but not limited to, where applicable, NIST SP 800-111 & NIST SP 800-88), and shall ensure that all such safeguards, including the manner in which Personal Information is collected, accessed, used, stored, processed, disposed of and disclosed, comply with the terms and conditions of this Agreement, the Contract, and all applicable laws, to the extent applicable laws are more stringent.

Contractor's personnel shall comply with all security regulations in effect at the State's premises, the Information Security Policy at <http://www.doa.la.gov/Pages/ots/InformationSecurity.aspx>, and externally for materials and property belonging to the State or related to the Contract. In compliance with the State's Information Security Policy, the Contractor may request the State's Information Security Team, in writing,

to grant an exception to the Information Security Requirements established within this Agreement.

Notification of Security Events

Contractor shall implement and maintain safeguards and monitoring plans to detect unauthorized access to or use of confidential information and any attempts to gain unauthorized access to confidential information. Contractor shall notify OGB promptly and without unreasonable delay, and in no case later than thirty (30) calendar days after Contractor becomes aware of any suspected or actual event of unauthorized use or disclosure of Personal Information where the security and confidentiality of such information may have been compromised (a "Security Event"). Security Events must also be reported to Louisiana's Information Security Team by calling the Information Security Hotline at 1-844-692-8019 and emailing the security team at infosecteam@la.gov. Thereafter, Contractor shall (i) make available all applicable records, logs, files, data reporting, and other materials required by the State to determine the actual exposure of Personal Information and facilitate any breach notification obligations; (ii) use commercially reasonable efforts to prevent a recurrence of any Security Event; (iii) assist and cooperate with OGB in its investigation of the Security Event; and (iv) provide OGB with the name and contact information for an employee of Contractor who shall serve as OGB's primary security contact and shall be reasonably available to assist OGB in resolving its obligations associated with the Security Event. Contractor will provide commercially reasonable assistance to OGB, bear the cost and expense of all notifications and remediation actions, and implement any agreed upon technical remediation.

Indemnification

The Indemnification and Defense requirements stated in the Contract dated November 9, 2020, and agreed by Contractor shall apply and be in full force and effect for purposes of this Data Sharing Agreement.

Modification and Severability

No amendment or variation of the terms of this Agreement shall be valid unless made in writing and signed by the parties hereto and any other party, as required by Louisiana law. No oral understanding or agreement not incorporated in the Agreement is binding on the parties.

If any term or condition of this Agreement or the application thereof is declared invalid, such invalidity shall not affect other terms, conditions, or applications, which can be given effect without the invalid term, condition, or application; to this end, the terms and conditions of this Agreement are declared severable.

[Signatures on the following page]

By the signature of its duly authorized representative below, each party agrees to all of the provisions of this Data Sharing Agreement.

**STATE OF LOUISIANA
OFFICE OF GROUP BENEFITS**

WILLIS TOWERS WATSON

By: Melissa Garon Mayers

By: Ann Ivory

Title: Chief Operating Officer

Title: Managing Director

Signature: Melissa Callan

Signature: Ann Ivory

Date: November 9, 2020

Date: November 4, 2020

State of Louisiana, Division of Administration, Office of Technology Services

OTS, as information technology services provider for OGB, hereby acknowledges the terms contained in this Agreement, including the confidentiality and safeguarding requirements, and agrees to be bound by same.

By: Richard Howze

Title: Statewide Chief Information Officer

Signature: DocuSigned by:
Richard (Dickie) Howze
73E28E589E074C9

Date: 11/5/2020 | 11:44 AM CST



STATE of LOUISIANA
DIVISION OF ADMINISTRATION
OFFICE OF GROUP BENEFITS



Office of Group Benefits

November 20, 2020 JLCB Meeting

Buck Global, LLC

Actuarial Services Contract Amendment #4 Overview

Buck Global, LLC

Actuarial Services Contract Amendment #4 Overview

Contract Purpose	To provide actuarial services to facilitate administration and management of the health and welfare programs offered by OGB/State.
Beginning Contract Date	2/7/2018
Ending Contract Date	1/31/2021
Renewable Options Already Exercised	None
Renewable Options Remaining	24 Months
Ending Contract Date After this Amendment	1/31/2023
Original Procurement Method	Request for Proposals
Current Contract Amount	\$2,589,000
Contract Amount After this Amendment	\$4,125,000
Monthly Fees	\$64,000 Payable to Vendor

Buck Global, LLC was formerly known as Conduent HR Consulting, LLC; this was the entity which OGB first entered into a contract in 2018.

Amendment to Contract Between

**State of Louisiana
Office of Group Benefits (OGB)**

And

**Buck Global, LLC (Formerly Conduent HR Consulting, LLC)
420 Lexington Ave, Suite 2220
New York, NY 10170-2220**

CHANGE FROM:

3.1 TERM OF CONTRACT

The Contract shall become effective on February 7, 2018, and shall end on January 31, 2021. With all proper approvals and concurrence with the successful Contractor, OGB may also exercise an option to extend the Contract for up to twenty-four (24) additional months at the same rates, terms and conditions of the initial Contract term. Prior to the extension of the Contract beyond the initial thirty-six (36)-month term, prior approval by the Joint Legislative Committee on the Budget (JLCB) and/or other approval required by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the Contract amendment to the Office of State Procurement (OSP) to extend Contract terms beyond the initial three (3)-year term. The total Contract term, with extensions, shall not exceed five (5) years. The continuation of this Contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the Contract.

CHANGE TO:

3.1 TERM OF CONTRACT

The Contract's initial term shall become effective on February 7, 2018, and shall end on January 31, 2021. With all proper approvals and concurrence with the successful Contractor, OGB may also exercise an option to extend the Contract for up to twenty-four (24) additional months at the same rates, terms and conditions of the initial Contract term. Prior to the extension of the Contract beyond the initial thirty-six (36)-month term, prior approval by the Joint Legislative Committee on the Budget (JLCB) and/or other approval required by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the Contract amendment to the Office of State Procurement (OSP) to extend Contract terms beyond the initial three (3)-year term. The total Contract term, with extensions, shall not exceed five (5) years. The continuation of this Contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the Contract.

At this time, OGB is exercising its 24-month option, to extend the initial term of the Contract for twenty-four (24) months, through January 31, 2023, at the same rates, terms, and conditions as the initial Contract term, except as previously amended.

CHANGE FROM:

3.4 PAYMENT TERMS

In consideration of the services required by this Contract, OGB hereby agrees to pay to Contractor a maximum fee of Two Million Five Hundred and Eighty-Nine Thousand Dollars (\$2,589,000.00) for work performed during the initial thirty-six (36)-month term of this Contract, or a maximum fee of Sixty-Four Thousand Dollars (\$64,000.00) per month for thirty-six (36) months in addition to a one-time fee of Thirty-Five Thousand Dollars (\$35,000.00) for HIPAA compliance, audit, and training services, and five (5) monthly payments of fifty thousand dollars (\$50,000.00) that will equal a total payment in the amount of two hundred and fifty thousand dollars (\$250,000.00) for pharmacy benefit manager contract implementation services. This fee is inclusive of travel and all Contract-related expenses. Payments are predicated upon successful completion and written approval by OGB of the described services and deliverables as provided in the Contract. Contractor will not be paid more than the maximum amount of the Contract. No payments will be made by OGB on banking or State holidays.

Contractor will submit detailed monthly invoices due on the 20th of each month documenting the activities performed and the status of outstanding deliverables. Upon approval of each submitted invoice by OGB's Chief Executive Officer or designee, OGB will render payment of undisputed amounts within thirty (30) days. From September 2020 through January 2021 on the 20th of each month, the Contractor will submit five (5) additional detailed invoices for the pharmacy benefit manager contract implementation services in the amount of fifty thousand dollars (\$50,000.00) that will total two hundred and fifty thousand dollars (\$250,000.00). Upon approval by OGB's Chief Executive Officer or designee of the monthly invoices for the pharmacy benefit manager contract implementation services, OGB will render payment of undisputed amounts within thirty (30) days. Payment will be made via Electronic Funds Transfer ("EFT"), a method in which payment is sent directly from the State's bank to the Contractor's bank.

CHANGE TO:

3.4 PAYMENT TERMS

In consideration of the services required by this Contract, OGB hereby agrees to pay to Contractor a maximum fee of Four Million One Hundred Twenty-Five Thousand Dollars (\$4,125,000.00) for work performed during the initial thirty-six (36)-month term of this Contract and the additional twenty-four (24)-month extension, or a maximum fee of Sixty-Four Thousand Dollars (\$64,000.00) per month for sixty (60) months in addition to a one-time fee of Thirty-Five Thousand Dollars (\$35,000.00) for HIPAA compliance, audit, and training services, and five (5) monthly payments of fifty thousand dollars (\$50,000.00) that will equal a total payment in the amount of two hundred and fifty thousand dollars (\$250,000.00) for pharmacy benefit manager contract implementation services. This fee is inclusive of travel and all Contract-related expenses. Payments are predicated upon successful completion and written approval by OGB of the described services and deliverables as provided in the Contract. Contractor will not be paid more than the maximum amount of the Contract. No payments will be made by OGB on banking or State holidays.

Contractor will submit detailed monthly invoices due on the 20th of each month documenting the activities performed and the status of outstanding deliverables. Upon approval of each submitted

invoice by OGB's Chief Executive Officer or designee, OGB will render payment of undisputed amounts within thirty (30) days. From September 2020 through January 2021 on the 20th of each month, the Contractor will submit five (5) additional detailed invoices for the pharmacy benefit manager contract implementation services in the amount of fifty thousand dollars (\$50,000.00) that will total two hundred and fifty thousand dollars (\$250,000.00). Upon approval by OGB's Chief Executive Officer or designee of the monthly invoices for the pharmacy benefit manager contract implementation services, OGB will render payment of undisputed amounts within thirty (30) days. Payment will be made via Electronic Funds Transfer ("EFT"), a method in which payment is sent directly from the State's bank to the Contractor's bank.

Effective Date of Amendment: November 1, 2020

Justification for Amendment: To exercise the option to extend Contract for twenty-four (24) additional months available under the Contract at the same rates, terms and conditions of the initial Contract term, as previously amended. To increase maximum payable amount to \$4,125,000.00 (Four Million, One hundred and Twenty-Five Thousand Dollars).

No Amendment shall be valid until it has been executed by all parties and approved by the Office of State Procurement, Division of Administration.

All other provisions of the Contract shall remain in full force and effect. Any conflict between the Contract and this Amendment regarding the subject matters of this Amendment shall be resolved in favor of this Amendment.

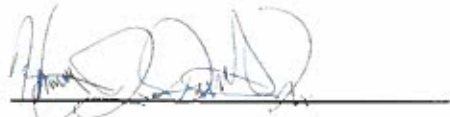
This Amendment contains or has attached hereto all revised terms and conditions agreed upon by contracting parties.

IN WITNESS THEREOF, this Amendment is signed and entered into on the date(s) stated below.

BUCK GLOBAL, LLC

**STATE OF LOUISIANA
OFFICE OF GROUP BENEFITS**

BY:



NAME: Harry Reinhart Jr.

TITLE: Central Region Managing Director

DATE: October 14, 2020

BY:



NAME: Melissa Mayers

TITLE: COO

DATE: 10/20/20

On this 27th day of April, 2018, the State of Louisiana, Office of Group Benefits, 1201 N. 3rd Street, Suite G-159, Baton Rouge, LA 70802, hereinafter sometimes referred to as the "OGB" or "State", and Conduent HR Consulting, LLC, 420 Lexington Ave., Suite 2220, New York, NY 10170-2220, hereinafter sometimes referred to as the "Contractor," do hereby enter into a Contract under the following terms and conditions.

1 SCOPE OF SERVICES

1.1 CONCISE DESCRIPTION OF SERVICES

Conduent HR Consulting, LLC shall provide actuarial services to facilitate administration and management of the health and welfare programs offered by OGB/State. These services shall include, at a minimum, all services specified in Section 1.2 and the attachments referenced therein.

1.2 STATEMENT OF WORK

The Statement of Work consists of the following and/or any subsequent addenda:

- Attachment I: Scope of Services
- Attachment II: Business Associate Addendum
- Attachment III: Records Retention Schedule
- Attachment IV: Imaging System Survey Compliance and Records Destruction
- Attachment V: OGB Satisfaction Survey Metrics
- Attachment VI: TRSL Confidentiality Agreement

1.3 GOALS AND OBJECTIVES

1. To assist OGB in providing health and welfare programs that are actuarially cost effective.
2. To establish a contract with a qualified person/firm that possesses the knowledge, skill, experience, and credentials necessary to assist OGB in administration and management of its health and welfare programs.

1.4 PERFORMANCE MEASURES

The performance of the Contract, including but not limited to Attachment I: Scope of Services, and/or any subsequent addendum including performance criteria and corresponding monetary penalties for Contractor's failure to comply with the identified criteria in Section 3.5 and Attachment I, Performance Guarantees, will be measured by the OGB Contract Supervisor. The OGB Contract Supervisor is authorized to evaluate the Contractor's performance against these criteria.

1.5 MONITORING PLAN

The Contract Supervisor will be the OGB Chief Executive Officer, who will monitor the services and performance provided by the Contractor and the expenditure of funds under this Contract. The monitoring plan is as follows:

1. The Contractor will submit various monthly, quarterly, and annual reports to the Contract Supervisor as specified in Attachment I Scope of Services.
2. The Contract Supervisor will work to ensure all deliverables are submitted timely and perform subsequent review and acceptance.
3. The Contract Supervisor will provide oversight of the implementation of the Scope of Services to ensure quality, efficiency, and effectiveness in fulfilling the goals and objectives of OGB.

1.6 PROJECT MANAGEMENT

Project Management is as follows:

- A. Account Management Team.** Contractor will provide an account management team for the duration of the engagement, including a project manager, primary actuary, and any other personnel considered key to the success of the project.
- B. Substitution of Key Personnel.** The Contractor's personnel assigned to this Contract shall not be replaced without the prior written consent of OGB/State. Such consent shall not be unreasonably withheld or delayed provided an equally qualified replacement is offered. In the event that any Contractor personnel become unavailable due to resignation, illness, or other factors, excluding assignment to projects outside this Contract, outside of the Contractor's reasonable control, as the case may be, the Contractor shall be responsible for providing an equally qualified replacement in time to avoid delays in providing services. When possible, Contractor will give OGB a minimum of sixty (60) days' advance notice of any changes in OGB's account management team, and a description of the training requirements for new team members. Reasonable exceptions would apply in situations beyond Contractor's control (i.e., resignation/termination with less than 60 days' notice). OGB reserves the right to request changes to any of the assigned personnel based on unsatisfactory performance levels as determined by OGB. Additionally, OGB will be provided with the opportunity to interview any new team member(s).
- C. Account Management Team Support.** The account management team will provide support around account strategy, issue resolution, reports and other requested projects and deliverables.
- D. Coordination with other OGB Vendor(s).** Contractor will coordinate and cooperate with OGB's other administrative services provider(s) for OGB's self-insured medical plans, pharmacy benefit manager, and other vendors as needed on integration of information to or from other service providers relative to the services addressed in this Contract, in compliance with the terms of this Contract and applicable laws.

1.7 DELIVERABLES

The Contract will be considered complete when Contractor has performed the Scope of Services and OGB has accepted all deliverables specified in the Contract.

1.8 VETERAN-OWNED AND SERVICE-CONNECTED SMALL ENTREPRENEURSHIPS (VETERAN INITIATIVE) AND LOUISIANA INITIATIVE FOR SMALL ENTREPRENEURSHIPS (HUDSON INITIATIVE) PROGRAMS REPORTING REQUIREMENTS

During the term of the Contract and at expiration, the Contractor will be required to report Veteran-Owned and Service-Connected Disabled Veteran-Owned and Hudson Initiative small entrepreneurship subcontractor or distributor participation and the dollar amount of each.

2 DEFINITIONS

Contractor – Denotes the successful Proposer who is awarded a Contract and assumes full responsibility and liability for performance for the Scope of Services and completion of the deliverables.

FSA – Denotes Fellow of the Society of Actuaries.

HIPAA – Denotes Health Insurance Portability and Accountability Act.

JLCB– Denotes Joint Legislative Committee on the Budget.

MAAA – Denotes Member of the American Academy of Actuaries.

OGB CEO– Denotes the Office of Group Benefit’s Chief Executive Officer.

OSP – Denotes Office of State Procurement.

Proposal – Denotes a response to a RFP.

Proposer – Denotes an individual or organization submitting a proposal in response to a RFP.

RFP– Denotes a Request for Proposals.

Shall, Must, Will– Denotes a mandatory requirement.

Should, May, Can– Denotes an advisable or permissible action.

State- The State of Louisiana.

3 ADMINISTRATIVE REQUIREMENTS

3.1 TERM OF CONTRACT

The Contract shall become effective on February 7, 2018, and shall end on January 31, 2021. With all proper approvals and concurrence with the successful Contractor, OGB may also exercise an option to extend the Contract for up to twenty-four (24) additional months at the same rates, terms and conditions of the initial Contract term. Prior to the extension of the Contract beyond the initial thirty-six (36)-month term, prior approval by the Joint Legislative Committee on the Budget (JLCB) and/or other approval required by law shall be obtained. Such written evidence of JLCB approval shall be submitted, along with the Contract amendment to the Office of State Procurement (OSP) to extend Contract terms beyond the initial three (3)-year term. The total Contract term, with extensions, shall not exceed five (5) years. The continuation of this Contract is contingent upon the appropriation of funds by the legislature to fulfill the requirements of the Contract.

3.2 OGB FURNISHED RESOURCES

OGB shall appoint a Contract Supervisor for this Contract. The assigned Contract Supervisor shall be the principal point of contact on behalf of OGB and will be the principal point of contact for the Contractor concerning Contractor's performance under this Contract.

3.3 TAXES AND FEES

Contractor is responsible for payment of all taxes and fees on Contractor's income, property, and entity status (i.e., permits, licenses, etc.). Contractor's federal tax identification number is 13-3954297. Contractor's Louisiana Department of Revenue (LDR) Account Number is 3846300001.

In accordance with La. R.S. 39:1624(A)(10), the Louisiana Department of Revenue must determine that the prospective contractor is current in the filing of all applicable tax returns and reports and in payment of all taxes, interest, penalties, and fees owed to the State and collected by the Department of Revenue prior to the approval of this Contract by the Office of State Procurement. Contractor hereby attests to its current and/or prospective compliance, and agrees to provide its seven-digit LDR Account Number to the contracting agency so that the prospective contractor's tax payment compliance status may be verified. The Contractor further acknowledges understanding that issuance of a tax clearance certificate by the Louisiana Department of Revenue is a necessary precondition to the approval and effectiveness of this Contract by the Office of State Procurement. The contracting agency reserves the right to withdraw its consent to this Contract without penalty and proceed with alternate arrangements should Contractor fail to resolve any identified apparent outstanding tax compliance discrepancies with the Louisiana Department of Revenue within seven (7) days of such notification.

3.4 PAYMENT TERMS

In consideration of the services required by this Contract, OGB hereby agrees to pay to Contractor a maximum fee of Two Million Three Hundred and Four Thousand Dollars (\$2,304,000.00) for work performed during the initial thirty-six (36)-month term of this Contract or a maximum fee of Sixty-Four Thousand Dollars (\$64,000) per month for thirty-six (36) months. This fee is inclusive of travel and all Contract-related expenses. Payments are predicated upon successful completion and written approval by OGB of the described services and deliverables as provided in the Contract. Contractor will not be paid more than the maximum amount of the Contract. No payments will be made by OGB on banking or State holidays.

Contractor will submit detailed monthly invoices due on the 20th of each month documenting the activities performed and the status of outstanding deliverables. Upon approval of each submitted invoice by OGB's Chief Executive Officer or designee, OGB will render payment of undisputed amounts within thirty (30) days. Payment will be made via Electronic Funds Transfer ("EFT"), a method in which payment is sent directly from the State's bank to the Contractor's bank.

3.5 PERFORMANCE GUARANTEES

Contractor agrees to provide its operational performance guarantees, as outlined in Section 1.3 of Attachment I, on an OGB-specific basis where applicable, and report OGB's results on a quarterly basis. OGB shall have the ability to modify the performance guarantees each Contract year, with the agreement of Contractor; however, twenty percent (20%) of the fees payable under the Contract will remain at risk, and the guarantees also expose Contractor to per day and per occurrence penalties for certain errors, actions, or inactions.

All guarantees must be reconciled annually and any penalties owed to OGB shall be paid as set forth in Attachment I.

Performance Guarantees: The Contractor will be subject to performance standards detailed in Attachment I, Section 1.3 - Performance Guarantees.

Audit: OGB reserves the right to audit performance guarantee reports on an annual basis. A third party may be utilized to perform this audit.

Measurement Periods: The first period to be measured shall be January 1, 2018, through December 31, 2018. The second period will be for calendar year 2019, and the third period for calendar year 2020. The fourth period, subject to the renewal option, will be for calendar year 2021, and the fifth period, subject to the renewal option, will be for calendar year 2022. If the performance guarantees are effective for less than a full calendar year, the payment amounts will be prorated for the portion of the Measurement Period.

4 TERMINATION

4.1 TERMINATION FOR CAUSE

OGB/State may terminate the Contract for cause based upon the failure of Contractor to comply with the terms and/or conditions of the Contract, provided that OGB/State shall give Contractor written notice specifying Contractor's failure. If within thirty (30) days after receipt of such notice, Contractor shall not have corrected such failure or, in the case of failure which cannot be corrected in thirty (30) days, begun in good faith to correct such failure and thereafter proceeded diligently to complete such correction, then OGB/State may, at its option, place Contractor in default and the Contract shall terminate on the date specified in such notice.

Provided Contractor is not in breach of the Contract, Contractor may exercise any rights available to it under Louisiana law to terminate for cause upon the failure of OGB/State to comply with the terms and conditions of the Contract, provided that Contractor shall give OGB/State written notice specifying OGB's/State's failure, provided the failure of OGB/State does not result from force majeure or fault of Contractor, its agents, or representatives, and further provided that Contractor shall give OGB/State a reasonable opportunity for OGB/State to cure the defect.

4.2 TERMINATION FOR CONVENIENCE

OGB/State may terminate the Contract at any time by giving thirty (30) days' written notice to Contractor of such termination or negotiating with Contractor an effective date. Contractor may terminate the Contract for convenience, at any time, by giving one hundred eighty (180) days' written notice to OGB of such termination or negotiating with OGB an effective date. In either case, Contractor shall be entitled to payment for services completed

prior to the effective date of termination and deliverables in progress, to the extent work has been performed satisfactorily.

4.3 TERMINATION FOR NON-APPROPRIATION OF FUNDS

The continuation of this Contract is contingent upon the appropriation of funds by the Louisiana Legislature to fulfill the requirements of the Contract. If the Legislature fails to appropriate sufficient monies to provide for the continuation of the Contract, or if such appropriation is reduced or eliminated by the veto of the Governor or by any means provided in the Appropriations Act of Title 39 of the Louisiana Revised Statutes of 1950 to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the Contract, the Contract shall terminate on the date of the beginning of the first fiscal year for which funds have not been appropriated.

5 LIABILITY, INDEMNIFICATION, AND DEFENSE

- (a) Contractor shall be fully liable for its own actions and the actions of its agents, employees, partners and subcontractors and shall fully protect, defend, and indemnify all State departments, including OGB, Agencies, Boards, and Commissions (collectively, the "State"), its officers, trustees, employees, servants, subcontractors, agents, and volunteers from and against any and all third-party losses, claims, demands, liabilities, suits, actions, damages, costs, fines, penalties, judgments, forfeitures, assessments, expenses, obligations (including reasonable attorneys' fees), and other liabilities of every name and description ("Claims/Costs") relating to (i) personal injury or death to any person (not including claims related to negligence in the provision of consulting services), or (ii) damages, loss, or destruction of any real or tangible property, to the extent arising out of any negligent act or omission of Contractor, its employees, agents, partners, contractors, vendors, or officers. Contractor shall not be required to indemnify for that portion of any Claims/Costs arising hereunder due solely to the negligent or intentional act or failure to act of the State.
- (b) Contractor shall further indemnify and defend State from and against any Claims/Costs arising out of or related to any violation of or failure to comply with any state or federal law, or other legal or Contract requirement, to the extent caused by Contractor, its agents, employees, partners or subcontractors. Contractor shall not be required to indemnify for that portion of any Claims/Costs arising hereunder due solely to the negligent or intentional act or failure to act of the State.
- (c) Contractor shall fully protect, defend, and indemnify, the State, OGB, its officers, trustees, employees, servants, subcontractors, agents, and volunteers ("OGB Indemnitees") from and against: (i) all penalties or fines assessed by federal or state taxing authorities resulting from, or arising out of, any negligent act or omission by Contractor in connection with its performance of this Contract (Contractor shall in no event be responsible for taxes owed by an OGB Indemnatee), and (ii) all tax liability of Contractor for which it is responsible under Section 3.3 of the Contract.
- (d) If applicable, Contractor will protect, defend, and indemnify, the State, OGB, its officers, trustees, employees, servants, subcontractors, agents, and volunteers, from and against all Claims/Costs which may be assessed against the State, OGB, its officers, trustees,

employees, servants, subcontractors, agents, and volunteers in any action for infringement of a United States Letter Patent with respect to the products furnished, or of any copyright, trademark, trade secret or intellectual property right, in relation to this Contract, provided that the State/OGB shall give Contractor: (i) prompt written notice of any action, claim, or threat of infringement suit, or other suit; (ii) the opportunity to take over, settle, or defend such Claims/Costs at Contractor's sole expense; and (iii) reasonable assistance in the defense of any such action at the expense of Contractor. Where a Claim/Cost arises relative to a real or anticipated infringement, the State, OGB, its officers, trustees, employees, servants, subcontractors, agents, and/or volunteers, may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as to such infringement claim as OGB/the State deems necessary.

- (e) In addition to the foregoing remedies for patent infringement Claims/Costs, if the use of the product, material, or service or part(s) thereof shall be enjoined for any reason or if Contractor believes that such use may be enjoined, Contractor shall have the right, at its own expense and sole discretion take action in the following order of precedence: (i) to procure for the State/OGB the right to continue using such product, material, or service or part(s) thereof, as applicable, under the same terms and conditions as provided in this Contract; (ii) to modify the product, material, or service so that it becomes a non-infringing product, material, or service of at least equal quality and performance, in State's/OGB's sole opinion; (iii) to replace the product, material, or service or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, in State's/OGB's sole opinion; or (iv) if none of the foregoing is commercially reasonable, provide monetary compensation to State/OGB.
- (f) Contractor agrees to indemnify and defend the State and OGB from all Claims/Costs to the extent arising out of Contractor's or its subcontractors' fault or negligence, including, but not limited to, any Claims/Costs relating to the failure of Contractor to provide services or fulfill obligations as specified in this Contract due to financial hardship or insolvency.
- (g) Contractor agrees to investigate, handle, respond to, provide defense for and defend any Claims/Costs for which an indemnification or defense is provided under Section 5 at its sole expense and agrees to bear all other costs and expenses related thereto, even if the claims, demands, suits, or causes of action are groundless, false or fraudulent.
- (h) The State and OGB may, in addition to other remedies available to the State, OGB, its officers, trustees, employees, servants, subcontractors, agents, and/or volunteers at law or equity and upon notice to Contractor, retain such monies from amounts due or that become due to Contractor as may be necessary to satisfy any Claims/Costs asserted by or against the State, OGB, its officers, trustees, employees, servants, subcontractors, agents, and/or volunteers, for which Contractor owes indemnification and/or defense pursuant to this Section.
- (i) Contractor's liability for direct damages shall be the greater of \$100,000.00, the dollar amount of the Contract, or two (2) times the charges for annual services rendered by the Contractor under the Contract. Neither party shall be liable to the other for the other party's special, indirect or consequential damages, including lost data or records (unless

the Contractor is required to back-up data or records as part of the work plan), even if the party has been advised of the possibility of such damages. Neither party shall be liable for the other party's lost profits, lost revenue or lost institutional operating savings. The foregoing limitations shall not apply to Contractor's indemnity obligations in Sections 5(a), (c), (d) and (e) above, or the performance guarantee penalties in Attachment I. Contractor is not responsible for funding any Plan, notwithstanding any provision in this Agreement.

- (j) **OGB DATA.** To enable Contractor to perform the Services, OGB will promptly provide Contractor with such direction, materials, information, data and access to its representatives as Contractor reasonably requests. If Contractor receives inaccurate, incomplete or improperly formatted information, Contractor shall have no liability for relying on the same, and any additional time and expense required to correct the information will be billed to and paid by OGB as additional Services; provided that, the foregoing shall not apply to inaccurate information which should have been identified by Contractor during a reasonable review performed under the terms of this Contract.

6 FORCE MAJEURE

Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. Whether a delay or failure results from a force majeure is ultimately determined by the State based on a review of all facts and circumstances. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under Contract.

7 CONTRACT CONTROVERSIES

Any claim or controversy arising out of the Contract shall be resolved by the provisions of La. R.S. 39:1672.2-1672.4.

8 FUND USE

Contractor agrees not to use Contract proceeds to urge any elector to vote for or against any candidate or proposition on an election ballot, nor shall such funds be used to lobby for or against any proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority. This provision shall not prevent the normal dissemination of factual information relative to a proposition on any election ballot or a proposition or matter having the effect of law being considered by the Louisiana Legislature or any local governing authority.

9 ASSIGNMENT

Contractor shall not assign any interest in this Contract by assignment, transfer, novation, or otherwise without prior written consent of the State. This provision shall not be construed to prohibit Contractor from assigning to a bank, trust company, or other financial institution any money due or to become due from approved contracts without such prior written consent. Notice of any such assignment, transfer, or novation shall be furnished promptly to the State.

10 RIGHT TO AUDIT

The State Legislative Auditor, federal auditors, internal auditors of the Division of Administration and its designated agents, the State, OGB, or others so designated by the

State/OGB shall be entitled to audit all accounts, procedures, matters, and records of any Contractor or subcontractor under any negotiated Contract or subcontract directly pertaining to the Contract for a period of five (5) years after final payment under the Contract and by the subcontractor for a period of five (5) years from the date of final payment under the subcontract or such longer period as required by applicable state and federal law. Records, including direct read access to databases and all tables, shall be made available during normal business hours for this purpose.

The State has the right to hire an independent third-party auditor, if the State deems necessary, to review all accounts, procedures, matters, and records, and Contractor and/or subcontractor shall provide access to all files, information system access, and space access upon request of the State for the third-party auditor selected to perform the indicated audit.

In the event that an examination of records results in a determination that previously paid invoices included charges which were improper or beyond the scope of the Contract, Contractor agrees that the amounts paid to the Contractor shall be adjusted accordingly, and that the Contractor shall within thirty (30) days of notification of such finding issue a remittance to the State of any payments declared to be improper or beyond the scope of the Contract. In combination therewith, or alternatively, the State may offset the amounts deemed improper or beyond the scope of the Contract against Contractor's outstanding invoices, if any.

10.1 RECORD OWNERSHIP

All records, reports, documents, or other material related to this Contract, delivered or transmitted to the Contractor by the State or its employees, agents, or authorized vendors, and/or obtained or prepared by Contractor or its subcontractors in connection with the performance of the services under the Contract, shall become records of the State and are referred to herein as "Records."

Contractor agrees to retain all Records in accordance with all Louisiana and federal laws and regulations. Further, Contractor agrees to retain all Records in accordance with OGB's official retention schedules (the "Schedules"), Attachment III, until such time as the Records are returned to the State or other disposition is agreed. In the event the applicable law and the Schedules contain different retention periods, the Records shall be kept for the longer period; provided, however, that in the event Contractor's retention schedule for electronic backup media (6 years) is longer than that specified in Attachment III or by applicable law, such archival copies will be retained for the longer period. Records shall be in a format and media as required by law or as agreed upon by the parties in writing if allowed by applicable law. The Schedules in place as of the effective date of this Contract are contained in Attachment III, Records Retention Schedule, and may be amended from time to time as deemed necessary by the State. To further ensure compliance with the Schedules and Louisiana retention laws and rules, Contractor agrees to abide by the processes outlined in Attachment IV, Imaging System Survey Compliance and Records Destruction. Contractor shall return the Records to the State, at Contractor's expense, within seven (7) days of request or in the specific instance of termination or expiration of the Contract, within sixty (60) days after the termination or expiration of this Contract, and shall retain no copies of the Records unless required by applicable law; provided, the confidentiality and security requirements of this Contract shall apply to such Records as long as retained by the

Contractor. Additionally, all State Records and data must be sanitized from Contractor's (and its vendors') systems in compliance with the most current revision of NIST SP 800-66.

10.2 CONTRACTOR'S COOPERATION

Contractor has the duty to fully cooperate with the State and provide any and all requested information, documentation, or other such requested support to the State when requested. This applies even if the Contract is terminated and/or litigation ensues. Specifically, Contractor shall not limit or impede OGB's right to audit, or withhold Records.

11 CONTRACT MODIFICATIONS

No amendment or variation of the terms of this Contract shall be valid unless made in writing, signed by the parties, and approved as required by applicable laws. No oral understanding or agreement not incorporated in the Contract shall be binding on any of the parties.

12 CONFIDENTIALITY OF DATA

All financial, statistical, personal, technical, and other data and information relating to the State's operation or the Contract which are made available to the Contractor in order to carry out this Contract, or which become available to the Contractor in carrying out this Contract, shall be protected by the Contractor from unauthorized use and disclosure through the observance of the same or more effective security and procedural requirements as are applicable to OGB and the State. The Contractor shall not be required under the provisions of this paragraph to keep confidential any data or information, other than protected health information, which is or becomes publicly available through no fault of Contractor or its subcontractors, vendors, agents, or employees, is already rightfully in the Contractor's possession, is independently developed by the Contractor outside the scope of the Contract, or is rightfully obtained from third parties without breach of the Contract.

Under no circumstance shall the Contractor discuss and/or release information to the media concerning this Contract or any participant without prior express written approval of the OGB CEO or his/her delegee.

12.1 DUTIES TO MONITOR AND REPORT SECURITY EVENTS OR UNAUTHORIZED RELEASE, USE OR RELEASE OF INFORMATION

The Contractor and its subcontractors/vendors shall maintain safeguards and take commercially reasonable technical, physical, and organizational/administrative precautions to ensure that the State's data is protected from unauthorized access, use, and disclosure, in accordance with the State's current and published Information Security Policy found at <http://www.doa.la.gov/OTS/InformationSecurity/InformationSecurityPolicy-LA-v.1.0.pdf>.

The Contractor and its subcontractors/vendors shall implement and maintain monitoring plans to detect unauthorized access to or use of confidential information and any attempts to gain unauthorized access to confidential information. The Contractor and its subcontractors/vendors shall provide the Contract Supervisor with immediate notification (not more than twenty-four (24) business hours) of the Contractor's awareness of any Security Event, as defined in the Information Security Policy ("Security Event") involving confidential information under this Contract and also report such Security Event to

Louisiana's Information Security Team at 1.844.692.8019 (open 24 hours a day, 7 days a week) as soon as feasibly possible, not to exceed 48 hours following discovery of the Security Event. The reference to Security Event herein may include, but not be limited to, the following: attempts at gaining unauthorized access to confidential information or the unauthorized use of a system for the processing or storage of confidential information, or the unauthorized use or disclosure, whether intentional or otherwise, of confidential information; provided, however, that the term "Security Event" shall not include inconsequential events such as scans, "pings" or other clearly unsuccessful attempts to penetrate computer networks or servers containing information maintained by Contractor.

In the event of unauthorized access to or disclosure of information, the Contractor shall consult with the State regarding the necessary steps to address the factors giving rise to the Security Incident and to address the consequences of such Security Event. Contractor shall also provide assistance performing a risk assessment of any Security Event that occurs, if requested by the State.

Nothing in this Contract shall be deemed to affect or limit any rights an individual participant may have under any applicable state or federal law concerning privacy rights or the unauthorized access, use, or disclosure of protected health information.

12.2 THIRD PARTY REQUESTS FOR RELEASE OF INFORMATION

Should third parties request the Contractor to submit confidential information to them pursuant to an audit or other request not initiated by the Contractor, public records request, subpoena, summons, search warrant or governmental order, the Contractor will notify the State immediately upon receipt of such request. Notice shall be forwarded via e-mail to the Chief Executive Officer of OGB. The Contractor shall cooperate with the State with respect to defending against any such requested release of information or obtaining any necessary judicial protection against such release if, in the opinion of the State, the information contains confidential information which should be protected against such disclosure. The reasonable legal fees and related expenses incurred by the Contractor or its subcontractor in resisting the release of information under this provision shall constitute reimbursable expenses under this Contract.

Legal service fees of law firms engaged pursuant to this Section may not be "marked up" (i.e., invoiced cost-plus) by the Contractor.

13 SUBCONTRACTORS

The Contractor may enter into subcontracts with third parties for the performance of any part of the Contractor's duties and obligations, with the express prior written approval of the OGB CEO or his/her delegee. In no event shall the existence of a subcontract operate to release or reduce the liability of the Contractor to the State for any breach or deficiency in the performance of the Contractor's duties. The Contractor will be the single point of contact for all subcontractor/subvendor work. The Contractor shall require subcontractors/subvendors who are performing any key internal control to undergo independent assurance project/program review.

14 COMPLIANCE WITH LAWS

The Contractor must comply with all applicable laws while providing services under this Contract. Specifically, Contractor agrees to abide by the requirements of the following as applicable: Title VI and Title VII of the Civil Rights Act of 1964, as amended by the Equal Opportunity Act of 1972, Federal Executive Order 11246, the Federal Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Act of 1975, and the Americans with Disabilities Act of 1990.

Contractor agrees not to discriminate in its employment practices, and will render services under this Contract without regard to race, color, religion, sex, national origin, veteran status, political affiliation, or disabilities. Any act of discrimination committed by Contractor or its subcontractors, or failure to comply with these statutory obligations when applicable, shall be grounds for immediate termination of this Contract.

15 INSURANCE

Contractor's Insurance: The Contractor shall not commence work under the Contract until it has obtained all insurance required herein, and Contractor shall maintain the required insurance for the duration of the Contract or as further indicated herein. The date of the inception of the policy must be no later than the first date of anticipated work under the Contract. Certificates of Insurance shall be filed with the State for approval. If so requested, the Contractor shall also submit copies of insurance policies for inspection and approval of the State before work is commenced. Contractor must provide the State thirty (30) days' prior written notice of any cancellation or reduction in coverage for any such insurance. Any such cancellation or reduction in coverage, if not approved in advance, may result in termination of the Contract.

Workers' Compensation Insurance: Before any work is commenced, Contractor must have in place and shall maintain during the life of the Contract, Workers' Compensation Insurance for all of Contractor's employees and other persons for whom Contractor is required to provide Workers' Compensation Insurance under applicable law. In case any work is sublet, Contractor shall require the subcontractor similarly to provide Workers' Compensation Insurance for all the latter's employees, unless such employees are covered by the protection afforded by the Contractor. Workers' Compensation Insurance shall be in compliance with the Workers' Compensation law of the state of the Contractor's headquarters. Employer's Liability Insurance shall be included with a minimum limit of \$1,000,000 per accident/per disease/per person.

Workers' Compensation Indemnity: In the event Contractor is not required to provide or elects not to provide workers' compensation coverage, the parties hereby agree that Contractor, its owners, agents, and employees will have no cause of action against, and will not assert a claim against, the State of Louisiana, its departments, agencies, agents and employees as an employer, whether pursuant to the Louisiana Workers' Compensation Act or otherwise, under any circumstance. The parties also hereby agree that the State of Louisiana, its departments, agencies, agents and employees shall in no circumstance be, or considered as, the employer or statutory employer of Contractor, its owners, agents, and employees. The parties further agree that Contractor is a wholly-independent contractor and is exclusively responsible for its employees, owners, and agents. Contractor hereby agrees to protect, defend, and indemnify the State of Louisiana, its departments, agencies, agents, and

employees from any such assertion or claim that may arise from the performance of this Contract.

Commercial General Liability Insurance: Contractor shall maintain during the life of the Contract such Commercial General Liability Insurance, including Personal and Advertising Injury Liability, which shall protect it, and the State, its officers, trustees, employees, servants, and/or agents, from losses, claims, demands, liabilities, suits, actions, damages, costs, fines, penalties, judgments, forfeitures, assessments, expenses, obligations (including attorneys' fees), and other liabilities relating to personal injury, general negligence, violation of or failure to comply with any state or federal law, regulation, or other legal mandate, and damage to real or personal tangible property to the extent caused by Contractor, its employees, officers, agents, partners or subcontractors, and which may arise from operations or services under the Contract, whether such operations or services be by Contractor or by a subcontractor, or by anyone directly or indirectly employed or procured by either of them, or in such manner as to impose liability on the State, its officers, trustees, employees, servants, and/or agents. Such insurance shall name the State of Louisiana, its officers, trustees, employees, servants, and agents as additional insureds. The amount of coverage shall be as follows: Commercial General Liability insurance, including Personal and Advertising Injury Liability, with policy limits of not less than \$1,000,000 per occurrence and \$2,000,000 in the aggregate, and Umbrella Liability insurance, with policy limits of not less than \$5,000,000 per occurrence and \$10,000,000 in the aggregate.

The Insurance Services Office (ISO) Commercial General Liability occurrence coverage form CG 00 01 (or current form approved for use in Louisiana), or equivalent, is to be used in the policy. Claims-made form is unacceptable.

Professional Liability (Errors & Omissions) Insurance: Contractor shall maintain professional liability insurance, which covers the professional errors, acts, or omissions of the Contractor, with minimum policy limit of \$1,000,000 for the purpose of providing coverage for claims arising out of the performance of its services under this Contract. Claims-made coverage is acceptable. Coverage shall be provided for the duration of the Contract and shall have an expiration date no earlier than thirty (30) days after the anticipated completion of the Contract. The policy shall provide an extended reporting period of not less than twenty-four (24) months, with full reinstatement of limits, from the expiration date of the policy, if the policy is not renewed.

Cyber Liability Insurance: Contractor shall have in place before commencing work under the Contract and maintain during the life of the Contract and for the extended reporting period herein, cyber liability insurance, including first-party costs, for any data breach that compromises the State's confidential data with a minimum policy limit of \$10,000,000 for the purpose of providing coverage for claims arising out of the performance of its services under this Contract. Claims-made coverage is acceptable. Coverage shall be provided for the duration of this Contract and shall have an expiration date no earlier than thirty (30) days after the anticipated completion of the Contract. The policy shall provide an extended reporting period of not less than twenty-four (24) months from the expiration date of the policy, if the policy is not renewed. The policy shall not be cancelled for any reason, except non-payment of premiums.

Owned, Non-Owned and Hired Motor Vehicles: Contractor shall maintain during the life of the Contract, Automobile Liability Insurance in an amount not less than combined single limits of \$1,000,000 per occurrence for bodily injury/property damage. ISO form number CA 00 01 (or current form approved for use in Louisiana), or equivalent, is to be used in the policy. Such insurance shall cover and include third-party bodily injury and property damage liability for any owned, non-owned, and hired motor vehicles engaged in operations within the terms of the Contract, unless such coverage is included in insurance elsewhere specified.

Subcontractor's Insurance: Contractor shall include all subcontractors performing work required by this Contract as insureds under its policies OR shall be responsible for verifying and maintaining the Certificates of Insurance provided for any and all subcontractors, which are not protected under the Contractor's own insurance policies, of the same nature and in the same amounts as required of Contractor. Subcontractors shall be subject to all of the requirements stated herein. The State reserves the right to request copies of subcontractor's Certificates of Insurance at any time.

Deductibles and Self-Insured Retentions: Any deductibles or self-insured retentions must be declared to and accepted by the State. The Contractor shall be responsible for all deductibles and self-insured retentions.

Other Insurance Provisions: The policies are to contain, or be endorsed to contain, the following provisions:

1. General Liability and Automobile Liability Coverages

- a. The State, OGB, its officers, agents, employees, and volunteers shall be named as an additional insured as regards negligence by the Contractor. ISO Form CG 20 10 (or current form approved for use in Louisiana), or equivalent, is to be used when applicable. The coverage shall contain no special limitations on the scope of protection afforded to the State.
- b. The Contractor's insurance shall be primary as respects the State, OGB, its officers, agents, employees, and volunteers. Any insurance or self-insurance maintained by the State/OGB shall be excess and non-contributory of the Contractor's insurance.
- c. Any failure of the Contractor to comply with reporting provisions of the policy shall not affect coverage provided to the State/OGB, its officers, agents, employees, and volunteers.
- d. The Contractor's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the policy limits.

2. Workers' Compensation and Employer's Liability Coverage

The insurer shall agree to waive all rights of subrogation against the State/OGB, its officers, agents, employees, and volunteers for losses arising from work performed by the Contractor for the State/OGB under the Contract.

3. All Coverages

- a. Coverage shall not be cancelled, suspended, or voided by either the Contractor or the insurer or reduced in coverage or in limits, except after 30 days' written notice

has been given to the OGB/State. Ten-day written notice of cancellation is acceptable for non-payment of premium. Notifications shall comply with the standard cancellation provisions in the Contractor's policy.

- b. Neither the acceptance of the completed work nor the payment thereof shall release the Contractor from the obligations of the insurance requirements or indemnification agreement.
- c. The insurance companies issuing the policies shall have no recourse against the OGB/State for payment of premiums or for assessments under any form of the policies.
- d. Any failure of the Contractor to comply with reporting provisions of the policy shall not affect coverage provided to the State/OGB, its officers, agents, employees, and volunteers.

Acceptability of Insurers: All required insurance shall be provided by a company or companies lawfully authorized to do business in the jurisdiction(s) in which the Project is performed. Insurance shall be placed with insurers with a A.M. Best's rating of A-:VI or higher. This rating requirement may be waived for worker's compensation coverage only.

If at any time an insurer issuing any such policy does not meet the minimum A.M. Best rating, the Contractor shall obtain a policy with an insurer that meets the A.M. Best rating and shall submit another Certificate of insurance as required in the Contract.

Verification of Coverage: Contractor shall furnish the OGB/State with Certificates of Insurance reflecting proof of required coverage. The Certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The Certificates are to be received and approved by the OGB/State before work commences and upon any Contract renewal thereafter.

In addition to the Certificates, Contractor shall submit the declarations page and the cancellation provision endorsement for each insurance policy. The OGB/State reserves the right to request complete certified copies of all required insurance policies at any time.

Upon failure of the Contractor to furnish, deliver, or maintain such insurance as above provided, the Contract, at the election of the OGB/State, may be suspended, discontinued, or terminated. Failure of the Contractor to purchase and/or maintain any required insurance shall not relieve the Contractor from any liability or indemnification under the Contract.

16 APPLICABLE LAW

This Contract shall be interpreted by and enforced in accordance with the laws of the State of Louisiana, including but not limited to La. R.S. 39:1551-1736 (Louisiana Procurement Code, as applicable). After exhaustion of any available administrative remedies, the exclusive venue of any action brought with regard to this Contract shall be in the Nineteenth (19th) Judicial District Court, Parish of East Baton Rouge, State of Louisiana.

17 CODE OF ETHICS

Contractor acknowledges that Chapter 15 of Title 42 of the Louisiana Revised Statutes (La. R.S. 42:1101, *et. seq.*, Code of Governmental Ethics) applies to the contracting parties in the performance of services called for in this Contract. Contractor agrees to immediately notify

the OGB's CEO if violations or potential violations of the Code of Governmental Ethics by or through Contractor or its subcontractors/subvendors under this Contract arise at any time during the term of this Contract.

18 SEVERABILITY

If any term or condition of this Contract or the application thereof is held invalid, such invalidity shall not affect other terms, conditions, or applications which can be given effect without the invalid term, condition, or application; to this end, the terms and conditions of this Contract are declared severable.

19 INDEPENDENT ASSURANCES

Unless Contractor has a Service Organization Control ("SOC") 2, Type II report resulting from an independent annual SSAE 16 engagement of the operations (which Contractor shall produce to OGB if applicable), the Contractor must complete and submit a Third Party Information Security Questionnaire annually for review and consideration by the State. The State reserves the right to conduct an onsite audit to validate the information provided.

The cost of such independent assurances, where applicable, or the completion of the Questionnaire, will be borne solely by Contractor. Such independent assurances, where applicable, or the Questionnaire, shall be performed at least annually during the term of the Contract. Contractor shall implement reasonable recommendations as suggested by the program review, State's Third Party Information Security Questionnaire, and/or audit, within three (3) months of report issuance and at no cost to the State.

20 NOTICE

Any notice required or permitted by this Contract, unless otherwise specifically provided for in this Contract, shall be in writing and shall be deemed given upon receipt following delivery by: (i) an overnight carrier or hand delivery to the State/OGB; or, (ii) registered or certified mail return receipt requested, and addressed as follows:

To Conduent HR Consulting, LLC: Mr. Brian Stitzel
Conduent HR Consulting, LLC
500 Plaza Drive
Secaucus, NJ 07096

With a copy to: Conduent Law Department
Conduent HR Consulting, LLC
420 Lexington Ave., Suite 2220
New York, NY 10170-2220

To OGB: Mr. Tommy Teague, CEO
Office of Group Benefits
Post Office Box 44036
Baton Rouge, LA 70804

Or

Mr. Tommy Teague, CEO
Office of Group Benefits
1201 N. 3rd Street, Suite G-159
Baton Rouge, LA 70802

The U.S. Postal Service does not make deliveries to OGB's physical location.

At any time, either party may change its addressee and/or address for notification purposes by mailing a notice stating the change and setting forth the new address.

21 HEADINGS

Descriptive headings in this Contract are for convenience only and shall not affect the construction or meaning of Contractual language.

22 ENTIRE AGREEMENT

This Contract, together with the RFP and addenda issued thereto by the State, the Proposal submitted by the Contractor in response to the applicable RFP, and any exhibits incorporated herein by reference, shall constitute the entire agreement between the parties with respect to the subject matter hereof.

23 ORDER OF PRECEDENCE

In the event of any inconsistent or incompatible provisions, this signed Contract (excluding the RFP and the Contractor's Proposal) shall take precedence, followed by the provisions of the RFP, and then by the terms of the Contractor's Proposal.

24 BUSINESS ASSOCIATE ADDENDUM AND CONFIDENTIALITY AGREEMENT

A Business Associate Addendum, Attachment II, and the TRSL Confidentiality Agreement, Attachment VI, shall be executed between the parties to this Contract to protect the privacy and provide security of Protected Health Information ("PHI") in compliance with the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), and regulations promulgated thereunder, as amended from time to time.

OGB is a "Covered Entity" under HIPAA/HITECH. For the purposes of this Contract, Contractor is deemed to be a "Business Associate" of OGB as such term is defined by HIPAA and regulations promulgated thereunder, including in the Privacy Standard of the Federal Register, published on December 28, 2000, and the parties have executed a Business Associate Addendum and the TRSL Confidentiality Agreement, attached to this Contract as Attachments II and VI, respectively, and made a part of this Contract. The parties understand and agree that if additional agreements are required to be compliant as required under HIPAA, applicable laws, or third-party requirements, the parties will execute such reasonable agreements in a timely manner. Contractor agrees that its processes, systems, and reporting will be in full compliance with federal and state requirements, including but not limited to HIPAA, throughout the term of the Contract. Any fines or penalties imposed on any party related to Contractor's or its subcontractors' non-compliance will be the sole responsibility of

Contractor. Contractor shall require its subcontractors' and any other vendors' processes, systems, and reporting to be in full compliance with federal and state requirements, including but not limited to HIPAA. Further, Contractor agrees that its organization, and that it requires that its subcontractors/vendors, will comply with all HIPAA regulations throughout the term of the Contract with respect to any issue related to the OGB Contract, plans, or participants involving PHI, including but not limited to participant services, complaints, appeals determinations, notification of rights, and confidentiality. Contractor shall require that all agreements with subcontractors/subvendors include the provisions of this Section and any Attachments referenced herein. OGB shall be provided copies of such subcontractor/subvendor agreements upon request.

Notwithstanding any provision to the contrary, major delegated functions involving PHI, including but not limited to claims processing, customer service, and any other services as provided by applicable laws, shall not be sourced outside of the territorial and jurisdictional limits of the fifty (50) United States of America.

25 CONTRACTOR ELIGIBILITY

At the time of execution, Contractor, and each tier of subcontractors, certifies that it is not on the List of Parties Excluded from Federal Procurement or Non-procurement Programs promulgated in accordance with Executive Orders 12549 and 12689, "Debarment and Suspension" as set forth in 24 CFR Part 24. Contractor has a continuing obligation to disclose any suspensions, debarment, or investigations by any government entity, including but not limited to General Services Administration (GSA). Failure to disclose may constitute grounds for suspension and/or termination of the Contract and debarment from future contracting opportunities.

26 CONTINUING OBLIGATIONS

Notwithstanding any provisions to the contrary herein, upon the termination of this Contract for any reason, the provisions of this Contract which by their nature require some action or forbearance after such termination, including but not limited to confidentiality, PHI, reporting, indemnity, insurance, records retention, and performance guarantees, shall survive such termination and be binding until any actions, obligations, and/or rights provided therein have been satisfied or released.

27 TRANSITION OF SERVICES AND DATA

Contractor shall comply with the provisions of this Contract, and other requests of OGB/State, to accomplish a timely transition of services without interruption of services to participants. During any such transition, Contractor will provide all of the same Records and data in the same format as provided during the term of the Contract, to OGB/State or its designee. Contractor further agrees that no dispute or objection it may have regarding the propriety of any transition of services by OGB/State will relieve Contractor of these obligations.

THUS DONE AND SIGNED on the date(s) noted below:

STATE OF LOUISIANA,
OFFICE OF GROUP BENEFITS

BY: Tommy Teague

NAME: Tommy Teague

TITLE: Chief Executive Officer

DATE: 4/27/18

CONDUENT HR CONSULTING, LLC

BY: Brian Stitzel

NAME: Brian Stitzel

TITLE: Global Health Practice Leader

DATE: 4/27/2018

ATTACHMENT I: SCOPE OF SERVICES

Overview

Contractor shall provide competent and qualified staff to work on the scope of services under the Contract. All non-actuaries must work under the supervision of the primary actuary to perform actuarial-related tasks and/or services.

1.1 Tasks and Services

The Contractor will be responsible for successfully transitioning (in conjunction with OGB and the incumbent contractor) to being the Contractor responsible for completing all required services. The entities for which OGB provides health and welfare programs or who provide data to OGB in order to provide those programs may require that the Contractor enter into a confidentiality agreement or other similar agreement as relates to the sharing of certain data needed to perform the services described herein.

The Contractor will be responsible for ensuring the accuracy, timeliness, and completion of all tasks assigned under the Contract. OGB reserves the right to modify or delete the scopes and services listed and, if appropriate, add additional tasks and services prior to and during the term of the Contract, subject to the approval of the OGB CEO, Office of State Procurement, and any other approval required by applicable laws, provided that any expansion in the scope of services will require Contractor's agreement in writing regarding the expanded scope and applicable fees.

The Contractor shall perform the following tasks and services:

Task (1): Implementation/Transition of Services

- Work with OGB and incumbent contractor to transfer competencies and operational expertise.
- Possess appropriate software and/or system(s) to accept electronic claims data from the health plan third-party medical claims administrator, pharmacy benefits manager and/or any other vendor specified by OGB.
- Facilitate system programming including, but not limited to, data collection from OGB; file transfer set-up between OGB and Contractor; and data transfer and mapping. If Contractor requires file mapping and/or subsequent updates, this service will be provided by Contractor at no additional cost to OGB. **All files must be sent electronically using Secure File Transfer Protocol ("SFTP") and encrypted using Pretty Good Privacy ("PGP") with an annually expiring key.**
- Ensure successful and timely completion of all tasks necessary to begin performance of the Contract.

Task (2): Projections

- Prepare forecasts of expected claims, develop overall health and pharmacy trends, estimate incurred but not reported ("IBNR") amounts to assure OGB has established adequate reserves each fiscal year and estimate the present value of current reserves for claims.

- As required, model plan costs and be prepared to: 1) Aggregate and sort data into meaningful analysis categories for the purposes of assessing total health plan costs as well as employer and employee contributions; 2) Provide actuarially-based projections for plan alternatives under variable enrollment assumptions, contribution strategies and benefit design changes; and/or 3) Evaluate the cost impact of changes in plan design and recommend cost-saving changes.
- Analyze the impact of the Patient Protection and Affordable Care Act, the American Health Care Act, or any such proposed or actual comparable or replacement Act on health plan costs and benefit requirements.
- Monitor actual income and expenses against projections and advise OGB of any significant variations. Contractor will also advise OGB on the establishment of alternate mechanisms to equalize the risks and/or costs among the plans offered.
- Prepare cost projections for annual premium rate adjustments and/or fiscal impact of benefit modifications.

Task (3): Premium Rate Setting

- Develop actuarially-sound rates for health plan benefits and alternative plan options annually.
- Review the adequacy of contribution rates in relation to all expenses of the health plan.
- Provide assistance concerning the health plan rate-setting methodology to OGB as necessary. Assistance includes, but may not be limited to, statistical data analysis and litigation support if it involves rates or rate setting. All reports and rate setting methodologies are subject to approval.
- Assist in answering any and all rate-related questions.
- Calculate rates for all classes of coverage and rate tiers for employees, retirees, and COBRA beneficiaries using the contribution allocations authorized by law.
- Provide actuarial consultation on pharmacy plan and utilization and formulary plan design.
- Incorporate federal requirements, including but not limited to those required by the Patient Protection and Affordable Care Act as well as any other applicable proposed or final federal laws and regulations, into health plan rates, and assist OGB in reporting and reconciliation of such requirements.
- Provide premium risk rates for incoming entities initially and for subsequent participating years, as needed. If necessary, develop separate premiums for incoming entities if risk rating does not warrant use of current OGB premiums.

Task (4): Risk Adjustments

- Assist in the development and ongoing implementation of a risk adjustment methodology for measuring and tracking the health of the population over periods of time.

- Develop initial individual risk scores based on the health recipients using the diagnostic data captured within the relevant claims and encounter data.
- Develop risk plan scores based on the individual risk scores and enrollment data to assign plan participants to the appropriate plan.
- Provide periodic updates of risk adjustments.

Task (5): Data Quality

- Perform reasonable reliability and validity checks not requiring an audit on all health rate development data provided by OGB and its benefit administrators. Contractor will work directly with OGB and its benefit administrators to collect the data and provide guidance to assist in resolving any reliability and validity problems identified.
- Provide an actuarial analysis of claims encounter data collected from OGB and/or its benefit administrators.
- Evaluate and validate encounter data completeness for risk adjustment calculation purposes.

Task (6): Audits

- Perform annual performance audits of selected contractor(s) deliverables as defined by OGB, such as the health plan third-party administrator and pharmacy benefit manager, to include, but not limited to: 1) Rebates – review and compare estimated and gross rebates received to the actual paid amounts to OGB; 2) Network – review actual reimbursements against contractual discounts; and 3) Claims – review actual claims paid and compare to the benefit plan to ensure benefits are paid according to the plan design.

Task (7): Reporting

- Prepare quarterly Medical Expense Review (MER) reporting that details cost trends within the plan(s), identifies the causes, and provides detailed financial analysis of each recommended corresponding corrective action. Corrective actions shall be made available within fifteen (15) calendar days of such request. Additionally, MER reporting shall consist of detailed spending trend and run rate details by health care delivery service line.
- Prepare an Other Post-Employment Benefit (“OPEB”) valuation in accordance with Governmental Accounting Standards Board (GASB) 75 and any other liability disclosures as necessary. This includes, but not limited to, the valuation for the net OPEB obligation, deferred inflow and outflow of resources related to OPEB, the valuation information required for the GASB 75 note disclosures, and required supplemental information. The reporting format must be approved by the Office of Statewide Reporting & Accounting Policy (“OSRAP”).
- Provide reports, data sets, analysis, and documents relevant to the rate-setting process and calculations in the format(s) specified by OGB at the time of request. Contractor shall be required to accept and process electronic documents and files in the electronic media format(s) specified by OGB.

- Review pending legislation and provide fiscal and operational impact reporting. To the extent possible, the fiscal and operational impact reporting should include commentary on the impact of the proposed legislation on the administration of the self-funded health plans. Typically, this type of analysis must be completed within forty-eight (48) hours of request.
- Develop and provide ad hoc reports as deemed necessary by OGB.

Task (8): Participation in Meetings and Work Groups

- Attend up to twelve (12) board meetings annually in Baton Rouge, Louisiana.
- Participate in legislative committees meetings, estimating conferences, and/or any other meetings requested by OGB. Meetings may be scheduled on very short notice and will be onsite in Baton Rouge, Louisiana.
- Available either in person or by phone daily Monday through Friday between the hours of 7:30 AM and 6:00 PM CST to discuss actuarial matters and any other pressing issues.
- Available in person within seventy-two (72) hours of such request, seven (7) days a week, during any State Legislative Session to discuss and testify on actuarial matters and any other pressing issues.

Task (9): Procurement

- Assist OGB in the development of documentation needed for competitive procurements, benefit designs, contracts, return on investment (“ROI”) projections, budget projections for new programs and existing programs, in accordance with applicable law and regulations.
- Analyze financial data (i.e., calculation of discount savings and rebates, claims re-pricing, etc.), benefit designs, and/or network disruption submitted in response to competitive procurements.

Task (10) General Services

- Evaluate OGB’s compliance with the Patient Protection and Affordable Care Act and any other applicable proposed and final federal and state laws and regulations.
- Provide actuarial opinions and testimony on reimbursement methodology and benefit plans that have actuarial value in accordance with the principles and guidelines of the American Academy of Actuaries.
- Provide annual innovative strategic recommendations on plan administration and plan design to mitigate rising costs and maintain member value.
- Provide rate certification, benchmark letters, and related documents as prescribed by OGB.
- Provide supporting documentation, formulas, explanations, and offer mechanisms to verify the accuracy of analyses, reporting, and/or tasks performed as requested by OGB.

- Work with any successor appointed by OGB to successfully transition all non-proprietary data, methodologies, documentation, and ongoing projects.
- Provide other related assistance as requested by OGB.

1.2 Deliverables

The Contractor shall provide the following deliverables:

- Prepare and submit an assessment report of OGB's plans within thirty (30) calendar days of the effective date of the contract and as requested by OGB thereafter. The format and content of report are subject to OGB approval.
- Prepare and submit Medical Expense Review (MER) reporting that details cost trends within the plan(s), identifies the causes, and provides detailed financial analysis of each recommended corresponding corrective action within thirty (30) calendar days after the close of each quarter. Additionally, MER reporting shall also consist of detailed spending trend and run rate details by health care delivery service line. Corrective actions shall be made available within fifteen (15) calendar days of such request.
- Prepare and submit rates for all classes of coverage and rate tiers for employees, retirees, and COBRA beneficiaries using the contribution allocations authorized by law within the timeframe specified by OGB at the time of request.
- Contractor and its subcontractors/subvendors performing key delegated functions shall each supply OGB with a copy of the annual Service Organization Control (SOC 2), Type II report resulting from SSAE 16 engagement or Third Party Information Security Questionnaire, if a SOC is not performed, no later than September 30 of each calendar year.
- Prepare and submit an OPEB valuation in accordance with Governmental Accounting Standards Board (GASB) 75 within one hundred fifty (150) calendar days after the close of each calendar year.
- Prepare and submit an Excel spreadsheet listing the OPEB liability, the related OPEB deferred inflows, deferred outflows, OPEB expense, and other related OPEB accounts by agency within one hundred fifty (150) calendar days after the close of each calendar year. The reporting format must be approved by OSRAP.
- Develop and submit a transition plan to OGB ninety (90) calendar days prior to the scheduled termination date of the contract unless OGB advises otherwise.
- Prepare and submit the respective audit report for each annual performance audit conducted for OGB's benefit administrators and/or any other selected contractor(s) as defined by OGB within thirty (30) days of completion of said audit.
- Prepare and submit to OGB an actuarial certification, which states that current state and federal laws, rules, and regulations regarding rate setting were complied with (as necessary) and include a detailed description of the rate setting methodology, including all necessary assurances, explanations, and any other materials as requested, with any deliverables regarding rate setting.

- Provide written notice to OGB of an actuarial error included in any deliverable submissions, work products, etc., within twenty-four (24) hours after the Contractor's discovery. The notice shall include a detailed description of the error, including its scope and severity, along with a corresponding suggested corrective action plan.
- Develop and provide ad hoc reports, analyses, and any other supporting documents within the timeframe specified by OGB at the time of request.

1.3 *Performance Guarantees*

The following performance guarantees are the minimum acceptable standards for the Contract. These metrics shall be reported quarterly and reconciled on an annual basis unless another time period is agreed between OGB and Contractor. If Contractor fails to achieve the standards set forth below, the indicated penalties will be assessed and applied as credits against the immediately following or subsequent Contractor invoices; provided that such penalties will be paid by Contractor if this Agreement has been terminated and no further invoices are being issued.

Performance Measure	Performance Standard	Performance Guarantee (Amount or Percent of Contract Value at Risk Annually)
Third Party Information Security Questionnaire	Contractor shall supply OGB with the State's Third Party Information Security Questionnaire beginning September 30, 2018, and each calendar year thereafter. OGB will supply the Questionnaire to Contractor at least 30 days prior to the due date each calendar year.	\$1,000 penalty per day
Significant Actuarial Errors (an error is significant if "material" pursuant to the Actuarial Standards of Practice)	At OGB's discretion, for each occurrence of a significant actuarial error (as defined herein), the monetary penalties set forth in this row may be imposed. Monetary penalties shall escalate by occurrence over the Contract term.	<p>An error will not be counted as separate from another occurrence(s) if connected to, or related to, the other occurrence(s).</p> <p><u>Occurrences 1-2:</u> \$25,000 penalty assessment per error</p> <p><u>Occurrences 3-4:</u> \$50,000 penalty assessment per error</p> <p><u>Occurrences 5 and beyond:</u> \$75,000 penalty assessment per error</p>

Performance Measure	Performance Standard	Performance Guarantee (Amount or Percent of Contract Value at Risk Annually)
Late Deliverables	Contractor shall submit all required deliverables in timeframes required by the Contract.	Amount at risk per deliverable, if delay was not caused by OGB or other circumstances beyond Contractor's reasonable control, as set forth below. In the event deliverable date is not specified in the Contract, OGB and Contractor will mutually agree upon delivery date of each deliverable. <ul style="list-style-type: none"> ➤ \$2,500.00 if up to 9 business days late ➤ \$5,000.00 if more than 9 business days late
OGB Account Satisfaction	Account satisfaction will be measured by OGB based on metrics indicated in Attachment V.	20%

Contractor guarantees to perform at service levels that meet or exceed the performance standards set forth herein. Contractor's submittal of a final report shall be deemed certification by Contractor that the results and actuarial exhibits presented therein have been determined in accordance with all applicable Actuarial Standards of Practice, except where specifically noted otherwise, including confirmation that all assumptions and provisions in the documentation in the possession of the Contractor have been reflected. Whenever a standard is not achieved, Contractor shall provide OGB, within 10 business days of the failure, a comprehensive written explanation as to why the standard was not met and an action plan to meet the standard in the future, if applicable.

In the event of an actuarial error in a final deliverable, within 24 hours after Contractor's discovery of the error, the Contractor shall provide written notice of the error to OGB. The notice shall include a detailed description of the error, including its scope and severity. The Contractor shall correct actuarial errors at its own expense and without significant delay to the schedule for Contract deliverables or other agreed delivery dates. The Contractor shall not invoice, nor will OGB pay, for the cost of correcting Contractor's actuarial errors.

In the event of an actuarial error significant enough under the Actuarial Standards of Practice to require revision of a major deliverable, OGB shall require submission of a suggested corrective action plan.

The decision to impose monetary penalties shall include consideration of some or all of the following factors:

- The duration of the error/violation
- Whether the error (or one that is substantially similar) has previously occurred
- The Contractor's history of errors
- The severity of the error
- The diligence exercised by the Contractor in attempting to avoid errors

Imposition of penalties for any specific error or violation shall be at the discretion of OGB, without prejudice to OGB's handling of subsequent errors or violations. OGB, at its sole option, may agree to waive application of any given penalty – without prejudice to its ability to impose penalties for the same or similar error on future occasions.

Excessive actuarial errors may trigger other provisions of this Contract and may result in termination of the Contract for cause.

ATTACHMENT II: BUSINESS ASSOCIATE ADDENDUM

State of Louisiana, Office of Group Benefits

HIPAA Business Associate Addendum

THIS HIPAA BUSINESS ASSOCIATE ADDENDUM (the "Addendum") is entered into effective the 27th day of April, 2018 (the "Effective Date"), by and between Conduent HR Consulting, LLC ("Business Associate") and the State of Louisiana, Office of Group Benefits, on behalf of itself and its affiliates, if any (individually and collectively, the "Covered Entity") and adds to the Agreement or Contract dated April 27, 2018, entered into between Covered Entity and Business Associate (the "Agreement").

WHEREAS, pursuant to the Agreement, Business Associate performs functions or activities or arranges for such on behalf of Covered Entity involving the use and/or disclosure of protected health information that Business Associate accesses, creates, receives, maintains or transmits on behalf of Covered Entity ("PHI"); and

WHEREAS, Covered Entity and Business Associate intend to protect the privacy and provide for the security of PHI in compliance with the Health Insurance Portability and Accountability Act of 1996, and regulations promulgated thereunder by the U.S. Department of Health and Human Services ("HHS"), as amended from time to time including by the Health Information Technology for Economic and Clinical Health Act ("HITECH") (collectively "HIPAA").

Business Associate, therefore, agrees to the following terms and conditions set forth in this Addendum.

1. Definitions. Terms used, but not otherwise defined, in this Addendum shall have the same meaning as those terms are defined under HIPAA.
2. Compliance with Applicable Law. The parties acknowledge and agree that, beginning with the Effective Date, Business Associate shall comply with its obligations under this Addendum and with all obligations of a business associate under HIPAA and other applicable laws, regulations, and record retention policies, as they exist at the time this Addendum is executed and as they are amended, for so long as this Addendum is effective.
3. Uses and Disclosures of PHI. Except as otherwise limited in the Agreement or this Addendum, Business Associate may, and shall ensure that its directors, officers, employees, contractors, subcontractors, vendors, and agents use or disclose PHI only as follows:
 - (a) Business Associate may use PHI for the proper management and administration of the Business Associate or to carry out the legal responsibilities of the Business Associate.
 - (b) Business Associate may disclose PHI for the proper management and administration, or to carry out the legal responsibilities, of the Business Associate, provided that disclosures are required by HIPAA, or Business Associate obtains reasonable written assurances from the person or entity to whom the PHI is disclosed that it will remain confidential and be used or further disclosed only as required by law or for the purpose for which it was disclosed to the person or entity, and the person or entity notifies the Business

Associate of any instances of which it is aware or suspects in which the confidentiality of the PHI has been breached. In such case, Business Associate shall report such known or suspected breaches to Covered Entity as soon as possible and in accordance with timeframes set forth in this Addendum.

- (c) Business Associate, upon written request by Covered Entity, may use PHI to provide Data Aggregation services to Covered Entity as permitted by 45 CFR 164.504(e)(2)(i)(B). For purposes of this Section, Data Aggregation means, with respect to PHI, the combining of such PHI by Business Associate with the PHI received by Business Associate in its capacity as a Business Associate of another Covered Entity to permit data analyses that relate to the health care operations of the respective Covered Entities.
- (d) Business Associate may de-identify any and all PHI created or received by Business Associate under this Agreement; provided, however, that the de-identification conforms to the requirements of HIPAA and in accordance with any guidance issued by the Secretary. Such resulting de-identified information would not be subject to the terms of this Addendum.
- (e) Business Associate may create a Limited Data Set, as defined in HIPAA, and use such Limited Data Set pursuant to a Data Use Agreement that meets the requirements of HIPAA.

4. Required Safeguards To Protect PHI. Business Associate shall implement appropriate safeguards in accordance with HIPAA to prevent the use or disclosure of PHI other than pursuant to the terms and conditions of the Agreement. To the extent that Business Associate creates, receives, maintains, or transmits electronic PHI ("ePHI") on behalf of Covered Entity, Business Associate shall comply with the HIPAA Security Rule as of the relevant effective date and further, shall implement Administrative, Physical, and Technical Safeguards that reasonably and appropriately protect the confidentiality, integrity and availability of the ePHI.

5. Reporting to Covered Entity. Business Associate shall immediately report to Covered Entity any use or disclosure of PHI not provided for by this Addendum, including breaches of unsecured PHI in accordance with the Breach Notification Rule (45 CFR Subpart D), and any security incident of which it becomes aware. Business Associate shall cooperate with Covered Entity's investigation, analysis, notification and mitigation activities, and shall be responsible for all costs incurred by Covered Entity for those activities.

6. Mitigation of Harmful Effects. Business Associate agrees to mitigate, to the extent practicable, any harmful effect of a use or disclosure of PHI by Business Associate in violation of the requirements of this Addendum, including, but not limited to, compliance with any state law or contractual data breach requirements.

7. Agreements with Third Parties. Business Associate understands and agrees that any agent or subcontractor that may create, receive, maintain or transmit PHI on behalf of Business Associate must comply with all applicable laws and regulations as are applicable to Covered Entity in regard to PHI. Business Associate shall enter into a written agreement with any agent or subcontractor of Business Associate that will create, receive, maintain, or transmit PHI on behalf of Business Associate. Pursuant to such agreement, the agent or subcontractor shall agree to be bound by the same restrictions, terms, and conditions that apply to Business Associate under this

Addendum with respect to such PHI. Such agreements with Business Associates agents and subcontractors shall be provided to Covered Entity upon request and subject to audit hereunder.

8. Access to Information. Within ten (10) days of a request by Covered Entity for access to PHI about an individual contained in a Designated Record Set, Business Associate shall make available to Covered Entity such PHI for so long as such information is maintained by Business Associate in the Designated Record Set, as required by 45 CFR 164.524. In the event any individual delivers directly to Business Associate a request for access to PHI, Business Associate shall within five (5) days forward such request to Covered Entity.

9. Availability of PHI for Amendment. Within ten (10) days of receipt of a request from Covered Entity for the amendment of an individual's PHI or a record regarding an individual contained in a Designated Record Set (for so long as the PHI is maintained in the Designated Record Set), Business Associate shall provide such information to Covered Entity for amendment and incorporate any such amendments in the PHI as required by 45 CFR 164.526.

10. Documentation of Disclosures. Business Associate agrees to document disclosures of PHI and information related to such disclosures as would be required for Covered Entity to respond to a request by an individual for an accounting of disclosures of PHI in accordance with 45 CFR 164.528. At a minimum, Business Associate shall provide Covered Entity with the following information: (i) the date of the disclosure; (ii) the name of the entity or person who received the PHI, and if known, the address of such entity or person; (iii) a brief description of the PHI disclosed; and (iv) a brief statement of the purpose of such disclosure which includes an explanation of the basis for such disclosure.

11. Accounting of Disclosures. Within ten (10) days of notice by Covered Entity to Business Associate that it has received a request for an accounting of disclosures of PHI regarding an individual, Business Associate shall make available to Covered Entity information collected in accordance with Section 10 of this Addendum, to permit Covered Entity to respond to the request for an accounting of disclosures of PHI in accordance with 45 CFR 164.528. In the event the request for an accounting is delivered directly to Business Associate, Business Associate shall within five (5) days forward such request to Covered Entity. Business Associate hereby agrees to implement an appropriate record keeping process to enable it to comply with the requirements of this Section.

12. Other Obligations. To the extent that Business Associate is to carry out Covered Entity's obligation under HIPAA, Business Associate shall comply with the requirements of HIPAA that apply to the Covered Entity in the performance of such obligation.

13. Availability of Books and Records. Business Associate hereby agrees to make its internal practices, books, and records relating to the use and disclosure of PHI received from, or created or received by Business Associate on behalf of, Covered Entity available to Covered Entity and to the Secretary for purposes of determining Covered Entity's compliance with HIPAA for the term of this Agreement and for five years following the final payment under the Agreement.

14. Effect of Termination of Agreement. Upon the termination of the Agreement or this Addendum for any reason, Business Associate shall return to Covered Entity, at its expense and within sixty (60) days of the termination, all PHI owned by or belonging to Covered Entity as provided in the Agreement, and shall retain no copies of the PHI unless required by law. In the event that the law requires Business Associate to retain copies of PHI, Business Associate shall

extend the protections of this Addendum to such PHI and limit further uses and disclosures of such PHI to those purposes required by law, for so long as Business Associate maintains such PHI. This provision includes, but is not limited to, PHI: (a) received from Covered Entity; (b) created or received by Business Associate on behalf of Covered Entity; and, (c) in the possession of subcontractors or agents of Business Associate. This provision includes PHI in any form, recorded on any medium, or stored in any storage system. In addition, the Business Associate shall return any books, records, or other documents required by the Agreement.

15. *Breach of Contract by Business Associate.* In addition to any other rights Covered Entity may have in the Agreement, this Addendum or by operation of law or in equity, Covered Entity may (i) immediately terminate the Agreement if Covered Entity determines that Business Associate has violated a material term of this Addendum, or (ii) at Covered Entity's option, permit Business Associate to cure or end any such violation within the time specified by Covered Entity. Covered Entity's exercise of its option to permit Business Associate to cure a breach of this Addendum shall not be construed as a waiver of any other rights Covered Entity has in the Agreement, this Addendum or by operation of law or in equity.

16. *Indemnification.* Business Associate shall defend, indemnify and hold harmless Covered Entity and its officers, trustees, employees, subcontractors and agents from and against any and all claims, penalties, fines, costs, liabilities or damages, including but not limited to reasonable attorney fees, incurred by Covered Entity arising from a violation by Business Associate or its subcontractors of Business Associate's obligations under this Addendum or HIPAA. This Section 16 of the Addendum shall survive the termination of the Agreement or this Addendum.

17. *Exclusion from Limitation of Liability.* To the extent that Business Associate has limited its liability under the terms of the Agreement, whether with a maximum recovery for direct damages or a disclaimer against any consequential, indirect or punitive damages, or other such limitations, all limitations shall exclude any damages to Covered Entity arising from Business Associate's breach of its obligations relating to the use and disclosure of PHI. This Section 17 of the Addendum shall survive the termination of the Agreement and this Addendum.

18. *Injunctive Relief.* Business Associate acknowledges and stipulates that the unauthorized use or disclosure of PHI by Business Associate or its subcontractors while performing services pursuant to the Agreement or this Addendum would cause irreparable harm to Covered Entity, and in such event, Covered Entity shall be entitled, if it so elects, to institute and prosecute proceedings in any court of competent jurisdiction, either in law or in equity, to obtain damages and injunctive relief, together with the right to recover from Business Associate costs, including reasonable attorneys' fees, for any such breach of the terms and conditions of the Agreement or this Addendum.

19. *Third Party Rights.* The terms of this Addendum are not intended, nor should they be construed, to grant any rights to any parties other than Business Associate and Covered Entity.

20. *Owner of PHI.* Under no circumstances shall Business Associate be deemed in any respect to be the owner of any PHI used or disclosed by or to Business Associate pursuant to the terms of the Agreement.

21. *Changes in the Law.* Covered Entity may amend either the Agreement or this Addendum, as appropriate, to conform to any new or revised federal or state legislation, rules, regulations,

and records retention policies to which Covered Entity is subject now or in the future including, without limitation, HIPAA.


22. Judicial and Administrative Proceedings. In the event Business Associate receives a subpoena, court, or administrative order or other discovery request or mandate for release of PHI, Business Associate shall notify Covered Entity of such within five business days by providing a copy of such and any applicable comments. Covered Entity shall have the right to control Business Associate's response to such request.

23. Conflicts. If there is any direct conflict between the Agreement and this Addendum, the terms and conditions of this Addendum shall control.

IN WITNESS WHEREOF, the parties have executed this Addendum effective the day and year first above written.

STATE OF LOUISIANA
OFFICE OF GROUP BENEFITS

CONDUENT HR CONSULTING, LLC

By: 
Signature

Tommy Teague
Printed Name

Title: Chief Executive Officer

Date: 4/27/18

By: 
Signature

Brian Stitzel
Printed Name

Title: Global Health Practice Leader

Date: 4/27/2018

Records Retention Schedule

Agency No. / Agency / Division / Section

Division of Administration / Office of Group Benefits - Administration, HIPAA

Indicate Use of Form

— ORIGINAL SUBMISSION

— X-REMOVAL

— REPLACEMENT PAGE

— ADDENDUM PAGE

Item Number	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital
		In Office	In Storage	Total Retention				
1	Unit Audit Reports (Information Accuracy Checks)	ACT + 1 CY		ACT + 1 CY	M	S	N	I
2	Health Insurance Portability and Accountability Act Privacy and Security Policies and Procedures	ACT + 6 CY		ACT + 6 CY	M	S	N	I
3	Notice of Privacy Practices	ACT + 6 CY		ACT + 6 CY	M	S	N	V
4	HIPAA Compliance Documentation	ACT + 6 CY		ACT + 6 CY	M	S	N	V

ACT = until the end of the CY created or received

ACT = until the end of CY in which administrative need arises

ACT = until end of CY in which revised or superseded and agency chooses to operate

Permitted Retention Period Abbreviations

ACT - Active Period (when used define item in remarks column)

FY - Fiscal Year (July 1 - June 30)

CY - Calendar Year (Jan 1 - Dec 31)

AY - Academic Year (Aug 1 - July 31)

FY - Federal Fiscal Year (Oct 1 - Sept 30)

MO - Months

WY - Week

DY - Days

PERM - Permanent

Security Status Codes

P - Public Record

M - May Contain Confidential Information

C - Confidential Information

Archival Processing Codes

A - Transfer to State Archives

R - Return to Agency Archives

S - Review by State Archives

O - Other (Specify in Remarks)

State Records Center

Y - Yes

N - No

Vital Record Identification Code

Vs Vital

I = Important

Un-Useful

Agency Abbreviations

Remarks

Louisiana Secretary of State, Division of Archives, Records Management and History

Post Office Box 84125, Baton Rouge, LA 70804

Records Retention Schedule

http://www.sos.la.gov

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RENEWAL

X REPLACEMENT PAGE

ADDITIONAL PAGE

Remarks

Item Number	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital	Remarks
		In Office	In Storage	Total Retention					
1	Internal Audit records (audited documents, reports, work papers, legislative audit reports)	ACT + 2 CY	3 CY	ACT + 5 CY	M	S	Y	V	ACT = until the end of the CY in which report is audited/produced closed
2	Board and Committee Minutes	PERM		PERM	M	R	N	V	
3	Strategic Plan	ACT + 5 CY		ACT + 5 CY	P	S	N	I	ACT = until the end of the CY in which agency ceases to operate
4	Legal Files	ACT + 1 CY	9 CY	ACT + 10 CY	M	S	Y	V	ACT = until end of CY in which file is closed out
5	Board Election Materials	ACT + 2 CY	3 CY	ACT + 5 CY	M	S	Y	V	ACT = until end of CY in which election results are certified
8	Publications	ACT + 10 CY		ACT + 10 CY	M	S	N	I	ACT = until end of CY in which agency ceases to exist
7	Records Management Files (Retention Schedules, Disposal Requests, Transmittals)	ACT + 10 CY		ACT + 10 CY	M	S	N	V	ACT = until end of CY in which agency ceases to exist

Agency Approval

Date Signed

Secretary of State, State Archives & Records Services

Date Approved

Agency Approval

Date Signed

Secretary of State, State Archives & Records Services

Date Approved

Records Retention Schedule

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Agency No. _____

Division of Administration / Office of Group Benefits - Administration - General

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____ RENEWAL
____ REPLACEMENT PAGE
____ ADDENDUM PAGE

Item Number	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital	Remarks
		In Office	In Storage	Total Retention					
1	Special order forms, Personnel Action Requests, Travel Request/Expense reports, requisitions and related correspondence/memos.	ACT + 2 CY	1 CY	ACT + 3 CY	M	S	Y	I	ACT = until end of the CY in which created or received
2	General Correspondence (not related to other record series)	ACT + 2 CY	1 CY	ACT + 3 CY	M	S	Y	I	ACT = until end of the CY in which created or received
3	Supervisor Files	ACT + 1 CY	1 CY	ACT + 2 CY	M	S	Y	I	ACT = until end of CY in which supervision ends
4	Visitor sign-in/Sign - Out Sheets	ACT + 2 CY	3 CY	ACT + 5 CY	M	S	Y	U	ACT = until end of CY in which created or received
5	Time and Attendance Reports/Vendor Reports, PES, PPR, Leave requests, Overtime documentation and related correspondence/memos	ACT + 2 CY	3 CY	ACT + 5 CY	M	S	Y	V	ACT = until end of CY in which created or received
6	Mail, Fax, Postage & Tracking Logs	ACT + 1 CY	2 CY	ACT + 3 CY	M	S	Y	I	ACT = until end of CY created or received
7	Budget records	ACT + 5 CY		ACT + 5 CY	P	S	N	I	ACT = until the end of the CY created or received
8	Contracts and agreements (including contract approval backup material)	ACT + 3 CY	7 CY	ACT + 10 CY	M	S	N	V	ACT = until end of CY in which contract or agreement expires or terminates.
9	Notice of Intent to Contract (NIC), Request for Proposals and Reports	ACT + 3 CY		ACT + 3 CY	M	S	N	V	ACT = until end of CY in which contract is awarded

Agency Approval

Date Signed

Secretary of State, State Archives & Records Services

Date Approved

Louisiana Secretary of State, Division of Archives, Records Management and History
Post Office Box 94125 Baton Rouge, LA 70804

<http://www.sos.la.gov>

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Secretary of State, State Archives & Records Services

Date Approved _____

Records Retention Schedule

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Item Number	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital	Remarks
		In Office	In Storage	Total					
1	Health Claims (including supplemental claims)	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until end of CY in which agency ceases to operate
2	Explanation of Benefits (EOBs)	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until end of CY in which agency ceases to operate
3	Medical Records	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until end of CY in which agency ceases to operate
4	Pre-determinations	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until end of CY in which agency ceases to operate
5	Case Management	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until end of CY in which agency ceases to operate
6	Medical Necessities	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until end of CY in which agency ceases to operate
7	Paid-In Vouchers	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until end of CY in which agency ceases to operate
8	Flexible Benefit Forms	ACT + 5 CY		ACT + 5 CY	C	S	N	V	ACT = until end of CY in which agency ceases to operate
9	Flexible Benefit Master File	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until end of CY in which agency ceases to operate

Agency Approval

Date Signed

Secretary of State, State Archives & Records Services

Date Approved

Permitted Retention Period Abbreviations
 ACT - Active Period (when used define term in remarks column)
 FY - Fiscal Year (July 1 - June 30)
 CY - Calendar Year (Jan 1 - Dec 31)
 AY - Academic Year (Aug 1 - July 31)
 FFY - Federal Fiscal Year (Oct 1 - Sept 30)
 MO - Months
 WK - Week
 DY - Day(s)
 PERM - Permanent

Security Status Codes
 P - Public Record
 M - May Contain Confidential Information
 C - Confidential Information
Archival Processing Codes
 A - Transfer to State Archives
 R - Retain in Agency Archives
 S - Review by State Archives
 O - Other (Specify in Remarks)

State Records Center Use
 Y - Yes
 N - No
Vital Record Identification Code
 V - Vital
 I - Important
 U - Useful

Agency Abbreviations

Records Retention Schedule

Louisiana Secretary of State, Division of Archives, Records Management and History
Post Office Box 94125, Baton Rouge, LA 70804

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☐ REPLACEMENT PAGE
☐ APPENDIX PAGE

Remarks

Agency No. _____
Agency / Division / Section _____
Division of Administration / Office of Group Benefit Eligibility, Quality Assurance and Compliance

Item Number	Records Series Title	Retention Period			Security	Archival	State Records Center	Vital	Remarks
		In Office	In Storage	Total					
1	Eligibility Records for Life and Health Insurance	ACT + 3 CY	PERM	PERM	M	R	N	V	ACT = until end of CY created or received. Microfilm after 3 years.
2	Life Insurance Beneficiary Forms (OGB and Outside agencies held by OGB)	PERM		PERM	C	R	N	V	
3	Hospital Audits, Statistical Reports and Work papers	ACT + 10 CY		ACT + 10 CY	M	S	N	I	ACT = until end of CY in which agency ceases to operate.
4	Fraud and Abuse Case files and logs	ACT + 10 CY		ACT + 10 CY	C	S	N	V	ACT = until end of CY in which agency ceases to operate.
5	Health Claim Audits and work papers (including over \$500 plan member check audits)	ACT + 5 CY		ACT + 5 CY	C	S	N	I	ACT = until end of CY in which audit is completed.
6	Special Reports (Outlier, Check Cycle)	ACT + 5 CY		ACT + 5 CY	M	S	N	I	ACT = until end of CY in which report is run.
7	Reviews (Medical and Chiropractic)	ACT + 10 CY		ACT + 10 CY	C	S	N	I	ACT = until end of CY in which agency ceases to exist.

Permitted Retention Period Abbreviations

ACT - Active Period (when used define item in remarks column)
FY - Fiscal Year (July 1 - June 30)
CY - Calendar Year (Jan 1 - Dec 31)
AY - Academic Year (Aug 1 - July 31)
FRY - Federal Fiscal Year (Oct 1 - Sept 30)
MO - Months WK - Week DY - Days
PERM - Permanent

Security Status Codes

P - Public Record
M - May Contain Confidential Information
C - Confidential Information
Archival Processing Codes
A - Transfer to State Archives
R - Retain in Agency Archives
S - Review by State Archives
O - Other (Specify in Remarks)

State Records Center Use

Y - Yes
N - No
Vital Record
I = Important
U = Useful

Agency Abbreviations

Agency Approval

Date Signed

Secretary of State, State Archives & Records Services

Date Approved

SS ARC 932 (01/12)

Agency No	Agency / Division / Section
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Secretary of State, State Archives & Records Services

Date Approved _____

ATTACHMENT IV: IMAGING SYSTEM SURVEY COMPLIANCE AND RECORDS DESTRUCTION

In connection with OGB's electronic records retention requirements and within thirty (30) days of the Contract's effective date, Contractor shall complete a State Archives Imaging System Survey ("System Survey") and forward to OGB.Records@la.gov¹, or as otherwise directed by OGB. According to LAC 4:XVII.1305(A), the System Survey must contain the following information:

1. A list of all OGB records series² maintained/managed by Contractor's system;
2. The hardware and software used including model number, version number and total storage capacity;
3. The type and density of media used by Contractor's system;
4. The type and resolution of images being produced (TIFF class 3 or 4 and dpi);
5. Contractor's quality control procedures for image production and maintenance;
6. Contractor's system's back up procedures including location of back-up (on or off-site) and number of existing images; and
7. Contractor's migration plan for purging images from the system that have met their retention period.

OGB shall review the System Survey to make an initial determination of conformity with LAC 4:XVII.1305(A). Once OGB determines that Contractor's System Survey contains the requisite information, OGB will forward the System Survey to the Secretary of State. As a continuing requirement, any system changes necessitating a revised System Survey response must be submitted to the Secretary of State within ninety (90) days of the change. To ensure compliance with this rule, Contractor shall notify the Records Officer of these changes within sixty (60) days so that he or she may forward the appropriate information to the Secretary of State.

Further, to ensure compliance with OGB's Schedules (Attachment III) and applicable laws, Contractor shall not destroy any OGB records unless records are converted to digital images and thereafter approved for destruction or other disposition by the Secretary of State. Contractor shall request expedited authority to destroy or otherwise dispose of converted records by email to disposals@sos.louisiana.gov with "EDR_I2014-009 OGB [Conduent HR Consulting, LLC]" in the subject line, carbon copy to the Records Officer and OGB.Records@la.gov, and a description of the subject records per the OGB Schedules (such as "Actuarial Documents, scanned and inspected, for the week/month of X") in the body. Upon receiving approval of the Secretary of State to destroy or otherwise dispose of the requested records, Contractor shall commence destruction or other approved disposition of said records. Contemporaneously therewith, Contractor shall complete a Certificate of Destruction (SSARC 933) form which shall be forwarded to the Records Officer. All SSARC forms can be found on the Louisiana Secretary of State's website <http://www.sos.la.gov/HistoricalResources/ManagingRecords/GetForms/Pages/default.aspx>.

¹ If OGB makes a different designation, OGB will notify Contractor of the change and provide updated contact information.

² A records series is a group of related or similar records that may be filed together as a unit, used in a similar manner, and typically evaluated as a unit for determining retention periods. LAC 4:XVII.301(A). The records series listed in Contractor's imaging survey should correspond to the records series listed on the OGB official Record Retention Schedule, Attachment III.

ATTACHMENT V: OGB SATISFACTION SURVEY METRICS

ATTACHMENT V: OGB SATISFACTION SURVEY METRICS

OGB Satisfaction Metrics	Score	Comments
ACCESSIBILITY		
We can reach someone when we call		
We can reach someone when we email		
Conduant maintains appropriate personnel backup		
CATEGORY SCORES	0.0	
RESPONSIVENESS		
Acknowledges receipt of request and keeps OGB updated on progress		
Provides information or assistance when it is needed		
Follows up to ensure OGB's needs have been met and OGB is satisfied		
CATEGORY SCORES	0.0	
THOROUGHNESS IN RESOLVING ISSUES		
Takes the time to understand OGB's needs		
Applies knowledge and creativity to resolve issues		
Provides ideas and solutions for improvement on a regular basis		
CATEGORY SCORES	0.0	
FLEXIBILITY		
Adapts or develops procedures, processes, programs, and products to meet OGB's needs		
Demonstrates an openness and willingness to change in order to meet OGB's needs		
Works with OGB to create a more effective and efficient work flow		
CATEGORY SCORES	0.0	
KNOWLEDGE		
Has comprehensive & detailed understanding of health and welfare benefits		
Has a thorough understanding of underwriting & Vendor management needs		
Understands OGB's needs		
CATEGORY SCORES	0.0	
COMMUNICATION		
Proactive in notifying OGB of issues that impact them		
Produces clear and concise Board reports and communicates impact of information in reports		
CATEGORY SCORES	0.0	
CONSULTING AND STRATEGIC PARTNERSHIP		
Identifies areas of opportunities and assists in developing strategy to address opportunities		
Demonstrates an understanding of OGB's long term vision and operational goals		
Uses experience of client base and market information to present ideas to OGB		
CATEGORY SCORES	0.0	
VENDOR MANAGEMENT / SELECTION / MONITORING		
Provides unbiased, fair information to enable OGB to make vendor decisions		
Assists in negotiating aggressive pricing and performance guarantees		
Assists with ongoing monitoring of vendors, identifying current issues		
CATEGORY SCORES	0.0	
COMPLIANCE		
Demonstrates knowledge of and compliance with all benefits laws and regulations		
Complies with contract provisions		
Stays ahead of benefit compliance matters and advises OGB of impact		
CATEGORY SCORES	0.0	
TOTAL SCORES	0.0	
VENDOR RATING		
	0.0	
<p>Rating scale:</p> <p>5 = Excellent</p> <p>4 = Good</p> <p>3 = Needs Improvement</p> <p>2 = Poor</p> <p>1 or below = Unacceptable</p>		
<p>Twenty percent (20%) of Contractor's consulting fees are at risk pursuant to the OGB Satisfaction Survey for services performed for each 12-month period of the Contract. OGB will rate Contractor's performance in the categories listed annually, on a scale of 1 to 5. If Contractor's scores below 3.0, a penalty of 20% of Contractor's annual fees will be imposed pursuant to Attachment I, Section 1.3 of the Contract.</p>		

ATTACHMENT VI: TRSL CONFIDENTIALITY AGREEMENT

This Confidentiality Agreement ("Agreement") is entered into by the Teachers' Retirement System of Louisiana ("TRSL"); the State of Louisiana, Division of Administration, Office of Group Benefits ("OGB"); and OGB's contractor, Conduent HR Consulting, LLC ("Contractor"), pertaining to the data ("Data") obtained by OGB and/or Contractor pursuant to the Data Sharing Agreement between TRSL and OGB dated February 14, 2017. Any such Data received by Contractor is to be utilized only in the manner described in the Data Sharing Agreement, and only in the performance of Contractor's obligations as requested.

OGB and Contractor acknowledge that they are prohibited by Article 1, Section 5 of the Louisiana Constitution of 1974 from releasing the Data supplied by TRSL containing personal information to any persons, agencies, or other third parties pursuant to a public records request. OGB and Contractor further acknowledge that they are required by federal laws and regulations, and in particular the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), to safeguard and protect all health information. OGB and Contractor agree that TRSL Data shall not be sold by OGB, Contractor, their officers, agents, employees, representatives, contractors, or subcontractors, for any purpose.

TRSL, Contractor, and OGB recognize that in the course of this Agreement information will be exchanged consisting of confidential trade secret or business information ("Confidential Information"). Each party shall treat the other party's Confidential Information as it would treat its own confidential trade secret or business information, and with at least reasonable care as is appropriate to avoid unauthorized use or disclosure.

The obligations set forth herein shall not apply to information that (i) is or becomes generally known to the public, other than as a result of a disclosure of a party's Confidential Information by the other party or a disclosure of Data in violation of this Agreement, (ii) is rightfully in the possession of the other party prior to disclosure, free of any obligation of confidentiality, (iii) is received by a party in good faith and without restriction from a third party not under a confidentiality obligation to the other party and having the right to make such disclosure, or (iv) is independently developed without reference to the other party's Confidential Information.

In addition, any reports, information, documents, or work products given to or prepared or assembled by Contractor, its agents, servants, independent contractors, or employees under this Agreement, which OGB requests to be maintained as confidential, shall not be made available by Contractor, its agents, servants, independent contractors, or employees to any person or entity without the prior written approval of OGB.

Any reports, information, documents, or work products given by or received either directly or indirectly from TRSL pertaining to the members and/or retirees of TRSL shall be kept confidential and used only for the specific governmental purpose authorized and approved by OGB, and shall not be made available by Contractor, its agents, servants, independent contractors, or employees to any person or entity without the prior written approval of OGB. Contractor, its agents, servants, independent contractors, and/or employees shall at all times maintain the confidentiality of the personally identifiable information of TRSL's members and

retirees, including but not limited to the social security numbers, date of birth and member and retiree names.

Contractor shall indemnify and save harmless OGB and TRSL against any and all claims, losses, liabilities, demands, suits, causes of action, damages, and judgments of sums of money to any party accruing against OGB and/or TRSL growing out of or resulting from any breach of this Confidentiality Agreement by Contractor, its agents, servants, independent contractors, or employees while engaged in, about, or in connection with the discharge or performance of the terms of this Agreement and/or any associated contract. Such indemnification shall include OGB's and TRSL's fees and costs of litigation, including, but not limited to, reasonable attorney's fees. Additionally, nothing herein shall preclude OGB and/or TRSL from seeking injunctive relief under Louisiana law against any asserted violation by Contractor of this Agreement.

OFFICE OF GROUP BENEFITS

By: Tommy Teague

Title: Chief Executive Officer

Signature: 

Date: 4/27/18

CONDUENT HR CONSULTING, LLC

By: Brian Stitzel

Title: Global Health Practice Leader

Signature: 

Date: 4/27/2018

TEACHERS' RETIREMENT SYSTEM OF LOUISIANA

By: Dana L. Vicknair

Title: Director

Signature: 

Date: 4/27/18

Amendment to Agreement Between

**State of Louisiana
Office of Group Benefits (OGB)**

And

**Buck Global, LLC (Formerly Conduent HR Consulting, LLC)
420 Lexington Ave, Suite 2220
New York, NY 10170-2220**

CHANGE FROM:

On this 27th day of April, 2018, the State of Louisiana, Office of Group Benefits, 1201 N. 3rd Street, Suite G-159, Baton Rouge, LA 70802, hereinafter sometimes referred to as the "OGB" or "State", and Conduent HR Consulting, LLC, 420 Lexington Ave., Suite 2220, New York, NY 10170-2220, hereinafter sometimes referred to as the "Contractor," do hereby enter into a Contract under the following terms and conditions.

CHANGE TO:

On this 27th day of April, 2018, the State of Louisiana, Office of Group Benefits, 1201 N. 3rd Street, Suite G-159, Baton Rouge, LA 70802, hereinafter sometimes referred to as the "OGB" or "State", and Buck Global, LLC, 420 Lexington Ave., Suite 2220, New York, NY 10170-2220, hereinafter sometimes referred to as the "Contractor," do hereby enter into a Contract under the following terms and conditions.

CHANGE FROM:

1 SCOPE OF SERVICES

1.1 CONCISE DESCRIPTION OF SERVICES

Conduent HR Consulting, LLC shall provide actuarial services to facilitate administration and management of the health and welfare programs offered by OGB/State. These services shall include, at a minimum, all services specified in Section 1.2 and the attachments referenced therein.

CHANGE TO:

1 SCOPE OF SERVICES

1.1 CONCISE DESCRIPTION OF SERVICES

Buck Global, LLC shall provide actuarial services to facilitate administration and management of the health and welfare programs offered by OGB/State. These services shall include, at a minimum, all services specified in Section 1.2 and the attachments referenced therein.

CHANGE FROM:

3.3 TAXES AND FEES

Contractor is responsible for payment of all taxes and fees on Contractor's income, property, and entity status (i.e., permits, licenses, etc.). Contractor's federal tax identification number is 13-3954297. Contractor's Louisiana Department of Revenue (LDR) Account Number is 3846300001.

In accordance with La. R.S. 39:1624(A)(10), the Louisiana Department of Revenue must determine that the prospective contractor is current in the filing of all applicable tax returns and reports and in payment of all taxes, interest, penalties, and fees owed to the State and collected by the Department of Revenue prior to the approval of this Contract by the Office of State Procurement. Contractor hereby attests to its current and/or prospective compliance, and agrees to provide its seven-digit LDR Account Number to the contracting agency so that the prospective contractor's tax payment compliance status may be verified. The Contractor further acknowledges understanding that issuance of a tax clearance certificate by the Louisiana Department of Revenue is a necessary precondition to the approval and effectiveness of this Contract by the Office of State Procurement. The contracting agency reserves the right to withdraw its consent to this Contract without penalty and proceed with alternate arrangements should Contractor fail to resolve any identified apparent outstanding tax compliance discrepancies with the Louisiana Department of Revenue within seven (7) days of such notification.

CHANGE TO:

3.3 TAXES AND FEES

Contractor is responsible for payment of all taxes and fees on Contractor's income, property, and entity status (i.e., permits, licenses, etc.). Contractor's federal tax identification number is 13-3954297. Contractor's Louisiana Department of Revenue (LDR) Account Number is 2806354001.

In accordance with La. R.S. 39:1624(A)(10), the Louisiana Department of Revenue must determine that the prospective contractor is current in the filing of all applicable tax returns and reports and in payment of all taxes, interest, penalties, and fees owed to the State and collected by the Department of Revenue prior to the approval of this Contract by the Office of State Procurement. Contractor hereby attests to its current and/or prospective compliance, and agrees to provide its seven-digit LDR Account Number to the contracting agency so that the prospective contractor's tax payment compliance status may be verified. The Contractor further acknowledges understanding that issuance of a tax clearance certificate by the Louisiana Department of Revenue is a necessary precondition to the approval and effectiveness of this Contract by the Office of State Procurement. The contracting agency reserves the right to withdraw its consent to this Contract without penalty and proceed with alternate

arrangements should Contractor fail to resolve any identified apparent outstanding tax compliance discrepancies with the Louisiana Department of Revenue within seven (7) days of such notification.

CHANGE FROM:

20 NOTICE

Any notice required or permitted by this Contract, unless otherwise specifically provided for in this Contract, shall be in writing and shall be deemed given upon receipt following delivery by: (i) an overnight carrier or hand delivery to the State/OGB; or, (ii) registered or certified mail return receipt requested, and addressed as follows:

To Conduent HR Consulting, LLC: Mr. Brian Stitzel
Conduent HR Consulting, LLC
500 Plaza Drive
Secaucus, NJ 07096

With a copy to: Conduent Law Department
Conduent HR Consulting, LLC
420 Lexington Ave., Suite 2220
New York, NY 10170-2220

To OGB: Mr. Tommy Teague, CEO
Office of Group Benefits
Post Office Box 44036
Baton Rouge, LA 70804

Or

Mr. Tommy Teague, CEO
Office of Group Benefits
1201 N. 3rd Street, Suite G-159
Baton Rouge, LA 70802

The U.S. Postal Service does not make deliveries to OGB's physical location.

At any time, either party may change its addressee and/or address for notification purposes by mailing a notice stating the change and setting forth the new address.

CHANGE TO:

20 NOTICE

Any notice required or permitted by this Contract, unless otherwise specifically provided for in this Contract, shall be in writing and shall be deemed given upon receipt following delivery

by: (i) an overnight carrier or hand delivery to the State/OGB; or, (ii) registered or certified mail return receipt requested, and addressed as follows:

To Buck Global, LLC:

Mr. Brian Stitzel
Buck Global, LLC
500 Plaza Drive
Secaucus, NJ 07096

With a copy to:

Buck Global, LLC Law Department
Buck Global, LLC
420 Lexington Ave., Suite 2220
New York, NY 10170-2220

To OGB:

Mr. Tommy Teague, CEO
Office of Group Benefits
Post Office Box 44036
Baton Rouge, LA 70804

Or

Mr. Tommy Teague, CEO
Office of Group Benefits
1201 N. 3rd Street, Suite G-159
Baton Rouge, LA 70802

The U.S. Postal Service does not make deliveries to OGB's physical location.

At any time, either party may change its addressee and/or address for notification purposes by mailing a notice stating the change and setting forth the new address.

SUPPLEMENT THE CONTRACT WITH THE FOLLOWING NEW PARAGRAPH:

28 PROHIBITION OF DISCRIMINATORY BOYCOTTS OF ISRAEL

In accordance with Executive Order Number JBE 2018-15, effective May 22, 2018, for any contract for \$100,000 or more and for any contractor with five or more employees, Contractor, or any Subcontractor, shall certify it is not engaging in a boycott of Israel, and shall, for the duration of this contract, refrain from a boycott of Israel.

The State reserves the right to terminate this contract if the Contractor, or any Subcontractor, engages in a boycott of Israel during the term of the contract.

REPLACE ATTACHMENT II: BUSINESS ASSOCIATE ADDENDUM with the attached ATTACHMENT II: BUSINESS ASSOCIATE ADDENDUM (REVISED).

REPLACE ATTACHMENT IV: IMAGING SYSTEM SURVEY COMPLIANCE AND RECORDS DESTRUCTION with the attached ATTACHMENT IV: IMAGING SYSTEM SURVEY COMPLIANCE AND RECORDS DESTRUCTION (REVISED)

REPLACE Attachment VI: TRSL CONFIDENTIALITY AGREEMENT with the attached Attachment VII: TRSL CONFIDENTIALITY AGREEMENT

Effective Date of Amendment: August 13, 2018

Justification for Amendment: Conduent HR Consulting, LLC was acquired by H.I.G. Capital on August 13, 2018, and is now known as Buck Global, LLC. This amendment accomplishes a change of name and change of Louisiana Department of Revenue number. All rights and obligations of the State and of the Contractor under the contract are unaffected by this change. This amendment changes the Contractor's name in Attachment II: Business Associate Addendum and Attachment IV: Imaging System Survey Compliance and Records Destruction. This amendment changes the Contractor's name and updates certain terms in Attachment VII: TRSL Confidentiality Agreement. This amendment supplements the contract with the Prohibition of Discriminatory Boycotts of Israel paragraph in accordance with Executive Order Number JBE 2018-15.

No Amendment shall be valid until it has been executed by all parties and approved by the Office of State Procurement, Division of Administration.


All other provisions of the Contract shall remain in full force and effect. Any conflict between the Contract and this Amendment regarding the subject matters of this Amendment shall be resolved in favor of this Amendment.


This Amendment contains or has attached hereto all revised terms and conditions agreed upon by contracting parties.

IN WITNESS THEREOF, this Amendment is signed and entered into on the date(s) stated below.

BUCK GLOBAL, LLC

**STATE OF LOUISIANA
OFFICE OF GROUP BENEFITS**

BY: 
NAME: Brian Stitzel
TITLE: U.S. Health Practice Leader
DATE: 3/15/2019

BY: 
NAME: Tommy Teague
TITLE: Chief Executive Officer
DATE: 3/15/19

ATTACHMENT II: BUSINESS ASSOICATE ADDENDUM

State of Louisiana, Office of Group Benefits

HIPAA Business Associate Addendum

THIS HIPAA BUSINESS ASSOCIATE ADDENDUM (the "Addendum") is entered into effective the 15 day of March, 2019 (the "Effective Date"), by and between Buck Global, LLC ("Business Associate") and the State of Louisiana, Office of Group Benefits, on behalf of itself and its affiliates, if any (individually and collectively, the "Covered Entity") and adds to the Agreement or Contract dated April 27, 2018, entered into between Covered Entity and Business Associate (the "Agreement").

WHEREAS, pursuant to the Agreement, Business Associate performs functions or activities or arranges for such on behalf of Covered Entity involving the use and/or disclosure of protected health information that Business Associate accesses, creates, receives, maintains or transmits on behalf of Covered Entity ("PHI"); and

WHEREAS, Covered Entity and Business Associate intend to protect the privacy and provide for the security of PHI in compliance with the Health Insurance Portability and Accountability Act of 1996, and regulations promulgated thereunder by the U.S. Department of Health and Human Services ("HHS"), as amended from time to time including by the Health Information Technology for Economic and Clinical Health Act ("HITECH") (collectively "HIPAA").

Business Associate, therefore, agrees to the following terms and conditions set forth in this Addendum.

1. Definitions. Terms used, but not otherwise defined, in this Addendum shall have the same meaning as those terms are defined under HIPAA.
2. Compliance with Applicable Law. The parties acknowledge and agree that, beginning with the Effective Date, Business Associate shall comply with its obligations under this Addendum and with all obligations of a business associate under HIPAA and other applicable laws, regulations, and record retention policies, as they exist at the time this Addendum is executed and as they are amended, for so long as this Addendum is effective.
3. Uses and Disclosures of PHI. Except as otherwise limited in the Agreement or this Addendum, Business Associate may, and shall ensure that its directors, officers, employees, contractors, subcontractors, vendors, and agents use or disclose PHI only as follows:
 - (a) Business Associate may use PHI for the proper management and administration of the Business Associate or to carry out the legal responsibilities of the Business Associate.
 - (b) Business Associate may disclose PHI for the proper management and administration, or to carry out the legal responsibilities, of the Business Associate, provided that disclosures are required by HIPAA, or Business Associate obtains reasonable written assurances from the person or entity to whom the PHI is disclosed that it will remain confidential and be used or further disclosed only as required by law or for the purpose for which it was disclosed to the person or entity, and the person or entity notifies the Business Associate of any instances of which it is aware or suspects in which the confidentiality of the PHI has been

breached. In such case, Business Associate shall report such known or suspected breaches to Covered Entity as soon as possible and in accordance with timeframes set forth in this Addendum.

- (c) Business Associate, upon written request by Covered Entity, may use PHI to provide Data Aggregation services to Covered Entity as permitted by 45 CFR 164.504(e)(2)(i)(B). For purposes of this Section, Data Aggregation means, with respect to PHI, the combining of such PHI by Business Associate with the PHI received by Business Associate in its capacity as a Business Associate of another Covered Entity to permit data analyses that relate to the health care operations of the respective Covered Entities.
- (d) Business Associate may de-identify any and all PHI created or received by Business Associate under this Agreement; provided, however, that the de-identification conforms to the requirements of HIPAA and in accordance with any guidance issued by the Secretary. Such resulting de-identified information would not be subject to the terms of this Addendum.
- (e) Business Associate may create a Limited Data Set, as defined in HIPAA, and use such Limited Data Set pursuant to a Data Use Agreement that meets the requirements of HIPAA.

4. Required Safeguards To Protect PHI. Business Associate shall implement appropriate safeguards in accordance with HIPAA to prevent the use or disclosure of PHI other than pursuant to the terms and conditions of the Agreement. To the extent that Business Associate creates, receives, maintains, or transmits electronic PHI ("ePHI") on behalf of Covered Entity, Business Associate shall comply with the HIPAA Security Rule as of the relevant effective date and further, shall implement Administrative, Physical, and Technical Safeguards that reasonably and appropriately protect the confidentiality, integrity and availability of the ePHI.

5. Reporting to Covered Entity. Business Associate shall immediately report to Covered Entity any use or disclosure of PHI not provided for by this Addendum, including breaches of unsecured PHI in accordance with the Breach Notification Rule (45 CFR Subpart D), and any security incident of which it becomes aware. Business Associate shall cooperate with Covered Entity's investigation, analysis, notification and mitigation activities, and shall be responsible for all costs incurred by Covered Entity for those activities.

6. Mitigation of Harmful Effects. Business Associate agrees to mitigate, to the extent practicable, any harmful effect of a use or disclosure of PHI by Business Associate in violation of the requirements of this Addendum, including, but not limited to, compliance with any state law or contractual data breach requirements.

7. Agreements with Third Parties. Business Associate understands and agrees that any agent or subcontractor that may create, receive, maintain or transmit PHI on behalf of Business Associate must comply with all applicable laws and regulations as are applicable to Covered Entity in regard to PHI. Business Associate shall enter into a written agreement with any agent or subcontractor of Business Associate that will create, receive, maintain, or transmit PHI on behalf of Business Associate. Pursuant to such agreement, the agent or subcontractor shall agree to be bound by the same restrictions, terms, and conditions that apply to Business Associate under this Addendum with respect to such PHI. Such agreements with Business Associates agents and subcontractors shall be provided to Covered Entity upon request and subject to audit hereunder.

8. Access to Information. Within ten (10) days of a request by Covered Entity for access to PHI about an individual contained in a Designated Record Set, Business Associate shall make available

to Covered Entity such PHI for so long as such information is maintained by Business Associate in the Designated Record Set, as required by 45 CFR 164.524. In the event any individual delivers directly to Business Associate a request for access to PHI, Business Associate shall within five (5) days forward such request to Covered Entity.

9. Availability of PHI for Amendment. Within ten (10) days of receipt of a request from Covered Entity for the amendment of an individual's PHI or a record regarding an individual contained in a Designated Record Set (for so long as the PHI is maintained in the Designated Record Set), Business Associate shall provide such information to Covered Entity for amendment and incorporate any such amendments in the PHI as required by 45 CFR 164.526.

10. Documentation of Disclosures. Business Associate agrees to document disclosures of PHI and information related to such disclosures as would be required for Covered Entity to respond to a request by an individual for an accounting of disclosures of PHI in accordance with 45 CFR 164.528. At a minimum, Business Associate shall provide Covered Entity with the following information: (i) the date of the disclosure; (ii) the name of the entity or person who received the PHI, and if known, the address of such entity or person; (iii) a brief description of the PHI disclosed; and (iv) a brief statement of the purpose of such disclosure which includes an explanation of the basis for such disclosure.

11. Accounting of Disclosures. Within ten (10) days of notice by Covered Entity to Business Associate that it has received a request for an accounting of disclosures of PHI regarding an individual, Business Associate shall make available to Covered Entity information collected in accordance with Section 10 of this Addendum, to permit Covered Entity to respond to the request for an accounting of disclosures of PHI in accordance with 45 CFR 164.528. In the event the request for an accounting is delivered directly to Business Associate, Business Associate shall within five (5) days forward such request to Covered Entity. Business Associate hereby agrees to implement an appropriate record keeping process to enable it to comply with the requirements of this Section.

12. Other Obligations. To the extent that Business Associate is to carry out Covered Entity's obligation under HIPAA, Business Associate shall comply with the requirements of HIPAA that apply to the Covered Entity in the performance of such obligation.

13. Availability of Books and Records. Business Associate hereby agrees to make its internal practices, books, and records relating to the use and disclosure of PHI received from, or created or received by Business Associate on behalf of, Covered Entity available to Covered Entity and to the Secretary for purposes of determining Covered Entity's compliance with HIPAA for the term of this Agreement and for five years following the final payment under the Agreement.

14. Effect of Termination of Agreement. Upon the termination of the Agreement or this Addendum for any reason, Business Associate shall return to Covered Entity, at its expense and within sixty (60) days of the termination, all PHI owned by or belonging to Covered Entity as provided in the Agreement, and shall retain no copies of the PHI unless required by law. In the event that the law requires Business Associate to retain copies of PHI, Business Associate shall extend the protections of this Addendum to such PHI and limit further uses and disclosures of such PHI to those purposes required by law, for so long as Business Associate maintains such PHI. This provision includes, but is not limited to, PHI: (a) received from Covered Entity; (b) created or received by Business Associate on behalf of Covered Entity; and, (c) in the possession of subcontractors or agents of Business Associate. This provision includes PHI in any form, recorded

on any medium, or stored in any storage system. In addition, the Business Associate shall return any books, records, or other documents required by the Agreement.

15. *Breach of Contract by Business Associate.* In addition to any other rights Covered Entity may have in the Agreement, this Addendum or by operation of law or in equity, Covered Entity may (i) immediately terminate the Agreement if Covered Entity determines that Business Associate has violated a material term of this Addendum, or (ii) at Covered Entity's option, permit Business Associate to cure or end any such violation within the time specified by Covered Entity. Covered Entity's exercise of its option to permit Business Associate to cure a breach of this Addendum shall not be construed as a waiver of any other rights Covered Entity has in the Agreement, this Addendum or by operation of law or in equity.

16. *Indemnification.* Business Associate shall defend, indemnify and hold harmless Covered Entity and its officers, trustees, employees, subcontractors and agents from and against any and all claims, penalties, fines, costs, liabilities or damages, including but not limited to reasonable attorney fees, incurred by Covered Entity arising from a violation by Business Associate or its subcontractors of Business Associate's obligations under this Addendum or HIPAA. This Section 16 of the Addendum shall survive the termination of the Agreement or this Addendum.

17. *Exclusion from Limitation of Liability.* To the extent that Business Associate has limited its liability under the terms of the Agreement, whether with a maximum recovery for direct damages or a disclaimer against any consequential, indirect or punitive damages, or other such limitations, all limitations shall exclude any damages to Covered Entity arising from Business Associate's breach of its obligations relating to the use and disclosure of PHI. This Section 17 of the Addendum shall survive the termination of the Agreement and this Addendum.

18. *Injunctive Relief.* Business Associate acknowledges and stipulates that the unauthorized use or disclosure of PHI by Business Associate or its subcontractors while performing services pursuant to the Agreement or this Addendum would cause irreparable harm to Covered Entity, and in such event, Covered Entity shall be entitled, if it so elects, to institute and prosecute proceedings in any court of competent jurisdiction, either in law or in equity, to obtain damages and injunctive relief, together with the right to recover from Business Associate costs, including reasonable attorneys' fees, for any such breach of the terms and conditions of the Agreement or this Addendum.

19. *Third Party Rights.* The terms of this Addendum are not intended, nor should they be construed, to grant any rights to any parties other than Business Associate and Covered Entity.

20. *Owner of PHI.* Under no circumstances shall Business Associate be deemed in any respect to be the owner of any PHI used or disclosed by or to Business Associate pursuant to the terms of the Agreement.

21. *Changes in the Law.* Covered Entity may amend either the Agreement or this Addendum, as appropriate, to conform to any new or revised federal or state legislation, rules, regulations, and records retention policies to which Covered Entity is subject now or in the future including, without limitation, HIPAA.

22. *Judicial and Administrative Proceedings.* In the event Business Associate receives a subpoena, court, or administrative order or other discovery request or mandate for release of PHI, Business Associate shall notify Covered Entity of such within five business days by providing a copy of such and any applicable comments. Covered Entity shall have the right to control Business Associate's response to such request.

23. Conflicts. If there is any direct conflict between the Agreement and this Addendum, the terms and conditions of this Addendum shall control.

IN WITNESS WHEREOF, the parties have executed this Addendum effective the day and year first above written.

**STATE OF LOUISIANA
OFFICE OF GROUP BENEFITS**


By: 
Signature

Tommy Teague
Printed Name

Title: Chief Executive Officer

Date: 3/15/19

BUCK GLOBAL, LLC

By: 
Signature

Brian Stitzel
Printed Name

Title: U.S. Health Practice Leader

Date: 3/15/2019

ATTACHMENT IV: IMAGING SYSTEM SURVEY COMPLIANCE AND RECORDS DESTRUCTION (REVISED)

In connection with OGB's electronic records retention requirements and within thirty (30) days of the Contract's effective date, Contractor shall complete a State Archives Imaging System Survey ("System Survey") and forward to OGB.Records@la.gov¹, or as otherwise directed by OGB. According to LAC 4:XVII.1305(A), the System Survey must contain the following information:

1. A list of all OGB records series² maintained/managed by Contractor's system;
2. The hardware and software used including model number, version number and total storage capacity;
3. The type and density of media used by Contractor's system;
4. The type and resolution of images being produced (TIFF class 3 or 4 and dpi);
5. Contractor's quality control procedures for image production and maintenance;
6. Contractor's system's back up procedures including location of back-up (on or off-site) and number of existing images; and
7. Contractor's migration plan for purging images from the system that have met their retention period.

OGB shall review the System Survey to make an initial determination of conformity with LAC 4:XVII.1305(A). Once OGB determines that Contractor's System Survey contains the requisite information, OGB will forward the System Survey to the Secretary of State. As a continuing requirement, any system changes necessitating a revised System Survey response must be submitted to the Secretary of State within ninety (90) days of the change. To ensure compliance with this rule, Contractor shall notify the Records Officer of these changes within sixty (60) days so that he or she may forward the appropriate information to the Secretary of State.

Further, to ensure compliance with OGB's Schedules (Attachment III) and applicable laws, Contractor shall not destroy any OGB records unless records are converted to digital images and thereafter approved for destruction or other disposition by the Secretary of State. Contractor shall request expedited authority to destroy or otherwise dispose of converted records by email to disposals@sos.louisiana.gov with "EDR_12014-009 OGB [Buck Global, LLC]" in the subject line, carbon copy to the Records Officer and OGB.Records@la.gov, and a description of the subject records per the OGB Schedules (such as "Actuarial Documents, scanned and inspected, for the week/month of X") in the body. Upon receiving approval of the Secretary of State to destroy or otherwise dispose of the requested records, Contractor shall commence destruction or other approved disposition of said records. Contemporaneously therewith, Contractor shall complete a Certificate of Destruction (SSARC 933) form which shall be forwarded to the Records Officer. All SSARC forms can be found on the Louisiana Secretary of State's website <http://www.sos.la.gov/HistoricalResources/ManagingRecords/GetForms/Pages/default.aspx>.

¹ If OGB makes a different designation, OGB will notify Contractor of the change and provide updated contact information.

² A records series is a group of related or similar records that may be filed together as a unit, used in a similar manner, and typically evaluated as a unit for determining retention periods. LAC 4:XVII.301(A). The records series listed in Contractor's imaging survey should correspond to the records series listed on the OGB official Record Retention Schedule, Attachment III.

ATTACHMENT VII: TRSL CONFIDENTIALITY AGREEMENT

This Confidentiality Agreement ("Agreement") is entered into by the Teachers' Retirement System of Louisiana ("TRSL"); the State of Louisiana, Division of Administration, Office of Group Benefits ("OGB"); and OGB's contractor, Buck Global, LLC ("Contractor"), pertaining to the data ("Data") obtained by OGB and/or Contractor pursuant to the Data Sharing Agreement between TRSL and OGB dated February 14, 2017. Any such Data received by Contractor is to be utilized only in the manner described in the Data Sharing Agreement, and only in the performance of Contractor's obligations as requested.

OGB and Contractor acknowledge that they are prohibited by Article I, Section 5 of the Louisiana Constitution of 1974 from releasing the Data supplied by TRSL containing personal information to any persons, agencies, or other third parties pursuant to a public records request. OGB and Contractor further acknowledge that they are required by federal laws and regulations and in particular the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), to safeguard and protect all health information. OGB and Contractor agree that TRSL Data shall not be sold by OGB, Contractor, their officers, agents, employees, representatives, contractors, or subcontractors, for any purpose.

TRSL, Contractor, and OGB recognize that in the course of this Agreement information will be exchanged consisting of confidential trade secret or business information ("Confidential Information"). Each party shall treat the other party's Confidential Information as it would treat its own confidential trade secret or business information, and with at least reasonable care as is appropriate to avoid unauthorized use or disclosure.


The obligations set forth herein shall not apply to information that (i) is or becomes generally known to the public, other than as a result of a disclosure of a party's Confidential Information by the other party or a disclosure of Data in violation of this Agreement, (ii) is rightfully in the possession of the other party prior to disclosure, free of any obligation of confidentiality, (iii) is received by a party in good faith and without restriction from a third party not under a confidentiality obligation to the other party and having the right to make such disclosure, or (iv) is independently developed without reference to the other party's Confidential Information.

In addition, any reports, information, documents, or work products given to or prepared or assembled by Contractor, its agents, servants, independent contractors, or employees under this Agreement, which OGB requests to be maintained as confidential, shall not be made available by Contractor, its agents, servants, independent contractors, or employees to any person or entity without the prior written approval of OGB.


Any reports, information, documents, or work products given by or received either directly or indirectly from TRSL pertaining to the members and/or retirees of TRSL shall be kept confidential and used only for the specific governmental purpose authorized and approved by OGB, and shall not be made available by Contractor, its agents, servants, independent contractors, or employees to any person or entity without the prior written approval of OGB. Contractor, its agents, servants, independent contractors, and/or employees shall at all times maintain the confidentiality of the personally identifiable information of TRSL's members and retirees, including but not limited to the social security numbers, date of birth and member and retiree names.

Contractor shall indemnify and save harmless OGB and TRSL against any and all third party claims, losses, liabilities, demands, suits, causes of action, damages, and judgments of sums of money to any party accruing against OGB and/or TRSL to the extent and growing out of or resulting from any breach of this Confidentiality Agreement by Contractor, its agents, servants, independent contractors, or employees while engaged in, about, or in connection with the discharge or performance of the terms of this Agreement and/or any associated contract. Such indemnification shall include OGB's and TRSL's fees and costs of litigation, including, but not limited to, reasonable attorney's fees. Additionally, nothing herein shall preclude OGB and/or TRSL from seeking injunctive relief under Louisiana law against any asserted violation by Contractor of this Agreement.


OFFICE OF GROUP BENEFITS

By: Tommy Teague
Title: Chief Executive Officer
Signature: 
Date: 2/5/2019

BUCK GLOBAL, LLC

By: Brian Stibel
Title: US INTERNAL PRACTICE LEADER
Signature: 
Date: 3/5/19

TEACHERS' RETIREMENT SYSTEM OF LOUISIANA

By: Dana L. Vicknair
Title: Director
Signature: 
Date: 1/22/2019

Amendment to Contract Between

**State of Louisiana
Office of Group Benefits (OGB)**

And

**Buck Global, LLC (Formerly Conduent HR Consulting, LLC)
420 Lexington Ave, Suite 2220
New York, NY 10170-2220**

CHANGE FROM:

3.4 PAYMENT TERMS

In consideration of the services required by this Contract, OGB hereby agrees to pay to Contractor a maximum fee of Two Million Three Hundred and Four Thousand Dollars (\$2,304,000.00) for work performed during the initial thirty-six (36)-month term of this Contract or a maximum fee of Sixty-Four Thousand Dollars (\$64,000) per month for thirty-six (36) months. This fee is inclusive of travel and all Contract-related expenses. Payments are predicated upon successful completion and written approval by OGB of the described services and deliverables as provided in the Contract. Contractor will not be paid more than the maximum amount of the Contract. No payments will be made by OGB on banking or State holidays.

Contractor will submit detailed monthly invoices due on the 20th of each month documenting the activities performed and the status of outstanding deliverables. Upon approval of each submitted invoice by OGB's Chief Executive Officer or designee, OGB will render payment of undisputed amounts within thirty (30) days. Payment will be made via Electronic Funds Transfer ("EFT"), a method in which payment is sent directly from the State's bank to the Contractor's bank.

CHANGE TO:

3.4 PAYMENT TERMS

In consideration of the services required by this Contract, OGB hereby agrees to pay to Contractor a maximum fee of Two Million Three Hundred and Thirty-Nine Thousand Dollars (\$2,339,000.00) for work performed during the initial thirty-six (36)-month term of this Contract, or a maximum fee of Sixty-Four Thousand Dollars (\$64,000.00) per month for thirty-six (36) months in addition to a one-time fee of Thirty-Five Thousand Dollars (\$35,000.00) for HIPAA compliance, audit, and training services. This fee is inclusive of travel and all Contract-related expenses. Payments are predicated upon successful completion and written approval by OGB of the described services and deliverables as provided in the Contract.

Contractor will not be paid more than the maximum amount of the Contract. No payments will be made by OGB on banking or State holidays.

Contractor will submit detailed monthly invoices due on the 20th of each month documenting the activities performed and the status of outstanding deliverables. Upon approval of each submitted invoice by OGB's Chief Executive Officer or designee, OGB will render payment of undisputed amounts within thirty (30) days. Payment will be made via Electronic Funds Transfer ("EFT"), a method in which payment is sent directly from the State's bank to the Contractor's bank.

CHANGE FROM:

Attachment I: Scope of Services, 1.1 Tasks and Services

Task (6): Audits

- Perform annual performance audits of selected contractor(s) deliverables as defined by OGB, such as the health plan third-party administrator and pharmacy benefit manager, to include, but not limited to: 1) Rebates – review and compare estimated and gross rebates received to the actual paid amounts to OGB; 2) Network – review actuarial reimbursements against contractual discounts; and 3) Claims – review actual claims paid and compare to the benefit plan to ensure benefits are paid according to the plan design.

CHANGE TO:

Attachment I: Scope of Services, 1.1 Tasks and Services

Task (6): Audits

- Perform annual performance audits of selected contractor(s) deliverables as defined by OGB, such as the health plan third-party administrator and pharmacy benefit manager, to include, but not limited to: 1) Rebates – review and compare estimated and gross rebates received to the actual paid amounts to OGB; 2) Network – review actuarial reimbursements against contractual discounts; and 3) Claims – review actual claims paid and compare to the benefit plan to ensure benefits are paid according to the plan design.
- Perform audits of OGB's compliance with HIPAA, as requested by OGB, on a frequency no greater than annually, to include, but not limited to: 1) review and evaluation of and recommendations for OGB's HIPAA Privacy and Security Policies; 2) perform a HIPAA security risk analysis of OGB; 3) review and evaluate HIPAA training materials and provided any suggested changes; 4) review and evaluate HIPAA-related correspondence and notices issued by OGB; and 5) HIPAA reporting.

CHANGE FROM:

Attachment I: Scope of Services, 1.1 Tasks and Services

Task (10) General Services

- Evaluate OGB's compliance with the Patient Protection and Affordable Care Act and any other applicable proposed and final federal and state laws and regulations.
- Provide actuarial opinions and testimony on reimbursement methodology and benefit plans that have actuarial value in accordance with the principles and guidelines of the American Academy of Actuaries.
- Provide annual innovative strategic recommendations on plan administration and plan design to mitigate rising costs and maintain member value.
- Provide rate certification, benchmark letters, and related documents as prescribed by OGB.
- Provide supporting documentation, formulas, explanations, and offer mechanisms to verify the accuracy of analyses, reporting, and/or tasks performed as requested by OGB.
- Work with any successor appointed by OGB to successfully transition all non-proprietary data, methodologies, documentation, and ongoing projects.
- Provide other related assistance as requested by OGB.

CHANGE TO:

Attachment I: Scope of Services, 1.1 Tasks and Services

Task (10) General Services

- Evaluate OGB's compliance with the Patient Protection and Affordable Care Act and any other applicable proposed and final federal and state laws and regulations.
- Provide actuarial opinions and testimony on reimbursement methodology and benefit plans that have actuarial value in accordance with the principles and guidelines of the American Academy of Actuaries.
- Provide annual innovative strategic recommendations on plan administration and plan design to mitigate rising costs and maintain member value.
- Provide rate certification, benchmark letters, and related documents as prescribed by OGB.
- Provide supporting documentation, formulas, explanations, and offer mechanisms to verify the accuracy of analyses, reporting, and/or tasks performed as requested by OGB.
- Work with any successor appointed by OGB to successfully transition all non-proprietary data, methodologies, documentation, and ongoing projects.
- Provide HIPAA compliance training and materials, support services, and executable files with unlimited licenses, submitted in the format designated by OGB, for use by OGB.
- Provide HIPAA compliance services.
- Provide other related assistance as requested by OGB.

**AMEND CONTRACT TO ADD THE FOLLOWING DELIVERABLES TO
ATTACHMENT I: SCOPE OF SERVICES, 1.2 DELIVERABLES**

- Provide HIPAA compliance materials.
- Provide executable files with unlimited licenses, submitted in the format designated by OGB, for use by OGB. Executable files will be submitted to OGB within thirty (30) days of the effective date of the amendment.

Effective Date of Amendment: July 1, 2019

Justification for Amendment: To amend Section 3.4 Payment Terms to update the maximum payable amount and to amend Attachment I: Scope of Services to include HIPAA audits, HIPAA compliance services, and related deliverables.

No Amendment shall be valid until it has been executed by all parties and approved by the Office of State Procurement, Division of Administration.

All other provisions of the Contract shall remain in full force and effect. Any conflict between the Contract and this Amendment regarding the subject matters of this Amendment shall be resolved in favor of this Amendment.

This Amendment contains or has attached hereto all revised terms and conditions agreed upon by contracting parties.

IN WITNESS THEREOF, this Amendment is signed and entered into on the date(s) stated below.

BUCK GLOBAL, LLC

**STATE OF LOUISIANA
OFFICE OF GROUP BENEFITS**

BY: 

BY: 

NAME: Brian Stitzel

NAME: Tommy Teague

TITLE: U.S. Health Practice Leader

TITLE: Chief Executive Officer

DATE: June 27, 2019

DATE: _____

Amendment to Contract Between

**State of Louisiana
Office of Group Benefits (OGB)**

And

**Buck Global, LLC (Formerly Conduent HR Consulting, LLC)
420 Lexington Ave, Suite 2220
New York, NY 10170-2220**

CHANGE FROM:

3.4 PAYMENT TERMS

In consideration of the services required by this Contract, OGB hereby agrees to pay to Contractor a maximum fee of Two Million Three Hundred and Thirty-Nine Thousand Dollars (\$2,339,000.00) for work performed during the initial thirty-six (36)-month term of this Contract, or a maximum fee of Sixty-Four Thousand Dollars (\$64,000.00) per month for thirty-six (36) months in addition to a one-time fee of Thirty-Five Thousand Dollars (\$35,000.00) for HIPAA compliance, audit, and training services. This fee is inclusive of travel and all Contract-related expenses. Payments are predicated upon successful completion and written approval by OGB of the described services and deliverables as provided in the Contract. Contractor will not be paid more than the maximum amount of the Contract. No payments will be made by OGB on banking or State holidays.

Contractor will submit detailed monthly invoices due on the 20th of each month documenting the activities performed and the status of outstanding deliverables. Upon approval of each submitted invoice by OGB's Chief Executive Officer or designee, OGB will render payment of undisputed amounts within thirty (30) days. Payment will be made via Electronic Funds Transfer ("EFT"), a method in which payment is sent directly from the State's bank to the Contractor's bank.

CHANGE TO:

3.4 PAYMENT TERMS

In consideration of the services required by this Contract, OGB hereby agrees to pay to Contractor a maximum fee of Two Million Five Hundred and Eighty-Nine Thousand Dollars (\$2,589,000.00) for work performed during the initial thirty-six (36)-month term of this Contract, or a maximum fee of Sixty-Four Thousand Dollars (\$64,000.00) per month for thirty-six (36) months in addition to a one-time fee of Thirty-Five Thousand Dollars (\$35,000.00) for HIPAA compliance, audit, and training services, and five (5) monthly payments of fifty

thousand dollars (\$50,000.00) that will equal a total payment in the amount of two hundred and fifty thousand dollars (\$250,000.00) for pharmacy benefit manager contract implementation services. This fee is inclusive of travel and all Contract-related expenses. Payments are predicated upon successful completion and written approval by OGB of the described services and deliverables as provided in the Contract. Contractor will not be paid more than the maximum amount of the Contract. No payments will be made by OGB on banking or State holidays.

Contractor will submit detailed monthly invoices due on the 20th of each month documenting the activities performed and the status of outstanding deliverables. Upon approval of each submitted invoice by OGB's Chief Executive Officer or designee, OGB will render payment of undisputed amounts within thirty (30) days. From September 2020 through January 2021 on the 20th of each month, the Contractor will submit five (5) additional detailed invoices for the pharmacy benefit manager contract implementation services in the amount of fifty thousand dollars (\$50,000.00) that will total two hundred and fifty thousand dollars (\$250,000.00). Upon approval by OGB's Chief Executive Officer or designee of the monthly invoices for the pharmacy benefit manager contract implementation services, OGB will render payment of undisputed amounts within thirty (30) days. Payment will be made via Electronic Funds Transfer ("EFT"), a method in which payment is sent directly from the State's bank to the Contractor's bank.

CHANGE FROM:

Attachment I: Scope of Services, 1.1 Tasks and Services

Task (9): Procurement

- Assist OGB in the development of documentation needed for competitive procurements, benefit designs, contracts, return on investment ("ROI") projections, budget projections for new programs and existing programs, in accordance with applicable law and regulations.
- Analyze financial data (i.e., calculation of discount savings and rebates, claims re-pricing, etc.), benefit designs, and/or network disruption submitted in response to competitive procurements.

CHANGE TO:

Attachment I: Scope of Services, 1.1 Tasks and Services

Task (9): Procurement

- Assist OGB in the development of documentation needed for competitive procurements, benefit designs, contracts, return on investment ("ROI") projections, budget projections for new programs and existing programs, in accordance with applicable law and regulations.
- Analyze financial data (i.e., calculation of discount savings and rebates, claims re-pricing, etc.), benefit designs, and/or network disruption submitted in response to competitive procurements.

- Assist OGB with implementing the pharmacy benefit manager contract effective January 1, 2021, as provided in Attachment VII: Pharmacy Benefit Manager Implementation Services.

AMEND CONTRACT TO ADD ATTACHMENT VII: IMPLEMENTATION SERVICES ATTACHED HERETO AND INCORPORATED INTO THE CONTRACT BY REFERENCE.

Effective Date of Amendment: July 15, 2020

Justification for Amendment: To amend Section 3.4 Payment Terms to update the maximum payable amount and to amend Attachment I: Scope of Services to include pharmacy benefits manager contract implementation services, and related deliverables.

No Amendment shall be valid until it has been executed by all parties and approved by the Office of State Procurement, Division of Administration.

All other provisions of the Contract shall remain in full force and effect. Any conflict between the Contract and this Amendment regarding the subject matters of this Amendment shall be resolved in favor of this Amendment.

This Amendment contains or has attached hereto all revised terms and conditions agreed upon by contracting parties.

IN WITNESS THEREOF, this Amendment is signed and entered into on the date(s) stated below.

BUCK GLOBAL, LLC

**STATE OF LOUISIANA
OFFICE OF GROUP BENEFITS**

BY:  _____

BY:  _____

NAME: Harry Reinhart Jr.

NAME: Tommy Teague

TITLE: Central Region Managing Director

TITLE: Chief Executive Officer

DATE: July 21, 2020

DATE: 7/27/2020

ATTACHMENT VII: PHARMACY BENEFIT MANAGER IMPLEMENTATION SERVICES

Buck Global, LLC (“Contractor”) will oversee the entire implementation process for implementing the OGB’s pharmacy benefit manager (“PBM”) contract, effective January 1, 2021.

Attachment VII, PBM Implementation Services is organized into separate projects, which will be undertaken concurrently:

- PBM Implementation Management Services- commercial;
- PBM Implementation Management Services- EGWP; and,
- Pre-Implementation Audit of PBM.

Implementation Management Services - Commercial - July 1, 2020 - January 31, 2021

Contractor’s Implementation Management Services will encompass the following, but not limited to:

- Management of the entire implementation process with PBM on behalf of OGB;
- Kick-off meeting preparation;
- Implementation kick-off meeting with PBM and OGB (via conference call);
- Weekly calls and meetings following kick-off meeting (or more frequently, if needed);
- General reporting requirements and set-up;
- Plan design set-up;
- Eligibility and enrollment issue resolutions;
- If applicable, PBM transition and related implementation issues;
- Evaluation and comparison of PBM’s clinical/utilization management programs, including recommendations;
- OGB’s customization requirements;
- Review of Contractor Audit Group’s Pre-Implementation Audit report (see Pre-Implementation Audit project below.);
- Performance guarantee finalization and report template development;
- Accumulator oversight;
- Participation in meetings/conference calls with Contractor Audit Group and OGB to discuss pre-implementation audit findings and next steps;
- Post-implementation follow-up with PBM and OGB through January 31, 2021.

Implementation Management Services - EGWP - July 1, 2020 - January 31, 2021

General Implementation Oversight - Phase 1

- Management of the entire implementation process with PBM on behalf of OGB
- Kick-off meeting preparation
- Implementation kick-off meeting with PBM and OGB (via conference call)

- Conduct detailed clinical review and provide recommendations, which would include counsel on the following:
 - a) Formulary disruption mitigation
 - b) Rebate impact
 - c) Retail network disruption mitigation
 - d) Medication therapy management (MTM) review
- Thoroughly review and provide input into PBM's implementation plan to ensure that it meets OGB's needs now and ongoing
- Manage project plan tasks for on-time implementation.
- Participate and facilitate weekly calls related to EGWP implementation
- Manage plan design document development with PBM which will require OGB final sign-off.
- Advise OGB on best-practices and assist with set up of ongoing financial reconciliation processes.
- If applicable, address CMS required processes and communications as a result of the transition to a PBM
- Report customization

Communications - Phase 2

- If applicable, work with OGB communications on announcement/transition letters and communications plan.
- Regular Open Enrollment mailing explaining process and describing post-enrollment materials required by CMS: Review and edit the OGB's Open Enrollment communications to add EGWP specific language (usually mid-November).
- Review, edit, and customize as permitted, PBM's generated communications, many of which are Medicare Part D required documents:
 - Welcome kit mailing including Evidence of Coverage, formulary, pharmacy directory, welcome letter, ID card carrier
 - Opt-out communications
 - Exhibit and enrollment letters (more than 50 different letters)
 - Explanation of Benefits
 - Medication Therapy Management letter
 - Other CMS-required communications
 - Other PBM communications
- Develop customized communications in addition to those required by CMS. Create customized insert for welcome kit, insert for Explanation of Benefits and frequently asked questions (FAQs).

Pre-Implementation Audit of PBM - Commercial and EGWP Plans - September 2020 - January 2021

The purpose of a pre-implementation audit is to independently verify that the agreed-upon pricing and other terms have been implemented correctly into the PBM's systems. Contractor's Audit Group will conduct this pre-implementation audit of PBM, which will cover the scope of services outlined below.

Service	Description
Audit Services	Purpose: To test and verify the various parameters required for the administration of the OGB's pharmacy benefit plan prior to the "Go-Live" date of January 1, 2021
Benefit Plan	Commercial and EGWP Plans
Administrator	TBD
System Set-up	<ul style="list-style-type: none">• Benefit design<ul style="list-style-type: none">○ Deductible (<i>if applicable</i>)○ Coinsurance (<i>if applicable</i>)○ Copayment○ Limitations○ Exclusions○ DAW• Maintenance drug coverage rules• Duplicate claim criteria
Contract Pricing	<ul style="list-style-type: none">• Ingredient cost (AWP) pricing<ul style="list-style-type: none">○ Examples include: Retail, Mail, Generic, Brand, Formulary, Specialty, U&C, MAC, etc.• Dispensing Fees
Claim Adjudication	<ul style="list-style-type: none">• Electronic claim submission testing• Systematic messaging• Claim edits
Project Closure	Following the delivery of the Final report, the project will be considered complete. It will be the OGB's responsibility to implement the recommendations with assistance from the auditor, if desired.

Tobacco Settlement Financing Corporation

Post Office Box 94095
Baton Rouge, Louisiana 70802-9095
Telephone: 225-342-7000
Fax: 225-342-1057

Board Proposed
2020-2021 Budget

Legal Representation (DOJ)	\$15,000
Investment Management Services (STO)	\$15,000
Financial Statement Compilation (P&N)	\$17,000
Legislative Auditor	\$34,000
Omnicap	\$7,500
Rating Services Fee- S&P	\$25,000
Bank Charges	
Bank of New York Mellon (Trust Accounts)	\$ 2,000
Other Expenses*	\$ 9,500
TOTAL	\$125,000

***Other is to pay any unexpected bills or expenses.**

COVID 19 FEDERAL FUNDING - Preamble Section 2B

NOTE: The items reported are only those federally funded that currently flow through the State Budget. Updated to reflect appropriations contained in Act 45 of 2nd ES of 2020.

DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
01A_EXEC	01_107	DOA	Increases budget authority associated with the Governor's Emergency Education Relief Fund in response to the COVID-19 pandemic.	\$0	\$0	\$47,564,076	\$47,564,076
01A_EXEC	01_111	Homeland Security	Federal Funds budget authority in the Administrative Program from the coronavirus relief fund in the CARES Act and other federal funds related to the pandemic.	\$0	\$0	\$544,013,187	\$544,013,187
01A_EXEC	01_111	Homeland Security	Statutory Dedications in the Coronavirus Local Recovery Allocation Fund for payments to local governments for eligible expenses related to COVID-19 response efforts.	\$0	\$432,651,310	\$0	\$432,651,310
01A_EXEC	01_116	LA Defender Board	Provide funding from the Coronavirus Emergency Supplemental Funds (CESF) from the Louisiana Commission on Law Enforcement to purchase PPE supplies for the public defender district offices.	\$491,862	\$0	\$0	\$491,862
01A_EXEC	01_129	LCLE	Provides Federal Funds budget authority due to the CARES Act allocation to the U.S. Department of Justice, Bureau of Justice Assistance, to support criminal justice needs related to coronavirus.	\$0	\$0	\$5,000,000	\$5,000,000
01A_EXEC	01_133	Elderly Affairs	Increases federal authority associated with the congregate meals and delivered meals to the elderly population due to resources awarded within the CARES Act.	\$0	\$0	\$11,375,000	\$11,375,000
01A_EXEC			Department Total:	\$491,862	\$432,651,310	\$607,952,263	\$1,041,095,435

04A_SOS	04_139	Secretary of State	Increases Statutory Dedications in the Help Louisiana Vote Fund for Covid-19 related expenditures for the 2020 federal election cycle. The original source of funding is federal through the Coronavirus Aid, Relief, and Economic Security (CARES) Act. The funding is distributed by the U.S. Election Assistance Commission (EAC) to provide states with additional resources to protect the 2020 elections from the effects of Covid-19.	\$0	\$4,937,116	\$0	\$4,937,116
04A_SOS			Department Total:	\$0	\$4,937,116	\$0	\$4,937,116

04D_TREA	04_147	State Treasurer	Increase from the Louisiana Main Street Recovery Fund to the Administrative Program to carry out the provisions of Act 311 of the 2020 Regular Session of the Legislature. According to Act 311 the Treasurer will receive up to 5% from the fund to administer the Louisiana Main Street Recovery Program.	\$0	\$15,000,000	\$0	\$15,000,000
04D_TREA			Department Total:	\$0	\$15,000,000	\$0	\$15,000,000

08A_CORR	08_400	Corrections-Admin	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$13,989,825	\$0	\$0	\$13,989,825
08A_CORR	08_402	La State Pen	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$62,683,751	\$0	\$0	\$62,683,751
08A_CORR	08_405	Avoyelles Corr Ctr	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$13,088,377	\$0	\$0	\$13,088,377
08A_CORR	08_406	La Corr Inst Women	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$9,769,270	\$0	\$0	\$9,769,270
08A_CORR	08_408	Allen Corr Ctr	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$5,895,568	\$0	\$0	\$5,895,568
08A_CORR	08_409	Dixon Corr Inst	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$17,552,843	\$0	\$0	\$17,552,843

COVID 19 FEDERAL FUNDING - Preamble Section 2B

NOTE: The items reported are only those federally funded that currently flow through the State Budget. Updated to reflect appropriations contained in Act 45 of 2nd ES of 2020.

DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
08A_CORR	08_413	Hunt Corr Ctr	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$23,624,471	\$0	\$0	\$23,624,471
08A_CORR	08_414	Wade Corr Ctr	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$11,507,187	\$0	\$0	\$11,507,187
08A_CORR	08_415	Adult Prob/Parole	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$31,177,071	\$0	\$0	\$31,177,071
08A_CORR	08_416	B.B. "Sixty" Corr	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal service expenditures and operations related to COVID-19.	\$10,822,526	\$0	\$0	\$10,822,526
08A_CORR			Department Total:	\$200,110,889	\$0	\$0	\$200,110,889

08C_YSER	08_403	Juvenile Justice	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for personal services expenditures related to COVID-19.	\$35,923,198	\$0	\$0	\$35,923,198
08C_YSER			Department Total:	\$35,923,198	\$0	\$0	\$35,923,198

09A_LDH	09_300	Jeff Par Hum Serv	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$3,702,872	\$0	\$0	\$3,702,872
09A_LDH	09_301	Flor Par Hum Serv	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$4,006,408	\$0	\$0	\$4,006,408
09A_LDH	09_302	Cap Area Hum Serv	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$5,333,461	\$0	\$0	\$5,333,461
09A_LDH	09_304	Metro Hum Serv	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$2,989,844	\$0	\$0	\$2,989,844
09A_LDH	09_306	Med Vendor Pymnts	Enhanced FMAP rate due to the COVID-19 pandemic (through September 30, 2020).	\$0	\$0	\$112,015,442	\$112,015,442
09A_LDH	09_306	Med Vendor Pymnts	Enhanced FMAP rate due to the COVID-19 pandemic (through December 31, 2020) (Act 45 - HB39).	\$0	\$0	\$77,278,698	\$77,278,698
09A_LDH	09_306	Med Vendor Pymnts	Enhanced FMAP rate due to the COVID-19 pandemic (through March 30, 2021) (Act 45 - HB 39).	\$0	\$0	\$50,000,000	\$50,000,000
09A_LDH	09_307	Office of Sec	Hospital Preparedness Grant for Emergency Support Function 8 (ESF8) portal enhancements due to COVID 19.	\$0	\$0	\$573,918	\$573,918
09A_LDH	09_307	Office of Sec	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$5,647,690	\$0	\$0	\$5,647,690
09A_LDH	09_309	SCLHSA	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$4,121,456	\$0	\$0	\$4,121,456
09A_LDH	09_310	NEDHSA	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$2,483,302	\$0	\$0	\$2,483,302
09A_LDH	09_320	AgingandAdultServ.	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$2,512,949	\$0	\$0	\$2,512,949
09A_LDH	09_324	LA Emrg Rsp Ntwk	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$376,480	\$0	\$0	\$376,480

COVID 19 FEDERAL FUNDING - Preamble Section 2B

NOTE: The items reported are only those federally funded that currently flow through the State Budget. Updated to reflect appropriations contained in Act 45 of 2nd ES of 2020.

DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
09A_LDH	09_325	Acadiana Area HSD	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$3,227,127	\$0	\$0	\$3,227,127
09A_LDH	09_326	Public Health	Increases Federal Funding to respond to Covid-19 using funds received from U.S. Centers for Disease Control and Prevention (Epidemiology and Laboratory Capacity Grant and Public Health Crisis Response Grant) and U.S. Department of Housing and Urban Development (Housing Opportunities for Persons with Aids Grant) through the CARES Act. Funds will address early crises response and surge management, financial assistance to rural hospitals to respond to Covid-19, prevention and control of Covid-19 in Healthcare settings and the protection of high risk individuals, and to support HIV infected individuals who may have been affected by Covid-19. CARES Act funding will be used to increase the development, procurement, administration, and analyzation of Covid-19 tests. Funds will be also used to support the public health workforce, epidemiological efforts, and scale up testing for entities already engaged in Covid-19 testing. Funds will be utilized for Covid-19 surveillance and contact tracing that increased testing will require.	\$0	\$0	\$173,692,119	\$173,692,119
09A_LDH	09_326	Public Health	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$18,602,754	\$0	\$0	\$18,602,754
09A_LDH	09_330	Office of Behavioral Health	Increase in Federal funds from U.S. Department of Health and Human Services - Substance Abuse and Mental Health Services Administration (SAMHSA) grant for activities directly related to Louisiana's response to the Coronavirus (COVID-19) pandemic.	\$0	\$0	\$1,633,744	\$1,633,744
09A_LDH	09_330	Office of Behavioral Health	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to the Crisis Counseling Program (COVID-19 related).	\$1,188,132	\$0	\$0	\$1,188,132
09A_LDH	09_330	Office of Behavioral Health	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$36,893,455	\$0	\$0	\$36,893,455
09A_LDH	09_340	Citizens w/Dev Dis	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$2,594,635	\$0	\$0	\$2,594,635
09A_LDH	09_375	ICHSA	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$1,675,376	\$0	\$0	\$1,675,376
09A_LDH	09_376	CLHSD	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$1,975,593	\$0	\$0	\$1,975,593
09A_LDH	09_377	NWLHSD	Coronavirus Aid, Relief, and Economic Security (CARES) Act funds from Governor's Office of Homeland Security & Emergency Preparedness (GOHSEP). These funds will be used for expenditures related to COVID-19 response.	\$1,390,358	\$0	\$0	\$1,390,358
09A_LDH	09-306	Med Vendor Pymnts	NOTE: There is increased Medicaid Managed Care activity due to increased enrollment resulting from the COVID-19 pandemic and due to restrictions related to enrollment qualifications and dis-enrollment criteria. There is also a projected increase in COVID-19 related expenditures in the Fee for Service activity from July 20, 2020 - March 31, 2021. The sum of these two items is resulting in a projected increase of \$1.57 billion in federal funding. There is also a projected increase of \$281.3 million in state match for these expenditures.				
09A_LDH			Department Total:	\$98,721,892	\$0	\$415,193,921	\$513,915,813

COVID 19 FEDERAL FUNDING - Preamble Section 2B

NOTE: The items reported are only those federally funded that currently flow through the State Budget. Updated to reflect appropriations contained in Act 45 of 2nd ES of 2020.

DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
19A_HIED	19A_671	Board of Regents	Funding from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) to be used for the Louisiana Library Network (LOUIS) in the procurement of library technology and resources providing additional on-line teaching tools/resources for instructors/professors, and support of nurse capitation programs in response to the public health crisis.	\$3,250,000	\$0	\$0	\$3,250,000
19A_HIED	19A_671	Board of Regents	NOTE: State public institutions of Higher Education received approximately \$147.1M from the CARES Act, of which \$73.6M is allocated to students. Also, various state public institutions collected a total of \$39.5M as part of the Minority Serving Institutions Portion of CARES Act. These amounts were obtained directly, and are not on budget nor part of this report.				
19A_HIED			Department Total:	\$3,250,000	\$0	\$0	\$3,250,000

19B_OTED	19B_653	Deaf and Visually	Increases Interagency Transfers budget authority for eligible reimbursements to the Louisiana School for the Deaf and Visually Impaired due to funding awards from the Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020. These funds are provided through the U. S. Department of Education, Elementary and Secondary School Emergency Relief Fund (ESSER) via the Louisiana Department of Education Subgrantee Assistance Program.	\$66,146	\$0	\$0	\$66,146
19B_OTED			Department Total:	\$66,146	\$0	\$0	\$66,146

19D_LDOE	19D_681	Federal Support	Increases federal budget authority for eligible reimbursements to the Local Education Agencies due to funding awards from the Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020. These funds are provided through the U.S. Department of Education, Elementary and Secondary School Emergency Relief Fund (ESSER).	\$0	\$0	\$241,667,516	\$241,667,516
19D_LDOE	19D_681	Federal Support	Increases federal budget authority for funding awards for child nutrition from the Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020. These funds are provided through the U.S. Department of Agriculture, Food and Nutrition Service (FNS) agency.	\$0	\$0	\$32,930,539	\$32,930,539
19D_LDOE	19D_681	Federal Support	Increases federal budget authority for funding awards to early childhood centers from the Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020. These funds are provided through the U.S. Department of Health and Human Services, Child Care and Development Fund (CCDF).	\$0	\$0	\$27,632,350	\$27,632,350
19D_LDOE			Department Total:	\$0	\$0	\$302,230,405	\$302,230,405

20A_OREQ	20_451	Housing StOffender	Increases Interagency Transfers from the Governor's Office of Homeland Security and Emergency Preparedness in order to utilize Coronavirus Relief Funds (CRF) for expenses related to COVID-19.	\$88,590,185	\$0	\$0	\$88,590,185
20A_OREQ	20_941	Agri & Forest Pass	Additional funding for The Emergency Food Assistance Program (TEFAP) provided through the USDA in accordance with the CARES Act. Agriculture will pass this money through to 5 regional food banks in the state in order to provide USDA food commodities to people throughout the state in need of assistance.	\$0	\$0	\$14,000,000	\$14,000,000
20A_OREQ	20_945	State Aid to Local	Increase in Statutory Dedications out of the Critical Infrastructure Workers Hazard Pay Rebate Fund that will used for one-time hazard pay rebates for essential critical infrastructure workers as part of Act 12 of the 2020 First Extraordinary Session.	\$0	\$50,000,000	\$0	\$50,000,000
20A_OREQ	20_945	State Aid to Local	Increase in Statutory Dedications out of the Louisiana Main Street Recovery Fund for grants to provide economic support to eligible Louisiana businesses for costs incurred in connection with COVID-19.	\$0	\$260,000,000	\$0	\$260,000,000
20A_OREQ	20_XXX	Funds	Federal Coronavirus Relief Funds to the Clearing Account of the Unemployment Compensation Fund (Act 45 - HB 39)	\$0	\$0	\$85,000,000	\$85,000,000

COVID 19 FEDERAL FUNDING - Preamble Section 2B							
NOTE: The items reported are only those federally funded that currently flow through the State Budget. Updated to reflect appropriations contained in Act 45 of 2nd ES of 2020.							
DEPT	AGENCY	AGENCY NAME	DESCRIPTION	IAT_TOTAL	STAT_DED	FEDERAL	TOTAL
20A_OREQ	20_XXX	Funds	Federal Coronavirus Relief Funds to the Coronavirus Local Recovery Allocation Fund (Act 45 - HB39)	\$0	\$0	\$5,000,000	\$5,000,000
20A_OREQ			Department Total:	\$88,590,185	\$310,000,000	\$104,000,000	\$502,590,185
23A_JUDI	23_949	La Judiciary	Increase in Interagency Transfers from GOHSEP for COVID-19 related expenditures.	\$1,659,515	\$0	\$0	\$1,659,515
23A_JUDI			Department Total:	\$1,659,515	\$0	\$0	\$1,659,515
24A_LEGI	24_954	Legislative Auditor	Increase in Interagency Transfers from the Department of Treasury for work related to the Louisiana Main Street Recovery Program. Increase in the Coronavirus Local Recovery Allocation Fund for work related to the Coronavirus Local Recovery Allocation Program. These programs and the allocations to the Legislative Auditor are established by Act 311 of the 2020 Regular Session.	\$2,500,000	\$271,501	\$0	\$2,771,501
24A_LEGI	24_960	Legis Budget Control	Increase in Interagency Transfers from GOHSEP for COVID-19 related expenditures.	\$6,223,984	\$0	\$0	\$6,223,984
24A_LEGI			Department Total:	\$8,723,984	\$271,501	\$0	\$8,995,485
			Total (Inclusive of Double Counts)	\$437,537,671	\$762,859,927	\$1,429,376,589	\$2,629,774,187
			Total (Exclusive of Double Counts)	\$0	\$757,859,927	\$1,429,376,589	\$2,187,236,516

Agy	Agency Name	Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
100	EXECUTIVE OFFICE	SALARIES	413,357	Regular	1,882,446	5,311,871	3,429,425	35.44%
		RELATED BENEFITS	209,692	Regular	931,219	2,878,183	1,946,964	32.35%
		OTHER COMPENSATION	12,462	Regular	56,063	170,100	114,037	32.96%
		TRAVEL & TRAINING	0		182	70,000	69,818	0.26%
		OPERATING SERVICES	17,576	Regular	53,803	225,984	172,181	23.81%
		SUPPLIES	14,853	Regular	70,924	374,800	303,876	18.92%
		PROFESSIONAL SERVICES	25,931	Regular	91,128	530,008	438,880	17.19%
		OTHER CHARGES	199,559	Regular	1,104,830	3,521,842	2,417,012	31.37%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	4,171	Regular	471,902	591,868	119,966	79.73%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	842	Regular	1,710	0	(1,710)	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
100		Sum:	898,444		\$4,664,208	\$13,674,656	\$9,010,448	34.11%
101	OFFICE OF INDIAN AFFAIRS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	43,974	Regular	43,974	134,804	90,830	32.62%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	12,158	12,158	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
101		Sum:	43,974		\$43,974	\$146,962	\$102,988	29.92%
102	OFFICE OF INSPECTOR GENERAL	SALARIES	85,598	Regular	373,280	1,214,673	841,393	30.73%
		RELATED BENEFITS	42,340	Regular	187,287	692,214	504,927	27.06%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	7,264	7,264	0.00%
		OPERATING SERVICES	4,517	Regular	6,196	25,112	18,916	24.67%
		SUPPLIES	684	Regular	2,743	12,984	10,241	21.13%
		PROFESSIONAL SERVICES	0		0	2,500	2,500	0.00%
		OTHER CHARGES	0		0	3,866	3,866	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	26,336	Regular	246,342	329,822	83,480	74.69%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
102		Sum:	159,476		\$815,848	\$2,288,435	\$1,472,587	35.65%
103	MENTAL HEALTH ADVOCACY	SALARIES	184,864	Regular	852,176	2,587,612	1,735,436	32.93%
		RELATED BENEFITS	97,802	Regular	438,761	1,457,456	1,018,695	30.10%
		OTHER COMPENSATION	7,052	Regular	29,184	146,045	116,861	19.98%
		TRAVEL & TRAINING	632	Regular	3,471	101,919	98,448	3.41%
		OPERATING SERVICES	5,783	Regular	32,311	110,009	77,698	29.37%
		SUPPLIES	669	Regular	1,591	22,662	21,071	7.02%
		PROFESSIONAL SERVICES	0		0	29,506	29,506	0.00%
		OTHER CHARGES	0		0	970,000	970,000	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	12,914	Regular	361,229	501,010	139,781	72.10%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
103		Sum:	309,716		\$1,718,722	\$5,926,219	\$4,207,497	29.00%
106	LOUISIANA TAX COMMISSION	SALARIES	170,884	Regular	768,270	2,249,333	1,481,063	34.16%
		RELATED BENEFITS	91,302	Regular	416,937	1,515,905	1,098,968	27.50%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	7,914	Regular	26,189	180,000	153,811	14.55%
		OPERATING SERVICES	3,539	Regular	8,606	162,430	153,824	5.30%
		SUPPLIES	1,271	Regular	3,331	20,000	16,669	16.66%
		PROFESSIONAL SERVICES	31,248	Regular	82,061	295,000	212,939	27.82%
		OTHER CHARGES	0		0	80,000	80,000	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,825	Regular	182,666	313,240	130,574	58.31%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
106		Sum:	307,981		\$1,488,061	\$4,815,908	\$3,327,847	30.90%
107	DIVISION OF ADMINISTRATION	SALARIES	2,406,276	Regular	10,784,542	32,621,407	21,836,865	33.06%
		RELATED BENEFITS	1,191,828	Regular	5,546,080	20,169,594	14,623,514	27.50%

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		OTHER COMPENSATION	65,652	Regular	313,346	870,879	557,534	35.98%
		TRAVEL & TRAINING	2,939	Regular	7,002	164,635	157,633	4.25%
		OPERATING SERVICES	1,504,505	Regular	3,858,120	15,877,182	12,019,062	24.30%
		SUPPLIES	105,774	Regular	189,550	1,132,787	943,237	16.73%
		PROFESSIONAL SERVICES	18,238	Regular	40,279	824,157	783,878	4.89%
		OTHER CHARGES	23,139,227	Regular	69,407,358	653,050,969	583,643,611	10.63%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,186,535	Regular	11,188,591	37,385,605	26,197,014	29.93%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	5,618	Regular	10,183	326,180	315,997	3.12%
		AUXILIARY PROGRAM	112,959	Regular	621,741	34,001,273	33,379,532	1.83%
		UNALLOTTED	0		0	0	0	0.00%
107		Sum:	29,739,551		\$101,966,793	\$796,424,668	\$694,457,875	12.80%
109	COASTAL PROCT AND RESTOR	SALARIES	1,029,366	Regular	4,652,103	14,933,506	10,281,403	31.15%
		RELATED BENEFITS	538,691	Regular	2,376,940	7,765,539	5,388,599	30.61%
		OTHER COMPENSATION	17,552	Regular	77,470	303,307	225,837	25.54%
		TRAVEL & TRAINING	4,446	Regular	3,951	122,520	118,569	3.22%
		OPERATING SERVICES	155,494	Regular	748,929	1,868,012	1,119,083	40.09%
		SUPPLIES	9,291	Regular	26,517	210,185	183,668	12.62%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	2,206,715	Regular	6,635,894	95,131,734	88,495,840	6.98%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	974,098	Regular	3,876,724	27,536,609	23,659,885	14.08%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	14,833,434	Regular	59,085,987	438,687	(58,647,300)	13,468.83%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
109		Sum:	19,769,086		\$77,484,515	\$148,310,099	\$70,825,584	52.24%
111	HOMELAND SECURITY & EME	SALARIES	378,285	Regular	1,600,896	4,144,938	2,544,042	38.62%
		RELATED BENEFITS	148,976	Regular	635,078	1,995,394	1,360,316	31.83%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	5,000	5,000	0.00%
		OPERATING SERVICES	3,570	Regular	5,225	0	(5,225)	0.00%
		SUPPLIES	2,845	Regular	7,953	199,430	191,477	3.99%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	162,629,357	Regular	543,167,540	1,748,611,041	1,205,443,501	31.06%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,659,249	Regular	2,475,000	5,976,163	3,501,163	41.41%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
111		Sum:	164,822,283		\$547,891,692	\$1,760,931,966	\$1,213,040,274	31.11%
112	DEPT OF MILITARY AFFAIRS	SALARIES	2,856,672	Regular	12,381,276	39,354,512	26,973,236	31.46%
		RELATED BENEFITS	1,293,948	Regular	5,519,533	17,963,714	12,444,181	30.73%
		OTHER COMPENSATION	113,727	Regular	493,647	1,694,442	1,200,795	29.13%
		TRAVEL & TRAINING	11,932	Regular	134,001	944,040	810,039	14.19%
		OPERATING SERVICES	4,776,384	Regular	9,681,012	34,925,628	25,244,616	27.72%
		SUPPLIES	1,066,564	Regular	2,355,420	10,165,126	7,809,706	23.17%
		PROFESSIONAL SERVICES	195,388	Regular	571,449	5,293,133	4,721,684	10.80%
		OTHER CHARGES	3,810,658	Regular	15,662,709	30,367,434	14,704,725	51.58%
		DEBT SERVICES	0		0	1,825,611	1,825,611	0.00%
		IAT	1,633,136	Regular	1,901,013	5,111,006	3,209,993	37.19%
		MAJOR REPAIRS	419,179	Regular	1,703,920	5,348,990	3,645,070	31.85%
		CAPITAL OUTLAY	1,022,117	Regular	3,921,759	4,372,293	450,534	89.70%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
112		Sum:	17,199,706		\$54,325,739	\$157,365,929	\$103,040,190	34.52%
116	LOUISIANA PUBLIC DEFENDER	SALARIES	88,522	Regular	423,740	1,433,853	1,010,113	29.55%
		RELATED BENEFITS	44,169	Regular	200,339	787,634	587,295	25.44%
		OTHER COMPENSATION	2,773	Regular	12,389	151,779	139,390	8.16%
		TRAVEL & TRAINING	0		0	43,000	43,000	0.00%
		OPERATING SERVICES	1,582	Regular	48,008	193,003	144,995	24.87%
		SUPPLIES	34,237	Regular	79,640	187,267	107,627	42.53%
		PROFESSIONAL SERVICES	27,942	Regular	74,410	400,334	325,924	18.59%
		OTHER CHARGES	942,068	Regular	32,710,146	45,050,679	12,340,533	72.61%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	802	Regular	176,481	220,086	43,605	80.19%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	19,396	Regular	354,185	357,737	3,552	99.01%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
116		Sum:	1,161,491		\$34,079,338	\$48,825,372	\$14,746,034	69.80%
124	LA STADIUM & EXPOSITION DI	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	25,946,390	25,946,390	0.00%

			04						
Agy	Agency Name	Expenditure Category	Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended	
		SUPPLIES	0		0	0	0	0.00%	
		PROFESSIONAL SERVICES	0		0	0	0	0.00%	
		OTHER CHARGES	0		0	41,426,816	41,426,816	0.00%	
		DEBT SERVICES	0		0	23,441,118	23,441,118	0.00%	
		IAT	0		0	4,717,217	4,717,217	0.00%	
		MAJOR REPAIRS	137,538	Regular	187,288	0	(187,288)	0.00%	
		CAPITAL OUTLAY	0		0	0	0	0.00%	
		AUXILIARY PROGRAM	0		0	0	0	0.00%	
		UNALLOTTED	0		0	0	0	0.00%	
124		Sum:	137,538		\$187,288	\$95,531,541	\$95,344,253	0.20%	
129	LA COMM LAW ENFORCE ADM	SALARIES	196,150	Regular	852,319	2,845,179	1,992,860	29.96%	
		RELATED BENEFITS	124,524	Regular	535,374	1,763,545	1,228,171	30.36%	
		OTHER COMPENSATION	10,655	Regular	114,613	162,423	47,810	70.56%	
		TRAVEL & TRAINING	2,858	Regular	3,968	182,700	178,732	2.17%	
		OPERATING SERVICES	45,958	Regular	120,113	374,919	254,806	32.04%	
		SUPPLIES	3,996	Regular	12,551	105,163	92,612	11.93%	
		PROFESSIONAL SERVICES	72,093	Regular	225,880	2,415,698	2,189,818	9.35%	
		OTHER CHARGES	1,084,123	Regular	9,065,123	59,440,563	50,375,440	15.25%	
		DEBT SERVICES	0		0	0	0	0.00%	
		IAT	417,672	Regular	744,332	1,963,994	1,219,662	37.90%	
		MAJOR REPAIRS	0		0	0	0	0.00%	
		CAPITAL OUTLAY	0		46,947	200,885	153,938	23.37%	
		AUXILIARY PROGRAM	0		0	0	0	0.00%	
		UNALLOTTED	0		0	0	0	0.00%	
129		Sum:	1,958,030		\$11,721,221	\$69,455,069	\$57,733,848	16.88%	
130	DEPT OF VETERANS AFFAIRS	SALARIES	410,149	Regular	1,857,544	5,561,264	3,703,720	33.40%	
		RELATED BENEFITS	184,903	Regular	860,708	2,888,939	2,028,231	29.79%	
		OTHER COMPENSATION	5,418	Regular	27,114	26,774	(340)	101.27%	
		TRAVEL & TRAINING	6,449	Regular	16,351	155,311	138,960	10.53%	
		OPERATING SERVICES	84,217	Regular	172,567	494,422	321,855	34.90%	
		SUPPLIES	26,461	Regular	76,135	229,334	153,199	33.20%	
		PROFESSIONAL SERVICES	5	Regular	5	50,000	49,995	0.01%	
		OTHER CHARGES	340,733	Regular	1,285,878	4,373,568	3,087,690	29.40%	
		DEBT SERVICES	0		0	0	0	0.00%	
		IAT	72,930	Regular	653,436	1,283,169	629,733	50.92%	
		MAJOR REPAIRS	0		0	0	0	0.00%	
		CAPITAL OUTLAY	0		0	0	0	0.00%	
		AUXILIARY PROGRAM	0		0	0	0	0.00%	
		UNALLOTTED	0		0	0	0	0.00%	
130		Sum:	1,131,265		\$4,949,737	\$15,062,781	\$10,113,045	32.86%	
131	LOUISIANA VETERANS HOME	SALARIES	354,241	Regular	1,699,839	4,930,890	3,231,051	34.47%	
		RELATED BENEFITS	161,106	Regular	728,850	2,709,993	1,981,143	26.89%	
		OTHER COMPENSATION	20,185	Regular	83,262	270,000	186,738	30.84%	
		TRAVEL & TRAINING	293	Regular	293	10,000	9,707	2.93%	
		OPERATING SERVICES	40,344	Regular	88,067	505,572	417,505	17.42%	
		SUPPLIES	86,259	Regular	169,586	636,992	467,406	26.62%	
		PROFESSIONAL SERVICES	61,382	Regular	102,641	700,000	597,359	14.66%	
		OTHER CHARGES	0		0	0	0	0.00%	
		DEBT SERVICES	0		0	0	0	0.00%	
		IAT	91,200	Regular	269,545	1,247,808	978,263	21.60%	
		MAJOR REPAIRS	0		0	0	0	0.00%	
		CAPITAL OUTLAY	0		0	0	0	0.00%	
		AUXILIARY PROGRAM	0		0	0	0	0.00%	
		UNALLOTTED	0		0	0	0	0.00%	
131		Sum:	815,010		\$3,142,083	\$11,011,255	\$7,869,172	28.54%	
132	NORTHEAST LOUISIANA VETS	SALARIES	407,846	Regular	1,864,148	5,769,357	3,905,209	32.31%	
		RELATED BENEFITS	188,338	Regular	810,189	2,901,880	2,091,691	27.92%	
		OTHER COMPENSATION	69,189	Regular	168,472	426,860	258,388	39.47%	
		TRAVEL & TRAINING	-100		363	27,957	27,594	1.30%	
		OPERATING SERVICES	84,627	Regular	193,655	587,755	394,100	32.95%	
		SUPPLIES	70,458	Regular	342,141	1,384,194	1,042,053	24.72%	
		PROFESSIONAL SERVICES	28,383	Regular	87,428	577,528	490,100	15.14%	
		OTHER CHARGES	0		0	0	0	0.00%	
		DEBT SERVICES	0		0	0	0	0.00%	
		IAT	71,927	Regular	339,480	898,702	559,222	37.77%	
		MAJOR REPAIRS	4,224	Regular	11,982	100,000	88,018	11.98%	
		CAPITAL OUTLAY	3,976	Regular	18,895	216,200	197,305	8.74%	
		AUXILIARY PROGRAM	0		0	0	0	0.00%	
		UNALLOTTED	0		0	0	0	0.00%	
132		Sum:	928,868		\$3,836,754	\$12,890,433	\$9,053,679	29.76%	
133	OFFICE OF ELDERLY AFFAIRS	SALARIES	303,004	Regular	1,295,398	4,086,561	2,791,163	31.70%	
		RELATED BENEFITS	149,473	Regular	656,365	2,376,894	1,720,529	27.61%	
		OTHER COMPENSATION	0		0	17,655	17,655	0.00%	
		TRAVEL & TRAINING	4,353	Regular	9,247	137,850	128,603	6.71%	
		OPERATING SERVICES	21,409	Regular	83,680	214,276	130,596	39.05%	
		SUPPLIES	64	Regular	388	31,745	31,358	1.22%	
		PROFESSIONAL SERVICES	0		0	17,097	17,097	0.00%	
		OTHER CHARGES	6,944,884	Regular	20,588,679	57,200,392	36,611,713	35.99%	

Agy	Agency Name	Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	106,351	Regular	200,741	936,269	735,528	21.44%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
133		Sum:	7,529,539		\$22,834,498	\$65,018,739	\$42,184,241	35.12%
134	SOUTHWEST LOUISIANA VETS	SALARIES	533,388	Regular	2,464,827	6,665,559	4,200,732	36.98%
		RELATED BENEFITS	227,490	Regular	981,928	3,016,466	2,034,538	32.55%
		OTHER COMPENSATION	9,287	Regular	48,660	393,036	344,376	12.38%
		TRAVEL & TRAINING	0		0	9,972	9,972	0.00%
		OPERATING SERVICES	57,650	Regular	177,552	636,748	459,196	27.88%
		SUPPLIES	88,760	Regular	369,813	1,218,102	848,289	30.36%
		PROFESSIONAL SERVICES	45,563	Regular	116,068	578,102	462,034	20.08%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	285,995	Regular	846,191	1,290,618	444,427	65.56%
		MAJOR REPAIRS	4,995	Regular	8,195	31,500	23,305	26.02%
		CAPITAL OUTLAY	331	Regular	4,624	82,036	77,412	5.64%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
134		Sum:	1,253,460		\$5,017,858	\$13,922,139	\$8,904,281	36.04%
135	NORTHWEST LOUISIANA VETS	SALARIES	444,305	Regular	2,078,642	6,476,665	4,398,023	32.09%
		RELATED BENEFITS	188,068	Regular	837,386	3,097,984	2,260,598	27.03%
		OTHER COMPENSATION	10,255	Regular	50,643	154,077	103,434	32.87%
		TRAVEL & TRAINING	276	Regular	385	12,763	12,378	3.02%
		OPERATING SERVICES	50,619	Regular	197,458	749,394	551,936	26.35%
		SUPPLIES	135,644	Regular	314,448	1,217,189	902,741	25.83%
		PROFESSIONAL SERVICES	7,243	Regular	130,887	920,949	790,062	14.21%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	21,686	Regular	510,013	833,729	323,716	61.17%
		MAJOR REPAIRS	8,162	Regular	8,162	95,000	86,838	8.59%
		CAPITAL OUTLAY	1,200	Regular	1,219	180,811	179,592	0.67%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
135		Sum:	867,457		\$4,129,243	\$13,738,561	\$9,609,318	30.06%
136	SOUTHEAST LOUISIANA VETS	SALARIES	487,540	Regular	2,234,418	6,984,600	4,750,182	31.99%
		RELATED BENEFITS	216,362	Regular	901,764	2,925,929	2,024,165	30.82%
		OTHER COMPENSATION	7,014	Regular	45,266	179,907	134,641	25.16%
		TRAVEL & TRAINING	0		130	4,500	4,370	2.89%
		OPERATING SERVICES	27,675	Regular	110,261	578,353	468,092	19.06%
		SUPPLIES	91,989	Regular	343,713	1,481,231	1,137,518	23.20%
		PROFESSIONAL SERVICES	50,885	Regular	154,340	673,827	519,487	22.91%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	307,669	Regular	749,268	851,012	101,744	88.04%
		MAJOR REPAIRS	7,400	Regular	7,400	120,000	112,600	6.17%
		CAPITAL OUTLAY	0		0	125,900	125,900	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
136		Sum:	1,196,534		\$4,546,562	\$13,925,259	\$9,378,697	32.65%
139	SECRETARY OF STATE	SALARIES	1,395,767	Regular	6,462,815	18,360,193	11,897,378	35.20%
		RELATED BENEFITS	834,697	Regular	3,480,945	10,811,618	7,330,673	32.20%
		OTHER COMPENSATION	53,268	Regular	153,715	455,694	301,979	33.73%
		TRAVEL & TRAINING	2,491	Regular	39,547	155,845	116,298	25.38%
		OPERATING SERVICES	757,720	Regular	4,563,391	10,932,191	6,368,800	41.74%
		SUPPLIES	61,794	Regular	266,863	821,298	554,435	32.49%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	12,571,293	Regular	29,522,927	47,504,333	17,981,406	62.15%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	116,210	Regular	1,640,668	3,060,420	1,419,752	53.61%
		MAJOR REPAIRS	5,780	Regular	5,780	55,002	49,222	10.51%
		CAPITAL OUTLAY	6,594	Regular	14,178	12,663,459	12,649,281	0.11%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
139		Sum:	15,805,612		\$46,150,827	\$104,820,053	\$58,669,226	44.03%
141	OFFICE OF THE ATTORNEY GE	SALARIES	2,474,187	Regular	11,075,649	32,435,356	21,359,707	34.15%
		RELATED BENEFITS	1,504,209	Regular	6,031,548	18,534,862	12,503,314	32.54%
		OTHER COMPENSATION	180,364	Regular	764,526	2,956,689	2,192,163	25.86%
		TRAVEL & TRAINING	15,127	Regular	46,895	1,064,534	1,017,639	4.41%
		OPERATING SERVICES	318,284	Regular	992,653	3,069,759	2,077,106	32.34%
		SUPPLIES	27,702	Regular	71,737	863,465	791,728	8.31%
		PROFESSIONAL SERVICES	1,634,415	Regular	3,308,126	8,866,422	5,558,296	37.31%
		OTHER CHARGES	10,972	Regular	161,762	6,562,675	6,400,913	2.46%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,157,430	Regular	1,580,466	5,407,753	3,827,287	29.23%
		MAJOR REPAIRS	0		0	0	0	0.00%

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		CAPITAL OUTLAY	24,347	Regular	150,920	1,469,423	1,318,503	10.27%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
141		Sum:	7,347,037		\$24,184,284	\$81,230,938	\$57,046,654	29.77%
146	LIEUTENANT GOVERNOR	SALARIES	50,555	Regular	217,333	630,996	413,663	34.44%
		RELATED BENEFITS	44,643	Regular	190,771	568,546	377,775	33.55%
		OTHER COMPENSATION	24,472	Regular	112,730	338,501	225,771	33.30%
		TRAVEL & TRAINING	410	Regular	(728)	30,793	31,521	-2.37%
		OPERATING SERVICES	1,999	Regular	13,964	18,580	4,616	75.16%
		SUPPLIES	1,045	Regular	4,126	17,698	13,572	23.31%
		PROFESSIONAL SERVICES	0		0	7,404	7,404	0.00%
		OTHER CHARGES	657,574	Regular	1,114,805	6,365,218	5,250,413	17.51%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	741	Regular	91,574	143,222	51,648	63.94%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	-22		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
146		Sum:	781,417		\$1,744,575	\$8,120,958	\$6,376,383	21.48%
147	ST TREASURER OPERATING	SALARIES	297,079	Regular	1,343,889	4,436,517	3,092,628	30.29%
		RELATED BENEFITS	173,716	Regular	757,000	2,476,236	1,719,236	30.57%
		OTHER COMPENSATION	40,489	Regular	166,193	562,917	396,724	29.52%
		TRAVEL & TRAINING	236	Regular	2,283	103,389	101,106	2.21%
		OPERATING SERVICES	78,617	Regular	217,231	1,574,759	1,357,528	13.79%
		SUPPLIES	1,027	Regular	7,671	57,372	49,701	13.37%
		PROFESSIONAL SERVICES	13,350	Regular	64,280	263,147	198,867	24.43%
		OTHER CHARGES	1,124,896	Regular	3,859,441	14,689,873	10,830,432	26.27%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	517,810	Regular	699,131	3,262,914	2,563,783	21.43%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	2,259	Regular	9,577	92,815	83,238	10.32%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
147		Sum:	2,249,479		\$7,126,695	\$27,519,939	\$20,393,244	25.90%
158	PUBLIC SERVICE COMMISSION	SALARIES	349,823	Regular	1,561,548	5,222,373	3,660,825	29.90%
		RELATED BENEFITS	214,038	Regular	877,711	3,169,615	2,291,904	27.69%
		OTHER COMPENSATION	751	Regular	3,125	38,000	34,875	8.22%
		TRAVEL & TRAINING	75	Regular	632	90,868	90,236	0.70%
		OPERATING SERVICES	22,893	Regular	110,716	375,351	264,635	29.50%
		SUPPLIES	913	Regular	2,805	28,539	25,734	9.83%
		PROFESSIONAL SERVICES	0		0	5,000	5,000	0.00%
		OTHER CHARGES	2,470	Regular	7,408	121,350	113,942	6.10%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	77,199	Regular	460,266	1,119,887	659,621	41.10%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	1,516	Regular	2,198	71,860	69,662	3.06%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
158		Sum:	669,678		\$3,026,407	\$10,242,843	\$7,216,436	29.55%
160	AGRICULTURE AND FORESTRY	SALARIES	2,514,356	Regular	11,235,607	32,641,170	21,405,563	34.42%
		RELATED BENEFITS	1,693,334	Regular	7,190,997	22,505,650	15,314,653	31.95%
		OTHER COMPENSATION	131,630	Regular	560,141	1,532,995	972,854	36.54%
		TRAVEL & TRAINING	4,929	Regular	12,290	350,851	338,561	3.50%
		OPERATING SERVICES	581,882	Regular	1,598,847	21,156,848	19,558,001	7.56%
		SUPPLIES	277,262	Regular	697,756	5,551,241	4,853,485	12.57%
		PROFESSIONAL SERVICES	0		756	463,942	463,186	0.16%
		OTHER CHARGES	92,472	Regular	853,252	3,113,723	2,260,471	27.40%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	29,471	Regular	2,096,846	2,482,555	385,709	84.46%
		MAJOR REPAIRS	0		0	56,000	56,000	0.00%
		CAPITAL OUTLAY	0		76,862	1,264,528	1,187,666	6.08%
		AUXILIARY PROGRAM	84,848	Regular	149,583	0	(149,583)	0.00%
		UNALLOTTED	0		0	0	0	0.00%
160		Sum:	5,410,184		\$24,472,937	\$91,119,503	\$66,646,566	26.86%
165	COMMISSIONER OF INSURANCE	SALARIES	1,151,211	Regular	5,161,425	15,031,125	9,869,700	34.34%
		RELATED BENEFITS	653,059	Regular	2,819,890	8,861,270	6,041,380	31.82%
		OTHER COMPENSATION	7,931	Regular	32,190	214,942	182,752	14.98%
		TRAVEL & TRAINING	2,451	Regular	6,572	242,313	235,741	2.71%
		OPERATING SERVICES	264,949	Regular	855,135	2,597,395	1,742,260	32.92%
		SUPPLIES	8,980	Regular	27,423	143,424	116,001	19.12%
		PROFESSIONAL SERVICES	152,157	Regular	489,863	3,831,387	3,341,524	12.79%
		OTHER CHARGES	0		0	227,000	227,000	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	162,113	Regular	1,048,493	1,722,336	673,843	60.88%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	24,542	Regular	250,752	626,650	375,898	40.01%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%

Agy Agency Name		04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
165	Sum:	2,427,394		\$10,691,742	\$33,497,842	\$22,806,100	31.92%
251	ECON DEV - OFF OF SECRETAR						
		240,266	Regular	1,046,842	3,159,682	2,112,840	33.13%
	RELATED BENEFITS	131,971	Regular	577,542	1,750,031	1,172,489	33.00%
	OTHER COMPENSATION	3,136	Regular	13,485	111,014	97,529	12.15%
	TRAVEL & TRAINING	178	Regular	1,796	190,810	189,014	0.94%
	OPERATING SERVICES	46,198	Regular	142,490	764,163	621,673	18.65%
	SUPPLIES	2,112	Regular	11,312	150,748	139,436	7.50%
	PROFESSIONAL SERVICES	65,479	Regular	114,639	688,510	573,871	16.65%
	OTHER CHARGES	737,000	Regular	2,247,214	11,059,470	8,812,256	20.32%
	DEBT SERVICES	0		0	0	0	0.00%
	IAT	110,659	Regular	1,525,979	2,136,373	610,394	71.43%
	MAJOR REPAIRS	0		0	0	0	0.00%
	CAPITAL OUTLAY	123,013	Regular	84,412	0	(84,412)	0.00%
	AUXILIARY PROGRAM	0		0	0	0	0.00%
	UNALLOTTED	0		0	0	0	0.00%
251	Sum:	1,460,010		\$5,765,712	\$20,010,801	\$14,245,089	28.81%
252	OFFICE OF BUSINESS DEVELO						
		425,943	Regular	1,929,993	5,994,426	4,064,433	32.20%
	RELATED BENEFITS	216,377	Regular	956,625	2,756,630	1,800,005	34.70%
	OTHER COMPENSATION	0		0	15,000	15,000	0.00%
	TRAVEL & TRAINING	2,532	Regular	9,106	463,793	454,687	1.96%
	OPERATING SERVICES	8,672	Regular	116,263	321,269	205,006	36.19%
	SUPPLIES	325	Regular	1,129	31,508	30,379	3.58%
	PROFESSIONAL SERVICES	575,147	Regular	680,575	7,863,934	7,183,359	8.65%
	OTHER CHARGES	660,590	Regular	1,166,403	10,568,703	9,402,300	11.04%
	DEBT SERVICES	0		0	0	0	0.00%
	IAT	1,799	Regular	11,334	87,093	75,759	13.01%
	MAJOR REPAIRS	0		0	0	0	0.00%
	CAPITAL OUTLAY	0		0	0	0	0.00%
	AUXILIARY PROGRAM	0		0	0	0	0.00%
	UNALLOTTED	0		0	0	0	0.00%
252	Sum:	1,891,386		\$4,871,426	\$28,102,356	\$23,230,930	17.33%
254	LA STATE RACING COMMISSIO						
		165,570	Regular	968,598	3,265,973	2,297,375	29.66%
	RELATED BENEFITS	81,163	Regular	414,034	1,454,627	1,040,593	28.46%
	OTHER COMPENSATION	809	Regular	21,258	77,592	56,334	27.40%
	TRAVEL & TRAINING	1,064	Regular	8,537	136,589	128,052	6.25%
	OPERATING SERVICES	16,976	Regular	90,720	424,912	334,192	21.35%
	SUPPLIES	2,103	Regular	4,001	82,750	78,749	4.84%
	PROFESSIONAL SERVICES	160	Regular	2,580	44,964	42,384	5.74%
	OTHER CHARGES	574,168	Regular	1,093,797	6,077,736	4,983,939	18.00%
	DEBT SERVICES	0		0	0	0	0.00%
	IAT	152,627	Regular	412,957	1,695,722	1,282,765	24.35%
	MAJOR REPAIRS	0		0	0	0	0.00%
	CAPITAL OUTLAY	0		416	20,000	19,584	2.08%
	AUXILIARY PROGRAM	0		0	0	0	0.00%
	UNALLOTTED	0		0	0	0	0.00%
254	Sum:	994,641		\$3,016,899	\$13,280,865	\$10,263,966	22.72%
255	OFFICE OF FINANCIAL INSTIT						
		450,740	Regular	2,064,672	7,551,352	5,486,680	27.34%
	RELATED BENEFITS	222,634	Regular	1,054,392	4,679,428	3,625,036	22.53%
	OTHER COMPENSATION	5,953	Regular	24,048	57,328	33,280	41.95%
	TRAVEL & TRAINING	3,842	Regular	2,960	361,424	358,464	0.82%
	OPERATING SERVICES	43,179	Regular	334,249	777,475	443,226	42.99%
	SUPPLIES	77	Regular	4,402	111,560	107,158	3.95%
	PROFESSIONAL SERVICES	0		0	55,000	55,000	0.00%
	OTHER CHARGES	0		0	0	0	0.00%
	DEBT SERVICES	0		0	0	0	0.00%
	IAT	4,156	Regular	218,681	1,327,256	1,108,575	16.48%
	MAJOR REPAIRS	0		0	0	0	0.00%
	CAPITAL OUTLAY	0		0	131,468	131,468	0.00%
	AUXILIARY PROGRAM	0		0	0	0	0.00%
	UNALLOTTED	0		0	0	0	0.00%
255	Sum:	730,581		\$3,703,403	\$15,052,291	\$11,348,888	24.60%
261	CUL REC TOURISM - OFF OF SE						
		212,091	Regular	971,942	3,123,462	2,151,520	31.12%
	RELATED BENEFITS	125,441	Regular	543,023	1,876,223	1,333,200	28.94%
	OTHER COMPENSATION	10,320	Regular	47,363	51,340	3,977	92.25%
	TRAVEL & TRAINING	0		0	61,602	61,602	0.00%
	OPERATING SERVICES	9,562	Regular	36,855	156,601	119,746	23.53%
	SUPPLIES	2,692	Regular	6,595	72,359	65,764	9.11%
	PROFESSIONAL SERVICES	0		294	92,363	92,069	0.32%
	OTHER CHARGES	6,113	Regular	21,142	1,789,255	1,768,113	1.18%
	DEBT SERVICES	0		0	0	0	0.00%
	IAT	8,166	Regular	366,936	522,867	155,931	70.18%
	MAJOR REPAIRS	0		0	0	0	0.00%
	CAPITAL OUTLAY	0		0	0	0	0.00%
	AUXILIARY PROGRAM	0		0	0	0	0.00%
	UNALLOTTED	0		0	0	0	0.00%
261	Sum:	374,385		\$1,994,149	\$7,746,072	\$5,751,923	25.74%
262	OFF OF THE STATE LIBRARY O						
		169,407	Regular	771,957	2,352,762	1,580,805	32.81%

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		RELATED BENEFITS	124,427	Regular	539,122	1,720,947	1,181,825	31.33%
		OTHER COMPENSATION	1,720	Regular	6,129	51,000	44,871	12.02%
		TRAVEL & TRAINING	86	Regular	86	12,926	12,840	0.67%
		OPERATING SERVICES	37,298	Regular	48,202	291,854	243,652	16.52%
		SUPPLIES	2,814	Regular	5,976	28,117	22,141	21.25%
		PROFESSIONAL SERVICES	0		0	6,597	6,597	0.00%
		OTHER CHARGES	97,092	Regular	1,353,354	2,161,421	808,067	62.61%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		767,137	923,669	156,532	83.05%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
262		Sum:	432,844		\$3,491,963	\$7,549,293	\$4,057,330	46.26%
263	OFFICE OF STATE MUSEUM	SALARIES	242,439	Regular	1,066,060	3,312,130	2,246,070	32.19%
		RELATED BENEFITS	143,488	Regular	616,285	1,937,192	1,320,907	31.81%
		OTHER COMPENSATION	20,204	Regular	78,920	4,066	(74,854)	1,940.97%
		TRAVEL & TRAINING	173	Regular	173	5,000	4,827	3.46%
		OPERATING SERVICES	116,456	Regular	295,281	668,907	373,626	44.14%
		SUPPLIES	6,813	Regular	21,637	148,961	127,324	14.53%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		576	529,322	528,746	0.11%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	507,769	Regular	972,155	1,040,833	68,678	93.40%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
263		Sum:	1,037,342		\$3,051,087	\$7,646,411	\$4,595,324	39.90%
264	OFFICE OF STATE PARKS	SALARIES	870,337	Regular	3,826,295	11,618,108	7,791,813	32.93%
		RELATED BENEFITS	546,014	Regular	2,364,104	7,624,579	5,260,475	31.01%
		OTHER COMPENSATION	62,879	Regular	311,072	454,070	142,998	68.51%
		TRAVEL & TRAINING	840	Regular	4,271	21,000	16,729	20.34%
		OPERATING SERVICES	309,040	Regular	1,046,386	4,034,464	2,988,078	25.94%
		SUPPLIES	208,502	Regular	706,338	2,198,025	1,491,687	32.14%
		PROFESSIONAL SERVICES	18,316	Regular	(27,903)	75,047	102,950	-37.18%
		OTHER CHARGES	89,715	Regular	373,743	3,156,214	2,782,471	11.84%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	11,699	Regular	2,147,310	2,495,962	348,652	86.03%
		MAJOR REPAIRS	195,482	Regular	740,552	6,466,099	5,725,547	11.45%
		CAPITAL OUTLAY	6,087	Regular	941,050	1,081,180	140,130	87.04%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
264		Sum:	2,318,911		\$12,433,219	\$39,224,748	\$26,791,529	31.70%
265	OFFICE OF CULTURAL DEVELOPMENT	SALARIES	147,486	Regular	671,723	2,136,991	1,465,268	31.43%
		RELATED BENEFITS	84,049	Regular	368,954	1,242,259	873,306	29.70%
		OTHER COMPENSATION	3,081	Regular	15,269	15,493	224	98.55%
		TRAVEL & TRAINING	1,403	Regular	1,504	43,178	41,674	3.48%
		OPERATING SERVICES	5,489	Regular	63,121	162,523	99,402	38.84%
		SUPPLIES	970	Regular	1,238	26,837	25,599	4.61%
		PROFESSIONAL SERVICES	0		0	5,178	5,178	0.00%
		OTHER CHARGES	39,826	Regular	410,832	3,943,547	3,532,715	10.42%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,271	Regular	161,440	534,022	372,582	30.23%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
265		Sum:	283,574		\$1,694,080	\$8,110,028	\$6,415,948	20.89%
267	OFFICE OF TOURISM	SALARIES	234,526	Regular	1,013,712	2,835,104	1,821,392	35.76%
		RELATED BENEFITS	133,914	Regular	574,678	1,769,140	1,194,462	32.48%
		OTHER COMPENSATION	11,496	Regular	50,692	305,505	254,813	16.59%
		TRAVEL & TRAINING	4,320	Regular	11,940	215,500	203,560	5.54%
		OPERATING SERVICES	319,107	Regular	450,508	4,897,244	4,446,736	9.20%
		SUPPLIES	15,268	Regular	32,735	111,195	78,460	29.44%
		PROFESSIONAL SERVICES	566,428	Regular	1,887,102	9,804,654	7,917,552	19.25%
		OTHER CHARGES	113,624	Regular	167,838	1,198,299	1,030,461	14.01%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	9,022	Regular	5,750,316	6,000,027	249,711	95.84%
		MAJOR REPAIRS	0		0	100,000	100,000	0.00%
		CAPITAL OUTLAY	0		64,294	74,194	9,900	86.66%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
267		Sum:	1,407,704		\$10,003,815	\$27,310,862	\$17,307,047	36.63%
273	DOTD ADMINISTRATION	SALARIES	1,026,969	Regular	4,806,790	13,350,170	8,543,380	36.01%
		RELATED BENEFITS	657,715	Regular	2,856,030	8,368,725	5,512,695	34.13%
		OTHER COMPENSATION	8,516	Regular	37,429	210,877	173,448	17.75%
		TRAVEL & TRAINING	445	Regular	1,578	184,495	182,917	0.86%

Agy	Agency Name	Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		OPERATING SERVICES	29,070	Regular	331,463	1,023,860	692,397	32.37%
		SUPPLIES	20,902	Regular	50,063	444,821	394,758	11.25%
		PROFESSIONAL SERVICES	103,572	Regular	350,146	4,390,903	4,040,757	7.97%
		OTHER CHARGES	20,957	Regular	57,835	183,751	125,916	31.47%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	4,374,832	Regular	6,586,213	24,828,519	18,242,306	26.53%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
273		Sum:	6,242,977		\$15,077,546	\$52,986,121	\$37,908,575	28.46%
276	ENGINEERING AND OPERATIO	SALARIES	18,172,340	Regular	79,664,521	220,675,434	141,010,913	36.10%
		RELATED BENEFITS	10,800,398	Regular	46,075,986	141,276,785	95,200,799	32.61%
		OTHER COMPENSATION	19,802	Regular	220,479	746,607	526,128	29.53%
		TRAVEL & TRAINING	274,351	Regular	815,853	3,864,422	3,048,569	21.11%
		OPERATING SERVICES	1,702,906	Regular	4,363,235	18,256,059	13,892,824	23.90%
		SUPPLIES	3,239,116	Regular	9,119,397	35,846,720	26,727,323	25.44%
		PROFESSIONAL SERVICES	1,597,083	Regular	4,706,194	33,060,963	28,354,769	14.23%
		OTHER CHARGES	6,121,995	Regular	14,822,935	65,861,016	51,038,081	22.51%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	6,052,925	Regular	9,711,797	43,750,429	34,038,632	22.20%
		MAJOR REPAIRS	53,645	Regular	359,630	0	(359,630)	0.00%
		CAPITAL OUTLAY	453,896	Regular	3,484,095	36,776,886	33,292,791	9.47%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
276		Sum:	48,488,456		\$173,344,120	\$600,115,321	\$426,771,201	28.89%
300	JEFFERSON PARISH HUMAN SH	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,150,489	Regular	4,875,447	19,627,477	14,752,030	24.84%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	185,364	185,364	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
300		Sum:	1,150,489		\$4,875,447	\$19,812,841	\$14,937,394	24.61%
301	FLA PAR HUMAN SERVCS AUT	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	688	Regular	1,303	38,015	36,712	3.43%
		OPERATING SERVICES	54,128	Regular	248,043	802,150	554,107	30.92%
		SUPPLIES	2,112	Regular	9,423	110,455	101,032	8.53%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,499,147	Regular	6,206,277	21,088,183	14,881,906	29.43%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	13,448	Regular	457,335	544,403	87,068	84.01%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	33,387	33,387	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
301		Sum:	1,569,523		\$6,922,382	\$22,616,593	\$15,694,211	30.61%
302	CAPITAL AREA HUMAN SRV DS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		2	0	(2)	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	42	Regular	42	0	(42)	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	2,290,089	Regular	9,452,040	27,426,669	17,974,629	34.46%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	75,307	Regular	432,633	887,471	454,838	48.75%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
302		Sum:	2,365,438		\$9,884,718	\$28,314,140	\$18,429,422	34.91%
303	DEVELOPM'L DISABILITIES CN	SALARIES	27,435	Regular	146,406	536,749	390,343	27.28%
		RELATED BENEFITS	14,051	Regular	67,300	298,697	231,397	22.53%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	50,500	50,500	0.00%
		OPERATING SERVICES	4,800	Regular	25,792	91,985	66,193	28.04%
		SUPPLIES	127	Regular	3,731	8,500	4,769	43.89%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%

Agy	Agency Name	Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		OTHER CHARGES	69,091	Regular	173,438	1,150,000	976,562	15.08%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	517	Regular	14,969	44,911	29,942	33.33%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	150	Regular	670	3,000	2,330	22.33%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
303		Sum:	116,170		\$432,306	\$2,184,342	\$1,752,036	19.79%
304	METRO HUMAN SVCS DISTRICT	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	2,500,000	Regular	2,500,000	25,521,741	23,021,741	9.80%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	61,407	61,407	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
304		Sum:	2,500,000		\$2,500,000	\$25,583,148	\$23,083,148	9.77%
305	MEDICAL VENDOR ADMINISTRATION	SALARIES	3,674,084	Regular	16,376,515	52,491,825	36,115,310	31.20%
		RELATED BENEFITS	1,969,397	Regular	10,203,023	33,415,669	23,212,646	30.53%
		OTHER COMPENSATION	171,995	Regular	830,047	2,637,870	1,807,823	31.47%
		TRAVEL & TRAINING	0		67	17,521	17,454	0.38%
		OPERATING SERVICES	228,385	Regular	1,336,713	4,301,289	2,964,576	31.08%
		SUPPLIES	3,526	Regular	14,953	263,125	248,172	5.68%
		PROFESSIONAL SERVICES	7,574,225	Regular	20,059,288	164,657,096	144,597,808	12.18%
		OTHER CHARGES	1,441,116	Regular	1,481,317	74,966,010	73,484,693	1.98%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	34,086,083	Regular	38,959,008	176,312,573	137,353,565	22.10%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
305		Sum:	49,148,811		\$89,260,931	\$509,062,978	\$419,802,047	17.53%
306	DHH MEDICAL VENDOR PAYMENT	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,276,221,350	Regular	4,080,491,760	15,609,339,222	11,528,847,462	26.14%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	12,142,118	Regular	49,770,089	288,897,595	239,127,506	17.23%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
306		Sum:	1,288,363,468		\$4,130,261,849	\$15,898,236,817	\$11,767,974,968	25.98%
307	HEALTH & HOSP OFF OF SECRETARY	SALARIES	2,394,735	Regular	10,777,326	30,493,372	19,716,046	35.34%
		RELATED BENEFITS	1,362,869	Regular	5,897,769	17,285,499	11,387,730	34.12%
		OTHER COMPENSATION	97,856	Regular	480,419	1,042,348	561,929	46.09%
		TRAVEL & TRAINING	383	Regular	1,056	83,300	82,244	1.27%
		OPERATING SERVICES	57,488	Regular	218,556	972,753	754,197	22.47%
		SUPPLIES	10,111	Regular	26,219	170,800	144,581	15.35%
		PROFESSIONAL SERVICES	93,574	Regular	113,124	2,288,231	2,175,107	4.94%
		OTHER CHARGES	550,476	Regular	1,947,888	15,766,664	13,818,776	12.35%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,987,980	Regular	12,111,549	21,452,356	9,340,807	56.46%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
307		Sum:	6,555,472		\$31,573,906	\$89,555,323	\$57,981,417	35.26%
309	S CNTL LA HUMAN SVCS AUTHORITY	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	-200		61	0	(61)	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	1,164	Regular	4,948	62,793	57,845	7.88%
		OPERATING SERVICES	81,520	Regular	281,574	1,212,368	930,794	23.23%
		SUPPLIES	10,738	Regular	30,509	567,904	537,395	5.37%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,455,219	Regular	5,717,886	20,254,856	14,536,970	28.23%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	9,797	Regular	467,667	608,284	140,617	76.88%

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
309		Sum:	1,558,238		\$6,502,645	\$22,706,205	\$16,203,560	28.64%
310	NE DELTA HUMAN SVCS AUTH	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	963,258	Regular	3,863,517	14,757,752	10,894,235	26.18%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	14,330	Regular	289,796	411,872	122,076	70.36%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
310		Sum:	977,588		\$4,153,313	\$15,169,624	\$11,016,311	27.38%
320	OFFICE OF AGING & ADULT SR	SALARIES	1,747,072	Regular	7,668,596	22,702,302	15,033,706	33.78%
		RELATED BENEFITS	835,347	Regular	4,224,612	14,731,103	10,506,491	28.68%
		OTHER COMPENSATION	74,894	Regular	396,928	1,220,279	823,351	32.53%
		TRAVEL & TRAINING	10,483	Regular	14,253	200,533	186,280	7.11%
		OPERATING SERVICES	90,126	Regular	414,137	3,036,364	2,622,227	13.64%
		SUPPLIES	112,933	Regular	367,059	2,693,177	2,326,118	13.63%
		PROFESSIONAL SERVICES	14,617	Regular	143,388	861,966	718,578	16.63%
		OTHER CHARGES	637,636	Regular	1,773,069	10,772,321	8,999,252	16.46%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	429,248	Regular	1,630,932	3,216,411	1,585,479	50.71%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	25,001	Regular	25,012	143,577	118,565	17.42%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
320		Sum:	3,977,357		\$16,657,984	\$59,578,033	\$42,920,049	27.96%
324	LA EMERGENCY RESPONSE NE	SALARIES	55,232	Regular	244,494	714,645	470,151	34.21%
		RELATED BENEFITS	28,684	Regular	125,454	354,196	228,742	35.42%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	2,032	Regular	3,904	40,000	36,096	9.76%
		OPERATING SERVICES	8,304	Regular	40,276	197,166	156,890	20.43%
		SUPPLIES	4,314	Regular	6,478	27,946	21,468	23.18%
		PROFESSIONAL SERVICES	40,180	Regular	74,274	337,847	263,573	21.98%
		OTHER CHARGES	2,895	Regular	7,770	40,000	32,230	19.43%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	3,442	Regular	95,928	137,529	41,601	69.75%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
324		Sum:	145,081		\$598,579	\$1,849,329	\$1,250,750	32.37%
325	ACADIANA AREA HUMAN SRV	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	8,446	Regular	18,663	176,100	157,437	10.60%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,090,545	Regular	4,629,887	17,669,921	13,040,034	26.20%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	7,053	Regular	434,130	552,217	118,087	78.62%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
325		Sum:	1,106,044		\$5,082,680	\$18,398,238	\$13,315,558	27.63%
326	OFFICE OF PUBLIC HEALTH	SALARIES	5,962,439	Regular	26,692,852	77,353,153	50,660,301	34.51%
		RELATED BENEFITS	3,681,964	Regular	15,108,196	49,237,043	34,128,847	30.68%
		OTHER COMPENSATION	366,456	Regular	1,740,429	7,792,731	6,052,302	22.33%
		TRAVEL & TRAINING	105,872	Regular	308,310	2,758,228	2,449,918	11.18%
		OPERATING SERVICES	1,159,296	Regular	4,180,650	13,752,790	9,572,140	30.40%
		SUPPLIES	686,656	Regular	1,743,745	15,025,827	13,282,082	11.60%
		PROFESSIONAL SERVICES	1,795,182	Regular	4,732,238	52,871,551	48,139,313	8.95%
		OTHER CHARGES	19,725,637	Regular	60,429,764	315,896,398	255,466,634	19.13%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	3,654,630	Regular	8,969,655	26,542,801	17,573,146	33.79%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	6,373	Regular	99,014	1,286,300	1,187,286	7.70%
		AUXILIARY PROGRAM	0		0	0	0	0.00%

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		UNALLOTTED	0		0	0	0	0.00%
326		Sum:	37,144,505		\$124,004,854	\$562,516,822	\$438,511,968	22.04%
330	OFFICE OF BEHAVIORAL HEA	SALARIES	6,934,730	Regular	31,242,416	90,610,738	59,368,322	34.48%
		RELATED BENEFITS	3,946,131	Regular	15,761,467	55,179,815	39,418,348	28.56%
		OTHER COMPENSATION	424,067	Regular	1,844,665	3,645,862	1,801,197	50.60%
		TRAVEL & TRAINING	12,590	Regular	25,176	205,420	180,244	12.26%
		OPERATING SERVICES	3,201,580	Regular	5,802,061	11,839,927	6,037,866	49.00%
		SUPPLIES	924,189	Regular	2,739,945	8,349,590	5,609,645	32.82%
		PROFESSIONAL SERVICES	798,966	Regular	995,662	8,563,479	7,567,817	11.63%
		OTHER CHARGES	923,215	Regular	4,070,234	36,534,996	32,464,762	11.14%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	4,581,030	Regular	15,526,007	59,914,470	44,388,463	25.91%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
330		Sum:	21,746,498		\$78,007,634	\$274,844,297	\$196,836,663	28.38%
340	OFF FOR CITIZENS DEV DISAB	SALARIES	5,938,671	Regular	26,517,940	78,193,415	51,675,475	33.91%
		RELATED BENEFITS	2,661,437	Regular	13,544,057	48,360,860	34,816,803	28.01%
		OTHER COMPENSATION	85,650	Regular	400,768	1,496,633	1,095,865	26.78%
		TRAVEL & TRAINING	1,213	Regular	5,409	205,916	200,507	2.63%
		OPERATING SERVICES	561,003	Regular	1,368,415	6,887,677	5,519,262	19.87%
		SUPPLIES	405,739	Regular	1,738,631	7,434,152	5,695,521	23.39%
		PROFESSIONAL SERVICES	830,452	Regular	909,088	6,717,037	5,807,949	13.53%
		OTHER CHARGES	1,459,651	Regular	4,306,835	23,716,227	19,409,392	18.16%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	517,345	Regular	1,765,484	15,507,920	13,742,436	11.38%
		MAJOR REPAIRS	0		0	46,500	46,500	0.00%
		CAPITAL OUTLAY	31,431	Regular	33,797	754,176	720,379	4.48%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
340		Sum:	12,492,593		\$50,590,425	\$189,320,513	\$138,730,088	26.72%
360	OFFICE FOR CHILDREN/FAMIL	SALARIES	13,694,820	Regular	60,756,310	166,148,495	105,392,185	36.57%
		RELATED BENEFITS	8,878,368	Regular	36,022,269	114,814,433	78,792,164	31.37%
		OTHER COMPENSATION	1,017,850	Regular	4,617,544	9,737,481	5,119,937	47.42%
		TRAVEL & TRAINING	45,236	Regular	72,512	2,152,735	2,080,223	3.37%
		OPERATING SERVICES	1,407,890	Regular	6,095,740	25,784,250	19,688,510	23.64%
		SUPPLIES	95,169	Regular	290,214	2,175,197	1,884,983	13.34%
		PROFESSIONAL SERVICES	311,817	Regular	938,381	11,978,300	11,039,919	7.83%
		OTHER CHARGES	15,977,201	Regular	50,081,640	236,324,237	186,242,597	21.19%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	10,538,891	Regular	25,106,307	196,458,990	171,352,683	12.78%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
360		Sum:	51,967,242		\$183,980,917	\$765,574,118	\$581,593,201	24.03%
375	IMPERIAL CALCASIEU HUM SV	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		43	0	(43)	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	725,689	Regular	2,860,316	11,708,190	8,847,874	24.43%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	11,297	Regular	189,285	248,437	59,152	76.19%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
375		Sum:	736,986		\$3,049,644	\$11,956,627	\$8,906,983	25.51%
376	CENTRAL LA HUMAN SERVICE	SALARIES	2,086	Regular	2,086	0	(2,086)	0.00%
		RELATED BENEFITS	543	Regular	543	0	(543)	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,038,976	Regular	3,409,759	15,240,537	11,830,778	22.37%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	3,786	Regular	51,719	217,927	166,208	23.73%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
376		Sum:	1,045,391		\$3,464,106	\$15,458,464	\$11,994,358	22.41%

Agy	Agency Name	Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
377	NORTHWEST LA HUMAN SVCS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	1,185,306	Regular	3,979,405	14,681,020	10,701,615	27.11%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	9,657	Regular	237,430	339,956	102,526	69.84%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
377		Sum:	1,194,964		\$4,216,835	\$15,020,976	\$10,804,141	28.07%
400	CORRECTIONS-ADMINISTRAT	SALARIES	1,103,502	Regular	4,897,641	14,247,022	9,349,381	34.38%
		RELATED BENEFITS	2,550,224	Regular	10,390,886	30,612,106	20,221,220	33.94%
		OTHER COMPENSATION	188,411	Regular	787,985	1,197,920	409,935	65.78%
		TRAVEL & TRAINING	1,398	Regular	2,876	226,937	224,061	1.27%
		OPERATING SERVICES	-105,153		238,135	1,657,686	1,419,551	14.37%
		SUPPLIES	47,151	Regular	113,962	784,695	670,733	14.52%
		PROFESSIONAL SERVICES	85,128	Regular	240,347	1,518,434	1,278,087	15.83%
		OTHER CHARGES	2,903,166	Regular	9,871,529	33,045,633	23,174,104	29.87%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	732,102	Regular	5,471,819	10,788,319	5,316,500	50.72%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	8,072,973	8,072,973	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
400		Sum:	7,505,928		\$32,015,177	\$102,151,725	\$70,136,548	31.34%
402	LA STATE PENITENTIARY	SALARIES	5,267,096	Regular	23,544,339	69,466,839	45,922,500	33.89%
		RELATED BENEFITS	2,477,654	Regular	10,954,551	37,693,812	26,739,261	29.06%
		OTHER COMPENSATION	123,204	Regular	593,968	145,695	(448,273)	407.68%
		TRAVEL & TRAINING	194	Regular	3,242	12,657	9,415	25.61%
		OPERATING SERVICES	543,295	Regular	1,647,595	5,681,343	4,033,748	29.00%
		SUPPLIES	1,733,512	Regular	5,927,588	15,808,293	9,880,705	37.50%
		PROFESSIONAL SERVICES	178,204	Regular	529,445	3,857,199	3,327,754	13.73%
		OTHER CHARGES	-3,670		(11,113)	0	11,113	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	98,995	Regular	10,879,164	11,697,286	818,122	93.01%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	-2,905		0	170,100	170,100	0.00%
		AUXILIARY PROGRAM	80,004	Regular	910,298	10,140,871	9,230,573	8.98%
		UNALLOTTED	0		0	0	0	0.00%
402		Sum:	10,495,585		\$54,979,077	\$154,674,095	\$99,695,018	35.55%
403	OFFICE OF JUVENILE JUSTICE	SALARIES	3,053,566	Regular	14,217,692	44,674,508	30,456,816	31.83%
		RELATED BENEFITS	1,784,729	Regular	7,362,618	27,954,636	20,592,018	26.34%
		OTHER COMPENSATION	45,903	Regular	200,679	1,067,518	866,839	18.80%
		TRAVEL & TRAINING	7,195	Regular	21,459	154,823	133,364	13.86%
		OPERATING SERVICES	239,505	Regular	1,005,868	3,358,378	2,352,510	29.95%
		SUPPLIES	124,385	Regular	442,151	2,707,739	2,265,588	16.33%
		PROFESSIONAL SERVICES	5,748	Regular	39,825	384,262	344,437	10.36%
		OTHER CHARGES	3,498,959	Regular	10,635,878	49,001,632	38,365,754	21.71%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	12,323,787	Regular	12,647,293	17,889,152	5,241,859	70.70%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	457	Regular	188,037	703,213	515,176	26.74%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
403		Sum:	21,084,233		\$46,761,500	\$147,895,861	\$101,134,361	31.62%
405	RAYMOND LABORDE CORREC	SALARIES	1,347,821	Regular	5,946,462	16,528,004	10,581,542	35.98%
		RELATED BENEFITS	680,371	Regular	2,954,993	8,813,604	5,858,611	33.53%
		OTHER COMPENSATION	15,155	Regular	71,040	38,391	(32,649)	185.04%
		TRAVEL & TRAINING	566	Regular	396	14,004	13,608	2.83%
		OPERATING SERVICES	151,920	Regular	353,655	1,481,773	1,128,118	23.87%
		SUPPLIES	348,084	Regular	952,959	2,535,704	1,582,745	37.58%
		PROFESSIONAL SERVICES	16,950	Regular	57,097	435,565	378,468	13.11%
		OTHER CHARGES	0		(464)	0	464	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	29,560	Regular	995,467	1,612,405	616,938	61.74%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	165,199	Regular	437,453	1,646,725	1,209,272	26.57%
		UNALLOTTED	0		0	0	0	0.00%
405		Sum:	2,755,625		\$11,769,058	\$33,106,175	\$21,337,117	35.55%
406	LA CORRECTIONAL INST WOM	SALARIES	1,142,129	Regular	5,057,084	13,673,830	8,616,746	36.98%
		RELATED BENEFITS	542,950	Regular	2,360,181	7,251,594	4,891,413	32.55%
		OTHER COMPENSATION	33,481	Regular	159,920	108,445	(51,475)	147.47%

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		TRAVEL & TRAINING	0		0	6,128	6,128	0.00%
		OPERATING SERVICES	124,443	Regular	239,519	553,120	313,601	43.30%
		SUPPLIES	117,416	Regular	294,986	1,235,959	940,973	23.87%
		PROFESSIONAL SERVICES	8,723	Regular	14,765	300,579	285,814	4.91%
		OTHER CHARGES	0		(648)	0	648	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	26,921	Regular	1,421,111	1,875,890	454,779	75.76%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	3,050	Regular	59,143	1,228,887	1,169,744	4.81%
		UNALLOTTED	0		0	0	0	0.00%
406		Sum:	1,999,113		\$9,606,059	\$26,234,432	\$16,628,373	36.62%
407	WINN CORRECTIONAL CENTE	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	18,658	Regular	60,728	288,970	228,242	21.02%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		159,884	295,451	135,567	54.12%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
407		Sum:	18,658		\$220,612	\$584,421	\$363,809	37.75%
408	ALLEN CORRECTIONAL CENT	SALARIES	587,723	Regular	2,718,907	6,827,961	4,109,055	39.82%
		RELATED BENEFITS	278,394	Regular	1,198,640	3,453,822	2,255,182	34.70%
		OTHER COMPENSATION	12,347	Regular	57,647	0	(57,647)	0.00%
		TRAVEL & TRAINING	479	Regular	1,467	18,854	17,387	7.78%
		OPERATING SERVICES	111,182	Regular	257,794	1,326,000	1,068,206	19.44%
		SUPPLIES	227,350	Regular	494,490	1,689,225	1,194,735	29.27%
		PROFESSIONAL SERVICES	14,744	Regular	26,264	154,000	127,736	17.05%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	17,109	Regular	338,286	1,315,098	976,812	25.72%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	48,409	Regular	211,040	819,024	607,984	25.77%
		UNALLOTTED	0		0	0	0	0.00%
408		Sum:	1,297,737		\$5,304,535	\$15,603,984	\$10,299,449	33.99%
409	DIXON CORRECTIONAL INST	SALARIES	1,936,027	Regular	8,548,641	22,933,907	14,385,266	37.28%
		RELATED BENEFITS	953,067	Regular	4,155,741	12,480,271	8,324,530	33.30%
		OTHER COMPENSATION	70,711	Regular	312,050	225	(311,825)	138,689.04%
		TRAVEL & TRAINING	0		0	1,777	1,777	0.00%
		OPERATING SERVICES	207,214	Regular	430,798	1,251,735	820,937	34.42%
		SUPPLIES	586,370	Regular	1,333,149	3,211,747	1,878,598	41.51%
		PROFESSIONAL SERVICES	230,793	Regular	728,443	3,032,000	2,303,557	24.03%
		OTHER CHARGES	-74		(2,222)	0	2,222	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	3,312	Regular	1,716,432	2,756,160	1,039,728	62.28%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	62,952	Regular	62,952	136,474	73,522	46.13%
		AUXILIARY PROGRAM	156,552	Regular	403,967	1,587,191	1,183,224	25.45%
		UNALLOTTED	0		0	0	0	0.00%
409		Sum:	4,206,924		\$17,689,950	\$47,391,487	\$29,701,537	37.33%
413	ELAYN HUNT CORRECTIONAL	SALARIES	2,499,869	Regular	10,903,116	31,020,314	20,117,198	35.15%
		RELATED BENEFITS	1,056,645	Regular	4,560,090	16,947,128	12,387,038	26.91%
		OTHER COMPENSATION	25,212	Regular	90,513	136,834	46,321	66.15%
		TRAVEL & TRAINING	35	Regular	(401)	3,772	4,173	-10.64%
		OPERATING SERVICES	313,789	Regular	710,201	2,612,566	1,902,365	27.18%
		SUPPLIES	813,955	Regular	2,760,194	8,552,687	5,792,493	32.27%
		PROFESSIONAL SERVICES	24,115	Regular	42,915	381,761	338,846	11.24%
		OTHER CHARGES	-1,624		(3,137)	0	3,137	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	56,783	Regular	4,141,316	5,153,759	1,012,443	80.36%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	113,350	Regular	445,497	1,612,484	1,166,987	27.63%
		UNALLOTTED	0		0	0	0	0.00%
413		Sum:	4,902,129		\$23,650,303	\$66,421,305	\$42,771,002	35.61%
414	DAVID WADE CORRECTIONAL	SALARIES	1,412,554	Regular	6,143,402	15,528,217	9,384,815	39.56%
		RELATED BENEFITS	609,103	Regular	2,707,189	7,948,931	5,241,742	34.06%
		OTHER COMPENSATION	29,499	Regular	108,852	34,719	(74,133)	313.52%
		TRAVEL & TRAINING	0		640	9,018	8,378	7.10%
		OPERATING SERVICES	87,749	Regular	240,678	751,263	510,585	32.04%
		SUPPLIES	279,490	Regular	715,858	2,369,247	1,653,389	30.21%

Agy	Agency Name	Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		PROFESSIONAL SERVICES	48,778	Regular	94,192	203,238	109,046	46.35%
		OTHER CHARGES	-610		(3,459)	0	3,459	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	23,201	Regular	1,484,048	1,836,477	352,429	80.81%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	129,981	Regular	272,212	1,357,852	1,085,640	20.05%
		UNALLOTTED	0		0	0	0	0.00%
414		Sum:	2,619,744		\$11,763,612	\$30,038,962	\$18,275,350	39.16%
415	ADULT PROBATION AND PAROLE	SALARIES	3,501,338	Regular	16,952,602	42,801,496	25,848,894	39.61%
		RELATED BENEFITS	1,837,757	Regular	7,989,650	23,405,179	15,415,529	34.14%
		OTHER COMPENSATION	86,448	Regular	357,234	85,918	(271,316)	415.78%
		TRAVEL & TRAINING	-28,334		27,552	162,110	134,558	17.00%
		OPERATING SERVICES	106,629	Regular	1,341,740	3,133,548	1,791,808	42.82%
		SUPPLIES	488,768	Regular	797,624	2,450,113	1,652,489	32.55%
		PROFESSIONAL SERVICES	191,344	Regular	252,301	1,292,526	1,040,225	19.52%
		OTHER CHARGES	3,592	Regular	2,940	300,000	297,060	0.98%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	111,817	Regular	2,987,689	3,868,477	880,788	77.23%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		1,526	0	(1,526)	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
415		Sum:	6,299,359		\$30,710,858	\$77,499,367	\$46,788,509	39.63%
416	RAYBURN CORRECTIONAL CENTER	SALARIES	1,158,452	Regular	5,438,617	14,460,619	9,022,002	37.61%
		RELATED BENEFITS	568,824	Regular	2,493,917	7,677,780	5,183,863	32.48%
		OTHER COMPENSATION	10,025	Regular	46,565	32,297	(14,268)	144.18%
		TRAVEL & TRAINING	116	Regular	439	13,124	12,685	3.35%
		OPERATING SERVICES	112,279	Regular	261,714	1,010,249	748,535	25.91%
		SUPPLIES	187,941	Regular	823,645	1,680,444	856,799	49.01%
		PROFESSIONAL SERVICES	3,194	Regular	16,981	101,970	84,989	16.65%
		OTHER CHARGES	-171		(19)	0	19	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	43,246	Regular	1,305,733	1,708,408	402,675	76.43%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	60,930	60,930	0.00%
		AUXILIARY PROGRAM	65,523	Regular	267,848	1,293,981	1,026,133	20.70%
		UNALLOTTED	0		0	0	0	0.00%
416		Sum:	2,149,429		\$10,655,440	\$28,039,802	\$17,384,362	38.00%
418	PUB SAFETY OFF OF MGMT & INSP	SALARIES	487,841	Regular	2,200,989	7,477,260	5,276,271	29.44%
		RELATED BENEFITS	347,850	Regular	1,406,761	5,050,280	3,643,519	27.86%
		OTHER COMPENSATION	37,199	Regular	159,157	730,074	570,917	21.80%
		TRAVEL & TRAINING	550	Regular	2,791	74,534	71,743	3.74%
		OPERATING SERVICES	74,653	Regular	286,311	2,790,270	2,503,959	10.26%
		SUPPLIES	-16,918		153,567	473,958	320,391	32.40%
		PROFESSIONAL SERVICES	20,000	Regular	104,500	172,100	67,600	60.72%
		OTHER CHARGES	192,160	Regular	486,605	3,176,413	2,689,808	15.32%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	569,042	Regular	2,849,414	11,433,473	8,584,059	24.92%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
418		Sum:	1,712,378		\$7,650,094	\$31,378,362	\$23,728,268	24.38%
419	OFFICE OF STATE POLICE	SALARIES	13,146,922	Regular	56,271,363	154,034,603	97,763,240	36.53%
		RELATED BENEFITS	6,884,090	Regular	28,825,466	75,261,655	46,436,189	38.30%
		OTHER COMPENSATION	581,301	Regular	2,421,322	5,813,520	3,392,198	41.65%
		TRAVEL & TRAINING	24,927	Regular	82,758	1,254,536	1,171,778	6.60%
		OPERATING SERVICES	952,417	Regular	3,209,249	8,315,092	5,105,843	38.60%
		SUPPLIES	995,390	Regular	3,120,293	11,910,916	8,790,623	26.20%
		PROFESSIONAL SERVICES	23,364	Regular	223,905	629,758	405,853	35.55%
		OTHER CHARGES	2,033,848	Regular	5,104,257	33,174,453	28,070,196	15.39%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,372,642	Regular	7,960,137	42,756,582	34,796,445	18.62%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
419		Sum:	26,014,902		\$107,218,750	\$333,151,115	\$225,932,365	32.18%
420	OFFICE OF MOTOR VEHICLES	SALARIES	1,732,394	Regular	7,598,252	28,264,047	20,665,795	26.88%
		RELATED BENEFITS	1,180,173	Regular	4,750,122	19,078,064	14,327,942	24.90%
		OTHER COMPENSATION	41,693	Regular	133,737	851,030	717,293	15.71%
		TRAVEL & TRAINING	1,274	Regular	2,775	82,136	79,361	3.38%
		OPERATING SERVICES	207,619	Regular	1,774,020	5,033,716	3,259,696	35.24%
		SUPPLIES	332,590	Regular	681,117	2,853,143	2,172,026	23.87%
		PROFESSIONAL SERVICES	946	Regular	996	142,286	141,290	0.70%
		OTHER CHARGES	171,777	Regular	228,941	5,884,601	5,655,660	3.89%
		DEBT SERVICES	0		0	0	0	0.00%

Agy	Agency Name	Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		IAT	1,750,626	Regular	4,669,967	14,872,874	10,202,907	31.40%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
420		Sum:	5,419,091		\$19,839,927	\$77,061,897	\$57,221,970	25.75%
422	OFFICE OF STATE FIRE MARSHAL	SALARIES	987,900	Regular	4,526,705	10,849,539	6,322,834	41.72%
		RELATED BENEFITS	480,004	Regular	2,073,390	6,558,405	4,485,015	31.61%
		OTHER COMPENSATION	47,935	Regular	192,240	312,576	120,336	61.50%
		TRAVEL & TRAINING	1,890	Regular	13,184	197,000	183,816	6.69%
		OPERATING SERVICES	66,340	Regular	274,779	1,151,202	876,423	23.87%
		SUPPLIES	18,513	Regular	71,760	432,417	360,657	16.60%
		PROFESSIONAL SERVICES	877	Regular	4,300	7,219	2,919	59.57%
		OTHER CHARGES	530,912	Regular	992,768	3,320,629	2,327,861	29.90%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,608,188	Regular	2,407,742	3,410,186	1,002,444	70.60%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
422		Sum:	3,742,559		\$10,556,868	\$26,239,173	\$15,682,305	40.23%
423	LOUISIANA GAMING CONTROL BOARD	SALARIES	12,104	Regular	54,468	224,203	169,735	24.29%
		RELATED BENEFITS	8,724	Regular	36,199	146,765	110,566	24.66%
		OTHER COMPENSATION	22,711	Regular	106,658	281,484	174,826	37.89%
		TRAVEL & TRAINING	1,247	Regular	2,042	29,389	27,347	6.95%
		OPERATING SERVICES	1,762	Regular	9,543	44,692	35,149	21.35%
		SUPPLIES	435	Regular	1,572	31,389	29,817	5.01%
		PROFESSIONAL SERVICES	12,028	Regular	12,028	66,717	54,689	18.03%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,477	Regular	58,073	103,990	45,917	55.85%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
423		Sum:	60,490		\$280,584	\$928,629	\$648,045	30.21%
424	LIQUEFIED PETROLEUM GAS COMPANY	SALARIES	52,869	Regular	237,229	717,008	479,779	33.09%
		RELATED BENEFITS	30,006	Regular	129,204	373,726	244,522	34.57%
		OTHER COMPENSATION	0		375	81,339	80,964	0.46%
		TRAVEL & TRAINING	0		541	35,000	34,459	1.55%
		OPERATING SERVICES	1,218	Regular	4,841	24,556	19,715	19.71%
		SUPPLIES	581	Regular	1,031	6,300	5,269	16.36%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	31	Regular	31	73,412	73,381	0.04%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,745	Regular	177,905	230,838	52,933	77.07%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
424		Sum:	86,450		\$551,157	\$1,542,179	\$991,022	35.74%
425	LA. HIGHWAY SAFETY COMMISSION	SALARIES	72,462	Regular	324,357	1,008,063	683,706	32.18%
		RELATED BENEFITS	45,942	Regular	192,426	603,445	411,019	31.89%
		OTHER COMPENSATION	2,392	Regular	8,461	40,000	31,539	21.15%
		TRAVEL & TRAINING	0		0	104,361	104,361	0.00%
		OPERATING SERVICES	2,204	Regular	12,570	49,359	36,789	25.47%
		SUPPLIES	0		549	69,468	68,919	0.79%
		PROFESSIONAL SERVICES	161,106	Regular	400,613	4,177,050	3,776,437	9.59%
		OTHER CHARGES	1,506,410	Regular	2,326,972	15,901,974	13,575,002	14.63%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,891	Regular	44,839	1,707,213	1,662,374	2.63%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
425		Sum:	1,792,406		\$3,310,788	\$23,660,933	\$20,350,145	13.99%
431	NATURAL RESOURCES - OFF OF STATE	SALARIES	190,306	Regular	863,044	2,813,807	1,950,763	30.67%
		RELATED BENEFITS	152,203	Regular	648,194	2,155,910	1,507,716	30.07%
		OTHER COMPENSATION	2,607	Regular	11,847	29,640	17,793	39.97%
		TRAVEL & TRAINING	618	Regular	1,351	50,882	49,531	2.66%
		OPERATING SERVICES	4,040,820	Regular	4,282,939	10,962,349	6,679,410	39.07%
		SUPPLIES	592	Regular	2,462	84,509	82,047	2.91%
		PROFESSIONAL SERVICES	185	Regular	185	106,977	106,792	0.17%
		OTHER CHARGES	40,090	Regular	60,090	1,503,363	1,443,273	4.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	145,189	Regular	980,479	3,231,291	2,250,812	30.34%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	585,908	Regular	2,158,492	50,000	(2,108,492)	4,316.98%

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
431		Sum:	5,158,518		\$9,009,082	\$20,988,728	\$11,979,646	42.92%
432	OFFICE OF CONSERVATION	SALARIES	827,902	Regular	3,630,032	10,787,228	7,157,196	33.65%
		RELATED BENEFITS	552,879	Regular	2,045,176	6,657,598	4,612,422	30.72%
		OTHER COMPENSATION	7,834	Regular	25,819	150,751	124,932	17.13%
		TRAVEL & TRAINING	6,339	Regular	6,957	184,535	177,578	3.77%
		OPERATING SERVICES	16,169	Regular	49,141	539,140	489,999	9.11%
		SUPPLIES	10,895	Regular	31,701	347,819	316,118	9.11%
		PROFESSIONAL SERVICES	3,912	Regular	6,477	152,243	145,766	4.25%
		OTHER CHARGES	0		0	249,035	249,035	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	580,930	Regular	1,520,685	4,707,566	3,186,881	32.30%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		25,689	65,128	39,439	39.44%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
432		Sum:	2,006,859		\$7,341,677	\$23,841,043	\$16,499,366	30.79%
434	OFFICE OF MINERAL RESOURCES	SALARIES	252,933	Regular	1,164,086	3,871,480	2,707,394	30.07%
		RELATED BENEFITS	175,708	Regular	767,661	2,669,050	1,901,389	28.76%
		OTHER COMPENSATION	829	Regular	5,623	51,939	46,316	10.83%
		TRAVEL & TRAINING	0		1,166	100,193	99,027	1.16%
		OPERATING SERVICES	68,829	Regular	79,062	227,095	148,033	34.81%
		SUPPLIES	757	Regular	1,843	17,119	15,276	10.77%
		PROFESSIONAL SERVICES	0		21,500	191,559	170,059	11.22%
		OTHER CHARGES	0		0	23,000	23,000	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	161,604	Regular	778,405	2,044,534	1,266,129	38.07%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	17,050	17,050	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
434		Sum:	660,660		\$2,819,346	\$9,213,019	\$6,393,673	30.60%
435	OFFICE OF COASTAL MANAGEMENT	SALARIES	232,824	Regular	1,058,176	3,228,451	2,170,275	32.78%
		RELATED BENEFITS	131,110	Regular	569,605	1,834,822	1,265,217	31.04%
		OTHER COMPENSATION	870	Regular	3,406	32,614	29,209	10.44%
		TRAVEL & TRAINING	0		4	40,000	39,996	0.01%
		OPERATING SERVICES	3,516	Regular	11,791	86,399	74,608	13.65%
		SUPPLIES	963	Regular	2,886	74,291	71,405	3.89%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	51,393	Regular	51,393	502,165	450,773	10.23%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	111,098	Regular	213,751	5,817,625	5,603,874	3.67%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	314	Regular	314	0	(314)	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
435		Sum:	532,086		\$1,911,326	\$11,616,367	\$9,705,041	16.45%
440	OFFICE OF REVENUE	SALARIES	2,911,789	Regular	13,178,212	39,880,228	26,702,016	33.04%
		RELATED BENEFITS	1,949,243	Regular	8,010,700	26,874,750	18,864,050	29.81%
		OTHER COMPENSATION	118,840	Regular	548,655	1,718,388	1,169,733	31.93%
		TRAVEL & TRAINING	7,429	Regular	14,601	997,318	982,717	1.46%
		OPERATING SERVICES	106,751	Regular	762,477	6,134,415	5,371,938	12.43%
		SUPPLIES	17,201	Regular	42,073	387,211	345,138	10.87%
		PROFESSIONAL SERVICES	15,664	Regular	215,663	1,745,949	1,530,286	12.35%
		OTHER CHARGES	54,746	Regular	209,939	992,843	782,904	21.15%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	3,115,967	Regular	11,262,235	36,311,871	25,049,636	31.02%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	104,006	Regular	253,064	686,113	433,049	36.88%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
440		Sum:	8,401,636		\$34,497,618	\$115,729,086	\$81,231,468	29.81%
451	LOCAL HOUSING ST ADULT OFFICE	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	12,498,272	Regular	44,652,257	157,113,651	112,461,394	28.42%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	12,486	12,486	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
451		Sum:	12,498,272		\$44,652,257	\$157,126,137	\$112,473,880	28.42%

Agy	Agency Name	Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
452	LOCAL HOUSING ST JUVENILE	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	191,337	Regular	498,377	1,515,114	1,016,737	32.89%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	1,646	1,646	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
452		Sum:	191,337		\$498,377	\$1,516,760	\$1,018,383	32.86%
474	WORKFORCE SUPPORT & TRA	SALARIES	2,958,034	Regular	14,161,594	48,242,732	34,081,138	29.35%
		RELATED BENEFITS	2,304,330	Regular	9,243,832	34,384,689	25,140,857	26.88%
		OTHER COMPENSATION	155,605	Regular	703,307	2,791,339	2,088,032	25.20%
		TRAVEL & TRAINING	7,805	Regular	29,380	940,165	910,785	3.12%
		OPERATING SERVICES	367,202	Regular	1,778,529	14,224,713	12,446,184	12.50%
		SUPPLIES	15,531	Regular	110,544	881,734	771,190	12.54%
		PROFESSIONAL SERVICES	506,160	Regular	2,024,753	4,265,410	2,240,657	47.47%
		OTHER CHARGES	12,474,130	Regular	56,139,472	172,679,185	116,539,713	32.51%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	738,725	Regular	3,814,816	12,384,877	8,570,061	30.80%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	43	Regular	423	0	(423)	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
474		Sum:	19,527,564		\$88,006,650	\$290,794,844	\$202,788,194	30.26%
511	WILDLIFE & FISHERIES MGMT	SALARIES	195,478	Regular	860,448	2,636,454	1,776,006	32.64%
		RELATED BENEFITS	147,148	Regular	612,959	2,084,185	1,471,226	29.41%
		OTHER COMPENSATION	5,991	Regular	23,633	38,376	14,743	61.58%
		TRAVEL & TRAINING	0		0	15,155	15,155	0.00%
		OPERATING SERVICES	63,697	Regular	357,195	1,532,426	1,175,231	23.31%
		SUPPLIES	693	Regular	10,631	96,147	85,516	11.06%
		PROFESSIONAL SERVICES	76	Regular	210	7,767	7,558	2.70%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,128,851	Regular	1,318,412	4,815,602	3,497,190	27.38%
		MAJOR REPAIRS	0		0	7,500	7,500	0.00%
		CAPITAL OUTLAY	0		0	5,400	5,400	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
511		Sum:	1,541,934		\$3,183,487	\$11,239,012	\$8,055,525	28.33%
512	WILDLIFE & FISHERIES OFF OF	SALARIES	1,793,355	Regular	7,275,967	19,417,270	12,141,303	37.47%
		RELATED BENEFITS	1,002,529	Regular	4,338,150	14,109,229	9,771,079	30.75%
		OTHER COMPENSATION	-14,541		43,769	171,418	127,649	25.53%
		TRAVEL & TRAINING	-16		11,313	183,003	171,690	6.18%
		OPERATING SERVICES	104,909	Regular	364,006	1,100,779	736,773	33.07%
		SUPPLIES	98,632	Regular	305,618	1,441,931	1,136,313	21.20%
		PROFESSIONAL SERVICES	83	Regular	83	68,328	68,245	0.12%
		OTHER CHARGES	0		0	226,465	226,465	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	2,926	Regular	1,382,981	2,293,586	910,605	60.30%
		MAJOR REPAIRS	1,488	Regular	7,618	133,812	126,194	5.69%
		CAPITAL OUTLAY	250	Regular	125,350	1,397,003	1,271,653	8.97%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
512		Sum:	2,989,616		\$13,854,854	\$40,542,824	\$26,687,970	34.17%
513	OFFICE OF WILDLIFE	SALARIES	968,780	Regular	4,338,553	13,117,765	8,779,212	33.07%
		RELATED BENEFITS	649,622	Regular	2,832,700	9,486,315	6,653,615	29.86%
		OTHER COMPENSATION	64,815	Regular	353,216	1,190,249	837,033	29.68%
		TRAVEL & TRAINING	1,374	Regular	12,146	178,804	166,658	6.79%
		OPERATING SERVICES	125,051	Regular	475,830	2,416,763	1,940,933	19.69%
		SUPPLIES	148,327	Regular	434,649	3,095,807	2,661,158	14.04%
		PROFESSIONAL SERVICES	68,232	Regular	289,742	2,073,959	1,784,217	13.97%
		OTHER CHARGES	120,271	Regular	653,543	10,007,024	9,353,481	6.53%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	458,361	Regular	1,712,808	3,001,310	1,288,502	57.07%
		MAJOR REPAIRS	277,955	Regular	383,725	5,707,423	5,323,698	6.72%
		CAPITAL OUTLAY	31,338	Regular	319,050	5,072,100	4,753,050	6.29%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
513		Sum:	2,914,127		\$11,805,962	\$55,347,519	\$43,541,557	21.33%
514	OFFICE OF FISHERIES	SALARIES	964,184	Regular	4,383,193	13,669,961	9,286,768	32.06%
		RELATED BENEFITS	652,266	Regular	2,801,477	9,999,953	7,198,476	28.01%

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		OTHER COMPENSATION	21,000	Regular	99,074	1,093,958	994,884	9.06%
		TRAVEL & TRAINING	1,582	Regular	1,947	134,912	132,965	1.44%
		OPERATING SERVICES	145,257	Regular	355,965	6,660,735	6,304,770	5.34%
		SUPPLIES	869,816	Regular	1,254,742	4,631,361	3,376,619	27.09%
		PROFESSIONAL SERVICES	71,714	Regular	916,188	2,347,943	1,431,755	39.02%
		OTHER CHARGES	63,891	Regular	151,579	10,090,492	9,938,913	1.50%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	19,299	Regular	680,266	869,853	189,587	78.20%
		MAJOR REPAIRS	34,072	Regular	70,277	1,063,475	993,198	6.61%
		CAPITAL OUTLAY	64,014	Regular	179,074	1,919,451	1,740,377	9.33%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
514		Sum:	2,907,094		\$10,893,783	\$52,482,094	\$41,588,311	20.76%
560	STATE CIVIL SERVICE	SALARIES	549,895	Regular	2,403,487	7,359,503	4,956,016	32.66%
		RELATED BENEFITS	380,720	Regular	1,357,263	4,388,714	3,031,451	30.93%
		OTHER COMPENSATION	2,034	Regular	10,998	93,509	82,511	11.76%
		TRAVEL & TRAINING	1,294	Regular	3,842	40,737	36,895	9.43%
		OPERATING SERVICES	10,008	Regular	234,329	469,458	235,129	49.91%
		SUPPLIES	473	Regular	2,693	18,990	16,297	14.18%
		PROFESSIONAL SERVICES	0		0	30,000	30,000	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	49,027	Regular	368,184	919,552	551,368	40.04%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		6,181	27,274	21,093	22.66%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
560		Sum:	993,451		\$4,386,978	\$13,347,737	\$8,960,759	32.87%
561	MUNIC FIRE POLICE CIVIL SER	SALARIES	97,202	Regular	441,506	1,354,204	912,698	32.60%
		RELATED BENEFITS	64,778	Regular	248,156	802,599	554,443	30.92%
		OTHER COMPENSATION	169	Regular	597	0	(597)	0.00%
		TRAVEL & TRAINING	417	Regular	1,199	20,183	18,984	5.94%
		OPERATING SERVICES	11,433	Regular	48,171	203,299	155,128	23.69%
		SUPPLIES	756	Regular	2,175	22,534	20,359	9.65%
		PROFESSIONAL SERVICES	0		0	15,000	15,000	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,765	Regular	35,294	110,985	75,691	31.80%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	633	Regular	633	2,325	1,692	27.22%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
561		Sum:	177,153		\$777,730	\$2,531,129	\$1,753,399	30.73%
562	ETHICS ADMINISTRATION	SALARIES	180,651	Regular	805,033	2,313,745	1,508,712	34.79%
		RELATED BENEFITS	109,701	Regular	433,919	1,327,627	893,708	32.68%
		OTHER COMPENSATION	0		5,474	52,278	46,804	10.47%
		TRAVEL & TRAINING	253	Regular	3,990	34,778	30,788	11.47%
		OPERATING SERVICES	6,453	Regular	42,853	229,851	186,998	18.64%
		SUPPLIES	839	Regular	2,499	19,286	16,787	12.96%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	199	Regular	597	21,000	20,403	2.84%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	21,286	Regular	141,381	700,911	559,530	20.17%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
562		Sum:	319,383		\$1,435,747	\$4,699,476	\$3,263,729	30.55%
563	STATE POLICE COMMISSION	SALARIES	18,120	Regular	81,114	235,562	154,448	34.43%
		RELATED BENEFITS	7,152	Regular	30,613	101,902	71,289	30.04%
		OTHER COMPENSATION	375	Regular	675	6,300	5,625	10.71%
		TRAVEL & TRAINING	67	Regular	380	9,000	8,620	4.23%
		OPERATING SERVICES	1,218	Regular	3,842	12,900	9,058	29.79%
		SUPPLIES	229	Regular	731	7,000	6,269	10.45%
		PROFESSIONAL SERVICES	50,618	Regular	57,160	149,075	91,915	38.34%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	2,559	Regular	56,231	156,809	100,578	35.86%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
563		Sum:	80,337		\$230,747	\$678,548	\$447,801	34.01%
565	BOARD OF TAX APPEALS	SALARIES	48,350	Regular	216,704	623,257	406,553	34.77%
		RELATED BENEFITS	31,762	Regular	120,108	396,910	276,802	30.26%
		OTHER COMPENSATION	11,757	Regular	51,272	174,514	123,242	29.38%
		TRAVEL & TRAINING	2,131	Regular	7,953	41,864	33,911	19.00%
		OPERATING SERVICES	4,848	Regular	6,138	50,971	44,833	12.04%

Agy	Agency Name	Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		SUPPLIES	76	Regular	637	16,877	16,240	3.77%
		PROFESSIONAL SERVICES	416	Regular	641	75,000	74,359	0.85%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	15,135	Regular	70,663	193,811	123,148	36.46%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
565		Sum:	114,475		\$474,117	\$1,573,204	\$1,099,087	30.14%
600	LSU BOARD OF SUPERVISORS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	998,223,816	998,223,816	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
600		Sum:	\$0		\$0	\$998,223,816	\$998,223,816	0.00%
610	LA HEALTH CARE SERVICES D	SALARIES	0		0	15,190,122	15,190,122	0.00%
		RELATED BENEFITS	0		0	24,893,663	24,893,663	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	12,291	12,291	0.00%
		OPERATING SERVICES	0		0	4,481,685	4,481,685	0.00%
		SUPPLIES	0		0	4,457,651	4,457,651	0.00%
		PROFESSIONAL SERVICES	0		0	1,833,086	1,833,086	0.00%
		OTHER CHARGES	0		0	11,439,740	11,439,740	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	791,087	791,087	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	380,459	380,459	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
610		Sum:	\$0		\$0	\$63,479,784	\$63,479,784	0.00%
615	SOUTHERN UNIV-BD OF SUPER	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	155,459,017	155,459,017	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
615		Sum:	\$0		\$0	\$155,459,017	\$155,459,017	0.00%
620	BD OF SUPRS-UNIV OF LA SYST	SALARIES	161,938	Regular	813,734	2,011,000	1,197,266	40.46%
		RELATED BENEFITS	51,255	Regular	279,378	785,100	505,722	35.59%
		OTHER COMPENSATION	250	Regular	724	500	(224)	144.80%
		TRAVEL & TRAINING	1,999	Regular	7,726	55,000	47,274	14.05%
		OPERATING SERVICES	17,256	Regular	88,961	228,000	139,039	39.02%
		SUPPLIES	-56		1,484	15,500	14,016	9.57%
		PROFESSIONAL SERVICES	-36,576		24,988	315,867	290,879	7.91%
		OTHER CHARGES	0		0	854,655,069	854,655,069	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	27,611	Regular	271,450	380,000	108,550	71.43%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	3,332	Regular	4,678	25,000	20,322	18.71%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
620		Sum:	227,009		\$1,493,125	\$858,471,036	\$856,977,911	0.17%
649	BD OF SUPRS-COMM & TECH C	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	292,364,677	292,364,677	0.00%

			04						
Agy	Agency Name	Expenditure Category	Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended	
		DEBT SERVICES	0		0	0	0	0.00%	
		IAT	0		0	0	0	0.00%	
		MAJOR REPAIRS	0		0	0	0	0.00%	
		CAPITAL OUTLAY	0		0	0	0	0.00%	
		AUXILIARY PROGRAM	0		0	0	0	0.00%	
		UNALLOTTED	0		0	0	0	0.00%	
649		Sum:	\$0		\$0	\$292,364,677	\$292,364,677	0.00%	
653	LA SCH FOR DEAF & VISUAL	SALARIES	941,759	Regular	3,455,920	13,174,207	9,718,287	26.23%	
		RELATED BENEFITS	425,357	Regular	1,859,345	7,543,601	5,684,256	24.65%	
		OTHER COMPENSATION	49,509	Regular	142,205	462,631	320,426	30.74%	
		TRAVEL & TRAINING	4,504	Regular	11,968	165,592	153,624	7.23%	
		OPERATING SERVICES	167,824	Regular	428,364	1,756,619	1,328,255	24.39%	
		SUPPLIES	132,380	Regular	437,598	945,113	507,515	46.30%	
		PROFESSIONAL SERVICES	10,000	Regular	14,019	366,371	352,352	3.83%	
		OTHER CHARGES	154,339	Regular	223,955	1,347,369	1,123,415	16.62%	
		DEBT SERVICES	0		0	0	0	0.00%	
		IAT	1,455	Regular	767,824	939,111	171,287	81.76%	
		MAJOR REPAIRS	0		0	0	0	0.00%	
		CAPITAL OUTLAY	0		0	0	0	0.00%	
		AUXILIARY PROGRAM	0		0	2,500	2,500	0.00%	
		UNALLOTTED	0		0	0	0	0.00%	
653		Sum:	1,887,126		\$7,341,198	\$26,703,114	\$19,361,916	27.49%	
657	JDL LA SCH MATH SCIENCE &	SALARIES	374,434	Regular	1,318,648	4,970,694	3,652,046	26.53%	
		RELATED BENEFITS	145,348	Regular	703,683	2,235,610	1,531,927	31.48%	
		OTHER COMPENSATION	3,518	Regular	5,870	89,000	83,130	6.60%	
		TRAVEL & TRAINING	0		569	7,600	7,031	7.49%	
		OPERATING SERVICES	30,788	Regular	98,039	380,956	282,917	25.74%	
		SUPPLIES	5,022	Regular	19,212	571,800	552,588	3.36%	
		PROFESSIONAL SERVICES	12,240	Regular	23,110	39,090	15,980	59.12%	
		OTHER CHARGES	7,532	Regular	56,707	787,505	730,798	7.20%	
		DEBT SERVICES	0		0	0	0	0.00%	
		IAT	21,938	Regular	252,873	441,873	189,000	57.23%	
		MAJOR REPAIRS	0		0	0	0	0.00%	
		CAPITAL OUTLAY	0		0	0	0	0.00%	
		AUXILIARY PROGRAM	0		0	0	0	0.00%	
		UNALLOTTED	0		0	0	0	0.00%	
657		Sum:	600,821		\$2,478,713	\$9,524,128	\$7,045,415	26.03%	
658	THRIVE ACADEMY	SALARIES	179,761	Regular	774,027	2,337,716	1,563,689	33.11%	
		RELATED BENEFITS	69,198	Regular	300,357	957,657	657,300	31.36%	
		OTHER COMPENSATION	60,233	Regular	186,263	982,676	796,413	18.95%	
		TRAVEL & TRAINING	0		0	0	0	0.00%	
		OPERATING SERVICES	187,354	Regular	868,101	2,139,815	1,271,714	40.57%	
		SUPPLIES	50,165	Regular	101,806	371,297	269,491	27.42%	
		PROFESSIONAL SERVICES	13,331	Regular	19,835	130,555	110,720	15.19%	
		OTHER CHARGES	0		0	0	0	0.00%	
		DEBT SERVICES	0		0	0	0	0.00%	
		IAT	3,802	Regular	105,855	136,861	31,006	77.34%	
		MAJOR REPAIRS	0		0	0	0	0.00%	
		CAPITAL OUTLAY	0		0	0	0	0.00%	
		AUXILIARY PROGRAM	0		0	0	0	0.00%	
		UNALLOTTED	0		0	0	0	0.00%	
658		Sum:	563,843		\$2,356,244	\$7,056,577	\$4,700,333	33.39%	
662	LA EDUCATIONAL TV AUTHOR	SALARIES	284,938	Regular	1,290,801	4,191,849	2,901,048	30.79%	
		RELATED BENEFITS	141,977	Regular	717,343	2,504,412	1,787,069	28.64%	
		OTHER COMPENSATION	0		485	8,888	8,404	5.45%	
		TRAVEL & TRAINING	845	Regular	845	1,207	362	70.03%	
		OPERATING SERVICES	132,425	Regular	610,737	1,635,202	1,024,465	37.35%	
		SUPPLIES	15,554	Regular	25,030	65,517	40,487	38.20%	
		PROFESSIONAL SERVICES	14,680	Regular	14,680	43,375	28,695	33.84%	
		OTHER CHARGES	250,000	Regular	250,000	616,703	366,703	40.54%	
		DEBT SERVICES	0		0	0	0	0.00%	
		IAT	3,203	Regular	247,299	367,464	120,165	67.30%	
		MAJOR REPAIRS	0		0	0	0	0.00%	
		CAPITAL OUTLAY	0		0	0	0	0.00%	
		AUXILIARY PROGRAM	0		0	0	0	0.00%	
		UNALLOTTED	0		0	0	0	0.00%	
662		Sum:	843,623		\$3,157,220	\$9,434,617	\$6,277,397	33.46%	
666	BR ELEMENTARY & SECONDA	SALARIES	60,003	Regular	273,967	840,782	566,815	32.58%	
		RELATED BENEFITS	21,872	Regular	103,906	414,784	310,878	25.05%	
		OTHER COMPENSATION	4,295	Regular	13,990	71,310	57,320	19.62%	
		TRAVEL & TRAINING	3,393	Regular	5,825	56,307	50,482	10.35%	
		OPERATING SERVICES	1,921	Regular	11,229	48,140	36,911	23.32%	
		SUPPLIES	436	Regular	1,964	9,500	7,536	20.68%	
		PROFESSIONAL SERVICES	0		0	0	0	0.00%	
		OTHER CHARGES	367,665	Regular	514,589	11,563,802	11,049,213	4.45%	
		DEBT SERVICES	0		0	0	0	0.00%	
		IAT	127,992	Regular	334,180	11,812,701	11,478,521	2.83%	
		MAJOR REPAIRS	0		0	0	0	0.00%	

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
666		Sum:	587,577		\$1,259,650	\$24,817,326	\$23,557,676	5.08%
671	BOARD OF REGENTS	SALARIES	1,115,163	Regular	5,076,721	16,959,697	11,882,976	29.93%
		RELATED BENEFITS	583,823	Regular	2,210,781	7,909,026	5,698,245	27.95%
		OTHER COMPENSATION	24,235	Regular	112,062	282,017	169,955	39.74%
		TRAVEL & TRAINING	469	Regular	9,168	394,009	384,841	2.33%
		OPERATING SERVICES	308,154	Regular	1,787,340	9,023,114	7,235,774	19.81%
		SUPPLIES	6,409	Regular	22,567	276,387	253,820	8.17%
		PROFESSIONAL SERVICES	333,724	Regular	724,505	5,143,570	4,419,065	14.09%
		OTHER CHARGES	35,948,627	Regular	176,068,715	441,739,348	265,670,633	39.86%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	722,559	Regular	1,154,555	3,445,296	2,290,741	33.51%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	5,997	Regular	7,714	139,774	132,060	5.52%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
671		Sum:	39,049,160		\$187,174,128	\$485,312,238	\$298,138,110	38.57%
673	N.O. CENTER FOR CREATIVE A	SALARIES	340,255	Regular	1,543,744	4,543,496	2,999,752	33.98%
		RELATED BENEFITS	147,625	Regular	620,054	1,879,784	1,259,730	32.99%
		OTHER COMPENSATION	6,314	Regular	21,726	38,000	16,274	57.17%
		TRAVEL & TRAINING	0		125	8,547	8,422	1.46%
		OPERATING SERVICES	83,505	Regular	324,523	1,068,991	744,468	30.36%
		SUPPLIES	2,194	Regular	24,442	139,127	114,685	17.57%
		PROFESSIONAL SERVICES	6,813	Regular	49,921	108,965	59,044	45.81%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		280,427	642,770	362,343	43.63%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		1,452	66,069	64,617	2.20%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
673		Sum:	586,705		\$2,866,414	\$8,495,749	\$5,629,335	33.74%
678	DOE STATE ACTIVITIES	SALARIES	2,279,210	Regular	10,231,641	30,965,110	20,733,469	33.04%
		RELATED BENEFITS	1,702,534	Regular	6,163,429	18,173,660	12,010,231	33.91%
		OTHER COMPENSATION	335,931	Regular	1,385,054	5,248,133	3,863,079	26.39%
		TRAVEL & TRAINING	47,586	Regular	102,291	3,077,624	2,975,333	3.32%
		OPERATING SERVICES	276,970	Regular	575,640	7,269,663	6,694,023	7.92%
		SUPPLIES	111,496	Regular	117,690	1,442,719	1,325,029	8.16%
		PROFESSIONAL SERVICES	3,383,712	Regular	4,117,743	49,476,473	45,358,730	8.32%
		OTHER CHARGES	321,107	Regular	321,902	14,501,544	14,179,642	2.22%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	1,805,886	Regular	7,128,796	40,983,458	33,854,662	17.39%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
678		Sum:	10,264,432		\$30,144,185	\$171,138,384	\$140,994,199	17.61%
681	SUBGRANTEE ASSISTANCE	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	125,421,420	Regular	252,019,297	1,668,743,110	1,416,723,813	15.10%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	508,326	Regular	1,613,647	12,225,688	10,612,041	13.20%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
681		Sum:	125,929,746		\$253,632,945	\$1,680,968,798	\$1,427,335,853	15.09%
682	RECOVERY SCHOOL DISTRICT	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	61,178	Regular	207,236	456,475	249,239	45.40%
		OTHER COMPENSATION	81,678	Regular	349,564	977,140	627,576	35.77%
		TRAVEL & TRAINING	0		56	55,534	55,478	0.10%
		OPERATING SERVICES	5,193	Regular	5,311	784,794	779,483	0.68%
		SUPPLIES	1,089	Regular	1,089	7,200	6,111	15.13%
		PROFESSIONAL SERVICES	941,229	Regular	2,602,674	34,711,532	32,108,858	7.50%
		OTHER CHARGES	1,136,546	Regular	4,540,208	15,591,599	11,051,391	29.12%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	475,209	Regular	517,184	647,917	130,733	79.82%
		MAJOR REPAIRS	2,818,965	Regular	8,128,759	100,976,327	92,847,568	8.05%
		CAPITAL OUTLAY	0		0	5,400,000	5,400,000	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
682		Sum:	5,521,088		\$16,352,081	\$159,608,518	\$143,256,437	10.25%
695	MINIMUM FOUNDATION PROG	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	321,648,343	Regular	1,300,594,482	3,895,695,015	2,595,100,533	33.39%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
695		Sum:	321,648,343		\$1,300,594,482	\$3,895,695,015	\$2,595,100,533	33.39%
697	NON-PUBLIC EDUCATIONAL A	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	20,694,779	20,694,779	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
697		Sum:	\$0		\$0	\$20,694,779	\$20,694,779	0.00%
699	SPECIAL SCHOOL DISTRICTS	SALARIES	271,390	Regular	1,415,457	4,921,682	3,506,225	28.76%
		RELATED BENEFITS	371,200	Regular	1,070,466	3,696,699	2,626,233	28.96%
		OTHER COMPENSATION	19,162	Regular	83,728	45,158	(38,570)	185.41%
		TRAVEL & TRAINING	2,342	Regular	4,723	118,019	113,296	4.00%
		OPERATING SERVICES	1,083	Regular	2,303	64,352	62,049	3.58%
		SUPPLIES	6,786	Regular	6,786	49,637	42,851	13.67%
		PROFESSIONAL SERVICES	3,038	Regular	3,038	208,430	205,393	1.46%
		OTHER CHARGES	0		0	2,743	2,743	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	4,079	Regular	134,607	651,560	516,953	20.66%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
699		Sum:	679,078		\$2,721,107	\$9,758,280	\$7,037,173	27.89%
856	OFF OF ENVIRONMENTAL QA	SALARIES	3,528,175	Regular	15,657,676	45,845,167	30,187,491	34.15%
		RELATED BENEFITS	1,977,638	Regular	8,563,115	26,204,370	17,641,255	32.68%
		OTHER COMPENSATION	30,551	Regular	153,575	211,043	57,468	72.77%
		TRAVEL & TRAINING	5,525	Regular	16,613	376,443	359,830	4.41%
		OPERATING SERVICES	161,657	Regular	592,092	2,628,472	2,036,380	22.53%
		SUPPLIES	81,293	Regular	183,301	927,354	744,053	19.77%
		PROFESSIONAL SERVICES	381,395	Regular	966,793	4,200,810	3,234,017	23.01%
		OTHER CHARGES	2,336,273	Regular	7,838,658	44,110,662	36,272,004	17.77%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	986,208	Regular	4,447,132	18,127,301	13,680,169	24.53%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	32,244	Regular	138,625	1,307,351	1,168,726	10.60%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
856		Sum:	9,520,959		\$38,557,579	\$143,938,973	\$105,381,394	26.79%
901	SALES TAX DEDICATIONS	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	13,871,624	Regular	13,882,523	53,824,235	39,941,712	25.79%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
901		Sum:	13,871,624		\$13,882,523	\$53,824,235	\$39,941,712	25.79%
903	PARISH TRANSPORTATION	SALARIES	0		0	0	0	0.00%

		04						
		Accounting						
		Period						
		October						
Agy	Agency Name	Expenditure Category	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended	
		RELATED BENEFITS	0	0	0	0	0.00%	
		OTHER COMPENSATION	0	0	0	0	0.00%	
		TRAVEL & TRAINING	0	0	0	0	0.00%	
		OPERATING SERVICES	0	0	0	0	0.00%	
		SUPPLIES	0	0	0	0	0.00%	
		PROFESSIONAL SERVICES	0	0	0	0	0.00%	
		OTHER CHARGES	3,663,617	Regular	9,050,408	46,400,000	37,349,592	19.51%
		DEBT SERVICES	0	0	0	0	0.00%	
		IAT	0	0	0	0	0.00%	
		MAJOR REPAIRS	0	0	0	0	0.00%	
		CAPITAL OUTLAY	0	0	0	0	0.00%	
		AUXILIARY PROGRAM	0	0	0	0	0.00%	
		UNALLOTTED	0	0	0	0	0.00%	
903		Sum:	3,663,617		\$9,050,408	\$46,400,000	\$37,349,592	19.51%
905	INTERIM EMERGENCY BOARD	SALARIES	0	0	0	0	0.00%	
		RELATED BENEFITS	0	0	1,500	1,500	0.00%	
		OTHER COMPENSATION	0	0	2,000	2,000	0.00%	
		TRAVEL & TRAINING	0	0	1,000	1,000	0.00%	
		OPERATING SERVICES	0	0	1,600	1,600	0.00%	
		SUPPLIES	0	0	400	400	0.00%	
		PROFESSIONAL SERVICES	0	0	0	0	0.00%	
		OTHER CHARGES	0	0	29,211	29,211	0.00%	
		DEBT SERVICES	0	0	0	0	0.00%	
		IAT	0	0	1,097	1,097	0.00%	
		MAJOR REPAIRS	0	0	0	0	0.00%	
		CAPITAL OUTLAY	0	0	0	0	0.00%	
		AUXILIARY PROGRAM	0	0	0	0	0.00%	
		UNALLOTTED	0	0	0	0	0.00%	
905		Sum:	\$0		\$0	\$36,808	\$36,808	0.00%
906	DISTRICT ATTORNEYS & ASSIS	SALARIES	0	0	0	0	0.00%	
		RELATED BENEFITS	0	0	0	0	0.00%	
		OTHER COMPENSATION	0	0	0	0	0.00%	
		TRAVEL & TRAINING	0	0	0	0	0.00%	
		OPERATING SERVICES	0	0	0	0	0.00%	
		SUPPLIES	0	0	0	0	0.00%	
		PROFESSIONAL SERVICES	0	0	0	0	0.00%	
		OTHER CHARGES	2,495,621	Regular	11,007,282	33,336,421	22,329,139	33.02%
		DEBT SERVICES	0	0	0	0	0.00%	
		IAT	0	0	661,915	747,360	85,445	88.57%
		MAJOR REPAIRS	0	0	0	0	0.00%	
		CAPITAL OUTLAY	0	0	0	0	0.00%	
		AUXILIARY PROGRAM	0	0	0	0	0.00%	
		UNALLOTTED	0	0	0	0	0.00%	
906		Sum:	2,495,621		\$11,669,197	\$34,083,781	\$22,414,584	34.24%
923	CORRECTIONS DEBT SERVICE	SALARIES	0	0	0	0	0.00%	
		RELATED BENEFITS	0	0	0	0	0.00%	
		OTHER COMPENSATION	0	0	0	0	0.00%	
		TRAVEL & TRAINING	0	0	0	0	0.00%	
		OPERATING SERVICES	0	0	0	0	0.00%	
		SUPPLIES	0	0	0	0	0.00%	
		PROFESSIONAL SERVICES	0	0	0	0	0.00%	
		OTHER CHARGES	0	0	0	0	0.00%	
		DEBT SERVICES	711,298	Regular	1,474,001	5,114,767	3,640,766	28.82%
		IAT	0	0	0	0	0.00%	
		MAJOR REPAIRS	0	0	0	0	0.00%	
		CAPITAL OUTLAY	0	0	0	0	0.00%	
		AUXILIARY PROGRAM	0	0	0	0	0.00%	
		UNALLOTTED	0	0	0	0	0.00%	
923		Sum:	711,298		\$1,474,001	\$5,114,767	\$3,640,766	28.82%
924	VIDEO DRAW POKER-LOC GOV	SALARIES	0	0	0	0	0.00%	
		RELATED BENEFITS	0	0	0	0	0.00%	
		OTHER COMPENSATION	0	0	0	0	0.00%	
		TRAVEL & TRAINING	0	0	0	0	0.00%	
		OPERATING SERVICES	0	0	0	0	0.00%	
		SUPPLIES	0	0	0	0	0.00%	
		PROFESSIONAL SERVICES	0	0	0	0	0.00%	
		OTHER CHARGES	5,941,756	Regular	5,941,756	16,400,490	10,458,734	36.23%
		DEBT SERVICES	0	0	0	0	0.00%	
		IAT	0	0	0	0	0.00%	
		MAJOR REPAIRS	0	0	0	0	0.00%	
		CAPITAL OUTLAY	0	0	0	0	0.00%	
		AUXILIARY PROGRAM	0	0	0	0	0.00%	
		UNALLOTTED	0	0	0	0	0.00%	
924		Sum:	5,941,756		\$5,941,756	\$16,400,490	\$10,458,734	36.23%
925	UNCLAIMED PROP LEV FD DEB	SALARIES	0	0	0	0	0.00%	
		RELATED BENEFITS	0	0	0	0	0.00%	
		OTHER COMPENSATION	0	0	0	0	0.00%	
		TRAVEL & TRAINING	0	0	0	0	0.00%	

		04						
Agy	Agency Name	Expenditure Category	Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	4,500	Regular	6,500	15,000,000	14,993,500	0.04%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
925		Sum:	4,500		\$6,500	\$15,000,000	\$14,993,500	0.04%
928	SUPPLEMENTAL DEPUTY SHERIFF	OTHER CHARGES	4,211,168	Regular	16,405,566	53,716,000	37,310,434	30.54%
928		Sum:	4,211,168		\$16,405,566	\$53,716,000	\$37,310,434	30.54%
930	HIGHER ED-DEBT SVC & MAIN	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	45,349,361	45,349,361	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
930		Sum:	\$0		\$0	\$45,349,361	\$45,349,361	0.00%
931	ECON DEV-DEBT SVC & COMM	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	5,268,847	Regular	7,694,811	104,297,582	96,602,771	7.38%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
931		Sum:	5,268,847		\$7,694,811	\$104,297,582	\$96,602,771	7.38%
932	TWO PERCENT FIRE INSURANCE	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	18,340,000	18,340,000	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
932		Sum:	\$0		\$0	\$18,340,000	\$18,340,000	0.00%
933	GOVERNOR CONF & INTERSTATE COM	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		183,900	458,028	274,128	40.15%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	0	0	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
933		Sum:	\$0		\$183,900	\$458,028	\$274,128	40.15%
939	PREPAID WIRELESS TELE 911 S	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%

Agy Agency Name		Expenditure Category	04 Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	2,869,662	Regular	2,996,870	14,125,000	11,128,130	21.22%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
939		Sum:	2,869,662		\$2,996,870	\$14,125,000	\$11,128,130	21.22%
940	PH & MUN-EMERGENCY MED	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	0		0	150,000	150,000	0.00%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
940		Sum:	0		\$0	\$150,000	\$150,000	0.00%
941	AGRICULTURE & FORESTRY F	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	-713		5	0	(5)	0.00%
		OTHER COMPENSATION	-9,320		70	0	(70)	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	896,091	Regular	3,762,774	35,053,148	31,290,374	10.73%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
941		Sum:	886,057		\$3,762,850	\$35,053,148	\$31,290,298	10.73%
945	MISCELLANEOUS STATE AID	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	116,742,029	Regular	214,319,118	338,077,981	123,758,863	63.39%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
945		Sum:	116,742,029		\$214,319,118	\$338,077,981	\$123,758,863	63.39%
966	SUP PYMTS TO LAW ENFORMN	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%
		OTHER CHARGES	5,811,695	Regular	23,450,316	70,536,083	47,085,767	33.25%
		DEBT SERVICES	0		0	0	0	0.00%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTTED	0		0	0	0	0.00%
966		Sum:	5,811,695		\$23,450,316	\$70,536,083	\$47,085,767	33.25%
977	DOA DEBT SERVICE AND MAIN	SALARIES	0		0	0	0	0.00%
		RELATED BENEFITS	0		0	0	0	0.00%
		OTHER COMPENSATION	0		0	0	0	0.00%
		TRAVEL & TRAINING	0		0	0	0	0.00%
		OPERATING SERVICES	0		0	0	0	0.00%
		SUPPLIES	0		0	0	0	0.00%
		PROFESSIONAL SERVICES	0		0	0	0	0.00%

		04						
Agy	Agency Name	Expenditure Category	Accounting Period October	Expenditure Descriptions	Expended To Date	Total Budgeted	Remaining Budget	Percentage Expended
		OTHER CHARGES	6,054,796	Regular	12,688,414	23,879,042	11,190,628	53.14%
		DEBT SERVICES	5,439,748	Regular	38,934,143	97,295,449	58,361,306	40.02%
		IAT	0		0	0	0	0.00%
		MAJOR REPAIRS	0		0	0	0	0.00%
		CAPITAL OUTLAY	0		0	0	0	0.00%
		AUXILIARY PROGRAM	0		0	0	0	0.00%
		UNALLOTED	0		0	0	0	0.00%
977		Sum:	11,494,545		\$51,622,556	\$121,174,491	\$69,551,935	42.60%
		Sum:	\$2,693,504,049		8,849,613,705	\$35,010,866,676	\$26,161,252,971	25.28%
		Sum:	\$2,693,504,049					

Office of the Commissioner
State of Louisiana
Division of Administration

JOHN BEL EDWARDS
GOVERNOR



JAY DARDENNE
COMMISSIONER OF ADMINISTRATION

October 15, 2020

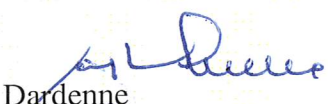
The Honorable Mack A. "Bodi" White, Jr., Senator
Chairman, Joint Legislative Committee on the Budget
Post Office Box 44294
Baton Rouge, Louisiana 70804

Re: Deficit Avoidance Plan
(Section 21 of Act No. 1 of the 2020 1st Extraordinary Session of the Legislature)

Dear Chairman White:

As required by Act No. 1 of the 2020 1st Extraordinary Session of the Legislature, I am reporting to you that we have made no changes to the Deficit Avoidance Plan that was presented to the Joint Legislative Committee on the Budget on August 14, 2020. Based on how the state finished FY20 and preliminary revenue information for FY21, it appears at this time the state may not have a need to respond to an FY21 Mid-Year Deficit. However, if the FY21 revenue environment changes that necessitates JLCB to declare a deficit, I will be ready to act.

Very truly yours,


Jay Dardenne
Commissioner of Administration

JD:jTM:kh

**KEY:**

* There is a week delay in initial and continued claim data from Bureau Labor Statistics. This data is released on Fridays.

^ Other Activity is the net of combined wage claim reimbursements and taxes and interest collected for that week.

^^ Money comes from 3rd quarter tax collections.

** Typically payments do not occur on Saturday but the final numbers can change with any adjustments made by the end of the week.

Weekly Trust Fund Update

Week ending	Benefits Paid	Other Activity ^	UI Trust Fund Balance	UI Borrowing Account Balance	Initial Claims*	Continued Claims*
9/5/2020	\$40,624,632.25	\$615,697.13	\$160,391,419.26		24,566	256,184
9/12/2020	\$38,743,318.69	\$2,029,191.66	\$123,677,292.23		16,182	250,244
9/19/2020	\$40,475,397.85	\$1,710,263.15	\$84,912,157.53		14,842	238,724
9/26/2020	\$35,835,929.16	(\$981,193.23)	\$48,095,035.14		16,296	214,860
10/3/2020	\$31,275,433.91	\$1,749,863.67	\$18,569,464.90		13,461	195,223
10/10/2020	\$27,451,459.76	(\$1,354,987.81)	\$0.00	(\$10,236,982.67)	13,577	165,320
10/17/2020	\$23,760,103.10	(\$27,087,095.89)	\$0.00	(\$61,084,181.66)	13,039	146,622
10/24/2020	\$20,467,122.14	\$1,183,413.91	\$0.00	(\$80,367,889.89)	9,943	128,378
10/31/2020	\$22,269,521.25	\$1,386,154.81	\$0.00	(\$101,251,256.33)	8,419	115,350
11/7/2020	\$16,266,367.57	\$14,338,700.70	\$5,577,170.53 ^^	(\$108,756,093.73)	10,045	98,935
11/14/2020	\$14,515,589.51	\$4,333,702.53	\$0.00	(\$118,937,980.71)	TBD	TBD